

UNITED STATES SENATE  
COMMITTEE ON THE JUDICIARY

QUESTIONNAIRE FOR JUDICIAL NOMINEES

PUBLIC

1. **Name**: State full name (include any former names used).

Fred Wallace Slaughter

2. **Position**: State the position for which you have been nominated.

United States District Judge for the Central District of California

3. **Address**: List current office address. If city and state of residence differs from your place of employment, please list the city and state where you currently reside.

Office:           Orange County Superior Court  
                      North Justice Center  
                      1275 North Berkeley Avenue, Department N15  
                      Fullerton, California 92832

Residence:     Yorba Linda, California

4. **Birthplace**: State year and place of birth.

1973; Santa Monica, California

5. **Education**: List in reverse chronological order each college, law school, or any other institution of higher education attended and indicate for each the dates of attendance, whether a degree was received, and the date each degree was received.

1996 – 1999, University of California, Los Angeles; J.D., 1999  
1991 – 1996, University of California, Los Angeles; B.A. (*cum laude*), 1996

6. **Employment Record**: List in reverse chronological order all governmental agencies, business or professional corporations, companies, firms, or other enterprises, partnerships, institutions or organizations, non-profit or otherwise, with which you have been affiliated as an officer, director, partner, proprietor, or employee since graduation from college, whether or not you received payment for your services. Include the name and address of the employer and job title or description.

2014 – present  
Orange County Superior Court  
700 Civic Center West

Santa Ana, California 92701  
Superior Court Judge

2011 – 2014

United States Attorney's Office for the Central District of California  
8000 United States Courthouse  
411 West Fourth Street  
Santa Ana, California 92701  
Assistant United States Attorney

2004 – 2008, 2010 – 2011

United States Attorney's Office for the Central District of California  
1500 United States Courthouse  
312 North Spring Street  
Los Angeles, California 90012  
Assistant United States Attorney

2008 – 2010

United States Attorney's Office for the District of Oregon  
1000 Southwest Third Street, Suite 600  
Portland, Oregon 97204  
Assistant United States Attorney

2002 – 2004

United States Attorney's Office for the District of Arizona  
405 West Congress, Suite 4800  
Tucson, Arizona 85701  
Assistant United States Attorney

1999, 2000 – 2002

Los Angeles City Attorney's Office  
800 City Hall East  
200 North Main Street  
Los Angeles, California 90012  
Deputy City Attorney (2000 – 2002)  
Certified Law Clerk (1999)

1999

Los Angeles City Attorney's Office, Airport (Civil) Division  
Los Angeles International Airport  
One World Way  
Los Angeles, California 90045  
Certified Law Clerk

1998

State Bar of California, Office of Chief Trial Counsel

1150 South Olive Street  
Los Angeles, California 90015  
Law Clerk

1996 – 1999  
Fred L. Slaughter, Attorney at Law  
P.O. Box 3522  
Santa Monica, California 90408  
Legal Assistant

7. **Military Service and Draft Status:** Identify any service in the U.S. Military, including dates of service, branch of service, rank or rate, serial number (if different from social security number) and type of discharge received, and whether you have registered for selective service.

I did not serve in the military. I registered for the selective service upon turning 18.

8. **Honors and Awards:** List any scholarships, fellowships, honorary degrees, academic or professional honors, honorary society memberships, military awards, and any other special recognition for outstanding service or achievement.

Thurgood Marshall Bar Association (Orange County), Judge Karen L. Robinson Legal Excellence Award (2019)

Black Law Students Association of University of California, Irvine, Outstanding Community Member Award (2018)

Orange County Superior Court Juvenile Recovery Court, Plaque of Appreciation (2018)

Constitutional Rights Foundation (Orange County), Certificate of Appreciation for Participation in Law Day (2016)

United States Department of State Diplomatic Security Service, award for criminal investigative matters (2013)

Federal Bureau of Investigation, award for work in “Operation Steady Mobbin,” a drug trafficking and gang prosecution in Pasadena and the San Gabriel Valley (2013)

Federal Bureau of Investigation, award for outstanding prosecutorial skills (2010)

Metro Gang Task Force (Portland, Oregon), award for service, support, and dedication (2010)

City/County Organized Bank Robbery Partnership (Portland, Oregon), award for bank robbery prosecutions (2010)

Woodburn (Oregon) Police Department, letter of appreciation for the prosecution of several drug trafficking organizations (2010)

University of California, Los Angeles, School of Law, certificate of appreciation for contributions to the Alumni Association Board of Directors (2009)

Los Angeles District Attorney's Office Hardcore Gang Division, award for collaborative work in firearm and gang-related cases (2008)

Federal Bureau of Investigation, Drug Enforcement Administration, and Pomona (California), award for the investigation and prosecution in *United States v. Johns* (2008)

Bureau of Alcohol, Tobacco, Firearms, and Explosives, award for work with Project Safe Neighborhoods and the Anti-Gang Initiative (2008)

Los Angeles City Attorney's Office, award for leadership with Project Safe Neighborhoods in fighting street gang and firearms violence (2008)

Federal Bureau of Investigation, award for "Operation Stone Cold," a prosecution involving the Black P-Stones Bloods street gang (2007)

Southern California Drug Task Force, award for work in *United States v. Wheeler* (2007)

Bureau of Alcohol, Tobacco, Firearms, and Explosives, award for work in the Alpine Village investigation and prosecution (2007)

Bureau of Alcohol, Tobacco, Firearms, and Explosives, award for contributions in combating firearms and narcotics trafficking (2007)

Bureau of Alcohol, Tobacco, Firearms, and Explosives, award for the prosecutions of *United States v. Taylor* and *United States v. Valdez* (2006)

Bureau of Alcohol, Tobacco, Firearms, and Explosives, certificate of appreciation for being a trainer of and presenter to its special agents (2005)

Drug Enforcement Administration, award for the investigation and prosecution of drug trafficking organizations (2004)

Judge Rita Miller (Los Angeles Superior Court), commendation for trial lawyering in Division 56 (2001)

University of California, Los Angeles, Korean Business Award, scholarship presented to an African American business major for scholastic achievement (1996)

University of California, Los Angeles, graduated *cum laude* with undergraduate degree in business economics (1996)

9. **Bar Associations:** List all bar associations or legal or judicial-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices which you have held in such groups.

American Bar Association (1999 – 2003, 2015 – present)

Association of African American California Judicial Officers (2019 – present)  
Nominating Committee, Member (2021 – present)

California Judges Association (2014 – present)

Constitutional Rights Foundation (2015 – present)  
Judicial Advisory Board, Member (2015 – present)  
Peer Court Board, Member (2015 – present)

Federal Bar Association—Orange County Chapter (2013 – present)

John Langston Bar Association (2013 – 2014)

Judicial Council of the California Association of Black Lawyers (2014 – present)

Judicial Selection Advisory Committee for Orange County, California (2019 – present)

Los Angeles Bar Association (2007 – present)  
Criminal Justice Executive Committee, Member (2007 – 2008, 2010 – 2014)

Orange County Association of Affinity Judicial Officers (2021 – present)

Orange County Bar Association (2014 – present)

Orange County Superior Court  
Prevention of Bias Committee, Member (2020 – present)  
Grand Jury Committee, Member (2018 – present)  
Judicial Extern Committee, Member (2015 – present)

Thurgood Marshall Bar Association (2015 – present)

William P. Gray Legion Lex Inn of Court (2014 – present)  
Executive Committee, Member (2014 – present)

10. **Bar and Court Admission:**

- a. List the date(s) you were admitted to the bar of any state and any lapses in membership. Please explain the reason for any lapse in membership.

California, 1999

There have been no lapses in membership, although—because I am currently serving as a Superior Court Judge—I am not considered a licensee of the California State Bar while in office.

- b. List all courts in which you have been admitted to practice, including dates of admission and any lapses in membership. Please explain the reason for any lapse in membership. Give the same information for administrative bodies that require special admission to practice.

United States Court of Appeals for the Ninth Circuit, 1999  
United States District Court for the District of Arizona, 2002  
United States District Court for the Central District of California, 1999  
United States District Court for the District of Oregon, 2008  
California, 1999

Because I am a Superior Court Judge, I am not currently admitted to practice in any court. Before being sworn in as a judge, however, I was admitted to practice in the above courts with no lapses in membership. That said, my membership in the bars of the United States District Courts for the Districts of Arizona and Oregon was based on my employment as an Assistant United States Attorney in those districts. Therefore, due to voluntary non-renewal, my bar membership in the District of Arizona ended in 2004, and my bar membership in the District of Oregon ended in 2010.

11. **Memberships:**

- a. List all professional, business, fraternal, scholarly, civic, charitable, or other organizations, other than those listed in response to Questions 9 or 10 to which you belong, or to which you have belonged, since graduation from law school. Provide dates of membership or participation, and indicate any office you held. Include clubs, working groups, advisory or editorial boards, panels, committees, conferences, or publications.

University of California, Irvine, Board of Visitors (2019 – present)

University of California, Los Angeles, School of Law Alumni Board  
(approximately 2007 – 2008)

- b. The American Bar Association's Commentary to its Code of Judicial Conduct states that it is inappropriate for a judge to hold membership in any organization that invidiously discriminates on the basis of race, sex, or religion, or national origin. Indicate whether any of these organizations listed in response to 11a above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical

implementation of membership policies. If so, describe any action you have taken to change these policies and practices.

To the best of my knowledge, neither of the organizations listed above currently discriminates or formally discriminated on the basis of race, sex, religion, or national origin either through formal membership requirements or the practical implementation of membership policies.

**12. Published Writings and Public Statements:**

- a. List the titles, publishers, and dates of books, articles, reports, letters to the editor, editorial pieces, or other published material you have written or edited, including material published only on the Internet. Supply four (4) copies of all published material to the Committee.

None.

- b. Supply four (4) copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of on behalf of any bar association, committee, conference, or organization of which you were or are a member. If you do not have a copy of a report, memorandum or policy statement, give the name and address of the organization that issued it, the date of the document, and a summary of its subject matter.

None.

- c. Supply four (4) copies of any testimony, official statements or other communications relating, in whole or in part, to matters of public policy or legal interpretation, that you have issued or provided or that others presented on your behalf to public bodies or public officials.

None.

- d. Supply four (4) copies, transcripts or recordings of all speeches or talks delivered by you including commencement speeches, remarks, lectures, panel discussions, conferences, political speeches, and question-and-answer sessions. Include the date and place where they were delivered, and readily available press reports about the speech or talk. If you do not have a copy of the speech or a transcript or recording of your remarks, give the name and address of the group before whom the speech was given, the date of the speech, and a summary of its subject matter. If you did not speak from a prepared text, furnish a copy of any outline or notes from which you spoke.

In an effort to identify all events responsive to this question, I searched my records and electronic files and conducted searches of the internet and electronic databases. I located the events that are listed below, but there may be events that I

have been unable to recall or identify.

January 19, 2021: Presenter, Swearing-In Ceremony for New Attorneys, Chapman University, Fowler School of Law (virtual event). Recording available at <https://blogs.chapman.edu/law/2021/02/05/latest-group-of-fowler-school-of-law-graduates-admitted-to-state-bar/>.

November 19, 2020: Speaker, Mentors and Mentorships, Thurgood Marshall Bar Association, Santa Ana, California. During an informal meeting at a restaurant, I spoke about mentoring in the practice of law. I have no notes, transcript, or recording. The address for the Thurgood Marshall Bar Association is P.O. Box 6130, Newport Beach, California 92658.

August 19, 2020: Moderator, Diversity and Inclusivity Conversation Series, Online Session Two, California Judges Association (virtual event). As moderator for one of numerous breakout rooms, I posed questions provided by the program organizers about diversity and the law to the participants. Questions supplied.

February 28, 2020: Speaker, Black History Month Future Leaders Luncheon, Orange County Black Chamber of Commerce, Anaheim, California. I made brief comments acknowledging the organization and encouraging the students in attendance. I have no notes, transcript, or recording. The address for the Orange County Black Chamber of Commerce is 8018 East Santa Ana Canyon Road, Suite 100, Anaheim, California 92808.

January 11, 2020: Award Recipient, Thurgood Marshall Bar Association, Costa Mesa, California. Notes supplied.

October 30, 2019: Speaker, "So You Want to be a State Judge," Thurgood Marshall Bar Association, Irvine, California. California Superior Court Judge Karen Robinson and I spoke about California's judicial application process. I have no notes, transcript, or recording. The address for the Thurgood Marshall Bar Association is P.O. Box 6130, Newport Beach, California 92658.

October 24, 2019: Moderator, Being a Minority Prosecutor, University of California, Irvine, School of Law, Irvine, California. I moderated a panel of minority prosecutors who were asked questions by law students about working as a prosecutor. I have no notes, transcript, or recording. The address for the University of California, Irvine, School of Law is 401 East Peltason Drive, Irvine, California 92697.

July 25, 2019: Speaker, Orange County Superior Court Judicial Extern Committee, Santa Ana, California. I spoke to summer judicial externs about the Orange County Superior Court while giving them a tour of the courthouse. I have no notes, transcript, or recording. The address for the Orange County Superior Court is 700 Civic Center West, Santa Ana, California 92701.

May 18, 2019: Speaker, Diverse Prospective Law Student Encouragement, Munger, Tolles & Olson, LLP, Los Angeles, California. I spoke to and encouraged college students participating in a fellowship program that helps prepare diverse students for admission to and success in law school. I have no notes, transcript, or recording. The address for Munger, Tolles & Olson, LLP is 350 South Grand Avenue, 50th Floor, Los Angeles, California 90071.

March 15, 2019: Panelist, Black Law Students Association, University of California, Irvine, School of Law, Irvine, California. During this lunch meeting, I spoke about my professional background and offered encouragement to the students. I have no notes, transcript, or recording. The address for the University of California, Irvine, School of Law is 401 East Peltason Drive, Irvine, California 92697.

August 25, 2018: Speaker, Diverse Prospective Law Student Encouragement, Munger, Tolles & Olson, LLP, Los Angeles, California. I spoke to and encouraged college students participating in a fellowship program that helps prepare diverse students for admission to and success in law school. I have no notes, transcript, or recording. The address for Munger, Tolles & Olson, LLP is 350 South Grand Avenue, 50th Floor, Los Angeles, California 90071.

August 4, 2018: Speaker, Encouragement of Pre-Law Students, University of California, Irvine, School of Law, Irvine, California. During this informal gathering, I spoke to pre-law students about pursuing admission to law school. I have no notes, transcript, or recording. The address for the University of California, Irvine, School of Law is 401 East Peltason Drive, Irvine, California 92697.

July 18, 2018: Speaker, Orange County Superior Court Judicial Extern Committee, Santa Ana, California. I spoke to summer judicial externs about the Orange County Superior Court while giving them a tour of the courthouse. I have no notes, transcript, or recording. The address for the Orange County Superior Court is 700 Civic Center West, Santa Ana, California 92701.

May 17, 2018: Panelist, "The Real Deal of Succeeding as a Minority Attorney," California Minority Counsel Program, Newport Beach, California. The panel discussed the experiences of minority lawyers pursuing a career in the law. I have no notes, transcript, or recording. The address for the California Minority Counsel Program is 1624 Franklin Street, Suite 1206, Oakland, California 94612.

April 7, 2018: Award Recipient, Black Law Students Association, University of California, Irvine, School of Law, Irvine, California. I made brief remarks thanking and acknowledging the organization while accepting an Outstanding Community Member award. I have no notes, transcript, or recording. The address for the University of California, Irvine, School of Law is 401 East

Peltason Drive, Irvine, California 92697.

March 12, 2018: Presenter, “Conduct, Confidentiality, and Ethical Guidelines,” Trial Court Judicial Attorneys Institute, Orange County Superior Court, Garden Grove, California. PowerPoint and written materials supplied.

February 21, 2018: Presenter, Law Student Encouragement, Chapman University, Fowler School of Law, Orange, California. I spoke about my professional background and offered encouragement to the students. I have no notes, transcript, or recording. The address for Chapman University, Fowler School of Law is One University Drive, Orange, California 92866.

January 13, 2018: Master of Ceremonies, Thurgood Marshall Inaugural Installation and Scholarship Awards Dinner, Thurgood Marshall Bar Association, Orange, California. I introduced the event’s speakers and administered the oath to the Thurgood Marshall Bar Association’s board members. I have no notes, transcript, or recording for my remarks, but my notes for administering the oath are supplied. The address for the Thurgood Marshall Bar Association is P.O. Box 6130, Newport Beach, California 92658.

January 8, 2018: Moot Court Judge, Moot Court, University of California, Irvine, School of Law, Irvine, California. I presided over moot court arguments presented by law students. I have no notes, transcript, or recording. The address for the University of California, Irvine, School of Law is 401 East Peltason Drive, Irvine, California 92697.

November 30, 2017: Mock Trial Judge, Mock Trial, Constitutional Rights Foundation, Santa Ana, California. I presided over a mock trial conducted by Orange County high school students. I have no notes, transcript, or recording. The address for the Constitutional Rights Foundation is 4101 Westerly Place, Suite 101, Newport Beach, California 92660.

November 3, 2017: Speaker, Encouragement to Law Students, Black Law Students Association, University of California, Irvine, School of Law, Irvine, California. During this informal lunch meeting, I spoke to law students about my professional background and offered encouragement to the students. I have no notes, transcript, or recording. The address for the University of California, Irvine, School of Law is 401 East Peltason Drive, Irvine, California 92697.

October 21, 2017: Speaker, Networking Event, Western State College of Law, Irvine, California. I made brief remarks encouraging students to follow their passion in the field of law. I have no notes, transcript, or recording. The address for Western State School of Law is 16715 Von Karman Avenue, Irvine, California 92606.

October 14, 2017: Presenter, “Orange County—At the Vanguard of the Civil

Rights Movement,” Chapman University, Fowler School of Law, Orange, California. PowerPoint supplied.

August 18, 2017: Mock Trial Judge, Mock Trial, University of California, Irvine, School of Law, Irvine, California. I presided over a mock trial conducted by law students during a new student orientation event. I have no notes, transcript, or recording. The address for the University of California, Irvine, School of Law is 401 East Peltason Drive, Irvine, California 92697.

July 15, 2017: Speaker, Orange County Superior Court Judicial Extern Committee, Santa Ana, California. I spoke to summer judicial externs about the Orange County Superior Court while giving them a tour of the courthouse. I have no notes, transcript, or recording. The address for the Orange County Superior Court is 700 Civic Center West, Santa Ana, California 92701.

May 10, 2017: Presenter, “22 Lewd Chinese Women: Immigration and the Inherent Underlying Issues of Discrimination,” William P. Gray Legion Lex Inn of Court, Costa Mesa, California. For educational purposes, the Inn of Court reenacted the case of *Chy Lung v Freeman*, 92 U.S. 275 (1876); I played the role of Judge Morrison and read from a script of his statements. Script supplied.

September 17, 2016: Speaker, Diverse Prospective Law Student Encouragement, Munger, Tolles & Olson, LLP, Los Angeles, California. I spoke to and encouraged college students participating in a fellowship program that helps prepare diverse students for admission to and success in law school. I have no notes, transcript, or recording. The address for Munger, Tolles & Olson, LLP is 350 South Grand Avenue, 50th Floor, Los Angeles, California 90071.

July 26, 2016: Speaker, Orange County Superior Court Judicial Extern Committee, Santa Ana, California. I spoke to summer judicial externs about the Orange County Superior Court while giving them a tour of the courthouse. I have no notes, transcript, or recording. The address for the Orange County Superior Court is 700 Civic Center West, Santa Ana, California 92701.

September 17, 2016: Speaker, Diverse Prospective Law Student Encouragement, Munger, Tolles & Olson, LLP, Los Angeles, California. I spoke to and encouraged college students participating in a fellowship program that helps prepare diverse students for admission to and success in law school. I have no notes, transcript, or recording. The address for Munger, Tolles & Olson, LLP, is 350 South Grand Avenue, 50th Floor, Los Angeles, California 90071.

May 11, 2016: Speaker, Civility Program, William P. Gray Legion Lex Inn of Court, Costa Mesa, California. I participated in an educational program regarding civility standards in court by playing the role of a discourteous attorney. I have no notes, transcript, or recording. The William P. Gray Legion Lex Inn of Court does not have a mailing address.

March 9, 2016: Speaker, Law Day Peer Court, Constitutional Rights Foundation, Costa Mesa, California. I presided over a session of a juvenile diversion program organized by the Constitutional Rights Foundation in conjunction with the Orange County Superior Court in which high school students assign appropriate sanctions to individuals who have admitted their guilt. I have no notes, transcript, or recording. The address for the Constitutional Rights Foundation is 4101 Westerly Place, Suite 101, Newport Beach, California 92660.

February 3, 2016: Speaker, Career Discussion, Dale Junior High School, Anaheim, California. As part of a career day event, I spoke to the students about my professional background. I have no notes, transcript, or recording. The address for Dale Junior High School is 900 South Dale Avenue, Anaheim, California 92804.

November 17, 2015: Mock Trial Judge, Mock Trial, Constitutional Rights Foundation, Santa Ana, California. I presided over a mock trial conducted by Orange County high school students. I have no notes, transcript, or recording. The address for the Constitutional Rights Foundation is 4101 Westerly Place, Suite 101, Newport Beach, California 92660.

August 14, 2015: Mock Trial Judge, Mock Trial, University of California, Irvine, School of Law, Irvine, California. I presided over a mock trial conducted by law students. I have no notes, transcript, or recording. The address for the University of California, Irvine, School of Law is 401 East Peltason Drive, Irvine, California 92697.

July 7, 2015: Panelist, Professional Background, Whittier Law School, Costa Mesa, California. I was a panelist in Professor Daniel Ahn's criminal procedure class and answered questions from law students about my professional background. I have no notes, transcript, or recording. Whittier Law School no longer exists.

April 21, 2015: Speaker, The Court System, The Red Apple Preschool, Lake Forest, California. I spoke to a group of preschoolers about the court system, provided the students with judicially themed pictures to color, and helped them make gavels from marshmallows and pretzel sticks. I have no notes, transcript, or recording. The address for The Red Apple Preschool is 23532 El Toro Road, Suite One, Lake Forest, California 92630.

April 14, 2015: Moderator/Panelist, "A Comparative Look at Criminal Practice in the State and Federal Courts," Orange County Women Lawyers Association, Santa Ana, California. I moderated and participated in a panel about federal and state criminal practice. I have no notes, transcript, or recording. The address for the Orange County Women Lawyers Association is P.O. Box 6130, Newport Beach, California 92658.

March 18, 2015: Mock Trial Judge, Mock Trial, University of California, Irvine, School of Law, Irvine, California. I presided over a mock trial conducted by law students. I have no notes, transcript, or recording. The address for the University of California, Irvine, School of Law is 401 East Peltason Drive, Irvine, California 92697

March 12, 2015: Speaker, Judicial Ethics for Judicial Student Externs, University of California, Irvine, School of Law, Irvine, California. I spoke to students in Professor Laura Fry's Judicial Externship class about judicial ethics. I have no notes, transcript, or recording. The address for the University of California, Irvine, School of Law is 401 East Peltason Drive, Irvine, California 92697.

December 11, 2014: Speaker, Enrobing Ceremony for Judge Rob Villeza, Los Angeles Superior Court, Los Angeles, California. Notes supplied.

November 20, 2014: Speaker, Career Background and Student Encouragement, University of California, Irvine, School of Law, Irvine, California. I spoke to students in Professor Catherine Fisk's Law and Professions Class about my professional background and offered encouragement to the students. I have no notes, transcript, or recording. The address for the University of California, Irvine, School of Law is 401 East Peltason Drive, Irvine, California 92697.

November 19, 2014: Moot Court Judge, Moot Court, University of California, Irvine, School of Law, Irvine, California. I presided over moot court arguments presented by law students. I have no notes, transcript, or recording. The address for the University of California, Irvine, School of Law is 401 East Peltason Drive, Irvine, California 92697.

November 17, 2014: Moot Court Judge, Moot Court, University of California, Irvine, School of Law, Irvine, California. I presided over moot court arguments presented by law students. I have no notes, transcript, or recording. The address for the University of California, Irvine, School of Law is 401 East Peltason Drive, Irvine, California 92697.

September 24, 2014: Panelist, Courtroom Operations Presentation, North Orange County Bar Association, Fullerton, California. The panel discussed courtroom operations at the North Justice Center in Fullerton, California. I have no notes, transcript, or recording. The address for the North Orange County Bar Association is 1740 West Katella Avenue, Suite F, Orange, California 92867.

June 6, 2014: Judicial Enrobing Ceremony, Orange County Superior Court, Santa Ana, California. During this ceremonial event, I made brief comments thanking my family, friends, and legal colleagues. I have no notes, transcript, or recording. The address for the Orange County Superior Court is 700 Civic Center West, Santa Ana, California 92701.

March 19, 2014: Mock Trial Judge, Mock Trial, University of California, Irvine, School of Law, Irvine, California. I presided over a mock trial conducted by law students. I have no notes, transcript, or recording. The address for the University of California, Irvine, School of Law is 401 East Peltason Drive, Irvine, California 92697.

- e. List all interviews you have given to newspapers, magazines or other publications, or radio or television stations, providing the dates of these interviews and four (4) copies of the clips or transcripts of these interviews where they are available to you.

Henry Meier, *Fred W. Slaughter (Judicial Profile Correction)*, Daily J. (Mar. 18, 2015). Copy supplied.

Henry Meier, *Fred W. Slaughter (Judicial Profile)*, Daily J. (Mar. 17, 2015). Copy supplied.

13. **Judicial Office:** State (chronologically) any judicial offices you have held, including positions as an administrative law judge, whether such position was elected or appointed, and a description of the jurisdiction of each such court.

Since February 2014, I have served as a Superior Court Judge for the Superior Court of California, County of Orange. I was appointed to that position by Governor Jerry Brown on December 27, 2013; sworn in as a judge on January 31, 2014; and began working as a bench officer on February 3, 2014. In 2016, I was elected without opposition to a successive six-year term. The Orange County Superior Court is a California state court of general jurisdiction. Since March 2021, I have been assigned to the unlimited civil panel. From January 2019 through February 2021, I was the Supervising Judge for the North Justice Center in Fullerton, California. From February 2014 through December 2014, and from April 2018 to December 2018, I presided over a criminal assignment involving mostly misdemeanor matters with some felony proceedings. From January 2015 through March 2018, I was assigned to be a juvenile justice judge.

- a. Approximately how many cases have you presided over that have gone to verdict or judgment?

All told, I estimate that I have presided over 120 cases that have gone to verdict or judgment, in addition to presiding over thousands of hearings. Of those 120 cases, 15 were criminal jury trials, one was a civil jury trial, and the remaining approximately 105 cases were bench trials in civil, juvenile justice, small claims, unlawful detainer, and traffic court matters.

- i. Of these cases, approximately what percent were:

jury trials: 13%

bench trials: 87%

ii. Of these cases, approximately what percent were:

civil proceedings: 12%

criminal proceedings: 88%

The civil proceedings include the civil, small claims, and unlawful detainer matters. The criminal proceedings include the criminal, juvenile justice, and traffic matters.

b. Provide citations for all opinions you have written, including concurrences and dissents.

I am unaware of any written opinions, including concurrences or dissents, written by me that have citations and are listed on Westlaw or Lexis. As a Superior Court Judge, I do not author published opinions. My decisions are generally recorded by the courtroom judicial clerk as minute orders. Minute orders and written decisions are filed in the individual trial court file and are not identifiable by citation other than the case file number. The case files are stored in the Orange County Superior Court's case management system.

c. For each of the 10 most significant cases over which you presided, provide: (1) a capsule summary of the nature of the case; (2) the outcome of the case; (3) the name and contact information for counsel who had a significant role in the trial of the case; and (4) the citation of the case (if reported) or the docket number and a copy of the opinion or judgment (if not reported).

1. *Lee v. Min*, No. 30-2019-01064151 (Orange Cty. Sup. Ct.)

This civil case involved Mr. Lee, the plaintiff, who was a parishioner at the church of Mr. Min, the defendant. Mr. Lee alleged that donations he made to Mr. Min's church were misused and asserted causes of action for accounting, breach of fiduciary duty, and constructive trust. After a six-day bench trial, I concluded that Mr. Lee failed to carry his burden on each of the causes of action, and thus entered judgment in favor of Mr. Min. Decision supplied.

Counsel for Plaintiff:

Jiyoung Kym  
Law Offices of Jiyoung Kym  
3435 Wilshire Boulevard, Suite 1740  
Los Angeles, CA 90010  
(213) 386-0800

Counsel for Defendant:

Charles E. McClung, Jr.

580 Broadway, Suite 215  
Laguna Beach, CA 92651  
(949) 497-3388

2. *Auto Fin. Solutions, LLC v. Credit Acceptance Corp.*, No. 30-2019-01067991  
(Orange Cty. Sup. Ct.)

This civil case involved two different lenders to a defunct used car dealership, Golden Petra. The plaintiff, Auto Finance Solutions, LLC (“AFS”), provided financing for Golden Petra’s used car inventory. The defendant, Credit Acceptance Corporation (“CAC”), purchased and was assigned retailed installment sales contracts from Golden Petra once Golden Petra sold a vehicle subject to such a contract. When Golden Petra went out of business, Golden Petra had outstanding financial obligations with both AFS and CAC, and the lawsuit was brought to determine the financial obligations of the respective parties. Following a two-day bench trial, I granted declaratory relief to AFS on several issues, including that CAC was financially obligated to pay AFS for the titles of the vehicles as a result of CAC being the assignee of Golden Petra’s contracts. Decision supplied.

Counsel for Plaintiff:

Brett K. Wiseman  
Madison Law, APC  
17702 Mitchell North  
Irvine, CA 92614  
(949) 756-9050

Counsel for Defendant:

Mark J. Kenney  
Severson & Werson  
One Embarcadero Center, Suite 2600  
San Francisco, CA 94111  
(415) 398-3344

David A. Berkley  
Severson & Werson  
The Atrium  
19100 Von Karman Avenue, Suite 700  
Irvine, CA 92612  
(949) 442-7110

3. *People v. Bailey*, No. 17NM08486 (Orange Cty. Sup. Ct.)

While two Fullerton police officers were conducting a welfare check at a motel, the defendant, Mr. Bailey, confronted the officers about why they were there, yelled vulgarities at them, and challenged the officers to fight him. The officers

did not accept the challenge, and they left the motel. Thereafter, Mr. Bailey placed harassing telephone calls to the police officers. After a four-day trial over which I presided, the jury convicted Mr. Bailey of disturbing the peace, making telephone calls to annoy, and annoying or harassing a 911 emergency line. I sentenced Mr. Bailey to a 150-day term of imprisonment and three years of probation with terms and conditions.

Counsel for Prosecution:

Danica Drotman  
Orange County District Attorney's Office  
401 Civic Center Drive West  
Santa Ana, CA 92701  
(714) 834-3600

Counsel for Defendant:

Sonya Singh  
Orange County Public Defender's Office  
801 Civic Center Drive West, Suite 400  
Santa Ana, CA 92701  
(657) 251-6090

4. *People v. Kim*, No. 17NM11813 (Orange Cty. Sup. Ct.)

In this criminal jury trial, the wife of Mr. Kim, the defendant, accused him of spousal battery during a verbal altercation. Mr. Kim asserted self-defense. Following a five-day trial over which I presided, the jury acquitted Mr. Kim of all charges.

Counsel for Prosecution:

Austin Young  
Orange County District Attorney's Office  
401 Civic Center Drive West  
Santa Ana, CA 92701  
(714) 834-3600

Counsel for Defendant:

Christian Kim  
Law Offices of Christian Kim  
19200 Von Karman Avenue, Suite 400  
Irvine, CA 92612  
(949) 622-5465

5. *People v. De La Pena*, No. 17NM09321 (Orange Cty. Sup. Ct.)

While conducting surveillance in downtown Fullerton, California for drivers suspected of driving under the influence, law enforcement officials noticed the

defendant, Mr. De La Pena, with a group of individuals that included a person who appeared to be heavily inebriated. Mr. De La Pena left the group, walked to a vehicle, and then drove toward the group. Upon further investigation, law enforcement determined Mr. De La Pena was under the influence of alcohol. After a five-day trial over which I presided, the jury convicted Mr. De La Pena of driving with a blood alcohol level of 0.08% or higher and acquitted him of driving under the influence of alcohol. I sentenced Mr. De La Pena to three years of probation with terms and conditions, including an alcohol program.

Counsel for Prosecution:

Andrea Schug  
Orange County District Attorney's Office  
401 Civic Center Drive West  
Santa Ana, CA 92701  
(714) 834-3600

Counsel for Defendant:

Ben Mironer  
Law Office of Ben Mironer  
6303 Owensmouth Avenue, Tenth Floor  
Woodland Hills, CA 91367  
(818) 936-2140

6. *People v. Thacker*, No. 17NM07956 (Orange Cty. Sup. Ct.)

Witnesses observed the defendant, Mr. Thacker, and some friends drinking alcohol while playing golf at a public course. Witnesses also observed Mr. Thacker driving a golf cart erratically, continuing to drink, and then driving his vehicle away from the golf course before employees called the police. After a five-day trial over which I presided, the jury found Mr. Thacker not guilty as to the charged count for driving under the influence of alcohol. The jury was unable to reach a verdict as to the count for driving with a blood alcohol level of 0.08% or more, and the government later dismissed that count.

Counsel for Prosecution:

Susan Price  
Orange County District Attorney's Office  
401 Civic Center Drive West  
Santa Ana, CA 92701  
(714) 896-7268

Counsel for Defendant:

Tara Galloghly  
The Kavinoky Law Firm  
16255 Ventura Boulevard, Suite 200  
Encino, CA 91436

(818) 688-1125

7. *People v. Martinez*, No. 14NM01421 (Orange Cty. Sup. Ct.)

The defendant, Mr. Martinez, drove into a populated trailer park in a dangerous manner. Through investigation, law enforcement determined that Mr. Martinez had a blood alcohol level that exceeded the legal limit and had two prior convictions for driving under the influence. Following a four-day trial over which I presided, the jury convicted Mr. Martinez of driving under the influence of alcohol and driving with a blood alcohol level of 0.08% or higher. I sentenced Mr. Martinez to a one-year term of imprisonment.

Counsel for Prosecution:

Scott Wooldridge  
Orange County District Attorney's Office  
401 Civic Center Drive West  
Santa Ana, CA 92701  
(714) 834-3600

Counsel for Defendant:

Julia Walde  
Orange County Public Defender's Office  
801 Civic Center Drive West, Suite 400  
Santa Ana, CA 92701  
(657) 251-6090

8. *People v. Andasola*, No. 14NM02041 (Orange Cty. Sup. Ct.)

The defendant, Mr. Andasola, and another driver got into a dispute over a parking space. Thereafter, the other driver claimed Mr. Andasola damaged his car in retaliation for the dispute, and Mr. Andasola was charged with vandalism. Following a three-day trial over which I presided, the jury was unable to reach a verdict for the charged vandalism count, and the case was dismissed.

Counsel for Prosecution:

Michael Easterson  
Anaheim City Attorney's Office  
1275 North Berkeley Avenue, Room 400  
Fullerton, CA 92832  
(714) 870-8200

Counsel for Defendant:

Randall Bethune  
Orange County Public Defender's Office  
801 Civic Center Drive West, Suite 400  
Santa Ana, CA 92701

(657) 251-6090

9. *People v. Sandoval*, No. 14NM02167 (Orange Cty. Sup. Ct.)

Law enforcement officials encountered the defendant, Mr. Sandoval, sleeping in his vehicle on a public street. Mr. Sandoval appeared to be under the influence of alcohol, and he was arrested and charged with public intoxication and possession of an open container of alcohol by a driver. Following a two-day trial over which I presided, the jury acquitted Mr. Sandoval of public intoxication. After a concurrently heard bench trial for possession of an open container of alcohol by a driver, I acquitted Mr. Sandoval of the possession charge.

Counsel for Prosecution:

Malik Freeman  
Law Office of Malik D. Freeman  
314 East Rowland Street  
Covina, CA 91723  
(626) 384-4040

Counsel for Defendant:

Irene Pai  
Orange County Public Defender's Office  
801 Civic Center Drive West, Suite 400  
Santa Ana, CA 92701  
(657) 251-6216

10. *People v. Cohen*, No. 13NM02559 (Orange Cty. Sup. Ct.)

The defendant, Mr. Cohen, crashed his car into a telephone pole and guard rail after a night of drinking. Prior to law enforcement arriving at the scene of the crash, Mr. Cohen fled to his home and went to sleep. Law enforcement tracked Mr. Cohen to his home that night. During an interview, Mr. Cohen claimed that he had started drinking only upon arriving at his residence. After a five-day trial over which I presided, the jury convicted Mr. Cohen of driving under the influence of alcohol and driving with a blood alcohol level of 0.08% or higher. I sentenced Mr. Cohen to three years of probation with terms and conditions, including an alcohol program.

Counsel for Prosecution:

David Dixon  
Anaheim City Attorney's Office  
1275 North Berkeley Avenue, Room 400  
Fullerton, CA 92832  
(714) 870-8200

Counsel for Defendant:

Randall Bethune  
Orange County Public Defender's Office  
801 Civic Center Drive West, Suite 400  
Santa Ana, CA 92701  
(657) 251-6090

- d. For each of the 10 most significant opinions you have written, provide: (1) citations for those decisions that were published; (2) a copy of those decisions that were not published; and (3) the names and contact information for the attorneys who played a significant role in the case.

1. *Lee v. Min*, No. 30-2019-01064151 (Orange Cty. Sup. Ct.) (decision supplied in response to Question 13c)

Counsel for Plaintiff:

Jiyoung Kym  
Law Offices of Jiyoung Kym  
3435 Wilshire Boulevard, Suite 1740  
Los Angeles, CA 90010  
(213) 386-0800

Counsel for Defendant:

Charles E. McClung, Jr.  
580 Broadway, Suite 215  
Laguna Beach, CA 92651  
(949) 497-3388

2. *Auto Fin. Solutions, LLC v. Credit Acceptance Corp.*, No. 30-2019-01067991 (Orange Cty. Sup. Ct.) (decision supplied in response to Question 13c)

Counsel for Plaintiff:

Brett K. Wiseman  
Madison Law, APC  
17702 Mitchell North  
Irvine, CA 92614  
(949) 756-9050

Counsel for Defendant:

Mark J. Kenney  
Severson & Werson  
One Embarcadero Center, Suite 2600  
San Francisco, CA 94111  
(415) 398-3344

David A. Berkley  
Severson & Werson  
The Atrium  
19100 Von Karman Avenue, Suite 700  
Irvine, CA 92612  
(949) 442-7110

3. *People v. Castro*, No. 94NF0824 (Orange Cty. Sup. Ct.) (decision supplied)

Counsel for Prosecution:

Anna McIntire  
Orange County District Attorney's Office  
401 Civic Center Drive West  
Santa Ana, CA 92701  
(714) 834-3600

Counsel for Defendant:

Randy Ladisky  
Orange County Alternate Defender  
600 West Santa Ana Boulevard, Suite 600  
Santa Ana, CA 92701  
(657) 251-6730

4. *People v. Hong*, No. 00NF2372 (Orange Cty. Sup. Ct.) (decision supplied)

Counsel for Prosecution:

Anna McIntire  
Orange County District Attorney's Office  
401 Civic Center Drive West  
Santa Ana, CA 92701  
(714) 834-3600

Counsel for Defendant:

Randy Ladisky  
Orange County Alternate Defender  
600 West Santa Ana Boulevard, Suite 600  
Santa Ana, CA 92701  
(657) 251-6730

5. *People v. Villanueva*, No. 94NF0824 (Orange Cty. Sup. Ct.) (decision supplied)

Counsel for Prosecution:

Andrew Bugman  
Orange County District Attorney's Office  
401 Civic Center Drive West

Santa Ana, CA 92701  
(714) 834-3600

Counsel for Defendant:

Kenneth Morrison  
Orange County Public Defender's Office  
801 Civic Center Drive West, Suite 400  
Santa Ana, CA 92701  
(657) 251-6090

6. *People v. Davis*, No. 96NF3541 (Orange Cty. Sup. Ct.) (decision supplied)

Counsel for Prosecution:

Orange County District Attorney's Office (presence waived)

Counsel for Defendant:

Mr. Davis (*pro se*)

7. *People v. Hernandez-Tafolla*, No. 04NF0793 (Orange Cty. Sup. Ct.)  
(decisions supplied)

This case involved two petitions filed by a witness/victim in the case; the petitioner appeared *pro se*, and there was no appearance by the defendant.

e. Provide a list of all cases in which certiorari was requested or granted.

Certiorari has not been requested or granted in any of my cases.

f. Provide a brief summary of and citations for all of your opinions where your decisions were reversed by a reviewing court or where your judgment was affirmed with significant criticism of your substantive or procedural rulings. If any of the opinions listed were not officially reported, provide copies of the opinions.

1. *People v. Castro*, No. 94NF0824, Dkt. 1 (Orange Cty. Sup. Ct. June 14, 2019), *rev'd*, No. G057882, 2020 WL 1921148 (Cal. Ct. App. Apr. 21, 2020); *People v. Hong*, No. 00NF2372, Dkt. 1 (Orange Cty. Sup. Ct. June 14, 2019), *rev'd*, No. G057878, 2020 WL 6231885 (Cal. Ct. App. Oct. 23, 2020); *People v. Villanueva*, No. 94NF0824, Dkt. 1 (Orange Cty. Sup. Ct. Sept. 19, 2019), *rev'd*, No. G058295, 2021 WL 486869 (Cal. Ct. App. Feb. 23, 2021)

In this series of three cases, I heard argument regarding the constitutionality of a statute (California Penal Code § 1170.95) that limited accomplice liability for murder under the natural-and-probable-consequences doctrine. I concluded that the statute had amended two voter-enacted ballot initiatives and thus violated Article II § 10(c) of California's Constitution. At the time, there was no appellate

precedent on the constitutionality issue. The California Court of Appeal later reversed, upholding the statute in unpublished opinions. Trial court decisions supplied in response to Question 13d and appellate decisions supplied here.

2. *In re A.W.*, 39 Cal. App. 5th 941 (2019)

In this juvenile justice case, the trial court concluded that the defendant could be charged with a felony because the record evidence showed vandalism damage exceeding the statutory threshold of \$400. The appellate court reversed, holding there was insufficient evidence of such damage. On Westlaw, I am listed as the trial court judge for this matter, but I did not make any of the rulings at issue on appeal. The case appears to have been transferred to my calendar only after the decisions in question.

3. *People v. Nunogonzalez*, No. 13NM11140, Dkt. 24 (Orange Cty. Sup. Ct. Feb. 3, 2014), *rev'd*, No. 30-2014099708802 (Orange Cty. Sup. Ct. App. Div. Mar. 16, 2015)

Following a hearing, I concluded that reasonable suspicion supported the traffic stop that led to the defendant's driving under the influence arrest and thus denied his motion to suppress. In a two-to-one decision, the appellate division reversed, concluding that there was insufficient evidence in the record to show reasonable suspicion. The third judge, by contrast, agreed with my decision and would likewise have denied the defendant's suppression motion. Appellate division decision supplied.

- g. Provide a description of the number and percentage of your decisions in which you issued an unpublished opinion and the manner in which those unpublished opinions are filed and/or stored.

As a Superior Court Judge, the vast majority of my decisions are unpublished opinions or recorded by minute order. My decisions are primarily stored electronically in the Orange County Superior Court's case management system, although a few older decisions are maintained in the court's paper files.

- h. Provide citations for significant opinions on federal or state constitutional issues, together with the citation to appellate court rulings on such opinions. If any of the opinions listed were not officially reported, provide copies of the opinions.

Given the nature of the Orange County Superior Court docket, I have only had occasion to draft one significant opinion on federal or state constitutional issues:

*People v. Castro*, No. 94NF0824, Dkt. 1 (Orange Cty. Sup. Ct. June 14, 2019), *rev'd*, No. G057882, 2020 WL 1921148 (Cal. Ct. App. Apr. 21, 2020); *People v. Hong*, No. 00NF2372, Dkt. 1 (Orange Cty. Sup. Ct. June 14, 2019), *rev'd*, No. G057878, 2020 WL 6231885 (Cal. Ct. App. Oct. 23, 2020); *People v. Villanueva*, No. 94NF0824, Dkt. 1 (Orange Cty. Sup. Ct. Sept. 19, 2019), *rev'd*, No.

G058295, 2021 WL 486869 (Cal. Ct. App. Feb. 23, 2021)

In this series of three cases, I heard argument regarding the constitutionality of a statute (California Penal Code § 1170.95) that limited accomplice liability for murder under the natural-and-probable-consequences doctrine. I concluded that the statute had amended two voter-enacted ballot initiatives and thus violated Article II § 10(c) of California's Constitution. At the time, there was no appellate precedent on the constitutionality issue. The California Court of Appeal later reversed, upholding the statute in unpublished opinions. Decisions supplied in response to Questions 13d and 13f.

- i. Provide citations to all cases in which you sat by designation on a federal court of appeals, including a brief summary of any opinions you authored, whether majority, dissenting, or concurring, and any dissenting opinions you joined.

I have never sat by designation on a federal court of appeals.

14. **Recusal:** If you are or have been a judge, identify the basis by which you have assessed the necessity or propriety of recusal (If your court employs an "automatic" recusal system by which you may be recused without your knowledge, please include a general description of that system.) Provide a list of any cases, motions or matters that have come before you in which a litigant or party has requested that you recuse yourself due to an asserted conflict of interest or in which you have recused yourself sua sponte. Identify each such case, and for each provide the following information:

- a. whether your recusal was requested by a motion or other suggestion by a litigant or a party to the proceeding or by any other person or interested party; or if you recused yourself sua sponte;
- b. a brief description of the asserted conflict of interest or other ground for recusal;
- c. the procedure you followed in determining whether or not to recuse yourself;
- d. your reason for recusing or declining to recuse yourself, including any action taken to remove the real, apparent or asserted conflict of interest or to cure any other ground for recusal.

California Code of Civil Procedure ("CCP") § 170.6 allows for any party or attorney to an action to file a peremptory challenge against a judicial officer. No showing of actual bias need be made under CCP § 170.6. Recusal under CCP § 170.6 is mandatory if the movant meets the procedural standards set forth under that section. I am unaware of the number of peremptory challenges that have been filed against me, as those challenges are frequently not brought to the attention of the judicial officer in question.

A different section of the CCP, § 170.1, governs challenges for cause. To the best of my knowledge, no party has asked me to recuse myself for cause pursuant to

CCP § 170.1. Based on my recollection, I have, however, sua sponte recused myself pursuant to CCP § 170.1 on two occasions.

First, when I was assigned to the juvenile justice division, I was presented with an arrest warrant application and realized that I had supervised the attorney handling the investigation when I was in the United States Attorney's Office for the Central District of California. As a result, I recused myself, and the matter was reassigned. The case information is confidential under California Welfare and Institutions Code § 827 because the individual was a juvenile at the time.

Second, I recused myself in a civil case involving Ford Motor Company, as I then owned more than \$1,500 of stock in Ford, which made recusal appropriate under California ethical rules for bench officers. The specific case information is unavailable. I have since sold my Ford stock in order to avoid future recusal issues.

**15. Public Office, Political Activities and Affiliations:**

- a. List chronologically any public offices you have held, other than judicial offices, including the terms of service and whether such positions were elected or appointed. If appointed, please include the name of the individual who appointed you. Also, state chronologically any unsuccessful candidacies you have had for elective office or unsuccessful nominations for appointed office.

I have not held public office other than judicial office. I have had no unsuccessful candidacies for public office or unsuccessful nominations for appointed office.

- b. List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

I have not held any offices in or rendered services to any political party or election committee. I have not held a position or played a role in a political campaign.

**16. Legal Career:** Answer each part separately.

- a. Describe chronologically your law practice and legal experience after graduation from law school including:
  - i. whether you served as clerk to a judge, and if so, the name of the judge, the court and the dates of the period you were a clerk;

I have not served as a clerk to a judge.

- ii. whether you practiced alone, and if so, the addresses and dates;

I have not practiced law alone.

- iii. the dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been affiliated, and the nature of your affiliation with each;

2000 – 2002  
Los Angeles City Attorney's Office  
800 City Hall East  
200 North Main Street  
Los Angeles, California 90012  
Deputy City Attorney

2002 – 2004  
United States Attorney's Office for the District of Arizona  
405 West Congress, Suite 4800  
Tucson, Arizona 85701  
Assistant United States Attorney

2004 – 2008  
United States Attorney's Office for the Central District of California  
1500 United States Courthouse  
312 North Spring Street  
Los Angeles, California 90012  
Assistant United States Attorney

2008 – 2010  
United States Attorney's Office for the District of Oregon  
1000 Southwest Third Street, Suite 600  
Portland, Oregon 97204  
Assistant United States Attorney

2010 – 2011  
United States Attorney's Office for the Central District of California  
1500 United States Courthouse  
312 North Spring Street  
Los Angeles, California 90012  
Assistant United States Attorney

2011 – 2014  
United States Attorney's Office for the Central District of California  
8000 United States Courthouse  
411 West Fourth Street

Santa Ana, California 92701  
Assistant United States Attorney

2014 – present  
Orange County Superior Court  
700 Civic Center West  
Santa Ana, California 92701  
Superior Court Judge

- iv. whether you served as a mediator or arbitrator in alternative dispute resolution proceedings and, if so, a description of the 10 most significant matters with which you were involved in that capacity.

I have not served as a mediator or arbitrator.

b. Describe:

- i. the general character of your law practice and indicate by date when its character has changed over the years.

From 2000 to 2014, I served as a state and federal prosecutor, first as a Los Angeles Deputy City Attorney, and then as an Assistant United States Attorney in the District of Arizona, the Central District of California, and the District of Oregon.

As a Deputy City Attorney in the Los Angeles City Attorney's Office from 2000 to 2002, I prosecuted hundreds of matters, including cases involving domestic violence, thefts, and firearm offenses. I was sole trial counsel for more than 20 jury trials and 20 bench trials. I also handled numerous pretrial and probation violation hearings. Additionally, I helped determine whether charges should be filed or rejected, and I served as a trial team leader, organizing trial assignments and ensuring efficient coverage of the office's plethora of matters. Further, I helped train Los Angeles Police Department officers on topics ranging from discovery obligations to evidence collection.

As an Assistant United States Attorney ("AUSA") from 2002 to 2014, I worked closely with federal law enforcement agents in the investigation and prosecution of hundreds of cases involving violations of federal law. I investigated, charged, and prosecuted a broad range of federal crimes, including drug trafficking, firearm offenses, child exploitation offenses, violent crimes (including bank robberies and violent offenses committed on Native American lands), organized crime committed by street and prison gang members, human trafficking, identity theft, and fraud. As an AUSA, I was responsible for managing a full docket of cases through all phases of the criminal process, from pre-indictment investigation, to

production of discovery and trial, and through the appellate and post-conviction process. I assisted law enforcement officials during the investigative process by preparing wiretap applications and affidavits; drafting search warrants, pen register, and tracking device applications; issuing grand jury subpoenas; and advising investigators on legal issues. I appeared before federal grand juries and examined witnesses in seeking the return of federal indictments. I engaged in extensive plea negotiations with defendants and their counsel; litigated pretrial motions, such as motions to suppress, for bail review, and for discovery; handled evidentiary motions and hearings; reviewed state and local law enforcement officials' personnel files for potential impeachment and exculpatory information; prepared for trial; and tried cases to jury or bench verdict as sole or lead counsel. I handled post-conviction proceedings, including sentencing hearings, habeas corpus petitions and other collateral attacks, and petitions for revocation of probation or supervised release. And I drafted numerous appellate briefs and argued six times before the United States Court of Appeals for the Ninth Circuit.

When I joined the United States Attorney's Office ("USAO") in 2002, I was initially assigned to the District of Arizona, Tucson Division. While there, I focused on border-related crimes, including drug trafficking, human trafficking, and immigration offenses. I regularly appeared before the grand jury and indicted more than 100 felony cases. I tried four felony jury trials and two felony bench trials to verdict. I also prepared several appellate briefs and had multiple oral arguments before the Ninth Circuit.

In 2004, I transferred to the Central District of California's Los Angeles office. For about six months, I worked in the Case Intake Section, where I charged a variety of cases, including social security fraud, identity theft, and drug trafficking. I then moved to the Organized Crime and Terrorism Section, where I spent four years prosecuting a large volume of narcotics trafficking, firearms, and gang-related crimes and tried several trials to verdict. From 2004 to 2006, I also served as the district's Project Safe Neighborhoods ("PSN") Coordinator. In that role, I oversaw the prosecution of federal firearms offenses in the district. I also formed a task force of federal, state, and local organizations to address issues of prevention, intervention, enforcement, and reentry regarding firearm offenses throughout the district's seven counties. Additionally, I oversaw the administration of federal grant money to state and local organizations. And I regularly conducted training for law enforcement officials, including one 2005 session in Anaheim attended by over 1,000 state and local officers. In 2007, I became the district's Anti-Gang Coordinator. In that capacity, I addressed and implemented gang-related prevention, intervention, enforcement, and reentry strategies throughout the district, and coordinated with other districts on similar initiatives. I also assisted in resolving disputes between different agencies by helping them work

together to highlight each respective agency's strengths. Further, I oversaw several task forces focusing federal and state resources on violent and gang-related criminal activities in the district.

In 2008, I transferred to the District of Oregon. There, I worked in the Violent Crime Section, where I handled bank robberies, violent offenses on Native American lands, firearm cases, child exploitation offenses, and narcotics trafficking matters involving organized crime. I investigated and charged approximately 22 bank robbery cases, including several serial bank robberies and conspiracies. I expanded the office's anti-gang program beyond the metropolitan Portland area, and into the communities of Salem, Woodburn, and Keizer, resulting in the investigation and prosecution of various additional gang-related narcotics trafficking organizations. I prosecuted several gang and narcotic cases, including white supremacist gangs involved in drug trafficking and firearms offenses. I handled cases involving violent crime on Native American lands, including an attempted murder prosecution. I regularly handled preliminary hearings to establish probable cause for offenses charged by complaint, and supervised release and probation violation matters. And I trained federal, state, and local agents about various topics of federal law.

In 2010, I returned to the Central District of California, again working in the Violent and Organized Crime Section as the Anti-Gang Coordinator. As before, I investigated and prosecuted numerous gang-related cases, primarily involving narcotics and firearm trafficking offenses. In 2011, I moved to the district's Santa Ana office, where I continued investigating and prosecuting gang-related cases. In November 2012, I became the Santa Ana office's Deputy Chief. In that capacity, I supervised other AUSAs, including by approving their court filings and providing training on various topics, such as trial preparation and investigative techniques. I also engaged in troubleshooting issues on cases assigned to others, and stepped in to cover cases where the assigned attorney was unavailable. And I maintained my own large caseload, investigating and prosecuting various fraud, narcotics trafficking, tax, firearm, and violent crime matters.

Since 2014, I have served as a Superior Court Judge on the Orange County Superior Court.

- ii. your typical clients and the areas at each period of your legal career, if any, in which you have specialized.

As a state and federal prosecutor, I represented the State of California and the United States government, respectively.

- c. Describe the percentage of your practice that has been in litigation and whether you appeared in court frequently, occasionally, or not at all. If the frequency of

your appearances in court varied, describe such variance, providing dates.

Prior to becoming a California Superior Court Judge, I was a highly experienced litigator, both at the trial and appellate levels. As a state and federal prosecutor, the entirety of my practice was in litigation, and I appeared in court multiple days each week. I tried numerous cases to verdict or judgment, handled court hearings in hundreds of other matters, and argued several matters on appeal.

i. Indicate the percentage of your practice in:

- |                             |     |
|-----------------------------|-----|
| 1. federal courts:          | 86% |
| 2. state courts of record:  | 14% |
| 3. other courts:            | 0%  |
| 4. administrative agencies: | 0%  |

ii. Indicate the percentage of your practice in:

- |                          |     |
|--------------------------|-----|
| 1. civil proceedings:    | 1%  |
| 2. criminal proceedings: | 99% |

d. State the number of cases in courts of record, including cases before administrative law judges, you tried to verdict, judgment or final decision (rather than settled), indicating whether you were sole counsel, chief counsel, or associate counsel.

As a state and federal prosecutor, I tried more than 50 trials to verdict or judgment, including 32 jury trials and 20 bench trials. These felony and misdemeanor matters spanned the gamut, including human trafficking, firearm, narcotics trafficking, violent crime, conspiracy, racketeering, theft and embezzlement, and immigration crimes. I was sole or co-lead counsel in all of these matters.

i. What percentage of these trials were:

- |              |     |
|--------------|-----|
| 1. jury:     | 62% |
| 2. non-jury: | 38% |

e. Describe your practice, if any, before the Supreme Court of the United States. Supply four (4) copies of any briefs, amicus or otherwise, and, if applicable, any oral argument transcripts before the Supreme Court in connection with your practice.

I have not practiced before the Supreme Court of the United States.

17. **Litigation:** Describe the ten (10) most significant litigated matters which you personally handled, whether or not you were the attorney of record. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the

case. Also state as to each case:

- a. the date of representation;
- b. the name of the court and the name of the judge or judges before whom the case was litigated; and
- c. the individual name, addresses, and telephone numbers of co-counsel and of principal counsel for each of the other parties.

1. *United States v. Spangle*, No. SA CR 12-194-JLS (C.D. Cal.) (Staton, J.)

In this 2013 case, the defendant, Mr. Spangle, robbed a Bank of America in Placentia, California, while wearing a disguise and brandishing a handgun (later determined to be a replica air pistol). While fleeing the crime scene, Mr. Spangle discarded clothing and the handgun before he was captured by the police. Mr. Spangle had two prior federal convictions: one for bank robbery, and a second for threatening a federal official. Throughout the matter, I served as co-lead counsel for the United States. In that capacity, I helped prepare pretrial documents. I examined several of the witnesses during the trial. And I supervised the other prosecutor's trial work. After a three-day trial, the jury found Mr. Spangle guilty of bank robbery, and the district court sentenced Mr. Spangle to approximately 22 years of imprisonment.

Co-counsel:

Daniel Ahn  
United States Attorney's Office for the Central District of California  
8000 United States Courthouse  
411 West Fourth Street  
Santa Ana, CA 92701  
(714) 338-3500

Opposing Counsel:

Katherine Corrigan  
Edward Welbourn  
Corrigan, Welbourn & Stokke  
4100 Newport Place, Suite 550  
Newport Beach, CA 92660  
(949) 251-0330

2. *United States v. Ontiveros*, No. CR 99-83(a)-DOC (C.D. Cal.) (Carter, J.)

In this case, the Federal Bureau of Investigation ("FBI")—through wiretaps, surveillance, and undercover informant information—launched an investigation into the drug trafficking and extortion activities of the Mexican Mafia prison gang and affiliated street gangs. The Mexican Mafia was expanding its involvement in the narcotics trade, and extorting and recruiting street gangs to achieve this goal. During

the investigation, the FBI learned that two factions within the Mexican Mafia were fighting with one another over a drug trafficking debt. The defendant, Mr. Ontiveros, the alleged debtor, was at the heart of the dispute. Mr. Ontiveros and his faction of the Mexican Mafia orchestrated the shooting murder of the individual claiming the debt and conspired to kill other rival members within the Mexican Mafia. When the indictment was filed in 1999, Mr. Ontiveros fled to Mexico. In 2009, law enforcement successfully apprehended Mr. Ontiveros, after all of his co-defendants had their cases resolved, mostly by trial. Mr. Ontiveros' multi-week trial involved hundreds of exhibits, including 150 wiretap recordings, and approximately 40 witnesses. From 2011 to 2013, I was co-lead counsel for the United States in the matter. In that role, I jointly shared in the pretrial preparation of the case, including interviewing witnesses, drafting pretrial motions, and preparing witnesses. During the trial, I also examined approximately one-half of the witnesses. The jury convicted Mr. Ontiveros on all counts, and the district court sentenced him to two consecutive terms of life imprisonment, followed by an additional 25 years in prison.

Co-counsel:

Robert Dugdale (formerly with U.S. Attorney's Office)  
Kendall, Brill & Kelly LLP  
10100 Santa Monica Boulevard, Suite 1725  
Los Angeles, CA 90067  
(310) 272-7904

Opposing Counsel:

Walter Urban (solo practitioner)  
2609 North Sepulveda Boulevard  
Manhattan Beach, CA 90266  
(310) 546-4899

3. *United States v. Lederman*, No. SA CR 11-10-CJC (C.D. Cal.) (Carney, J.)

This case involved the prosecution of the defendant, Mr. Lederman, who entered an Orange County Credit Union and robbed a teller by brandishing what appeared to be a firearm. Video surveillance from the robbery showed Mr. Lederman wore gloves and a wig during the robbery. Law enforcement officials were able to obtain a DNA sample from the robbery that matched the DNA of Mr. Lederman. Several of the bank employees also identified Mr. Lederman as the robber. In 2013, I represented the United States in the matter. As co-counsel, I prepared numerous pretrial documents, interviewed witnesses, and negotiated the case with defense counsel and Mr. Lederman (for a short time when he represented himself). Shortly before trial was set to begin, Mr. Lederman pleaded guilty to armed bank robbery, and the district court sentenced him to seven years of imprisonment.

Co-counsel:

Anthony Brown (formerly with U.S. Attorney's Office)  
Spertus, Landes & Umhofer, LLP

617 West Seventh Street, Suite 200  
Los Angeles, CA 90017  
(213) 205-2560

Opposing Counsel:

Magistrate Judge John D. Early (formerly a solo practitioner)  
United States Courthouse  
411 West Fourth Street, Sixth Floor  
Santa Ana, CA 92701  
(714) 338-4756

4. *United States v. Vargas*, No. CR 12-452(A)-MMM (C.D. Cal.) (Morrow, J.)

In this case, the Federal Bureau of Investigation and the Pasadena Police Department investigated cocaine and crack cocaine trafficking committed by the Pasadena Denver Lane Blood (“PDL”) street gang in Pasadena, California. After several months of conducting undercover purchases of cocaine and crack cocaine from the defendant, Mr. Russell, a high-level PDL gang member, law enforcement officials obtained authorization for a federal wiretap on Mr. Russell’s telephone. The wiretapped calls from Mr. Russell’s telephone revealed that his co-defendant, Mr. Vargas, was supplying cocaine to Mr. Russell, who would then process the cocaine into crack cocaine for sale into the community. Law enforcement officials then obtained a federal wiretap on Mr. Vargas’ telephone, which showed that Mr. Vargas was being supplied with cocaine by several individuals, including the third defendant, Mr. Campos. The execution of search warrants yielded cocaine, crack cocaine, firearms, and thousands of dollars in cash. From 2010 to 2013, I served as lead counsel for the United States in the matter. In that capacity, I oversaw the investigation of the case, including the drafting of wiretap applications, tracking applications, and search warrants. I also handled grand jury proceedings, arrest proceedings, pretrial discovery, plea negotiations, and several hearings before the district court. The defendants pleaded guilty to conspiracy to distribute cocaine and other offenses, resulting in sentences ranging from probation to approximately ten years’ imprisonment.

Co-counsel:

Max Shiner  
United States Attorney’s Office for the Western District of Washington  
1201 Pacific Avenue, Suite 700  
Tacoma, WA 98402  
(253) 428-3800

Opposing Counsel:

Robert M. Helfend (counsel for Mr. Vargas)  
Law Offices of Robert M. Helfend  
22337 Pacific Coast Highway, Suite 309  
Malibu, CA 90265

(818) 591-2809

John Passanante (counsel for Mr. Campos)  
Law Offices of John Passanante  
23055 Sherman Way, Unit 4009  
West Hills, CA 91308  
(310) 474-3270

David R. Reed (counsel for Mr. Russell)  
Law Office of David R. Reed  
3699 Wilshire Boulevard, Suite 850  
Los Angeles, CA 90010  
(310) 854-5246

Judith Rochlin (counsel for Ms. Guyton)  
Law Offices of Judith Rochlin  
11209 National Boulevard, Suite 420  
Los Angeles, CA 90064  
(310) 473-6208

John E. Sweeney (counsel for Ms. Smith)  
John E. Sweeney Law Offices  
315 South Beverly Drive, Suite 305  
Beverly Hills, CA 90212  
(310) 277-9595

Brian A. Newman (counsel for Mr. Washington)  
Brian A. Newman Law Offices  
3838 Carson Street, Suite 302  
Torrance, CA 90503  
(424) 275-4014

Jesse Gessin (counsel for Mr. Nguyen) (formerly with Federal Public Defender)  
910 South El Camino Real, Suite 201  
San Clemente, CA 92672  
(949) 328-6629

5. *United States v. Rivas*, No. CR 10-1386-ODW (C.D. Cal.) (Wright, J.)

In this case, the Federal Bureau of Investigation and the Oxnard Police Department focused on three high-ranking members of different gangs distributing methamphetamine in Ventura County. The first defendant, Mr. Juan Rivas, was a founding member of the “Surtown” street gang in Oxnard, California, and sold methamphetamine out of a tattoo parlor in Ventura, California. The second defendant, Mr. Jose Rivas, was a high-ranking member of the “Squires Drive” street gang in Oxnard, California, and also sold methamphetamine to customers. The third

defendant, Mr. Jimenez, was a high-ranking member of the “Carpas” street gang, based in Carpinteria, California. Mr. Jimenez also served as a supplier of methamphetamine. From 2010 to 2013, I served as sole counsel for the United States in the matter. In that capacity, I led the investigation of the case, as well as the grand jury indictment process. I also handled numerous court appearances, including several detention hearings and change of plea hearings. The defendants all pleaded guilty to drug trafficking charges and received sentences of ten to nearly 16 years of imprisonment.

Opposing Counsel:

Jennifer J. Uyeda (counsel for Mr. Juan Rivas)  
Federal Public Defender for the Central District of California  
321 East Second Street  
Los Angeles, CA 90012  
(213) 894-2854

Judge Ashfaq G. Chowdhury (counsel for Mr. Juan Rivas) (formerly with Federal Public Defender)  
Glendale Courthouse  
600 East Broadway  
Glendale, CA 91206  
(818) 265-6403

Ira Lee Plummer (counsel for Mr. Jose Rivas)  
3419 Vermont Street  
San Diego, CA 92103  
(619) 990-2847

FredRiccò McCurry (counsel for Mr. Jimenez)  
FredRiccò McCurry Law Offices  
P.O. Box 3695  
Van Nuys, CA 91407  
(818) 314-6144

6. *United States v. Johns*, No. CR 08-169-GW (C.D. Cal.) (Wu, J.)

In this case, the Federal Bureau of Investigation, the Drug Enforcement Administration, and the Pomona Police Department jointly investigated several gangs who were working collaboratively to distribute cocaine and crack cocaine in eastern Los Angeles County. During the investigation, law enforcement officials determined that the defendant, Mr. Johns, was using his girlfriend, co-defendant Ms. Dillard, a Los Angeles County Probation Officer, to assist in the transportation of controlled substances in her county vehicle. After a series of undercover purchases, law enforcement officials obtained a federal wiretap on the drug supplier for the gangs. The wiretap identified the scope of the drug trafficking conspiracy, and over 20 defendants were charged as a result of the investigation. From 2007 to 2008, I served

as lead counsel for the United States, and from 2010 to 2013, I served as co-counsel. In those roles, I was involved in the investigation of the case, including preparing wiretap applications and search warrants, and conducting the grand jury presentation. I also handled numerous court appearances, including detention hearings, pretrial discovery, plea negotiations, and change of plea hearings. Each of the defendants pleaded guilty to various drug trafficking offenses, resulting in sentences ranging from probation to 20 years of imprisonment.

Co-counsel:

Shawn Nelson  
United States Attorney's Office for the Central District of California  
1400 United States Courthouse  
312 North Spring Street  
Los Angeles, CA 90012  
(213) 894-2400

Opposing Counsel:

Ingrid Eagly (counsel for Mr. Johns) (formerly with Federal Public Defender)  
UCLA School of Law  
405 Hilgard Avenue, Box 951476  
Los Angeles, CA 90095  
(310) 206-7145

Craig Harbaugh (counsel for Mr. Johns)  
Federal Public Defender for the Central District of California  
321 East Second Street  
Los Angeles, CA 90012  
(213) 894-2854

Yolanda Barrera (counsel for Ms. Dillard)  
Law Offices of Yolanda Barrera  
421 East Huntington Drive  
Monrovia, CA 91016  
(626) 574-1053

Kory Mathewson (counsel for Mr. Johnson)  
155 North Riverview Drive, Suite 100  
Anaheim, CA 92808  
(949) 335-5655

Peter C. Swarth (counsel for Mr. Moore)  
Law Offices of Peter C. Swarth  
6520 Platt Avenue, Suite 557  
West Hills, CA 91307  
(818) 887-8800

Duane Dade (counsel for Mr. Ingram)  
(Current business contact information unavailable.)

Stanley I. Greenberg (counsel for Mr. Bradford)  
11845 West Olympic Boulevard, Suite 1000  
Los Angeles, CA 90064  
(424) 248-6600

Joel A. Thvedt (counsel for Ms. Rojas)  
(deceased)

Anthony M. Solis (counsel for Ms. Wilkerson)  
Anthony M. Solis, A Professional Law Corporation  
23679 Calabasas Road, Suite 412  
Los Angeles, CA 91302  
(213) 489-5880

John McNicholas (counsel for Ms. Jones)  
464 Palos Verdes Boulevard  
Redondo Beach, CA 90277  
(310) 545-0780

Jeff D. Price (counsel for Ms. Bass)  
Jeff D. Price Law Firm  
730 Arizona Avenue  
Santa Monica, CA 90401  
(310) 451-2222

Michael J. Tremen (counsel for Mr. Kirk)  
(deceased)

Errol H. Stambler (counsel for Mr. Ward)  
Errol H. Stambler Law Offices, P.L.C.  
10880 Wilshire Boulevard, Suite 1101  
Los Angeles, CA 90024  
(310) 473-4525

Robert A. Nadler (counsel for Mr. Jenkins)  
Robert A. Nadler Law Offices  
9440 Santa Monica Boulevard, Suite 301  
Beverly Hills, CA 90210  
(909) 223-5288

Matthew J. Lombard (counsel for Mr. Woods)  
Matthew J. Lombard Law Offices  
11400 West Olympic Boulevard, Suite 1500

Los Angeles, CA 90064  
(424) 371-5930

Philip Deitch (counsel for Ms. Bailey)  
Law Office of Philip Deitch  
19360 Rinaldi Street, Mailbox 330  
Porter Ranch, CA 91326  
(818) 531-2662

Charles Pereyra-Suarez (counsel for Ms. Green)  
Charles Pereyra-Suarez Law Offices  
301 East Colorado Boulevard, Ninth Floor  
Pasadena, CA 91101  
(626) 765-6122

William R. Domnarski (counsel for Ms. Hall)  
William R. Domnarski Law Offices  
6144 Omega Street  
Riverside, CA 92506  
(951) 334-0529

Michael M. Crain (counsel for Mr. Massengale)  
Michael M. Crain Law Offices  
P.O. Box 3730  
Santa Monica, CA 90408  
(310) 571-3324

Ellen M. Barry (counsel for Mr. Crawford)  
Women & Justice Issues  
7823 Crest Avenue  
Oakland, CA 94605  
(510) 421-9033

Gregory Nicolaysen (counsel for Mr. Dixon)  
Gregory Nicolaysen Law Office  
27240 Turnberry Lane, Suite 200  
Valencia, CA 91355  
(818) 970-7247

7. *United States v. Kennedy*, No. 08-CR-353-MO (D. Or.) (Mosman, J.)

This case involved the prosecution of the defendant, Mr. Kennedy, who was the founding member of a white supremacist prison gang known as European Kindred (“EK”). During the case, Mr. Kennedy and his girlfriend (and later wife), defendant Ms. Allen, facilitated the sale of a .357 magnum pistol to a convicted felon, who was working as an informant for the Bureau of Alcohol, Tobacco, Firearms, and

Explosives. In addition to being the founding member of EK, Mr. Kennedy had a serious criminal history that included multiple convictions for robbery and burglary. Ms. Allen also had a criminal record, including convictions for forgery, unauthorized use of a vehicle, and identity theft. In 2010, I served as co-lead counsel for the United States in the matter. In that role, I prepared pretrial documents and discovery, negotiated the plea offers, and handled various court appearances. Mr. Kennedy and Ms. Allen pleaded guilty and received sentences of seven-and-one-half years' imprisonment and probation, respectively.

Co-counsel:

Fred Weinhouse (formerly with U.S. Attorney's Office)  
(retired)

Opposing Counsel:

David T. McDonald (counsel for Mr. Kennedy)  
833 Southwest 11th Street, Suite 625  
Portland, OR 97205  
(503) 226-0188

Philip A. Lewis (counsel for Ms. Allen)  
210 Southwest Morrison Street, Suite 500  
Portland, OR 97204  
(503) 226-3498

8. *United States v. Wheeler*, No. CR 04-329-GAF-1 (C.D. Cal.) (Feess, J.)

In this case, an undercover law enforcement agent made contact with the defendant, Mr. Wheeler, to arrange for the purchase of ten kilograms of cocaine. Mr. Wheeler, who had five prior convictions for drug trafficking felony offenses, agreed to provide ten kilograms of cocaine to the undercover agent. Thereafter, Mr. Wheeler called the undercover agent and indicated that his source of supply had delivered five kilograms of cocaine and wanted payment for them before completing the transaction for the full ten kilograms of cocaine. The undercover agent went to meet with Mr. Wheeler, and during that meeting, law enforcement officials arrested Mr. Wheeler and two other defendants, Mr. Stovall and Mr. Medina. After obtaining a search warrant, law enforcement officials located 4,984 grams of cocaine, 246 grams of crack cocaine, and a firearm in Mr. Wheeler's residence. A jury convicted Mr. Wheeler of several charges, including conspiracy to distribute cocaine and felon in possession of a firearm, and the district court sentenced him to life imprisonment. I became involved in the case in October 2005, after the separate trial in November 2004 of the two co-defendants (Mr. Stovall and Mr. Medina), who were acquitted by the district court on a motion for judgment of acquittal under Federal Rule of Criminal Procedure 29. As co-lead counsel for the United States in the matter from 2005 to 2008, I interviewed witnesses, assisted in drafting pretrial motions, helped complete discovery, examined numerous witnesses at Mr. Wheeler's trial, handled the closing arguments, and assisted in post-conviction matters. In an unpublished decision (2007 WL 731365),

the United States Court of Appeals for the Ninth Circuit affirmed Mr. Wheeler's conviction.

Co-counsel:

Jeffrey A. Backhus  
United States Attorney's Office for the Northern District of California  
150 Almaden Boulevard, Suite 900  
San Jose, CA 95113  
(408) 535-5061

Opposing Counsel:

David R. Reed  
Law Office of David R. Reed  
3699 Wilshire Boulevard, Suite 850  
Los Angeles, CA 90010  
(310) 854-5246

9. *United States v. Zamora-Suarez*, No. CR 04-924-GAF (C.D. Cal.) (Feess, J.)

This case involved a series of three robberies from jewelry vendors that occurred in 1999 and 2001. During the robberies, several robbers would go to larger, commercial locations where jewelry was sold, such as the jewelry mart in downtown Los Angeles, look for individuals who had suitcases or briefcases, and then follow those individuals. The reasoning of the robbers was that only jewelry vendors with their wares, and not shoppers, bring suitcases or briefcases to jewelry stores. The robbers followed their targets to remote locations where security and witnesses were not present. The first robbery occurred in 1999 in a hotel parking lot in Santa Ana, California, and involved jewelry vendors who were robbed of \$60,000 worth of jewelry by several individuals, including the defendant, Mr. Zamora-Suarez, who brandished a small chrome pistol. The second robbery occurred in 2001 in a parking lot in El Monte, California. During the second robbery, Mr. Zamora Suarez shot two jewelry manufacturers with a small chrome pistol, before robbing the victims of jewelry worth \$500,000. The third robbery also occurred in 2001, and involved two jewelry vendors being robbed of \$250,000 worth of jewelry in a West Covina, California parking lot. Through investigation, law enforcement officials located and served a search warrant on a storage locker rented by one of Mr. Zamora-Suarez's relatives. In the locker, law enforcement officials located a small chrome pistol that proved to be a ballistics match to cartridge casings recovered during the El Monte robbery. The jury convicted Mr. Zamora-Suarez of charges relating to the Santa Ana and El Monte robberies, but deadlocked 11-to-one in favor of guilt on the charges related to the West Covina robbery. The district court sentenced Mr. Zamora-Suarez to more than 40 years' imprisonment. In an unpublished decision (2006 WL 3716484), the United States Court of Appeals for the Ninth Circuit affirmed the conviction. Mr. Zamora-Suarez's request for habeas corpus relief was also denied. From 2004 to 2014, I served as sole counsel for the United States in the matter. In that capacity, I spearheaded the investigation, handled pretrial discovery, interviewed

witnesses, and drafted all of the pretrial motions in the case. I also handled the entire trial, including opening statements, questioning of witnesses, and closing arguments. And I wrote the government's brief in Mr. Zamora-Suarez's appeal.

Co-counsel (for habeas proceedings only):

Terrence Mann (formerly with U.S. Attorney's Office)  
South Coast Air Quality Management District  
21865 Copley Drive  
Diamond Bar, CA 91765  
(909) 396-3023

Opposing Counsel:

David R. Reed  
Law Office of David R. Reed  
3699 Wilshire Boulevard, Suite 850  
Los Angeles, CA 90010  
(310) 854-5246

10. *United States v. Monreal-Miranda*, No. CR 02-1687-FRZ (D. Ariz.) (Zapata, J.)

This case involved United States Border Patrol agents who were conducting surveillance of a motel in Nogales, Arizona, that was commonly used as a waypoint to smuggle undocumented immigrants into the United States. Based on the surveillance, the agents were able to apprehend three of the smugglers (the three defendants in the case) as they were transporting 12 undocumented people in a seven-passenger minivan from the motel to another stash house location. One defendant, Mr. Marques-Garcia, pleaded guilty to conspiracy to transport illegal aliens before trial and received a sentence of six months imprisonment. The jury convicted the remaining defendants, Mr. Monreal-Miranda and Mr. Vidaurrazaga-Flores, of similar offenses, and the district court sentenced them to over two years of imprisonment and 18 months of imprisonment, respectively. The United States Court of Appeals for the Ninth Circuit (103 F. App'x 83) affirmed both convictions on appeal, but reduced Mr. Monreal-Miranda's sentence by six months in light of a sentencing guideline error. From 2002 to 2004, I served as sole counsel for the United States in the matter. In that capacity, I spearheaded the investigation, handled pretrial discovery, interviewed witnesses, and drafted all of the pretrial motions in the case. I also handled the entire trial, including opening statements, questioning of witnesses, and closing arguments. And I briefed and argued the case on appeal in the Ninth Circuit. When I transferred to the Central District of California in 2004, Assistant United States Attorney Judson Mihok began to assist on the case for post-trial matters.

Co-counsel (for third sentencing hearing only):

Judson Mihok  
United States Attorney's Office for the District of Maryland  
36 South Charles Street, Fourth Floor  
Baltimore, MD 21201

(410) 209-4903

Opposing Counsel:

Doug Zanes (counsel for Mr. Monreal-Miranda)  
Doug Zanes & Associates PLLC  
4580 East Grant Road  
Tucson, AZ 85712  
(520) 382-5402

Brenda Dabdoub (counsel for Mr. Vidaurrazaga-Flores)  
Brenda Dabdoub Law Office  
P.O. Box 86623  
Tucson, AZ 85754  
(520) 298-1370

Hon. Hector Estrada (counsel for Mr. Marques-Garcia)  
(Current business contact information unavailable.)

18. **Legal Activities:** Describe the most significant legal activities you have pursued, including significant litigation which did not progress to trial or legal matters that did not involve litigation. Describe fully the nature of your participation in these activities. List any client(s) or organization(s) for whom you performed lobbying activities and describe the lobbying activities you performed on behalf of such client(s) or organizations(s). (Note: As to any facts requested in this question, please omit any information protected by the attorney-client privilege.)

In addition to the significant litigation experience described above, I have regularly engaged in other legal and community work throughout my legal career.

As a California Superior Court Judge, I spent two years voluntarily presiding over Juvenile Recovery Court during my assignment in Juvenile Justice. In that position, I collaborated with officials from Orange County Probation, the Orange County Healthcare Agency, the Orange County Public Defender's Office, the Orange County District Attorney's Office, and Juvenile Defenders. The goal of the program was to provide individualized and comprehensive solutions to juveniles facing addiction and mental health issues. The program provided the juveniles with guidance and services while also addressing accountability.

For two years, I also volunteered to act as a Supervising Judge for the North Justice Center, a branch of the Orange County Superior Court. In that role from January 2019 through February 2021, I worked collaboratively with other members of the court's management team to make judicial assignments, set policies for court operations, and liaise with justice partners. Among other challenges, I helped develop and implement the court's COVID-19 operational protocols, and dealt with an asbestos abatement project that resulted in courtroom closures.

Additionally, I regularly volunteer to preside over Peer Court, a program facilitated by the Constitutional Rights Foundation, the Orange County Superior Court, Orange County Probation, the Orange County District Attorney's Office, Waymakers, and local law firms. Peer Court is a juvenile diversion program where sitting judges preside over juvenile proceedings in which the defendant has admitted their guilt and high school students assign appropriate sanctions.

When I was a state and federal prosecutor, the vast majority of my work was in litigation, but many of my matters were resolved before trial, including through the plea negotiation process. I also participated in multiple long-term investigations involving electronic surveillance and other traditional investigative techniques that did not result in litigation. Additionally, I regularly helped train law enforcement officials about compliance with applicable federal and state law and practice. Further, in the United States Attorney's Office, I served for several years as the Project Safe Neighborhoods Coordinator and the Anti-Gang Coordinator. In those positions, I worked collaboratively with federal, state, and local partners in order to comprehensively address crime through prevention, intervention, enforcement, and reentry initiatives.

I have not performed any lobbying activities or registered as a lobbyist.

19. **Teaching:** What courses have you taught? For each course, state the title, the institution at which you taught the course, the years in which you taught the course, and describe briefly the subject matter of the course and the major topics taught. If you have a syllabus of each course, provide four (4) copies to the committee.

During the first quarter of 2019, I assisted with Professor Amy Cass' 14-week "Criminal Courts" class at California State University, Fullerton. In that role, I met weekly with students at the Orange County Superior Court courthouse in Fullerton, and directed them to observe different court proceedings, such as trials, preliminary hearings, or motion hearings. Thereafter, during the lunch recess, I met with the students to discuss what they observed, inform them about legal principles at issue, and answer any questions. I do not have a copy of the class syllabus. The address for California State University, Fullerton is 800 North State College Boulevard, Fullerton, California 92831.

20. **Deferred Income/ Future Benefits:** List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients or customers. Describe the arrangements you have made to be compensated in the future for any financial or business interest.

I have no anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts, or other future benefits from previous business relationships, professional services, firm memberships, former employers, clients, or customers.

21. **Outside Commitments During Court Service:** Do you have any plans, commitments,

or agreements to pursue outside employment, with or without compensation, during your service with the court? If so, explain.

If confirmed, I do not have any plans, commitments, or agreements to pursue outside employment.

22. **Sources of Income**: List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, licensing fees, honoraria, and other items exceeding \$500 or more (if you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here).

When my nomination is formally submitted to the Senate, I will file my Financial Disclosure Report and will supplement this Questionnaire with a copy of the Report.

23. **Statement of Net Worth**: Please complete the attached financial net worth statement in detail (add schedules as called for).

See attached Net Worth Statement.

24. **Potential Conflicts of Interest**:

- a. Identify the family members or other persons, parties, categories of litigation, and financial arrangements that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to arise.

If confirmed, I will recuse myself in any litigation where I have ever played a role. I am not aware of any family member or other person, party, category of litigation, or financial arrangement that is likely to present a potential conflict of interest if I am confirmed. I will evaluate any actual or potential conflict of interest, or relationship that could give rise to an appearance of a conflict, on a case-by-case basis and determine appropriate action, including recusal, with the advice of parties and their counsel and after reviewing the applicable statutes, canons, and rules.

- b. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.

If confirmed, I will resolve any potential conflict of interest by adhering to the Code of Conduct for United States Judges, 28 U.S.C. § 455, and any and all relevant laws, canons, and rules.

25. **Pro Bono Work**: An ethical consideration under Canon 2 of the American Bar Association's Code of Professional Responsibility calls for "every lawyer, regardless of professional prominence or professional workload, to find some time to participate in

serving the disadvantaged.” Describe what you have done to fulfill these responsibilities, listing specific instances and the amount of time devoted to each.

As a California Superior Court Judge and a state and federal prosecutor, I have been restricted in my ability to represent individual clients in a pro bono or any other capacity. However, now and in the past, I regularly contribute my time to outreach activities sponsored by legal education organizations, local bar associations, and law schools. These activities include mentoring students and voluntarily presiding over moot court and mock trial events.

26. **Selection Process:**

- a. Please describe your experience in the entire judicial selection process, from beginning to end (including the circumstances which led to your nomination and the interviews in which you participated). Is there a selection commission in your jurisdiction to recommend candidates for nomination to the federal courts? If so, please include that process in your description, as well as whether the commission recommended your nomination. List the dates of all interviews or communications you had with the White House staff or the Justice Department regarding this nomination. Do not include any contacts with Federal Bureau of Investigation personnel concerning your nomination.

On March 12, 2021, I submitted an application for a position on the United States District Court for the Central District of California to Senators Dianne Feinstein and Alex Padilla. On April 29, 2021, I interviewed with Senator Feinstein’s Central District Judicial Advisory Committee. On May 25, 2021, I interviewed with the Statewide Chair for Senator Feinstein’s Judicial Advisory Process. On August 23, 2021, I interviewed with attorneys from the White House Counsel’s Office. Since that date, I have been in contact with officials from the White House Counsel’s Office and the Office of Legal Policy at the United States Department of Justice. On December 15, 2021, my nomination was submitted to the Senate.

- b. Has anyone involved in the process of selecting you as a judicial nominee discussed with you any currently pending or specific case, legal issue or question in a manner that could reasonably be interpreted as seeking any express or implied assurances concerning your position on such case, issue, or question? If so, explain fully.

No.