

UNITED STATES SENATE
COMMITTEE ON THE JUDICIARY

QUESTIONNAIRE FOR JUDICIAL NOMINEES

PUBLIC

1. **Name**: State full name (include any former names used).

Doris Lenea Pryor (née Doris Lenea Clark)

2. **Position**: State the position for which you have been nominated.

United States Circuit Judge for the Seventh Circuit

3. **Address**: List current office address. If city and state of residence differs from your place of employment, please list the city and state where you currently reside.

United States District Court for the Southern District of Indiana
Birch Bayh Federal Building and United States Courthouse, Room 255
46 East Ohio Street
Indianapolis, Indiana 46204

4. **Birthplace**: State year and place of birth.

1977; Hope, Arkansas

5. **Education**: List in reverse chronological order each college, law school, or any other institution of higher education attended and indicate for each the dates of attendance, whether a degree was received, and the date each degree was received.

2000 – 2003, Indiana University Maurer School of Law; J.D., 2003

1995 – 1999, University of Central Arkansas, B.S. (*cum laude*), 1999

1998, Corpus Christi College of Oxford University; no degree received (summer session)

6. **Employment Record**: List in reverse chronological order all governmental agencies, business or professional corporations, companies, firms, or other enterprises, partnerships, institutions or organizations, non-profit or otherwise, with which you have been affiliated as an officer, director, partner, proprietor, or employee since graduation from college, whether or not you received payment for your services. Include the name and address of the employer and job title or description.

2018 – present

United States District Court for the Southern District of Indiana

Birch Bayh Federal Building and United States Courthouse, Room 255
46 East Ohio Street
Indianapolis, Indiana 46204
United States Magistrate Judge

2006 – 2018
United States Attorney's Office for the Southern District of Indiana
10 West Market Street, Suite 2100
Indianapolis, Indiana 46204
Assistant United States Attorney
National Security Chief (2014 – 2018)

2005 – 2006
State of Arkansas Public Defender Commission
Miller County Public Defender's Office
305 East Fifth Street, Suite 400
Texarkana, Arkansas 71854
Deputy Public Defender

2004 – 2005
United States District Court for the Eastern District of Arkansas
600 West Capitol Avenue
Little Rock, Arkansas 72201
Law Clerk for the Honorable J. Leon Holmes

2003 – 2004
United States Court of Appeals for the Eighth Circuit
600 West Capitol Avenue, Suite A502
Little Rock, Arkansas 72201
Law Clerk for the Honorable Lavenski R. Smith, Chief Judge

2001 – 2003
Indiana University Residential Programs and Services
801 North Jordan
Bloomington, Indiana 47406
Graduate Supervisor

Summer 2002
Friday, Eldredge and Clark
400 West Capitol
Little Rock, Arkansas 72201
Summer Associate

1999 – 2000
SMI Joist Company
3565 Highway 32 North

Hope, Arkansas 71801
Assistant

Teaching Affiliations:

Winter 2016, 2017, 2019, and 2022
Indiana University Maurer School of Law
211 South Indiana Avenue
Bloomington, Indiana 47405
Instructor

Fall 2015
Indiana University McKinney School of Law
530 West New York Street
Indianapolis, Indiana 46202
Adjunct Professor

Fall 2007 – Spring 2009
Butler University
4600 Sunset Avenue
Indianapolis, Indiana 46208
Mock Trial Coach

Other Affiliations (Uncompensated):

2012 – present
Goodwill Education Initiatives, Inc.
1635 West Michigan Street
Indianapolis, Indiana 46222
Board of Directors (2012 – 2015)
Secretary (2015 – 2020)
Vice Chair (2020 – 2022)
Chair (2022 – present)

Summer 2002
State of Arkansas Eighth Judicial District North
400 South Washington Street
Hope, Arkansas 71801
Summer Intern for the Honorable Jim H. Gunter

Summer 2001
Arkansas State Supreme Court
625 Marshall Street
Little Rock, Arkansas 72202
Summer Intern for the Honorable Annabelle Davis Clinton Imber Tuck, Associate Justice

7. **Military Service and Draft Status:** Identify any service in the U.S. Military, including dates of service, branch of service, rank or rate, serial number (if different from social security number) and type of discharge received, and whether you have registered for selective service.

I did not serve in the military. I was not required to register with the selective service.

8. **Honors and Awards:** List any scholarships, fellowships, honorary degrees, academic or professional honors, honorary society memberships, military awards, and any other special recognition for outstanding service or achievement.

Center for Leadership Development Minority Achievers Awards & Scholarship Gala,
University of Arkansas Community College at Hope Director's Award (2018)

Gamma Phi Delta Foundation, Inc., Legends Award (2019)

Bureau of Alcohol, Tobacco, Firearms & Explosives Attorney Recognition Award (2007)

Indiana University Maurer School of Law
Federal Communications Journal
Editorial Staff (2002 – 2003)
Second Year Associate (2001 – 2002)
Sherman Minton Moot Court Competition, Top Oralist (2002)

University of Central Arkansas
Rhodes Scholar Finalist (1999)
Student Government President (1999)
Who's Who Among Students in American Universities & Colleges (1998 – 1999)
Honors College Travel Abroad Grant (1998)

Presenter, American Political Science Association Meeting, Ralph Bunche Institute
Fellow (1998)

Truman State University Poetry Interpretation, First Place (1997)

9. **Bar Associations:** List all bar associations or legal or judicial-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices which you have held in such groups.

Arkansas Bar Association (2003 – 2005)

Seventh Circuit Bar Association (2018 – present)

Federal Magistrate Judges Association (2018 – present)
Civic Outreach Committee, Co-Chair (2022)

Federal Bar Association, Indianapolis Chapter (2012 – 2018)
Program Chair (2016 – 2018)
Secretary (2014 – 2016)
Newsletter Chair (2012 – 2014)

Indiana Supreme Court Commission on Equity and Access: Diversity in Alternative
Dispute Resolution, Court Representative (2021 – present)

Indianapolis Bar Association (2016 – present)
IBA Bar Review Course, Faculty (2021 – present)
Commission on Racial Equality, Diversity and Inclusion: Building
A Pipeline for Equality, Diversity, and Inclusion from Law School
to the Profession Subcommittee, Chair (2020)
Bar Leader Series, Steering Committee (2019 – 2020)
Diversity Job Fair Student Workshop Committee, Chair (2016 – 2017)

Indiana State Bar Association (2018 – present)

Marion County Bar Association (2017 – present)

Magistrate Judge Merit Selection Panel for the United States District Court for the
Southern District of Indiana (2010)

Magistrate Judge Reappointment Panel for the United States District Court for the
Southern District of Indiana (2009)

McKinney-Shepard Indianapolis American Inn of Courts (2007 – present)
Executive Committee Member, Master (2017 – present)
Barrister (2007 – 2016)

National Black Prosecutors Association

R.e-entry A.nd C.ommunity H.elp (REACH) Court for the Southern District of Indiana
(2007 – 2018)
State of Indiana Bureau of Motor Vehicles and Federal Bureau of Prisons Federal
Correctional Complex Terre Haute Reentry Day, Coordinator (2016)
Community Wide Job and Resource Fair for Formerly Incarcerated
Individuals, Co-Chair (2016 – 2017)
United Northwest Mentor Program, Coordinator (2015)
Indiana University McKinney School of Law Advocacy Project, Coordinator
(2015)
Indianapolis Eye Care Partnership, Facilitator (2015)
Toy Drive with Life Community Church, Coordinator (2015)

United States District Court for the Southern District of Indiana
Criminal Process Working Group (2021 – Present)

Wellness Committee of the Southern District of Indiana, Chair (2021 – Present)
Diversity and Inclusion Committee (2021 – Present)
Summer Internship Committee, Chair (2021 – Present)
Workplace Inclusion Working Group, Reading Group Facilitator (2020 – 2021)
Magistrate Judge Case Management Procedures for Prisoner Civil Rights Cases
Working Group (2019)
Local Rules Advisory Committee (2016 – 2018)

10. **Bar and Court Admission:**

- a. List the date(s) you were admitted to the bar of any state and any lapses in membership. Please explain the reason for any lapse in membership.

Arkansas, 2003
Indiana, 2017

There have been no lapses in membership. I have been an inactive member of the Arkansas bar since my appointment to the bench in 2018.

- b. List all courts in which you have been admitted to practice, including dates of admission and any lapses in membership. Please explain the reason for any lapse in membership. Give the same information for administrative bodies that require special admission to practice.

United States Court of Appeals for the Seventh Circuit, 2006
United States Court of Appeals for the Eighth Circuit, 2003
United States District Court for the Southern District of Indiana, 2006

There have been no lapses in membership.

11. **Memberships:**

- a. List all professional, business, fraternal, scholarly, civic, charitable, or other organizations, other than those listed in response to Questions 9 or 10 to which you belong, or to which you have belonged, since graduation from law school. Provide dates of membership or participation, and indicate any office you held. Include clubs, working groups, advisory or editorial boards, panels, committees, conferences, or publications.

American Mock Trial Association Mock Trial Coach (2007 – 2010)

City of Indianapolis Comprehensive Anti-Gang Initiative (2007 – 2010)
Reentry Subcommittee Chair (2007 – 2010)

The Gathering (2019 – present)

Goodwill Education Initiatives, Incorporated (2012 – present)

Chair (2022 – present)

Vice Chair (2017 – present)

Secretary (2015 – 2020)

Indianapolis Summer Legal Institute Steering Committee (2021 – present)

Just the Beginning Foundation (2015 – present)

The Lawyers Club of Indianapolis (2019 – present)

Marion County Re-Entry Council (2014 – 2018)

Marion County Reentry Conference Planning Committee (2016 – 2018)

National Association of Junior Auxiliaries, Texarkana, Arkansas Chapter (2005)

The Riviera Club (2021 – present)

Thomas D. Gregg Elementary Community Outreach (2016)

Students Against Gun Violence Youth Program, Chair (2016)

United States District Court for the Southern District of Indiana, Federal Courts Day, Court Coordinator (2018 – 2020)

YMCA of Greater Indianapolis (2016 – present)

- b. The American Bar Association's Commentary to its Code of Judicial Conduct states that it is inappropriate for a judge to hold membership in any organization that invidiously discriminates on the basis of race, sex, or religion, or national origin. Indicate whether any of these organizations listed in response to 11a above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies. If so, describe any action you have taken to change these policies and practices.

To the best of my knowledge, none of these organizations currently discriminates on the basis of race, sex, religion, or national origin either through formal membership requirements or the practical implementation of membership policies. It is my understanding that, more than 50 years prior to my joining, the Riviera Club had a history of racial discrimination. Today, the Riviera Club has a racially diverse membership. Also, it is my understanding that The Lawyers Club of Indianapolis, a dinner social club, intentionally excluded women from membership at its inception. By the time I joined, however, in 2019, women were members and held seats on the executive committee.

12. **Published Writings and Public Statements:**

- a. List the titles, publishers, and dates of books, articles, reports, letters to the editor, editorial pieces, or other published material you have written or edited, including material published only on the Internet. Supply four (4) copies of all published material to the Committee.

Editor, *Getting to Know Monica Foster*, The Orator: Newsletter of the Indianapolis Chapter of the Federal Bar Association. *Volume 4, Issue 2*, pg. 2, Sept. 2013. Copy supplied.

Editor, The Orator: Newsletter of the Indianapolis Chapter of the Federal Bar Association. *Volume 4, Issue 1*, May 2012. Copy supplied.

- b. Supply four (4) copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of on behalf of any bar association, committee, conference, or organization of which you were or are a member. If you do not have a copy of a report, memorandum or policy statement, give the name and address of the organization that issued it, the date of the document, and a summary of its subject matter.

During the time that I served on the Indianapolis Bar Association Commission on Racial Equality, Diversity and Inclusion, the Commission issued a final Report and Recommendation to the Indianapolis Bar Association Board of Directors. It is important to note that while members of the Commission contributed to the report, it is a representative of the Commission's work as a whole and not any single member. Indianapolis Bar Association. Commission on Racial Equality, Diversity and Inclusion Report and Recommendation (2020). Copy supplied.

- c. Supply four (4) copies of any testimony, official statements or other communications relating, in whole or in part, to matters of public policy or legal interpretation, that you have issued or provided or that others presented on your behalf to public bodies or public officials.

None.

- d. Supply four (4) copies, transcripts or recordings of all speeches or talks delivered by you including commencement speeches, remarks, lectures, panel discussions, conferences, political speeches, and question-and-answer sessions. Include the date and place where they were delivered, and readily available press reports about the speech or talk. If you do not have a copy of the speech or a transcript or recording of your remarks, give the name and address of the group before whom the speech was given, the date of the speech, and a summary of its subject matter. If you did not speak from a prepared text, furnish a copy of any outline or notes from which you spoke.

I have searched my files and electronic databases in an effort to identify all events

responsive to this question. To compile the list, I consulted my own records and internet sources. I have located the events listed below, but it is possible that there are a few that I have no record of and thus not able to identify.

January 25, 2022: Faculty, Conflict of Laws Lecture, Indianapolis Bar Association Indiana Multistate Bar Review, Indianapolis, Indiana. I used the same PowerPoint slides that were supplied in relation to the similar lecture on June 28, 2021.

January 4 – 7; March 4, 2022: Faculty, Pre-Trial Litigation: Criminal Practice (Virtual Appearance), Indiana University Maurer School of Law Winter Intersession, Bloomington, Indiana. PowerPoint supplied.

December 10, 2021: Panelist, “E-Discovery Day: A Celebration of Law and Technology,” Indianapolis Bar Association, Indianapolis, Indiana. Notes supplied.

November 18, 2021: Presiding Judge, Naturalization Ceremony, United States District Court for the Southern District of Indiana, Indianapolis, Indiana. Notes supplied.

November 9, 2021: Guest Speaker, Indiana University McKinney School of Law Black Law Student Association, Indianapolis, Indiana. I participated in a question-and-answer session with law students regarding the value of clerking and how to be a competitive candidate. I have no notes, transcript, or recording. The address for Indiana University McKinney School of Law is 530 West New York Street, Indianapolis, Indiana 46202.

September 10, 2021: Panel Moderator, “Remembering 9/11 Terrorist Attacks in their Own Words,” St. Richard’s Episcopal School, Indianapolis, Indiana. Notes supplied.

August 14, 2021: Keynote Speaker, Incoming Law School Orientation, Indiana University Maurer School of Law, Bloomington, Indiana. Speech supplied.

August 11, 2021: Co-Presenter, “Tips from the Bench for Federal Employment Litigation,” Indianapolis Bar Association, Indianapolis, Indiana. Notes supplied.

June 28, 2021: Faculty, Conflict of Laws Lecture, Indianapolis Bar Association Indiana Multistate Bar Review, Indianapolis, Indiana. PowerPoint supplied.

June 5, 2021: Panelist, “A Critical Community Conversation: One Voice: Partner Up, Speak Up, Speak Out Against Gun Violence,” Delta Sigma Theta Sorority, Inc., Indianapolis Alumnae Chapter. I participated in a moderated panel discussion regarding the high gun violence and violent crime rate in Indianapolis. I have no notes, transcript, or recording. The address for Delta Sigma Theta Sorority, Inc., Indianapolis Alumnae Chapter is P.O. Box 1364, Indianapolis,

Indiana 46206.

April 25, 2021: Speaker, Retirement Program for former Assistant United States Attorney Steve DeBrotta, U.S. Attorney's Office for the Southern District of Indiana, Indianapolis, Indiana. Speech supplied.

March 17, 2021: Guest Speaker, Criminal Procedure Capstone, (Virtual Appearance), Indiana University Maurer School of Law, Bloomington, Indiana. I participated in a small group question and answer session with law students regarding my career and the role of a magistrate judge. I have no notes, transcript, or recording. The address for Indiana University Maurer School of Law is 211 South Indiana Avenue, Bloomington, Indiana 47405.

March 18, 2021: Speaker, "When There are Nine," McKinney-Shepard American Inn of Court Celebration of Women Justices, Indianapolis, Indiana. PowerPoint supplied.

February 24, 2021: Panelist, "Celebrating Black Women in the Legal Profession: The Roadmap and the Legacy," (Virtual Appearance), Black Law Students Association and Black Law Students Association Alumni of Indiana University Maurer School of Law, Bloomington, Indiana. I participated in a moderated question and answer presentation for the Black History Celebration regarding my career path and time on the bench. I have no notes, transcript, or recording. The address for Indiana University Maurer School of Law is 211 South Indiana Avenue, Bloomington, Indiana 47405.

November 9, 2020: Speaker, Magistrate Judge Merit Selection Panel Orientation, (Virtual Appearance), United States District Court for the Southern District of Indiana, Indianapolis, Indiana. Notes supplied.

February 7, 2020: Moot Court Judge, 2020 Midwest Region of the National Black Law Students Association Moot Court Competition, United States District Court for the Southern District of Indiana, Indianapolis, Indiana. I was one of three judges who presided over the final round of the Midwest Black Law Students Association's moot court competition. I have no notes, transcript, or recording. The address for the National Black Law Students Association is 80 M Street, Southeast, Washington, DC 20003.

February 7, 2020: Guest Speaker, Lunch and Career: Federal Court Day, Just the Beginning Foundation, Chicago, Illinois. I participated with other federal judges and law clerks in a discussion with high school students about careers at the federal courthouse. I have no notes, transcript, or recording. The address for Just the Beginning Foundation, now known as Just the Beginning – A Pipeline Organization, is 70 West Madison Street, Suite 2900, Chicago, Illinois 60602.

January 9, 2020: Presiding Judge, Naturalization Ceremony, United States District

Court for the Southern District of Indiana, Indiana World War Memorial, Indianapolis, Indiana. I used similar notes that were supplied in relation to the Naturalization Ceremony on November 21, 2019.

November 21, 2019: Presiding Judge, Naturalization Ceremony, United States District Court for the Southern District of Indiana, Indiana World War Memorial, Indianapolis, Indiana. Notes supplied.

November 7, 2019: Panelist, "Women in Clerkships: A Panel Discussion," Indiana University Maurer School of Law, Bloomington, Indiana. I participated in a moderated panel discussion regarding the value of clerkships following graduation. I have no notes, transcript, or recording. The address for Indiana University Maurer School of Law is 211 South Indiana Avenue, Bloomington, Indiana 47405.

October 29, 2019: Co-Presenter, "Pro Se Litigation: A Judicial Perspective," Indianapolis Bar Association, Indianapolis, Indiana. Notes supplied.

October 24, 2019: Presiding Judge, Naturalization Ceremony, United States District Court for the Southern District of Indiana, Indiana World War Memorial, Indianapolis, Indiana. Notes supplied.

September 20, 2019: Guest Speaker, "Constitution Day Assembly," St. Richard's Episcopal School, Indianapolis, Indiana. Speech supplied.

August 8, 2019: Presiding Judge, Naturalization Ceremony, United States District Court for the Southern District of Indiana, Indiana World War Memorial, Indianapolis, Indiana. Notes supplied.

April 17, 2019. Speaker, "Settlement Conferences in United States District Court," Indiana Trial Lawyers Association Advanced Meditation Seminar, Indianapolis, Indiana. PowerPoint supplied.

April 11, 2019: Presiding Judge, Naturalization Ceremony, United States District Court for the Southern District of Indiana, Indianapolis, Indiana. Notes supplied.

April 2, 2019: Guest Speaker, Criminal Procedure Capstone, Indiana University Maurer School of Law, Bloomington, Indiana. I participated in a small group question and answer session with law students regarding my career and the role of a magistrate judge. I have no notes, transcript, or recording. The address for Indiana University Maurer School of Law is 211 South Indiana Avenue, Bloomington, Indiana 47405.

March 21, 2019: Co-Presenter, "The Notorious R.B.G. – From Ascent to Dissent," McKinney-Shepard American Inn of Court. PowerPoint and notes supplied.

March 20, 2019: Speaker, Applied Professionalism for Young Lawyers, Indiana Trial Lawyers Association, Indianapolis, Indiana. PowerPoint supplied.

March 19, 2019: Presenter, "Behind the Curtain – A Candid Conversation with the Judge," Indianapolis Bar Association Bar Leader Series, Indianapolis, Indiana. I spoke about my background and experience leading to my selection as a United States Magistrate Judge. I have no notes, transcript, or recording. The address for the Indianapolis Bar Association is 140 North Illinois Street, Indianapolis, Indiana 46204.

February 19, 2019: Guest Speaker, "*If a Bus Could Talk: The Story of Rosa Parks*" Creative Book Presentation, Celebration of Black History at St. Richard's Episcopal School, Indianapolis, Indiana. Notes supplied.

January 25, 2019: Moderator, "Women's Voices in the Courtroom," United States District Court for the Southern District of Indiana, Indiana University McKinney School of Law, Indianapolis, Indiana. Video available at <https://youtu.be/iEZ36zn06RI>.

January 3 – 5, 26, 2019: Faculty, Pre-Trial Litigation: Criminal Practice, Indiana University Maurer School of Law Winter Intersession, Bloomington, Indiana. PowerPoint supplied.

December 14, 2018: Panelist, "Federal Civil Practice Update for the Southern District of Indiana: Magistrate Judges Panel," Barnes and Thornburg, LLP, Indianapolis, Indiana. I participated in a moderated panel regarding areas of electronic discovery and effective initial pretrial conferences. I have no notes, transcript, or recording. Barnes & Thornburg, LLP is located at 11 South Meridian Street, Indianapolis, Indiana 46204.

December 10, 2018: Presiding Judge, Naturalization Ceremony, United States District Court for the Southern District of Indiana, Indianapolis, Indiana. Notes supplied.

November 30, 2018: Panelist, "E-Discovery Day: A Celebration of Law and Technology." Indianapolis Bar Association, Indianapolis, Indiana. Notes and press coverage supplied.

August 7, 2018: Keynote Speaker, Diversity Job Fair Luncheon, Indianapolis Bar Association, Indianapolis, Indiana. Speech supplied.

May 16, 2018: Panelist, Women and the Law Division Judges Roundtable and Reception, Indianapolis Bar Association, Indianapolis, Indiana. I participated in a moderated panel regarding electronically stored information and new federal rules. I have no notes, transcript, or recording. The Indianapolis Bar Association

is located at 140 North Illinois Street, Indianapolis, Indiana 46204.

May 10, 2018: Presiding Judge, Naturalization Ceremony, United States District Court for the Southern District of Indiana, Indianapolis, Indiana. I used similar notes that were supplied in relation to the Naturalization Ceremony on April 19, 2018.

April 19, 2018: Presiding Judge, Naturalization Ceremony, United States District Court for the Southern District of Indiana, Indianapolis, Indiana. Notes supplied.

April 13, 2018: Speaker, Investiture of the Honorable Doris L. Pryor, United States District Court for the Southern District of Indiana, Indianapolis, Indiana. Video supplied.

March 2, 2018: Panelist, "Career Choices: Criminal Law" Panel, Indiana University Maurer School of Law, Bloomington, Indiana. I participated in a moderated panel regarding my career in criminal law from my role as a public defender and a federal prosecutor. I have no notes, transcript, or recording. The address for Indiana University Maurer School of Law is 211 South Indiana Avenue, Bloomington, Indiana 47405.

February 5, 2018: Keynote Speaker, "Honoring Our People: Sharing Stories of Hope," Annual Black History Program of the President William Jefferson Clinton Birthplace Home National Historic Site, National Park Service, Hope, Arkansas. Speech supplied.

November 3, 2017: Speaker, Federal Bar Association Indianapolis Division's "Lunch with the Court": Magistrate Judges' Roundtable, Indianapolis, Indiana. I provided brief welcoming remarks on behalf of the bar association and introduced the magistrate judges present. I have no notes, transcript, or recording. The address for the United States District Court for the Southern District of Indiana is 46 East Ohio Street, Indianapolis, Indiana 46204.

October 12, 2017: Speaker, Memorial Ceremony for the Honorable Larry J. McKinney, United States District Court for the Southern District of Indiana, Indianapolis, Indiana. Speech supplied.

August 30, 2017: Panelist, "Reentry Courts: The Good, The Bad, and the Sometimes Ill-Advised," 2017 American Probation & Parole Association Annual Training Institute, New York, New York. Notes supplied.

August 15, 2017: Speaker, Welcome Remarks, Indianapolis Bar Association Diversity Job Fair. Speech supplied.

March 16, 2017: Speaker, After School Mentoring "Courage" Art Legacy Project Unveiling, Indianapolis Metropolitan Police Department, Southwest District,

Indianapolis, Indiana. I briefly discussed the importance of working with our law enforcement and community leaders to keep our neighborhoods safe. I have no notes, transcript, or recording. Indianapolis Metropolitan Police Department Southwest District is located at 551 King Avenue, Indianapolis, Indiana 46222.

June 7, 2016: Speaker, "You Are Beautiful: Mining for Diamonds," After School Mentoring Art Project Unveiling Daniel Webster Elementary School 46, Indianapolis, Indiana. I spoke about the importance of self-worth, hard work, and academic excellence. I have no notes, transcript, or recording. Daniel Webster Elementary School 46 is located at 1450 South Reisner Street, Indianapolis, Indiana 46221. Press coverage supplied.

May 19, 2016: Co-Presenter, "Indiana Movers & Shakers: The Context Surrounding the 1816 & 1851 Constitutional Conventions," McKinney-Shepard American Inn of Court. PowerPoint and notes supplied.

March 30, 2016: Co-Presenter, "Normal Day in the Life of a Prosecutor," Arsenal Technical High School, Indianapolis, Indiana. I addressed high school students interested in attending law school about the practice of criminal law. PowerPoint supplied.

January 15, 2016: Keynote Speaker, "Diversity in Our Culture Today," Annual Martin Luther King Diversity Luncheon, Faegre Baker Daniels, Indianapolis, Indiana. Speech supplied.

May 27, 2015: Presenter, Daniel Webster Elementary School 46 Job Fair and Career Day, Indianapolis, Indiana. I addressed elementary school students about the practice of criminal law. I have no notes, transcript, or recording. The address for Daniel Webster Elementary School 46 is 1450 South Reisner Street, Indianapolis, Indiana 46221.

April 9, 2015: Keynote Speaker, "A Dream Deferred: A Dialogue Regarding Today's Social Justice Movement," Third Annual Diversity and Alumni Dinner and Reception, Indiana University McKinney School of Law Black Law Students Association and Hispanic Law Society, Indianapolis, Indiana. Speech supplied.

March 20, 2015: Speaker, The Student Pledge Against Gun Violence Initiative, Charles A. Tindley Preparatory Academy, Indianapolis, Indiana. I gave informal remarks during the school assembly highlighting the role that young people, through their own decisions, can play in reducing gun violence. I have no notes, transcript, or recording. The address for Charles A. Tindley Preparatory Academy Middle School is 3960 Meadows Drive, Indianapolis, Indiana 46205.

November 21, 2014: Speaker, The Student Pledge Against Gun Violence Initiative, Daniel Webster Elementary School 46, Indianapolis, Indiana. I gave informal remarks during the school assembly highlighting the role that young

people, through their own decisions, can play in reducing gun violence. I have no notes, transcript, or recording. The address for Daniel Webster Elementary School 46 is 1450 South Reisner Street, Indianapolis, Indiana 46221.

May 24, 2014: Guest Speaker, "Pathway to College: Your Destination is Your Expectation," Career Day and Achievement Graduation Ceremony, 21st Century After School Program, Hope, Arkansas. PowerPoint and press coverage supplied.

March 21, 2014: Co-Presenter, "Normal Day in the Life of a Prosecutor and Federal Agent: Grand Jury Presentation," Shortridge High School, Indianapolis, Indiana. I addressed high school students interested in attending law school about the practice of criminal law and federal criminal investigations. PowerPoint supplied.

February 12, 2014: Participant, "Schools, Politics and the Courts: The Case that Divided a City," Reenactment of Southern District of Indiana case *United States District Court v. Indianapolis Public Schools* for United States District Court for the Southern District of Indiana Black History Program, Indianapolis, Indiana. Script supplied.

November 7, 2013: Speaker, "Criminal Procedure: How to Analyze the Elements of Theft...and to Litigate Those Types of Cases," Indiana University McKinney School of Law, Indianapolis, Indiana. PowerPoint supplied.

November 10, 2012: Guest Speaker, "Be a Part of the Legacy of Dr. Mary McLeod Bethune," Annual Ohio State Coalition Meeting of the National Council of Negro Women, Cincinnati, Ohio. Speech and press coverage supplied.

April 12, 2012: Speaker, "Criminal Procedure: Investigations, Prosecutorial Discretion, and Jury Selection," Indiana University McKinney School of Law, Indianapolis, Indiana. PowerPoint supplied.

February 10, 2012: Participant, Reenactment of Southern District of Indiana case *United States District Court v. Indianapolis Public Schools* for United States District Court for the Southern District of Indiana Black History Educational Program, Indianapolis, Indiana. Script supplied.

June 14, 2011: Presenter, "Strengthening Hoosier Communities," U.S. Attorney's Office Law Enforcement Seminar. I provided comments regarding the role of the U.S. Attorney's Office in national security investigations. I have no notes, transcript or recording. The address for the U.S. Attorney's Office for the Southern District of Indiana is 10 West Market Street, Suite 2100, Indianapolis, Indiana 46204. Press coverage supplied.

June 24, 2010: Speaker, "Internet Social Networking," Indiana Coalition Against Sexual Assault, Indianapolis, Indiana. PowerPoint supplied.

July 11 - 21, 2009: Presenter, 2009 Summer Celebration and Health Fair of the Indianapolis Black Expo, Incorporated, Indianapolis, Indiana. I participated in the law enforcement information booth to address questions about the role of the U.S. Attorney's Office in the local community. I have no notes, transcript or recording. The address for the Indianapolis Black Expo, Incorporated is 601 North Shortridge Road, Indianapolis, Indiana 46219.

February 25, 2006: Guest Speaker, First Annual Black History Banquet, Black Community Development and Historical Society, Rising Star Missionary Baptist Church, Hope, Arkansas. I spoke about the importance of giving back to your community and mentoring the next generation. I have no notes, transcript, or recording. The address for Rising Star Missionary Baptist Church is 1002 East Beech Street, Hope, Arkansas 71801.

February 17, 2005: Keynote Speaker, Multi-Cultural Black History Program, University of Arkansas Community College at Hope, Hope, Arkansas. I spoke about the importance of preserving relationships and keeping the door open for the next generation. I have no notes, transcript, or recording. The address for the University of Arkansas Community College at Hope is 2500 South Main, Hope, Arkansas 71802.

1999: Co-Presenter, "Race and the Effect of Religion on Gender Issues among Southern Women," Paper presented with Professor Gary Wekkin at Southwestern Political Science Association Annual Meeting. Notes supplied.

January 22, 1999: Representative, Student Government Association President Annual Spring Address, University of Central Arkansas Board of Trustees Meeting, Conway, Arkansas. Speech supplied.

October 16, 1998: Representative, Student Government Association President Annual Fall Address, University of Central Arkansas Board of Trustees Meeting, Conway, Arkansas. Speech supplied.

- e. List all interviews you have given to newspapers, magazines or other publications, or radio or television stations, providing the dates of these interviews and four (4) copies of the clips or transcripts of these interviews where they are available to you.

Press Release, *Southern District celebrates, reflects diversity through 100 Dolls of Color Campaign*, Indiana Lawyer (Nov. 9, 2021). Copy supplied.

Press Release, *Mining Diamonds in Indy's Rough*, Indianapolis Star (June 12, 2016) (reprinted in multiple outlets). Copy supplied.

Laura Kincaid, *Doris Clark on her way to the top*, 1999 Scroll Volume 85 at 94-

97. Copy supplied.

Information about my cases was attributed to me in the following press releases issued by the United States Attorney's Office for the Southern District of Indiana:

Brownsburg man arrested on terrorism charges, U.S. Dept. Documents and Publications (June 22, 2016) (reprinted in multiple outlets). Copy supplied.

United States Attorney Announces the Federal Indictment of Four Indianapolis Men on Heroin Charges, U.S. Dept. Documents and Publications (Apr. 10, 2014). Copy supplied.

Hogsett Announces Charges Against Greenwood Resident for Tax Evasion (Sept. 19, 2012). Copy supplied.

U.S. Attorney's Violent Crime Initiative Produces More Marion County Results, State News Service (July 17, 2012) (reprinted in multiple outlets). Copy supplied.

Attorney Hogsett announces sentencing of Bank Robbery Accomplice Who Used Her Own Child in Crime, US Fed News (May 17, 2012) (reprinted in multiple outlets). Copy supplied.

Alleged Gun Sale Prompts Federal Case; New Castle Man faces 10 Years in Prison if convicted, The Courier-Times (Mar. 10, 2012) (reprinted in multiple outlets). Copy supplied.

Martinsville Man Indicted on Federal Gun Charges, Faces Up to Ten Years in Prison, Targeted News Service (Mar. 8, 2012). Copy supplied.

Hogsett Announces Marion County Man Charged with Being a Felon in Possession of a Firearm (Jan. 20, 2012). Copy supplied.

Morgan County Man Charged with Being a Felon in Possession of a Firearm (Nov. 29, 2011). Copy supplied.

Hogsett Announces Another Indianapolis Man Charged with Being a Felon in Possession of a Firearm (Aug. 26, 2011). Copy supplied.

Baltimore Man Charged in Arson, Targeted News Service (Sept. 14, 2010) (reprinted in multiple outlets). Copy supplied.

Roachdale Man Indicted on Currency Charges, Journal Review (Sept. 2, 2010). Copy supplied.

Indianapolis Man indicted on Currency Structuring Charges, Targeted News Service (Aug. 31, 2010). Copy supplied.

New Castle Woman Sentenced for Social Security Theft, The Star Press (July 14, 2010). Copy supplied.

Federal charges filed against Pike County Man, The Herald (Nov. 19, 2009) (reprinted in multiple outlets). Copy supplied.

Indianapolis Man Sentenced to 15 Years as Armed Career Criminal for Possession of Firearm, US Fed News (May 1, 2009). Copy supplied.

Illegal Immigrant Gets 30-Month Term, Indianapolis Star (Aug. 16, 2007). Copy supplied.

Armed Robber receives 29 federal year sentence as Armed Career Criminal, US Fed News (Jan. 17, 2007). Copy supplied.

13. **Judicial Office:** State (chronologically) any judicial offices you have held, including positions as an administrative law judge, whether such position was elected or appointed, and a description of the jurisdiction of each such court.

I have served as a United States Magistrate Judge since 2018, when I was appointed by the district judges of the United States District Court for the Southern District of Indiana.

The United States District Court for the Southern District of Indiana has jurisdiction over civil matters raising federal questions, civil matters with diversity of citizenship, and federal criminal matters. As a magistrate judge, I serve as a judicial officer of the district court conducting a range of judicial proceedings to expedite the disposition of civil cases, including procedural hearings, discovery and non-dispositive motions, and settlement conferences. In this district, settlement conferences are the standard form of alternative dispute resolution. Where the parties consent to magistrate judge jurisdiction, I am authorized to preside over the entire civil case. In criminal matters, I oversee initial proceedings including the issuance of search warrants, arrest warrants and summonses, appointing attorneys for indigent individuals, conducting initial appearance and probable cause hearings, determining conditions of release or detention under the Bail Reform Act, conducting supervised release violation hearings, and conducting international extradition proceedings. In addition, I handle criminal cases that involve petty offenses and misdemeanor charges.

- a. Approximately how many cases have you presided over that have gone to verdict or judgment? _____

I have not presided over any cases that have gone to verdict or judgment.

- i. Of these cases, approximately what percent were:

jury trials: _____%
bench trials: _____% [total 100%]

ii. Of these cases, approximately what percent were:

civil proceedings: _____%
criminal proceedings: _____% [total 100%]

b. Provide citations for all opinions you have written, including concurrences and dissents.

See attached list of opinions.

c. For each of the 10 most significant cases over which you presided, provide: (1) a capsule summary of the nature of the case; (2) the outcome of the case; (3) the name and contact information for counsel who had a significant role in the trial of the case; and (4) the citation of the case (if reported) or the docket number and a copy of the opinion or judgment (if not reported).

1. *Mitchum v. City of Indianapolis*, 1:19-cv-2277-DLP-JPH, 2021 WL 2915025 (S.D. Ind. July 12, 2021).

Plaintiff brought this 42 U.S.C. § 1983 action alleging various federal constitutional and state law claims against the City of Indianapolis, the Indianapolis Metropolitan Police Department, and several law enforcement officers in their individual capacity. The parties consented to magistrate judge jurisdiction for final adjudication of the case. Plaintiff alleged that Defendants violated his rights under the Fourth and Fourteenth Amendment when law enforcement allowed a K9, trained to bite and hold the first person he encounters, to enter Plaintiff's backyard without probable cause. Defendants sought summary judgment dismissing the Complaint. I granted in part and denied in part the Defendants' motion, holding there remained a genuine issue of material fact as to whether a constitutional violation occurred under the Fourth Amendment. I found that Plaintiff failed to establish a Fourteenth Amendment claim under § 1983. Following my ruling on the Defendants' motion for summary judgment, the parties stipulated to dismiss with prejudice all Defendants except for the City of Indianapolis and one law enforcement officer. The remaining Defendants appealed my decision. While on appellate review, the parties reached a settlement and moved to dismiss the appeal. Thereafter, the parties filed a stipulation of dismissal, which I approved.

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2. *Lewis v. Menard, Inc.*, No. 1:18-cv-02655-DLP-TWP, 2021 WL 3164405 (S.D. Ind. July 27, 2021).

Plaintiff brought this premises liability action against Defendant Menard. The parties consented to magistrate judge jurisdiction for final adjudication of the case. Plaintiff alleged that as he was exiting the store while pushing a shopping cart with over five hundred pounds of floor tile, an uneven walking surface caused him to fall and suffer injuries. Defendant sought summary judgment disposing of the Plaintiff's Complaint. I found that Plaintiff had failed to establish that the Defendant had actual or constructive knowledge of any alleged defects in the walking surface over which he fell. Accordingly, I granted summary judgment in favor of the Defendant.

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3. *Stough Assocs., L.P. v. Hage*, No. 1:19-cv-01970-DLP-JPH, 2020 WL 3200378 (S.D. Ind. June 15, 2020).

Plaintiff leased portions of a retail building to the Defendant and Defendant's former business partner. After the Defendant defaulted on the lease, the Plaintiff brought this action alleging breach of retail lease guaranty. The parties consented to magistrate judge jurisdiction for final adjudication of the case. Defendant filed a third-party complaint against his former business partner, which I dismissed. I found that the Defendant had failed to plead sufficient facts to state a claim of contribution against his former business partner. The underlying case ultimately settled before going to trial.

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4. *Young v. Harvest Land Co-Op, Inc.*, No. 1:18-cv-03976-DLP-TWP, 2020 WL 2539366 (S.D. Ind. May 19, 2020).

Plaintiff brought this action against her former employer, Harvest Land, alleging that the Defendant violated the Fair Labor Standards Act by failing to pay overtime wages. The parties consented to magistrate judge jurisdiction for final adjudication of the case. Defendant sought summary judgment on the complaint, alleging that the Plaintiff failed to state an FLSA claim. Plaintiff filed a motion for partial summary judgment alleging that a portion of the Defendant's affirmative defenses lacked any supporting facts. I granted in part and denied in part both Plaintiff's and Defendant Harvest Land's cross-motions for summary judgment, holding that there remained a genuine issue of fact of whether the Plaintiff was employed in a bona fide exemption capacity; whether the Plaintiff was entitled to overtime wages for which she had not been compensated; the appropriate calculation method for the overtime pay; and whether the Plaintiff was entitled to liquidated damages. The case ultimately settled before going to trial.

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5. *Hurt et al. v. Vantlin et al.*, No. 3:14-cv-00092-JMS-MPB, Dkts. 543, 545, 547 (S.D. Ind. 2021)

In this 42 U.S.C. § 1983 case, the Plaintiffs alleged that they were wrongfully targeted, arrested, and prosecuted for the death of their uncle by the Evansville Police Department, the City of Evansville, Kentucky State Police Officers, and the Kentucky Medical Examiner. The Defendants denied the allegations. After seven years of litigation, the parties requested that I conduct a settlement conference. After a day-long settlement conference, over which I meditated, the parties reached a settlement.

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6. *Barnhouse v. City of Muncie*, No. 1:19-cv-00958-TWP-DLP, Dkt. 164, (S.D. Ind., 2021)

Plaintiff was convicted of rape in the 1990s and sentenced to eighty years in prison. Twenty-five years later, DNA evidence exonerated the Plaintiff, and criminal case was ultimately dismissed. Plaintiff filed claims of civil rights violations, torts, and malicious prosecution. Specifically, Plaintiff alleged his criminal conviction was the result of the Defendants taking advantage of the Plaintiff's mental and cognitive disabilities, fabricating and withholding evidence, and making false statements in police affidavits. After a day-long settlement conference, over which I presided, the case settled with all parties.

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7. *Fair Hous. Ctr. of Cent. Indiana, Inc. v. Welton*, No. 1:18-cv-01098-JMS-DLP, 2019 WL 2422594 (S.D. Ind. June 10, 2019)

Plaintiffs filed this lawsuit against the Defendants alleging violations of the Fair Housing Act, Equal Credit Opportunity Act, Civil Rights Acts of 1866 and 1871, Truth in Lending Act, and several Indiana state statutes. Specifically, Plaintiffs contended that the Defendants engaged in an elaborate unlawful housing scheme targeted at Latinos whereby the Defendants set up multiple businesses to acquire abandoned and foreclosed homes, and then entered into lease-to-own agreements with Plaintiffs for homes that were in disrepair at the time of leasing. The case was referred to me for pretrial management by the district judge and I ruled on several contested discovery issues. After multiple intense and extended settlement conferences, over which I presided, the parties reached a settlement in principle. The parties have now entered into a consent decree.

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8. *Jackson v. Wexford of Indiana, LLC*, No. 1:18-cv-03512-SEB-DLP (S.D. Ind. 2019)

Plaintiff, the Special Administrator for the estate of Mr. Owens, brought this 42 U.S.C. § 1983 case, alleging that the Defendants failed to properly diagnose the decedent and treat his cancer in a timely manner. The Defendants asserted that the medical staff at both the Indiana Department of Corrections Pendleton and New Castle Correctional Facilities provided care to the decedent in a timely manner, but the sarcoma progressed quickly. Several factors complicated the case including the pending probate case in state court. As the result of an all-day meditation, over which I presided, the parties reached a settlement.

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9. *Cox v. Weitzel et al.*, No. 1:19-cv-01393-JMS-DLP, (S.D. Ind. 2021)

Plaintiff, while driving in Indianapolis with her children, was pulled over by officers from the Indianapolis Metropolitan Police Department. Plaintiff, a black female, alleged that Defendants, who approached her vehicle with guns drawn, handcuffed her, and detained her in a police car without probable cause, violated the Fourth Amendment. The Defendants, who were searching for a black male

robbery suspect, maintained that the investigatory stop had not violated the Plaintiff's constitutional rights against unreasonable search and seizure. As the trial neared, I brought the parties together for another round of negotiations. Through my mediation, the parties were able to reach a settlement.

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10. *Edwards v. Harrison College*, No. 1:18-cv-03170-RLY-DLP, Dkts. 104, 189
(S.D. Ind. 2019)

Plaintiffs, all former students of Harrison College, brought this putative class action alleging violations of federal and state laws by the Defendants. In the fall of 2018, Harrison College abruptly closed. Plaintiffs brought this lawsuit seeking to recover damages from Harrison's closure under various federal and state

statues, including the Family Education Rights and Privacy Act and the Fair Debt Collection Practices Act. During an all-day settlement conference, I was able to mediate a class action settlement in principle for all but one Defendant.

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- d. For each of the 10 most significant opinions you have written, provide: (1) citations for those decisions that were published; (2) a copy of those decisions that were not published; and (3) the names and contact information for the attorneys who played a significant role in the case.

1. *Mitchum v. City of Indianapolis*, 531 F. Supp. 3d 1001 (S.D. Ind. 2021)

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2. *Lewis v. Menard, Inc.*, No. 1:18-cv-02655-DLP-TWP, 2021 WL 3164405
(S.D. Ind. July 27, 2021)

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3. *Young v. Harvest Land Co-Op, Inc.*, No. 1:18-cv-03976-DLP-TWP, 2020 WL 2539366 (S.D. Ind. May 19, 2020)

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4. *Maxwell v. Law Office of Jennifer McCoy, P.C.*, 1:20-cv-3239-DLP-JPH, 2021 WL 3741999, (S.D. Ind. Aug. 23, 2021)

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5. *Lyons v. United States*, 550 F.Supp.3d 588 (S.D. Ind. 2021)

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6. *Denney v. Amphenol Corp.*, No. 1:19-cv-04757-JRS-DLP, 2020 WL 5500276
(S.D. Ind. Sept. 4, 2020)

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7. *NextGear Cap., Inc. v. Premier Grp. Autos, LLC*, No. 1:20-cv-00354-TWP-DLP, 2020 WL 8514830 (S.D. Ind. Aug. 25, 2020), *R. & R. adopted*, No. 1:20-cv-00354-TWP-DLP, 2020 WL 7777949 (S.D. Ind. Dec. 30, 2020)

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8. *Rocklane Company, LLC v. Travelers Casualty Insurance Company of America*, 1:17-cv-2158, 2020 WL 1320963 (S.D. Ind. Jan. 21, 2020)

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9. *In re Medytox, Inc.*, 1:18-mc-46-TWP-DLP, 2019 WL 3162174 (S.D. Ind. July 16, 2019), *R. & R. adopted*, No. 1:20-cv-00354-TWP-DLP, Dkt. 32 (S.D. Ind. Aug. 5, 2019)

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10. *Mines v. Galaxy Int'l Purchasing, LLC*, No. 1:17-cv-04746-RLY-DLP, 2019 WL 1318277 (S.D. Ind. Mar. 6, 2019), *R. & R. adopted*, No. 1:17-cv-04746-RLY-DLP (S.D. Ind. Mar. 22, 2019)

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- e. Provide a list of all cases in which certiorari was requested or granted.

I have searched electronic databases to determine whether certiorari was requested or granted in any of my cases. I did not find any in which certiorari was requested or granted.

- f. Provide a brief summary of and citations for all of your opinions where your decisions were reversed by a reviewing court or where your judgment was affirmed with significant criticism of your substantive or procedural rulings. If any of the opinions listed were not officially reported, provide copies of the opinions.

I have searched my files and electronic databases concerning the opinions that I have written in cases that have been assigned to me. I have found two cases of

mine in which the district judge sustained a party's objection to my report and recommendation.

Julie J. v. Saul, No. 1:20-cv-01597-SEB-DLP, 2021 U.S. Dist. LEXIS 187022 (S.D. Ind. July 12, 2021), *obj. to R. & R. sustained*, No. 1:20-cv-01597-SEB-DLP, 2021 U.S. Dist. LEXIS 185366 (S.D. Ind. Sept. 28, 2021). I affirmed the Commissioner of Social Security's finding that Plaintiff was not entitled to Social Security Disability Insurance Benefits. The district judge held that, while the administrative law judge engaged in an exhaustive discussion of the medical evidence, he failed to adequately explain how Plaintiff's mild mental limitations were addressed in the administrative law judge's residual functional capacity assessment, thus the case was remanded for reevaluation.

Robertson v. Choice Hotels Int'l, Inc., No. 1:21-cv-02437-JMS-DLP, R. & R., ECF No. 30 (S.D. Ind. Mar. 2, 2022), *R. & R. overruled*, No. 1:21-cv-02437-JMS-DLP, 2022 WL 1405922 (S.D. Ind. May 4, 2022). After failing to appear for court-ordered status hearings, I scheduled a show cause hearing for the Plaintiff on March 1, 2022. Plaintiff failed to appear for the show cause hearing. Given Plaintiff's failure to comply with the Court's orders, I recommended to the district judge that Plaintiff's Complaint be dismissed without prejudice. On March 17, 2022, Plaintiff filed an objection explaining to the Court that his former counsel had failed to properly notify the Plaintiff of his intent to withdraw his appearance from the case. On April 15, 2022, Plaintiff's former counsel notified the Court that he had failed to properly notify the Plaintiff of his intent to withdraw. Based on the discovery of the notification issues, the district judge overruled my Report and Recommendation to dismiss Plaintiff's Complaint without prejudice.

- g. Provide a description of the number and percentage of your decisions in which you issued an unpublished opinion and the manner in which those unpublished opinions are filed and/or stored.

To my knowledge, all of my orders and opinions are entered and accessible to the public by the Court's electronic case filing system (CM/ECF). To the extent that I have issued decisions that have not been posted and picked up by the publishing services, they are maintained in the Court's CM/ECF system.

- h. Provide citations for significant opinions on federal or state constitutional issues, together with the citation to appellate court rulings on such opinions. If any of the opinions listed were not officially reported, provide copies of the opinions.

O'Toole v. BOB Roache Law, Inc., 1:20-cv-1893-DLP-SEB, 2021 WL 598391 (S.D. Ind. Feb. 16, 2021)

Maxwell v. Law Office of Jennifer McCoy, P.C., 1:20-cv-3239-DLP-JPH, 2021 WL 3741999 (S.D. Ind. Aug. 23, 2021)

Mitchum v. City of Indianapolis, 1:19-cv-2277-DLP-JPH, 2021 WL 2915025

(S.D. Ind. July 12, 2021)

- i. Provide citations to all cases in which you sat by designation on a federal court of appeals, including a brief summary of any opinions you authored, whether majority, dissenting, or concurring, and any dissenting opinions you joined.

I have not sat by designation on any federal court of appeals.

14. **Recusal:** If you are or have been a judge, identify the basis by which you have assessed the necessity or propriety of recusal (If your court employs an "automatic" recusal system by which you may be recused without your knowledge, please include a general description of that system.) Provide a list of any cases, motions or matters that have come before you in which a litigant or party has requested that you recuse yourself due to an asserted conflict of interest or in which you have recused yourself *sua sponte*. Identify each such case, and for each provide the following information:

- a. whether your recusal was requested by a motion or other suggestion by a litigant or a party to the proceeding or by any other person or interested party; or if you recused yourself *sua sponte*;
- b. a brief description of the asserted conflict of interest or other ground for recusal;
- c. the procedure you followed in determining whether or not to recuse yourself;
- d. your reason for recusing or declining to recuse yourself, including any action taken to remove the real, apparent or asserted conflict of interest or to cure any other ground for recusal.

The United States District Court for the Southern District of Indiana has a system that flags newly assigned cases based on recusal criteria that each judge identifies. This system permits a judge to identify potential conflicts *ex ante*, and then decide whether to recuse *sua sponte* in a newly assigned case.

After reviewing the Code of Conduct for United States Judges, I recused myself *sua sponte* in cases involving Indiana University until 2021. Prior to 2021, my husband was employed by Indiana University, and I determined that my impartiality might reasonably be questioned and that this issue was incurable. Thus, I returned the following cases to the Clerk's Office for reassignment:

Ray v. Indiana University, 1:18-cv-3463-WTL-DML,

Doe v. Indiana University, 1:18-cv-3713-TWP-MJD,

Doe v. Indiana University et al., 1:19-cv-01866-JPH-MJD,

Riley v. Trustees of Indiana University, 1:19-cv-01944-JPH-MPB,

Patton v. Indiana University Board of Trustees et al., 1:20-cv-00699-TWP-MJD,

Patton v. Indiana University Board of Trustees et al., 1:20-cv-01583-JRS-MJD,

Gartin v. Indiana University, 1:20-cv-01642-TWP-MJD,

Doe v. The Trustees of Indiana University et al., 1:20-cv-02006-JRS-MJD,

Warren v. The Trustees of Indiana University, 1:20-cv-02461-JMS-DML,

Routson v. Indiana University, 1:20-cv-02593-JMS-TAB,

Tripplett v. Indiana University Robert H. McKinney School of Law et al., 1:21-cv-01256-JRS-MJD

I recused myself from *Shields et al. v. Lemmon et al.*, 1:16-cv-02148-SEB-MJD, because I have a personal and familial relationship with a party named in the complaint. After reviewing the Code of Conduct for United States Judges, I determined that my impartiality might reasonably be questioned and that this issue was incurable. I therefore returned the case to the Clerk's Office for reassignment.

I recused myself from *Matthews v. Eastern Star Missionary Baptist Church, Inc.*, 1:19-cv-03206-JPH-DML, because I have a personal and familial relationship with material witnesses whose actions were challenged in the complaint. After reviewing the Code of Conduct for United States Judges, I determined that my impartiality might reasonably be questioned and that this issue was incurable. I therefore returned the case to the Clerk's Office for reassignment.

I recused myself from *Williams et al. v. United States of America et al.*, 3:18-cv-00207-JPH-DML, because I have a personal relationship with material witnesses named in the complaint whose alleged actions were challenged in the filing documents. After reviewing the Code of Conduct for United States Judges, I determined that my impartiality might reasonably be questioned and that this issue was incurable. I therefore returned the case to the Clerk's Office for reassignment.

I recused myself from *Siddiqui et al. v. Francis Cissna et al.*, 1:18-cv-2474-WTL-DML, because I have a personal relationship with a party named in the complaint whose actions were challenged in the complaint. After reviewing the Code of Conduct for United States Judges, I determined that my impartiality might reasonably be questioned and that this issue was incurable. I therefore returned the case to the Calendar Committee for reassignment.

I recused myself from *Spells v. United States of America*, 1:18-cv-3806-SEB-TAB, because I was the federal prosecutor who handled the underlying criminal matter. After reviewing the Code of Conduct for United States Judges, I determined that my impartiality might reasonably be questioned and that this issue was incurable. I therefore returned the case to the Clerk's Office for reassignment.

In addition, as a United States Magistrate Judge, I recused myself from all cases involving my former employer, the U.S. Attorney's Office for the Southern District of Indiana, for my first six months on the bench. This time period was determined by the Chief District Judge of the United States District Court for the Southern District of Indiana.

15. Public Office, Political Activities and Affiliations:

- a. List chronologically any public offices you have held, other than judicial offices, including the terms of service and whether such positions were elected or appointed. If appointed, please include the name of the individual who appointed you. Also, state chronologically any unsuccessful candidacies you have had for elective office or unsuccessful nominations for appointed office.

None.

- b. List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

None.

16. Legal Career: Answer each part separately.

- a. Describe chronologically your law practice and legal experience after graduation from law school including:

- i. whether you served as clerk to a judge, and if so, the name of the judge, the court and the dates of the period you were a clerk;

From 2003 to 2004, I clerked for the Honorable Lavenski R. Smith, Chief Judge of the United States Court of Appeals for the Eighth Circuit.

From 2004 to 2005, I clerked for the Honorable J. Leon Holmes of the United States District Court for the Eastern District of Arkansas.

- ii. whether you practiced alone, and if so, the addresses and dates;

I have not practiced law alone.

- iii. the dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been affiliated, and the nature of your affiliation with each;

2005 – 2006

State of Arkansas Public Defender Commission
Miller County Public Defender's Office
305 East Fifth Street, Suite 400
Texarkana, Arkansas 71854
Deputy Public Defender

2006 – 2018

United States Attorney's Office for the Southern District of Indiana
10 West Market Street, Suite 2100
Indianapolis, Indiana 46204
Assistant United States Attorney

- iv. whether you served as a mediator or arbitrator in alternative dispute resolution proceedings and, if so, a description of the 10 most significant matters with which you were involved in that capacity.

While practicing law, I did not serve as a mediator or arbitrator in alternative dispute resolution proceedings.

b. Describe:

- i. the general character of your law practice and indicate by date when its character has changed over the years.

My law practice has primarily involved litigation, including both trial and appellate work, federal court and state court litigation.

After completing my federal court of appeals and district court clerkships, I joined the Arkansas Public Defender Commission for the Eighth North Judicial District, as a deputy public defender. During my tenure as a criminal defense lawyer, I provided legal services for indigent clients in pending criminal cases, juvenile cases, and cases involving mental health guardianships. My caseload was an average of 75 criminal cases at various stages in the litigation process.

In 2006, I joined the United States Department of Justice, United States Attorney's Office of the Southern District of Indiana. From 2006 until 2014, I prosecuted a variety of criminal cases on behalf of the United

States as an Assistant United States Attorney, including firearm offenses, financial fraud (tax, bank, wire, and mail), tax evasion, large scale drug trafficking, and violent crimes. From 2014 until my departure from the United States Attorney's Office to take the bench, I served as the National Security Chief. As a national security prosecutor, I was responsible for handling domestic terrorism, international terrorism, counterespionage, terrorism financing, counterintelligence and export control matters that arose in this district. I worked closely with the United States Department of Justice National Security Division and a number of other Department of Justice components and intelligence agencies to prosecute those who threatened our country's national security. As the United States Attorney's Office of the Southern District of Indiana's National Security/Anti-Terrorism Advisory Council Coordinator, I also worked collaboratively with local, state, and federal law enforcement agencies to ensure information-sharing among member agencies and to provide relevant, topical trainings.

- ii. your typical clients and the areas at each period of your legal career, if any, in which you have specialized.

In 2006, I joined the United States Attorney's Office for the Southern District of Indiana and served as an Assistant United States Attorney until 2018. In that role, as National Security Chief and Assistant United States Attorney, I represented the United States in the prosecution of a matters of national security, violent crimes, drug trafficking, immigration violations, fraud, tax evasion, and financial crimes.

Prior to my service with the United States Department of Justice, I served as a public defender from 2005 to 2006. My adult clients were individuals charged with or under investigation for crimes against the State of Arkansas. My juvenile clients were individuals accused of an act of juvenile delinquency.

- c. Describe the percentage of your practice that has been in litigation and whether you appeared in court frequently, occasionally, or not at all. If the frequency of your appearances in court varied, describe such variance, providing dates.

Prior to my appointment to the bench, I frequently appeared, from 2006 to 2018, in federal court. From 2005 to 2006, I frequently appeared in state court. All of my prior legal practice involved criminal litigation.

- i. Indicate the percentage of your practice in:
 - 1. federal courts: 95%
 - 2. state courts of record: 5%
 - 3. other courts: 0%
 - 4. administrative agencies: 0%

ii. Indicate the percentage of your practice in:

1. civil proceedings: 0%
2. criminal proceedings: 100%

d. State the number of cases in courts of record, including cases before administrative law judges, you tried to verdict, judgment or final decision (rather than settled), indicating whether you were sole counsel, chief counsel, or associate counsel.

I have tried eight cases to verdict: seven in federal court and one in state court. I was sole counsel in one of the eight cases, chief counsel in three cases, and co-counsel in four cases. I have also litigated numerous contested hearings in federal court. Additionally, I have briefed and argued seven federal appeals.

i. What percentage of these trials were:

1. jury: 100%
2. non-jury: 0%

e. Describe your practice, if any, before the Supreme Court of the United States. Supply four (4) copies of any briefs, amicus or otherwise, and, if applicable, any oral argument transcripts before the Supreme Court in connection with your practice.

I have not practiced before the United States Supreme Court.

17. **Litigation:** Describe the ten (10) most significant litigated matters which you personally handled, whether or not you were the attorney of record. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the case. Also state as to each case:

- a. the date of representation;
- b. the name of the court and the name of the judge or judges before whom the case was litigated; and
- c. the individual name, addresses, and telephone numbers of co-counsel and of principal counsel for each of the other parties.

1. *United States v. Musleh*, 1:16-CR-190-SEB-MJD (S.D. Ind. Sept. 14, 2016)

From 2016 to 2018, I, along with co-counsel, prosecuted a United States citizen for attempting to provide material support and resources to the Islamic State of Iraq and the Levant, a designated foreign terrorist organization. Until my appointment to the bench, I

served as lead counsel managing all pre-trial discovery, appearing in court on behalf of the United States, and managing all written work product submitted to the court. Eventually, the Defendant pled guilty, and was sentenced to 100 months in prison.

Judge:

Honorable Sarah Evans Barker
United States District Court, Southern District of Indiana

Co-counsel for the United States:

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Room 4073
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2700 Market Tower
10 West Market Street
Indianapolis, IN 46204
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Joe Howard Vaughn (Retired)
Bradley Paul Shepard
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10 West Market Street
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Thomas Anthony Durkin
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(312) 981-0123

2. *United States v. Davis*, 1:12-cr-105-SEB-KPF (S.D. Ind. Aug. 15, 2012)

From 2012 to 2017, I prosecuted a self-proclaimed member of the Sovereign Citizens Movement for unlawful possession of a firearm and body armor. As sole counsel, I completed the core trial tasks: investigating the case, developing trial strategy, preparing witnesses, drafting responses to discovery and pre-trial motions, conducting direct and cross examination of witnesses, and writing and delivering opening and closing arguments. The jury voted to convict the Defendant on all counts. The Defendant was sentenced to 46 months in prison. After the sentencing hearing, the Defendant appealed *pro se*, however, his appointed counsel asserted that the appeal was frivolous and sought to withdraw. The Seventh Circuit (No. 13-1595) granted counsel's motion and dismissed the appeal.

Judge:

Honorable Sarah Evans Barker
United States District Court, Southern District of Indiana

Counsel for Defendant:

James C. McKinley (Deceased)
William H. Dazey, Jr.
Indiana Federal Community Defenders
111 Monument Circle
Suite 3200
Indianapolis, IN 46204
(317) 383-3520

3. *United States v. Jordan*, 1:12-cr-102-48-TWP-DML (S.D. Ind. Oct. 17, 2012)

From 2013 to 2016, I, along with co-counsel, prosecuted a violent motorcycle club for trafficking cocaine in the Indianapolis community and surrounding areas. As co-counsel, I drafted and filed a series of substantive and procedural motions related to the matter. I assisted with the management of discovery, including witness statements and proffer statements during the discovery period. The case went to trial, and the Defendant was convicted. The Defendant was sentenced to 120 months in prison.

Judge:

Honorable Tanya Walton Pratt, Chief
United States District Court, Southern District of Indiana

Co-counsel for the United States:

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10 West Market Street
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(317) 226-6333

Counsel for Defendant:
Harold Samuel Ansell
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(317) 383-3520

4. *United States v. Wright et al.*, 2:13-cr-28-JMS-CMM (S.D. Ind. Aug. 20, 2013)

From 2013 to 2016, I, along with co-counsel, prosecuted a large-scale drug trafficking organization. The case presented numerous challenges, including multi-agency coordination, infiltrating the drug organization, and managing pre-trial discovery. During the execution of a federal search warrant, law enforcement recovered pounds of methamphetamine, a vast number of firearms, and thousands in cash. As lead counsel, I handled all negotiations on behalf of the United States Attorney's Office. The litigation resulted in all Defendants pleading guilty. The lead Defendant was sentenced to 144 months in prison.

Judge:
Honorable Jane Magnus Stinson
United States District Court, Southern District of Indiana

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5. *United States v. Mays*, 819 F.3d 951 (7th Cir. 2016)

From 2013 to 2016, I prosecuted the Defendant, a convicted felon, for possessing a firearm unlawfully. The case involved significant litigation during the pretrial phase, including a motion to suppress and suppression hearing. I represented the government at the suppression hearing. The issue presented was whether the police officer's intentional encounter with the Defendant was a seizure for purposes of the Fourth Amendment. At the conclusion of the hearing, the court denied the Defendant's motion, and he entered a conditional plea of guilty. The Defendant was sentenced to 96 months in prison. On appeal, I represented the United States arguing the case before a panel of judges (Seventh Circuit Judges Joel Flaum and Kenneth Ripple, and District Judge James Peterson sitting by designation). The Seventh Circuit affirmed the district court's denial of the suppression motion.

Judge:

Honorable Jane Magnus-Stinson
United States District Court, Southern District of Indiana

Counsel for Defendant:

Joseph Martin Cleary
Indiana Federal Community Defenders
111 Monument Circle
Suite 3200
Indianapolis, IN 46204
(317) 383-3520

6. *United States v. Donelli*, 747 F.3d 936 (7th Cir. 2014)

From 2012 to 2014, I prosecuted the Defendant for tax evasion and wire fraud. In this case, the Defendant had fraudulently swindled almost \$443,000 from an elderly couple. The Defendant failed to report any of this money to the IRS as income. The Defendant entered a conditional plea of guilty, and was sentenced to 60 months in prison. On appeal, I wrote and filed the appellee brief on behalf of the United States and argued the case before a panel of judges on the Seventh Circuit (Circuit Judges Frank Easterbrook, Daniel Manion, and David Hamilton). The Seventh Circuit affirmed the sentencing decision of the district court.

Judge:

Honorable Sarah Evans Barker
United States District Court, Southern District of Indiana

Counsel for Defendant:

Joseph Martin Cleary
Indiana Federal Community Defenders
111 Monument Circle
Suite 3200
Indianapolis, IN 46204
(317) 383-3520

7. *United States v. Moench*, 1:11-cr-22-JMS-KPF (S.D. Ind. June 28, 2010)

From 2011 to 2012, I, along with co-counsel, prosecuted a mother who allowed her children to be used to assist with a bank robbery. The violent nature of the charges, the circumstances of the Defendant and the age of the minors made the case particularly challenging. I took the lead of working with our victim-witness coordinator to investigate the case, prepare the witnesses, and to support the minor children through the criminal justice process. Eventually, the Defendant pled guilty, and was sentenced to 41 months in prison.

Judge:

Honorable Jane Magnus-Stinson
United States District Court, Southern District of Indiana

Co-counsel for the United States:

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Indianapolis, IN 46204
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Counsel for Defendant:

Stephen W. Dillion
Attorney at Law

3601 North Pennsylvania Street
Indianapolis, IN 46205-2920
(317) 923-9391

8. *United States v. Allen*, 1:14-cr-71-WTL-DKL (S.D. Ind. Mar. 14, 2014)

In 2014 to 2015, I, along with co-counsel, prosecuted the Defendant for trafficking methamphetamine using the United States Postal Service. A search of the Defendant's residence revealed numerous firearms, large quantities of methamphetamine and other illegal substances, and thousands of dollars in United States currency. The Defendant pled guilty to the charges. As lead counsel, I managed the investigation, prepared responses to written discovery, and argued for an appropriate sentence at the sentencing hearing. The Defendant was sentenced to 114 months in prison.

Judge:

Honorable William T. Lawrence
United States District Court, Southern District of Indiana

Co-counsel for the United States:

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Barnes & Thornburg, LLP
11 South Meridian Street
Indianapolis, IN 46204
(317) 231-6446

Counsel for Defendant:

Gwendolyn Beitz
Indiana Federal Community Defenders
111 Monument Circle
Suite 3200
Indianapolis, IN 46204
(317) 383-3520

9. *United States v. Smith*, 544 F.3d 781 (7th Cir. 2008)

From 2006 to 2008, I, along with co-counsel, prosecuted a convicted felon who unlawfully possessed and then sold 14 stolen firearms to an undercover agent. As lead counsel, I completed the core trial tasks: investigating the case, developing trial strategy, preparing witnesses, drafting responses to discovery, conducting direct and cross examination of witnesses, and writing and delivering opening and closing arguments. The jury voted to convict the Defendant. At sentencing, the district court found that the Defendant qualified for an enhanced sentence under the Armed Career Criminal Act, and sentenced him to 240 months imprisonment. Following the district court's decision, the Supreme Court in *Begay v. United States*, 553 U.S. 137 (2008), ruled that prior felony convictions qualifying under the residual clause of the Armed Career Criminal Act would need to be similar to the provision's listed example crimes. The Defendant appealed his

sentence arguing that crimes requiring only a *mens rea* of recklessness could not be considered violent felonies under the residual clause of the Armed Career Criminal Act. On appeal, I wrote and filed the appellee brief on behalf of the United States and argued the case before a panel of judges on the Seventh Circuit (Circuit Judges Ann C. Williams, Terence Evans, and Kenneth Ripple). The Seventh Circuit remanded the case for resentencing finding that the Defendant's prior Indiana convictions for criminal recklessness were not predicate violent felonies under the Armed Career Criminal Act. Upon resentencing, the Defendant was sentenced to 120 months in prison.

Judge:

Honorable Sarah Evans Barker
United States District Court, Southern District of Indiana

Co-counsel for the United States:

Gayle Helart
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Two Renaissance Square
40 North Central Avenue, Suite 1800
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(602) 514-7500

Counsel for Defendant:

Jack Crawford
Crawford & DeVane
1050 North College Avenue
Indianapolis, IN 46202
(317) 262-1052

10. *United States v. Spells*, 537 F.3d 743 (7th Cir. 2008)

From 2006 to 2008, I, along with co-counsel, prosecuted the Defendant, an Armed Career Criminal, for robbery of a local restaurant. As lead counsel, I completed the core trial tasks: investigating the case, developing trial strategy, preparing witnesses, drafting responses to discovery, conducting direct and cross examination of witnesses, and writing and delivering opening and rebuttal arguments. The jury voted to convict the Defendant, and he appealed his sentencing maintaining that his prior Indiana conviction of fleeing an officer in a vehicle was not a predicate violent felony under the Armed Career Criminal Act. The district court sentenced the Defendant to 346 months imprisonment – 262 months, concurrent, on Counts 1 and 3, with a consecutive sentence of 84 months on Count 2. On appeal, I wrote and filed the appellee brief on behalf of the United States and argued the case before a panel of judges on the Seventh Circuit (Circuit Judges William Bauer, Joel Flaum, and Michael Kanne). The Seventh Circuit found that fleeing an officer in a vehicle constituted a "violent felony" under the Armed Career Criminal Act, however, ordered a limited remand for the district court to clarify the sentence. On remand, the Defendant was sentenced to 346 months imprisonment – 240 months on Count 1 and 262 months on Count 3, concurrent, with a consecutive sentence of 84

months on Count 2.

Judge:

Honorable Sarah Evans Barker
United States District Court, Southern District of Indiana

Co-counsel for the United States:

Sharon M. Jackson
Office of Governor Eric J. Holcomb
Assistant General Counsel
302 West Washington Street
Room C553
Indianapolis, IN 46204
(317) 232-4567

Counsel for Defendant:

Juval O. Scott
Office of the Public Defender
Western District of Indiana
210 First Street Southwest
Suite 400
Roanoke, VA 24011
(540) 777-0880

18. **Legal Activities:** Describe the most significant legal activities you have pursued, including significant litigation which did not progress to trial or legal matters that did not involve litigation. Describe fully the nature of your participation in these activities. List any client(s) or organization(s) for whom you performed lobbying activities and describe the lobbying activities you performed on behalf of such client(s) or organizations(s). (Note: As to any facts requested in this question, please omit any information protected by the attorney-client privilege.)

As a federal prosecutor, in addition to handling matters of matters of national security, I also served as the reentry and prevention coordinator at the United States Attorney's Office. As re-entry coordinator, I worked with the Southern District of Indiana's Re-entry A.nd C.ommunity H.elp (REACH) federal reentry court. I collaborated with federal judges, probation officers, defense counsel, and community leaders to develop the court. The re-entry court was termed REACH to reflect the program's goal of reducing the number of defendants who fail to successfully reintegrate into the community after their release from the Bureau of Prisons. I worked with various social services agencies, faith-based organizations, the Bureau of Prisons re-entry liaisons, community groups, and employers to identify resources and support for recently released offenders. REACH gives formerly incarcerated individuals access to resources, barrier busters, community leaders, law enforcement officials, and United States Department of Justice personnel, who are all working to assist these individuals transition back into the community.

In addition, in my role as prevention coordinator, I conducted two multi-agency prevention programs at a public middle school in a high-crime area of Indianapolis. The first program focused on the role that young people, through their own decisions, can play in reducing gun violence. Following my participation in Career Day at the middle school, I was compelled to develop an innovative approach to crime prevention. Working with the school administration, I spearheaded an after-school mentoring program for female students centered around the students collaborating with federal prosecutors, teachers, police officers, and legal professionals to create a “positive” work of art. Through this mural project, the students gained a deeper trust for those committed to serving their community, and an opportunity to make a lasting impact on their neighborhood.

As a United States Magistrate Judge in the Southern District of Indiana, I have volunteered to oversee my courthouse’s summer internship program in Indianapolis, Indiana. In this role, I work with courthouse staff to identify programming that offers our summer interns the opportunity to meet and network with federal judges, perform a variety of legal tasks including legal research and memo writing, and attend substantive interactive programming. In addition to this role, I lead the court’s efforts in coordinating our annual Federal Courts Day with Just the Beginning – A Pipeline Organization which is focused on introducing high school students from underrepresented groups to the practice of law.

I have never performed any lobbying activities, and I have never registered as a lobbyist.

19. **Teaching:** What courses have you taught? For each course, state the title, the institution at which you taught the course, the years in which you taught the course, and describe briefly the subject matter of the course and the major topics taught. If you have a syllabus of each course, provide four (4) copies to the committee.

I have taught the following courses:

Pre-Trial Litigation: Criminal Practice, Winter Intercession 2016, 2017, 2019, and 2022, Indiana University Maurer School of Law – This one-week course introduces students to the unique legal and practical issues involved in developing and prosecuting a federal criminal case. The students primarily work with case studies and in-class simulations of criminal pretrial procedures. Syllabi for 2016, 2019, and 2022 supplied.

Criminal Procedure Adjudication, Fall 2015 Indiana University McKinney School of Law – This course surveyed laws and institutions designed to prosecute crime, protect fundamental constitutional rights, and manage the criminal justice system as it exists today. Syllabi supplied.

Collegiate Mock Trial Team, Fall 2007 – Spring 2009, Butler University – This course provided undergraduate students with engaging trial simulations and students participated in intercollegiate mock trial competitions. Syllabi supplied.

20. **Deferred Income/ Future Benefits**: List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients or customers. Describe the arrangements you have made to be compensated in the future for any financial or business interest.

None.

21. **Outside Commitments During Court Service**: Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service with the court? If so, explain.

None.

22. **Sources of Income**: List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, licensing fees, honoraria, and other items exceeding \$500 or more (if you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here).

When my nomination is formally submitted to the Senate, I will file my Financial Disclosure Report and will supplement this Questionnaire with a copy of that Report.

23. **Statement of Net Worth**: Please complete the attached financial net worth statement in detail (add schedules as called for).

See attached Net Worth Statement.

24. **Potential Conflicts of Interest**:

- a. Identify the family members or other persons, parties, categories of litigation, and financial arrangements that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to arise.

No family members or other persons, parties, categories of litigation or financial arrangements are likely to present potential conflicts of interest for me. If confirmed, I would handle any matters involving actual or potential conflicts of interest by applying the Code of Conduct for United States Judges, 28 U.S.C. § 455, and any other relevant ethical canons or rules.

- b. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.

If confirmed, I would handle any matters involving actual or potential conflicts of

interest by applying the Code of Conduct for United States Judges, 28 U.S.C. § 455, and any other relevant ethical canons or rules.

25. **Pro Bono Work:** An ethical consideration under Canon 2 of the American Bar Association's Code of Professional Responsibility calls for "every lawyer, regardless of professional prominence or professional workload, to find some time to participate in serving the disadvantaged." Describe what you have done to fulfill these responsibilities, listing specific instances and the amount of time devoted to each.

As a United States Magistrate Judge, I am not permitted to represent clients in any capacity. I routinely, however, contribute my time to continuing legal education organizations and participate in educational seminars sponsored by local bar associations and law schools.

Prior to my judicial appointment, while serving with the Department of Justice, I was selected to represent the United States Attorney's Office in our district's efforts in 2007 to develop a program that would assist formerly incarcerated individuals' successful reintegration into society upon their release from the federal Bureau of Prisons. In my role as the Assistant United States Attorney assigned to REACH, I assisted the Court in reducing the number of returning citizens who would have failed to successfully transition by providing alternative sanctions for participants who violated their conditions of release, identifying motivational speakers for monthly court hearings, encouraging employers to hire returning citizens, securing medical services and basic necessities for participants and their families, organizing city-wide reentry job fairs, and coordinating with community leaders to provide holistic reentry services.

In 2015, I was able to facilitate an innovative collaborative effort between the U.S. Attorney's Office, the Indiana University Robert H. McKinney School of Law, and REACH. Through my role on the Court, REACH was able to expand its varied resources to reduce recidivism and future victimization, enhance public safety, and improve the lives of communities, victims and returning citizens.

In addition, I continue to volunteer my time and resources to supporting pipeline efforts toward increasing diversity and inclusion in the Indianapolis legal community. As a member of the Indianapolis Steering Committee of Just the Beginning, I hosted the 2018 and 2019 Southern District of Indiana Federal Courts Day which provided Indiana high school students from underrepresented communities with an opportunity to visit the federal court, speak with judges and court personnel and participate in mock criminal proceedings.

26. **Selection Process:**

- a. Please describe your experience in the entire judicial selection process, from beginning to end (including the circumstances which led to your nomination and the interviews in which you participated). Is there a selection commission in your jurisdiction to recommend candidates for nomination to the federal courts? If so,

please include that process in your description, as well as whether the commission recommended your nomination. List the dates of all interviews or communications you had with the White House staff or the Justice Department regarding this nomination. Do not include any contacts with Federal Bureau of Investigation personnel concerning your nomination.

On January 24, 2022, staff for Senator Michael Braun contacted me regarding the vacancy on the United States Court of Appeals for the Seventh Circuit, and the following day, on January 25, 2022, met with me to discuss the possible nomination. On January 26, 2022, staff for Senator Todd Young contacted me and inquired whether I was interested in being considered for the nomination. On January 27, 2022, an official from the White House Counsel's Office contacted me to schedule a meeting to discuss the judicial vacancy on the Seventh Circuit Court of Appeals. On January 28, 2022, I met with attorneys from the White House Counsel's Office. Since that date, I have been in contact with officials from the Office of Legal Policy at the Department of Justice. On May 25, 2022, the President announced his intent to nominate me.

- b. Has anyone involved in the process of selecting you as a judicial nominee discussed with you any currently pending or specific case, legal issue or question in a manner that could reasonably be interpreted as seeking any express or implied assurances concerning your position on such case, issue, or question? If so, explain fully.

No.