

UNITED STATES SENATE
COMMITTEE ON THE JUDICIARY

QUESTIONNAIRE FOR JUDICIAL NOMINEES

PUBLIC

1. **Name**: State full name (include any former names used).

Sarala Vidya Nagala

2. **Position**: State the position for which you have been nominated.

United States District Judge for the District of Connecticut

3. **Address**: List current office address. If city and state of residence differs from your place of employment, please list the city and state where you currently reside.

United States Attorney's Office
District of Connecticut
157 Church Street, 25th Floor
New Haven, Connecticut 06510

4. **Birthplace**: State year and place of birth.

1983; Oakes, North Dakota

5. **Education**: List in reverse chronological order each college, law school, or any other institution of higher education attended and indicate for each the dates of attendance, whether a degree was received, and the date each degree was received.

2005 – 2008, University of California, Berkeley, School of Law; J.D., 2008
2001 – 2005, Stanford University; B.A. with Distinction and Interdisciplinary Honors,
2005

6. **Employment Record**: List in reverse chronological order all governmental agencies, business or professional corporations, companies, firms, or other enterprises, partnerships, institutions or organizations, non-profit or otherwise, with which you have been affiliated as an officer, director, partner, proprietor, or employee since graduation from college, whether or not you received payment for your services. Include the name and address of the employer and job title or description.

2012 – present
United States Attorney's Office for the District of Connecticut
157 Church Street, 25th Floor
New Haven, Connecticut 06510

Deputy Chief, Major Crimes Unit (November 2017 – present)
Deputy Chief, Major Crimes and National Security Unit (November 2016 – November 2017)
Assistant United States Attorney (2012 – present)

2017 – 2019
Yale Law School
127 Wall Street
New Haven, Connecticut 06520
Visiting Clinical Lecturer in Law (Prosecution Externship)

2009 – 2012
Munger, Tolles, & Olson LLP
560 Mission Street, 27th Floor
San Francisco, California 94105
Associate

2008 – 2009
The Honorable Susan P. Graber
United States Court of Appeals for the Ninth Circuit
700 Southwest 6th Avenue
Portland, Oregon 97204
Law Clerk

January 2008 – May 2008
United States Attorney's Office for the Northern District of California
1301 Clay Street
Oakland, California 94612
Law Student Intern

August 2007 – May 2008
Berkeley Center for Law and Technology
356 Boalt Hall
Berkeley, California 94720
Graduate Student Researcher

January 2007 – May 2008
University of California, Berkeley, School of Law
Stephen Bundy, Professor of Law
312 Boalt Hall
Berkeley, California 94720
Research Assistant

Summer 2007
Munger, Tolles, & Olson LLP
350 South Grand Avenue, 50th Floor

Los Angeles, California 90071
Summer Associate

Summer 2007
Quinn Emanuel Urquhart & Sullivan, LLP
50 California Street, #22
San Francisco, California 94111
Summer Associate

Summer 2006
The Honorable Carlos Moreno
Associate Justice, Supreme Court of California
350 McAllister Street
San Francisco, California 94102
Law Student Intern

Summer 2006
Samuelson Law, Technology, & Public Policy Clinic
University of California, Berkeley, School of Law
396 Simon Hall
Berkeley, California 94720
Graduate Student Researcher

Summer 2005
Hewlett Packard
1100 New York Avenue, Northwest, Suite 600
Washington, District of Columbia 20005
Eben Tisdale Fellow

7. **Military Service and Draft Status:** Identify any service in the U.S. Military, including dates of service, branch of service, rank or rate, serial number (if different from social security number) and type of discharge received, and whether you have registered for selective service.

I did not serve in the military. I was not required to register for the selective service.

8. **Honors and Awards:** List any scholarships, fellowships, honorary degrees, academic or professional honors, honorary society memberships, military awards, and any other special recognition for outstanding service or achievement.

United States Attorney's Office for the District of Connecticut's "Standing Tall" Award (2018)

Community Service Award, Muslim Community of Connecticut (2017)

Executive Office for United States Attorneys Director's Award for Superior Performance as a Criminal Assistant United States Attorney (2015)

United States Attorney's Office for the District of Connecticut's "Michael Collins" Award (2015)

ACLU of Southern California's Courageous Advocacy Award (2011)

Order of the Coif Academic Honor Society (inducted 2008)

California Law Review, Member (2007 – 2008)

University of California, Berkeley, School of Law Prosser Prize, Introduction to Intellectual Property (2006)

Advocacy Award in First-Year Writing Program (2006)

University of California, Berkeley, School of Law Prosser Prize, Civil Procedure (2005)

Phi Beta Kappa Academic Honor Society (inducted 2005)

Stanford University Lloyd W. Dinkelspiel Award for Outstanding Contributions to Undergraduate Education (2005)

Stanford University Ann C. Seminara Award for Outstanding Public Policy Senior (2005)

Eben Tisdale Fellowship in Public Policy (2005)

9. **Bar Associations:** List all bar associations or legal or judicial-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices which you have held in such groups.

Connecticut Bar Association Committee on Human Trafficking, Member (2018 – present)

South Asian Bar Association of Connecticut, Member (2013 – present)

The Bar Association of San Francisco (2010 – 2012)

10. **Bar and Court Admission:**

- a. List the date(s) you were admitted to the bar of any state and any lapses in membership. Please explain the reason for any lapse in membership.

California, 2008

There have been no lapses in my California bar membership.

- b. List all courts in which you have been admitted to practice, including dates of admission and any lapses in membership. Please explain the reason for any lapse in membership. Give the same information for administrative bodies that require

special admission to practice.

Supreme Court of the United States, 2015
U.S. Court of Appeals for the Second Circuit, 2012
U.S. Court of Appeals for the Ninth Circuit, 2009
U.S. Court of Appeals for the Federal Circuit, 2010
U.S. District Court for the Central District of California, 2009
U.S. District Court for the Northern District of California, 2009
U.S. District Court for the Southern District of California, 2011

There have been no lapses in membership. Consistent with District of Connecticut practice for government lawyers who are barred out of state, I have been admitted pro hac vice to the U.S. District Court for the District of Connecticut since 2012.

11. Memberships:

- a. List all professional, business, fraternal, scholarly, civic, charitable, or other organizations, other than those listed in response to Questions 9 or 10 to which you belong, or to which you have belonged, since graduation from law school. Provide dates of membership or participation, and indicate any office you held. Include clubs, working groups, advisory or editorial boards, panels, committees, conferences, or publications.

Yale Law School Early Learning Center, Parent Board Member (2019 – 2020)
Yale University, Pauli Murray College, Fellow (2017 – present)

- b. The American Bar Association's Commentary to its Code of Judicial Conduct states that it is inappropriate for a judge to hold membership in any organization that invidiously discriminates on the basis of race, sex, or religion, or national origin. Indicate whether any of these organizations listed in response to 11a above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies. If so, describe any action you have taken to change these policies and practices.

To the best of my knowledge, the organizations listed in response to 11a do not currently and did not formally discriminate on the basis of race, sex, religion, or national origin, either through formal membership requirements or the practical implementation of membership policies.

12. Published Writings and Public Statements:

- a. List the titles, publishers, and dates of books, articles, reports, letters to the editor, editorial pieces, or other published material you have written or edited, including material published only on the Internet. Supply four (4) copies of all published material to the Committee.

With Seema Patel, *Public Policy Considerations of Water Damage Exclusions in Hurricane Insurance Policies* (Apr. 2006). Paper written for “Disasters and the Law” course at University of California, Berkeley, School of Law, Professor Dan Farber, Spring 2006 Term. Copy supplied.

“OM”: *Hinduism in American Pop Culture: Global Strategy or Sacrilegious Mistake*, published in Ann Waters, *Global Exchange: Reading and Writing in a World Context*, Stanford University (2005). Copy supplied.

India’s Story of Success: Promoting the Information Technology Industry, Stanford Journal of Internal Relations, Emerging Powers, Vol. VI, No. 1 (Winter 2005). Copy supplied.

How to Avoid the Beggars, published in Vagabond, Stanford University travel journal, Vol. 1, Issue 1 (2004) and *Voices: Rhythms Literary Magazine*, Stanford University (Feb. 21, 2004). Copies supplied.

- b. Supply four (4) copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of on behalf of any bar association, committee, conference, or organization of which you were or are a member. If you do not have a copy of a report, memorandum or policy statement, give the name and address of the organization that issued it, the date of the document, and a summary of its subject matter.

None.

- c. Supply four (4) copies of any testimony, official statements or other communications relating, in whole or in part, to matters of public policy or legal interpretation, that you have issued or provided or that others presented on your behalf to public bodies or public officials.

None.

- d. Supply four (4) copies, transcripts or recordings of all speeches or talks delivered by you including commencement speeches, remarks, lectures, panel discussions, conferences, political speeches, and question-and-answer sessions. Include the date and place where they were delivered, and readily available press reports about the speech or talk. If you do not have a copy of the speech or a transcript or recording of your remarks, give the name and address of the group before whom the speech was given, the date of the speech, and a summary of its subject matter. If you did not speak from a prepared text, furnish a copy of any outline or notes from which you spoke.

January 19, 2021: Presenter (Virtual), “Federal Anti-Human Trafficking Efforts,” Response to Recovery Conference, Connecticut Department of Children and

Families. Presentation supplied.

September 24, 2020: Guest Speaker (Virtual), University of Connecticut School of Law Civil Rights Externship Course, co-taught by various Assistant U.S. Attorneys. I spoke about human trafficking investigations and prosecutions. I have no notes, transcript, or recording. The address of the University of Connecticut School of Law is 55 Elizabeth Street, Hartford, Connecticut, 06105.

March 4, 2020: Panelist, "Partnership to Combat Modern Slavery Symposium," Grace Farms Foundation, New Canaan, Connecticut. Presentation and press release supplied.

June 14, 2019: Award Presenter, U.S. Attorney's Office Law Enforcement Awards Ceremony, New Haven, Connecticut. Prepared remarks supplied.

May 22, 2019: Presenter, "Federal Anti-Human Trafficking Efforts," Response to Recovery Conference, Connecticut Department of Children and Families, Central Connecticut State University, New Britain, Connecticut. Presentation supplied.

April 17, 2019: Presenter, "Federal Labor Trafficking Red Flags and Investigations," for U.S. Department of Labor, Occupational Safety and Health Administration investigators, Hartford, Connecticut. Presentation supplied.

April 12, 2019: Presenter, Human Trafficking Investigations for Hotel Employees, Holiday Inn, Bridgeport, Connecticut. The presentation was on federal sex trafficking investigations and prosecutions, with a focus on how hotel workers can identify indicators of sex trafficking. I have no notes, transcript, or recording. The address for the Holiday Inn is 1070 Main Street, Bridgeport, Connecticut 06604.

March 25, 2019: Presenter, Human Trafficking Investigations for Hotel Employees Training, Residence Inn by Marriott Hartford. The presentation was on federal sex trafficking investigations and prosecutions, with a focus on how hotel workers can identify indicators of sex trafficking. I have no notes, transcript, or recording. The address for the Residence Inn by Marriott Hartford is 680 Cromwell Avenue, Rocky Hill, Connecticut, 06067.

March 25, 2019: Presenter, Human Trafficking Investigations for Hotel Employees Training, Best Western Hartford Hotel & Suites. The presentation was on federal sex trafficking investigations and prosecutions, with a focus on how hotel workers can identify indicators of sex trafficking. I have no notes, transcript, or recording. The address for the Best Western Hartford Hotel & Suites is 185 Brainard Road, Hartford, Connecticut 06114.

March 21, 2019: Presenter, "Federal Sex Trafficking Cases: Trial Preparation and Trial," for Employees of the Department of Children and Families,

Connecticut Police Officer Standards and Training Council (Connecticut Police Academy), Meriden, Connecticut. Presentation supplied.

February 27, 2019: Presenter, "Federal Labor Trafficking Red Flags and Investigations," for U.S. Department of Labor, Occupational Safety and Health Administration investigators, Bridgeport, Connecticut. Presentation supplied.

December 19, 2018: Presenter, Human Trafficking Investigations for Hotel Employees Training, Fairfield Inn by Marriott Hotel, Windsor Locks, Connecticut. The presentation was on federal sex trafficking investigations and prosecutions, with a focus on how hotel workers can identify indicators of sex trafficking. I have no notes, transcript, or recording. The address for the Fairfield Inn by Marriott Hotel is 2 Loten Drive, Windsor Locks, Connecticut 06096.

December 13, 2018: Presenter, Human Trafficking Investigations for Hotel Employees Training, Wyndham Southbury Hotel, Southbury, Connecticut. The presentation was on federal sex trafficking investigations and prosecutions, with a focus on how hotel workers can identify indicators of sex trafficking. I have no notes, transcript, or recording. The address for the Wyndham Southbury Hotel is 1284 Strongtown Road, Southbury, Connecticut 06488.

October 23, 2018: Presenter, "Ending Sexual Harassment in Housing: Education and Outreach Initiative," Connecticut Fair Housing Center, Hartford, Connecticut. Presentation supplied.

September 19, 2018: Presenter, Human Trafficking Investigations for Hotel Employees Training, Howard Johnson Hotel, Milford, Connecticut. The presentation was on federal sex trafficking investigations and prosecutions, with a focus on how hotel workers can identify indicators of sex trafficking. I have no notes, transcript, or recording. The address for the Howard Johnson Hotel was 1052 Boston Post Road, Milford, Connecticut 06460. This hotel has since closed.

April 7, 2018: Panelist, Yale Child Study Center and Yale Undergraduate Students for UNICEF, "2018 Conference on Child Trafficking," Yale University, New Haven, Connecticut. I spoke generally about federal human trafficking investigations and prosecutions. I have no notes, transcript, or recording. The address for the Yale Child Study Center is 230 S. Frontage Road, New Haven, Connecticut 06519. The address for Yale Undergraduate Students at UNICEF is 67 High Street, New Haven, Connecticut 06511.

November 6, 2017: Presenter, Cultural Competency Training, New Britain Police Department, New Britain, Connecticut. Presentation supplied.

October 23, 2017: Presenter, Cultural Competency Training, New Britain Police Department, New Britain, Connecticut. I used the same presentation that was provided for the Cultural Competency Training on November 6, 2017.

October 3, 2017: Presenter, "Federal Anti-Human Trafficking Efforts," Connecticut State's Attorney's Meeting, Rocky Hill, Connecticut. Presentation supplied.

September 14, 2017: Guest Speaker, University of Connecticut School of Law Civil Rights Externship Course, co-taught by various Assistant U.S. Attorneys, Hartford, Connecticut. Presentation supplied.

July 11, 2017: Presenter, "Federal Labor Trafficking Red Flags and Investigations," Connecticut Department of Labor, Wethersfield, Connecticut. Presentation supplied.

June 20, 2017: Panelist, National Student Leadership Conference Law and Advocacy Class, Yale University, New Haven, Connecticut. Along with other panelists who worked in federal criminal law, I discussed my educational and career path and my work at the United States Attorney's Office. I have no notes, transcript, or recording. The address for the National Student Leadership Conference is 320 West Ohio Street, Suite 4W, Chicago, Illinois, 60654.

June 14, 2017: Award Presenter, U.S. Attorney's Office Law Enforcement Awards Ceremony. Prepared remarks and press coverage supplied.

June 7, 2017: Presenter, "Federal Anti-Human Trafficking Efforts," The Center for Family Justice, Bridgeport, Connecticut. Presentation supplied.

May 5, 2017: Speaker, "Hate Crimes," High School in the Community, New Haven, Connecticut. The presentation, which was for high school students, was on federal hate crimes and civil rights laws. I have no notes, transcript, or recording. The address for High School in the Community is 175 Water Street, New Haven, Connecticut 06511.

April 27, 2017: Presenter, Cultural Competency Training, Torrington Police Department, Torrington, Connecticut. I used the same presentation that was provided for the Cultural Competency Training on November 6, 2017.

April 24, 2017: Presenter, Cultural Competency Training, Torrington Police Department, Torrington, Connecticut. I used the same presentation that was provided for the Cultural Competency Training on November 6, 2017.

April 13, 2017: Presenter, "Federal Hate Crimes," Connecticut Police Officer Standards and Training Council (Connecticut Police Academy), Meriden, Connecticut. Presentation supplied.

April 11, 2017: Presenter, Cultural Competency Training, Torrington Police Department, Torrington, Connecticut. I used the same presentation that was

provided for the Cultural Competency Training on November 6, 2017.

April 4, 2017: Presenter, Human Trafficking for Hotels, Quinnipiac University Law School, North Haven, Connecticut. I spoke about federal human trafficking investigations and prosecutions, with a focus on how hotel workers can identify indicators of human trafficking. I have no notes, transcript, or recording. The address for Quinnipiac University Law School is 370 Bassett Road, North Haven, Connecticut 06473.

March 23, 2017: Presenter, Cultural Competency Training, Hamden Police Department, Hamden, Connecticut. I used the same presentation that was provided for the Cultural Competency Training on November 6, 2017.

March 20, 2017: Presenter, Cultural Competency Training, Hamden Police Department, Hamden, Connecticut. I used the same presentation that was provided for the Cultural Competency Training on November 6, 2017.

March 13, 2017: Presenter, Cultural Competency Training, Hamden Police Department, Hamden, Connecticut. I used the same presentation that was provided for the Cultural Competency Training on November 6, 2017.

February 28, 2017: Presenter, "Federal Anti-Human Trafficking Efforts," Transportation Safety Administration, Windsor Locks, Connecticut. Presentation supplied.

January 31, 2017: Speaker, Federal Human Trafficking Training, St. Francis Hospital, Hartford, Connecticut. A Special Agent of the FBI and I presented to hospital workers, including emergency room personnel, on the signs of human trafficking. I have no notes, transcript, or recording. The address for St. Francis Hospital is 114 Woodland Street, Hartford, Connecticut 06105.

January 18, 2017: Presenter, "Federal Anti-Human Trafficking Efforts," Connecticut Police Officer Standards and Training Council (Connecticut Police Academy), Meriden, Connecticut. Presentation supplied.

January 12, 2017: Speaker, "Human Trafficking Awareness," event sponsored by Connecticut Lodging Association. The presentation was on federal human trafficking investigations and prosecutions, with a focus on how hotel workers can identify indicators of human trafficking. I have no notes, transcript, or recording, but press coverage supplied. The address for the Connecticut Lodging Association is P.O. Box 1576, New Haven, Connecticut 06506.

December 1, 2016: Presenter, "Federal Anti-Human Trafficking Efforts," Connecticut Chief State's Attorney's Office, Rocky Hill, Connecticut. Presentation supplied.

November 9, 2016: Trainer, "Train the Trainer" Program on Human Trafficking hosted by Connecticut Department of Children and Families, Connecticut Police Officer Standards and Training Council (Connecticut Police Academy). The presentation was on federal human trafficking investigations and prosecutions, with an aim toward training officers to conduct human trafficking training events for their own departments. I have no notes, transcript, or recording. The address for the Connecticut Police Academy is 285 Preston Avenue, Meriden, Connecticut 06450.

September 22, 2016: Guest Speaker, University of Connecticut School of Law Civil Rights Externship Course, co-taught by various Assistant U.S. Attorneys, Hartford, Connecticut. Presentation supplied.

September 22, 2016: Guest Speaker, "Anti-Human Trafficking in the Global Context," course taught by Dr. Casey King, Director of the Capstone Program at the Jackson Institute for Global Affairs, Yale University, New Haven, Connecticut. The presentation was on federal human trafficking investigations and prosecutions. I have no notes, transcript, or recording. The address for the Jackson Institute for Global Affairs is Horchow Hall, 55 Hillhouse Avenue, New Haven, Connecticut 06511.

September 15, 2016: Presenter, Cultural Competency Training at Hartford Police Academy, Hartford, Connecticut. Presentation supplied.

July 28, 2016: Presenter, "Federal Hate Crimes and Civil Rights Laws," FBI Future Law Enforcement Youth Academy, Yale University, New Haven, Connecticut. Presentation supplied.

July 28, 2016: Presenter, "Federal Human Trafficking Training Investigations and Prosecutions," for U.S. Department of Labor Wage and Hour Division, New Haven, Connecticut. I spoke about federal human trafficking investigations and prosecutions, including both labor and sex trafficking. I have no notes, transcript, or recording. The address for the U.S. Department of Labor's Wage and Hour Division is 150 Court Street, New Haven, Connecticut 06510.

June 24, 2016: Presenter, "Federal Human Trafficking Training Investigations and Prosecutions," Connecticut Police Officer Standards and Training Council (Connecticut Police Academy). I spoke about federal human trafficking investigations and prosecutions. I have no notes, transcript, or recording. The address for the Connecticut Police Academy is 285 Preston Avenue, Meriden, Connecticut 06450.

June 17, 2016: Speaker, "Religious and Cultural Awareness," Hartford Seminary, Hartford, Connecticut. I spoke about federal hate crimes and civil rights laws. I have no notes, transcript, or recording, but press coverage supplied. The address for the Hartford Seminary is 77 Sherman Street, Hartford, Connecticut 06105.

June 8, 2016: Presenter, Hate Crimes Presentation for College and University Police Department Officers, Yale West Campus, Orange, Connecticut. The presentation was on federal hate crimes and civil rights laws. I have no notes, transcript, or recording. The address for Yale West Campus is 100 West Campus Drive, Orange, Connecticut 06477.

May 31, 2016: Speaker, "Protecting Your House of Worship," Al-Madany Islamic Center of Norwalk, Norwalk, Connecticut. I spoke to the congregation about federal hate crimes and civil rights laws. I have no notes, transcript, or recording. The address of Al-Madany Islamic Center of Norwalk is 1 Union Park South, Norwalk, Connecticut 06850.

May 20, 2016: Speaker, United States Attorney's Office Civil Rights Summit. I briefly addressed Muslim, Sikh, and Arab community leaders at the Summit. I have no notes, transcript, or recording, but press coverage supplied. The address for the United States Attorney's Office is 157 Church Street, 25th Floor, New Haven, Connecticut 06510.

May 16, 2016, Speaker, Committee for Hartford Area Against Trafficking Meeting, The Salvation Army – Hartford Citadel, Hartford, Connecticut. The presentation was on federal human trafficking investigations and prosecutions. I have no notes, transcript, or recording. The address for The Salvation Army – Hartford Citadel is 217 Washington Street, Hartford, Connecticut 06106.

May 4, 2016: Presenter, "Protecting Your House of Worship," Masjid Al Mustafa, Waterbury, Connecticut. I spoke to the congregation about federal hate crimes and civil rights laws. I have no notes, transcript, or recording. The address for Masjid Al Mustafa is 95 Schraffts Drive, Waterbury, Connecticut 06705.

May 1, 2016: Presenter, "Protecting Your House of Worship," Guru Tegh Bahudar Ji Foundation Sikh Temple, Norwalk, Connecticut. I spoke to the congregation about federal hate crimes and civil rights laws. I have no notes, transcript, or recording. The address for Guru Tegh Bahudar Ji Foundation Sikh Temple is 622 West Avenue, Norwalk, Connecticut 06850.

April 20, 2016: Speaker, U.S. Attorney's Office Multi-Cultural Advisory Council Launch with Muslim and Sikh Community Leaders. I welcomed attendees and spoke about the objectives of the Multi-Cultural Advisory Council, which included advising the U.S. Attorney about issues of concern to these specific communities. I have no notes, transcript, or recording. The address for the United States Attorney's Office is 157 Church Street, 25th Floor, New Haven, Connecticut 06510.

April 9, 2016: Speaker, "Protecting Your House of Worship," Gurdwara Nanak

Darbar Sikh Temple, Southington, Connecticut. I spoke to the congregation about federal hate crimes and civil rights laws. PowerPoint and press coverage supplied.

April 7, 2016: Presenter, "Domestic Minor Sex Trafficking: Raising Awareness to Empower Communities," delivered at "Confronting Slavery: Venture Smith to the Present" Conference, Connecticut Department of Energy and Environmental Protection, Hartford, Connecticut. Presentation supplied.

March 30, 2016: Speaker, Norwalk Pathways Academy, Norwalk, Connecticut. The presentation, which was for a small group of high school students, was on federal human trafficking investigations and prosecutions. I have no notes, transcript, or recording. The address for Norwalk Pathways Academy is 350 Main Avenue, Norwalk Connecticut 06851.

March 26, 2016: Speaker, "Protecting Your House of Worship," Masjid Al-Rawdah, Meriden, Connecticut. I spoke to the congregation about federal hate crimes and civil rights laws. I have no notes, transcript, or recording. The address for Masjid Al-Rawdah is 189 East Main Street, Meriden, Connecticut 06450.

March 22, 2016: Panelist, YWCA "Community Matters Conversation on Human Trafficking," Hartford, Connecticut. I spoke about federal human trafficking investigations and prosecutions. I have no notes, transcript, or recording, but press coverage supplied. The address for the YWCA is 135 Broad Street, Hartford, Connecticut 06105.

March 9, 2016: Presenter, "Train the Trainer" Program for Cultural Competency Training for Police Departments, Farmington Valley American Muslim Center, Avon, Connecticut. I spoke about the training program my office had launched for police departments on Islam and Sikhism. I have no notes, transcript, or recording. The address for the Farmington Valley American Muslim Center is 35 Harris Road, Avon, Connecticut 06001.

February 18, 2016: Presenter, "Train the Trainer" Program for Cultural Competency Training for Police Departments. I spoke about the training program my office had launched for police departments on Islam and Sikhism. I have no notes, transcript, or recording. This presentation took place at the U.S. Attorney's Office; the address is 157 Church Street, 25th Floor, New Haven, Connecticut 06510.

February 11, 2016: Panelist, YWCA "Community Matters" Panel Series, "#Islamophobia: Who is Spreading Anti-Islam Prejudice and What Can Be Done?," YWCA, Hartford, Connecticut. The presentation was on federal hate crimes and civil rights laws. I have no notes, transcript, or recording. The address for the YWCA is 135 Broad Street, Hartford, Connecticut 06105.

February 6, 2016: Speaker, "Protecting Your House of Worship," Islamic Center of Connecticut, Windsor, Connecticut. I spoke to the congregation about federal hate crimes and civil rights laws. I have no notes, transcript, or recording, but press coverage supplied. The address for the Islamic Center of Connecticut is 40 White Rock Drive, Windsor, Connecticut 06095.

January 28, 2016: Speaker, "Creating Positive Engagement with Muslim Students and Families," sponsored by the Anti-Defamation League of Connecticut and the Connecticut Association of Schools, Cheshire, Connecticut. To the best of my recollection, I spoke generally about federal civil rights and hate crimes laws. I have no notes, transcript, or recording. The address for the Anti-Defamation League of Connecticut is 1952 Whitney Avenue, #6, Hamden, Connecticut 06517. The address for the Connecticut Association of Schools is 30 Realty Drive, Cheshire, Connecticut 06410.

January 13, 2016: Speaker, Western Area Regional Police Chiefs Meeting, Western Area Regional Police Chiefs Association, Middlebury, Connecticut. I spoke about the recently-formed Connecticut Human Trafficking Task Force and about trainings available to police departments regarding human trafficking. I have no notes, transcript, or recording. The Western Area Regional Police Chiefs Association does not appear to have a mailing address.

January 4, 2016: Speaker, Synergy High School Class, East Hartford, Connecticut. At this event, another Assistant U.S. Attorney and I spoke to a group of students at an alternative high school. I believe the topics included the criminal justice system and careers in law enforcement/law. I have no notes, transcript, or recording. The address for Synergy High School is 40 Butternut Drive, East Hartford, Connecticut 06118.

December 19, 2015: Panelist, Anti-Bullying and Outreach Event, Baitul Aman House of Peace Mosque, Meriden, Connecticut. My presentation was on federal hate crimes and civil rights laws. I have no notes, transcript, or recording, but press coverage supplied. The address for the Baitul Aman House of Peace Mosque, Meriden, Connecticut is 410 Main Street, Meriden, Connecticut 06451.

December 8, 2015: Guest Speaker, "Big Data and Global Policies," course taught by Dr. Casey King, Director of the Capstone Program at the Jackson Institute for Global Affairs, Yale University, New Haven, Connecticut. I spoke to the class about human trafficking investigations and prosecutions. I have no notes, transcript, or recording. The address for the Jackson Institute for Global Affairs is Horchow Hall, 55 Hillhouse Avenue, New Haven, Connecticut 06511.

December 6, 2015: Panelist, Anti-Bullying and Outreach Event, Islamic Center of Connecticut, Windsor, Connecticut. My presentation was on federal hate crimes and civil rights laws. I have no notes, transcript, or recording. The

address for the Islamic Center of Connecticut is 40 White Rock Drive, Windsor, Connecticut 06095.

November 18, 2015: Panelist, Pre-Law Panel, Branford College, Yale University, New Haven, Connecticut. I spoke to undergraduate students about my educational and career path. I have no notes, transcript, or recording. The address for Branford College, Yale University, is 74 High Street, New Haven, Connecticut 06511.

October 23, 2015: Moderator, Class of 2005 Class Panel, Stanford University Class of 2005 Reunion, Stanford Alumni Association, Stanford, California. I served as one of two moderators of a panel on which six of my college classmates discussed their career and personal paths since graduation. I have no notes, transcript, or recording. The address of the Stanford Alumni Association is Frances C. Arrillaga Alumni Center, 326 Galvez Street, Stanford, California, 94305.

July 17, 2015: Panelist, National Student Leadership Conference Law and Advocacy Class, Yale University, New Haven, Connecticut. I discussed my educational and career path, along with my work at the United States Attorney's Office. I have no notes, transcript, or recording. The address for the National Student Leadership Conference is 320 West Ohio Street, Suite 4W, Chicago, Illinois, 60654.

June 24, 2015: Award Presenter, U.S. Attorney's Office Law Enforcement Awards Ceremony. Prepared remarks supplied.

May 27, 2015: Panelist, "Women in Public Safety," CREC Public Safety Academy, Enfield, Connecticut. I discussed my educational and career path and my work at the United States Attorney's Office. I have no notes, transcript, or recording. The address for CREC Public Safety Academy is 1617 King Street, Enfield, Connecticut 06082.

May 15, 2015: Panelist, Anti-Bullying and Outreach Event, Madina Academy, Windsor, Connecticut. My presentation was on federal hate crimes and civil rights laws. I have no notes, transcript, or recording. The address for Madina Academy is 519 Palisado Avenue, Windsor, Connecticut 06095.

October 20, 2014: Group Discussion Facilitator, "Dinner and a Movie," University of Bridgeport, Bridgeport, Connecticut. Another Assistant U.S. Attorney, a member of the Department of Justice's Community Relations Service, and I facilitated small-group discussions after a screening of the film, "Inside Islam: What a Billion Muslims Really Think." I have no notes, transcript, or recording. The address for the University of Bridgeport is 126 Park Avenue, Bridgeport, Connecticut 06604.

September 5, 2014: Panelist, Diversity Training, Central Connecticut State University, New Britain, Connecticut. To the best of my recollection, another Assistant U.S. Attorney, a member of the Department of Justice's Community Relations Service, and I spoke to members of the faculty and staff about how to engage with Arab, Muslim, and Sikh students. I have no notes, transcript, or recording. The address for Central Connecticut State University is 1615 Stanley Street, New Britain, Connecticut 06050.

June 18, 2014: Panelist, National Student Leadership Conference Law and Advocacy Class, Yale University, New Haven, Connecticut. Along with other panelists who worked in federal criminal law, I discussed my educational and career path and my work at the United States Attorney's Office. I have no notes, transcript, or recording. The address for the National Student Leadership Conference is 320 West Ohio Street, Suite 4W, Chicago, Illinois, 60654.

April 10, 2014: Speaker, Quinnipiac Law School, possibly Women's Law Society, North Haven, Connecticut. I do not recall the nature or topic of this event, but I believe it may have been an event with the Women's Law Society in which I discussed my educational and career path with female law students. I have no notes, transcript, or recording. Quinnipiac Law School is located at 370 Bassett Road, North Haven, Connecticut 06473.

April 7, 2014: Speaker, Federal Law Enforcement Career Panel, Gateway Community College, New Haven, Connecticut. This event was organized by the U.S. Attorney's Office and was intended to expose college students from around the state to careers in federal law enforcement. I may have delivered introductory remarks, though I do not recall precisely. I have no notes, transcript, or recording. The address for the United States Attorney's Office is 157 Church Street, 25th Floor, New Haven, Connecticut 06510.

February 25, 2014: Panelist, University of Connecticut School of Law Women's Law Association Event, Hartford, Connecticut. I discussed my educational and career path with female law students. I have no notes, transcript, or recording. The address of the University of Connecticut School of Law is 55 Elizabeth Street, Hartford, Connecticut 06105.

December 5, 2013: Speaker, South Asian Bar Association of Connecticut Annual Awards Dinner, Hartford, Connecticut. Remarks supplied.

November 14, 2013: Speaker, Internet Safety for School-Aged Children, Branford High School, Branford, Connecticut. The presentation was on Internet safety. I have no notes, transcript, or recording. The address for Branford High School is 185 East Main Street, Branford, Connecticut 06405.

October 9, 2013: Speaker, Western New England University School of Law "Color of Law" Roundtable Series, Springfield, Massachusetts. I spoke to a small

group of law students about my educational and career path. I have no notes, transcript, or recording. The address of Western New England University School of Law is 1215 Wilbraham Road, Springfield, Massachusetts 01119.

May 2, 2013: Speaker, FBI Citizens Academy Graduation Ceremony, Federal Bureau of Investigation, New Haven, Connecticut. Notes supplied.

April 16, 2013: Speaker, Federal Law Enforcement Career Panel, Gateway Community College, New Haven, Connecticut. This event was organized by the U.S. Attorney's Office and was intended to expose college students from around the state to careers in federal law enforcement. I delivered introductory remarks. I have no notes, transcript, or recording. The address for the United States Attorney's Office is 157 Church Street, 25th Floor, New Haven, Connecticut 06510.

October 11, 2011: Panelist, "The Intersection of Civil Rights and Crime Control," Reed Smith, San Francisco, California. This event was organized by the San Francisco Asian American Bar Association's Civil Rights and Public Interest/Public Service Committee. I generally spoke about the *Vasquez v. Rackauckas* litigation involving a due process challenge to a gang injunction. I have no notes, transcript, or recording. The address for the San Francisco Asian American Bar Association is P.O. Box 387, San Francisco, California 94104.

- e. List all interviews you have given to newspapers, magazines or other publications, or radio or television stations, providing the dates of these interviews and four (4) copies of the clips or transcripts of these interviews where they are available to you.

None.

13. **Judicial Office:** State (chronologically) any judicial offices you have held, including positions as an administrative law judge, whether such position was elected or appointed, and a description of the jurisdiction of each such court.

I have not held judicial office.

- a. Approximately how many cases have you presided over that have gone to verdict or judgment? _____

- i. Of these cases, approximately what percent were:

jury trials: _____ %
bench trials: _____ % [total 100%]

- ii. Of these cases, approximately what percent were:

civil proceedings: _____%
criminal proceedings: _____% [total 100%]

- b. Provide citations for all opinions you have written, including concurrences and dissents.
 - c. For each of the 10 most significant cases over which you presided, provide: (1) a capsule summary of the nature of the case; (2) the outcome of the case; (3) the name and contact information for counsel who had a significant role in the trial of the case; and (4) the citation of the case (if reported) or the docket number and a copy of the opinion or judgment (if not reported).
 - d. For each of the 10 most significant opinions you have written, provide: (1) citations for those decisions that were published; (2) a copy of those decisions that were not published; and (3) the names and contact information for the attorneys who played a significant role in the case.
 - e. Provide a list of all cases in which certiorari was requested or granted.
 - f. Provide a brief summary of and citations for all of your opinions where your decisions were reversed by a reviewing court or where your judgment was affirmed with significant criticism of your substantive or procedural rulings. If any of the opinions listed were not officially reported, provide copies of the opinions.
 - g. Provide a description of the number and percentage of your decisions in which you issued an unpublished opinion and the manner in which those unpublished opinions are filed and/or stored.
 - h. Provide citations for significant opinions on federal or state constitutional issues, together with the citation to appellate court rulings on such opinions. If any of the opinions listed were not officially reported, provide copies of the opinions.
 - i. Provide citations to all cases in which you sat by designation on a federal court of appeals, including a brief summary of any opinions you authored, whether majority, dissenting, or concurring, and any dissenting opinions you joined.
14. **Recusal:** If you are or have been a judge, identify the basis by which you have assessed the necessity or propriety of recusal (If your court employs an "automatic" recusal system by which you may be recused without your knowledge, please include a general description of that system.) Provide a list of any cases, motions or matters that have come before you in which a litigant or party has requested that you recuse yourself due to an asserted conflict of interest or in which you have recused yourself sua sponte. Identify each such case, and for each provide the following information:

I have not held judicial office.

- a. whether your recusal was requested by a motion or other suggestion by a litigant or a party to the proceeding or by any other person or interested party; or if you recused yourself sua sponte;
- b. a brief description of the asserted conflict of interest or other ground for recusal;
- c. the procedure you followed in determining whether or not to recuse yourself;
- d. your reason for recusing or declining to recuse yourself, including any action taken to remove the real, apparent or asserted conflict of interest or to cure any other ground for recusal.

15. **Public Office, Political Activities and Affiliations:**

- a. List chronologically any public offices you have held, other than judicial offices, including the terms of service and whether such positions were elected or appointed. If appointed, please include the name of the individual who appointed you. Also, state chronologically any unsuccessful candidacies you have had for elective office or unsuccessful nominations for appointed office.

I have not held any public offices.

- b. List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

None.

16. **Legal Career:** Answer each part separately.

- a. Describe chronologically your law practice and legal experience after graduation from law school including:

- i. whether you served as clerk to a judge, and if so, the name of the judge, the court and the dates of the period you were a clerk;

From 2008 to 2009, I served as a law clerk to the Hon. Susan P. Graber on the United States Court of Appeals for the Ninth Circuit.

- ii. whether you practiced alone, and if so, the addresses and dates;

I have not practiced alone.

- iii. the dates, names and addresses of law firms or offices, companies or

governmental agencies with which you have been affiliated, and the nature of your affiliation with each;

2009 – 2012
Munger, Tolles, & Olson LLP
560 Mission Street, 27th Floor
San Francisco, California 94105
Associate

2012 – present
United States Attorney's Office for the District of Connecticut
157 Church Street, 25th Floor
New Haven, Connecticut 06510
Assistant United States Attorney
Deputy Chief, Major Crimes Unit (November 2017 – present)
Deputy Chief, Major Crimes and National Security Unit (November 2016 – November 2017)
Assistant United States Attorney (2012 – present)

2017 – 2019
Yale Law School
127 Wall Street
New Haven, Connecticut 06520
Clinical Visiting Lecturer in Law (Prosecution Externship)

- iv. whether you served as a mediator or arbitrator in alternative dispute resolution proceedings and, if so, a description of the 10 most significant matters with which you were involved in that capacity.

I have not served as a mediator or arbitrator in alternative dispute resolution proceedings.

b. Describe:

- i. the general character of your law practice and indicate by date when its character has changed over the years.

As an associate at Munger, Tolles, & Olson LLP, I worked on various federal and state trial court complex commercial litigation matters.

Since joining the U.S. Department of Justice as an Assistant United States Attorney in July 2012, I have worked primarily in federal criminal law. From 2012 to 2016, I worked as a line Assistant handling a broad variety of federal criminal cases in what was then the Major Crimes and National Security Unit, including child exploitation, identity theft, and government program fraud matters. In 2016, I was named the Deputy Chief of the

Major Crimes and National Security Unit, a position in which I maintained my own caseload and also supervised other attorneys in the Unit. In 2017, the unit's name changed to the Major Crimes Unit; I continue to serve as Deputy Chief of the Major Crimes Unit through the present. I currently maintain my own caseload and supervise 10 attorneys in the Unit, including all new Assistants who join the Office, and 4 support staff. Throughout my time as an Assistant, including as a supervisor, I have appeared regularly in the U.S. District Court for the District of Connecticut in initial appearances, detention hearings, motion hearings, jury trials, and sentencings. I have briefed several and argued four cases in the United States Court of Appeals for the Second Circuit. I also serve in a number of leadership roles in the Office, including Human Trafficking Coordinator and Hate Crimes Coordinator.

- ii. your typical clients and the areas at each period of your legal career, if any, in which you have specialized.

Between 2009 and 2012, I was an associate at Munger, Tolles, & Olson LLP. I worked on various commercial litigation matters, including primarily a breach of contract action stemming from the mortgage crisis of 2008. I also worked on intellectual property and internal investigation matters. My clients were typically corporations in the banking, technology, and entertainment industries.

Since 2012, I have been a practicing attorney at the U.S. Department of Justice, where my only client has been the United States of America. Although I have worked on a variety of criminal matters, I have spent considerable time working on matters involving human trafficking, child exploitation, hate crimes, identity theft, and fraud/white collar crimes.

- c. Describe the percentage of your practice that has been in litigation and whether you appeared in court frequently, occasionally, or not at all. If the frequency of your appearances in court varied, describe such variance, providing dates.

As an Assistant United States Attorney, my practice has been 100 percent in litigation. I frequently appear in the U.S. District Court at every stage of criminal matters, including initial appearances, detention hearings, status conferences, plea hearings, motion hearings, trials, sentencings, and habeas proceedings. During my time at the U.S. Attorney's Office, I have prosecuted dozens of criminal cases from investigation through conviction, sentencing, and appeal, including five cases that were tried to verdict before a jury.

While I was an associate at Munger, Tolles, & Olson LLP, my practice was also 100 percent in litigation. I did not appear in court frequently, although I had one bench trial in federal court.

i. Indicate the percentage of your practice in:

- | | |
|-----------------------------|-----|
| 1. federal courts: | 90% |
| 2. state courts of record: | 10% |
| 3. other courts: | __% |
| 4. administrative agencies: | __% |

ii. Indicate the percentage of your practice in:

- | | |
|--------------------------|-----|
| 1. civil proceedings: | 25% |
| 2. criminal proceedings: | 75% |

d. State the number of cases in courts of record, including cases before administrative law judges, you tried to verdict, judgment or final decision (rather than settled), indicating whether you were sole counsel, chief counsel, or associate counsel.

I have handled five criminal jury trials to verdict, three of which were child sex trafficking matters. Of the five, I was chief counsel in one trial and associate counsel in four trials. While in private practice, I also tried one civil bench trial to verdict, in which I was associate counsel.

i. What percentage of these trials were:

- | | |
|--------------|-----|
| 1. jury: | 83% |
| 2. non-jury: | 17% |

e. Describe your practice, if any, before the Supreme Court of the United States. Supply four (4) copies of any briefs, amicus or otherwise, and, if applicable, any oral argument transcripts before the Supreme Court in connection with your practice.

While I was an associate at Munger, Tolles, & Olson LLP, I was a co-author on an *amicus curiae* brief submitted to the Supreme Court of the United States in *McDonald v. City of Chicago*, No. 08-1521. The brief was submitted on behalf of various organizations committed to protecting the public's health, safety, and well-being, including the American Academy of Pediatrics, The American Association of Suicidology, The Society for Adolescent Medicine, the Children's Defense Fund, Women Against Gun Violence, Youth Alive!, The Family Violence Prevention Fund, and the National Network to End Domestic Violence, as Amici Curiae in Support of Respondents. A copy of the brief is supplied.

Further, while at the law firm, I represented a California state prisoner in a Section 1983 action in connection with a reply brief to his petition for writ of certiorari. Our client had proceeded *pro se* in his excessive force action in the district court. The district court's judgment against him was affirmed on appeal. He filed a petition for writ of certiorari in the United States Supreme Court. *See Palmer v. Valdez*, No. 09-6429. The Supreme Court requested a response from Respondents in the matter. I worked with a partner at the law firm on drafting the

reply brief in support of the petition for writ of certiorari. The petition ultimately was denied. Copy of reply brief supplied.

17. **Litigation:** Describe the ten (10) most significant litigated matters which you personally handled, whether or not you were the attorney of record. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the case. Also state as to each case:

- a. the date of representation;
- b. the name of the court and the name of the judge or judges before whom the case was litigated; and
- c. the individual name, addresses, and telephone numbers of co-counsel and of principal counsel for each of the other parties.

1. *United States v. Hamlett*, D. Conn. Case No. 3:18-cr-24 (Bolden, J.)

Party Represented: United States of America

Dates of Representation: 2017 – present

I served as associate trial counsel in this matter before The Hon. Victor A. Bolden, United States District Judge, which concerned the sex trafficking and production of child pornography of two teenage girls. In the investigation stage, I was the lead prosecutor; in that role, I worked with the investigators to develop the case through search warrants, witness interviews, and other investigative avenues. After the case was charged, I drafted and argued several pre-trial motions and responses, including a contested response to a motion to suppress evidence found on a cellular phone. A colleague whom I supervise joined the prosecution team and became the first chair at the trial. Just before and during the trial, I briefed and argued substantive motions, motions *in limine*, and responses to motions *in limine*, including a mid-trial motion to exclude proposed defense expert testimony. At trial, I delivered the opening statement and rebuttal argument; examined several witnesses, including a minor victim; and cross-examined the only defense witness. I also co-authored the sentencing memorandum. Following a jury trial, Mr. Hamlett was convicted and sentenced principally to 180 months' imprisonment. The case is currently pending on appeal; I served as associate counsel on the appeal briefing.

Co-Counsel:

Nancy Gifford

Assistant United States Attorney

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Defense Counsel:
Moiria Buckley
Assistant Federal Defender
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2. *United States v. Ojo*, D. Conn. Case No. 3:17-cr-179 (Meyer, J.)

Party Represented: United States of America
Dates of Representation: 2017 – 2019

I served as sole counsel in the investigation and prosecution of Mr. Ojo for a wire fraud conspiracy and identity theft scheme in which employees of school districts in Connecticut were fraudulently induced through email into providing the IRS Forms W-2 for all school district employees. Fraudulent federal income tax returns were then filed using the victims' personal identifying information. Mr. Ojo pleaded guilty to conspiracy to commit wire fraud and aggravated identity theft and was sentenced to 32 months' imprisonment. I represented the Government at the change of plea and sentencing hearings and authored the Government's sentencing memorandum. I also briefed the Government's opposition to Mr. Ojo's *habeas corpus* petition, which was denied on the papers.

Defense Counsel:
Tracy Hayes
Assistant Federal Defender
265 Church Street, Suite 702
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3. *United States v. Kelsey*, D. Conn. Case No. 3:17-cr-36 (Hall, J.), 807 F. App'x 61 (2d Cir. 2020) (summary order) (Katzmann, C.J., Wesley, Bianco, J.)

Party Represented: United States of America
Dates of Representation: 2016 – present

I served as lead trial counsel in this child sex trafficking matter, which involved Mr. Kelsey's trafficking of at least five teenage girls and numerous young adult women. As the sole prosecutor initially assigned to the case, I worked closely with the Federal Bureau of Investigation and Connecticut State Police on the investigation, which included preparation of search warrants and victim and witness interviews. The case culminated in a jury trial before The Honorable Janet C. Hall, United States District Judge, at which Mr. Kelsey was convicted of

conspiracy to commit sex trafficking and two counts of sex trafficking of minors. I authored and argued several pretrial motions, including motions *in limine*; examined multiple trial witnesses, including a victim; and delivered the closing argument at the trial. Mr. Kelsey was sentenced to 180 months' imprisonment. I drafted the sentencing memorandum and argued for the Government at sentencing. The Government sought restitution for the victims, under the trafficking restitution law. The conviction was affirmed on appeal, but the case was remanded, with the Government's consent, for reconsideration of the payment schedule for the restitution order. I briefed the appeal with co-counsel and argued it. I also briefed the government's opposition to Mr. Kelsey's petition for *habeas corpus* relief, which remains pending.

Co-Counsel:

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(203) 821-3734

Defense Counsel:

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Koffsky & Felsen, LLC
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Stamford, CT 06905
(203) 327-1500

4. *United States v. Morris and Dozier*, D. Conn. Case No. 3:16-cr-153 (Meyer, J.)

Party Represented: United States of America

Dates of Representation: 2016 – 2018

I served as lead counsel in the investigation and prosecution of Mr. Morris and Ms. Dozier for sex trafficking a teenage girl in various locations in Connecticut. Mr. Morris forced the teenager to get a tattoo of a bar code on her neck to signify that she was his property. Mr. Morris ultimately pleaded guilty to the sex trafficking charge and was sentenced to 168 months' imprisonment. Ms. Dozier pleaded guilty to conspiracy to commit sex trafficking of a minor and was sentenced to time served. I represented the Government at the change of plea hearings for both defendants; a colleague handled the sentencing briefing and hearings.

Co-Counsel:

Stephen B. Reynolds (formerly an Assistant U.S. Attorney)
Senior Managing Counsel
Director of Ethics and Compliance Investigations

CIGNA
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Bloomfield, CT 06002
(203) 658-4289

Defense Counsel:
Justin Smith (for Mr. Morris)
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New Haven, CT 06510
(203) 464-7774

David Wenc (for Ms. Dozier)
Wenc Law Firm, LLC
184 Dusky Lane
Suffield, CT 06078
(860) 371-6633

5. *United States v. Hakey*, D. Conn. Case No. 3:15-cr-232 (Shea, J.)

Party Represented: United States of America
Dates of Representation: 2015 – 2016

I served as co-counsel with another Assistant U.S. Attorney in the investigation and prosecution of Mr. Hakey, who fired numerous shots from a high-powered rifle at the Ahmadiyya Baitul Aman “House of Peace” mosque in Meriden, Connecticut, on the night of the Paris terrorist attacks in November of 2015. Mr. Hakey resided next to the mosque. My colleague and I worked with the FBI on the investigation, which included preparing a search warrant for Mr. Hakey’s Facebook account, and initially charged Mr. Hakey by way of criminal complaint before seeking an indictment. Throughout the case, I coordinated with the victims of the shooting, who participated in the various proceedings. Mr. Hakey expressed remorse for his actions and the victims ultimately forgave him, based on their religious convictions. I co-authored the sentencing memorandum in the case. Mr. Hakey pleaded guilty to one count of intentionally damaging religious property, a federal hate crime, and was sentenced to 6 months’ imprisonment and supervised release that included a cultural competency education component.

Co-Counsel:
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Defense Counsel:

Jeffrey B. Cohen
Jeffrey B. Cohen Attorney at Law
3000 Whitney Avenue, Suite 208
Hamden, CT 06518
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John T. Walkley (formerly in private practice)
Public Defender Services
146 White Street
Danbury, CT 06810
(203) 207-8650

6. *United States v. Edward Thomas and Kayla Walters*, D. Conn. Case No. 3:14-cr-31 (Chatigny, J.)

Party Represented: United States of America
Dates of Representation: 2014 – present

I served as associate trial counsel in the prosecution of Mr. Thomas and Ms. Walters for their sex trafficking of two teenagers from Oregon. Mr. Thomas proceeded to trial, while Ms. Walters entered a guilty plea to conspiracy to commit sex trafficking of a minor. Prior to trial, I co-authored substantive motions, including a contested motion to suppress evidence seized from hotel rooms where one of the minor victims was recovered by the Federal Bureau of Investigation and evidence on Thomas's person. At the suppression hearing, I examined a government witness. I also briefed various substantive pre-trial motions, including motions *in limine*. At the trial, I delivered the opening statement and rebuttal argument and examined several witnesses. Mr. Thomas was convicted of conspiracy to commit sex trafficking of a minor and two counts of sex trafficking of a minor, and was sentenced to 210 months' imprisonment. I briefed the government's opposition to Mr. Thomas's petition for *habeas corpus* relief, which remains pending. Ms. Walters was sentenced to 60 months' imprisonment.

Co-Counsel:
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New Haven, CT 06510
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Defense Counsel:
Tracy Hayes (for Mr. Thomas)
Assistant Federal Defender
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New Haven, CT 06510

(203) 498-4200

Deirdre Murray (for Mr. Thomas) (formerly of Federal Defender's Office, District of Connecticut)

Assistant Federal Defender
Eastern District of North Carolina
409-A Broad Street
New Bern, NC 28560
(252) 633-2970

Walter Bansley, III (for Ms. Walters)

Bansley Law Offices, LLC
267 Orange Street
New Haven, CT 06510
(203) 776-1900

7. *United States v. Ganius*, 755 F.3d 125 (2d Cir. 2014) (Chin, Hall, JJ., Restani, J. sitting by designation), *affirmed upon rehearing en banc*, 824 F.3d 199 (2d Cir. 2016) (Livingston, Lynch, JJ.)

Party Represented: United States of America

Dates of Representation: 2012 – 2016

I served as appellate counsel on the briefing and at the panel oral argument for the United States in this matter, which raised a Fourth Amendment issue at the intersection of technology and law. The appellant contended that the Government's lengthy retention of mirror images of hard drives seized pursuant to one warrant and later searched under authority of a second warrant violated the Fourth Amendment. A divided panel of the United States Court of Appeals for the Second Circuit held that the Government's actions were unreasonable and applied the exclusionary rule to prohibit use of the evidence in question. I co-authored the Government's petition for rehearing *en banc*. The Court granted rehearing *en banc* and held that the Government agents acted in good faith in relying upon the second warrant, with commentary about the complexities of computer searches. At the *en banc* stage, I contributed to the briefing.

Co-Counsel:

Sandra S. Glover
Anastasia King
Jonathan Francis
Assistant United States Attorneys
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New Haven, CT 06510
(203) 821-3700

Wendy Waldron

Senior Counsel
U.S. Department of Justice
1301 New York Avenue, Northwest
Washington, DC
(202) 353-3745

Defense Counsel:
Stanley Twardy
John Cerreta
Daniel Wenner
Day Pitney LLP
One Stamford Plaza, 7th Floor
263 Tresser Boulevard
Stamford, CT 06901
(203) 977-7300

8. *United States v. Boceanu*, D. Conn. Case No. 3:07-cr-12 (Hall, J.)

Party Represented: United States of America
Dates of Representation: 2012 – 2013

I served as associate trial counsel in this matter before The Hon. Janet C. Hall, United States District Judge, which involved a Romanian national who was charged, along with several other individuals, with wire fraud conspiracy for his role in an extensive cyber phishing scheme. The defendant was extradited from Romania to stand trial. I examined multiple witnesses during the trial and delivered the Government's closing argument. Following the jury trial, Mr. Boceanu was convicted and was sentenced to 80 months' imprisonment. My co-counsel and I were awarded the Executive Office for United States Attorneys Director's Award for Superior Performance as Criminal Assistant United States Attorneys for this matter and its companion cases.

Co-Counsel:
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Defense Counsel:
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9. *Vasquez v. Rackauckas*, C.D. Cal. Case No. 8:09-cv-1090 (Fairbank, J.), 203 F. Supp. 3d 1061 (C.D. Cal. 2011), *aff'd in part, rev'd in part, and remanded*, 734 F.3d 1025 (9th Cir. 2013)

Parties Represented: Plaintiffs Vasquez, Lara, Bastida, Doe

Dates of Representation: 2010 – 2012

I was part of a pro bono law firm trial team that assisted the American Civil Liberties Union of Southern California in a class action procedural due process challenge brought under 42 U.S.C. § 1983 to the enforcement of a state court permanent injunction issued against an alleged gang and its members by the Orange County, California District Attorney (OCDA) and the City of Orange Police Department (OPD). The injunction prohibited individuals whom the OCDA and OPD determined were members of a particular gang from, among other things, associating with other suspected gang members (including family members), wearing a certain color, and being out past a curfew time in a designated area believed to be gang territory. Following an eleven-day bench trial in which I was associate counsel, the district court held that, in designating the plaintiff class members as gang members subject to the injunction, the OCDA and OPD did not provide the class members basic due process protections to allow them to challenge their inclusion in the injunction. I examined witnesses during the trial and contributed to significant pre-trial and post-trial motions briefing. The district court's holding was affirmed in principal part on appeal.

Co-Counsel:

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10. *BNP Paribas Mortgage Corp. v. Bank of America, N.A.*, S.D.N.Y. Case No. 1:09-cv-09783 (Sweet, J.) and *Deutsche Bank AG v. Bank of America, N.A.*, S.D.N.Y. Case No. 1:09-cv-09784 (Sweet, J.)

Party Represented: Bank of America, N.A.
Dates of Representation: 2009 – 2012

While at Munger, Tolles, & Olson LLP as an associate, I was a member of the litigation team representing Bank of America in this breach of contract action stemming from its role as the trustee, custodian, and collateral and depository agent for a special purpose entity called Ocala Funding, which provided funding for mortgage loans originated by the now-defunct entity Taylor, Bean, & Whitaker Mortgage Corp. BNP Paribas and Deutsche Bank invested heavily in Ocala Funding. I assisted in motion briefing and discovery during my employment at the law firm. I argued one motion in federal court. The case settled after I left the firm.

Co-Counsel:
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Opposing Counsel:

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Fried Frank
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Daniel Dockery (for Deutsche Bank AG)
Williams & Connolly LLP
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(202) 434-5000

18. **Legal Activities:** Describe the most significant legal activities you have pursued, including significant litigation which did not progress to trial or legal matters that did not involve litigation. Describe fully the nature of your participation in these activities. List any client(s) or organization(s) for whom you performed lobbying activities and describe the lobbying activities you performed on behalf of such client(s) or organizations(s). (Note: As to any facts requested in this question, please omit any information protected by the attorney-client privilege.)

As an Assistant U.S. Attorney, I have personally handled numerous investigations that led to convictions after guilty pleas that did not proceed to trial. These matters include an array of offenses, including child exploitation; human trafficking; hate crimes; government program and white-collar fraud; identity theft; and environmental crimes, among others. In these matters, I typically represented the Government at guilty plea hearings and sentencing hearings, and wrote the Government's sentencing submissions.

I also serve in the following roles at the United States Attorney's Office:

Human Trafficking Coordinator. In this position, which I have held since approximately 2016, I am responsible for intake, investigation, and prosecution of sex and labor trafficking matters. I also spearhead training of law enforcement officers, hospital workers, hotel workers, social workers, and other community groups for human trafficking awareness.

Hate Crimes Coordinator. In this position, which I have held formally since April of 2019, I am responsible for intake, investigation, and prosecution of hate crimes matters. I also spearhead training of law enforcement and community groups about federal hate crimes laws. I have organized "Protecting Your House of Worship" trainings for synagogues, mosques, and gurdwaras, through which members of the Office and local

police department officers conducted security assessments of religious facilities and proposed security plans in the event of incidents.

International Coordinator. In this position, I am responsible for addressing or assigning all incoming requests for legal assistance from foreign nations, including for evidence and extradition. I also consult on outgoing requests to foreign nations for extradition and legal assistance.

Outreach Committee Chairperson. In this role, I organize, coordinate, and participate in outreach activities with the U.S. Attorney's Office, including community meetings, conferences, panels, and events.

I have not engaged in any lobbying activities or registered as a lobbyist.

19. **Teaching:** What courses have you taught? For each course, state the title, the institution at which you taught the course, the years in which you taught the course, and describe briefly the subject matter of the course and the major topics taught. If you have a syllabus of each course, provide four (4) copies to the committee.

During the academic terms between January 2017 and May 2019, I served as a Visiting Clinical Lecturer at Yale Law School. In that capacity, I co-taught the Prosecution Externship course, through which law students interned at the United States Attorney's Office (or the New Haven State's Attorney's Office) and attended a weekly seminar that highlighted various practice areas and cases. The first class meeting provided students with an overview of criminal procedure, to provide a framework for the term. Subsequent classes moved through the life cycle of a case, from investigation to charging, and from plea to trial or sentencing. I was responsible for organizing the weekly seminar sessions, which featured guest speakers each week, and for assigning work to the students. Syllabi are provided for the Fall 2017, Fall 2018, and Spring 2019 terms. I am unable to locate the syllabus from the Spring 2018 term.

20. **Deferred Income/ Future Benefits:** List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients or customers. Describe the arrangements you have made to be compensated in the future for any financial or business interest.

In my capacity as a federal employee, I participate in the Thrift Savings Plan. I also hold a 401(k) through Munger, Tolles, & Olson LLP, to which I stopped contributing when I left the law firm but that continues to accrue income through investments.

21. **Outside Commitments During Court Service:** Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service with the court? If so, explain.

None.

22. **Sources of Income:** List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, licensing fees, honoraria, and other items exceeding \$500 or more (if you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here).

See attached Financial Disclosure Report.

23. **Statement of Net Worth:** Please complete the attached financial net worth statement in detail (add schedules as called for).

See attached Net Worth Statement.

24. **Potential Conflicts of Interest:**

- a. Identify the family members or other persons, parties, categories of litigation, and financial arrangements that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to arise.

My husband is the Dean of a residential college at Yale University. Through his employment, my family and I reside in the college. Our lodging and meals are provided by Yale. Because of this arrangement, if confirmed, I would recuse myself from any matters involving Yale University, consistent with the Code of Conduct for United States Judges and any other relevant statutes, ethical canons, and rules.

Additionally, if confirmed, I anticipate recusing myself from any civil or criminal matters that were pending at the United States Attorney's Office for the District of Connecticut during my tenure at that Office, in which I had any direct participation or supervisory authority. If a question were to arise as to whether an actual or potential conflict of interest is presented by my participation in a case litigated by the United States Attorney's Office for the District of Connecticut but over which I did not have direct participation or supervisory authority, I would alert the parties to the situation in question and solicit their views. I would recuse myself where appropriate to avoid even the appearance of a conflict.

- b. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.

If confirmed, I would handle any matters involving actual or potential conflicts of interest in conformity with the Code of Conduct for United States Judges and any other relevant statutes, ethical canons, and rules. Further, upon learning of any situation that a party or observer might perceive or identify as an actual or

potential conflict of interest, I would alert the parties to the situation in question and solicit their views. I would also consult with my judicial colleagues on these issues. I would recuse myself where appropriate to avoid even the appearance of a conflict.

25. **Pro Bono Work:** An ethical consideration under Canon 2 of the American Bar Association's Code of Professional Responsibility calls for "every lawyer, regardless of professional prominence or professional workload, to find some time to participate in serving the disadvantaged." Describe what you have done to fulfill these responsibilities, listing specific instances and the amount of time devoted to each.

As an Assistant United States Attorney, I am limited in my ability to practice law outside the Department of Justice. I have, however, participated as a member of the Connecticut Bar Association's Committee on Human Trafficking, which addresses human trafficking issues through legislation, panels, and workshops, and is presently engaged in developing a network of attorneys to provide pro bono legal services to victims of human trafficking. I do not participate in the legislative work of the Committee.

While an associate at Munger, Tolles, & Olson, I had an active pro bono practice. I was associate trial counsel in the case of *Vasquez v. Rackauckas*, which raised a procedural due process challenge to the enforcement of a gang injunction by the Orange County, California District Attorney and the City of Orange Police Department. In the weeks leading up to trial and during trial, this matter took a significant amount of my time.

Further, with a partner at Munger, Tolles, & Olson, I represented a California state prisoner in a Section 1983 action in connection with a reply brief to his petition for writ of certiorari in the Supreme Court of the United States. Our client had proceeded *pro se* in his excessive force action in the district court, and the court issued a judgment against him after a bench trial. The primary issue in the appeal, which lawyers from Munger, Tolles, & Olson handled before I joined the firm, was whether the district court improperly conditioned our client's ability to present witnesses telephonically on the waiver of his right to a trial by jury. The district court's judgment was affirmed. See *Palmer v. Valdez*, 560 F.3d 965 (9th Cir. 2009). Our client filed a petition for writ of certiorari in the United States Supreme Court. I worked with the lead partner on the reply brief in support of the petition for writ of certiorari. The petition ultimately was denied.

Finally, I represented an individual before the Board of Immigration Appeals in a case that considered whether the Department of Homeland Security had proven the existence of a prior conviction for which the respondent was charged with removability. See *In re: Medrano*, Board of Immigration Appeals, 2011 WL 6962819 (Dec. 16, 2011) (unpublished; opinion by Garry D. Malphrus, for the Board of Immigration Appeals).

26. **Selection Process:**

- a. Please describe your experience in the entire judicial selection process, from beginning to end (including the circumstances which led to your nomination and

the interviews in which you participated). Is there a selection commission in your jurisdiction to recommend candidates for nomination to the federal courts? If so, please include that process in your description, as well as whether the commission recommended your nomination. List the dates of all interviews or communications you had with the White House staff or the Justice Department regarding this nomination. Do not include any contacts with Federal Bureau of Investigation personnel concerning your nomination.

In February of 2021, I received an announcement soliciting applications for the vacancies on the United States District Court for the District of Connecticut. I submitted an application to a staff member of U.S. Senator Richard Blumenthal by the deadline of March 10, 2021. I understand that there was an Advisory Committee convened by Senators Blumenthal and Christopher Murphy to review the applications. I was not interviewed by the Committee, but I understand that my application was passed on to Senators Blumenthal and Murphy for a possible interview.

On March 31, 2021, I interviewed with Senators Blumenthal and Murphy and their aides. On April 9, 2021, I interviewed again with Senators Blumenthal and Murphy and their aides.

On April 18, 2021, I was contacted by the White House Counsel's Office for an interview, which took place on April 20, 2021. Since April 21, 2021, I have been in contact with officials from the Office of Legal Policy at the Department of Justice. On June 2, 2021, I participated in a follow-up conversation with the White House Counsel's Office. On June 15, 2021, my nomination was submitted to the Senate.

- b. Has anyone involved in the process of selecting you as a judicial nominee discussed with you any currently pending or specific case, legal issue or question in a manner that could reasonably be interpreted as seeking any express or implied assurances concerning your position on such case, issue, or question? If so, explain fully.

No.