

**UNITED STATES SENATE
COMMITTEE ON THE JUDICIARY**

QUESTIONNAIRE FOR JUDICIAL NOMINEES

PUBLIC

1. **Name:** State full name (include any former names used).

Harold "Hal" Dean Mooty III

2. **Position:** State the position for which you have been nominated.

United States District Judge for the Northern District of Alabama

3. **Address:** List current office address. If city and state of residence differs from your place of employment, please list the city and state where you currently reside.

Bradley Arant Boult Cummings LLP
200 Clinton Avenue West
Suite 900
Huntsville, Alabama 35801

4. **Birthplace:** State year and place of birth.

1983; Tuscaloosa, Alabama

5. **Education:** List in reverse chronological order each college, law school, or any other institution of higher education attended and indicate for each the dates of attendance, whether a degree was received, and the date each degree was received.

2005 – 2008, University of Alabama School of Law; J.D., 2008

2001 – 2005, University of Alabama; B.S., 2005

6. **Employment Record:** List in reverse chronological order all governmental agencies, business or professional corporations, companies, firms, or other enterprises, partnerships, institutions or organizations, non-profit or otherwise, with which you have been affiliated as an officer, director, partner, proprietor, or employee since graduation from college, whether or not you received payment for your services. Include the name and address of the employer and job title or description.

2012 – present
Bradley Arant Boult Cummings LLP
200 Clinton Avenue West
Suite 900

Huntsville, Alabama 35801
Partner (2017 – present)
Associate (2012 – 2016)

Summer 2006, Summer 2007, 2008 – 2012
Lanier Ford Shaver & Payne PC
2101 West Clinton Avenue
Suite 102
Huntsville, Alabama 35805
Associate (2008 – 2012)
Summer Associate (Summer 2006, Summer 2007)

Spring 2008
The University of Alabama
College of Communication & Information Sciences
P.O. Box 870172
Instructor, COM-123

Summer 2006
Ball Ball Matthews & Novak PA
Summer Associate
445 Dexter Avenue
Suite 9045
Montgomery, Alabama 36104

Summer 2005
Mooty & Associates PC
Runner
600 Clay Street
Montgomery, Alabama 36104

Other Affiliations (Uncompensated):

2016 – present
Alabama Defense Lawyers Association
P.O. Box 3240
Auburn, Alabama 36831-3240
Member, Board of Directors (2017 – 2020, 2023 – present)
Faculty Member, Deposition Boot Camp (2021 – present)
President, Young Lawyers Section (2016 – 2017)

2010 – 2014
Crisis Services of North Alabama, Inc.
P.O. Box 368
Huntsville, Alabama 35804
President, Board of Directors (2013 – 2014)

Member, Board of Directors (2010 – 2013)

7. **Military Service and Draft Status:** Identify any service in the U.S. Military, including dates of service, branch of service, rank or rate, serial number (if different from social security number) and type of discharge received, and whether you have registered for selective service.

I did not serve in the military. I registered for the selective service upon turning 18.

8. **Honors and Awards:** List any scholarships, fellowships, honorary degrees, academic or professional honors, honorary society memberships, military awards, and any other special recognition for outstanding service or achievement.

The Best Lawyers in America, Commercial Litigation (2026)

The Best Lawyers in America: Ones to Watch, Commercial Litigation, Litigation
Intellectual Property (2021 – 2025)

Mid-South Super Lawyers, “Rising Star” in Business Litigation (2016 – 2018)

Alabama Access to Justice Commission, Pro Bono Exemplar (2015)

Alabama Super Lawyers, “Rising Star” in Civil Litigation: Defense (2014 – 2015)

Madison County Volunteer Lawyers Program, Volunteer of the Month (July 2014)

Order of Barristers (2008)

Bench and Bar Legal Honor Society (2008)

Campbell Moot Court Board (2008)

George Peach Taylor Award for Excellence in Trial Advocacy (2008)

University of Alabama Student Government Association, President (2004 – 2005)

Jason’s Honor Society (2004 – 2005)

National Champion in Congressional Debate (DSR-TKA) (2002)

Alabama Forensic Educator Association

State Champion in International Extemporaneous Speaking (2001)

State Champion in Impromptu Speaking (2001)

National Speech and Debate Association, National Qualifier, Lincoln Douglas Debate and
International Extemporaneous Speaking (2001)

9. **Bar Associations:** List all bar associations or legal or judicial-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices which you have held in such groups.

Alabama Defense Lawyers Association

Board Member (2017 – 2020, 2023 – present)

Deposition Boot Camp Faculty Member (2021 – present)

President of Young Lawyers Section (2016 – 2017)

Alabama State Bar

Digital Communications Committee (2015 – 2017)

Leadership Forum (2015)

Young Lawyers Executive Committee (2012 – 2015)

American Bar Association (2008 – present)

International Association of Defense Counsel

Trial Academy at Stanford University School of Law (2016)

Huntsville/Madison County Bar Association (2008 – present)

Supreme Court of Alabama

Alabama Access to Justice Commission (2023 – present)

10. **Bar and Court Admission:**

a. List the date(s) you were admitted to the bar of any state and any lapses in membership. Please explain the reason for any lapse in membership.

Alabama, 2008. There have been no lapses in membership.

b. List all courts in which you have been admitted to practice, including dates of admission and any lapses in membership. Please explain the reason for any lapse in membership. Give the same information for administrative bodies that require special admission to practice.

United States Court of Appeals for the Eleventh Circuit, 2018

United States District Court for the Northern District of Alabama, 2010

United States District Court for the Middle District of Alabama, 2019

United States District Court for the Southern District of Alabama, 2012

Supreme Court of Alabama, 2008

There have been no lapses in membership.

11. **Memberships:**

a. List all professional, business, fraternal, scholarly, civic, charitable, or other organizations, other than those listed in response to Questions 9 or 10 to which you belong, or to which you have belonged, since graduation from law school. Provide dates of membership or participation, and indicate any office you held. Include clubs, working groups, advisory or editorial boards, panels, committees, conferences, or publications.

Alabama Leadership Initiative (2016)

Children's Hospital of Alabama
Committee of the Future (2016)

Crisis Services of North Alabama, Inc.
Board President (2013 – 2014)
Board Member (2010 – 2013)

Hudson Alpha Institute of Biotechnology
Ambassador (2015 – 2025)

Huntsville Hospital
Development Council (2018 – 2021)

Huntsville Madison County Bar Association (2008 – present)

Huntsville Rotary Club (2024 – present)

Leadership Greater Huntsville
Connect Class 9 (2009)
Flagship Class 33 (2019 – 2020)

Madison County Volunteer Lawyers Program (2008 – present)

The Ledges (2020 – present)

b. The American Bar Association's Commentary to its Code of Judicial Conduct states that it is inappropriate for a judge to hold membership in any organization that invidiously discriminates on the basis of race, sex, or religion, or national origin. Indicate whether any of these organizations listed in response to 11a above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies. If so, describe any action you have taken to change these policies and practices.

To the best of my knowledge, none of the organizations listed above currently

discriminates or formerly discriminated on the basis of race, sex, religion or national origin, either through formal membership requirements or the practical implementation of membership policies.

12. **Published Writings and Public Statements:**

a. List the titles, publishers, and dates of books, articles, reports, letters to the editor, editorial pieces, or other published material you have written or edited, including material published only on the Internet. Supply copies of all published material to the Committee.

A.I. in Modern Law Practice: Past the Point of No Return, ADLA Spring Journal (April 2025). Copy supplied.

Cybersecurity 101: What Every Defense Lawyer Should Know, ADLA Spring Journal (April 2020). Copy supplied.

SGA wants your fall break input, Crimson White (January 24, 2005). Copy supplied.

UA students: Make your voice be heard, Crimson White (November 5, 2004). Copy supplied.

b. Supply copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of on behalf of any bar association, committee, conference, or organization of which you were or are a member. If you do not have a copy of a report, memorandum or policy statement, give the name and address of the organization that issued it, the date of the document, and a summary of its subject matter.

None.

c. Supply copies of any testimony, official statements or other communications relating, in whole or in part, to matters of public policy or legal interpretation, that you have issued or provided or that others presented on your behalf to public bodies or public officials.

None.

d. Supply copies, transcripts or recordings of all speeches or talks delivered by you including commencement speeches, remarks, lectures, panel discussions, conferences, political speeches, and question-and-answer sessions. Include the date and place where they were delivered, and readily available press reports about the speech or talk. If you do not have a copy of the speech or a transcript or recording of your remarks, give the name and address of the group before whom the speech was given, the date of the speech, and a summary of its subject matter. If you did not speak from a prepared text, furnish a copy of any outline or notes from which you spoke.

August 5, 2024: Speaker, "IP & AI: What Does a Gov't Contractor Really Own?" Bradley Government Contracts Seminar, Huntsville, Alabama. PowerPoint supplied.

June 14, 2024: Speaker, "Digital Advocacy: Transforming Trial and Depositions with Advanced Tech," Alabama and Tennessee Defense Lawyers Associations, Orange Beach, Alabama. PowerPoint supplied.

December 12, 2023: Speaker, "Demonstrating the Legal and Ethical Implications of Generative Artificial Intelligence," Attorneys Insurance Mutual of the South, Webinar. PowerPoint supplied.

September 21, 2023: Speaker, "Weaponizing A.I. in Legal Practice: From History to Generative Power," Birmingham Bar Association, Webinar. Video recording supplied.

June 16, 2023: Speaker, "Weaponizing Artificial Intelligence in the Practice of Law: a Shield and a Sword?" Alabama and Tennessee Defense Lawyers Associations, Miramar Beach, Florida. PowerPoint supplied.

June 18, 2022: Speaker, "Top 10 Technology Traps," Alabama and Tennessee Defense Lawyers Associations, Miramar Beach, Florida. PowerPoint supplied.

May 8, 2020: Speaker, "Ways to Improve Oral Advocacy in the Age of Video Conferencing," Birmingham Bar Association Young Lawyers Section, Webinar. PowerPoint supplied.

March 23, 2020: Speaker, "The Bare Necessities – Practicing Law Away from the Office," Alabama Defense Lawyers Association, Webinar. Video recording supplied.

December 6, 2019: Speaker, "Cyber Security in Alabama: How to Protect Your Clients," Huntsville Madison County Bar Association, Huntsville, Alabama. PowerPoint supplied.

December 3, 2019: Speaker, "Cybersecurity in Alabama: How to Protect Your Clients," Alabama Defense Lawyers Association, Webinar. Video recording supplied.

July 25, 2019: Speaker, "Strategies and Best Practices for Protecting Your Business from Data Breaches," Bradley Government Contracts Seminar, Huntsville, Alabama. PowerPoint supplied.

May 18, 2019: Speaker, "Tools for Practicing Law More Efficiently in the Digital Age," Alabama State Bar Young Lawyers, Orange Beach, Alabama. PowerPoint supplied.

April 16, 2019: Speaker, "Quick Term Searches Across Multiple Documents," Alabama Defense Lawyers Association, Webinar. Video recording supplied.

March 12, 2019: Speaker, "Stop Using Same Password," Alabama Defense Lawyers Association, Webinar. Video recording supplied.

March 12, 2019: Speaker, "Conquer To-Do Lists," Alabama Defense Lawyers Association, Webinar. Video recording supplied.

January 29, 2019: Speaker, "Dictating from Mobile Device," Alabama Defense Lawyers Association, Webinar. Video recording supplied.

January 14, 2019: Speaker, "Quickly Scanning Documents," Alabama Defense Lawyers Association, Webinar. Video recording supplied.

December 6, 2018: Speaker, "From the Cabinet to the Cloud: How Law Office Technology has Transformed Access to Information," Attorneys Insurance Mutual of the South, Webinar, PowerPoint supplied.

June 7, 2018: Speaker, "Protecting the Interests of Your Business BEFORE the Storm," Bradley Government Contracts Seminar, Huntsville, Alabama. PowerPoint supplied.

February 7, 2017: Speaker, "Speech & Debate Coach Jay Rye Inducted into Alabama Speech & Debate Association Hall of Fame," Mountain Brook High School. I have no notes, transcript, or recording. The address of Mountain Brook High School is 3650 Bethune Dr, Mountain Brook, AL 35223.

April 12, 2005: Speaker, "SGA Banquet," The University of Alabama Student Government Association, Tuscaloosa, Alabama. Notes supplied.

August 24, 2004: Speaker, "Capstone Convocation," The University of Alabama, Tuscaloosa, Alabama. Notes supplied.

While participating in student organizations in college and law school, I gave remarks on various occasions in connection with introducing speakers, moderating panel discussions, and otherwise facilitating or participating in student events. I do not have a record of or recall the specific occasions and contents of these introductory remarks.

e. List all interviews you have given to newspapers, magazines or other publications, or radio or television stations, providing the dates of these interviews and copies of the clips or transcripts of these interviews where they are available to you.

Huntsville Times Editorial Board, *Go! >> get a job*, Huntsville Times, July 2, 2009. Copy supplied.

Marlin Caddell, *Mooty: Witt approves fall break plan for 2006-07 school year*, Crimson White, April 29, 2005. Copy supplied.

Mike Faulk, *'Phase One' of Marr's Pond restoration completed, UA and SGA officials say*, Crimson White, April 27, 2005. Copy supplied.

Marlin Caddell, *Witt likely to announce fall break for 2006-07*, Crimson White, April 13, 2005. Copy supplied.

Kathryn Tuggle, *Mooty plans Marr's Pond revitalization*, *Crimson White*, April 6, 2005. Copy supplied.

Marlin Caddell, *Minorities: UA does not represent us*, *Crimson White*, February 24, 2005. Copy supplied.

Malcolm Ifekauche, *UA helps with tsunami relief*, *Crimson White*, February 9, 2005. Copy supplied.

Megan Nichols, *Mooty sings Auburn's praises*, *Crimson White*, January 27, 2005. Copy supplied.

Megan Nichols, *Break poll out this week*, *Crimson White*, January 26, 2005. Copy supplied.

Megan Nichols, *GTA parking resolution dies*, *Crimson White*, January 14, 2005. Copy supplied.

Malcolm Ifekauche, *UA campus organizations unite for tsunami victim relief effort*, *Crimson White*, January 13, 2005. Copy supplied.

Caitlin Tudzin, *SGA Web site update in works*, *Crimson White*, November 23, 2004. Copy supplied.

Kathryn Tuggle, *Students show up to cheer on candidates*, *Crimson White*, November 3, 2004. Copy supplied.

CW Editorial Board, *Why not a fall break?* *Crimson White*, October 25, 2004. Copy supplied.

Caitlin Tudzin, *Thanksgiving break still a bit longer*, *Crimson White*, October 22, 2004. Copy supplied.

Marlin Caddell, *Alabama/Auburn Better Relations Day draws U.S. Sen. Richard Shelby*, *Crimson White*, October 21, 2004. Copy supplied.

Kevin Taylor, *UA trustees OK \$483M 2005 budget*, *Montgomery Advertiser*, October 2, 2004. Copy supplied.

Jack Stripling, *Drunk Bus: While many college towns do, AU has no current plans for sober ride program*, *Opelika-Auburn News*, August 29, 2004. Copy supplied.

Caitlin Tudzin, *Mooty, new SGA officers made official Tuesday night*, *Crimson White*, April 14, 2004. Copy supplied.

Regan Loyola Connolly, *Debate ace now SGA leader*, Montgomery Advertiser, March 27, 2004. Copy supplied.

Caitlin Tudzin and Nick Beadle, *Mooty solves Riddle, wins SGA presidency*, Crimson White, March 15, 2004. Copy supplied.

Press release, *UA students elect Montgomery man*, The Birmingham News, March 14, 2004. Copy supplied.

Associated Press, *Student from Montgomery elected Alabama SGA head*, Huntsville, Times, March 14, 2004. Copy supplied.

Press release, *Montgomery native is UA's SGA president*, Montgomery Advertiser, March 14, 2004. Copy supplied.

Press release, *Montgomery man elected SGA president at UA*, Opelika-Auburn News, March 14, 2004. Copy supplied.

Jenny Thompson, *SGA candidates have their own 'Super Tuesday' at RHA debate*, Crimson White, March 3, 2004. Copy supplied.

Caitlin Tudzin, *All systems are go for 2004 SGA elections*, Crimson White, February 19, 2004. Copy supplied.

Caitlin Tudzin, *Candidates hit the road to election*, Crimson White, February 11, 2004. Copy supplied.

Nick Beadle and Chris Otts, *Vandalism investigation still without suspects*, Crimson White, January 21, 2004. Copy supplied.

Associated Press, *Racist message left at UA spurs reaction*, Huntsville Times, January 17, 2004. Copy supplied.

Heather Henderson, *Night Trolley to run through spring semester*, Crimson White, January 14, 2004. Copy supplied.

Caitlin Tudzin, *Boyd, other executives outline spring plans*, Crimson White, December 3, 2003. Copy supplied.

Joan Garrett, *Night Trolley fades*, Crimson White, November 21, 2003. Copy supplied.

Joan Garrett, *SGA gauging student opinion with input boxes, Web site*, Crimson White, November 10, 2003. Copy supplied.

Joan Garrett, *Student Government hosts Trolley Day*, Crimson White, October 29, 2003. Copy supplied.

Joan Garrett, *Route change causes trolley ridership drop as UA plays catch-up with other campuses*, *Crimson White*, October 6, 2003. Copy supplied.

Marlin Caddell, *SGA holds town hall meeting*, *Crimson White*, September 5, 2003. Copy supplied.

Kelly M. Kober, *Student groups recruiting incoming freshmen during summer*, *Crimson White*, July 2, 2003. Copy supplied.

Ken L Spear, *Bridge vital part of expansion*, *Montgomery Advertiser*, November 7, 2000. Copy supplied.

13. **Judicial Office:** State (chronologically) any judicial offices you have held, including positions as an administrative law judge, whether such position was elected or appointed, and a description of the jurisdiction of each such court.

I have not held judicial office.

- a. Approximately how many cases have you presided over that have gone to verdict or judgment? _____

- i. Of these cases, approximately what percent were:

jury trials: _____ %
bench trials: _____ %

- ii. Of these cases, approximately what percent were:

civil proceedings: _____ %
criminal proceedings: _____ %

- b. Provide citations for all opinions you have written, including concurrences and dissents.

- c. For each of the 10 most significant cases over which you presided, provide: (1) a capsule summary of the nature of the case; (2) the outcome of the case; (3) the name and contact information for counsel who had a significant role in the trial of the case; and (4) the citation of the case (if reported) or the docket number and a copy of the opinion or judgment (if not reported).

- d. For each of the 10 most significant opinions you have written, provide: (1) citations for those decisions that were published; (2) a copy of those decisions that were not published; and (3) the names and contact information for the attorneys who played a significant role in the case.

- e. Provide a list of all cases in which certiorari was requested or granted.

f. Provide a brief summary of and citations for all of your opinions where your decisions were reversed by a reviewing court or where your judgment was affirmed with significant criticism of your substantive or procedural rulings. If any of the opinions listed were not officially reported, provide copies of the opinions.

g. Provide a description of the number and percentage of your decisions in which you issued an unpublished opinion and the manner in which those unpublished opinions are filed and/or stored.

h. Provide citations for significant opinions on federal or state constitutional issues, together with the citation to appellate court rulings on such opinions. If any of the opinions listed were not officially reported, provide copies of the opinions.

i. Provide citations to all cases in which you sat by designation on a federal court of appeals, including a brief summary of any opinions you authored, whether majority, dissenting, or concurring, and any dissenting opinions you joined.

14. **Recusal:** If you are or have been a judge, identify the basis by which you have assessed the necessity or propriety of recusal (If your court employs an "automatic" recusal system by which you may be recused without your knowledge, please include a general description of that system.) Provide a list of any cases, motions or matters that have come before you in which a litigant or party has requested that you recuse yourself due to an asserted conflict of interest or in which you have recused yourself sua sponte. Identify each such case, and for each provide the following information:

I have not held judicial office.

a. whether your recusal was requested by a motion or other suggestion by a litigant or a party to the proceeding or by any other person or interested party; or if you recused yourself sua sponte;

b. a brief description of the asserted conflict of interest or other ground for recusal;

c. the procedure you followed in determining whether or not to recuse yourself;

d. your reason for recusing or declining to recuse yourself, including any action taken to remove the real, apparent or asserted conflict of interest or to cure any other ground for recusal.

15. **Public Office, Political Activities and Affiliations:**

a. List chronologically any public offices you have held, other than judicial offices, including the terms of service and whether such positions were elected or appointed. If appointed, please include the name of the individual who appointed you. Also, state chronologically any unsuccessful candidacies you have had for elective office or

unsuccessful nominations for appointed office.

I have not held public office.

b. List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

Campaign Finance Chair for Madison County Circuit Judge Claude Hundley (2018 – present)

16. **Legal Career:** Answer each part separately.

a. Describe chronologically your law practice and legal experience after graduation from law school including:

- i. whether you served as clerk to a judge, and if so, the name of the judge, the court and the dates of the period you were a clerk;

I have not served as a clerk to a judge.

- ii. whether you practiced alone, and if so, the addresses and dates;

I have not practiced law alone.

- iii. the dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been affiliated, and the nature of your affiliation with each;

2008 – 2012
Lanier Ford Shaver & Payne PC
2101 West Clinton Avenue
Suite 102
Huntsville, Alabama 35805
Associate

2012 – present
Bradley Arant Boult Cummings LLP
200 Clinton Avenue West
Suite 900
Huntsville, Alabama 35801
Partner (2017 – present)
Associate (2012 – 2016)

- iv. whether you served as a mediator or arbitrator in alternative dispute

resolution proceedings and, if so, a description of the 10 most significant matters with which you were involved in that capacity.

I have not served as a mediator or arbitrator.

b. Describe:

- i. the general character of your law practice and indicate by date when its character has changed over the years.

From 2008 until 2012, I had a general litigation practice at a medium-sized law firm. As an associate, I supported lead counsel in a variety of matters including medical malpractice litigation and commercial litigation. I also regularly accepted pro bono representation through the Madison County Volunteer Lawyers Program. From 2012 until 2017, I had a general litigation practice at a large law firm. As an associate, I handled my own cases in a variety of matters as well as supported lead counsel in others. These cases included more business and commercial litigation with less emphasis on medical malpractice. I also continued to support the Madison County Volunteer Lawyers Program. Since 2017, I have regularly handled business and commercial litigation matters as lead counsel and also support the Madison County Volunteer Lawyers Program.

- ii. your typical clients and the areas at each period of your legal career, if any, in which you have specialized.

While associated with a medium-sized law firm from 2008 until 2012, I specialized in medical malpractice litigation, and I defended a wide range of clients, including physicians, nurses, hospitals, medical practices, and long-term care facilities. I also represented numerous individuals and small businesses in commercial disputes. While associated with a large law firm from 2012 until the present, I have specialized in business and commercial litigation and represented a wide range of clients including publicly traded companies, government contractors, small businesses, employees, and business owners. In the course of my pro bono work, I represented several indigent individual parties with contract, real estate, and employment disputes.

- c. Describe the percentage of your practice that has been in litigation and whether you appeared in court frequently, occasionally, or not at all. If the frequency of your appearances in court varied, describe such variance, providing dates.

While associated with law firms from 2008 through the present, nearly my entire practice is in litigation. I have appeared in court frequently, generally at least once a month and on occasion, multiple times a week.

i. Indicate the percentage of your practice in:

- | | | |
|----|--------------------------|-----|
| 1. | federal courts: | 45% |
| 2. | state courts of record: | 50% |
| 3. | other courts: | 1% |
| 4. | administrative agencies: | 4% |

ii. Indicate the percentage of your practice in:

- | | | |
|----|-----------------------|------|
| 1. | civil proceedings: | 100% |
| 2. | criminal proceedings: | 0% |

d. State the number of cases in courts of record, including cases before administrative law judges, you tried to verdict, judgment or final decision (rather than settled), indicating whether you were sole counsel, chief counsel, or associate counsel.

I estimate that I have tried 12 cases to verdict, judgment or final decision (other than settlement). In approximately six of these cases I was chief counsel, in approximately two I was associate counsel, and in approximately four I was sole counsel.

i. What percentage of these trials were:

- | | | |
|----|-----------|-----|
| 1. | jury: | 17% |
| 2. | non-jury: | 83% |

e. Describe your practice, if any, before the Supreme Court of the United States. Supply copies of any briefs, amicus or otherwise, and, if applicable, any oral argument transcripts before the Supreme Court in connection with your practice.

I have never appeared before the Supreme Court of the United States.

17. **Litigation:** Describe the ten (10) most significant litigated matters which you personally handled, whether or not you were the attorney of record. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the case. Also state as to each case:

a. the date of representation;

b. the name of the court and the name of the judge or judges before whom the case was litigated; and

c. the individual name, addresses, and telephone numbers of co-counsel and of principal counsel for each of the other parties.

1. *Robert Elliott, as personal Representative of the Estate of Nikki Listau v. Arthur M. Williams, M.D., et al.*, No. 5:14-cv-01309 (N.D. Ala. July 8, 2014) (Smith, L. presiding)
2. *Carolyn Jefferson, as personal Representative of the Estate of Tanisha Jefferson v. Arthur M. Williams, M.D., et al.*, No. 5:14-cv-01959 (N.D. Ala. Oct. 14, 2014) (Kallon, A. presiding)
3. *Tanyatta Woods, as the personal Representative of the Estate of Deundrez Woods v. Arthur M. Williams, M.D., et al.*, No. 5:14-cv-01964 (N.D. Ala. Oct. 14, 2014) (Bowdre, K. presiding)
4. *Whitney Elizabeth Foster v. Arthur M. Williams, M.D., et al.*, No. 5:16-cv-00521 (N.D. Ala. March 30, 2016) (Haikala, M. presiding)
5. *Roy Lee Davis v. Arthur M. Williams, M.D., et al.*, No. 5:16-cv-01166 (N.D. Ala. July 14, 2016) (Kallon, A. presiding)

Arthur M. Williams, M.D. hired Bradley Arant to defend multiple lawsuits stemming from the care delivered to certain inmates at the Madison County Jail while he served as its Medical Director. The lawsuits alleged federal civil rights violations as well as state law medical malpractice claims. In *Elliott*, the patient allegedly died from complications she suffered due to a fall from her bunk while incarcerated at the jail. In *Jefferson*, the patient allegedly died from complications related to abdominal pain and a potential bowel obstruction which she complained of while incarcerated at the jail. In *Woods*, the patient allegedly died from a pulmonary embolism suffered by him while incarcerated at the jail. In *Foster*, the patient allegedly became permanently blind and partially paralyzed as a result of a medical complication she suffered while incarcerated at the jail. In *Davis*, the patient allegedly spent several days in the hospital suffering from symptoms of confusion/delusions/hallucinations related to the alleged negligent care he received while incarcerated at the jail. I was the primary working attorney in all five cases and was responsible for developing the facts of each case, drafting all pleadings, discovery responses, identifying expert witnesses and preparing their disclosure statements, as well as preparing Dr. Williams and the experts for their testimony. All five cases ultimately settled prior to trial after extensive discovery and motion practice.

Co-Counsel:

H. Harold Stephens
Bradley Arant Boult Cummings, LLP
200 Clinton Avenue West, Suite 900
Huntsville, AL 35801
(256) 517-5100

Counsel for Plaintiff:

Henry F. Sherrod, III
Henry F. Sherrod, III, P.C.
119 South Court Street
Florence, AL 35630
(256) 710-7983

William R. Andrews
Richard J. Riley
Marsh Rickard & Bryan, P.C.
800 Shades Creek Parkway, Suite 600-D
Birmingham, AL 35209
(205) 879-1981

Counsel for Defendants:

George W. Royer, Jr.
David J. Canupp
L. Franklin Corley, IV
Lanier Ford Shaver & Payne, P.C.
2101 Clinton Avenue West, Suite 102
Huntsville, AL 35805
(256) 535-1100

T. Kelly May
Hugh Cannon Lawley
Clark, May, Price, Lawley, Duncan & Paul, LLC
3070 Green Valley Road
Birmingham, AL 35243
(205) 267-6601

Horace Cecil Ireland, III
Porterfield Harper Mills Motlow & Ireland, P.A.
22 Inverness Center Pkwy, Suite 600
Birmingham, AL 35242
(205) 980-5000

Christie J. Estes
QCHC, Inc.
200 Narrows Parkway, Suite A
Birmingham, AL 35242
(205) 437-1512

Jennifer Reid Egbe
Huie Fernambucq & Stewart, LLP

3291 Highway 280 South, Suite 200
Birmingham, AL 35243
(205) 251-1193

James Bradley Emmons
500 Westover Drive, Suite 11238
Sanford, NC 27330
(256) 655-8956

6. *Dennis Wright v. Keith P. Young, M.D.*, No. 50-cv-2016-900226

Dr. Young hired Bradley Arant to defend allegations of defamation and medical malpractice brought by a former patient. I was the primary working attorney and was responsible for developing the facts, drafting all pleadings, discovery responses, identifying expert witnesses and preparing their disclosure statements, as well as preparing Dr. Young and the experts for their testimony. I tried the case to jury verdict with my partner and authored all pre-trial and trial briefing. Marshall County Circuit Judge Abel presided.

Co-Counsel/Defense Counsel:

H. Harold Stephens
Bradley Arant Boult Cummings, LLP
200 Clinton Avenue West, Suite 900
Huntsville, AL 35801
(256) 517-5100

George M. Barnett
Attorney at Law
431 Gunter Avenue
Guntersville, AL 35976
(256) 582-0133

Counsel for Plaintiff:

Charles A. Dauphin
Beverly Diane Paris
Dauphin Paris, LLC
300 Vestavia Parkway, Suite 3700
Birmingham, AL 35216
(205) 518-6822

7. *Southern Heating & Cooling, Inc. v. Marsh & McLennan Agency, LLC, et al.*, No. 39-cv-2019-900247

Marsh & McLennan Agency, LLC, J. Smith Lanier & Company, and Hamlin L. Caldwell hired Bradley Arant to defend allegations of negligent failure to procure insurance. The case stemmed from a separate wrongful death action filed in DeKalb County against Southern Heating & Cooling, Inc. (No. 28-cv-2019-900036) which was carefully monitored and jointly mediated. I was lead counsel and negotiated dismissal of the insurance case against my clients. Jackson County Circuit Judge Graham presided.

Co-Counsel/Defense Counsel:

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(256) 517-5100

Counsel for Plaintiff:

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Jacob A. Maples
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Huntsville, AL 35801
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8. *The Trade Group, Inc. v. BTC Media, LLC, and BTC, Inc.*, No. 4:23-cv-00555 (N.D. Tex. June 5, 2024)

BTC hired Bradley Arant to defend contract claims brought by a general contractor at an international conference in Miami, Florida. BTC also asserted counterclaims. The case involved extensive party, third-party, and expert witness discovery. I was lead counsel and tried the case to jury verdict with local counsel in Fort Worth, Texas. U.S. District Judge Pittman presided.

Co-Counsel/Defense Counsel:

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Counsel for Non-Parties:

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Blair Grant Francis
Thomas G. Seidler
Francis & Toutsek, LLP
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9. *Amanda Howard Real Estate, LLC v. Clair Lee and JRHBW Realty, Inc.*, 387 So.3d 120 (Ala. June 30, 2023)

Amanda Howard Real Estate hired Bradley Arant to pursue contract and tort claims against a former officer and her new employer. An interlocutory appeal was granted after summary judgment ruling in the underling case in the Circuit Court of Madison County, Alabama (47-cv-19-000067). I was lead counsel who filed the initial complaint, handled the depositions, argued the motions, and ultimately negotiated the final settlement at mediation post-appeal. Madison County Circuit Judge Comer presided.

Co-Counsel/Plaintiff's Counsel:

Hunter W. Pearce
Scott Burnett Smith
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(256) 517-5100

Counsel for Defendants:

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Robert V. Wood
Wilmer & Lee, P.A.
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J. Michael Cooper
Porterfield, Harper, Mills, Motlow & Ireland, P.A.

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(205) 980-5000

Patrick F. Smith
Smith Kessler Smith, LLC
1550 West 2nd Street, Suite A-4
Gulf Shores, AL 36542
(251) 215-7090

10. *SGC Engineering, LLC v. Scot A. Macdonald*, No. 2:24-cv-00086 (D. Me. March 20, 2024)

SGC hired Bradley Arant to pursue breach of contract claims against a former vice-president for violations of certain non-solicitation provisions. The complaint sought injunctive relief as well as monetary damages. I was lead counsel and argued the case in Portland, Maine with local counsel. The litigation resulted in a settlement and consent judgment. U.S. District Judge Woodcock presided.

Co-Counsel/Plaintiff's Counsel:

Nolan L. Reichl
Pierce Atwood LLP
254 Commercial Street
Portland, ME 04101
(207) 791-1100

Counsel for Defendant:

William Cyrus Baker
Shaheen & Gordon, PA
353 Central Avenue, Suite 200
Dover, NH 03821
(603) 609-0697

18. **Legal Activities:** Describe the most significant legal activities you have pursued, including significant litigation which did not progress to trial or legal matters that did not involve litigation. Describe fully the nature of your participation in these activities. List any client(s) or organization(s) for whom you performed lobbying activities and describe the lobbying activities you performed on behalf of such client(s) or organizations(s). (Note: As to any facts requested in this question, please omit any information protected by the attorney-client privilege.)

In addition to the matters described above, I have frequently advised corporate clients on various employment and personnel matters. Many of these issues are resolved early and save clients the time and expense associated with litigation, including, for instance, disputes between

shareholders or partners.

Moreover, I have served on my firm's recruiting committee for nine years and helped both recruit and retain new legal talent. I am actively involved with mentoring young litigation associates inside and outside the firm, including teaching effective deposition skills and techniques.

I have never acted or registered as a lobbyist.

19. **Teaching:** What courses have you taught? For each course, state the title, the institution at which you taught the course, the years in which you taught the course, and describe briefly the subject matter of the course and the major topics taught. If you have a syllabus of each course, provide copies to the committee.

During my final semester of law school, I taught two sections of COM-123 Public Speaking at The University of Alabama. This course served as an introduction to public speaking for undergraduate students at the College of Communication and Information Sciences. Many of these students began the course with a significant fear of speaking even in front of the class. I do not have any syllabi for the course.

20. **Deferred Income/ Future Benefits:** List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients or customers. Describe the arrangements you have made to be compensated in the future for any financial or business interest.

I do not have any deferred income or future benefits outside of fully vested retirement accounts.

21. **Outside Commitments During Court Service:** Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service with the court? If so, explain.

If confirmed, I hope that I will be able to teach a law school seminar once a year. I have no commitments or agreements to do so, and no other plans for outside activities.

22. **Sources of Income:** List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, licensing fees, honoraria, and other items exceeding \$500 or more (if you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here).

When my nomination is formally submitted to the Senate, I will file my mandated Financial Disclosure Report and supply a copy to this committee.

23. **Statement of Net Worth:** Please complete the attached financial net worth statement in detail (add schedules as called for).

See attached Net Worth Statement.

24. **Potential Conflicts of Interest:**

a. Identify the family members or other persons, parties, categories of litigation, and financial arrangements that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to arise.

If confirmed, I will recuse in any litigation where I have ever played a role. For a period, I anticipate recusing in all cases where my current firm, Bradley Arant Boult Cummings LLP, represents a party. I will also recuse in any case where Katie Mooty, my wife and a practicing attorney, or her law firm represents any party. I will also recuse in any case where Dean Mooty, my father and a practicing attorney, or his law firm represents any party. I will also recuse in any case where Robert Mooty, my brother and a practicing attorney, or his law firm represents any party. Lastly, I will evaluate any other real or potential conflict, or relationship that could give rise to appearance of conflict, on a case by case basis and determine appropriate action with the advice of parties and their counsel including recusal where necessary.

b. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.

If confirmed, I will carefully review and address any real or potential conflicts by reference to 28 U.S.C. § 455, Canon 3 of the Code of Conduct for United States Judges, and any and all other laws, rules, and practices governing such circumstances.

25. **Pro Bono Work:** An ethical consideration under Canon 2 of the American Bar Association's Code of Professional Responsibility calls for "every lawyer, regardless of professional prominence or professional workload, to find some time to participate in serving the disadvantaged." Describe what you have done to fulfill these responsibilities, listing specific instances and the amount of time devoted to each.

My pro bono work has primarily been facilitated through the Madison County Volunteer Lawyers Program (MCVLP) located in Huntsville, Alabama. While I have accepted individual cases assigned by the MCVLP, most of my recent work has been on Law Day. Specifically, the MCVLP hosts Lawyers in the Library where local lawyers volunteer their time to meet in-person with individuals for 30 minutes to help answer their general legal questions. I have also previously volunteered at Law Call where comparable questions are answered on Law Day but over the phone.

26. **Selection Process:**

a. Please describe your experience in the entire judicial selection process, from beginning to end (including the circumstances which led to your nomination and the interviews in which you participated). Is there a selection commission in your jurisdiction to recommend candidates for nomination to the federal courts? If so, please include that process in your description, as well as whether the commission recommended your nomination. List the dates of all interviews or communications you had with the White House staff or the Justice Department regarding this nomination. Do not include any contacts with Federal Bureau of Investigation personnel concerning your nomination.

In December 2024, I received a telephone call from Senator Katie Britt, and later her chief of staff, inquiring about a vacancy in the Northern District of Alabama. On April 7, 2025, I interviewed with staff from both Senator Britt and Senator Tuberville. On June 26, 2025, I interviewed with attorneys from the White House Counsel's Office and the Office of Legal Policy. Since then I have been in contact with officials from the White House Counsel's Office and the Office of Legal Policy.

b. Has anyone involved in the process of selecting you as a judicial nominee discussed with you any currently pending or specific case, legal issue or question in a manner that could reasonably be interpreted as seeking any express or implied assurances concerning your position on such case, issue, or question? If so, explain fully.

No.

AFFIDAVIT

I, Harold Dean Mooty III, do swear that the information provided in this statement is, to the best of my knowledge, true and accurate.

August 4, 2025
(DATE)

[Signature]
(NAME)

Stephanie L. Ely
(NOTARY)

Commission expires: 02-02-26

