UNITED STATES SENATE
COMMITTEE ON THE JUDICIARY

QUESTIONNAIRE FOR JUDICIAL NOMINEES

PUBLIC

1. Name: State full name (include any former names used).
   Kristine Gerhard Baker (maiden name: Kristine Anne Gerhard)

2. Position: State the position for which you have been nominated.
   United States District Judge for the Eastern District of Arkansas

3. Address: List current office address. If city and state of residence differs from your place of employment, please list the city and state where you currently reside.
   111 Center Street, Suite 1900
   Little Rock, Arkansas 72201

   1971; Colorado Springs, Colorado

5. Education: List in reverse chronological order each college, law school, or any other institution of higher education attended and indicate for each the dates of attendance, whether a degree was received, and the date each degree was received.
   1994 – 1996, University of Arkansas School of Law; J.D., 1996
   1993 – 1994, Washington University School of Law; No degree received
   1989 – 1993, Saint Louis University; B.A. (summa cum laude), 1993
   1990, University of Missouri; No degree received
   1988, Southern Illinois University at Edwardsville; No degree received
   1985, Belleville Area College; No degree received

6. Employment Record: List in reverse chronological order all governmental agencies, business or professional corporations, companies, firms, or other enterprises, partnerships, institutions or organizations, non-profit or otherwise, with which you have been affiliated as an officer, director, partner, proprietor, or employee since graduation
from college, whether or not you received payment for your services. Include the name and address of the employer and job title or description.

2000 – present
Quattlebaum, Grooms, Tull & Burrow PLLC
111 Center Street, Suite 1900
Little Rock, Arkansas 72201
Partner (2002 – present)
Associate (2000 – 2002)

1998 – 2000
Williams & Anderson LLP
111 Center Street, Suite 2200
Little Rock, Arkansas 72201
Associate

1996 – 1998
The Honorable Susan Webber Wright, Chief Judge
United States District Court for the Eastern District of Arkansas
500 West Capitol Avenue
Little Rock, Arkansas 72201
Law Clerk

1995 – 1996
Westlaw
West Publishing Corporation
P.O. Box 64779
St. Paul, Minnesota 55164
Student Representative at the University of Arkansas School of Law

Summer 1995
Williams & Anderson LLP
111 Center Street, Suite 2200
Little Rock, Arkansas 72201
Summer Associate

Summer 1995
Friday Eldredge & Clark LLP
400 West Capitol Avenue, Suite 2000
Little Rock, Arkansas 72201
Summer Associate
Summer 1994
Rabbit, Pitzer & Snodgrass, P.C. (firm now known as Pitzer Snodgrass, P.C.)
800 Market Street, Suite 2300
St. Louis, Missouri 63101
Summer Associate

Summer 1994
John V. LaBarge, Jr.
Attorney at Law
1401 South Brentwood Boulevard, Suite 650
St. Louis, Missouri 63144
Summer Associate

1993
Famous-Barr Co.
1155 Saint Louis Galleria
St. Louis, Missouri 63117
Sales Associate

Other Affiliations (uncompensated unless otherwise indicated):

2011 – present
Presbyterian Village, Inc.
510 Brookside Drive
Little Rock, Arkansas 72205
Board Member

2011 – present
Wasserliebend, LLC
3306 Hill Road
Little Rock, Arkansas 72205
Managing Member

2009 – present
Arkansas Bar Foundation
2224 Cotondale Lane
Little Rock, Arkansas 72202
Board Member

2007 – present
Arbor Enterprises, LLC
3306 Hill Road
Little Rock, Arkansas 72205
Managing Member (Dividend and interest income are returned to the LLC, not distributed to members)
2006 – 2010
Dress for Success Little Rock
21 Lakeshore Drive
Little Rock, Arkansas  72204
Board Member (2006 – 2010)
President (2008)

2007 – 2008
Pulaski County Bar Association
1201 McMath Avenue, Room 101
Little Rock, Arkansas 72202
Board Member

1999 – 2001
Our Lady of the Holy Souls Church
1003 North Tyler Street
Little Rock, Arkansas 72205
Parish Council Member

7. **Military Service and Draft Status:** Identify any service in the U.S. Military, including dates of service, branch of service, rank or rate, serial number (if different from social security number) and type of discharge received, and whether you have registered for selective service.

I have not served in the military. I was not required to register for selective service.

8. **Honors and Awards:** List any scholarships, fellowships, honorary degrees, academic or professional honors, honorary society memberships, military awards, and any other special recognition for outstanding service or achievement.

The Best Lawyers in America: Most recently recognized in the areas of Commercial Litigation, First Amendment Law, and Labor and Employment Law (2009 – 2011)
Martindale-Hubbell, AV Preeminent (Peer Review Rated) (2006 – present)
High Honors Graduate of the University of Arkansas School of Law (ranked 3rd in class) (1996)
Joe C. Barrett Award for Outstanding Student in Commercial Transactions (1996)
Outstanding Contribution to Scholarship Award at University of Arkansas School of Law (1996)
High Academic Achievement Award at University of Arkansas School of Law (1996)
Member and Articles Editor of the *Arkansas Law Review* (1995 – 1996)
Member of University of Arkansas School of Law’s Board of Advocates (1995 - 1996)
Member of University of Arkansas School of Law’s First Amendment Moot Court Team
Quarterfinalist in University of Arkansas School of Law’s Moot Court Competition
(1994 - 1995)
First Place in University of Arkansas School of Law’s Negotiations Competition
(1994 - 1995)
Mr. and Mrs. Spencer T. Olin Fellow at Washington University School of Law
(1993 - 1994)
Phi Beta Kappa (1993)
Presidential Scholar at Saint Louis University (1989 - 1993)

9. **Bar Associations:** List all bar associations or legal or judicial-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices which you have held in such groups.

American Bar Association (1998 - present)
  Forum Committee on Communications Law
Arkansas Association of Women Lawyers (1998 - present, intermittent)
Arkansas Bar Association (1998 - present)
  Civil Litigation Committee
  Labor and Employment Law Committee
  Commission on Diversity (2008 - present)
  Women in the Profession Committee
Arkansas Bar Foundation
  Fellow (2007 - present)
  Board Member (2009 - present)
Arkansas Supreme Court Committee on Model Jury Instructions - Civil (2008 - present)
Association of the Bar of the United States Court of Appeals for the Eighth Circuit (2003 - present)
  Communications Committee, Contributing Member (2003 - 2005)
Defense Research Institute (2007 - present)
Phi Alpha Delta Law Fraternity, Pre-Law Chapter (1990 - 1993)
Pulaski County Bar Association (1998 - present)
  Board Member (2007 - 2008)
Pulaski County Bar Foundation
  Silent Auction Committee (2003)
The Saint Thomas More Society of Arkansas, Inc. (2003 - present)
U.S. District Court for the Eastern District of Arkansas Federal Practice Committee
(2001 - 2005)
U.S. District Court for the Eastern District of Arkansas Attorney Discipline Committee
(2005 - 2006)
USLAW Network, Inc. (2004 - present)
William R. Overton Inn of Court, Member and currently a Barrister (1999 - present)
10. Bar and Court Admission:

a. List the date(s) you were admitted to the bar of any state and any lapses in membership. Please explain the reason for any lapse in membership.

Arkansas, 1996. There have been no lapses in membership.

b. List all courts in which you have been admitted to practice, including dates of admission and any lapses in membership. Please explain the reason for any lapse in membership. Give the same information for administrative bodies that require special admission to practice.

United States Court of Appeals for the Sixth Circuit, 2011
United States Court of Appeals for the Eighth Circuit, 1998
United States District Court for the Eastern District of Arkansas, 1998
United States District Court for the Western District of Arkansas, 1998
Arkansas Supreme Court, 1996
Arkansas Court of Appeals, 1996
Arkansas Circuit, District, and County Courts, 1996

There have been no lapses in membership in these courts.

11. Memberships:

a. List all professional, business, fraternal, scholarly, civic, charitable, or other organizations, other than those listed in response to Questions 9 or 10 to which you belong, or to which you have belonged, since graduation from law school. Provide dates of membership or participation, and indicate any office you held. Include clubs, working groups, advisory or editorial boards, panels, committees, conferences, or publications.

Camp Aldersgate, Inc.
   Aldersgate After Dark Fundraising Event, Co-Chair (2010)
   Board Member (2006 – 2010)
   President (2008)
Fairfield Bay Community Club (2011 – present)
Little Rock Racquet Club (2008 – present)
Local Elementary School Parent Teacher Association (2007 – present)
March of Dimes
   Signature Chefs Fundraising Event, Co-Chair (2010)
Our Lady of the Holy Souls Church
   Parish Council Member (1999 – 2001)
   Social Concerns Committee (1996 – 2001)
   Chair (2001)
Presbyterian Village
   Board Member (2011 – present)
   Finance and Administration Committees (2011 – present)
Quapaw Quarter Association (1996 – present)
Tree Streets, Inc. (1997 – present)
Volunteer Organization, Center for Arkansas Legal Services (VOCAL) (1998 – present)
Volunteer Coach for Parkview Magnet High School Mock Trial Team (1997)

b. The American Bar Association’s Commentary to its Code of Judicial Conduct states that it is inappropriate for a judge to hold membership in any organization that invidiously discriminates on the basis of race, sex, or religion, or national origin. Indicate whether any of these organizations listed in response to 11a above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies. If so, describe any action you have taken to change these policies and practices.

To the best of my knowledge, none of the organizations listed above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies.

12. Published Writings and Public Statements:

a. List the titles, publishers, and dates of books, articles, reports, letters to the editor, editorial pieces, or other published material you have written or edited, including material published only on the Internet. Supply four (4) copies of all published material to the Committee.


John E. Tull III, Kristine G. Baker, Charles L. Schlumberger & Brandon B. Cate, Survey of Arkansas Privacy and Related Claims Against the Media, in MEDIA


I was an active member of the Communications Committee for the Association of the Bar of the United States Court of Appeals for the Eighth Circuit from 2003 to 2005. In that capacity, I summarized decisions of the Eighth Circuit Court of Appeals and submitted them to the newsletter’s editor for review. My name does not appear on the publication. Copies of the newsletters from 2003 to 2005 supplied.

I served as one of two articles editors for the Arkansas Law Review from 1995 to 1996.

b. Supply four (4) copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of on behalf of any bar association, committee, conference, or organization of which you were or are a member. If you do not have a copy of a report, memorandum or policy statement, give the name and address of the organization that issued it, the date of the document, and a summary of its subject matter.

On January 28, 2008, as Chair of the Merit Selection Panel Regarding the Reappointment of Magistrate Judge J. Thomas Ray, I authored a report to the Chief Judge of the United States District Court for the Eastern District of Arkansas on behalf of the committee regarding Magistrate Judge Ray’s reappointment. The report is confidential and is not supplied.

c. Supply four (4) copies of any testimony, official statements or other communications relating, in whole or in part, to matters of public policy or legal interpretation, that you have issued or provided or that others presented on your behalf to public bodies or public officials.
I have served as a member of the Arkansas Supreme Court Committee on Model Jury Instructions – Civil from 2008 to the present. The committee authors the book *Arkansas Model Jury Instructions (Civil)*, which is published annually. The publication is created through a collaborative committee process. Copies of the minutes of this committee for the years I have served are supplied.


d. Supply four (4) copies, transcripts or recordings of all speeches or talks delivered by you, including commencement speeches, remarks, lectures, panel discussions, conferences, political speeches, and question-and-answer sessions. Include the date and place where they were delivered, and readily available press reports about the speech or talk. If you do not have a copy of the speech or a transcript or recording of your remarks, give the name and address of the group before whom the speech was given, the date of the speech, and a summary of its subject matter. If you did not speak from a prepared text, furnish a copy of any outline or notes from which you spoke.

The items listed below represent speeches or talks I have identified through searches of my files, internet databases, discussions with others, and my memory. I have attempted as best I can to list all such items. However, there may be other speeches, talks or presentations I have been unable to locate or remember.


October 19, 2010: For the 2010 William R. Overton Inn of Court Program, I presented and was program chair for a session titled: “Litigation and Social Media: IANAL, NTS, TMI, DGT, DURSB.” Copy of written materials supplied.

October 15, 2010: For the 2010 Arkansas Judicial Conference, I presented a session titled: “Can I Have Some Privacy: The bench, bar, and media comment on recent FOIA developments in a panel discussion.” Copy of written materials and presentation slides supplied.

October 7-8, 2010: For the 2010 USLaw Client Conference, I presented a session titled: “Caught Looking: Employer Do’s and Don’ts for Employee Monitoring.” Copy of presentation slides supplied.

March 17, 2010: For the 2010 Paragould Daily Paper’s Sunshine Week Talk on FOIA, I gave an informal talk on the Freedom of Information Act. Copy of presentation slides and press coverage supplied; due to technical difficulties, material was not presented but served as notes for the presentation.

November 12, 2009: I was one of several guest lecturers for one day to students in a products liability course taught by adjunct professor Johann C. Chiles at the William H. Bowen School of Law. I have no notes, transcript, or recording. The address of the William H. Bowen School of Law is 1201 McMath Avenue, Little Rock, Arkansas 72202.

June 12, 2009: For the 2009 Arkansas Bar Association Annual Meeting, I presented a session titled: “Can I Have Some Privacy: The bench, bar, and media comment on recent FOIA developments in a panel discussion.” Copy of written materials and presentation slides supplied.


2008 and 2009: As a Board Member of Dress for Success Little Rock, I gave presentations in 2008 and 2009 regarding Dress for Success and its programs to a group of students at Pulaski Technical College and to members of a club at Pulaski Heights United Methodist Church. I have no notes, transcript, or recording. The address of Pulaski Technical College is 8901 Kanis Road, Suite 103, Little Rock, Arkansas 72205. The address of Pulaski Heights United Methodist Church is 4823 Woodlawn Drive, Little Rock, Arkansas 72205.
July 18, 2008: For the 2008 Continuing Legal Education Seminar for Lorman Education Services, I presented a session titled: “FOIA in the Electronic Age: E-mails, Instant Messages, and Virtual Meetings and Recent Developments in FOIA.” Copy of written materials supplied.

March 14, 2008: For the 2008 Arkansas Bar Association Labor & Employment Law Conference, I presented a session titled: “What You Need to Know to Draft Enforceable Severance Agreements.” Copy of written materials supplied.


2006: For the Arkansas Press Association Convention, I presented sessions titled “Libel, Slander, and Defamation Law Update” and “Employment Law Update.” Copy of notes supplied.


2004: For a 2004 Speech to Arkansas Human Resources Officers, I spoke on the following topics: “Privacy / Internet Policy and Procedures” and “Minimizing Organizational and Personal Liability Risks Arising from Employment Terminations.” Copy of outlines supplied.


2000: For the Arkansas Association of Legal Assistants, I spoke on Advanced Legal Research. Copy of presentation slides supplied.

September 17, 1999: I participated in a panel discussion for Arkansas Democrat-Gazette reporters that addressed: “Libel Laws and Topics of Interest Related to Newspaper Work.” Copy of outline supplied.

August 18, 1999: For the 1999 Institute for Paralegal Education, I presented a session titled: “Effective Legal Writing for Paralegals in Arkansas.” Copy of written materials and outline supplied.


1992: I participated in taping a recruiting video for Saint Louis University for the Office of Undergraduate Admissions titled “Real Words.” A copy of the video is supplied.

I have given informal presentations to print and broadcast journalists on First Amendment and Freedom of Information Act issues throughout my career. I do not recall the specific dates of these presentations. I have no notes, transcript, or recording. Some of the media outlets included KTHV in Little Rock, a Gannett Company, and the Morning News of Northwest Arkansas, a Stephens Media paper. The address of KTHV Television is Post Office Box 269, Little Rock, Arkansas 72203. The address of the Morning News of Northwest Arkansas’s Springdale Office is 2560 North Lowell Road, Springdale, Arkansas 72764.

e. List all interviews you have given to newspapers, magazines or other publications, or radio or television stations, providing the dates of these interviews and four (4) copies of the clips or transcripts of these interviews where they are available to you.

I have on occasion been interviewed by newspapers, magazines, and other written publications. Based on searches for these materials, I am providing copies of the following:


To Whom Much Is Given, AY Magazine, June 2010. Copy supplied.


I was quoted in the story *A Promise Renewed* in Universitas, a St. Louis University publication, in 1993. Although I have been unable to obtain the original article, I have supplied the Summer 2007 edition of Universitas in which my quote was re-printed.

13. **Judicial Office:** State (chronologically) any judicial offices you have held, including positions as an administrative law judge, whether such position was elected or appointed, and a description of the jurisdiction of each such court.

I have not served as a judge.

a. Approximately how many cases have you presided over that have gone to verdict or judgment? ___

i. Of these, approximately what percent were:

   jury trials: ___%  
   bench trials: ___% [total 100%]

   civil proceedings: ___%  
   criminal proceedings: ___% [total 100%]

b. Provide citations for all opinions you have written, including concurrences and dissents.
c. For each of the 10 most significant cases over which you presided, provide: (1) a capsule summary of the nature of the case; (2) the outcome of the case; (3) the name and contact information for counsel who had a significant role in the trial of the case; and (3) the citation of the case (if reported) or the docket number and a copy of the opinion or judgment (if not reported).

d. For each of the 10 most significant opinions you have written, provide: (1) citations for those decisions that were published; (2) a copy of those decisions that were not published; and (3) the names and contact information for the attorneys who played a significant role in the case.

e. Provide a list of all cases in which certiorari was requested or granted.

f. Provide a brief summary of and citations for all of your opinions where your decisions were reversed by a reviewing court or where your judgment was affirmed with significant criticism of your substantive or procedural rulings. If any of the opinions listed were not officially reported, provide copies of the opinions.

g. Provide a description of the number and percentage of your decisions in which you issued an unpublished opinion and the manner in which those unpublished opinions are filed and/or stored.

h. Provide citations for significant opinions on federal or state constitutional issues, together with the citation to appellate court rulings on such opinions. If any of the opinions listed were not officially reported, provide copies of the opinions.

i. Provide citations to all cases in which you sat by designation on a federal court of appeals, including a brief summary of any opinions you authored, whether majority, dissenting, or concurring, and any dissenting opinions you joined.

14. **Recess:** If you are or have been a judge, identify the basis by which you have assessed the necessity or propriety of recusal (if your court employs an "automatic" recusal system by which you may be recused without your knowledge, please include a general description of that system.) Provide a list of any cases, motions or matters that have come before you in which a litigant or party has requested that you recuse yourself due to an asserted conflict of interest or in which you have recused yourself sua sponte. Identify each such case, and for each provide the following information:

I have not served as a judge.

a. whether your recusal was requested by a motion or other suggestion by a litigant or a party to the proceeding or by any other person or interested party: or if you recused yourself sua sponte;

b. a brief description of the asserted conflict of interest or other ground for recusal;
c. the procedure you followed in determining whether or not to recuse yourself;

d. your reason for recusing or declining to recuse yourself, including any action taken to remove the real, apparent or asserted conflict of interest or to cure any other ground for recusal.

15. Public Office, Political Activities and Affiliations:

a. List chronologically any public offices you have held, other than judicial offices, including the terms of service and whether such positions were elected or appointed. If appointed, please include the name of the individual who appointed you. Also, state chronologically any unsuccessful candidacies you have had for elective office or unsuccessful nominations for appointed office.

I have not held elected or appointed public office. I have had no unsuccessful candidacies for elective office or unsuccessful nominations for appointed office.

b. List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

Since law school, I have served as a fundraising co-host and been listed as a member of the fundraiser host committees for several candidates for public office in Arkansas, including Little Rock City Board candidate Kevin Dedner (2004), Circuit Clerk Pat O’Brien (2004, 2010), State Representative John Edwards (2008), Little Rock District Court Judge candidate Hugh Finkelstein (2008), Associate Supreme Court Justice Courtney Henry (2008, 2009), Governor Mike Beebe (2010), Congressman Vic Snyder (1996, 1998, 2000, 2002, 2004, 2006, and 2008), and Senator Mark Pryor (2007). I have listed all candidates I recall. I may have been listed on other event host committees for candidates, especially judicial candidates, the specifics of which I do not recall and the records for which I no longer possess. Apart from the above, I have never held a paid or unpaid position with or played any role in a political party, political campaign, or election committee.

16. Legal Career: Answer each part separately.

a. Describe chronologically your law practice and legal experience after graduation from law school including:

i. whether you served as clerk to a judge, and if so, the name of the judge, the court and the dates of the period you were a clerk;
I served as a clerk for the Honorable Susan Webber Wright, then Chief Judge of the United States District Court for the Eastern District of Arkansas, from 1996 to 1998.

ii. whether you practiced alone, and if so, the addresses and dates;

I have never practiced law alone.

iii. the dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been affiliated, and the nature of your affiliation with each.

1998 – 2000
Williams & Anderson LLP
111 Center Street, Suite 2200
Little Rock, Arkansas 72201
Associate

2000 – present
Quattlebaum, Grooms, Tull & Burrow PLLC
111 Center Street, Suite 1900
Little Rock, Arkansas 72201
Partner (2002 – present)
Associate (2000 – 2002)

iv. whether you served as a mediator or arbitrator in alternative dispute resolution proceedings and, if so, a description of the 10 most significant matters with which you were involved in that capacity.

I have never served as a mediator or arbitrator in alternative dispute resolution proceedings.

b. Describe:

i. the general character of your law practice and indicate by date when its character has changed over the years.

From 1998 to 2000, I was an associate attorney at Williams & Anderson LLP. My practice was devoted to litigation. I handled and assisted in handling primarily commercial litigation cases, representing both plaintiffs and defendants. I participated in the trials of complex commercial litigation cases, including two one-week jury trials. I handled matters involving allegations of deceptive trade practices, breach of contract, breach of fiduciary duty, and fraud. I also handled First Amendment claims, defamation and related privacy-tort claims, and 42 U.S.C. § 1983 claims.
In 2000, I joined Quattlebaum, Grooms, Tull & Burrow PLLC, which was then a newly-formed firm. My practice has been devoted to litigation, and I continue to represent both plaintiffs and defendants. I handle and assist in handling numerous cases, including many complex commercial litigation cases that have culminated in multi-week jury trials in state and federal courts. I have also participated in bench trials. I have worked on class actions and cases involving multiple plaintiffs and multiple defendants. The types of claims I have litigated vary, and include matters involving allegations of deceptive trade practices, breach of contract, breach of fiduciary duty, and fraud. I also handle First Amendment claims, defamation and related privacy-tort claims, and 42 U.S.C. § 1983 claims. In addition, I have handled matters involving employment discrimination, securities violations, unfair competition, tortious interference, products liability, construction disputes, real estate disputes, Fair Housing Act claims, Freedom of Information Act claims, and insurance coverage disputes.

ii. your typical clients and the areas at each period of your legal career, if any, in which you have specialized.

Throughout my career, I have represented individuals and business organizations of all forms and sizes, including sole proprietorships, partnerships, limited liability companies, and corporations. Clients that I have represented include individuals, local businesses, regional businesses, Fortune 500 companies, and governmental bodies.

From 1998 to 2000, I worked on a variety of business-related disputes involving contract and tort claims. I represented an insurance company in a suicide exclusion case at trial and assisted in representing a manufacturing company in a case involving a tractor trailer load shift that resulted in the death of the plaintiff driver. I participated in a binding arbitration on behalf of a company challenging the territory for distribution of its products. I also worked on matters involving constitutional claims, including alleged Eighth Amendment violations.

From 1998 to the present, I have handled a variety of First Amendment and Freedom of Information Act issues, including but not limited to motions to quash reporters' subpoenas, challenges to prior restraints, claims of defamation, claims of invasion of privacy, and requests for access to public records and information.

Since 2000, some of the more significant cases I have worked on involved toxic tort claims in which multiple plaintiffs alleged product liability and tort claims and sought recovery for personal injury, nuisance, property damage, medical monitoring, and emotional distress due to alleged
environmental contamination and allegedly defective products. Specifically, since 2005, I have worked on MDL products liability cases involving welding rods and manganese fume. I have participated in trials of these claims in various state courts, including Arkansas and Louisiana, and in the federal MDL court in the Northern District of Ohio.

Since 2004, the number of employment discrimination cases I have handled has increased. I have handled in administrative proceedings and in federal and state court claims for discrimination, harassment, wrongful termination, and retaliation, as well as claims arising under the Family and Medical Leave Act, the Americans with Disabilities Act, wage and hour laws, and the Employee Retirement Income Security Act.

c. Describe the percentage of your practice that has been in litigation and whether you appeared in court frequently, occasionally, or not at all. If the frequency of your appearances in court varied, describe such variance, providing dates.

Throughout my practice, I have occasionally appeared in court.

i. Indicate the percentage of your practice in:
   1. federal courts: 50%
   2. state courts of record: 40%
   3. other courts: 0%
   4. administrative agencies: 10%

ii. Indicate the percentage of your practice in:
   1. civil proceedings: 99%
   2. criminal proceedings: < 1%

d. State the number of cases in courts of record, including cases before administrative law judges, you tried to verdict, judgment or final decision (rather than settled), indicating whether you were sole counsel, chief counsel, or associate counsel.

I have tried 13 cases to verdict, judgment, or final decision. I was chief counsel in three cases and associate counsel in ten cases.

i. What percentage of these trials were:
   1. jury: 75%
   2. non-jury: 25%

e. Describe your practice, if any, before the Supreme Court of the United States. Supply four (4) copies of any briefs, amicus or otherwise, and, if applicable, any oral argument transcripts before the Supreme Court in connection with your practice.
I assisted in writing a brief in opposition to certiorari in Whiteside v. Russellville Newspapers, Inc., et al., No. 09-67. Copy supplied.

17. **Litigation:** Describe the ten (10) most significant litigated matters which you personally handled, whether or not you were the attorney of record. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the case. Also state as to each case:

a. the date of representation;

b. the name of the court and the name of the judge or judges before whom the case was litigated; and

c. the individual name, addresses, and telephone numbers of co-counsel and of principal counsel for each of the other parties.


I represented two defendants in this securities-fraud case involving 13 plaintiffs and seven defendants. Plaintiffs sought up to $20 million in damages. The allegations centered on a two-tiered securities offering in a catfish processing plant in South Arkansas and business transactions that resulted from that offering. The case spanned several years, involved numerous business entities, and lead up to the involuntary bankruptcy of one entity. Many of the parties had guarantees and reimbursement obligations as a result of the business transactions at issue. I was associate counsel. I deposed numerous witnesses and briefed and argued many legal issues prior to and during trial. At trial, I assisted in jury selection, examined witnesses including several plaintiffs, argued motions *in limine*, argued the directed-verdict motions, and argued jury instructions. Following a six-week jury trial, the defense prevailed. The Honorable Jay Moody, Pulaski County, Arkansas, Circuit Court Judge presided. An appeal was filed with the Supreme Court of the State of Arkansas, but that appeal was later dismissed by all parties as moot as a result of settlement. *United Farms Inc., et al. v. Yocum, et al.*, No. 09-980 (Ark. dismissed Oct. 1, 2009).

Plaintiffs' counsel:

David M. Hargis  
Attorney at Law  
807 West Third Street  
Little Rock, AR 72201  
501-371-0900
Stuart Hankins
Hankins Law Firm, P.A.
800 West Fourth Street
North Little Rock, AR 72114
501-371-9226

Co-defense counsel:

John Tull
Quattlebaum, Grooms, Tull & Burrow PLLC
111 Center Street, Suite 1900
Little Rock, AR 72201
501-379-1700

Co-defendants' counsel:

Robert L. Henry, III
Kenny McCulloch
Barber, McCaskill, Jones & Hale, P.A.
2700 Regions Center
400 West Capitol Avenue
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501-372-6175

John P. Gill
Dylan Potts
Gill Elrod Ragon Owen & Sherman, P.A.
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501-376-3800

Richard N. Watts
Thom Diaz
Watts, Donovan & Tilley, P.A.
200 South Commerce, Suite 200
Little Rock, AR 72201
501-372-1406

James F. Dowden
212 Center Street, 10th Floor
Little Rock, AR 72201
501-324-4700
I represented several defendant welding rod manufacturers in this case alleging products liability and failure to warn claims. The case was litigated in the Northern District of Ohio as part of an MDL proceeding. The plaintiff welder is an Iowa resident who spent much of his career in Iowa and alleges exposure there; his claims therefore were decided by reference to Iowa law. As associate counsel, I deposed numerous witnesses and briefed and argued many legal issues prior to and during trial. At trial, I assisted in jury selection, argued motions in limine, argued evidentiary motions, designated video testimony of several witnesses to be played during trial, argued the directed-verdict motions, and argued document admissibility issues. Following a three-week trial, the jury found in favor of plaintiffs and awarded damages and punitive damages in the amount of $5,787,500. The Honorable Kathleen M. O’Malley, then-United States District Court Judge for the Northern District of Ohio, presided. The case is now on appeal in the Court of Appeals for the Sixth Circuit.

Plaintiffs’ counsel:

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Co-defense counsel:  

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David C. Landever  
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I represented several defendant welding rod manufacturers in this case in which plaintiffs alleged products liability and failure to warn claims and sought several million dollars in damages. I was associate counsel at trial, which lasted approximately three weeks. I briefed and argued many issues prior to and during trial. At trial, I prepared motions in limine, designated video testimony of several witnesses to be played during trial, prepared directed-verdict motions, and argued jury instructions. The case was tried in 2006 and the jury returned a defense verdict. The Honorable David Guthrie, Union County, Arkansas, Circuit Court Judge presided.
Plaintiffs' counsel:

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Richard Barrett
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Co-defendant’s counsel:

Kathryn Bennett Perkins
B.J. Walker
Rose Law Firm, P.A.
120 East Fourth Street
Little Rock, AR 72201
501-375-9131


I represented the defendant in a suit filed by plaintiff seeking payment of benefits under a life-insurance policy. The defendant denied payment based on a suicide-exclusion clause in the policy. I was associate counsel. I prepared the case for trial, deposed witnesses, identified third-party witnesses, and briefed all issues prior to trial. At trial, I assisted in jury selection, examined witnesses, argued motions in limine, argued the directed-verdict motions, and argued jury instructions. The case was tried in 1999, and the jury returned a defense verdict. The Honorable James M. Moody, United States District Court Judge for the Eastern District of Arkansas, presided.

Plaintiff’s counsel:

Timothy O. Dudley
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Little Rock, AR 72201
501-372-0080

Cecil Duff Nolan, Jr.
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Co-defense counsel:

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501-379-1700

(5) Columbian National Title Ins. Co. vs. Global Title Co., Inc., No. 2004 CV 011154

I served as lead trial counsel for a title insurance company in this matter. The case involved a now-defunct real estate title company, whose principals allegedly committed
fraud, breach of contract, and conversion. The claims against the business exceeded $2.9 million. I worked with counsel for claimants, counsel for the principals of the defunct real estate title company, and the court to develop a procedure to resolve efficiently through legal proceedings undisputed title insurance claims. I took the lead in developing and overseeing that procedure, reporting to the court periodically at hearings on the status of undisputed claims. In addition, I was sole counsel at numerous proceedings in the case, including lengthy evidentiary hearings seeking a receivership, seeking to impose constructive trusts, and resolving disputed claims against the title insurance company. The court appointed a receiver and imposed constructive trusts. The title insurance company prevailed on some, but not all, of the disputed claims. Certain of the principals pleaded guilty to related criminal charges in federal court, and others filed for bankruptcy protection. The case has resulted in two insurance coverage disputes; I was directly involved in briefing and handling one of these coverage disputes pending in federal court. I worked with the United States Attorney’s Office, the United States Bankruptcy Trustee, and the court-appointed receiver throughout this matter to resolve issues. The Honorable Tim Fox, Pulaski County, Arkansas, Circuit Court Judge has presided.

Opposing counsel:

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Little Rock, AR  72201  
501-372-1887

Herbert C. Rule, III  
Rose Law Firm, P.A.  
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501-436-4498

Successor Receiver:

Gregory M. Hopkins  
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Little Rock, AR 72201  
501-375-1517


I represented the defendant in this truck rollover case. The estate of plaintiff alleged that the tractor trailer he was driving rolled over, leading to his death, because the load was improperly braced and therefore shifted en route. I was associate counsel. I deposed witnesses, identified third-party witnesses, and briefed all issues prior to trial. At trial, I assisted in jury selection, examined witnesses, argued motions in limine, argued the directed-verdict motions, and argued jury instructions. The jury returned a defense verdict. The Honorable George Howard, United States District Court Judge for the Eastern District of Arkansas, presided.

Plaintiff’s counsel:

George E. Pike, Jr.  
Deborah Pike Bliss  
Pike & Bliss  
628 West Broadway, Suite 203  
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North Little Rock, AR 72115  
501-372-0446

Claude Wilson Jenkins  
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870-946-3586
Co-defense counsel:

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501-379-1700

(7) Alcoa World Alumina L.L.C., f/k/a Alcoa Alumina & Chemicals, L.L.C. v. Weiss,

A business client sought a tax refund in excess of $2 million from the State of Arkansas for use taxes paid for purchases of natural gas. Raising an issue of first impression under Arkansas tax law, my client maintained that the natural gas purchased from sellers outside the state was not subject to taxation since it did not “finally come to rest” under Arkansas law before consumption in the business client’s manufacturing facility. The State of Arkansas prevailed in a bench trial. I served as associate counsel. I assisted in the work-up of this case for trial and advised as to legal strategy during the 2009 trial. The Honorable Jay Moody, Pulaski County, Arkansas, Circuit Court Judge presided. My client appealed the adverse ruling. I was involved in briefing the appeal, and the Arkansas Supreme Court affirmed the trial court’s decision in Alcoa World Alumina, L.L.C. v. Weiss, No. 09-688 (Feb. 5, 2010).

Co-plaintiff’s counsel:

Doug Sigel
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512-495-6300

Counsel for the State:

William E. Keadle
Ashley Fisher
Joel DiPippa
Arkansas Department of Finance & Administration
Office of Revenue Legal Counsel
P.O. Box 1272, Room 2380
Little Rock, AR 72203
501-682-7030

I represented a defendant retailer against discrimination and wrongful termination claims under Title VII of the Civil Rights Acts of 1964, as amended, and the Americans with Disabilities Act of 1990. I served as associate counsel. I deposed witnesses, identified third-party witnesses, and briefed all issues prior to trial. At the bench trial in 2005, I examined witnesses, argued motions in limine, and argued the directed-verdict motion. At the conclusion of the plaintiff's case and the testimony of defendant's first witness, the court entered judgment for defendant pursuant to Federal Rule of Civil Procedure 52(c). The Honorable Billy Roy Wilson, United States District Court Judge for the Eastern District of Arkansas, presided.

Plaintiff's counsel:

Jonathan T. Lane
300 Spring Street, Suite 2200
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501-372-6400

Co-defense counsel:

Steve Quattlebaum
Quattlebaum, Grooms, Tull & Burrow PLLC
111 Center Street, Suite 1900
Little Rock, AR 72201
501-379-1700


I represented the defendant car manufacturer. Plaintiff asserted products liability and negligence claims, alleging that she was injured in an accident as a result of a purportedly defective airbag. A jury trial was conducted in 2001, and the jury returned a defense verdict. I was associate counsel. I briefed many issues prior to and during trial. At trial, I assisted in jury selection, examined witnesses, argued motions in limine, argued the directed-verdict motions, and argued jury instructions. The Honorable John Ward, Pulaski County, Arkansas, Circuit Court Judge presided.

Plaintiff's counsel:

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501-374-2400
Mary J. Pruniski  
4100 Old Oak Drive  
Little Rock, AR 72212  
501-374-1572

Horace A. Walker  
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Second and Center Streets  
Little Rock, AR 72201  
501-375-1135

Co-defense counsel:

Matthew Kemner  
Carroll, Burdick & McDonough LLP  
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San Francisco, CA 94104  
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Steve Quattlebaum  
Quattlebaum, Grooms, Tull & Burrow PLLC  
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Little Rock, AR 72201  
501-379-1700

(10) Gambrell and Kissel v. Bailey, No. 2007 CV 13667-1 (Cir. Ct., Pulaski Cnty.,  

I represented plaintiffs, both of whom worked for a media outlet, in this bench trial. I  
served as associate counsel. This was an Arkansas Freedom of Information Act case in  
which plaintiffs sought access to computer identification information for certain State of  
Arkansas computer users. I prepared the case for trial, examined witnesses at trial, and  
argued motions during trial. Following trial in 2008, the judge returned a decision in  
favor of the State. The Honorable Marion Humphrey, Pulaski County, Arkansas, Circuit  
Court Judge presided.

Co-plaintiff's counsel:

John Tull  
Quattlebaum, Grooms, Tull & Burrow PLLC  
111 Center Street, Suite 1900  
Little Rock, AR 72201  
501-379-1700
18. Legal Activities: Describe the most significant legal activities you have pursued, including significant litigation which did not progress to trial or legal matters that did not involve litigation. Describe fully the nature of your participation in these activities. List any client(s) or organization(s) for whom you performed lobbying activities and describe the lobbying activities you performed on behalf of such client(s) or organization(s). (Note: As to any facts requested in this question, please omit any information protected by the attorney-client privilege.)

Along with my litigation experience in state and federal courts, I counsel clients regularly on employment law matters. I represent clients in assessing, mediating, and responding to charges of discrimination filed with the Equal Employment Opportunity Commission ("EEOC"). I have participated in over 30 mediations with the EEOC, including EEOC offices in Arkansas, Oklahoma, and Tennessee. I also regularly represent clients in litigating employment discrimination claims in federal and state court, including but not limited to claims of discrimination based on age, race, gender, disability, and pregnancy. In addition, I have handled Family and Medical Leave Act claims, wage and hour claims, Employee Retirement Income Security Act claims, and claims brought pursuant to the Uniformed Services Employment and Reemployment Rights Act. I serve as lead counsel in these matters. I have obtained summary judgment rulings in favor of my clients in many cases I have handled, thereby resolving many of these cases prior to trial.

I have arbitrated seven cases with the American Arbitration Association, private arbitrators, and the National Association of Securities Dealers Dispute Resolution. These cases have included construction disputes, disputes regarding covenants not to compete, and securities violations.

As a partner of my law firm, I share administrative and management duties with the firm's seven other equity partners, and I am primarily responsible for handling all personnel matters at the firm. I am responsible for employment counseling and employee terminations. In the course of my practice, I regularly work with, supervise, and train associate attorneys and litigation support staff.

Since 2008, I have served as a member of the Arkansas Supreme Court Committee on Model Jury Instructions – Civil. I was appointed to this committee by the Justices of the Arkansas Supreme Court in 2008 and reappointed in 2010. The committee authors the
book *Arkansas Model Jury Instructions (Civil)*, which is published annually. The committee is charged with reviewing recently released court decisions, new and revised statutes, and other legal authority to create or revise the model jury instructions and comments to the instructions to reflect accurately the current state of Arkansas law. In addition, I and other members of the firm prepared for the Arkansas Press Association the publication *Public and Legal Notices Digest: A compilation of Arkansas statutes requiring publication of public and legal notices in newspapers* (Ark. Press Ass’n ed. 2011). This publication is available free of charge to the public on the Arkansas Press Association’s website.

I have never performed any lobbying activities.

19. **Teaching:** What courses have you taught? For each course, state the title, the institution at which you taught the course, the years in which you taught the course, and describe briefly the subject matter of the course and the major topics taught. If you have a syllabus of each course, provide four (4) copies to the committee.

I have not taught any courses.

20. **Deferred Income/Future Benefits:** List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients or customers. Describe the arrangements you have made to be compensated in the future for any financial or business interest.

I anticipate receiving distributions of non-wage income from two family-owned limited liability companies of which I am a part owner. These companies hold investment and real estate assets, and future non-wage income distributions are not guaranteed and are subject to fluctuation.

21. **Outside Commitments During Court Service:** Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service with the court? If so, explain.

I have no plans, commitments, or agreements to pursue outside employment.

22. **Sources of Income:** List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, licensing fees, honoraria, and other items exceeding $500 or more (if you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here).

23. **Statement of Net Worth**: Please complete the attached financial net worth statement in detail (add schedules as called for).

See attached Net Worth Statement.

24. **Potential Conflicts of Interest**:

a. Identify the family members or other persons, parties, categories of litigation, and financial arrangements that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to arise.

If confirmed, I will carefully review and address any real or potential conflicts in accordance with the Code of Conduct for United States Judges, including Canon 3 of the Code, as well as all applicable policies and procedures of the United States Courts. I will follow all applicable laws, orders, rules, customs, and practices in addressing conflicts.

If confirmed, I will immediately resign from my affiliation and partnership with Quattlebaum, Grooms, Tull & Burrow PLLC. For a period of time after taking the bench, I will not accept cases brought by the attorneys of the law firm with whom I practiced. I will also recuse myself from any matters that I handled or supervised as an attorney in private practice, as well as any matters substantially related to matters I handled or supervised, although I am not aware of any such conflicts of interest.

My husband is a partner at Mitchell Williams in Little Rock, Arkansas. If confirmed, I will recuse myself from all matters involving him or his firm. My father-in-law is of counsel to the Rose Law Firm in Little Rock, Arkansas. I will follow 28 U.S.C. § 455, the Code of Conduct for United States Judges, including Canon 3 of the Code, and all applicable policies and procedures of the United States Courts in cases in which my father-in-law or his firm appear. Finally, I will recuse from all cases involving companies in which I own stock or with which I have an ongoing business relationship. I will diligently keep apprised of my financial and personal interests so as to address conflicts and potential conflicts proactively.

b. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.

If confirmed, I will resolve any potential conflict of interest in accordance with the provisions of 28 U.S.C. § 455, the Code of Conduct for United States Judges, including Canon 3 of the Code, and all applicable policies and procedures of the United States Courts. If confirmed, I will carefully review and address any real or potential conflicts or the appearance of conflict at the outset of each case.
25. **Pro Bono Work:** An ethical consideration under Canon 2 of the American Bar Association’s Code of Professional Responsibility calls for “every lawyer, regardless of professional prominence or professional workload, to find some time to participate in serving the disadvantaged.” Describe what you have done to fulfill these responsibilities, listing specific instances and the amount of time devoted to each.

I regularly accept prisoner and other appointment cases from the federal courts. These cases have included suits brought pursuant to 42 U.S.C. § 1983 by a female inmate after a guard allegedly raped her, by inmates regarding allegedly deficient medical and dental treatment, and by elderly and infirm inmates regarding allegedly deficient medical treatment and the procedures required to obtain such treatment. All of these matters have involved representing clients through discovery, in responding to dispositive motions, on appeal at the Eighth Circuit on the issue of qualified immunity, and either through settlement or trial. I also have represented through federal court appointment plaintiffs in employment discrimination suits.

I am a member of the Pulaski County Bar Association’s Volunteer Organization Center for Arkansas Legal Services (“VOCALS”). I regularly accept from it cases and assignments to review case files, research potential claims, and advise clients regarding the status of their potential and pending cases.

On average, throughout my legal career, I have devoted approximately 100 to 150 hours per year to pro bono legal matters.

I also have served on the boards of non-profit entities in the community and volunteer for non-profit entities. As a board member, I have reviewed by-laws, assessed director and officer liability policies, advised on employment matters, and participated in each entity’s day-to-day projects. For example, as a board member of Dress for Success Little Rock, I regularly staffed the non-profit’s clothing boutique and assisted women served by the organization in selecting outfits for job interviews. In addition to board service, I have volunteered with various other community organizations to plant trees, deliver food baskets, and serve meals to members of the community.

26. **Selection Process:**

   a. Please describe your experience in the entire judicial selection process, from beginning to end (including the circumstances which led to your nomination and the interviews in which you participated). Is there a selection commission in your jurisdiction to recommend candidates for nomination to the federal courts? If so, please include that process in your description, as well as whether the commission recommended your nomination. List the dates of all interviews or communications you had with the White House staff or the Justice Department regarding this nomination. Do not include any contacts with Federal Bureau of Investigation personnel concerning your nomination.
There is no selection commission in Arkansas for vacancies on the federal bench. On November 17, 2008, after two sitting judges took senior status, I wrote to Senator Blanche Lincoln and Senator Mark Pryor, indicating my interest in the position of United States District Judge for the Eastern District of Arkansas. I filled out a questionnaire sent by Senator Lincoln and returned it December 19, 2008. On December 29, 2008, I met with Senator Lincoln in Little Rock. On or about January 2, 2009, I met with Senator Pryor in Little Rock. On July 17, 2011, Senator Pryor informed me that he was recommending me and others to the President for consideration. On July 20, 2011, Senators Pryor and Boozman publicly announced a list of three individuals they were recommending to the President for consideration. On July 26, 2011, I met separately with Senators Pryor and Boozman in Washington, D.C. Since July 29, 2011, I have been in contact with officials from the Office of Legal Policy at the Department of Justice. On September 7, 2011, I interviewed with attorneys from the White House Counsel’s Office and the Department of Justice in Washington, D.C. On November 2, 2011, the President submitted my nomination to the Senate.

b. Has anyone involved in the process of selecting you as a judicial nominee discussed with you any currently pending or specific case, legal issue or question in a manner that could reasonably be interpreted as seeking any express or implied assurances concerning your position on such case, issue, or question? If so, explain fully.

No.
AFFIDAVIT

I, Kristine G. Baker, do swear that the information provided in this statement is, to the best of my knowledge, true and accurate.

(DATE)

(Name)

(NOTARY)

SUSAN E. NULL
NOTARY
My Comm. Expires MARCH 7, 2021
#12381082
LONOKE COUNTY ARK