

UNITED STATES SENATE
COMMITTEE ON THE JUDICIARY

QUESTIONNAIRE FOR JUDICIAL NOMINEES

PUBLIC

1. **Name:** State full name (include any former names used).

Maame Ewusi-Mensah Frimpong (née Maame Abena Famanyame Ewusi-Mensah)

2. **Position:** State the position for which you have been nominated.

United States District Judge for the Central District of California

3. **Address:** List current office address. If city and state of residence differs from your place of employment, please list the city and state where you currently reside.

Superior Court of California, County of Los Angeles
Clara Shortridge Foltz Criminal Justice Center, Department 56
210 West Temple Street
Los Angeles, California 90012

4. **Birthplace:** State year and place of birth.

1976; Los Angeles, California

5. **Education:** List in reverse chronological order each college, law school, or any other institution of higher education attended and indicate for each the dates of attendance, whether a degree was received, and the date each degree was received.

1998 – 2001, Yale Law School; J.D., 2001

1993 – 1997, Harvard College; A.B. (*magna cum laude*), 1997

6. **Employment Record:** List in reverse chronological order all governmental agencies, business or professional corporations, companies, firms, or other enterprises, partnerships, institutions or organizations, non-profit or otherwise, with which you have been affiliated as an officer, director, partner, proprietor, or employee since graduation from college, whether or not you received payment for your services. Include the name and address of the employer and job title or description.

2016 – present

Superior Court of California, County of Los Angeles
Clara Shortridge Foltz Criminal Justice Center, Department 56
210 West Temple Street

Los Angeles, California 90012

Assistant Supervising Judge, Criminal Division, Limited Jurisdiction (2020 – present)

Assistant Supervising Judge, Criminal Division, Infractions (2018 – 2020)

Site Judge, Metropolitan Courthouse (2018 – 2020)

Judge (2016 – present)

2015 – 2016

Millennium Challenge Corporation

1099 14th Street, Northwest, Suite 700

Washington, District of Columbia 20005

Vice President, General Counsel & Corporate Secretary

2007 – 2015, 2000

United States Department of Justice

950 Pennsylvania Avenue, Northwest

Washington, District of Columbia 20530

Counselor to Attorney General (2014 – 2015)

Principal Deputy Associate Attorney General (2014)

Deputy Assistant Attorney General, Consumer Protection (2012 – 2014)

Acting Deputy Assistant Attorney General, Consumer Protection (2011 – 2012)

Acting Deputy Assistant Attorney General, Torts (2012)

Counsel to Assistant Attorney General, Civil Division (2009 – 2011)

Trial Attorney, National Courts Section (2007 – 2009)

Summer Law Intern, Office of Legal Counsel (2000)

2002 – 2007, 2000

Morrison & Foerster LLP

425 Market Street

San Francisco, California 94105

Associate (2002 – 2007)

Summer Associate (2000)

2001 – 2002

United States Court of Appeals for the Ninth Circuit

P.O. Box 193939

San Francisco, California 94119

Law Clerk to the Honorable Stephen Reinhardt

(At the time of my clerkship, Judge Reinhardt's chambers were located at 312 North Spring Street, Los Angeles, California 90012.)

1999

INTERIGHTS

(Organization has ceased operating.)

33 Islington High Street

London N1 9LH, United Kingdom

Intern, Africa Programme

1999
The REDRESS Trust Limited
87 Vauxhall Walk
London SE11 5HJ, United Kingdom
Intern, American & African Legal Affairs

1997 – 1998
Achimota School
P.O. Box 11
Achimota
Accra, Ghana
Volunteer Teacher, Computer Science

7. **Military Service and Draft Status:** Identify any service in the U.S. Military, including dates of service, branch of service, rank or rate, serial number (if different from social security number) and type of discharge received, and whether you have registered for selective service.

I have not served in the military. I was not required to register for the selective service.

8. **Honors and Awards:** List any scholarships, fellowships, honorary degrees, academic or professional honors, honorary society memberships, military awards, and any other special recognition for outstanding service or achievement.

The Webb Schools Alumni Outstanding Achievement Award (2020)

“40 Under 40: Washington’s Rising Stars,” National Law Journal (2009)

United States Department of Justice
John Marshall Award for Outstanding Legal Achievement (2010)
Civil Division Special Commendation Award (2008)
Civil Division Performance Award (2008)
Time-Off Incentive Awards (2007 – 2008)

Yale Law Journal
Articles Editor (2000 – 2001)
Editor (1999 – 2000)

Yale Law & Policy Review
Production & Case Notes Editor (1999 – 2000)
Editor (1998 – 1999)

Harvard College
Magna cum laude (1997)
Harvard College Scholarship (approximately 1994, 1997)

Elizabeth Cary Agassiz Certificate of Merit (approximately 1994, 1997)
Elizabeth Cary Agassiz Award (approximately 1995 – 1996)
John Harvard Scholarship (approximately 1995 – 1996)

9. **Bar Associations:** List all bar associations or legal or judicial-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices which you have held in such groups.

I have searched my files and electronic databases in an effort to identify all information responsive to this question. To the best of my knowledge and recollection, listed below are the bar associations and legal professional societies in which I am or have been a member, as well as the titles and dates of any offices I have held and committees on which I served, with approximate dates. There may, however, be other groups, offices, or committees that I have been unable to recall or identify.

African-American Association of California Judicial Officers (2018 – present)
Education Committee (2021 – present)
Legislation Committee, Chair (2021 – present)

Association of Ghanaian Lawyers of America (2013 – 2014)

Bar Association of San Francisco (2003 – 2007)

Black Women Lawyers of Los Angeles (2001 – 2002, 2017 – present)

California Association of Black Lawyers (2003 – 2007, 2017 – present)
Judicial Council (2017 – present)
Nominating Committee (2021 – present)

California Judges Association (2016 – present)
Annual Conference Planning Committee (2020 – present)
Criminal Law Committee, Chair (2020 – 2021)

California Women Lawyers (2003 – 2007)

Charles Houston Bar Association (2002 – 2007)
Board of Directors (approximately 2004 – 2006)
Fundraising Committee (approximately 2004 – 2006)

Court of Federal Claims Bar Association (2007 – 2009)

DOJ Association of Black Attorneys (2007 – 2014)

International Association of Women Judges (2017 – present)

John M. Langston Bar Association (2017 – present)

Judicial Council of California

Criminal Law Advisory Committee (2020 – present)

Traffic Advisory Committee (2021 – present)

Judicial Branch Access, Ethics and Fairness Curriculum Committee (2021 – present)

Los Angeles Superior Court Committees (2017 – present)

Bail Committee (2017 – present)

Vice Chair (2021 – present)

Community Outreach Committee (2019)

Domestic Violence Issues (Multi-Discipline) Committee (2020 – present)

Expert Witness (Criminal) Committee (2021 – present)

Grand Jurors Committee (2021 – present)

Legislation Committee (2017 – 2018)

Rules Committee (2017 – present)

Traffic Committee (2019 – 2020)

Chair (2019 – 2020)

Trial Jurors Committee (2021 – present)

Los Angeles Superior Court Judges Association (2016 – present)

National Association of Women Judges (2017 – present)

Annual Conference Planning Committee (2019)

Law School Outreach Committee (2020)

National Bar Association (2002 – present) (including components below at various times)

Commercial Law Section

Intellectual Property Law Section

Judicial Council Division

Women Lawyers Division

Young Lawyers Division

Women Lawyers of Alameda County (2003 – 2007)

Young Lawyers Board Member (2003 – 2007) (estimated)

Women Lawyers of Los Angeles (2017 – present)

10. **Bar and Court Admission:**

- a. List the date(s) you were admitted to the bar of any state and any lapses in membership. Please explain the reason for any lapse in membership.

California, 2002

There have been no lapses in membership, although, because I am currently

serving as a Superior Court Judge, I am not considered a licensee of the California State Bar while in office.

- b. List all courts in which you have been admitted to practice, including dates of admission and any lapses in membership. Please explain the reason for any lapse in membership. Give the same information for administrative bodies that require special admission to practice.

Because I am a Superior Court Judge, I am not presently admitted to practice in any court. Prior to being sworn in as a judge, however, I was admitted, with no lapses in membership, to practice in the following courts:

United States Court of Appeals for the Ninth Circuit (2003)
United States Court of Appeals for the Federal Circuit (2007)
United States District Court for the Northern District of California (2002)
United States Court of International Trade (2007)
United States Court of Federal Claims (2007)

11. **Memberships:**

- a. List all professional, business, fraternal, scholarly, civic, charitable, or other organizations, other than those listed in response to Questions 9 or 10 to which you belong, or to which you have belonged, since graduation from law school. Provide dates of membership or participation, and indicate any office you held. Include clubs, working groups, advisory or editorial boards, panels, committees, conferences, or publications.

I have searched my files and electronic databases in an effort to identify all information responsive to this question. To the best of my knowledge and recollection, listed below are the professional, business, fraternal, scholarly, civic, charitable, or other organizations, in which I am or have been a member, as well as the titles and dates of any offices I have held and committees on which I served, with approximate dates. There may, however, be other organizations, offices, or committees that I have been unable to recall or identify.

Emory United Methodist Church
Emory Fellowship Lead Team (Church Council), At Large Member
(approximately 2011 – 2012)

Ghana Association of Southern California (2017 – present)

Harvard African Students Alumni Network (approximately 2000 – 2007)
Board Member (approximately 2002 – 2004)

Harvard Alumni Association (1997 – present)

Webb Alumni (1993 – present)

Yale Law School Association (2001 – present)

- b. The American Bar Association’s Commentary to its Code of Judicial Conduct states that it is inappropriate for a judge to hold membership in any organization that invidiously discriminates on the basis of race, sex, or religion, or national origin. Indicate whether any of these organizations listed in response to 11a above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies. If so, describe any action you have taken to change these policies and practices.

To the best of my knowledge, none of these organizations currently discriminates or formerly discriminated on the basis of race, sex, religion, or national origin either through formal membership requirements or the practical implementation of membership policies.

12. Published Writings and Public Statements:

- a. List the titles, publishers, and dates of books, articles, reports, letters to the editor, editorial pieces, or other published material you have written or edited, including material published only on the Internet. Supply four (4) copies of all published material to the Committee.

Based upon my recollection and a thorough review of my files and searches of publicly available databases, I have identified the following responsive materials, but it is possible that there are a few that I have been unable to recall or identify.

During my 2017 to 2018 campaign for reelection to the Los Angeles Superior Court, I published a website, which was removed at the conclusion of my campaign when I was reelected. An archived version is available at <https://web.archive.org/web/20180824073204/https://reelectjudgefrimpong.com>.

Building a Framework to Help New Agency Appointees Succeed, ASS’N FOR TALENT DEV. INSIGHTS BLOG (Sept. 1, 2015). Copy supplied.

DoJ and GMPs: A Prosecutor’s Take on Enforcing Product Quality, PINK SHEET (Mar. 12, 2013). Copy supplied.

International Human Rights and Private Practice, NARRATIVES ABOUT WORKING IN NGO SETTINGS, Harv. L. Sch. Off. of Pub. Interest Advising (2000 – 2001). Copy supplied.

As a summer intern in 1999, I contributed to a strategy paper at INTERIGHTS on the right to health in Ghana under Ghanaian and international human rights law,

and I contributed to a report at REDRESS Trust on the immunity of foreign sovereigns under U.S. law. I have been unable to locate copies of these reports.

The Harvard African Students Association Presents an African Arts Festival, HARV. FOUND. NEWSL. (Fall 1995). Copy supplied.

- b. Supply four (4) copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of on behalf of any bar association, committee, conference, or organization of which you were or are a member. If you do not have a copy of a report, memorandum or policy statement, give the name and address of the organization that issued it, the date of the document, and a summary of its subject matter.

Based upon my recollection and a thorough review of my files and searches of publicly available databases, I have identified the following responsive material. There may, however, be other materials that I was unable to recall or identify.

Report on the Selection of Eligible Countries for Fiscal Year 2016, 80 Fed. Reg. 81,868 (Dec. 18, 2015). Copy supplied.

Bingham McCutchen LLP, Morrison & Foerster LLP, and Heller Ehrman White & McAuliffe LLP, PRESERVING DIVERSITY IN HIGHER EDUCATION: A MANUAL ON ADMISSIONS POLICIES AND PROCEDURES AFTER THE UNIVERSITY OF MICHIGAN DECISIONS (2004). A team of individuals from three law firms participated in the writing and editing of this manual. To my recollection, I was a member of that team as part of my pro bono practice as an associate at Morrison & Foerster LLP. Copy supplied.

- c. Supply four (4) copies of any testimony, official statements or other communications relating, in whole or in part, to matters of public policy or legal interpretation, that you have issued or provided or that others presented on your behalf to public bodies or public officials.

Based upon my recollection and a thorough review of my files and searches of publicly available databases, I have identified the following responsive materials, but it is possible that there are a few that I have been unable to identify.

Notice of Entering Into a Compact With the Kingdom of Morocco, 80 Fed. Reg. 77,377 (Dec. 9, 2015). Copy supplied.

Notice of Entering Into a Compact With the Republic of Liberia, 80 Fed. Reg. 61,846 (Oct. 7, 2015). Copy Supplied.

Notice of Entering Into a Compact With the Republic of Benin, 80 Fed. Reg. 57,401 (Sep. 18, 2015). Copy supplied.

As the Vice President, General Counsel & Corporate Secretary of the Millennium Challenge Corporation (MCC) from 2015 to 2016, I participated in MCC's quarterly board meetings and attended board meetings of our country partners on occasion. These meetings were closed to the public.

I attended the MCC board meeting on December 10, 2014, as part of my onboarding process at MCC. I also participated in MCC board meetings on March 18, 2015, September 7, 2015, and December 16, 2015. Summaries supplied.

I attended the January 28, 2015, meeting of the board of the Millennium Development Authority (the entity in Ghana responsible for implementing the US-Ghana Compact agreement). Summary supplied.

I recall attending a meeting of board members of Fomilienio II (the entity in El Salvador responsible for implementing the US-El Salvador Compact agreement) during a February 2015 trip to El Salvador, but I have not located any documentation to support this recollection.

I have located the following press articles which purport to quote statements that I made at a non-public meeting which I attended in my capacity as the Deputy Assistant Attorney General, Consumer Protection. There was no press at the meeting, and I did not speak to press regarding the meeting. I do not recall exactly what was stated at the meeting, but the quote appears to comport with my general recollections of the meeting.

Jane Daugherty, *31 Members of Congress Blast Fed's Crackdown on Online Lending*, INDIAN COUNTRY TODAY (originally published Oct. 19, 2013, updated Sept. 12, 2018). Copy supplied.

Jane Daugherty, *Feds Claim Tribal Lenders Not a Target; Tribes Sue NY Over Crackdown*, INDIAN COUNTRY TODAY (originally published Aug. 23, 2013, updated Sept. 12, 2018). Copy supplied.

- d. Supply four (4) copies, transcripts or recordings of all speeches or talks delivered by you including commencement speeches, remarks, lectures, panel discussions, conferences, political speeches, and question-and-answer sessions. Include the date and place where they were delivered, and readily available press reports about the speech or talk. If you do not have a copy of the speech or a transcript or recording of your remarks, give the name and address of the group before whom the speech was given, the date of the speech, and a summary of its subject matter. If you did not speak from a prepared text, furnish a copy of any outline or notes from which you spoke.

Based upon my recollection and a thorough review of my files and searches of publicly available databases, I have identified the following responsive materials, but it is possible that there are a few that I have been unable to recall or identify.

June 29, 2021: Guest Speaker, Los Angeles Superior Court Summer Internship Program, Los Angeles, California (virtual). This was a question-and-answer session for the court's high school, college, and law school interns regarding my career and the criminal courts. I have no notes, transcript, or recording. The address for the Los Angeles Superior Court is 111 North Hill Street, Los Angeles, California 90012.

June 25, 2021: Guest Speaker, Legal Reasoning and Argumentation (Professor Antonio Elefano), University of Southern California Summer Program for High School Students, Los Angeles, California (virtual). Notes supplied.

June 12, 2021: Commencement Speaker, Vivian Webb School, Claremont, California. Video available at <https://www.youtube.com/watch?v=VHiqFspa2ks>.

April 15, 2021: Guest Speaker, Judges in the Classroom Program, Soto Street Elementary School, Judicial Council of California, Los Angeles, California (virtual). I spoke to elementary school students about the role of a judge and courtroom staff and answered their questions about my career and the work of the courthouse. I have no notes, transcript, or recording. The address for Soto Street Elementary School is 1020 South Soto Street, Los Angeles, California 90023. The address for the Judicial Council of California is 455 Golden Gate Avenue, San Francisco, California 94102.

April 15, 2021: Guest Speaker, Lawyering (Professor Ellen K. Eagen), Cornell Law School, Ithaca, New York (virtual). This was a question-and-answer session regarding my career. I have no notes, transcript, or recording. The address for Cornell Law School is Myron Taylor Hall, Ithaca, New York 14853.

March 8, 2021: Guest Speaker, Washington D.C. Externship Seminar—Federal Government Lawyering (Professors Timothy Reif & Alexandra Whittaker), Columbia Law School, Washington, District of Columbia (virtual). This was a question-and-answer session regarding my career. I have no notes, transcript, or recording. The address for Columbia Law School is 435 West 116th Street, New York, New York 10027.

February 3, 2021: Alumni Guest Speaker, "A Conversation with Black Alumni, Students, and Faculty," The Webb Schools, Claremont, California (virtual). This was a question-and-answer session regarding my high school experience and career. I have no notes, transcript, or recording. The address for The Webb Schools is 1175 West Baseline Road, Claremont, California 91711.

January 22, 2021: Guest Speaker, Judges in the Classroom Program, Ninth Street Elementary School, Judicial Council of California, Los Angeles, California (virtual). I spoke to fifth grade students about the role of a judge and courtroom staff and answered their questions about my career and the work of the

courthouse. I have no notes, transcript, or recording. The address for Ninth Street Elementary School is 835 Stanford Avenue, Los Angeles, California 90021. The address for the Judicial Council of California is 455 Golden Gate Avenue, San Francisco, California 94102.

November 6, 2020: Guest Speaker, Advanced Legal Writing (Professor Antonio Elefano), University of Southern California Writing Program, Los Angeles, California (virtual). This was a question-and-answer session for undergraduate students regarding my career. I have no notes, transcript, or recording. The address for the University of Southern California Writing Program is Jefferson Building (JEF 150), University of Southern California, Los Angeles, California 90089.

September 25, 2020: Panel Facilitator, “Judicial Independence and the Optics of Accountability,” California Judges Association 91st Annual Meeting and Conference(virtual). Recording supplied.

July 29 – 30, 2020: Lecturer and Moderator, Traffic Bench Seminar for Temporary Judges (virtual), Los Angeles County Superior Court, Los Angeles, California. I designed, moderated, and taught portions of this judicial education seminar which addressed issues that arise for Temporary Judges when handling traffic matters, including the following: respecting the separation of powers where the prosecutor is not present, presiding over bench trials, making sentencing decisions, deciding petitions for ability-to-pay determinations, and addressing the needs of non-English speakers and persons with disabilities. We used a series of PowerPoint slides for these presentations, but because these materials are internal to the Los Angeles Superior Court Temporary Judges Program, they are not provided. The address for the Los Angeles County Superior Court is 111 North Hill Street, Los Angeles, California 90012.

May 29, 2020: Speaker, “Congratulations from Judge Maame Ewusi-Mensah Frimpong ’93,” The Webb Schools, Claremont, California (virtual). Video available at <https://www.facebook.com/TheWebbSchools/videos/congratulations-from-judge-maame-ewusi-mensah-frimpong-93/262883221623427>.

April 30, 2020: Guest Speaker, Advanced Legal Writing (Professor Antonio Elefano), University of Southern California Writing Program, Los Angeles, California (virtual). This was a question-and-answer session for undergraduate students regarding my career. I have no notes, transcript, or recording. The address for the University of Southern California Writing Program is Jefferson Building (JEF 150), University of Southern California, Los Angeles, California 90089.

April 7, 2020: Panel Moderator, “Many Paths: Yale Law School Alumni in the Public Sector,” Yale Law School Admitted Students Program, New Haven, Connecticut (virtual). Notes supplied.

November 5, 2019: Guest Speaker, Advanced Legal Writing (Professor Antonio Elefano), University of Southern California Writing Program, Los Angeles, California. This was a question-and-answer session for undergraduate students regarding my career. I have no notes, transcript, or recording. The address for the University of Southern California Writing Program is Jefferson Building (JEF 150), University of Southern California, Los Angeles, California 90089.

October 18, 2019: Panel Moderator, “Women Judges and Leadership: Overcoming Challenges and Breaking New Ground,” National Association of Women Judges 41st Annual Conference, Los Angeles, California. Notes supplied.

June 27, 2019: Guest Speaker, Legal Reasoning and Argumentation (Professor Antonio Elefano), University of Southern California Summer Program for High School Students, Los Angeles, California. This was a question-and-answer session for high school students regarding my career. I have no notes, transcript, or recording. The address for the University of Southern California Summer Programs is 649 West 34th Street, Suite 108, Los Angeles, California 90089.

May 21, 2019: Guest Speaker, Bring Your Child to Work Day, Los Angeles Superior Court, Los Angeles, California. I welcomed students to the courthouse where their parents and relatives work. I have no notes, transcript, or recording. The address for the Los Angeles Superior Court is 111 North Hill Street, Los Angeles, California 90012.

March 27, 2019: Guest Speaker, School Field Trip to Courthouse, Los Angeles Christian School, Los Angeles, California. I spoke to elementary school students about the role of a judge and courtroom staff and answered their questions about my career and the work of the courthouse. I have no notes, transcript, or recording. The address for the Los Angeles Christian School is 1620 West 20th Street, Los Angeles, California 90007.

January 21, 2019: Keynote Address, Honor Symposium, The Webb Schools, Claremont, California. Notes supplied.

October 22, 2018: Guest Speaker, Advanced Legal Writing (Professor Antonio Elefano), University of Southern California Writing Program, Los Angeles, California. This was a question-and-answer session for undergraduate students regarding my career. I have no notes, transcript, or recording. The address for the University of Southern California Writing Program is Jefferson Building (JEF 150), University of Southern California, Los Angeles, California 90089.

September 14, 2018: Interviewer, California Supreme Court Justice Leandra R. Kruger, Association of African American California Judicial Officers Annual Meeting, San Diego, California. Notes supplied.

September 13, 2018: Guest Speaker, Discussion Following Courtroom Observation by Prosecutors from Thailand, Los Angeles District Attorney's Office and United States Department of State Bureau of International Narcotics and Law Enforcement Affairs, Los Angeles, California. I believe that I spoke about the work of my courtroom. I have no notes, transcript, or recording. The address for the Los Angeles District Attorney's Office is 211 West Temple Street, Los Angeles, California 90012. The address for the United States Department of State Bureau of International Narcotics and Law Enforcement Affairs is 2201 C Street, Northwest, Washington, District of Columbia 20520.

June 28, 2018: Guest Speaker, Advanced Legal Writing (Professor Antonio Elefano), University of Southern California Writing Program, Los Angeles, California. This was a question-and-answer session for undergraduate students regarding my career. I have no notes, transcript, or recording. The address for the University of Southern California Writing Program is Jefferson Building (JEF 150), University of Southern California, Los Angeles, California 90089.

March 23, 2018: Guest Speaker, Oscar De La Hoya Ánimo Charter High School Career Day, Los Angeles, California. I participated in a question-and-answer session with students about my career path. I have no notes, transcript, or recording. The address for Oscar De La Hoya Ánimo Charter High School is 1114 South Lorena Street, Los Angeles, California 90023.

March 16, 2018: Guest Speaker, Dialogues on Freedom Program, Lincoln High School, Los Angeles County Bar Association, Los Angeles, California. I engaged high school students in a discussion of constitutional civil liberties and the American system of government. I have no notes, transcript, or recording. The address for Lincoln High School is 3501 North Broadway, Los Angeles, California 90031. The address for the Los Angeles County Bar Association is 200 South Spring Street, Los Angeles, California 90012.

February 6, 2018: Guest Speaker, Sophomore Career Night, The Webb Schools, Claremont, California. PowerPoint supplied.

January 30, 2018: Panelist, "How to Protect the Expert Witness and Their Testimony at Deposition and Trial," Forensic Expert Witness Association, Los Angeles, California. Video available at <https://www.youtube.com/watch?v=vcXzrg7Taso>.

December 23, 2017: Guest Speaker, End of Year Banquet, Ghana Association of Southern California, Los Angeles, California. I described my experience as a judge and a Ghanaian-American. I have no notes, transcript, or recording. The address for the Ghana Association of Southern California is P.O. Box 54873, Los Angeles, California 90054.

November 9, 2017: Mock Trial Judge, Constitutional Rights Foundation California Mock Trial Competition, American Board of Trial Advocates and Daily Journal Corporation, Los Angeles, California. I served as a mock trial judge and spoke about pursuing a career in the law. I have no notes, transcript, or recording. The address for the Constitutional Rights Foundation is 601 South Kingsley Drive, Los Angeles, California 90005. The address for the American Board of Trial Advocates is 2001 Bryan Street, Suite 3000, Dallas, Texas 75201. The address for the Daily Journal Corporation is 915 East First Street, Los Angeles, California 90012.

June 28, 2017: Guest Speaker, New Admittees Reception, Beverly Hills Bar Association, Los Angeles, California. Notes supplied.

April 28, 2017: Panelist, "De-Mystifying the Judicial Appointments Process," California Association of Black Lawyers 40th Annual Conference, Marina Del Rey, California. Notes supplied.

April 23, 2017: Mock Trial Judge, American Mock Trial Association Mock Trial, Los Angeles, California. I served as a mock trial judge and spoke about careers in the law. I have no notes, transcript, or recording. The address for the American Mock Trial Association is Webster Hall, Suite 212, 3950 East Newman Road, Joplin, Missouri 64801.

April 11, 2017: Guest Speaker, Take Our Sons and Daughters to Work Day, Los Angeles Superior Court, Los Angeles, California. I spoke to students about the role of a judge and answered their questions about my career. I have no notes, transcript, or recording. The address for the Los Angeles Superior Court is 111 North Hill Street, Los Angeles, California 90012.

March 30, 2017: Interviewer, California Supreme Court Justice Leondra R. Kruger, Los Angeles Superior Court Young Women's Leadership Conference, Los Angeles, California. Notes supplied.

March 24, 2017: Guest Speaker, Oscar De La Hoya Ánimo Charter High School Career Day, Los Angeles, California. I participated in a question-and-answer session with students about my career path. I have no notes, transcript, or recording. The address for Oscar De La Hoya Ánimo Charter High School is 1114 South Lorena Street, Los Angeles, California 90023.

March 3, 2017: Panelist, "Leadership on the Bench: New Judges Tell Their Stories," Texas Women Lawyers Annual Meeting, Dallas, Texas. I believe I spoke about becoming a judge. I have no notes, transcript, or recording. The address for Texas Women Lawyers is 100 Congress Avenue, Suite 1100, Austin, Texas 78701.

October 4, 2016: Panelist, "The Judicial Appointment Process," Langston Bar Association and Mexican American Bar Association, Loyola Law School, Los Angeles, California. I recall discussing my path to the bench. I have no notes, transcript, or recording. The address for the Langston Bar Association is P.O. Box 811985, Los Angeles, California 90081. The address for the Mexican American Bar Association is 714 West Olympic Boulevard, Suite 450, Los Angeles, California 90015.

April 24, 2016: Guest Speaker, Sophomore Career Night, The Webb Schools, Claremont, California. PowerPoint supplied.

February 19, 2016: Speaker, Investiture of Maame E. Frimpong, Pasadena, California. Recording supplied.

September 1, 2015 (approximately): Panelist, "Training Up: Lessons Learned from the Ready to Govern Orientation," Association for Talent Development Government Workforce: Learning Innovations Conference, Washington, District of Columbia. Notes supplied.

April 24, 2015: Guest Speaker, Joint Zambia Compact Event, Millennium Challenge Corporation and Millennium Challenge Account Zambia, Lusaka, Zambia. I spoke about the Zambia Compact. I have no notes, transcript, or recording, but press coverage is supplied. The address for the Millennium Challenge Corporation is 1099 14th Street, Northwest, Suite 700, Washington, District of Columbia 20005.

April 24, 2015 (approximately): Guest Speaker, University of Zambia School of Law, Lusaka, Zambia. I recall discussing my career path. I have no notes, transcript, or recording. The address for the University of Zambia School of Law is Great East Road Campus, P.O. Box 32379, Lusaka, Zambia.

April 21, 2015: Panelist, "The Art of Advocacy" African Women in Law Rising Conference, International Bar Association Legal Practice Division and Law Association of Zambia, Livingstone, Zambia. Notes supplied.

March 16, 2015 (approximately): Panelist, "Stakeholder Engagement," Partnership for Public Service, Washington, District of Columbia. Notes supplied.

February 25, 2015: Guest Speaker, Law Student Roundtable, School of Economics and Business (ESEN), La Libertad, El Salvador. I recall discussing my career path. I have no notes, transcript, or recording, but press coverage is supplied. The address for ESEN is Km 12 1/2 carretera al Puerto de La Libertad, calle nueva a Comasagua, Santa Tecla, La Libertad, El Salvador.

Date Unknown, 2014 (approximately): Speaker, "Servicemember Fraud," The Judge Advocate General's Legal Center and School, Charlottesville, Virginia. I recall making a brief presentation identifying particular areas where servicemembers were vulnerable to consumer fraud and outlining resources for addressing fraud using state and federal law enforcement tools. I have no notes, transcript, or recording. The address for The Judge Advocate General's Legal Center and School is 600 Massie Road, Charlottesville, Virginia 22903.

September 10, 2014: Mistress of Ceremonies, Farewell Ceremony for Associate Attorney General Tony West, United States Department of Justice, Washington, District of Columbia. I recall discussing my experience working with former Associate Attorney General Tony West and his legacy at the Department of Justice. I have no notes, transcript, or recording. The address for the United States Department of Justice is 950 Pennsylvania Avenue, Northwest, Washington, District of Columbia.

August 23 – 29, 2013: Attendee, American Legion 95th Annual Convention, Houston, Texas. I recall discussing the United States Department of Justice's work to combat fraud against older individuals and servicemembers. I have no notes, transcript, or recording. The address for the American Legion is 700 North Pennsylvania Street, P.O. Box 1055, Indianapolis, Indiana 46206.

Summer 2013: Speaker & Panelist, Financial Fraud Enforcement Task Force Public Meetings, United States Attorney's Office for the District of Nevada, Las Vegas, Nevada. I recall discussing the work of the United States Department of Justice Financial Fraud Enforcement Task Force at one or two public meetings. I have no notes, transcripts, or recordings. The address for the United States Attorney's Office for the District of Nevada is 501 Las Vegas Boulevard South, Suite 1100, Las Vegas, Nevada 89101.

June 11, 2013: Guest Speaker, Kick-Off Program Event for the Federal Bar Association Younger Lawyers Division Summer Law Clerk Program, Washington, District of Columbia. I recall discussing the work of the United States Department of Justice and how to make the most out of any summer law clerk position. I have no notes, transcript, or recording. The address for the Federal Bar Association is 1220 North Fillmore Street, Suite 444, Arlington, Virginia 22201.

March 14, 2013: Panelist, "How Can Federal Law Enforcement More Effectively Protect Consumer Health and Safety?," Consumer Federation of America Consumer Assembly 2013, Washington, District of Columbia. I have no recollection of what I discussed, and I have no notes, transcript, or recording. The address for the Consumer Federation of America is 1620 I Street, Northwest, Suite 200, Washington, District of Columbia 20006.

March 8, 2013: Introductory Speaker, Second Annual Consumer Protection Summit, Consumer Protection Working Group of the President's Financial Fraud Enforcement Task Force, Georgetown University Law Center, Washington, District of Columbia. I recall briefly introducing Attorney General Eric H. Holder, Jr. I have no notes, transcript, or recording. The address for the Department of Justice is 950 Pennsylvania Avenue, Northwest, Washington, District of Columbia 20530.

January 29, 2013: Speaker, 2013 CBI Pharmaceutical Compliance Congress, Washington, District of Columbia. Remarks supplied.

September 19, 2012: Panelist, "Working Together—Collaboration," Common Ground Conference, Federal Trade Commission and California State Office of Attorney General, San Francisco, California. I have no recollection of what I discussed, and I have no notes, transcript, or recording. The address for the Federal Trade Commission is 600 Pennsylvania Avenue, Northwest, Washington, District of Columbia 20580. The address for the California Attorney General's Office is 455 Golden Gate Avenue, San Francisco, California 94102.

June 11, 2012: Speaker, "Consumer Protection and the Department of Justice," 2012 Common Ground Conference, Federal Trade Commission and Illinois State Office of Attorney General, Chicago, Illinois. I have no recollection of what I discussed, and I have no notes, transcript, or recording. The address for the Federal Trade Commission is 600 Pennsylvania Avenue, Northwest, Washington, District of Columbia 20580. The address for the Illinois Attorney General's Office is 100 West Randolph Street, Chicago, Illinois 60601.

April 16, 2012: Guest Speaker, "Brownbag with Maame Ewusi-Mensah Frimpong," O'Neill Institute for National and Global Health Law, Georgetown University, Washington, District of Columbia. I have no recollection of what I discussed, and I have no notes, transcript, or recording. The address for the O'Neill Institute for National and Global Health Law at Georgetown University is 600 New Jersey Avenue, Northwest, Washington, District of Columbia 20001.

February 22, 2012: Panelist, "Black Women in American Culture and History," African-American History Month Program, United States Department of Justice, Washington, District of Columbia. Recording available at <https://www.c-span.org/video/?304538-1/black-women-american-culture-history>.

November 4, 2011: Keynote Speaker, Research Center on the Prevention of Financial Fraud Conference: The State and Future of Financial Fraud—Connecting Research to Practice, Stanford University Center on Longevity and FINRA Investor Education Foundation, Washington, District of Columbia. Remarks supplied.

- e. List all interviews you have given to newspapers, magazines or other

publications, or radio or television stations, providing the dates of these interviews and four (4) copies of the clips or transcripts of these interviews where they are available to you.

Based upon my recollection and a thorough review of my files and searches of publicly available databases, I have identified the following responsive materials, but it is possible that there are a few that I have been unable to recall or identify.

Meet Judge Maame Frimpong '93, THE WEBB SCHOOLS NEWS (online) (Jan. 25, 2018). Copy supplied.

Arin Mikailian, *Judicial Profile: Maame E. Frimpong*, DAILY J. (Jan. 25, 2017). Copy supplied.

In 2017 or 2018, I gave an interview for a podcast about the workplace, entitled Beauty@Work and hosted by Jason Brooks. To my knowledge, the podcast never aired.

Debbie Carini, *Where Women Lead*, WEBB MAG. (Fall 2016). Copy supplied.

Rebecca Kwei, *Maame Ewusi-Mensah: A Rising Star in Corporate America*, MIRROR (Feb. 27, 2015) (reprinted in multiple sources). Copy supplied.

In February 2015, I gave an interview to Starr FM, a Ghanaian radio station, and the interview aired on the radio. The resulting article is *Ghanaian-American Legal Brain Tasked to Solve Ghana's Power Crisis*, STARRFM ONLINE (Feb. 10, 2015). Copy supplied.

In February 2015, I gave an interview on a Ghana Broadcasting Corporation television program focused on women's issues. The program aired on Ghanaian television. I generally recall speaking about my career path. I am unable to locate a recording.

Michael Patrick Leahy, *Obama's "Operation Choke Point" Seeks to Destroy Sectors of Private Lending Industry*, BREITBART (Jan. 8, 2014). Copy supplied.

Press Release, *Former Executives of International Medical Device Maker Sentenced to Prison in Unlawful Clinical Trials Case*, U.S. Att'y's Off. for E.D. Pa. (Nov. 21, 2011). Copy supplied.

Press Release, *Former InterMune CEO Sentenced for False and Misleading Statements Related to Pulmonary Fibrosis Drug's Clinical Tests*, U.S. Dep't of Justice (Apr. 14, 2011). Copy supplied.

Erwin R. Rosinberg, *Charting the Course VES 40ar*, HARV. CRIMSON (Feb. 21, 1997). Copy supplied.

Serena K. Mayeri, *Keeping Faith*, HARV. MAG. (Jan. 1, 1996). Copy supplied.

13. **Judicial Office:** State (chronologically) any judicial offices you have held, including positions as an administrative law judge, whether such position was elected or appointed, and a description of the jurisdiction of each such court.

Since 2016, I have served as a Superior Court Judge on the Superior Court of California, County of Los Angeles County. I was appointed to this position by Governor Edmund G. Brown Jr. in November 2015, and I took the oath of office in February 2016. I was elected to a successive six-year term without opposition in 2018. The Superior Court of California is a court of general jurisdiction. Since February 2016, I have been assigned to the Criminal Division.

- a. Approximately how many cases have you presided over that have gone to verdict or judgment?

I have presided over several hundred traffic infraction matters, a few hundred of which were resolved with a plea of guilty or no contest by the defendant, and a few hundred of which were resolved with a bench trial.

I have presided over thousands of hearings in misdemeanor and felony matters, including hundreds of resolutions via pleas of guilty or no contest by the defendant.

I have presided over approximately 30 jury trials in civil, misdemeanor, and felony matters.

The percentages below reflect only civil, misdemeanor, and felony matters that went to trial. Because of their volume, traffic infraction matters have been excluded.

- i. Of these cases, approximately what percent were:

jury trials:	100%
bench trials:	0%

- ii. Of these cases, approximately what percent were:

civil proceedings:	20%
criminal proceedings:	80%

- b. Provide citations for all opinions you have written, including concurrences and dissents.

As a Superior Court Judge in the Criminal Division of the Los Angeles Superior Court, the vast majority of my decisions are issued from the bench in open court,

and recorded verbatim in the reporter's transcript as well as in summary form in the clerk's minute order. Moreover, all of my written opinions are unpublished. The Los Angeles Superior Court does not maintain any readily accessible database of my written decisions. Instead, case files are stored in the Los Angeles County Superior Court's case management system. I would estimate that I have issued one to two dozen written opinions, some of which are sealed.

- c. For each of the 10 most significant cases over which you presided, provide: (1) a capsule summary of the nature of the case; (2) the outcome of the case; (3) the name and contact information for counsel who had a significant role in the trial of the case; and (4) the citation of the case (if reported) or the docket number and a copy of the opinion or judgment (if not reported).

Because of the sensitive nature of the issues raised by certain of the Superior Court cases included below, and because some of the matters are ongoing, I have included fewer details about those cases. Copies of the written decisions issued in these cases are supplied.

1. *People v. Ardite*, No. BA459890 (L.A. Cty. Sup. Ct.)

The defendant was charged with conspiracy to smuggle controlled substances into the Los Angeles County jail system, as well as with possession of controlled substances. I presided over discovery and case-management related hearings in this matter in 2020. I also presided over the jury trial. My decisions in this case are recorded by minute orders prepared by the courtroom judicial assistant and by formal orders after hearing signed by the court.

The jury returned a verdict on March 6, 2020, finding the defendant not guilty of the conspiracy charge and guilty of the drug possession charge. The defendant was sentenced in 2020, and the case remains ongoing.

Counsel for the People:

Kelly S. Howick
Deputy District Attorney
Los Angeles County District Attorney's Office
211 West Temple Street, 12th Floor
Los Angeles, CA 90012
(213) 974-3888

Counsel for Defendant (at trial):

Mark Andrew McBride
Law Offices of Mark McBride, PC
468 North Camden Drive, Suite 225
Beverly Hills, CA 90210
(310) 285-1790

The defendant is now representing himself *pro se*.

2. *People v. Fuller*, No. BA472257 (L.A. Cty. Sup. Ct.)

The defendant was charged with the crime of pandering after communicating with an undercover police officer posing as a prostitute. I presided over discovery and case-management related hearings in this matter in 2019. I also presided over the jury trial. My decisions in this case are recorded by minute orders prepared by the courtroom judicial assistant and by formal orders after hearing and signed by the court.

The jury returned a verdict on June 14, 2019, finding the defendant guilty. The defense appealed. The California Court of Appeal affirmed the conviction. *People v. Fuller*, No. B301334, 2020 WL 2610398 (Cal. Ct. App. May 22, 2020).

Counsel for the People:

Benyomin Y. Forer
Deputy District Attorney
Los Angeles County District Attorney's Office
211 West Temple Street, 10th Floor
Los Angeles, CA 90012
(213) 257-2430

Counsel for Defendant:

Sabrina Hashim
Deputy Public Defender
Office of the Public Defender
210 West Temple Street, 19th Floor
Los Angeles, CA 90012
(213) 974-2811

3. *People v. Wilson*, No. BA466320 (L.A. Cty. Sup. Ct.)

The defendant was charged with the sale of controlled substances for the benefit of a criminal street gang. I presided over discovery and case-management related hearings in this matter 2019. I also presided over the jury trial. My decisions in this case are recorded by minute orders prepared by the courtroom judicial assistant and by formal orders after hearing signed by the court.

The jury returned a verdict on May 31, 2019, finding the defendant guilty. The defense appealed. The California Court of Appeal affirmed the conviction. *People v. Wilson*, No. B300876, 2021 WL 2278486 (Cal. Ct. App. June 4, 2021).

Counsel for the People:

Christine J. Yi
Deputy District Attorney

Los Angeles County District Attorney's Office
211 West Temple Street, 12th Floor
Los Angeles, CA 90012
(213) 974-3512

Counsel for Defendant:

Julio G. Fontoura
Law Offices of Julio Fontoura
14241 Firestone Boulevard, Suite 400
La Mirada, CA 90638
(626) 263-0862

4. *People v. Fink*, No. BA435472 (L.A. Cty. Sup. Ct.). Copy supplied.

The defendant was charged with various crimes arising out of an alleged scheme to use the county sheriffs' enforcement process to defraud individuals and companies in multiple California counties. I presided over discovery and case-management related hearings in this matter in 2018 prior to the preliminary hearing, including the defendant's motion to dismiss, which I denied. I also presided over the preliminary hearing in this matter, including the defendant's motions regarding the prosecution's evidence. On September 13, 2018, after the preliminary hearing, I found sufficient evidence to hold the defendant to answer for nearly all of the charged offenses and he was bound over for trial.

My decisions in this case are recorded by minute orders prepared by the courtroom judicial assistant and by formal orders after hearing signed by the court. The defendant is currently awaiting trial before another judge, and is now represented by counsel.

Counsel for the People:

Natasha Howard
Deputy Attorney General
Office of the Attorney General
300 South Spring Street, Suite 1702
Los Angeles, CA 90013
(213) 269-6176

Counsel for Defendant (Through Preliminary Hearing):

Mr. Fink initially represented himself *pro se*.

Advisory Counsel for Defendant (Through Preliminary Hearing) & Counsel for Defendant (Trial):

Theodore J. Batsakis
333 West Broadway, Suite 214
Long Beach, CA 90802
(562) 824-8773

Standby Counsel for Defendant (Through Preliminary Hearing)

Randy Short
17531 Central Avenue, Suite A
Carson, CA 90746
(323) 707-6660

5. *People v. Fin. Cas. & Sur., Inc.*, No. 0SJ2178/VA142492 (L.A. Cty. Sup. Ct.).
Copy supplied.

The surety posted a bail bond to secure the release of a criminal defendant pending trial. After the defendant failed to appear, the trial court ordered bail forfeited. I presided over the surety's motion to vacate the forfeiture and exonerate the bond, which I denied. I entered judgment on the bond in 2018. My decisions in this case are recorded by minute orders prepared by the courtroom judicial assistant and by formal orders after hearing signed by the court.

The surety appealed, and my decision was affirmed by the California Court of Appeal. *People v. Fin. Cas. & Sur., Inc.*, No. B293606, 2020 WL 3096042 (Cal. Ct. App. June 11, 2020).

Counsel for the People:

Jason Clouse
Deputy District Attorney
Los Angeles County District Attorney's Office
211 West Temple Street, 12th Floor
Los Angeles, CA 90012
(213) 974-6524

Counsel for Surety:

John Rorabaugh
Law Office of John Rorabaugh
901 Parkcenter Drive, Suite 205
Santa Ana, CA 92705
(714) 617-9600

6. *People v. N. River Ins. Co.*, No. GA098617 (L.A. Cty. Sup. Ct.)

The surety and its bail agent posted a bail bond to secure the release of a criminal defendant pending trial. After the defendant failed to appear, the trial court ordered bail forfeiture. The court later entered summary judgment on the forfeited bond. The surety and its bail agent moved to set aside the summary judgment. I presided over the motion to set aside the summary judgment, denying the motion on October 19, 2018.

My decisions in this case are recorded by minute orders prepared by the courtroom judicial assistant and by formal orders after hearing signed by the court. On appeal, the judgment was affirmed by the California Court of Appeal. *People v. N. River Ins. Co.*, 53 Cal. App. 5th 559 (2020).

Counsel for the People

Michael J. Gordon
Deputy County Counsel
County of Los Angeles Office of County Counsel
500 West Temple Street
Los Angeles, CA 90012
(213) 787-2326

Counsel for Surety and Bail Agent:

Jefferson T. Stamp
595 Park Avenue, Suite 200
San Jose, CA 95110
(408) 521-5252

7. *People v. Rodriguez-Diaz*, No. 8CJ02761 (L.A. Cty. Sup. Ct.)

The defendant was charged with domestic violence and criminal threats. I presided over discovery and case-management related hearings in this matter in 2018. I also presided over the jury trial. My decisions in this case are recorded by minute orders prepared by the courtroom judicial assistant and by formal orders after hearing signed by the court.

The jury returned a verdict on October 24, 2018, finding the defendant guilty. The defense appealed. The Appellate Division affirmed the judgment.

Counsel for the People:

Kenneth Tai Hsu
Deputy City Attorney
Los Angeles City Attorney's Office
200 North Main Street, Suite 500
Los Angeles, CA 90012
(213) 978-7840

Counsel for Defendant:

Robert Donald Fiffer
Deputy Public Defender
Office of the Public Defender
210 West Temple Street, 19th Floor
Los Angeles, CA 90012
(213) 974-2811

8. *People v. De La Cruz*, No. 7MZ00479 (L.A. Cty. Sup. Ct.)

The defendant was charged with unlawful possession of a controlled substance and paraphernalia. I presided over discovery and case-management related hearings in this matter in 2017. I also presided over the jury trial. My decisions in this case are recorded by minute orders prepared by the courtroom judicial assistant and by formal orders after hearing signed by the court.

The jury returned a verdict on July 13, 2017, finding the defendant guilty. The defense appealed. The Appellate Division affirmed the judgment.

Counsel for the People:

Elicia M. Stoller
Deputy District Attorney
Los Angeles District Attorney's Office
200 W. Compton Blvd., Suite 700
Compton, CA 90220
(310) 603-7434

Counsel for Defendant:

John Baptista Peroni III
Deputy Public Defender
Office of the Public Defender
210 West Temple Street
Los Angeles, CA 90012
(213) 974-2811

9. *Gorman v. Cole*, No. BC505976 (L.A. Cty. Sup. Ct.)

The plaintiff brought a claim against the defendant for personal injury arising out of an automobile accident; the sole issue at trial was damages. I presided over discovery and case-management related hearings in this matter in 2016. I also presided over the jury trial. My decisions in this case are recorded by minute orders prepared by the courtroom judicial assistant and by formal orders after hearing signed by the court.

The jury returned a verdict in favor of the plaintiff and awarded her damages on October 13, 2016. The plaintiff moved for a new trial and for judgment notwithstanding the verdict in light of the fact that the damages award was less than what she sought. I denied the plaintiff's motions, and the plaintiff appealed. The Court of Appeals affirmed, the California Supreme Court denied review, and the United States Supreme Court denied certiorari. *Gorman v. Cole*, No. B279707, 2019 WL 2724759 (Cal. Ct. App. July 1, 2019). (Although the opinion lists the judge as Donna Field Goldstein, I presided over the trial at issue.)

Counsel for Plaintiff:

Ms. Gorman represented herself *pro se*.

Counsel for Defendant:

Stephen Kalpakian
Richardson, Fair & Cohen
2601 South Figueroa Street
Los Angeles, CA 90007
(213) 741-4703

10. *Unlawful Detainer Matter*, No. 17U01540 (L.A. Cty. Sup. Ct.)

The plaintiff brought an unlawful detainer complaint against the defendant, seeking eviction for nonpayment of rent. I presided over discovery and case-management related hearings in this matter in 2017. I also presided over the jury trial. My decisions in this case are recorded by minute orders prepared by the courtroom judicial assistant and by formal orders after hearing signed by the court. (Because the names of the parties in unlawful detainer actions are protected from disclosure under California law, they are not listed here.)

The jury returned a verdict for the plaintiff in July 2017, and subsequent proceedings were handled by the Civil Division of the Los Angeles Superior Court per court rules and procedures.

Counsel for Plaintiff:

Adam L. Toporoff
Felman, Daggenhurst, & El Dabe
13743 Ventura Boulevard, Suite 350
Sherman Oaks, CA 91423
(818) 990-8800

Counsel for Defendant:

Jonathan Sebastian Segura
Los Angeles Dependency Lawyers, Inc.
1040 West Avenue J, Suite 1132
Lancaster, CA 93534
(323) 545-7795

- d. For each of the 10 most significant opinions you have written, provide: (1) citations for those decisions that were published; (2) a copy of those decisions that were not published; and (3) the names and contact information for the attorneys who played a significant role in the case.

I was only able to locate written opinions in the following two non-sealed decisions.

1. *People v. Fink*, No. BA435472 (L.A. Cty. Sup. Ct.). Copy previously supplied in response to Question 13c.

Counsel for the People:

Natasha Howard
Deputy Attorney General
Office of the Attorney General
300 South Spring Street, Suite 1702
Los Angeles, CA 90013
(213) 269-6176

Counsel for Defendant (Through Preliminary Hearing):

Mr. Fink initially represented himself *pro se*.

Advisory Counsel for Defendant (Through Preliminary Hearing) & Counsel for Defendant (Trial):

Theodore J. Batsakis
333 West Broadway, Suite 214
Long Beach, CA 90802
(562) 824-8773

Standby Counsel for Defendant (Through Preliminary Hearing)

Randy Short
17531 Central Avenue, Suite A
Carson, CA 90746
(323) 707-6660

2. *People v. Fin. Cas. & Sur., Inc.*, No. 0SJ2178/VA142492 (L.A. Cty. Sup. Ct.), *aff'd*, *People v. Fin. Cas. & Sur., Inc.*, No. B293606, 2020 WL 3096042 (Cal. Ct. App. June 11, 2020). Copy previously supplied in response to Question 13c. It is my recollection that I issued written opinions similar to the one issued in this case in other cases. Due to the fact that the Los Angeles Superior Court does not maintain any readily accessible database of my written decisions, I have been unable to locate these other written opinions.

Counsel for the People:

Jason Clouse
Deputy District Attorney
Los Angeles County District Attorney's Office
211 West Temple Street, 12th Floor
Los Angeles, CA 90012
(213) 974-3877

Counsel for Surety:

John Rorabaugh
Law Office of John Rorabaugh

901 Parkcenter Drive, Suite 205
Santa Ana, CA 92705
(714) 617-9600

- e. Provide a list of all cases in which certiorari was requested or granted.

Gorman v. Cole, No. BC505976 (L.A. Cty. Sup. Ct. Nov. 18, 2016), *aff'd*, No. B279707, 2019 WL 2724759 (Cal. Ct. App. July 1, 2019), *cert. denied*, 140 S. Ct. 1141 (2020) (Mem).

- f. Provide a brief summary of and citations for all of your opinions where your decisions were reversed by a reviewing court or where your judgment was affirmed with significant criticism of your substantive or procedural rulings. If any of the opinions listed were not officially reported, provide copies of the opinions.

To the best of my knowledge and based upon a review of my records and publicly available legal databases, my decisions have never been reversed by a reviewing court, nor have my judgments been affirmed with significant criticism of my substantive or procedural rulings.

- g. Provide a description of the number and percentage of your decisions in which you issued an unpublished opinion and the manner in which those unpublished opinions are filed and/or stored.

As a Superior Court Judge in the Criminal Division of the Los Angeles Superior Court, the vast majority of my decisions are issued from the bench in open court and recorded verbatim in the reporter's transcript as well as in summary form in the clerk's minute order. Moreover, all of my written opinions are unpublished. The Los Angeles Superior Court does not maintain any readily accessible database of my written decisions. Instead, case files are stored in the Los Angeles County Superior Court's case management system. I would estimate that I have issued one to two dozen written opinions, some of which are sealed.

- h. Provide citations for significant opinions on federal or state constitutional issues, together with the citation to appellate court rulings on such opinions. If any of the opinions listed were not officially reported, provide copies of the opinions.

I have not issued any significant written opinions on federal or state constitutional issues.

- i. Provide citations to all cases in which you sat by designation on a federal court of appeals, including a brief summary of any opinions you authored, whether majority, dissenting, or concurring, and any dissenting opinions you joined.

I have not sat by designation on any federal court of appeals.

14. **Recusal:** If you are or have been a judge, identify the basis by which you have assessed the necessity or propriety of recusal (If your court employs an "automatic" recusal system by which you may be recused without your knowledge, please include a general description of that system.) Provide a list of any cases, motions or matters that have come before you in which a litigant or party has requested that you recuse yourself due to an asserted conflict of interest or in which you have recused yourself *sua sponte*. Identify each such case, and for each provide the following information:
- a. whether your recusal was requested by a motion or other suggestion by a litigant or a party to the proceeding or by any other person or interested party; or if you recused yourself *sua sponte*;
 - b. a brief description of the asserted conflict of interest or other ground for recusal;
 - c. the procedure you followed in determining whether or not to recuse yourself;
 - d. your reason for recusing or declining to recuse yourself, including any action taken to remove the real, apparent or asserted conflict of interest or to cure any other ground for recusal.

California Code of Civil Procedure (CCP) § 170.6 allows for any party or attorney to an action to file a peremptory challenge against any judicial officer. No showing of actual bias need be made under CCP § 170.6. (A different section of the CCP, § 170.1, governs challenges for cause.) Recusal under CCP § 170.6 is mandatory if the proponent of the motion meets the procedural standards set forth under that section. I am unaware of the number of peremptory challenges that have been filed against me, as no list is maintained of those challenges.

In my service as a Superior Court Judge, I have never been asked to recuse myself for cause pursuant to CCP § 170.1. I have, however, recused myself *sua sponte* on three occasions.

I recused myself from a traffic infraction matter in either 2016 or 2017 where I recognized the defendant as the close friend of a friend of mine.

I recused myself from *People v. Maesta*, No. 5CR11537-01 (L.A. Cty. Sup. Ct.). The defendant, through counsel, filed what is commonly referred to as a petition for dismissal or expungement of a prior conviction. In reviewing the file, I noted that the defendant had previously been represented by a friend of mine.

I recused myself from *People v. Rojas*, No. 6CJ11768-01 (L.A. Cty. Sup. Ct.). The defendant, through counsel, filed a petition for dismissal or expungement of a prior conviction. When the hearing began, I noticed that the defendant's attorney is a friend of mine.

15. **Public Office, Political Activities and Affiliations:**

- a. List chronologically any public offices you have held, other than judicial offices, including the terms of service and whether such positions were elected or appointed. If appointed, please include the name of the individual who appointed you. Also, state chronologically any unsuccessful candidacies you have had for elective office or unsuccessful nominations for appointed office.

Aside from serving as a Superior Court judge, I have not held public office. I have had no unsuccessful candidacies for public office or unsuccessful nominations for appointed office.

- b. List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

I have searched my files and electronic databases in an effort to identify all information responsive to this question. To the best of my knowledge and recollection, listed below are all of the instances I played any role in a political campaign. There may, however, be other such instances that I have been unable to recall or identify.

I recall that I volunteered very briefly to collect and distribute lawn signs and posters for Joseph Lieberman's 2000 Senatorial Campaign.

I recall phone banking for John Kerry's Presidential Campaign and/or the John Kerry-John Edwards 2004 Presidential Campaign.

I canvassed door-to-door in Baltimore, Maryland and Philadelphia, Pennsylvania on behalf of Barack Obama's 2008 Presidential Campaign during the primary election. I canvassed door-to-door for the same campaign in Annandale, Virginia and Alexandria, Virginia during the general election. On and around election day, I also served as a legal volunteer in the Northern Virginia Call Center of Virginia Promote the Vote.

Finally, I conducted my own reelection campaign in 2017 and 2018 for the non-partisan position of Los Angeles Superior Court Judge. As such, I developed a campaign website and conducted fundraising.

16. Legal Career: Answer each part separately.

- a. Describe chronologically your law practice and legal experience after graduation from law school including:
 - i. whether you served as clerk to a judge, and if so, the name of the judge,

the court and the dates of the period you were a clerk;

From 2001 to 2002, I served as a law clerk to the Honorable Stephen Reinhardt of the United States Court of Appeals for the Ninth Circuit.

- ii. whether you practiced alone, and if so, the addresses and dates;

I have not practiced law alone.

- iii. the dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been affiliated, and the nature of your affiliation with each;

2002 – 2007

Morrison & Foerster LLP
425 Market Street
San Francisco, California 94105
Associate

2007 – 2015

United States Department of Justice
950 Pennsylvania Avenue, Northwest
Washington, District of Columbia 20530
Counselor to Attorney General (2014 – 2015)
Principal Deputy Associate Attorney General (2014)
Deputy Assistant Attorney General,
Consumer Protection (2012 – 2014)
Acting Deputy Assistant Attorney General,
Consumer Protection (2011 – 2012)
Acting Deputy Assistant Attorney General, Torts (2012)
Counsel to Assistant Attorney General, Civil Division (2009 – 2011)
Trial Attorney, National Courts Section (2007 – 2009)

2015 – 2016

Millennium Challenge Corporation
1099 14th Street, Northwest, Suite 700
Washington, District of Columbia 20005
Vice President, General Counsel & Corporate Secretary

2016 – present

Superior Court of California, County of Los Angeles
111 North Hill Street
Los Angeles, California 90012
Assistant Supervising Judge, Criminal Division,
Limited Jurisdiction (2020 – present)
Assistant Supervising Judge, Criminal Division,

Infractions (2018 – 2020)
Site Judge, Metropolitan Courthouse (2018 – 2020)
Judge (2016 – present)

- iv. whether you served as a mediator or arbitrator in alternative dispute resolution proceedings and, if so, a description of the 10 most significant matters with which you were involved in that capacity.

I have not served as a mediator or arbitrator.

b. Describe:

- i. the general character of your law practice and indicate by date when its character has changed over the years.

As a Superior Court Judge assigned to the Criminal Division of the Los Angeles Superior Court, my practice is primarily criminal, although I have also handled civil matters, including personal injury, unlawful detainer, and bail bond litigation cases.

The nature of my law practice prior to becoming a judge varied widely over the years. After graduating from law school in 2001, I served as a law clerk to Judge Stephen Reinhardt on the United States Court of Appeals for the Ninth Circuit.

From 2002 to 2007, I worked as an intellectual property litigation associate at Morrison & Foerster. As an associate, I prosecuted trademark applications, advised clients regarding trademark law, handled litigation concerning trademark and copyright disputes—including discovery litigation, and negotiated and drafted numerous licensing agreements and contracts. In my pro bono practice, I also represented clients in state and federal court with respect to unlawful detainer, criminal expungement, and Section 1983 matters.

From 2007 to 2009, I worked as a Trial Attorney in the Commercial Litigation Branch of the Civil Division of the United States Department of Justice. As a Trial Attorney, I practiced in the Court of Federal Claims and the Federal Circuit Court of Appeals, handling litigation over disputes concerning government contracts, government employee pay, government employee discipline, and veterans benefits. In addition, I handled international trade disputes in the Court of International Trade and was the member of the team that handled the Softwood Lumber Arbitration in the London Court of International Arbitration.

From 2009 to 2011, I served as Counsel to the Assistant Attorney General of the Civil Division of the United States Department of Justice. As a

Counsel, I advised the Assistant Attorney General and his Deputy Assistant Attorneys General on intellectual property, immigration, consumer protection, international trade, and international law. This involved research and writing as well as participating in numerous working groups and task forces to develop and refine Department of Justice and United States government policy in these areas.

From 2011 to 2014, I served as the Deputy Assistant Attorney General for the Consumer Protection Branch of the United States Department of Justice. (I operated in an acting capacity from March 2011 to June 2012.) As the Deputy Assistant Attorney General, I oversaw the Consumer Protection Branch, whose mission is to protect consumers through civil and criminal litigation.

The Branch had a varied civil practice, including defending challenges to actions by the federal agencies it represents (the Food and Drug Administration, Federal Trade Commission, and Consumer Product Safety Commission), as well as engaging in affirmative civil enforcement of federal consumer protection laws. As such, I carefully reviewed complaints and settlements for their legal and factual sufficiency before they were filed. I also reviewed and edited substantial briefs in significant matters.

The Branch's criminal work involved the enforcement of consumer protection laws concerning food, drugs, consumer products, and fraud. I directed and oversaw numerous prosecutions in several areas. Our Branch prosecuted individuals as well as companies, and new criminal grand jury investigations were reviewed by me for legal and factual sufficiency. I also negotiated resolutions of criminal matters and was responsible for reviewing and approving major criminal resolutions to ensure that they were fair and appropriate.

In addition, many of the criminal and civil resolutions I directed and reviewed involved complex questions of corporate law, including corporate restructurings related to negotiated settlements.

For a brief period at the beginning of 2012, in addition to my duties as the Acting Deputy Assistant Attorney General for the Consumer Protection Branch, I also served as the Acting Deputy Assistant Attorney General for the Torts Branch of the Civil Division of the United States Department of Justice. In that capacity, I oversaw the work of the Torts Branch, including aviation and admiralty litigation, Federal Tort Claims Act cases, environmental tort litigation, and other constitutional and specialized tort cases. This involved the review and approval of major settlements.

In 2014, I served as the Principal Deputy Associate Attorney General. In this role, I provided legal and policy advice to the Associate Attorney General, who is the third-ranking official in the United States Department of Justice, overseeing its civil litigation, grant-making, and several other components. As a result, my practice was varied, including research, writing, and the development of Department of Justice and United States Government policy in a wide variety of areas. In addition, I was the lead negotiator on the consumer relief packages of the Department of Justice's multi-billion-dollar financial fraud settlements with Bank of America and Citigroup.

In 2014 and 2015, I served as Counselor to the Attorney General. In this role, I was an advisor to the Attorney General. As a result, my practice was varied, but my primary areas of responsibility were oversight of the following components: the Environment and Natural Resources Division, the Tax Division, the Office of Tribal Justice, the Executive Office of Immigration Review, the Bureau of Prisons, and the United States Marshals Service, as well as oversight of the Department's civil financial fraud litigation related to the financial crisis, its efforts to address human trafficking, and the Intellectual Property Task Force. This involved research, writing, and conducting meetings to assist in the development and implementation of Department of Justice and United States government policy in a wide variety of areas.

From 2015 to 2016, I served as Vice President, General Counsel, and Corporate Secretary of the Millennium Challenge Corporation (MCC). MCC is a U.S. foreign assistance agency that aims to promote economic growth, reduce poverty, and strengthen institutions in countries around the world. At MCC, I supervised the legal department and provided legal advice to the CEO and the other members of the management team with respect to international law, labor and employment, government contracts, real estate, and corporate governance. This included overseeing the drafting and negotiation of the international agreements through which MCC provided U.S. foreign assistance to other countries, as well as providing guidance with respect to MCC's foreign assistance work.

- ii. your typical clients and the areas at each period of your legal career, if any, in which you have specialized.

As an associate at Morrison & Foerster from 2002 to 2007, my typical clients were technology companies and other companies seeking to protect their trademarks. My areas of specialization were trademark and copyright law.

As a Trial Attorney in the Commercial Litigation Branch of the Civil Division of the United States Department of Justice from 2007 to 2009,

my client was the United States government, and in the case of the Softwood Lumber Arbitration, the United States. My areas of specialization were government contracts and international trade.

As Counsel to the Assistant Attorney General of the Civil Division of the United States Department of Justice from 2009 to 2011, my client was the United States government, and my areas of specialization were intellectual property, diversity, immigration, consumer protection, international trade, and international law.

For the brief period at the beginning of 2012 where I served as the Acting Deputy Assistant Attorney General for the Torts Branch of the Civil Division of the United States Department of Justice, my client was the United States government, and my area of specialization was government torts.

As Deputy Assistant Attorney General for the Consumer Protection Branch of the United States Department of Justice from 2011 to 2014, my client was the United States, on behalf of American consumers, and my area of specialization was consumer protection law.

As Principal Deputy Associate Attorney General in 2014, my client was the United States government and the United States, on behalf of individuals and communities harmed by the financial crisis. My area of specialization was financial fraud and consumer relief.

As Counselor to the Attorney General in 2014 and 2015, my client was the United States.

During 2015 and 2016 when I served as the Vice President, General Counsel, and Corporate Secretary of the Millennium Challenge Corporation, my client was the Millennium Challenge Corporation, and my areas of specialization were international law and labor and employment.

- c. Describe the percentage of your practice that has been in litigation and whether you appeared in court frequently, occasionally, or not at all. If the frequency of your appearances in court varied, describe such variance, providing dates.

Prior to becoming a Superior Court Judge, I was an experienced litigator, both at the trial and appellate levels. At Morrison & Foerster, I participated in the litigation of numerous commercial disputes, and gained a high level of competence in all stages of litigation, including discovery disputes. I built upon this experience as a trial attorney at the United States Department of Justice, handling dozens of cases involving complex discovery, fact development, and legal disputes with minimal supervision. Thereafter, during my time in leadership

at the Department of Justice and at the Millennium Challenge Corporation, the bulk of my time was spent supervising and leading attorneys, most of whom appeared in court frequently.

As an associate at Morrison & Foerster from 2002 to 2007, my practice was 75 percent litigation, and I occasionally appeared in court.

As a Trial Attorney in the Commercial Litigation Branch of the Civil Division of the United States Department of Justice from 2007 to 2009, my practice was 100 percent litigation, and I frequently appeared in court.

As Counsel to the Assistant Attorney General of the Civil Division of the United States Department of Justice from 2009 to 2011, approximately 10 percent of my practice was devoted to litigation, and I did not appear in court except occasionally to handle matters left from my time as a Trial Attorney.

As Deputy Assistant Attorney General for the Consumer Protection Branch of the United States Department of Justice from 2011 to 2014, approximately 90 percent of my practice was devoted to litigation, and I occasionally appeared in court.

During the brief period at the beginning of 2012 where I also served as the Acting Deputy Assistant Attorney General for the Torts Branch of the Civil Division of the United States Department of Justice, approximately 50 percent of my practice was devoted to litigation, and I did not appear in court.

As Principal Deputy Associate Attorney General and Counselor to the Attorney General in 2014 and 2015, approximately 50 percent of my practice was devoted to litigation, and I did not appear in court.

As Vice President, General Counsel, and Corporate Secretary of the Millennium Challenge Corporation in 2015 and 2016, approximately 10 percent of my practice was devoted to litigation, and I did not appear in court.

i. Indicate the percentage of your practice in:

- | | |
|-----------------------------|-----|
| 1. federal courts: | 80% |
| 2. state courts of record: | 1% |
| 3. other courts: | 15% |
| 4. administrative agencies: | 4% |

ii. Indicate the percentage of your practice in:

- | | |
|--------------------------|-----|
| 1. civil proceedings: | 75% |
| 2. criminal proceedings: | 25% |

- d. State the number of cases in courts of record, including cases before administrative law judges, you tried to verdict, judgment or final decision (rather than settled), indicating whether you were sole counsel, chief counsel, or associate

counsel.

I have tried one case to verdict in federal court, *Moreno v. United States*, No. 05-142C, 82 Fed. Cl. 387 (2008) and 88 Fed. Cl. 266 (2009). I was the chief counsel. Beginning in spring 2007, I took over representation of the United States, until the conclusion of the case in 2010. I was sole counsel during discovery and on the dispositive motions. I was lead counsel at trial, splitting responsibility for witness examinations with co-counsel.

I was also a member of the team that handled two iterations of the Softwood Lumber Arbitrations before the London Court of International Arbitration. As a member of the team, I handled substantial legal argument on dispositive issues, and handled expert witness cross-examination.

- i. What percentage of these trials were:
 - 1. jury: 0%
 - 2. non-jury: 100%

- e. Describe your practice, if any, before the Supreme Court of the United States. Supply four (4) copies of any briefs, amicus or otherwise, and, if applicable, any oral argument transcripts before the Supreme Court in connection with your practice.

I have not practiced before the Supreme Court of the United States.

17. **Litigation:** Describe the ten (10) most significant litigated matters which you personally handled, whether or not you were the attorney of record. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the case. Also state as to each case:

- a. the date of representation;
- b. the name of the court and the name of the judge or judges before whom the case was litigated; and
- c. the individual name, addresses, and telephone numbers of co-counsel and of principal counsel for each of the other parties.

1. *Doe v. Reddy*, No. C 02-05570 WHA (N.D. Cal.)

Dozens of Indian women brought suit against a group of individuals and businesses, alleging that the defendants lured the women to the United States under false pretenses, and once here subjected them to forced labor and sexual exploitation. During the litigation, the defendants sought discovery from Narika, a non-profit organization that

had assisted some of the women in leaving the defendants. Along with co-counsel, while at Morrison & Foerster, I represented Narika in this discovery litigation from 2002 to 2003. I reviewed the client's documents to determine what was responsive to discovery, and along with co-counsel, I negotiated and drafted a proposed protective order governing Narika's documents that was ultimately issued by the Court.

Judges:

Judge William Alsup
Magistrate Judge Maria-Elena James

Co-Counsel:

Hon. Somnath Raj Chatterjee (formerly of Morrison & Foerster)
Alameda County Superior Court
Hayward Hall of Justice
24405 Amador Street
Hayward, CA 94544
(510) 690-2713

Counsel for Plaintiffs:

Hon. Rebekah B. Evenson (formerly of the Prison Law Office)
Alameda County Superior Court
Hayward Hall of Justice
24405 Amador Street
Hayward, CA 94544
(510) 690-2731

Scott A. Kronland
Michael Rubin
Altshuler Berzon LLP
177 Post Street, Suite 300
San Francisco, CA 94108
(415) 391-4800

Counsel for Defendants:

Jonathan R. Bass
Naomi Rustomjee
Coblentz Patch Duffy & Bass LLP
1 Montgomery Street, Suite 3000
San Francisco CA 94104
(415) 772-5726

2. *Murphy v. Terry*, No. 1:02-cv-04023-NJV (N.D. Cal.)

I represented Mr. Murphy, a California inmate, in his 42 U.S.C. § 1983 action against the California Department of Corrections and several corrections officers alleging unlawful use of force. I assumed responsibility for the matter in August 2005 as discovery began

and was lead counsel through resolution of the case in 2006. I handled the majority of the document review, depositions, motions practice, and settlement negotiations. After several depositions and a successful motion for partial summary judgment, my co-counsel and I obtained a settlement on behalf of Mr. Murphy.

Judges:

Judge Vaughn Walker
Judge Edward M. Chen
Judge Nandor J. Vadas

Co-Counsel:

Tiffany Cheung
Jack W. Londen
Morrison & Foerster
425 Market Street
San Francisco, CA 94105
(415) 268-7000

John Michael Moynihan (formerly at Morrison & Foerster LLP)
The Hartford Financial Services Group
Hartford Plaza, HO-1-10
Hartford, CT 06155
(860) 547-7032

Counsel for Defendants:

Austin Jacobs Cattermole
California State Office of the Attorney General
P.O. Box 70550
1515 Clay Street
Oakland, CA 94612
(510) 879-0091

3. *SCO Grp. v. Novell, Inc.*, 377 F. Supp. 1145 (D. Utah 2005)

The SCO Group (SCO) brought a slander of title action against my client Novell in a copyright and state property law dispute regarding the Unix computer operating system. The matter was filed in Utah state court and then removed to federal court. At trial, the jury affirmed Novell's ownership of the Unix copyrights, rejecting SCO's claims for damages. I was associate counsel from inception of the matter in 2004 through discovery and the filing of dispositive motions prior to trial in 2007, when I left the firm. I handled document review, supervising others handling document review, and, along with co-counsel, researched and drafted dispositive motions.

Judges

Judge Dale Kimball
Judge Ted Stewart

Co-Counsel:

Michael A. Jacobs
Morrison & Foerster
425 Market Street
San Francisco, CA 94105
(415) 268-7455

Kenneth W. Brakebill (formerly of Morrison & Foerster)
United States Attorney's Office for the Northern District of California
450 Golden Gate Avenue
San Francisco, CA 94102
(415) 436-7200

David Edward Melaugh (formerly of Morrison & Foerster)
Element Biosciences
9880 Campus Point Drive
#210
San Diego, CA 92121
(619) 353-0300

Heather Sneddon
Anderson & Karrenberg
50 West Broadway, Suite 700
Salt Lake City, UT 84101
(801) 639-0956

Counsel for Plaintiff:

David Boies
Boies, Schiller & Flexner LLP
333 Main Street
Armonk, NY 10504
(914) 749-8200

4. *Moreno v. United States*, 82 Fed. Cl. 387 (2008); 88 Fed. Cl. 266 (2009)

Hundreds of employees of the former Immigration and Naturalization Service (INS) brought suit in the Court of Federal Claims under the Fair Labor Standards Act seeking unpaid overtime pay and liquidated damages. The government prevailed on its summary judgment motion, eliminating most of the plaintiffs from the suit. After a bench trial, the court found in favor of the remaining plaintiffs. Beginning in spring 2007, I took over representation of the United States, until the conclusion of the case in 2010. I was sole counsel during discovery and on the dispositive motions. I was lead counsel at trial, splitting responsibility for witness examinations with co-counsel.

Judge:

Judge Nancy B. Firestone

Co-Counsel:

Bondurant Eley
United States Department of Justice
1100 L Street, Northwest
Washington, DC 20530
(202) 514-2000

Counsel for Plaintiffs:

Michael J.D. Sweeney
Getman, Sweeney, & Dunn
260 Fair Street
Kingston, NY 12401
(845) 255-9370

5. *Sterling, Winchester & Long L.L.C. v. United States*, 83 Fed. Cl. 179 (2008), *aff'd*, 326 F. App'x 568 (Fed. Cir. 2009)

Sterling, Winchester & Long L.L.C. entered into a trademark license agreement with the United States Postal Service (USPS) to produce official USPS calendars using USPS intellectual property including stamps and names. Sterling, Winchester & Long brought suit alleging a breach of the license agreement. Both parties moved for summary judgment. The Court of Federal Claims granted the government's motion for summary judgment and denied Sterling, Winchester & Long's motion for summary judgment. The government also prevailed on appeal in the U.S. Court of Appeals for the Federal Circuit. From 2007 to 2009, I was the sole counsel on the case, in both the trial court and on appeal. I handled all of the depositions and dispositive motions as well as the briefing and argument in the trial court and on appeal.

Judges:

Judge Lawrence S. Margolis (U.S. Court of Federal Claims)
Judges William C. Bryson, Arthur J. Gajarsa, and Amy J. St. Eve (U.S. Court of Appeals for the Federal Circuit)

Counsel for Plaintiff:

Frederick L. Wright II
Vaughn, Wright & Boyer LLP
1205 Johnson Ferry Road, Suite 136-448
Marietta, GA 30068
(770) 402-5434

6. *Softwood Lumber Arb. I (Export Measures)*, No. 7941 (London Ct. of Int'l Arb.)

In 2006, the United States and Canada entered into the Softwood Lumber Agreement (SLA), resolving the decades-long dispute over Canadian softwood lumber imports into

the United States. On August 17, 2007, the United States, represented by the United States Department of Justice, initiated an arbitration under the SLA in the London Court of International Arbitration, alleging that Canada had breached the agreement and that the United States was entitled to remedies under the agreement. The United States prevailed at the liability phase and, for the most part, at the remedy phase. A team of Department of Justice attorneys represented the United States. Throughout the arbitration from 2007 to 2009, I was lead counsel on the dispositive legal issues in both the liability and the remedy phases, handling the research, drafting, and argument on the dispositive motions.

Arbitral Panel:

Professor Dr. Karl-Heinz Böckstiegel
Professor Dr. Bernard Hanotiau
V.V. Veeder QC (deceased)

Co-Counsel:

Jeanne E. Davidson
Patricia M. McCarthy
Reginald T. Blades, Jr.
Claudia Burke
Antonia R. Soares
Stephen C. Tosini
United States Department of Justice
1100 L Street, Northwest
Washington, DC 20530
(202) 514-2000

David S. Silverbrand (formerly of United States Department of Justice Civil Division)
United States Department of Justice
Criminal Division, Office of International Affairs
1301 New York Avenue, Northwest, Suite 800
Washington, DC 20530
(202) 514-0000

Gregg M. Schwind (formerly of United States Department of Justice Civil Division)
(Current business contact information unavailable.)

Counsel for Canada:

Guillermo Aguilar-Álvarez (deceased)

Meg Kinnear (formerly of Canada Department of Foreign Affairs & International Trade)
International Centre for Settlement of Investment Disputes (ICSID)
1818 H Street, Northwest, MSN C3-300
Washington, DC 20433
(202) 473-5531

Joanne E. Osendarp (formerly of Weil, Gotshal & Manges LLP)

McDermott Will & Emery
The McDermott Building
500 North Capitol Street, Northwest
Washington, DC 20001
(202) 756-8349

Charles E. Roh, Jr. (retired)

7. *Softwood Lumber Arb. II (Provincial Subsidies)*, No. 81010 (London Ct. of Int'l Arb.)

On August 18, 2008, the United States requested arbitration under the Softwood Lumber Agreement (SLA), a second time in the London Court of Arbitration, alleging that Canada had again breached the agreement. Following a hearing, in January 2011 the tribunal issued its award finding in favor of the United States, and ordering Canada to cure its breach or impose additional export measures. From 2008 through the July 2009 hearing, I was lead counsel on the dispositive legal issue, handling all of the research, drafting, and oral argument. I also was associate counsel with respect to the expert witness testimony, handling the examination of one expert witness, to my recollection. Shortly thereafter, my involvement with the arbitration ended, when I transitioned to a new role in the Office of the Assistant Attorney General in late 2009.

Arbitral Panel:

Professor Gabrielle Kaufmann-Kohler
David Williams QC
Professor Albert Jan van den Berg

Co-Counsel:

Jeanne E. Davidson
Patricia M. McCarthy
Reginald T. Blades, Jr.
Claudia Burke
Stephen C. Tosini
United States Department of Justice
1100 L Street, Northwest
Washington, DC 20530
(202) 514-2000

Gregg M. Schwind (formerly of United States Department of Justice Civil Division)
(Current business contact information unavailable.)

Counsel for Canada:

Guillermo Aguilar-Álvarez (deceased)

Meg Kinnear (formerly of Canada Department of Foreign Affairs & International Trade)
International Centre for Settlement of Investment Disputes (ICSID)

1818 H Street, Northwest, MSN C3-300
Washington, DC 20433
(202) 473-5531

Joanne E. Osendarp (formerly of Weil, Gotshal & Manges LLP)
McDermott Will & Emery
The McDermott Building
500 North Capitol Street, Northwest
Washington, DC 20001
(202) 756-8349

Charles E. Roh, Jr. (retired)

8. *Palmyra Pac. Seafoods, L.L.C. v. United States*, 80 Fed. Cl. 228 (2008), *aff'd*, 561 F.3d 1361 (Fed. Cir. 2009).

The plaintiffs, a group of commercial fishing entities, brought suit in the Court of Federal Claims alleging that wildlife regulations prohibiting commercial fishing around the Palmyra Atoll in the Pacific Ocean constituted a regulatory taking of their contractual right to fish near the island. The case concerned complex questions of takings law and real property law. The government prevailed on its motion to dismiss and on appeal in the U.S. Court of Appeals for the Federal Circuit. From 2008 to 2009, I was the lead counsel for the government on appeal, handling all of the research, drafting, and oral argument.

Judges:

Judge Christine O.C. Miller (U.S. Court of Federal Claims)
Judge William C. Bryson, Arthur J. Gajarsa, and Kimberly A. Moore (U.S. Court of Appeals for the Federal Circuit)

Co-Counsel:

Mariel J. Combs (formerly of the United States Department of Interior)
Defenders of Wildlife
1130 17th Street, Northwest
Washington, DC 20036
(800) 385-9712

Marla Tun Reschly (formerly of the United States Department of Justice)
K&L Gates LLP
300 South Tryon Street, Suite 1000
Charlotte, NC 28202
(704) 331-7417

Counsel for Plaintiffs:

Michael A. Johnson
Alexea Ringo Juliano
James K. Rideout

Arnold & Porter Kaye Scholer LLP
601 Massachusetts Avenue, Northwest
Washington, DC 20001
(202) 942-5000

9. *Forest Stewardship Council v. Off. of U.S.T.R.*, 405 F. App'x 144 (9th Cir. 2010).

A group of environmental organizations filed suit in the United States District Court for the Western District of Washington seeking to invalidate the 2006 United States-Canada Softwood Lumber Agreement (SLA) on the ground that the SLA did not comport with the requirements of the National Environmental Policy Act and the Miscellaneous Receipts Act. The government prevailed on standing grounds in the district court and on appeal in the United States Court of Appeals for the Ninth Circuit. From 2009 to 2010, I was the lead counsel on appeal, handling the research, drafting, and oral argument.

Judges:

Judge Richard A. Jones (U.S. District Court for the Western District of Washington)
Judges Betty Binns Fletcher, Ferdinand F. Fernandez, and Jay S. Bybee (U.S. Court of Appeals for the Ninth Circuit)

Co-Counsel:

Helen J. Brunner
United States Attorney's Office for the Western District of Washington
700 Stewart Street, Suite 5220
Seattle, WA 98101
(206) 553-7970

Harold Malkin (formerly of U.S. Attorney's Office for Western District of Washington)
Calfo Eakes LLP
1301 Second Avenue, Suite 2800
Seattle, WA 98101
(206) 407-2216

David S. Silverbrand (formerly of United States Department of Justice Civil Division)
United States Department of Justice
Criminal Division, Office of International Affairs
1301 New York Avenue, Northwest, Suite 800
Washington, DC 20530
(202) 514-0000

Stephen C. Tosini
United States Department of Justice
1100 L Street, Northwest
Washington, DC 20530
(202) 514-2000

Counsel for Plaintiff:

Karl Forsgaard
610 Opperman Drive
Eagan, MN 55123
(651) 687-7000

Peter Goldman
Washington Forest Law Center
4132 California Avenue Southwest
Seattle, WA 98116
(206) 223-4088

Paul A. Kampmeier (formerly of Washington Forest Law Center)
Kampmeier & Knutsen, PLLC
811 First Avenue, Suite 468
Seattle, WA 98104
(206) 858-6983

10. *Doe v. Hamburg*, No. C-12-3412 EMC, 2013 WL 3783749 (N.D. Cal.)

Pseudonymous plaintiff Jane Doe brought suit, alleging that the Food and Drug Administration's regulations governing artificial insemination violated her constitutional rights. In July 2013, the government prevailed on its motion to dismiss, with the district court holding that the plaintiff lacked third-party standing to pursue the claims asserted. From 2012 to 2013, I was associate counsel for the government, assisting with reviewing and editing the dismissal motion, and conducting oral argument at a hearing on the motion.

Judge:

Judge Edward M. Chen

Co-Counsel:

Sang H. Lee
United States Department of Justice
450 Fifth Street, Northwest, Suite 6-South
Washington, DC 20549
(202) 514-2000

Counsel for Plaintiff:

Amber Dale Abbasi
(Current business contact information unavailable.)

Hallee K. Morgan
(Current business contact information unavailable.)

18. **Legal Activities:** Describe the most significant legal activities you have pursued,

including significant litigation which did not progress to trial or legal matters that did not involve litigation. Describe fully the nature of your participation in these activities. List any client(s) or organization(s) for whom you performed lobbying activities and describe the lobbying activities you performed on behalf of such client(s) or organizations(s).

(Note: As to any facts requested in this question, please omit any information protected by the attorney-client privilege.)

As a judge, I have designed and taught a number of short seminars for my colleagues on the Los Angeles Superior Court and on other courts in the state. These seminars have been on a variety of topics relevant to judges handling a criminal misdemeanor or traffic assignment.

From 2018 to 2020, I participated in the Los Angeles Superior Court Teen Court program at Dr. Maya Angelou Community High School and Synergy Quantum Academy. The Teen Court program is a Los Angeles Superior Court Community Outreach initiative that operates as a voluntary diversion program for minors accused of minor offenses. During the Teen Court sessions, the minor and the minor's parent are questioned by a jury of their peers. The jury determines whether the minor has committed the crime and recommends a term of probation, to be imposed by the Teen Court Judge and supervised by the Probation Department or the Office of the District Attorney. As a Teen Court Judge, I presided over Teen Court sessions and answered questions of Teen Court jurors about the justice system.

Since 2020, I have chaired the Criminal Law and Procedure Committee of the California Judges Association. The Committee is charged with making recommendations to the Association's Executive Board on proposed state legislation in the area of criminal law and procedure. I participate in the Committee discussions regarding its recommendations to the Executive Board, but any position that the Association takes is approved by the Executive Board and communicated via the President or by the Association's lobbyist.

From March 2021 to the present, I have chaired the Legislation Committee of the Association of African-American California Judicial Officers. The Committee is charged with making recommendations to the Association's Executive Board on proposed state legislation. I participate in the Committee discussions regarding its recommendations to the Executive Board, but any position that the Association takes is approved by the Executive Board and communicated via the President.

Since 2020, I have been a member of the Criminal Law Advisory Committee of the Judicial Council of California. The Judicial Council is the policymaking body of the California courts and is led by the Chief Justice of the State of California. The Criminal Law Advisory Committee is charged with making recommendations to the Council for improving the administration of justice in criminal proceedings, including recommendations on positions that the Council should take on proposed state legislation. I participate in the Committee discussions regarding its recommendations to the Judicial Council, but any position that the Judicial Council takes is approved by the Legislative Committee and the Council itself and communicated by the Council or its legislative

staff.

I was also recently appointed to the Traffic Advisory Committee of the Judicial Council of California and the Judicial Branch Access, Ethics and Fairness Curriculum Committee of the Judicial Council.

While at the Millennium Challenge Corporation (MCC), I supervised the attorneys who negotiated the international agreements that constituted the vehicle for United States Government foreign aid to the recipient countries. Negotiating these agreements—called Compacts or Threshold Program Agreements—involved sensitive issues of domestic and international law and United States foreign policy.

In 2014, the United States Department of Justice concluded a global settlement with Bank of America to resolve federal and state claims against the bank and its current and former subsidiaries concerning securitization of mortgage loans and the underwriting and origination of mortgage loans. The same year, the Department also concluded a global settlement with Citigroup to resolve state and federal claims against Citigroup concerning the securitization of mortgage loans and issuance of residential mortgage-backed securities. Each of these settlements required the banks to perform consumer relief to redress the harms caused by the banks' misconduct. I served as the lead negotiator on the consumer relief aspects of these settlements, which provided for some combination of mortgage principal forgiveness, mortgage loan refinancing, donations to community organizations, affordable housing financing, and a fund to defray the tax liability of individual homeowners receiving consumer relief, among other forms of relief.

Prior to my tenure as Deputy Assistant Attorney General for Consumer Protection, the United States Department of Justice had not engaged in wide-ranging outreach to consumer advocacy organizations, which meant that the government was not consulting some of the nation's foremost experts when formulating its investigations. I launched a process of regular engagement with a dozen national consumer advocacy groups on issues including food safety, financial abuse of senior citizens, and telemarketing fraud schemes. These efforts culminated in a conference, chaired by the Attorney General of the United States, in which we jointly discussed trending threats to consumers.

I also brought together federal and state agencies, military branches, and veterans' groups to address the problem of financial fraud on servicemembers and veterans, once I learned that this population is often targeted by fraudsters because of their steady income and close ties to one another. I conceived of and supervised the writing of three manuals (referred to as "toolkits") to assist state attorneys general, United States Attorneys' offices, and military Judge Advocate General (JAG) legal assistance lawyers in protecting servicemembers and veterans from financial fraud. I also supervised a listening tour of half a dozen military installations; at each installation, we brought together the state attorney general's office, the local U.S. Attorney's office, military JAG legal assistance, and military consumer advocates to share information about financial fraud and develop responses.

I have not engaged in any lobbying activities, and I have never been registered as a lobbyist. I occasionally participated in congressional briefings on a variety of issues within the purview of the United States Department of Justice relative to my work at the Department.

19. **Teaching:** What courses have you taught? For each course, state the title, the institution at which you taught the course, the years in which you taught the course, and describe briefly the subject matter of the course and the major topics taught. If you have a syllabus of each course, provide four (4) copies to the committee.

None.

20. **Deferred Income/ Future Benefits:** List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients or customers. Describe the arrangements you have made to be compensated in the future for any financial or business interest.

None.

21. **Outside Commitments During Court Service:** Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service with the court? If so, explain.

None.

22. **Sources of Income:** List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, licensing fees, honoraria, and other items exceeding \$500 or more (if you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here).

See attached Financial Disclosure Report.

23. **Statement of Net Worth:** Please complete the attached financial net worth statement in detail (add schedules as called for).

See attached Statement of Net Worth.

24. **Potential Conflicts of Interest:**

- a. Identify the family members or other persons, parties, categories of litigation, and financial arrangements that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to arise.

A conflict of interest could arise from any matter arising from a case I handled as a Superior Court Judge. I will evaluate any real or potential conflict, or relationship that could give rise to the appearance of such a conflict, on a case-by-case basis and determine appropriate action, including recusal, where necessary.

- b. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.

If confirmed, I would address any actual or potential conflicts of interest by applying the Code of Conduct for United States Judges, 28 U.S.C. § 455, and any other relevant laws, canons, rules, practices, and guidelines.

25. **Pro Bono Work:** An ethical consideration under Canon 2 of the American Bar Association's Code of Professional Responsibility calls for "every lawyer, regardless of professional prominence or professional workload, to find some time to participate in serving the disadvantaged." Describe what you have done to fulfill these responsibilities, listing specific instances and the amount of time devoted to each.

Throughout my career, I have remained dedicated to public service, first for pro bono clients during law school and at a firm and subsequently for government agencies.

While attending Yale Law School from 1999 to 2001, I was a member of two clinics, the Allard K. Lowenstein Human Rights Clinic and the Community Legal Services Clinic of the Jerome N. Frank Legal Services Organization, providing free legal services to human rights organizations and representing indigent individuals. While a member of those clinics, I would estimate that I devoted ten hours per week to this work.

While an associate at Morrison & Foerster from 2002 to 2007, I was heavily involved in pro bono practice, representing a California inmate in a Section 1983 action, a non-profit organization supporting victims of human trafficking, and indigent individuals in unlawful detainer matters and in criminal expungement matters. I would estimate that for the most part, this was four to six hours per week, but for the months where I handled pro bono litigation full time, it was closer to 40 to 60 hours per week.

While at the United States Department of Justice from 2007 to 2015, much of my work for the Department involved ensuring that low-income individuals were protected from financial fraud. Additionally, I volunteered at the District of Columbia Bar Association Advice and Referral Clinic a number of times, providing legal assistance to indigent individuals on a wide variety of matters, including debt, trusts and estates, family law, and consumer law. I would estimate that I devoted four to six hours per week to this in the weeks that I participated.

While I did not formally engage in pro bono work during my employment at the Millennium Challenge Corporation from 2015 to 2016, the mission of the agency was to reduce poverty around the world. When I traveled internationally on behalf of the agency,

I also volunteered to speak to law students about using their legal skills in the public interest. I would estimate that these presentations were one to two hours each.

Given the restrictions that currently apply to me as a Superior Court Judge under the California Code of Judicial Ethics, my involvement in community affairs has largely been limited to that associated with my role as a judge. In particular, I endeavor to serve disadvantaged communities by speaking to low-income youth at every opportunity, including at mock trial events, in Teen Court, at career days, and during courtroom visits. I would estimate that I spend four to six hours per month on these activities.

26. Selection Process:

- a. Please describe your experience in the entire judicial selection process, from beginning to end (including the circumstances which led to your nomination and the interviews in which you participated). Is there a selection commission in your jurisdiction to recommend candidates for nomination to the federal courts? If so, please include that process in your description, as well as whether the commission recommended your nomination. List the dates of all interviews or communications you had with the White House staff or the Justice Department regarding this nomination. Do not include any contacts with Federal Bureau of Investigation personnel concerning your nomination.

In November 2020, the chair of then-Senator Kamala D. Harris's Central District of California Judicial Commission contacted me about a vacancy on the United States District Court for the Central District of California. Later that month, I submitted applications for the position to Senator Harris's commission and to Senator Dianne Feinstein's Judicial Advisory Process. On March 9, 2021, I was interviewed by Senator Alex Padilla's Judicial Commission for the Central District of California. On May 7, 2021, I was interviewed by Senator Padilla. On April 23, 2021, I was interviewed by Senator Feinstein's Central District Appointment Committee. On April 30, 2021, I was interviewed by the chair of Senator Feinstein's Judicial Advisory Process. On June 7, 2021, an attorney from the White House Counsel's Office advised me that I was being considered for an opening on the Central District of California. On June 9, 2021, I interviewed with attorneys from the White House Counsel's Office. Since June 9, 2021, I have been in contact with officials from the Office of Legal Policy at the United States Department of Justice. On September 20, 2021, my nomination was submitted to the Senate.

- b. Has anyone involved in the process of selecting you as a judicial nominee discussed with you any currently pending or specific case, legal issue or question in a manner that could reasonably be interpreted as seeking any express or implied assurances concerning your position on such case, issue, or question? If so, explain fully.

No.