



United States  
Department of  
Agriculture

Office of the  
General  
Counsel

Washington,  
D.C.  
20250-1400

January 6, 2020

The Honorable Lindsey Graham  
Chairman  
Committee on the Judiciary  
United States Senate  
Washington, D.C. 20510

The Honorable Dianne Feinstein  
Ranking Member  
Committee on the Judiciary  
United States Senate  
Washington, D.C. 20510

Dear Mr. Chairman and Ranking Member Feinstein,

I have reviewed the questionnaire submitted to the Senate Judiciary Committee on October 17, 2019, in connection with my nomination to the Court of International Trade. Incorporating the information listed below, I certify that the information contained in these documents is, to the best of my knowledge, true and accurate.

Question 8:

I was selected in November 2019 to receive the inaugural Robert E. and Jenny D. Kirkland Discovery Award. The award ceremony will be held March 7, 2020.

Question 12(d):

November 7, 2019: Panelist, *Office of General Counsel Panel*, American Agricultural Law Education Symposium, American Agricultural Law Association, Washington, D.C. I spoke about legal issues affecting agricultural law practitioners. I have no notes, transcript, or recording. The address of the American Agricultural Law Association is 825 South Kansas Avenue, Suite 500, Topeka, Kansas 66612.

December 7, 2019: Speaker, Keynote Address at the Tennessee Farm Bureau Young Farmers and Ranchers Conference, Tennessee Farm Bureau, Franklin, Tennessee. I spoke about activities regarding trade mitigation payments, regulatory reform in the biotechnology space, and hemp. I have no notes, transcript, or recording. The address of the Tennessee Farm Bureau is 147 Bear Creek Pike, Columbia, TN 38401. Media coverage available at: <https://www.tnfarmbureau.org/lead-usda-attorney-from-a-tenn-farm>.

The Honorable Lindsey Graham  
The Honorable Dianne Feinstein  
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Question 26(a):

On November 13, 2019, I testified before the Senate Judiciary Committee concerning my nomination. The recording of that hearing and a copy of my responses to the Questions for the Record are available online at:  
<https://www.judiciary.senate.gov/meetings/11/13/2019/nominations>.

I am also forwarding an updated net worth statement and financial disclosure report. I thank the Committee for its consideration of my nomination.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Stephen Alexander Vaden".

Stephen Alexander Vaden  
General Counsel

Enclosures

## FINANCIAL STATEMENT

### NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		967	570	Notes payable to banks-secured (auto)			
U.S. Government securities		400	000	Notes payable to banks-unsecured			
Listed securities – see schedule	1	348	472	Notes payable to relatives			
Unlisted securities		70	000	Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable – see schedule			
Real estate owned – see schedule	2	184	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		113	250				
Cash value-life insurance							
Other assets itemize:							
				Total Liabilities			
				Net Worth	5	083	292
Total Assets	5	083	292	Total Liabilities and Net Worth	5	083	292
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	NO		
On leases or contracts				Are you defendant in any suits or legal actions?	NO		
Legal Claims				Have you ever taken bankruptcy?	NO		
Provision for Federal Income Tax							
Other special debt							

## FINANCIAL STATEMENT

### NET WORTH SCHEDULES

#### Listed Securities

ISHARES INCORPORATED CORE MSCI EMKT	\$ 18,827
ISHARES TR CORE 1 5 YR USD 1STB	21,545
ISHARES TR CORE MSCI EAFE	75,286
ISHARES TR CORE S&P MCP ETF IJH	35,709
ISHARES TR CORE S&P SCP ETF IJR	28,299
ISHARES TR CORE US AGGBD ETF AGG	69,219
ISHARES TR MSCI MIN VOL ETF USMV	26,043
VANGUARD INDEX FUNDS S&P 500 ETF VOO	160,914
SPDR S&P DIVIDEND ETF SDY	25,654
BLACKROCK MULTI ASSET INCOME BIICX	158,538
VANGUARD 500 INDEX FUND ADMIRAL VFIAX	145,368
EV MUNICIPAL INCOME EVN	6,725
BLACKROCK MUNIYIELD QUALITY II MQT	4,595
BNY MELLON MUNI BOND DMB	7,367
EV MUNICIPAL BOND EIM	6,987
INVESCO MUNI OPPS. TRUST VMO	4,431
INVESCO QUALITY MUNI INCOME TRUST IQI	6,717
MAINSTAY MACKAY DEFINEDTERM MUNI MMD	4,500
NEUBERGER BERMAN MUNICIPAL NBH	4,700
NUVEEN AMT-FREE QUALITY MUNI INC NEA	6,291
NUVEEN INTERMEDIATE DURATION NID	4,604
NUVEEN MUNICIPAL VALUE NUV	4,702
NUVEEN MUNI HIGH INC OPP NMZ	4,831
PUTNAM MANAGED MUN INCOM TR PMM	7,091
PUTNAM MUN OPPORTUNITIES TR SH PMO	4,687
WESTERN ASSET MUNICIPAL PARTNERS MNP	6,832
WESTERN ASSET MUNI HIGH INCOME MHF	6,583
BANK AMER CORPORATION BAC	41,277
COCA COLA COMPANY KO	5,202
JOHNSON & JOHNSON JNJ	8,679
PROCTER & GAMBLE COMPANY PG	45,738
JOHNSON CITY TN HEALTH & EDL FACS Muni Bond	16,100
JOHNSON & JOHNSON	22,623
PROCTER & GAMBLE COMPANY	35,879
JOHNSON CITY TN HEALTH & EDL	5,104
METROPOLITAN WA D C ARPTS AUTH	51,701
NORTH TX TWY AUTH REV, FIRST TIER	15,542
ALLSTATE CORPORATION	10,154
ALPHABET INCORPORATED CAP STK	10,715
AMAZON COM INCORPORATED	7,391
BECTON DICKINSON & COMPANY	8,114
BOOKING HLDGS INCORPORATED	8,214

BROADCOM INCORPORATED	10,720
CVS HEALTH CORPORATION	3,830
HALLIBURTON COMPANY	2,798
HOME DEPOT INCORPORATED	9,103
INGERSOLL-RAND PLC SHS	8,791
INTERCONTINENTAL EXCHANGE	7,305
IQVIA HLDGS INCORPORATED	10,197
JPMORGAN CHASE & COMPANY	7,724
KANSAS CITY SOUTHERN COM NEW	8,291
L3HARRIS TECHNOLOGIES	7,430
LAUDER ESTEE COMPANIES	9,762
MICROSOFT CORPORATION	16,446
NXP SEMICONDUCTORS N V	7,861
PIONEER NAT RES COMPANY	4,883
SYNCHRONY FINL	6,573
UNITEDHEALTH GROUP INCORPORATED	8,038
VISA INCORPORATED COM CLASS A	13,399
WESTROCK COMPANY	4,633
EQUINIX INCORPORATED REIT	8,263
VANGUARD 500 INDEX FUND ADMIRAL	20,371
EUROPACIFIC GROWTH FUND CLASS F2	6,905
MFS RESEARCH FUND CLASS I N/L	5,767
VANGUARD 500 INDEX FUND ADMIRAL	5,618
WASATCH SMALL CAP VALUE FUND	4,286

Total Listed Securities

\$1,348,472.00

Real Estate Owned

Family Residence (50%)	\$155,500
Rental Property #1 (50%)	15,950
Rental Property #2 (50%)	33,000
Rental Property #3 (50%)	11,400
Rental Property #4 (50%)	9,750
Rental Property #5 (50%)	16,750
Rental Property #6 (50%)	15,500
Rental Property #7 (50%)	12,500
Rental Property #8 (50%)	12,200
Rental Property #9 (50%)	14,500
Rental Property #10 (50%)	10,000
Rental Property #11 (50%)	15,950
Rental Property #12 (50%)	10,000
Rental Property #13 (50%)	10,750
Rental Property #14 (50%)	12,500
Rental Property #15 (50%)	19,950
Rental Property #16 (50%)	14,000

Rental Property #17 (50%)	19,900
Rental Property #18 (50%)	14,500
Rental Property #19 (50%)	15,800
Rental Property #20 (50%)	17,850
Rental Property #21 (50%)	32,500
Undeveloped Land #1 (50%)	2,500
Undeveloped Land #2 (50%)	2,500
Undeveloped Land #3 (50%)	2,500
Undeveloped Land #4 (50%)	2,500
Farm Land #1 (50%)	548,750
Farm Land #2 (50%)	500,500
Farm Land #3 (50%)	<u>634,000</u>
Total Real Estate Owned	\$2,184,000

# FINANCIAL DISCLOSURE REPORT NOMINATION FILING

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting</b> (last name, first, middle initial)  Vaden, Stephen A.	<b>2. Court or Organization</b>  Court of International Trade	<b>3. Date of Report</b>  01/03/2020
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  Judicial Nominee	<b>5a. Report Type</b> (check appropriate type) <input checked="" type="checkbox"/> Nomination      Date 01/03/2020 <input type="checkbox"/> Initial <input type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2019 to 01/02/2020
<b>7. Chambers or Office Address</b>  1400 Independence Ave, SW Suite 107-W Washington, DC 20250		
<p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the <b>NONE</b> box for each part where you have no reportable information.</p>		

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

**FINANCIAL DISCLOSURE REPORT**

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				



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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Raymond James Brokerage #1 788 (H)					Exempt				
2. Johnson & Johnson JNJ	A	Dividend	K	T					
3. Proctor & Gamble PG	A	Dividend	K	T					
4. Johnson City TN Health & Ed. Fac Muni Bond cusip 478271JE0	A	Interest	J	T					
5. Metro Washing DC Airports Dulles Toll Rd Muni Bond cusip 592643BK5	A	Interest	L	T					
6. North TX TWY Zero Coupon Muni Bond cusip 66285WBW5	A	Interest	K	T					
7. Raymond James Brokerage #2 AMB 470 (H)									
8. Raymond James Bank Deposit Program (Cash)	D	Interest	J	T					
9. Allstate ALL	A	Dividend	J	T					
10. Alphabet GOOGL		None	J	T					
11. Amazon AMZN		None	J	T					
12. Beckton Dickinson BDX	A	Dividend	J	T					
13. Booking Hld BKNG		None	J	T					
14. Broadcom AVGO	A	Dividend	J	T					
15. CVS Health CVS	A	Dividend	J	T					
16. Equinix Inc EQIX	A	Dividend	J	T					
17. Halliburton HAL	A	Dividend	J	T					

1. Income Gain Codes:  
(See Columns B1 and D4)

2. Value Codes  
(See Columns C1 and D3)

3. Value Method Codes  
(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$300,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Home Depot HD	A	Dividend	J	T					
19. Ingersoll-Rand IR	A	Dividend	J	T					
20. Intercontinental Exch. ICE	A	Dividend	J	T					
21. IQVIA holdings IQV		None	J	T					
22. JP Morgan Chase JPM	A	Dividend	J	T					
23. Kansas City Southern KSU	A	Dividend	J	T					
24. L3Harris Tech LHX (formally HRS)	A	Dividend	J	T					
25. Lauder Estee Co EL	A	Dividend	J	T					
26. Microsoft MSFT	A	Dividend	J	T					
27. NSP Semi NXPI	A	Dividend	J	T					
28. Pioneer Nat Res PXD	A	Dividend	J	T					
29. Synchrony Finl SYF	A	Dividend	J	T					
30. United Health UNH	A	Dividend	J	T					
31. Vanguard 500 Index VFIAX	A	Dividend	K	T					
32. Westrock Co WRK	A	Dividend	J	T					
33. Colgene Corp CELG	A	Dividend							
34. Cigna Corp CI	A	Dividend							

1. Income Gain Codes:  
(See Columns B1 and D4)

A = \$1,000 or less  
F = \$50,001 - \$100,000

2. Value Codes  
(See Columns C1 and D3)

J = \$15,000 or less  
N = \$250,001 - \$500,000  
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes  
(See Column C2)

Q = Appraisal  
U = Book Value

B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000  
K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)  
V = Other

C = \$2,501 - \$5,000  
H1 = \$1,000,001 - \$5,000,000  
L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000

S = Assessment  
W = Estimated

D = \$5,001 - \$15,000  
H2 = More than \$5,000,000  
M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Rockwell Collins COL	A	Dividend							
36. Federal Express FDX	A	Dividend							
37. Raymond James Freedom #1 104 9 (H)									
38. Raymond James Bank Deposit Prograam (Cash)	A	Interest	J	T					
39. Ishares Core MSCI Emkt IEMG	A	Dividend	J	T					
40. Ishares Core 1.5 yr USD ISTB	A	Dividend	J	T					
41. Ishares IQ Hedge QAI	A	Dividend							
42. Ishares Core MSCI IEFA	A	Dividend	K	T					
43. Ishares Core S&P MDCP IJH	A	Dividend	J	T					
44. Ishares S&P SCP IJH	A	Dividend	J	T					
45. Ishares Core US AGBOND AGG	A	Dividend	J	T					
46. Ishare MSCI Min Vol USMV	A	Dividend	J	T					
47. Vanguard Index S&P VOO	A	Dividend	K	T					
48. Raymond James IRA 413 (H)									
49. Raymond James Bank Deposit Program (Cash)	A	Interest	J	T					
50. Raymond James Roth IRA 953 (H)									
51. Amercian Funds Europacific Growth AEPFX	A	Dividend	J	T					

1. Income Gain Codes:  
(See Columns B1 and D4)

A = \$1,000 or less  
F = \$50,001 - \$100,000

2. Value Codes  
(See Columns C1 and D3)

J = \$15,000 or less  
N = \$250,001 - \$500,000  
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes  
(See Column C2)

Q = Appraisal  
U = Book Value

B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000  
K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)  
V = Other

C = \$2,501 - \$5,000  
H1 = \$1,000,001 - \$5,000,000  
L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. MFS Research Fund MRFIX	A	Dividend	J	T					
53. Vanguard 500 Index VFIAX	A	Dividend	J	T					
54. Wasatch Small Cap Value WICVX	A	Dividend	J	T					
55. Raymond James Brokerage JT 142 (H)									
56. Raymond James Bank Deposit Program (Cash)	A	Interest	J	T					
57. Bank of America BAC	A	Dividend	K	T					
58. Coca Cola KO	A	Dividend	J	T					
59. Johnson & Johnson JNJ	A	Dividend	J	T					
60. Proctor & Gamble PG	A	Dividend	K	T					
61. Johnson City TN Health & Edl Fac Municipal Bond cusip 478271JV2	A	Interest	K	T					
62. Wells Fargo Bank CD cusip 949763UJ3	B	Interest	L	T					
63. Raymond James Brokerage JT 125 (H)									
64. Raymond James Bank Deposit Program (Cash)	A	Interest	J	T					
65. Blackrock Multi Asset Income Fund BIICX	D	Dividend	M	T					
66. Vanguard 500 Index VFIAX	C	Dividend	M	T					
67. Blackrock Muni Asset Fund MUA	A	Interest	J	T					
68. Blackrock Muni Yield Quality MQT	A	Interest	J	T					

1. Income Gain Codes:  
(See Columns B1 and D4)

2. Value Codes  
(See Columns C1 and D3)

3. Value Method Codes  
(See Column C2)

A = \$1,000 or less  
F = \$50,001 - \$100,000  
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K = \$15,001 - \$50,000  
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R = Cost (Real Estate Only)  
V = Other

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P1 = \$1,000,001 - \$5,000,000  
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M = \$100,001 - \$250,000  
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempl from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. BNY Mellon Muni Bond Infrastructure DMB	A	Interest	J	T					
70. EV Municipal Bond EIM	A	Interest	J	T					
71. Invesco Muni Opp Trust VMO	A	Interest	J	T					
72. Invesco Quality Muni Income Trust IQI	A	Interest	J	T					
73. Mainstay Mackay Muni Opp MMD	A	Interest	J	T					
74. Neuberger Berman Muni NBH	A	Interest	J	T					
75. Nuveen AMT Free Muni NEA	A	Interest	J	T					
76. Nuveen Int Duration Muni NID	A	Interest	J	T					
77. Nuveen Muni Value NUV	A	Interest	J	T					
78. Nuveen Muni High Income NMZ	A	Interest	J	T					
79. Putnam Managed Muni Income PMM	A	Interest	J	T					
80. Putnam Muni Opportunity PMO	A	Interest	J	T					
81. Western Asset Muni Partners MNP	A	Interest	J	T					
82. Western Asset Muni High Income MHF	A	Interest	J	T					
83. Raymond James Brokerage UMA 573 (H)									
84. Welltower WELL	A	Dividend							
85. Weyerhaeuser Co WY	A	Dividend							

1. Income Gain Codes:  
(See Columns B1 and D4)

2. Value Codes  
(See Columns C1 and D3)

3. Value Method Codes  
(See Column C2)

A = \$1,000 or less  
F = \$50,001 - \$100,000

J = \$15,000 or less  
N = \$250,001 - \$500,000  
P3 = \$25,000,001 - \$50,000,000

Q = Appraisal  
U = Book Value

B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000  
K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)  
V = Other

C = \$2,501 - \$5,000  
H1 = \$1,000,001 - \$5,000,000  
L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000

S = Assessment  
W = Estimated

D = \$5,001 - \$15,000  
H2 = More than \$5,000,000  
M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Vaden, Stephen A.

Date of Report

01/03/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Johnson Controls JCI	A	Dividend							
87. Axis Capital Holdings AXS	A	Dividend							
88. Eaton Corp ETN	A	Dividend							
89. Vodafone VOD	A	Dividend							
90. Wells Fargo WFC	A	Dividend							
91. Walmart Inc. WMT	A	Dividend							
92. Walgreens Boots Alliance WBA	A	Dividend							
93. Verizon VZ	A	Dividend							
94. Ventas Inc VTR	A	Dividend							
95. United Parcel Service UPS	A	Dividend							
96. Total S A TOT	A	Dividend							
97. US Bancorp USB	A	Dividend							
98. Unilever UL	A	Dividend							
99. Texas Inst. TXN	A	Dividend							
100. Thompson Reuters	A	Dividend							
101. Spectra Energy SEP	A	Dividend							
102. Starbucks SBUX	A	Dividend							

1. Income Gain Codes:  
(See Columns B1 and D4)

2. Value Codes  
(See Columns C1 and D3)

3. Value Method Codes  
(See Column C2)

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F = \$50,001 - \$100,000  
J = \$15,000 or less  
N = \$250,001 - \$500,000  
P3 = \$25,000,001 - \$50,000,000  
Q = Appraisal  
U = Book Value

B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000  
K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000  
R = Cost (Real Estate Only)  
V = Other

C = \$2,501 - \$5,000  
H1 = \$1,000,001 - \$5,000,000  
L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000  
S = Assessment  
W = Estimated

D = \$5,001 - \$15,000  
H2 = More than \$5,000,000  
M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000  
T = Cash Market

E = \$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Vaden, Stephen A.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. Terna Rete Elettrica TEZNY	A	Dividend							
104. Target TGT	A	Dividend							
105. Southern Co SO	A	Dividend							
106. Rogers Communications RCI	A	Dividend							
107. Ryman Hospitality RHP	A	Dividend							
108. RPM International RPM	A	Dividend							
109. Sabra Health SBRA	A	Dividend							
110. Royal Dutch Shell RDS A	A	Dividend							
111. Public Service Enterprise PEG	A	Dividend							
112. Proctor & Gamble PG	A	Dividend							
113. Qualcomm QCOM	A	Dividend							
114. Public Storage PSA	A	Dividend							
115. Praxair Inc. 3PX	A	Dividend							
116. Phillip Morris PM	A	Dividend							
117. Pfizer Inc PFE	A	Dividend							
118. Pepsico Inc PEP	A	Dividend							
119. Occidental Pette OXY	A	Dividend							

1. Income Gain Codes:  
(See Columns B1 and D4)

A = \$1,000 or less  
F = \$50,001 - \$100,000  
J = \$15,000 or less  
N = \$250,001 - \$500,000  
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes  
(See Column C2)

Q = Appraisal  
U = Book Value

B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000  
K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)  
V = Other

C = \$2,501 - \$5,000  
H1 = \$1,000,001 - \$5,000,000  
L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000

S = Assessment  
W = Estimated

D = \$5,001 - \$15,000  
H2 = More than \$5,000,000  
M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000



# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Vaden, Stephen A.

Date of Report

01/03/2020

## VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Omnicom Group OMC	A	Dividend							
121. PNC Finl PNC	A	Dividend							
122. PPL Corp PPL	A	Dividend							
123. National Grid PLC NGG	A	Dividend							
124. National Fuel Gas NFG	A	Dividend							
125. Munich RE Group MURGY	A	Dividend							
126. Marathon Pete MPC	A	Dividend							
127. Magellan Midstream MMP	A	Dividend							
128. Kraft Heinz KHC	A	Dividend							
129. Kimberly Clark KMB	A	Dividend							
130. KAR Auction Serv KAR	A	Dividend							
131. Interpublic Group IPG	A	Dividend							
132. Glaxosmithkline GSK	A	Dividend							
133. Iron Mountain IRM	A	Dividend							
134. Imperial Brands MBBY	A	Dividend							
135. General Mills GIS	A	Dividend							
136. GEO Group GEO	A	Dividend							

1. Income Gain Codes:  
(See Columns B1 and D4)

2. Value Codes  
(See Columns C1 and D3)

3. Value Method Codes  
(See Column C2)

A = \$1,000 or less  
F = \$50,001 - \$100,000  
J = \$15,000 or less  
N = \$250,001 - \$500,000  
P3 = \$25,000,001 - \$50,000,000  
Q = Appraisal  
U = Book Value

B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000  
K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000  
R = Cost (Real Estate Only)  
V = Other

C = \$2,501 - \$5,000  
H1 = \$1,000,001 - \$5,000,000  
L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000  
S = Assessment  
W = Estimated

D = \$5,001 - \$15,000  
H2 = More than \$5,000,000  
M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000  
T = Cash Market

E = \$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Vaden, Stephen A.

Date of Report

01/03/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Fastenal FAST	A	Dividend							
138. Entergy Corp ETR	A	Dividend							
139. Exxon Mobil XOM	A	Dividend							
140. Extended Stay America STAY	A	Dividend							
141. Enterprise Product Partners EPD	A	Dividend							
142. Duke Energy DUK	A	Dividend							
143. Emerson Electric EMR	A	Dividend							
144. Dominion Energy D	A	Dividend							
145. Deutsche Telekom DTEGY	A	Dividend							
146. Comcast Corp CMCSA	A	Dividend							
147. Crown Castle CCI	A	Dividend							
148. Corning Inc. GLW	A	Dividend							
149. CISCO Systems CSCO	A	Dividend							
150. Coca Cola KO	A	Dividend							
151. Chevron Corp CVX	A	Dividend							
152. Cinemark Holdings CNK	A	Dividend							
153. British Ameican Tob BTI	A	Dividend							

1. Income Gain Codes:  
(See Columns B1 and D4)

A = \$1,000 or less  
F = \$50,001 - \$100,000  
J = \$15,000 or less  
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P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes  
(See Column C2)

Q = Appraisal  
U = Book Value

B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000  
K = \$15,001 - \$50,000  
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R = Cost (Real Estate Only)  
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C = \$2,501 - \$5,000  
H1 = \$1,000,001 - \$5,000,000  
L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000  
S = Assessment  
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D = \$5,001 - \$15,000  
H2 = More than \$5,000,000  
M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000

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E = \$15,001 - \$50,000

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Name of Person Reporting

Vaden, Stephen A.

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## VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. CDN Imperial Bank CM	A	Dividend							
155. BCE Inc. BCE	A	Dividend							
156. Black Hills Corp BKH	A	Dividend							
157. BP PLC BP	A	Dividend							
158. BAE Systems BAESY	A	Dividend							
159. Astrazeneca PLC AZN	A	Dividend							
160. AXA SA AXAHY	A	Dividend							
161. BB&T BBT	A	Dividend							
162. American Elec Pwr AEP	A	Dividend							
163. AMGEN AMGN	A	Dividend							
164. Altria MO	A	Dividend							
165. Allianz SE AZSEY	A	Dividend							
166. AT&T T	A	Dividend							
167. ABBVIE ABBV	A	Dividend							
168. Raymond James Brokerage JT FR 433 (H)									
169. Rayond James Bank Deposit Program (Cash)	A	Interest	J	T					
170. Ishares Core MSCI EMG	A	Dividend	J	T					

1. Income Gain Codes:  
(See Columns B1 and D4)

2. Value Codes  
(See Columns C1 and D3)

3. Value Method Codes  
(See Column C2)

A = \$1,000 or less  
F = \$50,001 - \$100,000  
J = \$15,000 or less  
N = \$250,001 - \$500,000  
P3 = \$25,000,001 - \$50,000,000  
Q = Appraisal  
U = Book Value

B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000  
K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000  
R = Cost (Real Estate Only)  
V = Other

C = \$2,501 - \$5,000  
H11 = \$1,000,001 - \$5,000,000  
L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000  
S = Assessment  
W = Estimated

D = \$5,001 - \$15,000  
H12 = More than \$5,000,000  
M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000  
T = Cash Market

E = \$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Vaden, Stephen A.

Date of Report

01/03/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. Ishares Core 1.5 USD ISTB	A	Dividend	J	T					
172. Ishares Core MSCI IEFA	B	Dividend	L	T					
173. Ishares Core S&P MCP IJH	A	Dividend	K	T					
174. Ishares Core S&P SCP IJR	A	Dividend	K	T					
175. Ishares Core US AGGBD AGG	B	Dividend	L	T					
176. Ishares MSCI Min Vol USMV	A	Dividend	K	T					
177. Vanguard Index S&P 500 VOO	B	Dividend	M	T					
178. IQ Hedge Multi Strategy QAI	A	Dividend							
179. Ally Bank CD	D	Interest	M	T					
180. Regions Bank Money Market (50%)	A	Interest	M	T					
181. Regions Bank Cash (50%)	A	Interest	L	T					
182. Regions Bank Cash	A	Interest	K	T					
183. Ally Bank CD	C	Interest	M	T					
184. Synchrony Bank CD	C	Interest	M	T					
185. Synchrony Bank CD	C	Interest	M	T					
186. 3 Month Treasury Bills	D	Interest	N	T					
187. Synchrony Bank Money Market	A	Interest	K	T					

1. Income Gain Codes:  
(See Columns B1 and D4)

2. Value Codes  
(See Columns C1 and D3)

3. Value Method Codes  
(See Column C2)

A = \$1,000 or less  
F = \$50,001 - \$100,000  
J = \$15,000 or less  
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P3 = \$25,000,001 - \$50,000,000  
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L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000  
S = Assessment  
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D = \$5,001 - \$15,000  
H2 = More than \$5,000,000  
M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000  
T = Cash Market

E = \$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Vaden, Stephen A.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Residential Rental Property #1, Obion County, TN (50%)		None	K	W					
189. Residential Rental Property #2, Obion County, TN (50%)		None	K	W					
190. Residential Rental Property #3, Obion County, TN (50%)		None	J	W					
191. Residential Rental Property #4, Obion County, TN (50%)		None	J	W					
192. Residential Rental Property #5, Obion County, TN (50%)	D	Rent	K	W					
193. Residential Rental Property #6, Obion County, TN (50%)	D	Rent	K	W					
194. Residential Rental Property #7, Obion County, TN (50%)		None	J	W					
195. Residential Rental Property #8, Obion County, TN (50%)	B	Rent	J	W					
196. Residential Rental Property #9, Obion County, TN (50%)	B	Rent	J	W					
197. Residential Rental Property #10, Obion County, TN (50%)		None	J	W					
198. Residential Rental Property #11, Obion County, TN (50%)	B	Rent	J	W					
199. Residential Rental Property #12, Obion County, TN (50%)	C	Rent	J	W					
200. Residential Rental Property #13, Obion County, TN (50%)		None	J	W					
201. Residential Rental Property #14, Obion County, TN (50%)	D	Rent	J	W					
202. Residential Rental Property #15, Obion County, TN (50%)	D	Rent	K	W					
203. Residential Rental Property #16, Obion County, TN (50%)	B	Rent	J	W					
204. Residential Rental Property #17, Obion County, TN (50%)	C	Rent	K	W					

1. Income Gain Codes:  
(See Columns B1 and D4)

A = \$1,000 or less  
F = \$50,001 - \$100,000  
J = \$15,000 or less  
N = \$250,001 - \$500,000  
P3 = \$25,000,001 - \$50,000,000

B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000  
K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)  
V = Other

C = \$2,501 - \$5,000  
I11 = \$1,000,001 - \$5,000,000  
L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000

S = Assessment  
W = Estimated

D = \$5,001 - \$15,000  
I12 = More than \$5,000,000  
M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

3. Value Method Codes  
(See Column C2)

Q = Appraisal  
U = Book Value

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Name of Person Reporting

Vaden, Stephen A.

Date of Report

01/03/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Residential Rental Property #18, Obion County, TN (50%)	B	Rent	J	W					
206. Residential Rental Property #19, Obion County, TN (50%)	B	Rent	K	W					
207. Residential Land #1, Obion County, TN (50%)		None	J	W					
208. Residential Land #2, Obion County, TN (50%)		None	J	W					
209. Residential Land #3, Obion County, TN (50%)		None	J	W					
210. Residential Land #4, Obion County, TN (50%)		None	J	W					
211. Residential Rental Property #20, Obion County, TN (50%)	C	Rent	K	W					
212. Commercial Rental Property, Obion County, TN (50%)		None	K	W					
213. Farm Land #1, Obion County, TN (50%)	E	Rent	O	W					
214. Farm Land #2, Obion County, TN (50%)	E	Rent	O	W					
215. Farm Land #3, Fulton County, KY (50%)	E	Rent	O	W					
216. Banc3 Stock (Community Bank)		None	L	U					
217. Banc3 CD #1	A	Interest	K	T					
218. Banc3 CD#2	A	Interest	K	T					

1. Income Gain Codes:  
(See Columns B1 and D4)

A = \$1,000 or less  
F = \$50,001 - \$100,000  
J = \$15,000 or less  
N = \$250,001 - \$500,000  
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes  
(See Column C2)

Q = Appraisal  
U = Book Value

B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000  
K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)  
V = Other

C = \$2,501 - \$5,000  
H1 = \$1,000,001 - \$5,000,000  
L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000  
S = Assessment  
W = Estimated

D = \$5,001 - \$15,000  
H2 = More than \$5,000,000  
M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000  
T = Cash Market

E = \$15,001 - \$50,000

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Vaden, Stephen A.

Date of Report

01/03/2020

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Vaden, Stephen A.

Date of Report

01/03/2020

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Stephen A. Vaden

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544