

*Supreme Court
of the
State of New York*



*Analisa Torres
Justice*

*Chambers
111 Centre Street
New York, New York 10013
(646) 386-5219*

January 3, 2013

PERSONAL AND UNOFFICIAL

The Honorable Patrick J. Leahy
Chairman
Committee on the Judiciary
United States Senate
Washington, DC 20510

Dear Mr. Chairman:

I have reviewed the Senate Questionnaire I previously filed in connection with my nomination on November 14, 2012, to be a United States District Judge for the Southern District of New York. Incorporating the additional information below, I certify that the information contained in that document is, to the best of my knowledge, true and accurate.

Question 13 a.:

Since November 14, 2012, I have presided over an additional case that has gone to verdict.

I am also forwarding an updated Net Worth Statement and Financial Disclosure Report as requested in the Questionnaire. I thank the Committee for its consideration of my nomination.

Very truly yours,

A handwritten signature in cursive script, appearing to read "Analisa Torres".

Analisa Torres

cc:

The Honorable Charles Grassley
Ranking Member
Committee on the Judiciary
United States Senate
Washington, DC 20510

FINANCIAL DISCLOSURE REPORT NOMINATION FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Torres, Analisa N.	2. Court or Organization U.S. District Court for the Southern District of New York	3. Date of Report 1/3/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge -Nominee	5a. Report Type (check appropriate type) ☒ Nomination Date 1/3/2013 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2012 to 12/18/2012
7. Chambers or Office Address New York State Supreme Court 111 Centre St. New York, NY 10013		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Chair	WHEDCO-Women's Housing and Economic Development Corporation
2. Member, Board of Visitors	City University of New York Law School
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 10/19/1992	New York State and Local Retirement System/Defined Benefit Pension Plan
2.	
3.	

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Name of Person Reporting

Torres, Analisa N.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	New York State Unified Court System	\$155,264.00
2. 2011	New York State Unified Court System	\$139,346.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Wells Fargo Advisors-salary, commissions and bonus
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

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Torres, Analisa N.	1/3/2013

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Earlham College	Tuition, Room and Board	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

1. Brokerage Account #1					Exempt				
2. -Wells Fargo Bank Deposit Sweep	A	Dividend	K	T					
3. -Ford-F	A	Dividend	K	T					
4. -iShares Dividend Index-DVY	A	Dividend	J	T					
5. -Wisdom Tree Equity Income-DHS	A	Dividend	J	T					
6. -Center Coast MLP Fund-CCCNX	B	Dividend	K	T					
7. -Blackrock High Yield Fund-BHYIX	A	Dividend	J	T					
8. -Delaware High Yield Fund-DHOIX	B	Dividend	K	T					
9. -Artio GLBL High Income Fund-JHYIX	A	Dividend	J	T					
10. -MetWest High Yield Fund-MWHIX	A	Dividend	K	T					
11. -Mainstay High Yield Fund-MHYIX	A	Dividend	J	T					
12. -Principal High Yield Fund-PYHPX	A	Dividend	J	T					
13. -Ridgeworth High Yield Fund-STHTX	A	Dividend	J	T					
14. -Blackrock Credit Alloc Trust-PSY	A	Dividend	J	T					
15. -Calamos Strategic Fund-CSQ	A	Dividend	J	T					
16. -NFJ Div Int Premium Strat Fund-NFJ	D	Dividend	K	T					
17. -Nuveen Pref Inc Fund-JPC	B	Dividend	K	T					

1. Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Wells fargo Absolute Return Fund-WARDX (X)	A	Dividend	J	T					
19. PIMCO All Asset Fund-Paaix (X)	A	Dividend	J	T					
20. -DreyfusPrem Emg Debt Loc Cur-DDIIX	A	Dividend							
21. -Citigroup Inc.-C	A	Dividend							
22. -Dreyfus Intl Bond Fd-DIBRX	A	Dividend							
23. Brokerage Account #2									
24. -Wells Fargo Bank Deposit Sweep	A	Dividend	J	T					
25. -ishares High Yield ETF-HYG	A	Dividend							
26. -ishares S&P Pfd Stk ETF-PFF	A	Dividend							
27. -MFS Itmd Inc-MIN	A	Dividend							
28. -PWR SHRS Fincl Pfd-PGF	A	Dividend							
29. Brokerage Account #3									
30. -Wells Fargo Bank Deposit Sweep	A	Dividend	J	T					
31. IRA#1									
32. -Wells Fargo Bank Deposit Sweep	A	Dividend	K	T					
33. -Abbott Labs-ABT	A	Dividend	J	T					
34. -Altria-MO	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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35. -Apple-AAPL	B	Dividend	M	T					
36. -BCE Inc.-BCE	A	Dividend							
37. -CenturyLink Inc.-CTL	A	Dividend							
38. -Citigroup-C	A	Dividend	K	T					
39. -Con Ed-ED	A	Dividend							
40. -Emerson Electric-EMR	A	Dividend							
41. -Enterprise Pdcts Partners-EPD	A	Dividend	J	T					
42. -JPM Chase-JPM	A	Dividend	K	T					
43. -Magellan Midstrem Partners-MMP	A	Dividend							
44. -Philip Morris Intl-PM	A	Dividend	J	T					
45. -Plains All American Pipeline-PAA	A	Dividend							
46. -Sunoco Logistics Partners-SXL	A	Dividend							
47. -Teekay Lng Partners LP-TGP	A	Dividend	J	T					
48. -The Southern Company-SO	A	Dividend							
49. -Verizon-VZ	A	Dividend	J	T					
50. -Bristol Myers-BMY	A	Dividend							
51. -Chevron-CHV	A	Dividend							

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52. -Dominion Res-D	A	Dividend							
53. -Lazard Emg Mkts Fd -LZEMX	A	Dividend							
54. -McDonalds-MCD	A	Dividend							
55. -Regency Energy Partners-RGP	A	Dividend							
56. -Sasol-SSL	A	Dividend							
57. -United Technologies-UTX	A	Dividend							
58. -Vodafone Group-VOD	A	Dividend							
59. IRA #2									
60. -Wells Fargo Bank Deposit Sweep	A	Dividend	J	T					
61. -Polaris Industries, Inc.-PII	A	Dividend	K	T					
62. -Lululemon Athletica Inc.-LULU		None	J	T					
63. -NFJ Div Int Premium Strat Fund-NFJ	A	Dividend							
64. IRA #3									
65. -Wells Fargo Bank Deposit Sweep	A	Dividend	J	T					
66. -NFJ Div Inc Prem Strat Fund-NFJ	A	Dividend	J	T					
67. -ishares High Yield ETF-HYG	A	Dividend							
68. -ishares Inv Grd Bond ETF-LQD	A	Dividend							

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69. NY State Deferred Compensation Plan #4									
70. -Intl Equity Fd	A	Dividend	J	T					
71. -MSIF Emg Mkts Fd	A	Dividend	J	T					
72. -Columbia Acorn USA Fd	A	Dividend	J	T					
73. -Wells Fargo Adv Sm Cap Val Fd	A	Dividend	J	T					
74. -Vanguard Cap Opp Fund Fd	A	Dividend	J	T					
75. -Eaton Vance Lg Cap Val Fd	A	Dividend	J	T					
76. -Fid OTC Fd	A	Dividend	J	T					
77. -Janus Fd	A	Dividend	J	T					
78. -Davis NY Venture Fd	A	Dividend	J	T					
79. -T Rowe Price Eq Inc Fd	A	Dividend	J	T					
80. -Vanguard Inst Index Fd	A	Dividend	J	T					
81. -Vanguard Prime Cap Fd	A	Dividend	J	T					
82. TD Bank Savings Account	A	Dividend	J	T					
83. Chase Checking Plus Account	A	Dividend	J	T					
84. 401K #1									
85. Wells Fargo 401k Large Cap Growth Multi-Manager Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. Wells Fargo 401k LargeCap Value Multi- Manage Fund	A	Dividend	J	T					
87. Wells Fargo 401k Small Cap Multi-Manager Fund	A	Dividend	J	T					
88. Lazard Emerging Markets Equity Fund	A	Dividend	J	T					
89. American Funds EuroPacific Fund	A	Dividend	J	T					
90. SSGA International Index Fund	A	Dividend	J	T					
91. Wells Fargo non-ESOP Fund	A	Dividend	K	T					
92.									
93.									
94.									
95.									
96.									
97.									
98.									
99.									
100.									
101.									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Analisa N. Torres**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		120	928	Notes payable to banks-secured (auto)		5	475
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities – see schedule		608	693	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due		6	419
Due from relatives and friends		33	500	Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable – see schedule		674	938
Real estate owned – personal residence	1	350	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		100	000	Education tuition		12	000
Cash value-life insurance				Education loans		21	000
Other assets itemize:							
				Total liabilities		719	832
				Net Worth	1	493	289
Total Assets	2	213	121	Total liabilities and net worth	2	213	121
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							

FINANCIAL STATEMENT

NET WORTH SCHEDULES

Listed Securities

Abbott Laboratories stock	\$ 3,624
Altria Group, Inc. stock	4,784
American Funds EuroPacific Growth Fund	783
Apple Inc. stock	128,435
Artio Global High Income Fund	11,669
BlackRock Credit Allocation Income Trust II	12,056
BlackRock High Yield Bond Fund	11,054
Calamos Strategic Total Return Fund	10,836
Center Coast Capital MLP Focus Fund	20,518
Citigroup Inc. stock	31,008
Columbia Acorn USA Fund	3,210
Davis New York Venture Fund	5,154
Delaware High-Yield Opportunities Fund	17,650
Eaton Vance Large-Cap Value Fund	5,166
Enterprise Products Partners LP stock	12,090
Fidelity OTC Portfolio	8,041
Ford Motor Company stock	18,293
iShares Dow Jones Select Dividend ETF	5,715
Janus Fund	3,737
JPMorgan Chase & Co. stock	42,859
Lazard Emerging Markets Equity Portfolio	1,853
Lululemon Athletica Inc. stock	7,455
MainStay High Yield Corporate Bond Fund	6,171
Metropolitan West High Yield Bond Fund	17,045
MSIF Emerging Markets Portfolio	2,707
NYS Deferred Compensation Plan International Equity Fund	15,670
NFJ Dividend Interest & Premium Strategy	34,963
Nuveen Preferred Income Opportunities Fund	22,537
Phillip Morris International Inc. stock	4,732
PIMCO All Asset Fund	5,000
Polaris Industries Inc. stock	36,284
Principal High Yield Fund	11,917
RidgeWorth High Income Fund	11,808
SSgA International Index Fund	771
SSgA NASDAQ 100 Index	63
SSgA Russell Small Cap Index	98
SSgA S&P 500 Index	63
SSgA S&P Mid Cap Index	64
T. Rowe Price Equity Income Fund	6,205
Teekay LNG Partners LP stock	11,974
Vanguard Capital Opportunity Fund	5,181
Vanguard Institutional Index Fund	2,823

Vanguard PRIMECAP Fund	466
Verizon Communications Inc. stock	3,524
Wells Fargo Absolute Return Fund	5,500
Wells Fargo Advantage Small Cap Value Fund	5,306
Wells Fargo Non-ESOP Fund	20,079
Wells Fargo 401k Large Cap Growth Multi-Manager Fund	1,787
Wells Fargo 401k Large Cap Value Multi-Manager Fund	1,036
Wells Fargo 401k Small Cap Multi-Manager Fund	2,547
Wells Fargo 401k Dow Jones Target Fund 2015	63
Wells Fargo 401k Dow Jones Target Fund 2020	63
Wells Fargo 401k Dow Jones Target Fund 2025	63
Wells Fargo 401k Dow Jones Target Fund 2030	64
Wells Fargo 401k Dow Jones Target Fund 2035	64
Wells Fargo 401k Dow Jones Target Fund 2040	64
Wells Fargo 401k Dow Jones Target Fund 2045	64
Wells Fargo 401k Dow Jones Target Fund 2050	65
Wells Fargo 401k Dow Jones Target Fund 2055	65
WisdomTree Equity Income Fund	5,807
Total Listed Securities	<u>\$ 608,693</u>

Real Estate Mortgages Payable

Personal residence – mortgage	\$ 388,720
Personal residence – home equity loan	283,938
Total Real Estate Mortgages Payable	<u>\$ 672,658</u>