

Indira Talwani
111 Devonshire Street
5th Floor
Boston, MA 02109

January 6, 2014

The Honorable Patrick J. Leahy
Chairman
Committee on the Judiciary
United States Senate
Washington, DC 20510

Dear Mr. Chairman:

I have reviewed the Senate Questionnaire I previously filed in connection with my nomination on September 24, 2013, to be United States District Judge for the District of Massachusetts. Incorporating the additional information below, I certify that the information contained in that document is, to the best of my knowledge, true and accurate.

8. Honors and Awards:

Selected for inclusion in Boston Magazine's Top Women Attorneys in Massachusetts, 2013.

12. Published Writings and Public Statements:

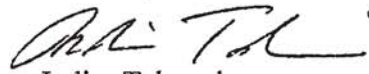
d. December 7, 2013: Speaker, "How to Negotiate a Post-Residency Employment Contract," the Committee of Interns and Residents, Service Employees International Union's Post-Residency Life Workshop, Boston, Massachusetts. The topic was substantially the same as the topic for the February 2, 2013 event for which a PowerPoint has been supplied.

17. Litigation:

- (1) *Am. Steel Erectors, Inc. v. Local Union No. 7, Int'l Ass'n of Bridge, Structural, Ornamental & Reinforcing Iron Workers*, 1st Cir. Docket No. 13-1531, 13-1665. I have recently participated in drafting the defendant's reply brief in this appeal and cross-appeal.

I am also forwarding an updated Net Worth Statement and Financial Disclosure Report as requested in the Questionnaire. I thank the Committee for its consideration of my nomination.

Sincerely,

A handwritten signature in dark ink, appearing to read 'Indira Talwani', with a stylized flourish at the end.

Indira Talwani

cc: The Honorable Charles Grassley
Ranking Member
Committee on the Judiciary
United States Senate
Washington, DC 20510

FINANCIAL DISCLOSURE REPORT NOMINATION FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Talwani, Indira	2. Court or Organization U.S. District Court, Massachusetts	3. Date of Report 1/6/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☒ Nomination Date 1/6/2014 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/23/2013
7. Chambers or Office Address 111 Devonshire Street 5th Floor Boston, MA 02109		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	Segal Roitman, LLP
2. Member, Board of Directors	Lawyers Coordinating Committee of the AFL-CIO
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 01/01/2013	Segal Roitman LLP. My partnership agreement provides for payment of a percentage share of net profit for the year I leave the partnership.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Segal Roitman LLP - partnership income	\$108,205.56
2. 2012	Segal Roitman LLP- partnership income	\$201,150.00
3. 2012	Northeastern Law School - teaching salary	\$5,000.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Pyle Rome LLP - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Tuition Management Systems	Tuition for Spring Semester 2014 for Amherst College	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. American Funds Capital World Growth & Income Fund	A	Dividend	L	T					
2. American Funds EuroPacific Growth Fund	A	Dividend	K	T					
3. American Funds Fundamental Investors Fund	B	Dividend	L	T					
4. American Funds Growth Fund of America	C	Dividend	L	T					
5. American Funds Investment Company of America Fund	A	Dividend	J	T					
6. Mainstay ICAP Select Equity Fund	A	Dividend	K	T					
7. MassMutual RetireSMART Moderate Fund	B	Dividend	K	T					
8. Royce Pennsylvania Fund	B	Dividend	K	T					
9. Vanguard Wellington Fund	A	Dividend	K	T					
10. Yacktman Fund	A	Dividend	L	T					
11. Beeson, Tayer & Bodine 401K Profit Sharing Plan		None	K	T					
12. Pyle Rome Ehrenberg Retirement Plan		None	M	T					
13. Trust #1	D	Int./Div.	P2	W					
14. - rental property #1, South Orleans, Massachusetts									
15. Trust #2	F	Rent	P1	W					
16. - rental property #2, Cotuit, Massachusetts									
17. - Citizens Bank checking account									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Citizens Bank cash accounts	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Line 11: Neither my husband nor I have ownership in or control of the underlying assets in the fund listed in Line 11. No individual dividends are credited to the account. The value of the fund is adjusted quarterly.

Part VII. Line 12: Neither my husband nor I have ownership in or control of the underlying assets in the fund listed in Lines 12. No individual dividends are credited to the account. The value of the fund is adjusted annually.

Part VII. Lines 13 & 14. My husband and our children are beneficiaries of Trust #1. Trust #1 was not set up by me, my husband or our children, and we have no control over the assets of the trust, and no knowledge of the assets other than the property listed in line 14. The income listed in line 13 includes rental income from the property listed in line 14. Additionally, the trust also contains others investments that generate interest and/or dividends about which I have no information. Income from those unknown assets is also reported in line 13. The value in Column C is based only upon the known asset. My household's beneficiary interest is 5.5% of the value indicated in Column C.

Part VII. Lines 15 - 17. My husband is a beneficiary and trustee of Trust #2. The sole assets of Trust are the property listed in line 16 and the checking account listed in line 17. My husband's beneficiary interest is 20% of the value indicated in Column C.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Indira Talwani**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		11	590	Notes payable to banks-secured			
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities – see schedule		386	872	Notes payable to relatives			
Unlisted securities – see schedule		140	206	Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable – personal residence		417	095
Real estate owned – personal residence		625	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		18	500	Tuition payment plan		17	700
Cash value-life insurance							
Other assets itemize:							
				Total liabilities		434	795
				Net Worth		747	373
Total Assets	1	182	168	Total liabilities and net worth	1	182	168
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							

FINANCIAL STATEMENT

NET WORTH SCHEDULES

Listed Securities

American Funds Capital World Growth & Income Fund	\$ 53,715
American Funds EuroPacific Growth Fund	29,537
American Funds Fundamental Investors Fund	53,999
American Funds Growth Fund of America	59,899
American Funds Investment Company of America Fund	5,047
Mainstay ICAP Select Equity Fund	32,800
MassMutual RetireSMART Moderate Fund	17,133
Royce Pennsylvania Fund	36,530
Vanguard Wellington Fund	31,528
Yacktman Fund	66,684
Total Listed Securities	\$ 386,872

Unlisted Securities

Beeson, Tayer & Bodine 401K Profit Sharing Plan	\$ 29,630
Pyle Rome Ehrenberg Retirement Plan	110,576
Total Unlisted Securities	\$ 140,206