

Sri Srinivasan
2357 N. Fillmore Street
Arlington, VA 22207

January 3, 2013

The Honorable Patrick J. Leahy
Chairman
Committee on the Judiciary
United States Senate
Washington, DC 20510

Dear Mr. Chairman:

I have reviewed the Senate Questionnaire I previously submitted in connection with my nomination on June 11, 2012, to serve as a United States Circuit Judge for the District of Columbia Circuit. Incorporating the additional information below, I certify that the information contained in that document is, to the best of my knowledge, true and accurate.

Questions 6, 11.a

I am no longer a member of the Board of Visitors of Stanford University Law School.

Question 12.d

On November 13, 2012, I gave remarks to students at Georgetown Law School, Constitutional Law I class, on the Supreme Court's consideration of the constitutionality of Section 5 of the Voting Rights Act. I have no notes, transcript, or recording. The address of Georgetown Law School is 600 New Jersey Avenue, NW, Washington, DC 20001.

On September 28, 2012, South Asian Youth Action (SAYA!) presented me with an annual Trailblazer award. I gave brief remarks upon receiving the award. I have no notes, transcript, or recording. SAYA!'s address is 54-05 Seabury Street, Elmhurst, NY 11373.

Question 16.e

Argued cases:

Vance v. Ball State University, No. 11-556 (pending) (transcript, 2012 WL 5903151; amicus brief for United States in support of neither party, 2012 WL 3864279)

United States v. Bormes, 133 S. Ct. 12 (2012) (transcript, 2012 WL 4506576)

Briefed cases:

Levin v. United States, No. 11-1351 (pending) (brief for respondent, 2012 WL 6607871)

Hillman v. Maretta, No. 11-1221 (2012) (amicus brief for United States in support of neither party, 2012 WL 6591462) (cert. pending)

Office of Personnel Management, et al. v. Pedersen, No. 12-302 (petition for writ of certiorari before judgment, 2012 WL 3991479; reply to brief in opposition for federal petitioners, 2012 WL 5492465) (cert. pending)

United States v. Windsor, No. 12-307 (petition for writ of certiorari before judgment, 2012 WL 3991414; supplemental brief for United States, 2012 WL 5353873; reply to brief in opposition for United States, 2012 WL 5492448) (cert. granted)

U.S. Department of Health & Human Services, et al. v. Commonwealth of Massachusetts, et al., No. 12-15 (reply to brief in opposition for federal petitioners, 2012 WL 5460371) (cert. pending)

Office of Personnel Management, et al. v. Golinski, No. 12-16 (reply to brief in opposition for federal petitioners, 2012 WL 5460372) (cert. pending)

Kloeckner v. Solis, 133 S. Ct. 596 (2012) (brief for respondent, 2012 WL 2883261)

I also am forwarding an updated Net Worth Statement and Financial Disclosure Report as requested in the Questionnaire. I thank the Committee for its consideration of my nomination.

Sincerely,

A handwritten signature in black ink, appearing to read "Sri Srinivasan". The signature is fluid and cursive, with the first name "Sri" and last name "Srinivasan" clearly distinguishable.

Sri Srinivasan

cc:

The Honorable Charles Grassley
Ranking Member
Committee on the Judiciary
United States Senate
Washington, DC 20510

FINANCIAL DISCLOSURE REPORT NOMINATION FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Srinivasan, Srikanth	2. Court or Organization U.S. Court of Appeals for the D.C. Circuit	3. Date of Report 01/03/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Court of Appeals Judge	5a. Report Type (check appropriate type) ☒ Nomination, Date 01/03/2013 ☐ Initial ☐ Annual ☐ Final	6. Reporting Period 01/01/2012 to 12/31/2012
	5b. ☐ Amended Report	
7. Chambers or Office Address Office of the Solicitor General Department of Justice 950 Pennsylvania Ave., N.W. Washington, D.C. 20530	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Board of Visitors	Stanford University Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

Name of Person Reporting	Date of Report
Srinivasan, Srikanth	01/03/2013

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

[] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	O'Melveny & Myers LLP - partnership income	\$1,338,000.00
2. 2012	NO REPORTABLE NON-INVESTMENT INCOME	
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[x] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. EXEMPT				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	EXEMPT		
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

1. IRA #1					Exempt				
2. - Spartan 500 Index FUSVX	A	Dividend	K	T					
3. - Aston River Road Independent Value ARIVX	A	Dividend	J	T					
4. - Aberdeen Emerging Markets GEGAX	A	Dividend	J	T					
5. - Columbia Dividend Opportunity INUTX	A	Dividend	K	T					
6. - Columbia Select Lrg Cap Growth ELGAX	A	Dividend	L	T					
7. - Delaware Value DDVAX	A	Dividend	K	T					
8. - Doubleline Total Rt Bond DLTNX	A	Dividend	K	T					
9. - Dreyfus Bond Market Index DBMIX	A	Dividend	K	T					
10. - Driehaus Active Income LCMAX	A	Dividend	K	T					
11. - Federated Interm Corp Bond INISX	A	Dividend	J	T					
12. - Listed Private Equity LPEFX	A	Dividend	J	T					
13. - Merk Hard Currency MERKX	A	Dividend	J	T					
14. - Forward EM Corp Debt Fund FFXRX	A	Dividend	J	T					
15. - JP Morgan Strategic Income JSOAX	A	Dividend	J	T					
16. - JP Morgan High Yield Bond OHYAX	A	Dividend	J	T					
17. - MFS International Value MGIAX	A	Dividend	K	T					

1. Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. - Morgan Stanley Global Real Estate MRLBX	A	Dividend	J	T					
19. - Pimco Total Return PTTDX (X)	A	Dividend	J	T					
20. - T.Rowe Price Growth Stock TRSAX	A	Dividend	K	T					
21. - RS Global Natural Resources RSNRX	A	Dividend	K	T					
22. - Ridegworth Mid Cap Value SMVTX	A	Dividend	K	T					
23. - Rivernorth Core Opportunity RNCOX	A	Dividend	K	T					
24. - Riverpark Short Term High Yield RPHYX (X)	A	Dividend	J	T					
25. - T.Rowe Price Int'l Stock PAITX	A	Dividend	K	T					
26. - TCW Dividend Focused TGIGX	A	Dividend	K	T					
27. - TCW Small Cap Growth TGSNX	A	Dividend	J	T					
28. - Touchstone Focused Equity TFEAX	A	Dividend	J	T					
29. - Wasatch Int'l Opps WAIOX	A	Dividend	J	T					
30. - Wasatch Frontier Emerging Small Cos WAFMX (X)	A	Dividend	J	T					
31. - Wasatch Emerging Markets Small Cap WAEMX	A	Dividend	K	T					
32. - Fidelity Cash Reserves FDRXX	A	Interest	J	T					
33. - Leuthold Asset Allocation LAALX	A	Dividend							
34. - Merger Fund MERFX	A	Dividend							

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - Pimco Emerging Markets PLDMX	A	Dividend							
36. - Westcore Select WTSIX	A	Dividend							
37. Brokerage Account #1									
38. - Spartan 500 Index FUSVX	A	Dividend	K	T					
39. - Fidelity Interim Muni Income FLTMX	A	Interest	L	T					
40. - Aston River Road Independent Value ARIVX	A	Dividend	J	T					
41. - Aberdeen Emerging Markets GEGAX	A	Dividend	J	T					
42. - Columbia Dividend Opp INUTX	A	Dividend	K	T					
43. - Columbia Select Large Cap Growth ELGAX	A	Dividend	K	T					
44. - Delaware Value DDVAX	A	Dividend	K	T					
45. - Doubleline Total Return Bond DLTNX	A	Dividend	K	T					
46. - Driehaus Active Income LCMAX	A	Dividend	J	T					
47. - Listed Private Equity LPEFX	A	Dividend	J	T					
48. - Merk Hard Currency MERKX	A	Dividend	J	T					
49. - Forward EM Corp Debt Fund FFXRX	A	Dividend	J	T					
50. - JP Morgan Tax Aware TXRSX	A	Dividend	K	T					
51. - MFS Int'l Value MGIAX	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. - Merger Fund MERFX	A	Dividend	J	T					
53. - Morgan Stanley Global Real Estate MRLBX	A	Dividend	J	T					
54. - Northern Interm Tax Exempt NOITX	A	Dividend	L	T					
55. - T.Rowe Price Growth Stock TRSAX	A	Dividend	K	T					
56. - RS Global Natural Resources RSNRX	A	Dividend	J	T					
57. - Ridegworth Mid Cap Value SMVTX	A	Dividend	J	T					
58. - Rivernorth Core Opp RNCOX	A	Dividend	K	T					
59. - T. Rowe Price Int'l Stock PAITX	A	Dividend	J	T					
60. - TCW Dividend Focused TGIGX	A	Dividend	K	T					
61. - TCW Small Cap Growth TGSNX	A	Dividend	J	T					
62. - Touchstone Focused EquityTFEAX	A	Dividend	J	T					
63. - Wasatch Int'l Opps WAIOX	A	Dividend	J	T					
64. - Wasatch Emerging Markets Small Cos WAFMX (X)	A	Dividend	J	T					
65. - Wasatch Emerging Markets Small Cap WAEMX	A	Dividend	J	T					
66. - Wells Fargo Ultra Short Term Muni SMAVX	A	Dividend	J	T					
67. - Fidelity Cash Reserves	A	Interest	J	T					
68. - Leuthold Asset Allocation LAALX	A	Dividend							

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. - Pimco Emerging Markets PLDMX	A	Dividend							
70. - Westcore Select WTSIX	A	Dividend							
71. Roth IRA #1									
72. - American Funds AMCAP A AMCPX (X)	A	Dividend	J	T					
73. - American Funds New World A NEWFX (X)	A	Dividend	J	T					
74. - American Funds Small Cap World A SMCWX (X)	A	Dividend	J	T					
75. - American Funds Capital World Growth & Income A CWGIX (X)	A	Dividend	J	T					
76. - American Funds Washington Mutual A AWSHX (X)	A	Dividend	J	T					
77. - American Funds Income Fund of America A AMECX (X)	A	Dividend	J	T					
78. Citibank Checking Account	A	Interest	K	T					
79. Wells Fargo (various cash accounts)	A	Interest	P1	T					
80. American Express (various cash accounts)	D	Interest	J	T					
81. College Savings Plans #1									
82. - American Funds AMCAP 529A	A	Dividend	M	T					
83. - American Funds Balanced Funds 529A	B	Dividend	M	T					
84. - American Funds Mutual Fund 529A	A	Dividend	K	T					
85. - American Funds EuroPacific Growth 529A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. - American Funds New Perspective 529A	A	Dividend	L	T					
87. - American Funds Growth Fund of America 529A	A	Dividend	L	T					
88. - American Funds Washington Mutual Investors Fund 529A	A	Dividend	J	T					
89. Brokerage Account #2									
90. - American Funds Tax Exempt Bond Fund of America A AFTEX	A	Dividend	J	T					
91. - American Funds Growth Fund of America A AGTHX	A	Dividend	J	T					
92. - American Funds New Economy Fund A ANEFX	A	Dividend	J	T					
93. - American Funds Tax Exempt Fund of VA A TFVAX	B	Dividend							
94. - American Funds Fundamental Investors A ANCFX	A	Dividend	J	T					
95. - American Funds Bond Fund of America A ABNDX	A	Dividend	J	T					
96. Mass Mutual VULG Guaranteed Principal	A	Interest	L	T					
97. Mass Mutual VULG American Funds Growth-Income		None	J	T					
98. Mass Mutual VULG Fidelity Contrafund		None	J	T					
99. Mass Mutual VULG DWS Small Cap Index		None	K	T					
100. Mass Mutual VULG MML Small/Mid Cap Equity		None	J	T					
101. Keough #1									
102. - Fidelity Diversified International FDIVX	A	Dividend							

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. - American Beacon Large Cape Val Inv AAGPX	A	Dividend							
104. - American Funds Growth of America Class R5 RGAFX	A	Dividend							
105. - Rainier Sm/Mid Cap RIMSX	A	Dividend							
106. - Buffalo Sm Cap Stock BUFSX	A	Dividend							
107. - PIMCO Total Return Instl PTTRX	B	Dividend							
108.									
109.									
110.									
111.									
112.									
113.									
114.									
115.									
116.									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Srinivasan, Srikanth

Date of Report

01/03/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

Srinivasan, Srikanth

Date of Report

01/03/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Srikanth Srinivasan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks	1	164	200	Notes payable to banks-secured			
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities – see schedule	1	532	700	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable – personal residence		961	900
Real estate owned – personal residence	1	700	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		11	000				
Cash value-life insurance		98	100				
Other assets itemize:							
Thrift Savings Plan		33	700				
				Total liabilities		961	900
				Net Worth	3	577	800
Total Assets	4	539	700	Total liabilities and net worth	4	539	700
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							

FINANCIAL STATEMENT

NET WORTH SCHEDULES

Listed Securities

Individual Retirement Account

Spartan 500 Index Fund	\$ 35,700
ASTON/River Road Independent Value Fund	9,900
Aberdeen Emerging Markets Fund	6,700
Columbia Dividend Opportunity Fund	32,500
Columbia Select Large Cap Growth Fund	54,500
Delaware Value Fund	36,500
DoubleLine Total Return Bond Fund	24,900
Dreyfus Bond Market Index Fund	18,900
Driehaus Active Income Fund	17,900
Federated Intermediate Corp Bond Fund	6,200
ALPS/Red Rocks Listed Private Equity Fund	7,500
Merk Hard Currency Fund	7,600
Forward EM Corp Debt Report	10,700
JPMorgan Strategic Income Opportunities Fund	12,200
JPMorgan High Yield Bond Fund	6,200
MFS International Value Fund	32,600
Morgan Stanley Institutional Global Real Estate Fund	9,300
PIMCO Total Return Fund	10,400
RS Global Natural Resources Fund	20,100
RidgeWorth Mid-Cap Value Fund	26,600
RiverNorth Core Opportunity Fund	22,700
RiverPark Short Term High Yield Fund	6,200
T. Rowe Price Growth Stock Fund	53,300
T. Rowe Price International Stock Fund	26,700
TCW Dividend Focused Fund	36,300
TCW Small Cap Growth Fund	8,900
Touchstone Focused Equity Fund	12,700
Wasatch International Opportunities Fund	14,500
Wasatch Emerging Markets Small Cap Fund	22,000
Wasatch Frontier Emerging Small Countries Fund	9,500
Fidelity Cash Reserves	7,900

Brokerage Account #1

Spartan 500 Index Fund	18,200
Fidelity Intermediate Municipal Income Fund	57,300
ASTON/River Road Independent Value Fund	5,700
Aberdeen Emerging Markets Fund	5,200
Columbia Dividend Opportunity Fund	22,400
Columbia Select Large Cap Growth Fund	29,100
Delaware Value Fund	18,600
DoubleLine Total Return Bond Fund	23,000
Driehaus Active Income Fund	9,400

ALPS/Red Rocks Listed Private Equity Fund	4,600
Merk Hard Currency Fund	7,000
Forward EM Corp Debt	8,300
JPMorgan Tax Aware Real Return Fund	21,200
MFS International Value Fund	17,900
Merger Fund	8,200
Morgan Stanley Institutional Global Real Estate Fund	7,200
Northern Intermediate Tax-Exempt Fund	57,200
RS Global Natural Resources Fund	12,300
RidgeWorth Mid-Cap Value Fund	13,100
RiverNorth Core Opportunity Fund	17,400
T. Rowe Price Growth Stock Fund	25,600
T. Rowe Price International Stock Fund	13,400
TCW Divided Focused Fund	18,500
TCW Small Cap Growth Fund	5,100
Touchstone Focused Equity Fund	6,400
Wasatch International Opportunities Fund	7,700
Wasatch Emerging Markets Small Cap Fund	10,600
Wasatch Frontier Emerging Small Countries Fund	4,800
Wells Fargo Ultra Short-Term Municipal Income Fund	4,700
Fidelity Cash Reserves	6,400

Brokerage Account #2

American Funds Bond Fund of America Class A	900
American Funds Fundamental Investors Class A	900
American Funds Growth Fund of America Class A	600
American Funds New Economy Fund Class A	600
American Funds Tax Exempt Bond Fund of America Class A	1,100

Roth IRA #1

American Funds AMCAP Fund Class A	4,200
American Funds Capital World Growth & Income Fund Class A	4,300
American Funds Income Fund of America Class A	4,200
American Funds New World Fund Class A	2,200
American Funds SMALLCAP World Fund Class A	2,200
American Funds Washington Mutual Investors Fund Class A	4,200

College Savings Plans

American Funds AMCAP Fund -529A	120,800
American Funds American Balanced Fund -529A	119,600
American Funds American Mutual Fund -529A	15,600
American Funds EuroPacific Growth fund -529A	14,600
American Funds New Perspective Fund -529A	61,600
American Funds Growth Fund of America 529A	95,600
American Funds Washington Mutual Investors Fund -529A	5,400

Total Listed Securities	\$1,532,700
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