

January 6, 2014

The Honorable Patrick J. Leahy
Chairman
Committee on the Judiciary
United States Senate
Washington, DC 20510

Dear Mr. Chairman:

I have reviewed my response to the Senate Questionnaire that I submitted on November 7, 2013, to the Senate Judiciary Committee, in connection with my nomination to serve as a United States Circuit Judge for the United States Court of Appeals for the Eleventh Circuit. Incorporating the additional information set forth below, I certify that the information contained in both the November 7, 2013, response and in this letter, is, to the best of my knowledge, true and accurate.

Question 13.a.

I have presided over another criminal trial that resulted in a verdict. In addition, I have presided over another civil trial that was settled mid-trial.

Question 13.b.

I have attached a list of opinions responsive to this question and issued since November 7, 2013.

Question 13.e.

Certiorari was requested and granted but later denied as improvidently granted in *Mulhall v. Unite Here Local 355*, Case No. 08-CIV-61766-RSR (S.D. Fla. Jan. 24, 2011), *reversed and remanded*, Case No. 11-10594, 667 F.3d 1211 (11th Cir. Jan. 18, 2012), *cert. granted*, ___ U.S. ___, 133 S. Ct. 2849 (June 24, 2013), *cert. dismissed as improvidently granted*, Case No. 12-99, ___ U.S. ___, ___ S. Ct. ___ 2013 WL 6410851 (Dec. 10, 2013). This case is not listed in response to Question 13.f. because the decision on appeal was issued by the judge presiding over the case before the matter was transferred to me.

Certiorari was requested but denied in *Marshall v. United States*, Case No. 10-CIV-20482-RSR (S.D. Fla. Aug. 14, 2012), *aff'd*, Case No. 12-13841, 514 F. App'x 936 (11th Cir. 2013), *cert. denied*, Case No. 12-9879, ___ U.S. ___, 133 S. Ct. 2749 (May 28, 2013). This denial of certiorari should have been included in my original response to the Senate Questionnaire for Judicial Nominees submitted on November 7, 2013. I regret that it was not. I was not aware of the denial at the time.

Question 14.

I have recused myself sua sponte in three cases since filing my November 7, 2013, response to the Senate Questionnaire for Judicial Nominees. First, in *Hamilton v. Suntrust Mortgage, Inc.*, Case No. 13-CIV-60749, the plaintiffs were represented by, among others, two attorneys who had supported my nominations to be a district judge and a circuit judge, one attorney who had served as my reference when I applied to become a magistrate judge, and one attorney whose daughter is my neighbor and whose granddaughter is friends with my daughter. Second, in *Wrubleski v. Blay*, Case No. 13-CIV-62403, the defendants included, among others, a courtroom deputy who has assisted me from time to time, another district judge in the same courthouse, the magistrate judge with whom I am paired, and the deputy United States Marshal who supervises operations at my courthouse. Finally, in *United States v. Handfield*, Case No. 13-CR-20840, counsel included a lawyer who had offered to support my nomination to serve as a circuit judge. In all three cases, I recused myself to avoid an appearance of impropriety.

Question 19.

With the approval of the Chief Judge of the Eleventh Circuit, in the spring of 2014, I am scheduled to teach an upper-level legal writing class on federal motions, such as motions to remand, discovery motions, and motions for preliminary injunction, at the University of Miami School of Law. This is the same course that I taught during the spring of 2012. I have attached a copy of the Spring 2014 syllabus for the class.

I am also forwarding an updated Net Worth Statement and Financial Disclosure Report, as requested by the Senate Questionnaire for Judicial Nominees. I thank the Committee for its consideration of my nomination.

Sincerely,

A handwritten signature in black ink, reading "Robin S. Rosenbaum". The signature is fluid and cursive, with the first name "Robin" and last name "Rosenbaum" clearly legible.

Robin S. Rosenbaum

copies: The Honorable Charles Grassley
 Ranking Member
 Committee on the Judiciary
 United States Senate
 Washington, DC 20510

Question 13.b.

Nelson v. N. Broward Med. Ctr., 2013 WL 6842034 (S.D. Fla. Dec. 27, 2013)

Rojas v. Garda CL Se., Inc., ___ F.R.D. ___, 2013 WL 6834657 (S.D. Fla. Dec. 23, 2013)

Fuller v. Edward B. Stimpson Co., Inc., 2013 WL 6836554 (S.D. Fla. Dec. 23, 2013)

Guarantee Ins. Co. v. Brand Mgmt. Serv., Inc., 2013 WL 6728177 (S.D. Fla. Dec. 20, 2013)

Guarantee Ins. Co. v. Brand Mgmt. Serv., Inc., 2013 WL 6768641 (S.D. Fla. Dec. 20, 2013)

N.C. ex rel. Boston v. Alonso, 2013 WL 6564217 (S.D. Fla. Dec. 13, 2013)

Alumni Cruises, LLC v. Carnival Corp., ___ F. Supp. 2d ___, 2013 WL 6511737 (S.D. Fla. Dec. 12, 2013)

United States v. Williams, 2013 WL 6328488 (S.D. Fla. Dec. 5, 2013)

Gubanova v. Miami Beach Owner, LLC, 2013 WL 6229142 (S.D. Fla. Dec. 2, 2013)

Makozy v. Crawford, 2013 WL 6162823 (S.D. Fla. Nov. 25, 2013)

Cosac Found., Inc. v. City of Pembroke Pines, 2013 WL 6170619 (S.D. Fla. Nov. 21, 2013)

Kluge v. Smukler Servs., Inc., 2013 WL 6169214 (S.D. Fla. Nov. 20, 2013)

Tajalli v. Wal-Mart Stores, Inc., 2013 WL 6081632 (S.D. Fla. Nov. 19, 2013)

Touzin v. Patriarca, 2013 WL 6051062 (S.D. Fla. Nov. 14, 2013)

Hudson v. City of Riviera Beach, ___ F. Supp. 2d ___, 2013 WL 6017282 (S.D. Fla. Nov. 13, 2013)

Finn v. Kent Sec. Servs., Inc., ___ F. Supp. 2d ___, 2013 WL 5954388 (S.D. Fla. Nov. 6, 2013)

BAC Fin. Servs., Inc. v. Multinational Life Ins. Co., 2013 WL 5929428 (S.D. Fla. Nov. 5, 2013)

Adidas AG v. 2013jeremyscottxadidas.com, 2013 U.S. Dist. LEXIS 171628 (S.D. Fla. Dec. 5, 2013)

Tiffany (NJ), LLC, v. Gu Jianfang, 2013 U.S. Dist. LEXIS 134857 (S.D. Fla. Sept. 20, 2013)

SYLLABUS

Please review this syllabus to assist you in your understanding of the objectives of this course and to help you in planning your work for this semester.

OBJECTIVES:

This course has four objectives. First, the course seeks to develop further your legal analysis skills by requiring you to analyze case law and apply it to our course problems.

Second, the course will teach you substantively about three types of motions that are frequently filed in federal court: (1) the motion to remand; (2) a discovery-related motion; and (3) the motion for preliminary injunction.

Third, the course provides an opportunity for you to improve your legal writing skills. In this class you will prepare memoranda in support of a motion to remand, a discovery motion, and a motion for preliminary injunction. These assignments will require you to reduce to writing your analysis of federal law and the application of the law to our case-study facts, and they will demand clear and precise thinking and writing. Towards the end of meeting these goals, we will study usage, style, and writing recommendations that other accomplished legal writers have suggested. You will prepare two drafts of each of the first two memoranda and one of the third.

Finally, the course will familiarize you with the realities of federal motion practice in the United States District Court for the Southern District of Florida. In furtherance of this objective, you will learn about the Local Rules of the Southern District of Florida, and you will apply them in all of your assignments.

EVALUATION:

Evaluating your work serves two purposes. First, it enables me to determine the extent to which you have achieved a certain level of competence in each of the objectives described above. Second, it allows you to recognize and correct problems, thereby improving and sharpening your legal writing skills.

COURSE TEXTS:¹

Required:

The Bluebook: A Uniform System of Citation (19th ed.)

Deborah E. Bouchoux, *Aspen Handbook for Legal Writers: A Practical Reference* (3d ed.)

Local Rules of the Southern District of Florida (accessible at www.flsd.uscourts.gov under the link for “Local Rules”)

Cases that will be assigned during class (you may obtain them from the library or from an online electronic research database (such as Westlaw or LEXIS))

Recommended:

William Strunk, Jr., & E.B. White, *The Elements of Style* (4th ed.)

Bryan A. Garner, *The Winning Brief* (2d ed.)

You may also find it helpful to have a copy of *Black’s Law Dictionary*. Used copies are available through a variety of sources on the Internet and may sometimes be purchased at the campus book store. The law library also has a copy if you do not wish to buy the book.

CLASSES:

We will meet on Mondays from 7:30 p.m. to 9:20 p.m., except as otherwise noted in this syllabus or in class. ***Class attendance is mandatory*** and important to your understanding of the material offered in this class. If I must cancel a class for some reason, I will hold a make-up session.

With regard to classroom conduct, I do not permit cellular telephones to be used in my class. If you have one, you may bring it with you, but you must turn it off for class. Although I begin the semester by allowing students to use laptop computers in class, you may use your laptop computers for the sole purpose of assisting you in your preparation and understanding of class material. If laptop computers are used for personal or non-class-related activities, I will be unable to continue to permit their use in class. Of course, it should go without saying that I expect all students to treat each other and this class with respect and professionalism at all times. An important aspect of being a lawyer involves acting in a professional and courteous manner, and we will practice those principles in this class.

¹Some texts may be published in later editions than those listed here. You should purchase the latest edition, which is available at the book store.

CONTACT INFORMATION:

The best way to reach me is by e-mail, which I check regularly. If I think that I cannot sufficiently address your concerns by e-mail or by scheduling an appointment with you, I will call you in response to your e-mail. I will similarly call you if you request in an e-mail that I do so and you provide me with a telephone number where I can reach you. My contact information follows:

E-mail: ProfessorRosenbaum@bellsouth.net

Faculty Assistant: Gloria Lastres, G-477, 305-284-4438

In an emergency, you may contact my office by telephone at 954-769-5670. I am available for extra help after class and by appointment.

WRITTEN WORK:

All written assignments must conform to the specifications below:

All of your work must be typewritten. Your work must comply in all regards with the requirements of the Local Rules for the United States District Court for the Southern District of Florida. ***Always retain one copy of your work for your records and always back up your work while using the computer.***

I will evaluate and critique your first draft of each of the first two briefs, and I will grade your re-write. You must turn in all prior drafts with my comments along with the re-write, or you will not receive a grade. For the third brief, you will turn in a final draft only. Each assignment will be due at a specified time, date, and place. It is your responsibility to note that time, date, and place. Deadlines will be strictly enforced, and ***I will assess a half-grade penalty for each day (including any portion thereof) that an assignment is late.*** Citations and other format matters must always be in compliance with the standards set forth in *The Bluebook: A Uniform System of Citation*, unless otherwise noted.

Please remember that you must return all of your marked drafts with your final work product.

GRADING AND CURVE:

I will weight your work in this class according to the following valuation system:

Each final draft of a brief:	30%
First drafts, effort, and participation:	10%

Class participation encompasses not only your oral participation in class periods, but also your overall attitude towards the class and your professionalism. In other words, I look for students to

put forth a good-faith effort to learn the material. Among other ways of demonstrating real effort, students should submit both quality first drafts and final drafts, and all students should complete all other homework employing their best efforts. I also find your reasonableness towards and cooperation with other students to be an important factor in evaluating class participation.

ETHICS:

Your reputation is everything in the legal profession. That reputation begins in law school. Please act at all times in accordance with the University of Miami School of Law Honor Code and the honorable ideals of our profession. As that relates to this class, students may not seek outside help with assignments. After I have graded your paper, however, you may obtain the assistance of the Writing Center. The point of these rules is to help you to learn how to become proficient in legal research and writing by doing the work yourself. Along these same lines, learning the material requires access to the material. Therefore, I expect you to cooperate with your classmates and act in an ethical, reasonable, and professional manner towards them, ensuring that you promptly return research materials to their correct locations so that everyone else can use them as well.

DISABILITIES AND EQUAL OPPORTUNITY:

The law school is committed to accommodating a variety of disabilities, including physical and learning disabilities. If you would like more information on this, please contact Iris Morera, the Disabilities Issues Coordinator, at 305-284-4551 or e-mail her at disabilityservices@law.miami.edu. Please also feel free to discuss this with me. I will keep our discussions confidential. Please seek accommodations for any disabilities as soon as possible.

Tentative Assignment Schedule

First Class

Jan. 27, 2014	Assignment: Please review this syllabus and 28 U.S.C. §§ 1441 and 1446. You may obtain a copy of these statutes either from the law school library or from an online research database such as Westlaw or LEXIS. In addition, you should review the Local Rules of the Southern District of Florida , which you may access at the website www.flsd.uscourts.gov . Please pay particular attention to Local Rules 7.1 and 26.1, as well as to the Discovery Handbook in Appendix A, S.D. Fla. L.R.
Feb. 3, 2014	Carefully read and review the first case study. Please prepare a draft of the Motion to Remand. The first draft of the assignment is due Monday, February 10, 2014.
Feb. 10, 2014	First draft of Motion to Remand due.
Feb. 17, 2014	Return of first draft of Motion to Remand. For Monday, February 24, 2014, prepare final version of Motion to Remand. Final version due Monday, February 24, 2014.
Feb. 24, 2014	Final version of Motion to Remand due. Please prepare a first draft of the discovery motion. First draft due March 3, 2014.
March 3, 2014	First draft of discovery motion due.
March 10, 2014	SPRING BREAK. NO CLASSES.
March 17, 2014	Return of first draft of discovery motion. For Monday, March 24, 2014, please prepare final version of discovery motion. Final version due Monday, March 24, 2014.
March 24, 2014	Final version of discovery motion due. For Monday, March 31, 2014, work on Motion for Preliminary Injunction. I will not collect or review your first draft of this motion. The final version of the Motion for Preliminary Injunction is due Monday, April 23, 2014.
March 31, 2014	No additional written assignment (other than <i>ICW</i> homework).
April 7, 2014	Continue working on Motion for Preliminary Injunction. During class, I will be happy to answer any questions that you may have regarding the Motion for Preliminary Injunction. The final version of the Motion for Preliminary Injunction is due Monday, April 21, 2014.

April 14, 2014	No additional written assignment (other than <i>ICW</i> homework).
April 21, 2014	Motion for Preliminary Injunction due.
April 23, 2014	Final day of class

Name:

Address:

Telephone number(s):

E-mail address(es):

Education (undergraduate/graduate school) (please also include degree and major):

Work experience, including any legal experience (please also include whether you, a family member, or a previous employer (while you were employed) have ever had a case before me):

**FINANCIAL DISCLOSURE REPORT
NOMINATION FILING**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Rosenbaum, Robin S.	2. Court or Organization Eleventh Circuit Court of Appeals	3. Date of Report 01/06/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge	5a. Report Type (check appropriate type) ☒ Nomination Date 01/06/2014 ☐ Initial ☐ Annual ☐ Final	6. Reporting Period 01/01/2013 to 12/21/2013
	5b. ☐ Amended Report	
7. Chambers or Office Address 299 E. Broward Blvd., Room 310-A Fort Lauderdale, Florida 33301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	University of Miami School of Law (teaching legal writing course)	\$5,000.00
2. 2012	University of Miami School of Law (teaching legal writing course)	\$10,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Holland & Knight -- salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Ameritrade Money Market	A	Interest	J	T	Exempt				
2. Astropower common		None	J	T					
3. Ballard Power Systems common		None	J	T					
4. Bank of America -- checking/savings	A	Interest	J	T					
5. Cisco Systems common	A	Dividend	J	T					
6. DiscoverBank Savings and Money Market Account	A	Interest	L	T					
7. Dodge & Cox Int'l Stock Fund	A	Int./Div.	J	T					
8. Domini Social Equity Fund	A	Int./Div.	J	T					
9. Eagle Small Cap Growth Fund	A	Int./Div.	J	T					
10. Ericsson L M Tel Co ADR	A	Dividend	J	T					
11. Emagin, Inc. common		None	J	T					
12. Fidelity Cash Reserves	A	Dividend	J	T					
13. Fidelity Contrafund	A	Int./Div.	J	T					
14. Fidelity Contrafund	A	Int./Div.	K	T					
15. Fidelity Low Priced Stock fund	A	Dividend	J	T					
16. Fidelity Municipal Money Market	A	Interest	J	T					
17. Fidelity Retirement Money Market	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Fidelity Unique NH 2015 Portfolio	A	Int./Div.	J	T					
19. Fidelity Unique NH 2018 Portfolio	A	Int./Div.	K	T					
20. Fidelity Unique NH Bank Deposit Portfolio	A	Int./Div.	M	T					
21. Intel Corp.	A	Dividend	J	T					
22. J2 Global Communications, Inc. common	A	Dividend							
23. Janus Twenty Fund	A	Dividend	J	T					
24. Janus Global Research Fund (previously Janus Worldwide Fund)	A	Dividend	J	T					
25. JDS Uniphase Corp. common		None	J	T					
26. MassMutual VUL: Oppenheimer Capital Appreciation Fund	A	Interest	J	T					
27. MassMutual Variable Universal Life: Oppenheimer Midcap Fund	A	Interest	J	T					
28. Parnassus Fund	A	Int./Div.	K	T					
29. PIM Total Return Institutional Bond Fund- Holland& Knight401k	A	Int./Div.	J	T					
30. Rhythms Netconnections common		None	J	T					
31. T. Rowe Price College Savings Plan Portfolio 2018-529account	A	Int./Div.	L	T					
32. T. Rowe Price 529 College Plan Money Market Portfolio	A	Interest	M	T					
33. T. Rowe Price Blue Chip Growth Fund	A	Int./Div.	J	T					
34. TZ Limited common		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Universal Display Corp. (common)		None	J	T					
36. Vanguard Institutional Index Fund	A	Int./Div.	J	T					
37. Ameritrade Money Market	A	Interest	J	T					
38. Apple	A	Dividend	J	T					
39. Trust #1	A	Interest	M	T					
40. -MML VUL: MassMutual Life Blend (X)									
41. -MML VUL: Oppenheimer Main Street (X)									
42. -MML VUL: Janus Aspen Forty (X)									
43. -MML VUL: Oppenheimer Discovery Mid Cap Growth (X)									
44. -MML VUL: T. Rowe Price Mid-Cap Growth (X)									
45. -MML VUL: Oppenheimer Global (X)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

A portion of Line 18 was transferred to Lines 19 and 20. No gain is reported as these three lines are part of the same 529 college savings account.

Lines 37 through 38 are or were held by my mother, but I am listed as joint tenant on her account. This has been the case for several years. I derive no income or other benefits from my mother's investments.

Lines 39 through 45 list the assets in Trust #1. I do not receive any income or other benefits from this trust or from serving as the trustee, nor am I a beneficiary of the trust.

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01/06/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robin S. Rosenbaum**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		87	303	Notes payable to banks-secured			
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities – see schedule		531	476	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable-add schedule			
Real estate owned – personal residence		812	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		40	000	Thrift Savings Plan loan		25	604
Cash value-life insurance		22	436				
Other assets itemize:							
Thrift Savings Plan		892	538				
				Total liabilities		25	604
				Net Worth	2	360	149
Total Assets	2	385	753	Total liabilities and net worth	2	385	753
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							

FINANCIAL STATEMENT

NET WORTH SCHEDULES

Listed Securities

AstroPower stock	\$ 0
Ballard Power Systems stock	243
Cisco Systems, Inc. stock	8,452
Dodge & Cox International Stock Fund	5,745
Domini Social Equity Fund	11,655
Eagle Small Cap Growth Fund	5,814
Emagin Corp. stock	180
Ericsson L.M. Tel. Co. ADR	60
Fidelity Unique NH 529 Bank Deposit Portfolio	156,236
Fidelity Unique NH 529 Portfolio 2015	6,470
Fidelity Unique NH 529 Portfolio 2018	19,162
Fidelity Cash Reserves Money Market	1,114
Fidelity Contrafund	57,369
Fidelity Low Priced Stock Fund	3,909
Fidelity Municipal Money Market	22
Fidelity Retirement Money Market	3,182
Intel Corp. stock	8,519
Janus Global Research Fund	8,914
Janus Twenty Fund	5,049
JDS Uniphase	112
Parnassus Fund	30,077
PIMCO Total Return Fund	4,623
Rhythms Netconnections stock	0
T. Rowe Price 529 College Plan Money Market	110,000
T. Rowe Price 529 College Plan Portfolio 2018	52,658
T. Rowe Price Blue Chip Growth Fund	12,687
TD Ameritrade Money Market	12
TZ Ltd. stock	199
Universal Display Corp. stock	15,264
Vanguard Institutional Index Fund	3,749
Total Listed Securities	\$531,476