

UNITED STATES SENATE
COMMITTEE ON THE JUDICIARY
QUESTIONNAIRE FOR JUDICIAL NOMINEES

PUBLIC

1. **Name:** State full name (include any former names used).

Raymond Stanley Baker, Jr.

2. **Position:** State the position for which you have been nominated.

United States District Judge for the Southern District of Georgia

3. **Address:** List current office address. If city and state of residence differs from your place of employment, please list the city and state where you currently reside.

United States District Court
Southern District of Georgia
801 Gloucester Street
Brunswick, Georgia 31520

Residence: St. Simons Island, Georgia

4. **Birthplace:** State year and place of birth.

1977; Athens, Georgia

5. **Education:** List in reverse chronological order each college, law school, or any other institution of higher education attended and indicate for each the dates of attendance, whether a degree was received, and the date each degree was received.

2001 – 2004, University of Georgia School of Law; J.D. (*magna cum laude*), 2004

1995 – 1999, Davidson College; B.A., 1999

6. **Employment Record:** List in reverse chronological order all governmental agencies, business or professional corporations, companies, firms, or other enterprises, partnerships, institutions or organizations, non-profit or otherwise, with which you have been affiliated as an officer, director, partner, proprietor, or employee since graduation from college, whether or not you received payment for your services. Include the name and address of the employer and job title or description.

February 2015 – present
United States Courthouse
Southern District of Georgia

801 Gloucester Street
Brunswick, Georgia 31520
United States Magistrate Judge

November 2009 – February 2015
The Jordan Firm, LLC
1804 Frederica Road, Suite C
St. Simons Island, Georgia 31522
Attorney

September 2006 – November 2009
Prior, Daniel, & Wiltshire, LLC
490 North Milledge Avenue
Athens, Georgia 30601
Attorney

September 2004 – 2006
The Honorable William T. Moore, Jr.
United States Courthouse
Southern District of Georgia
125 Bull Street
Savannah, Georgia 31401
Law Clerk

August 2002 – September 2004
Fortson, Bentley, & Griffin, P.A.
2500 Daniells Bridge Road, Suite 3A, Building 200
Athens, Georgia 30606
Part-Time Law Clerk

June 2003 – July 2003
Miller, Hamilton, Snider & Odam (now Jones Walker)
One Federal Place, Suite 1100
1819 5th Avenue North
Birmingham, Alabama 35203
Summer Law Clerk

June 2002 – August 2002
Carter & Ansley, LLP (now Smith, Moore, Leatherwood)
1180 West Peachtree Street NW, Suite 2300
Atlanta, Georgia 30309
Summer Law Clerk

June 1999 – August 2001
Burnett Ranches, LLC (*dba* Four Sixes Ranch)
1151 Smith River Road

White Sulphur Springs, Montana 59645
Ranch Hand

Other Affiliations (Uncompensated)

August 2012 – May 2014
St. Simons Elementary School Council
805 Ocean Boulevard
St. Simons Island, Georgia 31522
Council Member (August 2012 – May 2014)
Chairperson (May 2013 – May 2014)
Vice Chairperson (August 2012 – May 2013)

Glynn County Bar Association
Post Office Box 99
Brunswick, Georgia 31521
Treasurer and Board of Directors Member (2014)

7. **Military Service and Draft Status:** Identify any service in the U.S. Military, including dates of service, branch of service, rank or rate, serial number (if different from social security number) and type of discharge received, and whether you have registered for selective service.

I have not served in the U.S. Military. I registered for the selective service upon turning 18.

8. **Honors and Awards:** List any scholarships, fellowships, honorary degrees, academic or professional honors, honorary society memberships, military awards, and any other special recognition for outstanding service or achievement.

Professional Honors and Awards

Super Lawyers, Georgia Rising Star (2014)

Martindale Hubbell, AV Preeminent Peer Review Rating (2013)

Joseph Henry Lumpkin American Inn of Court
Barrister (2007 – 2009)
Pupil (2003 – 2004)

National Association of Railroad Trial Counsel Trial College (2011)

Law School Honors and Awards

Gridiron Society (2003 – 2016)

Degree from the University of Georgia conferred *magna cum laude*

Order of the Barristers (2004)

American Bar Association, National Mock Trial Competition (2004)

American Bar Association, National Moot Court Competition, National Finalist (2003)

J. Melvin England Mock Trial Competition, Finalist (2003)

Talmadge Moot Court Competition, Winner (2002)

Richard B. Russell Moot Court Competition, Semifinalist (2002)

Professor R. Perry Sentell, Jr., First Year Clerkship Selection (2002)

Collegiate Honors and Awards

Davidson College History Department Award for Excellence in Senior Thesis (1999)

Davidson College Athletic Department Coaches' Leadership Award (1999)

Davidson College Student-Athlete Advisory Council (1996 – 1999)

Southern Conference Academic Honor Roll (1995 – 1999)

Davidson College, Award for Excellence in Legal Writing and Legal Argument (1998)

Davidson College Track & Field

Team Captain (1998 – 1999)

Varsity Letterman (1996 – 1999)

Davidson College Football

Varsity Letterman (1995 – 1997)

9. **Bar Associations:** List all bar associations or legal or judicial-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices which you have held in such groups.

Federal Bar Association (2014 – 2015)

Federal Magistrate Judges Association (2015 – present)

The Federalist Society, University of Georgia Chapter (2003 – 2004)

Glynn County Bar Association (2009 – present)

Officer (January 2010 – January 2015)
Treasurer (January 2014 – January 2015)

Joseph Henry Lumpkin American Inn of Court
Pupil (2003 – 2004)
Barrister (2007 – 2009)

National Association of Criminal Defense Lawyers (2014 – 2015)

National Association of Railroad Trial Counsel (2009 – 2015)

Southern District of Georgia Advisory Committee (2010 – present)

Southern District of Georgia Local Rules Committee (2015 – present)

State Bar of Georgia (1999 – present)

Western Judicial Circuit Bar Association (2006 – 2009)

10. **Bar and Court Admission:**

- a. List the date(s) you were admitted to the bar of any state and any lapses in membership. Please explain the reason for any lapse in membership.

Georgia, 2004. There has been no lapse in membership.

- b. List all courts in which you have been admitted to practice, including dates of admission and any lapses in membership. Please explain the reason for any lapse in membership. Give the same information for administrative bodies that require special admission to practice.

United States Court of Appeals for the Eleventh Circuit, March 29, 2013
United States District Court for the Middle District of Georgia, October 31, 2006
United States District Court for the Northern District of Georgia, March 21, 2008
United States District Court for the Southern District of Georgia, March 28, 2008
Supreme Court of Georgia, May 5, 2014
Georgia Court of Appeals, September 20, 2013

There have been no lapses in membership.

11. **Memberships:**

- a. List all professional, business, fraternal, scholarly, civic, charitable, or other organizations, other than those listed in response to Questions 9 or 10 to which you belong, or to which you have belonged, since graduation from law school. Provide dates of membership or participation, and indicate any office you held.

Include clubs, working groups, advisory or editorial boards, panels, committees, conferences, or publications.

Brunswick Golden Isles Chamber of Commerce (November 2009 – January 2015)

Leadership Glynn Graduate (2011)

Committee Member (August 2012 – January 2015)

The Chapel Ministries United Methodist Church Committee Member (2014 – present)

Davidson College Alumni Association (1999 – present)

The Gathering Place Ministries, Student Mentor and Student Study Leader (August 2016 – present)

Glynn County Recreation Department, Youth Baseball and Softball Coach (2013 – 2017)

Manna House Mission Volunteer (2014 – present)

St. Simons Elementary School Council Member (August 2012 – May 2014)

Chairperson (May 2013 – May 2014)

Vice Chairperson (August 2012 – May 2013)

University of Georgia Alumni Association (2004 – present)

University of Georgia Gridiron Society (2003 – 2016)

- b. The American Bar Association's Commentary to its Code of Judicial Conduct states that it is inappropriate for a judge to hold membership in any organization that invidiously discriminates on the basis of race, sex, or religion, or national origin. Indicate whether any of these organizations listed in response to 11a above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies. If so, describe any action you have taken to change these policies and practices.

The Gridiron Society is an honor organization at the University of Georgia whose membership is limited to men. There is a corresponding organization for women. To the best of my knowledge, none of the other organizations listed above currently discriminates or formerly discriminated on the basis of race, sex, religion, or national origin, either through formal membership requirements or the practical implementation of membership policies.

12. Published Writings and Public Statements:

- a. List the titles, publishers, and dates of books, articles, reports, letters to the editor, editorial pieces, or other published material you have written or edited, including material published only on the Internet. Supply four (4) copies of all published material to the Committee.

None.

- b. Supply four (4) copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of on behalf of any bar association, committee, conference, or organization of which you were or are a member. If you do not have a copy of a report, memorandum or policy statement, give the name and address of the organization that issued it, the date of the document, and a summary of its subject matter.

As a member of the Southern District of Georgia's Local Rules Committee, I have contributed to drafting Amendments to the Court's Local Rules. Copies supplied.

As a member of the Southern District of Georgia's Local Rules Committee, I drafted the December 1, 2016 revisions to the Court's *Administrative Procedures for Filing, Signing, and Verifying Pleadings and Papers by Electronic Means*. Copies supplied.

- c. Supply four (4) copies of any testimony, official statements or other communications relating, in whole or in part, to matters of public policy or legal interpretation, that you have issued or provided or that others presented on your behalf to public bodies or public officials.

As a member of the St. Simons Elementary School Council, I participated in Council meetings approximately four times per year from August of 2012 to May of 2014. I have supplied minutes for meetings on August 21, 2012, March 26, 2013, May 13, 2013, and August 20, 2013, which are the only meeting minutes available.

- d. Supply four (4) copies, transcripts or recordings of all speeches or talks delivered by you, including commencement speeches, remarks, lectures, panel discussions, conferences, political speeches, and question-and-answer sessions. Include the date and place where they were delivered, and readily available press reports about the speech or talk. If you do not have a copy of the speech or a transcript or recording of your remarks, give the name and address of the group before whom the speech was given, the date of the speech, and a summary of its subject matter. If you did not speak from a prepared text, furnish a copy of any outline or notes from which you spoke.

December 16, 2016: Commencement Speaker, Georgia Public Safety Training

Center Commencement, Savannah, Georgia. Notes supplied.

February 16, 2016: Speaker, United States District Court, Southern District of Georgia, Court Advisory Committee Meeting, Amelia Island, Florida. I gave the Magistrate Judges' report at the court's annual Advisory Committee Meeting and discussed the best practices and procedures for electronic filings. I have no notes, transcripts, or recordings. The address for the United States District Court, Southern District of Georgia, is Post Office Box 8286, Savannah, Georgia 31412.

April 28, 2016: Panelist, Georgia Trial Lawyers Association Annual Convention, Atlanta, Georgia. I, along with other judges, participated in a panel discussion of the best practices for litigating evidentiary disputes. I have no notes, transcripts, or recordings. The address for the Georgia Trial Lawyers Association is 101 Marietta Street NW # 3350, Atlanta, Georgia 30303.

July 22, 2015: Speaker, Glynn County Bar Association Meeting, Brunswick, Georgia. I briefly spoke on recent amendments to the Federal Rules of Civil Procedure and changes in our Court. I have no notes, transcripts, or recordings. The address for the Glynn County Bar Association is Post Office Box 99, Brunswick, Georgia 31521.

April 17, 2015: St. Simons Elementary Career Day. I spoke with fifth grade students regarding careers in the legal profession and answered their questions regarding the work of lawyers and judges. I have no notes, transcripts, or recordings. The address for St. Simons Elementary School is 805 Ocean Boulevard, St. Simons Island, Georgia 31522.

April 15, 2015: Presiding Judge, St. Simons Elementary Fourth Grade Mock Trial, St. Simons Island, Georgia: I presided over a mock trial with student participants and had a brief question and answer session with the students regarding the role of courts. I have no notes, transcripts, or recordings. The address for St. Simons Elementary School is 805 Ocean Boulevard, St. Simons Island, Georgia 31522.

February 3, 2015: Speaker, Investiture of the Honorable R. Stan Baker, United States Magistrate Judge, Brunswick, Georgia. Recording and press reports supplied.

October 6, 2014: Speaker, S.L. Mason Elementary School, Valdosta, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for the Valdosta City School District is 1204 Williams Street, Valdosta, Georgia 31601.

October 6, 2014: Valdosta State University: Valdosta, Georgia. I presented training to students in the University's education department on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address

for the Valdosta State University is 1500 North Patterson Street, Valdosta, Georgia 31698.

August 13, 2014: Speaker, Patterson Elementary School, Pierce County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Pierce County School System is 834 East Main Street, Blackshear, Georgia 31516.

August 4, 2014: Speaker, Brunswick High School, Sterling Elementary School, and Golden Isles Elementary School, Glynn County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Glynn County School System is 1313 Egmont Street, Brunswick, Georgia 31520.

August 1, 2014: Speaker, Wayne County High School, Martha Puckett Middle School, Odum Elementary School, James E. Bacon Elementary School, I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Wayne County School System is 555 South Sunset Boulevard, Jesup, Georgia 31545.

July 31, 2014: Speaker, Sallas Mahone Elementary School, W.G. Nunn Elementary School; Valdosta, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for the Valdosta City School System is 1204 Williams Street, Valdosta, Georgia 31601.

July 29, 2014: Speaker, Camden County New Teacher Orientation: Camden County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for the Camden County School System is 311 South East Street, Kingsland, Georgia 31548.

January 6, 2014: Speaker, Long County Middle School. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for the Long County School System is 468 South McDonald Street, Ludowici, Georgia 31316.

August 28, 2013: Speaker, Coffee County High School, Coffee County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for the Coffee County School System is 1311 South Peterson Avenue, Douglas, Georgia 31533.

August 7, 2013: Speaker, Camden County High School, Camden County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or

recordings. The address for the Camden County School System is 311 South East Street, Kingsland, Georgia 31548.

August 5, 2013: Speaker, Martha Smith Elementary School, Thomas James Academy. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Wayne County School System is 555 South Sunset Boulevard, Jesup, Georgia 31545.

July 31, 2013: Speaker, Ware County High School, Ware County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Ware County School System is 1301 Bailey Street, Waycross, Georgia 31501.

July 31, 2013: Speaker, Richmond Hill Middle School, Liberty County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Liberty County School System is 200 Bradwell Street, Hinesville, Georgia 31313.

July 30, 2013: Speaker, Brantley County Schools New Teacher Orientation, Brantley County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Brantley County School System is 272 School Circle, Nahunta, Georgia 31553.

July 30, 2013: Speaker, Liberty County Schools New Teacher Orientation, Liberty County, Georgia. I presented training to the county's new teachers on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Liberty County School System is 200 Bradwell Street, Hinesville, Georgia 31313.

July 19, 2013: Speaker, Okefenokee Regional Educational Services Agency, Waycross, Georgia. I presented training to new teachers on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Okefenokee RESA is 1450 North Augusta Avenue, Waycross, Georgia 31503.

May 10, 2013, Presenter, Glynn County Bar Association 2013 Law Day Celebration. I introduced a Law Day performance by students of Glynn Academy High School. I have no notes, transcripts, or recordings. The address for the Glynn County Bar Association is Post Office Box 99, Brunswick, Georgia 31521.

April 5, 2013: Panel Participant, State Bar of Georgia, Young Lawyers Division, Spring Meeting, St. Simons Island, Georgia. I participated in a panel discussion with other lawyers advising young lawyers of the best business practices for growing a diverse law practice. I have no notes, transcripts, or recordings. The address for the State Bar of Georgia is 104 Marietta Street NW, Suite 100, Atlanta, Georgia 30303.

February 4, 2013: Speaker, Georgia School Counselors Association meeting, Brunswick, Georgia. I presented training to school counselors on legal requirements of counselors including Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for the Georgia School Counselors Association is 1827 Powers Ferry Road SE #100, Atlanta, Georgia 30339.

January 22, 2013: Speaker, Jenkins High School, Chatham County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for the Savannah-Chatham County School System is 208 Bull Street, Savannah, Georgia 31401.

September 11, 2012: Speaker, Wayneville Primary School, Brantley County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Brantley County School System is 272 School Circle, Nahunta, Georgia 31553.

September 10, 2012: Speaker, Nahunta Elementary School, Brantley County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Brantley County School System is 272 School Circle, Nahunta, Georgia 31553.

September 5, 2012: Speaker, Liberty Elementary School, Liberty County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Liberty County School System is 200 Bradwell Street, Hinesville, Georgia 31313.

August 29, 2012: Speaker, Bradwell Institute High School, Liberty County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Liberty County School System is 200 Bradwell Street, Hinesville, Georgia 31313.

August 24, 2012: Speaker, West Chatham Middle School, Godley Station Elementary School, Spencer Elementary School, White Bluff Elementary School, Chatham County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for the Savannah-Chatham County School System is 208 Bull Street, Savannah, Georgia 31401.

August 23, 2012: Speaker, Port Wentworth Elementary School, Savannah Arts Academy, Southwest Elementary School, Chatham County, Georgia. I presented

training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for the Savannah-Chatham County School System is 208 Bull Street, Savannah, Georgia 31401.

August 21, 2012: Speaker, St. Simons Elementary School, Glynn County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Glynn County School System is 1313 Egmont Street, Brunswick, Georgia 31520.

August 20, 2012: Speaker, Risley Middle School, Glynn County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Glynn County School System is 1313 Egmont Street, Brunswick, Georgia 31520.

August 13, 2012: Speaker, C.B. Greer Elementary School, Glynn County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Glynn County School System is 1313 Egmont Street, Brunswick, Georgia 31520.

August 10, 2012: Speaker, Camden County High School, Camden County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for the Camden County School System is 311 South East Street, Kingsland, Georgia 31548.

August 8, 2012: Speaker, Brunswick High School, Glynn County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Glynn County School System is 1313 Egmont Street, Brunswick, Georgia 31520.

August 6, 2012: Speaker, Satilla Marsh Elementary School, Glynn County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Glynn County School System is 1313 Egmont Street, Brunswick, Georgia 31520.

August 3, 2012: Speaker, Lynman Hall Elementary School, Liberty High School, Frank Long Elementary School, Button Gwinnett Elementary School, Taylors Creek Elementary School, Liberty County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Liberty County School System is 200 Bradwell Street, Hinesville, Georgia 31313.

August 2, 2012: Speaker, Liberty County Prekindergarten: Liberty County, Georgia. I presented training to the teachers, staff, and administration on

Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Liberty County School System is 200 Bradwell Street, Hinesville, Georgia 31313.

August 1, 2012: Speaker, Lewis Frasier Middle School, Joseph Martin Elementary School, Waldo Pafford Elementary School, Jordye Bacon Elementary School, Liberty County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Liberty County School System is 200 Bradwell Street, Hinesville, Georgia 31313.

July 31, 2012: Speaker, Ware County High School, Ware County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Ware County School System is 1301 Bailey Street, Waycross, Georgia 31501.

November 14, 2011: Speaker, Glyndale Elementary School, Glynn County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Glynn County School System is 1313 Egmont Street, Brunswick, Georgia 31520.

October 17, 2011: Speaker, Nahunta Elementary School, Brantley County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Brantley County School System is 272 School Circle, Nahunta, Georgia 31553.

October 10, 2011: Speaker, Goodyear Elementary School, Glynn County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Glynn County School System is 1313 Egmont Street, Brunswick, Georgia 31520.

September 19, 2011: Speaker, Brantley County Middle School, Brantley County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Brantley County School System is 272 School Circle, Nahunta, Georgia 31553.

August 30, 2011: Speaker, Walker Middle School, Long County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for the Long County School System is 468 South McDonald Street, Ludowici, Georgia 31316.

August 22, 2011: Speaker, Odum Elementary School, Wayne County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of

Ethics for Educators. I have no notes, transcripts, or recordings. The address for Wayne County School System is 555 South Sunset Boulevard, Jesup, Georgia 31545.

August 9, 2011: Speaker, Brooklet Elementary School, Southeast Bulloch Middle School, Bulloch County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for the Bulloch County School System is 150 Williams Road, Suite A, Statesboro, Georgia 30458.

August 5, 2011: Speaker, Martha Rawls Smith Elementary School, Arthur Williams Middle School, Wayne County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Wayne County School System is 555 S. Sunset Boulevard, Jesup, Georgia 31545.

August 4, 2011: Speaker, Jesup Elementary School, Wayne County High School; Middle School, Wayne County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for Wayne County School System is 555 S. Sunset Boulevard, Jesup, Georgia 31545.

October 1, 2010: Speaker, Port Wentworth Elementary, Marshpoint Elementary School; Windsor Forest Elementary School, Chatham County, Georgia. I presented training to the teachers, staff, and administration on Georgia's Code of Ethics for Educators. I have no notes, transcripts, or recordings. The address for the Savannah-Chatham County School System is 208 Bull Street, Savannah, Georgia 31401.

- e. List all interviews you have given to newspapers, magazines or other publications, or radio or television stations, providing the dates of these interviews and four (4) copies of the clips or transcripts of these interviews where they are available to you.

Michael Hall, *McIntosh County Teacher Indicted on Sex Abuse Charges*, The Brunswick News, Dec. 5, 2014. Copy supplied.

Terry Dickson, *D.A. Decides Not to Prosecute McIntosh County School Superintendent*, Georgia Times Union, Dec. 4, 2014. Copy supplied.

Lawyer: Accused Teacher is Innocent, The Brunswick News, Apr. 19, 2014. Copy supplied.

Michael Hall, *Teacher Had Contact in Classroom*, The Brunswick News, March 20, 2014. Copy supplied.

Denise Etheridge, *Split Vote Stymies Recommended Firings*, Coastal Courier,

March 13, 2014. Copy supplied.

Chris Bridges, *BCES Administrative Principal Placed on Leave*, Banks County News, May 21, 2008. Copy supplied.

Molly Barrett, *Student Profile: Stan Baker, Loving Life*, Georgia Law Magazine, Vol. 36, No. 1, April 1, 2002. Copy supplied.

13. **Judicial Office:** State (chronologically) any judicial offices you have held, including positions as an administrative law judge, whether such position was elected or appointed, and a description of the jurisdiction of each such court.

On February 3, 2015, I was sworn in as a United States Magistrate Judge for the United States District Court for the Southern District of Georgia to serve an eight-year term. The position is appointed. The jurisdiction of magistrate judges is set forth at 28 U.S.C. § 636.

- a. Approximately how many cases have you presided over that have gone to verdict or judgment?

As a United States Magistrate Judge, I can only preside over entire civil matters by consent of the parties and over criminal trials involving certain misdemeanor and petty offenses. I have presided over approximately 170 such cases that have gone to verdict or judgment. One of these cases has gone to trial before me.

- i. Of these, approximately what percent were:

jury trials:	100%
bench trials:	0%

The above figures are for the 1 case that has gone to trial.

civil proceedings:	5%
criminal proceedings:	95%

The above figures are for the 170 cases where I presided over the entire matter.

As a United States Magistrate Judge, I am also referred the pretrial phase of civil and felony criminal cases. In this role, I have presided over the pretrial phase of approximately 1,300 federal civil cases and approximately 250 federal criminal cases. I also rule on trial-related issues even where I do not preside over the entire trial, including motions in *limine*, motions to suppress, evidentiary objections, and jury charge requests. I have issued over 600 Reports and Recommendations on dispositive issues in federal civil cases.

- b. Provide citations for all opinions you have written, including concurrences and

dissents.

I have supplied a list of citations for all opinions I have written at Appendix 13.b.

- c. For each of the 10 most significant cases over which you presided, provide: (1) a capsule summary of the nature the case; (2) the outcome of the case; (3) the name and contact information for counsel who had a significant role in the trial of the case; and (3) the citation of the case (if reported) or the docket number and a copy of the opinion or judgment (if not reported).

1. *Candy Craft Creations, LLC v. Gartner Studios, Inc.*, 2:12-cv-91 (S.D. Ga. May 4, 2012).

A regional culinary products manufacturer sued a national supply corporation alleging that the defendant misappropriated the plaintiff's trade secrets in violation of the Lanham Act and the parties' agreement. The plaintiff sought significant damages, and the case resulted in protracted litigation. As the referral judge on the case, I presided over pretrial matters, including court-assisted settlement conferences, and hearings on the parties' trial related motions and evidentiary objections. I issued orders on several motions in *limine* and exhibit objections, and report and recommendations on the parties' requests for jury charges, and the scope of available damages. Shortly thereafter, the parties settled the case through a court-assisted settlement conference. I have supplied the substantive rulings that I issued in this case.

Plaintiff's Counsel

Timothy D. Roberts
Oliver Maner, LLP
Post Office Box 10186
Savannah, Georgia 31412-0386
(912) 236-3311

Defendants' Counsel

Robert J. Kaufman
Kaufman, Miller & Forman, PC
8215 Roswell Road
Bldg. 800
Atlanta, Georgia 30350
(404) 390-9200

2. *Harrington v. Wells*, 2:13-cv-103, 2:15-cv-41 (S.D. Ga. Aug. 2, 2013).

A federal prisoner sued prison officials alleging that the officials unlawfully terminated his employment in the prison's work program in retaliation for his filing of grievances. Prior to consolidation of the cases, I issued a report and recommendation in the first filed case recommending that the defendant's motion

for summary judgment be denied, and the district judge adopted that recommendation. The parties then consented to trial before me, and the cases were consolidated for trial. I appointed plaintiff's counsel and presided over a court-assisted settlement conference. At that conference, the parties reached a settlement of all claims. I have supplied the substantive rulings that I issued in this case.

Plaintiff's Counsel

Lacey L. Houghton
Roberts Tate, LLC
2487 Demere Road, Suite 400
Post Office Box 21828
St. Simons Island, Georgia 31522
(912) 638-5200

Defendants' Counsel

John Thomas Clarkson
U.S. Attorney's Office – Savannah
Post Office Box 8970
Savannah, Georgia 31412
(912) 652-4422

3. *Hiatt v. Rebel Auction Co.*, 2:13-cv-20 (S.D. Ga. Feb. 5, 2013).

An individual filed suit against an auction company and its owner claiming that he suffered personal injuries after being hit by a large excavator while walking outside the defendants' facility. As the referral judge on the case, I presided over pretrial matters, including a court-assisted settlement conference and hearings on the parties' trial related motions and evidentiary objections. I issued rulings on several motions in *limine*, exhibit objections, requests for jury instructions, and motions regarding apportionment of damages. The parties tried the case to a jury in Brunswick, Georgia, and the jury returned a verdict in favor of the defendants. I have supplied the substantive rulings that I issued in this case.

Plaintiff's Counsel

John B. Austin
Austin & Sparks, PC
2974 Lookout Place, NE, Suite 200
Atlanta, Georgia 30305-3272
(404) 869-0100

Defendant's Counsel

Kevin A. Wangerin
Bullard & Wangerin
Post Office Box 18107
Macon, Georgia 31209-8107

(478) 757-8500

4. *Logistec USA, Inc. v. Daewoo Int'l Corp.*, 2:13-cv-27 (S.D. Ga. Feb. 9, 2013).

A multi-national stevedoring company sued an international trading company for breach of contract. The claims and counterclaims arose out of the parties' multi-year agreement for the stevedoring corporation to assist the trading company in the shipping of wood products through the Port of Brunswick. As the referral judge on the case, I presided over and ruled on numerous matters, including a court assisted settlement conference, lengthy pretrial motions hearing, and rulings on the parties' motions in *limine*. The parties tried the case to a jury in Brunswick, Georgia, and the jury returned a verdict in favor of the stevedoring company for \$1.45 million dollars plus attorneys' fees. I have supplied the substantive rulings that I issued in this case.

Plaintiff's Counsel

J. Rice Ferrelle, Jr.
Ferrell Burns, PA
777 Gloucester Street, Suite 411
Brunswick, Georgia 31520
(912) 264-0209

Defendant's Counsel

C. Foster Lindberg
Ligon, Middleton & Lindberg
158 Scranton Connector
Brunswick, Georgia 31525
(912) 261-2263

5. *Scott v. Dunnam*, 5:14-cv-5 (S.D. Ga. Sep. 23, 2013).

A *pro se* inmate at a Georgia correctional facility sued a correctional officer alleging that the officer violated his constitutional rights. Specifically, the inmate alleged that the officer engaged in sexual misconduct toward the inmate and then retaliated against the inmate for speaking out by having other inmates assault him. I presided over all aspects of this case, including the trial of the case before a jury in Waycross, Georgia. The jury returned a verdict in favor of the correctional officer. I denied the plaintiff's post-trial motion for a new trial. I have supplied the substantive rulings that I issued in this case.

Defendant's Counsel

Stephen E. Curry
Curry Law Firm
3508 C Professional Circle
Martinez, Georgia 30907-2220

(706) 724-0022

6. *Sheffield v. State Farm Fire and Cas. Co.*, 5:14-cv-38 (S.D. Ga. April 30, 2014).

An insured homeowner sued his insurance company to recover insurance proceeds following the arson of his home. The insurance company denied payment on the theory that the plaintiff intentionally set the fire to hide his murder of his wife whose body was found inside the home. As the referral judge, I presided over several pretrial matters, including hearings on the parties' trial related motions and objections. I ruled on the parties' motions in *limine*, trial-exhibit objections, and objections to deposition designations. The parties tried the case to a jury in Waycross, Georgia, and the jury returned a verdict in the amount of \$260,000 for the plaintiff insured homeowner. I have supplied the substantive rulings that I issued in this case.

Plaintiff's Counsel

J. Shane Hudson
James Lory King, II
Hudson King, LLC
Post Office Box 2520
Tifton, Georgia 31793
(229) 396-5848

Defendant's Counsel

Bright Kinnett Wright
Mark T. Dietrichs
Swift, Currie, McGhee & Hiers, LLP
The Peachtree
1355 Peachtree Street, NE, Suite 300
Atlanta, Georgia 30309
(404) 888-6223

Richard E. Currie
[Retired]
1035 Riverdale Drive
Waycross, Georgia 31503
(912) 337-8828

7. *Smallwood v. T&A Farma*, 5:14-cv-87 (S.D. Ga. Oct. 28, 2014).

A former employee of a chicken farm sued the farm alleging that his supervisors discriminated against him based on his race in violation of Title VII of the Civil Rights Act of 1964. As the referral judge, I presided over several pretrial matters in this case, including discovery disputes, a court-assisted settlement conference, and trial related motions. My rulings included an order on the defendant's

objections to trial exhibits and an order on the plaintiff's motion in *limine*. The parties tried the case to a jury in Waycross, Georgia, and the jury returned a verdict in favor of the defendants. I have supplied the substantive rulings that I issued in this case.

Plaintiff's Counsel

Sadie Banks
The Banks Law Group, PC
4062A Peachtree Road, Suite D240
Atlanta, Georgia 30319
(404) 492-7915

Defendants' Counsel

Huey W. Spearman
Spearman Law Firm
Post Office Box 2132
Wayscross, Georgia 31502-2132
(912) 284-9030

8. *United States v. Clark*, 2:15-cr-8 (S.D. Ga. April 8, 2015).

The United States charged 18 Brunswick, Georgia residents with conspiring to traffic crack cocaine and other drugs. Some defendants were also charged with related firearms charges. The prosecution of this felony case centered on the alleged activities of purported members of a national gang and involved electronic surveillance, including Title III wiretaps. I ruled on pretrial matters in this case including investigative orders and warrants, detention hearings, discovery and suppression motions, and issues surrounding the appointment of counsel. Twelve defendants entered guilty pleas in this case and received sentences ranging from 24 months to 300 months imprisonment. The United States dismissed charges against the remaining six defendants, some of whom entered guilty pleas in other cases. I have supplied the substantive unsealed rulings that I issued in this case.

Counsel for the United States

E. Gregory Gilluly, Jr.
U.S. Attorney's Office – Savannah
Post Office Box 8970
Savannah, Georgia 31412
(912) 652-4422

Defendant Clark's Counsel

Richard Otway Allen
Richard O. Allen, PC
140 Lakes Boulevard, Suite F
Kingsland, Georgia 31548
(912) 882-7648

Defendant Young's Counsel

Keith Higgins
Keith Higgins, P.C.
601 I Street
Brunswick, Georgia 31520
(912) 265-8989

Defendant Elzy's Counsel

B. Reid Zeh, III
1628 Union Street
Brunswick, Georgia 31520
(912) 264-8778

Defendant Boone's Counsel

Gregory Chadwick Perry
Gregory C. Perry, Attorney at Law
1614 Union Street
Brunswick, Georgia 31520
(912) 270-2530

Defendant Humphreys' Counsel

Jason Randall Clark
Jason Clark, PC
2225 Gloucester Street
Brunswick, Georgia 31520
(912) 264-1999

Defendant Denmark's Counsel

Garret W. Meader
Drew, Eckl & Farnham, LLP - BWK
777 Gloucester Street, Suite 305
Brunswick, Georgia 31520
(912) 280-9662

Defendant J. Williams' Counsel

Courtney Reynolds Lerch
The Lerch Law Firm
502 E. Broughton Street
Savannah, Georgia 31401
(912) 443-5007

Defendant C. William's Counsel

Steven G. Blackerby
Brown, Readdick, Bumgartner, Carter, Strickland & Watkins, LLP
Post Office Box 220

Brunswick, Georgia 31521-0220
(912) 264-8544

Defendant Elkins' Counsel

Nathan T. Williams
Post Office 279
Brunswick, Georgia 31521
(912) 208-3721

Defendant Kirksey's Counsel

Jason Aaron Nix
The Law Office of Jason A. Nix
Post Office Box 722
Darien, Georgia 31305
(912) 437-1272

Defendant Green's Counsel

Mario Anthony Pacella
Strom Law Firm, LLC
Post Office Box 1635
Brunswick, Georgia 31521
(912) 264-6465

Defendant Porter's Counsel

Ronald E. Harrison, II
The Harrison Firm
1621 Reynolds Street
Brunswick, Georgia 31520
(912) 264-3035

Defendant Tyson's Counsel

James Wrixam McIlvaine
Williams Litigation Group, P.C.
Post Office Box 279
Brunswick, Georgia 31521
(912) 208-3721

Defendant Addison's Counsel

Wallace E. Harrell, III
Post Office Box 1333
Brunswick, Georgia 31521-1331
(912) 279-1010

Defendant Thomas' Counsel

Donald Redding Forsyth
Forsyth and Tucakovic Law Group, LLC

Post Office Box 1167
Darien, Georgia 31305
(912) 437-4544

Defendant Green's Counsel

James A Yancey, Jr.
James A. Yancey, PC
704 G Street
Brunswick, Georgia 31520
(912) 265-8562

Defendant Alvin's Counsel

James B. Smith
James B. Smith, Attorney at Law
15618 U.S. Highway 17
Townsend, Georgia 31331
(912) 832-2395

9. *United States v. Daniels*, 2:16-cr-12 (S.D. Ga. April 8, 2015).

The United States charged 19 Brunswick, Georgia residents with conspiring to traffic crack cocaine and other drugs. Some defendants were also indicted on additional charges including robbery, unlawful possession of firearms, and using a firearm during a crime of violence. The United States alleged that the defendants were affiliated with a national gang. As the referral judge, I presided over pretrial matters, including arraignments, investigative orders and warrants, detention hearings, discovery, and suppression motions. I also presided over competency proceedings as to four defendants and issued report and recommendations regarding each of these defendant's competency to stand trial which the district judge adopted. Additionally, after an evidentiary hearing, I issued a report and recommendation recommending the denial of one defendant's motion to suppress evidence obtained by way of a wiretap and a search of his home. The district judge adopted this report and recommendation. Fourteen Defendants have entered plea agreements and received sentences ranging from 24 to 215 months imprisonment. Five defendants have entered plea agreements and are awaiting sentencing hearings. I have supplied the substantive unsealed orders in this case.

Counsel for the United States

E. Gregory Gilluly, Jr.
U.S. Attorney's Office – Savannah
Post Office Box 8970
Savannah, Georgia 31412
(912) 652-4422

Defendant Daniels' Counsel

Alan David Tucker

Alan D. Tucker, PC
Post Office Box 1332
Brunswick, Georgia 31521-1332
(912) 267-7123

Defendant Robinson's Counsel

Ronald E. Harrison, II
The Harrison Firm
1621 Reynolds Street
Brunswick, Georgia 31520
(912) 264-3035

Defendant Noble's Counsel

Stephanie R. Amiotte McDonald
Hall Booth Smith, PC
3528 Darien Highway, Suite 300
Brunswick, Georgia 31525
(912) 554-0093

Defendant Short's Counsel

Jason Aaron Nix
The Law Office of Jason A. Nix
Post Office Box 722
Darien, Georgia 31305
(912) 437-1272

Defendant Aldridge's Counsel

William B. Johnson
William Blake Johnson, PA
Post Office Box 15616
Fernandina Beach, Florida 32035
(904) 477-3518

Defendant Ward's Counsel

James R. Newton
155 Wood Crest Circle
Brunswick, Georgia 31525-6878
(912) 222-0760

Defendant C. Lewis' Counsel

Garret W. Meader
Drew, Eckl & Farnham, LLP – BWK
777 Gloucester Street, Suite 305
Brunswick, Georgia 31520
(912) 280-9662

Defendant M. Lewis' Counsel

Jason Randall Clark
Jason Clark, PC
2225 Gloucester Street
Brunswick, Georgia 31520
(912) 264-1999

Defendant Bradley's Counsel

Jason M. Tate
Roberts Tate, LLC
Post Office Box 21828
St. Simons Island, Georgia 31522
(912) 638-5200

Defendant Kemp's Counsel

Keith Higgins
Keith Higgins, PC
1515 1/2 Newcastle Street
Brunswick, Georgia 31520
(912) 275-8506

Defendant Jones' Counsel

Marvin Paul Hicks, III
M.P. Hicks, III, PC
5042 Legends Drive
Braselton, Georgia 30517
(770) 490-2049

Defendant Holloway's Counsel

B. Reid Zeh, III
1628 Union Street
Brunswick, Georgia 31520
(912) 264-8778

Defendant W. Middleton's Counsel

Thomas Alexander Peterson, IV
The Law Firm of Tom A. Peterson, IV, LLC
Post Office Box 2037
Vidalia, Georgia 30475
(912) 537-6388

Defendant McClendon's Counsel

Steven G. Blackerby
Brown, Readdick, Bumgartner, Carter, Strickland & Watkins, LLP
Post Office Box 220
Brunswick, Georgia 31521-0220

(912) 264-8544

Defendant Pickering's Counsel

Michael Chad Taylor
Post Office Box 20092
St. Simons Island, Georgia 31522
(912) 268-4881

Defendant Roberts' Counsel

C. Darrell Gossett
Post Office Box 1873
Brunswick, Georgia 31521
(912) 264-8296

Defendant Wilson's Counsel

Steven L. Beauvais
Zipperer, Lorberbaum & Beauvais
Post Office Box 9147
Savannah, Georgia 31412
(912) 232-3770

Defendant T. Middleton's Counsel

Donald Redding Forsyth
Forsyth and Tucakovic Law Group, LLC
Post Office Box 1167
Darien, Georgia 31305
(912) 437-4544

Defendant Smith's Counsel

James Wrixam McIlvaine
Williams Litigation Group, P.C.
Post Office Box 279
Brunswick, Georgia 31521
(912) 208-3721

10. *United States v. Ruble*, 2:15-cr-23 (S.D. Ga. Sep. 2, 2015).

The United States charged the defendant, a physician, with 440 counts of unlawful dispensation of controlled substances as well as counts of conspiracy and money laundering. The charges arose from the defendant's operation of a medical clinic. As the referral judge, I presided over pretrial matters, including arraignment, bond, and discovery and suppression motions. After holding a pretrial motions hearing, I issued a report and recommendation on defendant's motion to dismiss the indictment, motion to strike surplusage from the indictment, and motion to suppress evidence obtained during a search of his home. I recommended that each of these motions be denied, and the district judge adopted

these rulings over Defendant's objections. Defendant subsequently pleaded guilty to an information in Case No. 2:16-cr-22, and he was sentenced to 60 months imprisonment. I have supplied the substantive rulings that I issued in this case.

Counsel for the United States

Karl Irving Knoche
U.S. Attorney's Office – Savannah
Post Office Box 8970
Savannah, Georgia 31412
(912) 652-4422

Defendant's Counsel

John J. Ossick, Jr.
John J. Ossick, Jr., PC
Post Office Box 1087
Kingsland, Georgia 31548-9190
(912) 729-5864

- d. For each of the 10 most significant opinions you have written, provide: (1) citations for those decisions that were published; (2) a copy of those decisions that were not published; and (3) the names and contact information for the attorneys who played a significant role in the case.

1. *Candy Craft Creations, LLC v. Gartner*, No. 2:12-CV-91, 2015 WL 6391202, (S.D. Ga. Oct. 22, 2015), *objections overruled* by 2015 WL 7738069 (S.D. Ga. Dec. 1, 2015).

Plaintiff's Counsel

Timothy D. Roberts
Oliver Maner, LLP
Post Office Box 10186
Savannah, Georgia 31412-0386
(912) 236-3311

Defendants' Counsel

Robert J. Kaufman
Kaufman, Miller & Forman, PC
8215 Roswell Road, Building 800
Atlanta, Georgia 30350
(404) 390-9200

2. *Harrington v. Wells*, No. 2:13-CV-103, 2015 WL 6966389 (S.D. Ga. Nov. 10, 2015), *report and recommendation adopted* by 2016 WL 111439 (S.D. Ga. Jan. 11, 2016).

Plaintiff's Counsel

Lacey L. Houghton
Roberts Tate, LLC
2487 Demere Road, Suite 400
Post Office Box 21828
St. Simons Island, Georgia 31522
(912) 638-5200

Defendants' Counsel

John Thomas Clarkson
U.S. Attorney's Office – Savannah
Post Office Box 8970
Savannah, Georgia 31412
(912) 652-4422

3. *Hiatt v. Rebel Auction Co.*, No. 2:13-CV-20, 2015 WL 4874910 (S.D. Ga. Aug. 13, 2015).

Plaintiff's Counsel

John B. Austin
Austin & Sparks, PC
2974 Lookout Place, NE, Suite 200
Atlanta, Georgia 30305-3272
(404) 869-0100

Defendant's Counsel

Kevin A. Wangerin
Bullard & Wangerin
Post Office Box 18107
Macon, Georgia 31209-8107
(478) 757-8500

4. *Logistec USA, Inc. v. Daewoo Int'l Corp.*, No. 2:13-CV-27, 2015 WL 3767564 (S.D. Ga. June 17, 2015).

Plaintiff's Counsel

J. Rice Ferrelle, Jr.
Ferrell Burns, PA
777 Gloucester Street, Suite 411
Brunswick, Georgia 31520
(912) 264-0209

Defendant's Counsel

C. Foster Lindberg
Ligon, Middleton & Lindberg
158 Scranton Connector
Brunswick, Georgia 31525

(912) 261-2263

5. *Smallwood v. Davis*, No. 5:14-CV-87, 2017 U.S. Dist. LEXIS 61287 (S.D. Ga. April 21, 2017).

Plaintiff's Counsel

Sadie Banks
The Banks Law Group, PC
4062A Peachtree Road, Suite D240
Atlanta, Georgia 30319
(404) 492-7915

Defendants' Counsel

Huey W. Spearman
Spearman Law Firm
Post Office Box 2132
Waycross, Georgia 31502-2132
(912) 284-9030

6. *Thomas v. Hutcheson*, No. 6:14-CV-16, 2015 WL 4378278 (S.D. Ga. July 15, 2015), *report and recommendation adopted by* 2015 WL 5072037 (S.D. Ga. Aug. 26, 2015).

Defendant's Counsel

Susan Elizabeth Teaster
Georgia Department of Law
40 Capitol Square, SW
Atlanta, Georgia 30334-1300
(404) 463-8850

7. *United States v. Hope*, No. 2:13-CR-16, 2016 WL 6699147 (S.D. Ga. Nov. 14, 2016), *report and recommendation adopted by* 2017 WL 105720 (S.D. Ga. Jan. 10, 2017).

Counsel for the United States

Brian T. Rafferty
U.S. Attorney's Office – Savannah
Post Office Box 8970
Savannah, Georgia 31412
(912) 201-2575

8. *United States v. Newham*, No. 2:14-CR-12, 2017 WL 1823152 (S.D. Ga. May 5, 2017), *report and recommendation adopted by* 2017 WL 2589584 (S.D. Ga. June 14, 2017).

Counsel for the United States

Carlton R. Bourne, Jr.
U.S. Attorney's Office – Savannah
Post Office Box 8970
Savannah, Georgia 31412
(912) 652-4422

9. *United States v. Miller*, No. 2:15-CR-14, 2015 WL 13238641 (S.D. Ga. Oct. 30, 2015), *report and recommendation adopted by* 2015 WL 8578649 (S.D. Ga. Dec. 9, 2015).

Counsel for the United States
Frederick William Kramer, III (retired)
U.S. Attorney's Office – Savannah
Post Office Box 8970
Savannah, Georgia 31412
(912) 652-4422

Defendant's Counsel
Joseph L. Phelps, III
Smith and Phelps
Post Office Box 285
Jesup, Georgia 31598
(912) 427-4779

10. *United States v. Ruble*, No. 2:15-CR-23, 2016 WL 2342709 (S.D. Ga. Apr. 12, 2016), *report and recommendation adopted by* 2016 WL 2344879 (S.D. Ga. May 3, 2016).

Counsel for the United States
Karl Irving Knoche
U.S. Attorney's Office – Savannah
Post Office Box 8970
Savannah, Georgia 31412
(912) 652-4422

Defendant's Counsel
John J. Ossick, Jr.
John J. Ossick, Jr., PC
Post Office Box 1087
Kingsland, Georgia 31548-9190
(912) 729-5864

e. Provide a list of all cases in which certiorari was requested or granted.

Orange v. United States, No. 5:11-CR-7, 2015 WL 10766862 (S.D. Ga. Oct. 23, 2015), *report and recommendation adopted*, No. 5:11-CR-7, 2016 WL 2354915

(S.D. Ga. May 3, 2016), *certificate of appealability denied*, No. 16-12842 (11th Cir. Jan. 6, 2017), *cert denied*, – U.S. –, 137 S. Ct. 2318 (June 26, 2017).

Finch v. Moore, No. 6:15-CV-82, 2016 WL 3166571 (S.D. Ga. June 6, 2016), *report and recommendation adopted*, No. 6:15-CV-82, 2016 WL 3647634 (S.D. Ga. June 30, 2016), *certificate of appealability denied*, No. 6:15-CV-82, 2016 WL 6246353 (S.D. Ga. Oct. 24, 2016), *cert. denied*, – U.S. –, 137 S. Ct. 655 (Jan. 9, 2017).

Davis v. Corr. Corp. of Am., No. 5:12-CV-27, 2016 WL 951572 (S.D. Ga. Mar. 8, 2016), *appeal dismissed*, No. 16-11639-B (11th Cir. Sept. 20, 2016), *cert. denied*, No. 16-8298, 2017 WL 1540543 (U.S. May 1, 2017).

- f. Provide a brief summary of and citations for all of your opinions where your decisions were reversed by a reviewing court or where your judgment was affirmed with significant criticism of your substantive or procedural rulings. If any of the opinions listed were not officially reported, provide copies of the opinions.

Crawford v. Benton, No. 6:16-cv-160, 2017 WL 1156744 (S.D. Ga. March 28, 2017), *rejected by Order* 6:16-cv-160 (S.D. Ga. Aug. 29, 2017) ECF No. 25.

I recommended that the Court deny the warden’s motion to dismiss a state prisoner’s petition for habeas corpus brought pursuant to 28 U.S.C. § 2254. The warden had moved to dismiss the response as untimely. In my Report and Recommendation, I concluded that the statute of limitations was tolled while the prisoner pursued post-conviction relief in state court. In assessing how many days the statute was tolled, I relied upon the “prison mailbox rule” for assessing the date of the prisoner’s state habeas filing. However, the district judge found that, under Georgia law, the prison mailbox rule did not apply to the state habeas filing. Without application of the prison mailbox rule to the state filing, the statute of limitations was tolled for less days, and, thus, the petitioner’s Section 2254 was untimely. Therefore, the district judge sustained the warden’s objections to my Report and Recommendation and granted the warden’s motion to dismiss.

Santais v. Corr. Corp. of Am., No. 5:16-cv-80, 2017 WL 402979 (S.D. Ga. Jan. 30, 2017), *rejected in part by* 2017 WL 1100817 (S.D. Ga. Mar. 23, 2017).

I recommended the plaintiff’s claims for compensatory and punitive damages under 42 U.S.C. § 1983 be dismissed because plaintiff failed to allege more than a *de minimis* injury. The plaintiff made new factual allegations in his Objections to the Report and Recommendation. The district court held that plaintiff amended his Complaint by way of his Objections, and he alleged more significant injuries than he had in his original Complaint. Thus, the court concluded plaintiff alleged more than *de minimis* injuries.

Mangram v. Darden, No. 2:16-cv-49, 2016 WL 6393809 (S.D. Ga. Oct. 26, 2016), *rejected by* 2016 WL 7366883 (S.D. Ga. Dec. 19, 2016).

I recommended the district court dismiss the plaintiff's cause of action without prejudice based on his failure to follow the court's Orders and failure to prosecute. The court agreed the action should be dismissed without prejudice but based its dismissal on the plaintiff's construed motion for voluntary dismissal.

Andrews v. Persley, No. 6:16-cv-3 (Feb. 26, 2016), *adopted by* 2016 WL 1258961 (S.D. Ga. Mar. 28, 2016), *vacated and remanded by* 669 F. App'x 529 (11th Cir. 2016).

I recommended dismissal of the plaintiff's complaint for improper venue. In response to the Report and Recommendation, plaintiff filed a pleading to voluntarily dismiss his complaint. The district court construed that pleading as a motion to dismiss and dismissed the complaint on grounds of improper venue. The Eleventh Circuit held that the plaintiff's pleading should have been construed as a stipulation of voluntary dismissal under Federal Rule of Civil Procedure 41(a)(1) and that the dismissal should have been on that basis rather than as a dismissal for improper venue.

Simpson v. Coffee Cty. Sch. Dist., No. 5:13-cv-32, 2016 WL 2770800 (S.D. Ga. May 13, 2016), *rejected in part by* 2016 WL 4399799 (S.D. Ga. Aug. 16, 2016).

I recommended the court decline to exercise jurisdiction over the plaintiffs' pendent state law claims against the moving defendant because I recommended dismissal of the federal claims against this defendant. The court exercised jurisdiction over plaintiffs' state law claims and granted summary judgment in favor of the defendant on the state law claims.

Brumfield v. Toole, No. 6:16-cv-1, ECF No. 9 (S.D. Ga. Apr. 12, 2016), *rejected in part by* 2016 WL 3145562 (S.D. Ga. June 3, 2016).

I recommended plaintiff's claims against one defendant be dismissed. In his Objections to the Report and Recommendation, the plaintiff made new factual allegations against this particular defendant. The district court found that the plaintiff amended his Complaint by way of his Objections, and these new allegations were sufficient to allege personal involvement by this defendant. Report and Recommendation and Order supplied.

King v. Henry, No. 6:15-cv-17, ECF No. 14 (S.D. Nov. 12, 2015), *rejected in part by* ECF No. 22 (S.D. Ga. Feb. 3, 2016) (order).

In his Objections to the Report and Recommendation, the plaintiff made new factual allegations. The district judge held that the plaintiff had amended his

Complaint through his Objections, and thus, allowed his deliberate indifference claims against three defendants to proceed based on the new allegations. I have supplied the Report and Recommendation and Order.

Louissaint v. Flournoy, No. 2:15-cv-111, 2016 WL 1090604 (S.D. Ga. Mar. 18, 2016), *modified by* 2016 WL 3030177 (S.D. Ga. May 25, 2016).

The district court adopted my Report and Recommendation with modifications to account for the Supreme Court's decision in *Welch v. United States*, – U.S. –, 136 S. Ct. 1257 (2016), which postdated the Report and Recommendation.

Marshall v. Tatum, No. 6:15-cv-85, 2016 WL 1039503 (S.D. Ga. Jan. 5, 2016), *rejected in part by* 2016 WL 1064604 (S.D. Ga. Mar. 14, 2016).

In his Objections to the Report and Recommendation, the plaintiff made new factual allegations. The district court held that the plaintiff amended his Complaint by way of his Objections, and that these new allegations were sufficient to allege the existence of a custom or policy resulting in the violation of plaintiff's constitutional rights.

Taylor v. Forsyth, No. 2:15-cv-106, ECF No. 15 (S.D. Ga. Jan. 5, 2016), *rejected in part by* 2016 WL 1060315 (S.D. Ga. Mar. 14, 2016).

The district court sustained the plaintiff's objection as to venue relating to one defendant because the plaintiff alleged this defendant was a resident of the district. The district court nevertheless dismissed the plaintiff's claims against this defendant based on the plaintiff's failure to state a constitutional claim and otherwise adopted the report and recommendation. I have supplied the Report and Recommendation and Order.

Simpson v. Allen, No. 6:15-cv-118, 2016 WL 205403 (S.D. Ga. Jan. 15, 2016), *rejected by* 2016 WL 524620 (S.D. Ga. Feb. 8, 2016).

In his Objections to the Report and Recommendation, the plaintiff asserted for the first time that he had filed a grievance. The district court held that the plaintiff had amended his Complaint through his Objections, and thus, it was no longer clear from the face of the plaintiff's Complaint that he had failed to exhaust his available administrative remedies prior to filing suit.

Stevens v. United States, 2:14-cv-178, ECF No. 11 (S.D. Ga. Aug. 14, 2015), *rejected in part by* 2015 WL 7783563 (S.D. Ga. Dec. 3, 2015).

I recommended the district court dismiss the United States as a named defendant. The court found plaintiff had set forth sufficient factual allegations under the Federal Tort Claims Act such that plaintiff's tort claims against the United States survived frivolity review. I have supplied the Report and Recommendation and

Order.

- g. Provide a description of the number and percentage of your decisions in which you issued an unpublished opinion and the manner in which those unpublished opinions are filed and/or stored.

As a United States Magistrate Judge, my decisions are not usually published as a matter of course. I have never requested that a decision be published, but the three following decisions have been published:

Smallwood v. Davis, 103 Fed. R. Evid. Serv. 280 (S.D. Ga. 2017)

Robinson v. Nationstar Mortg., LLC, 220 F. Supp. 3d 1353 (S.D. Ga. 2016)

Rangel v. Anderson, 202 F. Supp. 3d 1361 (S.D. Ga. 2016)

Additionally, some decisions are available on Internet databases such as Westlaw or LexisNexis. These databases will indicate whether the decision is published or unpublished. All reported and unreported opinions I have issued are filed and stored on CM/ECF, the federal court Case Management/Electronic Case Files system.

- h. Provide citations for significant opinions on federal or state constitutional issues, together with the citation to appellate court rulings on such opinions. If any of the opinions listed were not officially reported, provide copies of the opinions.

Horne v. Nevil, 6:15-CV-44, ECF No. 57 (S.D. Ga. Mar. 7, 2017), *adopted and as supplemented by* 2017 WL 1136680 (S.D. Ga. Mar. 27, 2017).

United States v. Mitchell, No. 2:07-CR-33, 2016 WL 4275776 (S.D. Ga. Aug. 12, 2016), *report and recommendation adopted by* 2016 WL 5338512 (S.D. Ga. Sept. 22, 2016).

Simpson v. Coffee Cty. Sch. Dist., No. 5:13-CV-32, 2016 WL 2770800 (S.D. Ga. May 13, 2016), *rejected in part on other grounds by* 2016 WL 4399799 (S.D. Ga. Aug. 16, 2016).

Perez v. Watts, No. 2:15-cv-76, 2015 WL 9592536 (S.D. Ga. Dec. 31, 2015), *adopted and supplemented by* 2016 WL 693542 (S.D. Ga. Feb. 19, 2016).

Thomas v. Hutcheson, No. 6:14-CV-16, 2015 WL 4378278 (S.D. Ga. July 15, 2015), *report and recommendation adopted by* 2015 WL 5072037 (S.D. Ga. Aug. 26, 2015).

Patel v. Brewton, No. 6:12-CV-105, ECF No. 82 (S.D. Ga. July 22, 2015), *adopted by* 2015 WL 5004785 (S.D. Ga. Aug. 21, 2015).

United States v. Miller, No. 2:15-cr-14, 2015 WL 13238641 (S.D. Ga. Oct. 30, 2015), *adopted by* 2015 WL 8578649 (S.D. Ga. Dec. 9, 2015).

- i. Provide citations to all cases in which you sat by designation on a federal court of appeals, including a brief summary of any opinions you authored, whether majority, dissenting, or concurring, and any dissenting opinions you joined.

I have never sat by designation on a federal court of appeals.

14. **Recusal:** If you are or have been a judge, identify the basis by which you have assessed the necessity or propriety of recusal (If your court employs an "automatic" recusal system by which you may be recused without your knowledge, please include a general description of that system.) Provide a list of any cases, motions or matters that have come before you in which a litigant or party has requested that you recuse yourself due to an asserted conflict of interest or in which you have recused yourself *sua sponte*. Identify each such case, and for each provide the following information:

- a. whether your recusal was requested by a motion or other suggestion by a litigant or a party to the proceeding or by any other person or interested party; or if you recused yourself *sua sponte*;
- b. a brief description of the asserted conflict of interest or other ground for recusal;
- c. the procedure you followed in determining whether or not to recuse yourself;
- d. your reason for recusing or declining to recuse yourself, including any action taken to remove the real, apparent or asserted conflict of interest or to cure any other ground for recusal.

To assess the necessity or propriety of recusal, I follow the federal statutory provisions regarding recusal, 28 U.S.C. §§ 47, 144, & 445, and the Guide to Judiciary Policy. In each case, I personally review the list of parties and counsel upon filing as well as all appearance notices to assess if recusal is warranted.

I recused myself *sua sponte* in the following cases because I previously represented a party named in the case or in a related case. I personally reviewed the list of parties and counsel upon filing as well as all appearance notices to assess if recusal was warranted.

Lee v. CSX Transp., Inc., No. 5:16-cv-00092-LGW-BKE.

Ferguson v. McIntosh County Schools, No. 2:15-cv-000153-LGW-JEG.

Carter v. United States, No. 2:15-cv-00149-LGW-JEG.

Hargrove v. CSX Transp., Inc., No. 5:15-cv-00055-LGW-JEG.

Godwin v. CSX Transp., Inc., No. 5:13-cv-00134-LGW-JEG.

LaRoche v. CSX Transp., Inc., No. 5:13-cv-00086-LGW-JEG.

Glover v. United States, No. 2:14-cv-00151-LGW-GRS.

United States v. Glover, No. 2:11-cr-00015-LGW-GRS.

United States v. Carter, No. 2:11-cr-00014-LGW-GRS.

Austin v. Owens-Corning, No. 5:93-cv-00038-AAA-JEG.

I recused myself *sua sponte* in the following cases because an attorney from the law firm in which my wife works as an associate attorney was, or is currently, involved in the case. I personally reviewed the list of parties and counsel upon filing as well as all appearance notices to assess if recusal was warranted.

Cabeca v. Berkshire Life Ins. Co. of America, No. 2:17-cv-00017-LGW-BKE.

Taylor v. Prudential Ins. Co. of America, No. 5:16-cv-00009-LGW-BKE.

Bowen v. Lowe, No. 2:15-cv-00048-LGW-JEG.

I recused myself *sua sponte* in the following cases because my former colleagues at The Jordan Firm represented a party to the case or had involvement in a matter significantly related to the case. I personally reviewed the list of parties and counsel upon filing as well as all appearance notices to assess if recusal is warranted.

Leyland-Jones v. City of Brunswick, No. 2:14-cv-00154-LGW-JEG.

Camden County v. Lexon Ins. Co., No. 2:14-cv-00020-LGW-BKE.

Agnone v. Camden County, No. 2:14-cv-00024-LGW-BKE.

A motion or request for recusal or judicial disqualification was filed by *pro se* plaintiffs in the following five cases. I declined to recuse in all of these cases because, applying the governing legal standard, I found an objective and disinterested lay observer would not entertain a significant doubt about my impartiality.

Vickers v. Vickers, No. 5:16-cv-00067-LGW-RSB.

The plaintiff filed several motions for the recusal of the presiding judge, Chief Judge Lisa Godbey Wood, myself, other judges of the Southern District of

Georgia, and various State of Georgia judicial officers and generally alleged bias shown against her in the present case and other causes of action in other courts. The Plaintiff's motions were denied because, applying the governing legal standard, an objective and disinterested lay observer would not entertain a significant doubt about my impartiality in the present case.

West v. Olens, No. 6:16-cv-00038-JRH-RSB.

The plaintiff filed a motion requesting my recusal and also the recusal of the presiding judge, J. Randall Hall, alleging bias and prejudice after my Report and Recommendation and the subsequent Order adopting my recommendation for dismissal of the plaintiff's complaint. The plaintiff's motion was denied because, applying the governing legal standard, an objective and disinterested lay observer would not entertain a significant doubt about the assigned judges' impartiality in the case.

Rosales v. Watts, No. 2:15-cv-00094-LGW-RSB.

Plaintiff filed a motion requesting my recusal early on in this case, citing bias against him and contending that I should be recused from his case because of an unfavorable decision in another case. I denied the plaintiff's motion because, applying the governing legal standard, an objective and disinterested lay observer would not entertain a significant doubt about my impartiality in the case.

Murphy v. City of Brunswick, No. 2:15-cv-00029-LGW-RSB.

The plaintiff requested my disqualification and recusal and also disqualification and recusal of the presiding judge, Chief Judge Lisa Godbey Wood, because of unfavorable rulings in her case. The plaintiff's motion was denied because, applying the governing legal standard, an objective and disinterested lay observer would not entertain a significant doubt about the assigned judges' impartiality in the case.

Wilson v. Williams, No. 6:15-cv-00011-JRH-RSB.

The plaintiff filed two motions requesting my disqualification and recusal and also disqualification and recusal of the presiding judge, J. Randall Hall, and Chief Judge Lisa Godbey Wood because of unfavorable rulings against him in the case. The plaintiff's motions were denied because, applying the governing legal standard, an objective and disinterested lay observer would not entertain a significant doubt about the assigned judges' impartiality in the case.

15. Public Office, Political Activities and Affiliations:

- a. List chronologically any public offices you have held, other than judicial offices,

including the terms of service and whether such positions were elected or appointed. If appointed, please include the name of the individual who appointed you. Also, state chronologically any unsuccessful candidacies you have had for elective office or unsuccessful nominations for appointed office.

I have never held public office nor been a candidate for such an office.

- b. List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

I have never rendered any services to any political party or election committee, and I have never held a position or played a role in a political campaign.

16. Legal Career: Answer each part separately.

- a. Describe chronologically your law practice and legal experience after graduation from law school including:

- i. whether you served as clerk to a judge, and if so, the name of the judge, the court and the dates of the period you were a clerk;

From September 2004 through August 2006, I served as a judicial law clerk to the Honorable William T. Moore, Jr., of the United States District Court for the Southern District of Georgia.

- ii. whether you practiced alone, and if so, the addresses and dates;

I have never practiced alone.

- iii. the dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been affiliated, and the nature of your affiliation with each.

August 2002 – September 2004:
Fortson, Bentley, & Griffin, P.A.
2500 Daniells Bridge Road, Suite 3A, Building 200
Athens, Georgia 30606
Part Time Law Clerk

September 2006 – November 2009:
Prior, Daniel, Wiltshire, LLC
490 North Milledge Avenue
Athens, Georgia 30601

Attorney

November 2009 – February 2015
The Jordan Firm, LLC
1804 Frederica Road, Suite C
St. Simons Island, Georgia 31522
Attorney

- iv. whether you served as a mediator or arbitrator in alternative dispute resolution proceedings and, if so, a description of the 10 most significant matters with which you were involved in that capacity.

I have never served as a mediator or arbitrator in alternative dispute resolution proceedings.

b. Describe:

- i. the general character of your law practice and indicate by date when its character has changed over the years.

Throughout my career as an attorney, I maintained a litigation-based law practice. I primarily focused on representing large corporations and businesses in civil cases. However, my clients also included small businesses, professionals, individual plaintiffs, and criminal defendants.

As an attorney at The Jordan Firm from 2009 to 2015, my practice focused on representing railroad companies throughout the country. I represented these companies in cases brought under the Federal Employers Liability Act and regularly defended clients against allegations of traumatic injury and toxic exposures. At The Jordan Firm, I also represented railroad companies and other clients in commercial disputes in federal and state courts.

While at The Jordan Firm and at Prior, Daniel, & Wiltshire, I maintained an active education law practice representing school teachers and administrators on a range of matters, including disputes of governmental liability, ethics issues, termination proceedings, and disputes of federal wage and hour and employment laws.

I also maintained an active federal criminal defense practice throughout my law practice. I served as a Criminal Justice Act panel attorney in the United States District Courts for the Southern District of Georgia and the Middle District of Georgia and defended clients charged with a broad range of federal crimes.

As an attorney at Prior, Daniel, & Wiltshire from 2006 to 2009, I primarily

represented businesses ranging from international corporations to sole proprietorships in lawsuits brought in federal and state courts. I litigated a range of lawsuits including federal employment claims, class action defense, RICO allegations, intellectual property lawsuits, partnership dissolutions, real property disputes, and business torts. I also defended state entities and state actors against constitutional claims. Additionally, as a portion of my practice at Prior, Daniel, & Wiltshire, I served as general and trial counsel to a regional community service board (a health services provider for a ten-county area).

- ii. your typical clients and the areas at each period of your legal career, if any, in which you have specialized.

During my time as an attorney at The Jordan Firm, my clients were mostly Fortune 500 railroad and transportation companies. I specialized in defending against claims of toxic exposures including radiation, diesel exhaust, and asbestos and in representing clients in multidistrict litigation matters.

Throughout my practice at both The Jordan Firm and Prior, Daniel & Wiltshire, I represented school teachers, administrators, and superintendents, and I developed a specialty in education employment law and educator ethics. At both firms, I also represented numerous clients facing criminal charges, specializing in federal criminal defense.

At Prior, Daniel, & Wiltshire, my clients were primarily business ranging from national corporations to small family-owned operations. During this portion of my career, I specialized in representing clients in commercial litigation. I also regularly represented governmental entities. Additionally, at Prior, Daniel, & Wiltshire, I served as general and trial counsel for a health service provider, and developed a specialty in health care regulations and third party medical records discovery disputes.

- c. Describe the percentage of your practice that has been in litigation and whether you appeared in court frequently, occasionally, or not at all. If the frequency of your appearances in court varied, describe such variance, providing dates.

I frequently appeared in court throughout my entire legal career.

- i. Indicate the percentage of your practice in:

- | | |
|-----------------------------|-----|
| 1. federal courts: | 50% |
| 2. state courts of record: | 30% |
| 3. other courts: | 5% |
| 4. administrative agencies: | 15% |

- ii. Indicate the percentage of your practice in:

1. civil proceedings: 80%
2. criminal proceedings: 20%

- d. State the number of cases in courts of record, including cases before administrative law judges, you tried to verdict, judgment or final decision (rather than settled), indicating whether you were sole counsel, chief counsel, or associate counsel.

During my career as an attorney, I litigated approximately 800 cases to verdict, judgment, or final decision (as opposed to settlement). In the majority of these cases, I served as chief counsel, but I also litigated cases as sole and associate counsel.

- i. What percentage of these trials were:
1. jury: 40%
 2. non-jury: 60%

- e. Describe your practice, if any, before the Supreme Court of the United States. Supply four (4) copies of any briefs, amicus or otherwise, and, if applicable, any oral argument transcripts before the Supreme Court in connection with your practice.

I have not practiced before the United States Supreme Court.

17. **Litigation:** Describe the ten (10) most significant litigated matters which you personally handled, whether or not you were the attorney of record. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the case. Also state as to each case:

- a. the date of representation;
- b. the name of the court and the name of the judge or judges before whom the case was litigated; and
- c. the individual name, addresses, and telephone numbers of co-counsel and of principal counsel for each of the other parties.

1. *Adams v. CSX Transp., Inc.*, MDL No. 875, 2:09-CV-74307 (E.D. Pa. July 1, 2009).

From November 2009 to July 2014, while an attorney at The Jordan Firm, I served as lead defense counsel for CSX Transportation, Inc., ("CSXT") in these 438 individually-filed but coordinated lawsuits. The plaintiffs brought suit under the Federal Employers Liability Act and alleged injuries due to exposure to

asbestos during their railroad employment. The plaintiffs originally filed suit in the Southern District of Georgia, and the Judicial Panel on Multidistrict Litigation transferred the cases to the United States District Court for the Eastern District of Pennsylvania. I oversaw all aspects of the defense of these lawsuits including case management hearings, plaintiff and co-worker depositions, multiple expert reports and expert depositions in each case, case-by-case expert challenges, dispositive motions practice, and settlement conferences. The Eastern District of Pennsylvania entered judgments in CSXT's favor in 433 of the 438 cases prior to remand. Upon remand to the Southern District of Georgia, the parties settled the remaining cases through court-assisted settlement conferences. District Judge Eduardo Robreno and Magistrate Judge M. Faith Angell presided over these cases in the Eastern District of Pennsylvania. Upon remand to the Southern District of Georgia, District Judge Lisa Godbey Wood and Magistrate Judge James E. Graham presided.

Co-counsel for CSXT

Randy Jordan and Karen Young
1804 Frederica Road, Suite C
Saint Simons Island, Georgia 31522,
(912) 638-0505

Chris Jordan
Hunter, Maclean, Exley & Dunn, P.C.
777 Gloucester Street, Suite 400,
Brunswick, Georgia 31520
(912) 262-5996

Grant Buckley
202 South 7th Street
Post Office Box 38
Cordele, Georgia, 31015
(229) 273-9570

Plaintiffs' Counsel

C. Darrell Gossett
Post Office Box 1873
Brunswick, Georgia 31521
(912) 264-8296

Roger B. Lane (now a Georgia Superior Court Judge)
Glynn County Courthouse
701 H Street, Suite 202
Brunswick, Georgia
(912) 554-7366

Counsel for Defendants Fibreboard Corp. & Pittsburgh Corning Fiberglass Corp.

Henry G. Garrard, III,
Blasingame, Burch, Garrard, Ashley, P.C.
440 College Avenue North, Suite 320
Athens, Georgia 30603
(706) 354-4000

Counsel for Defendants A.P. Green Industries, John Crane, Inc., National
Gypsum Co., Armstrong World Industries, and GAF Corp.

Albert H. Parnell
Hawkins Parnell Thackston & Young LLP
303 Peachtree Street, NE 4000
Atlanta, Georgia 30308
(404) 614-7565

Counsel for Defendant Keene Corporation

Jesse W. Hill,
4695 Hanstedt Trace
Alpharetta, Georgia 30022
No phone number available

Counsel for Defendant Rock Wool Manufacturing Company

Greg Hodges
Post Office Box 10186
218 West State Street
Savannah, Georgia 31412
(912) 236-3311

2. *Cook v. CSX Transp., Inc.*, Case No. 09-CI-012026 (Ky. Cir. Ct. 2009).

From 2009–2014, while an attorney at The Jordan Firm, I served as lead defense counsel in this civil action brought under the Federal Employers Liability Act. The Plaintiff, a former carman for CSXT, alleged that he contracted lung cancer due to exposure to asbestos during his railroad employment. I represented CSXT throughout this case including during extensive expert discovery and *Daubert* challenges as well as during trial preparation. The parties settled this case shortly before trial. Judge Audra J. Eckerle presided over this case.

Co-counsel for CSXT

Randy Jordan and Karen Young
1804 Frederica Road, Suite C
St. Simons Island, Georgia 31522
(912) 638-0505

Chris Jordan
Hunter, Maclean, Exley & Dunn, P.C.
777 Gloucester Street, Suite 400

Brunswick, Georgia 31520
(912) 262-5996

Grant Buckley
202 South 7th Street
Post Office Box 38
Cordele, Georgia 31015
(229) 273-9570

Counsel for Plaintiff

Max Petrunya
Robert Pierce & Associates, PC
707 Grant Street, Suite 2500
Pittsburgh, Pennsylvania 15219
(866) 273-1941

3. *CSX Transp., Inc. v. General Mills, Inc.*, Case No. 1:14-cv-201 (N.D. Ga. Jan. 23, 2014).

From January 2014 through January 2015, while an attorney at The Jordan Firm, I served as co-counsel for plaintiff CSXT in this federal civil lawsuit. CSXT brought suit to recover \$16 million in damages it had paid to the defendant corporation's employee in connection with a prior lawsuit that the employee filed against CSXT. The underlying lawsuit arose out of an accident that occurred on the defendant corporation's premises when the employee was engaged in switching operations with CSXT's railcars. CSXT sued the employer under theories of contractual indemnification and common law indemnification. I represented CSXT in the initiation and first phases of this indemnification lawsuit including in defending against the defendant's motion for dismissal. District Judge Thomas J. Thrash, Jr. presided over this case in the District Court. The district court granted the motion to dismiss, but the Eleventh Circuit Court of Appeals (Judges William Pryor, Robin S. Rosenbaum, and Jose E. Martinez sitting by designation) reversed and remanded the case back to the District Court. *CSX Transp., Inc. v. Gen. Mills, Inc.*, 846 F.3d 1333 (11th Cir. 2017). I ceased my representation in this case upon my appointment as a United States Magistrate Judge.

Co-counsel for CSXT

Randy Jordan and Karen Young
1804 Frederica Road, Suite C
Saint Simons Island, Georgia 31522
(912) 638-0505

Chris Jordan
Hunter, Maclean, Exley & Dunn, P.C.
777 Gloucester Street, Suite 400

Brunswick, Georgia 31520
(912) 262-5996

Grant Buckley
202 South 7th Street
Post Office Box 38
Cordele, Georgia 31015
(229) 273-9570

Ana Davis Johnson and David Matthew Wells
Gunster, Yoakley & Stewart, P.A.
225 Water Street, Suite 1750
Jacksonville, Florida 32202
(904) 350-7175

Counsel for General Mills

Jerry W. Blackwell, Emily Ann Ambrose, and Mary S. Young
Blackwell Burke, P.A.
431 South Seventh Street, Suite 2500
Minneapolis, Minnesota 55415
952-646-0400

4. *Liberty Cty. Sch. Sys. v. Griggs*, (LCBOE Mar. 10, 2014).

From February 2014 to April 2014, while an attorney at The Jordan Firm, I solely represented Mr. Bryan Griggs and Mrs. Tiffany Griggs, school teachers in the Liberty County School System. The School System's Superintendent recommended that Mr. Griggs and Mrs. Griggs be terminated for abandonment of contract. Mr. Griggs and Mrs. Griggs had sought to be released from their contracts to participate in an overseas teaching program. The Superintendent's recommendation would have likely led to sanctions including the loss of their teaching certificates. The case proceeded to an administrative trial under Georgia's Fair Dismissal Act, O.C.G.A. §§ 20-2-940, *et seq.*, before the Board of Education. At the conclusion of the trial, the Board deadlocked which resulted in the failure of the Superintendent's recommendation. Following the trial, the Georgia Professional Standards found no cause to sanction Mr. Griggs and Mrs. Griggs.

Counsel for the Liberty County School System

Phil Hartley
Harben, Hartley & Hawkins, LLP
340 Jesse Jewell Parkway
Wells Fargo Center, Suite 750
Gainesville, Georgia 30501
(770) 534-7341

5. *McIntosh Cty. Sch. Sys. v. Jackson*, (MCBOE, July 30, 2012).

From June 2012 to August 2012, while an attorney at The Jordan Firm, I represented Ms. Kettle Jackson, a school teacher in the McIntosh County School System. The School System's Superintendent recommended that Ms. Jackson's employment be terminated pursuant to a reduction in force. Ms. Jackson contended that the reduction in force was not properly conducted and that the district retained younger, less qualified, and less experienced teachers. The litigation proceeded to an administrative trial under Georgia's Fair Dismissal Act, O.C.G.A. §§ 20-2-940, *et seq.*, before the Board of Education. At the conclusion of the trial, the Board voted against the Superintendent's recommendation and retained Ms. Jackson. The Board's decision also positively affected other teachers that would have been terminated pursuant to the reduction in force.

Counsel for McIntosh County School System

Mr. Alvin Leaphart
155 North Brunswick Street
Jesup, Georgia 31546
(912) 427-2024

6. *Moore v. Gabriel*, 3:05-CV-31 (M.D. Ga. April 8, 2005).

From September 2006 to January 2008, while an attorney at Prior, Daniel, & Wiltshire, I served as co-counsel to defendants Larry M. Gabriel and Terry Tellefson in this federal civil case. I represented defendants in their capacities as officers of Advantage Behavioral Health Services, Inc. ("AHBS"), a quasi-governmental behavioral health and addictive disease services provider for a 10 county area. The plaintiff, who had worked as a facility operations manager at ABHS, alleged that the defendants terminated his position due to his speech on matters of public concern in violation of his First Amendment rights as protected by 42 U.S.C. § 1983, and the Georgia Whistleblower Statute, O.C.G.A. § 45-1-4. I represented Defendants during trial preparation and at the jury trial. In the midst of the trial, the parties settled this case. District Judge Clay D. Land presided over this case.

Co-Counsel for Defendants

Michael C. Daniel
Prior, Daniel, & Wiltshire, LLC
490 North Milledge Avenue
Athens, Georgia 30601
(706) 543-0002

Counsel for Plaintiff

Michael Thomas McCulley
1201 Peachtree Street NE, Suite 1250
Atlanta, Georgia 30361

(404) 531-0701

7. *Payne v. CSX Transp., Inc.*, No. 2-231-07 (Tenn. Cir. Ct. & Tenn. 2007).

From 2009 to February 2015, while an attorney at The Jordan Firm, I served as co-defense counsel in this civil action brought under the Federal Employers Liability Act and the Locomotive Inspection Act. The widow of Winston Payne, a former trainman and switchman for CSXT, alleged that Payne contracted lung cancer and died as a result of exposure to radiation, diesel exhaust, and asbestos in the course of his railroad employment. I represented CSXT throughout this case including during trial, extensive expert discovery and *Daubert* challenges, motions practice, post judgment motions, and on appeal. After a two week trial, the jury returned a verdict for the plaintiff in the amount of \$8.6 million that it then reduced to \$3.2 million. The trial judge, Harold Wimberley, Jr., subsequently granted CSXT's motion for a new trial, and reassigned the case to Judge Dale C. Workman. Judge Workman then granted CSXT's *Daubert* motion to exclude plaintiff's causation expert and entered summary judgment in CSXT's favor. The plaintiff appealed. I ceased my representation of CSXT upon my appointment as a United States Magistrate Judge. Ultimately, the Supreme Court of Tennessee, (Justice Gary R. Wade, joined by Chief Justice Sharon G. Lee, and Justices Cornelia A. Clark, Jeffrey S. Bivins, and Holly Kirby), reversed the grant of new trial on the issue of liability and remanded for a new trial on the issue of damages. *Payne v. CSX Transp., Inc.*, 467 S.W.3d 413, 453 (Tenn. 2015). It is my understanding that the parties have now resolved this case.

Co-Counsel for CSXT

Randy Jordan and Karen Young
1804 Frederica Road Suite C
St. Simons Island, Georgia 31522
(912) 638-0505

Chris Jordan
Hunter, Maclean, Exley & Dunn, P.C.
777 Gloucester Street, Suite 400
Brunswick, Georgia 31520
(912) 262-5996

Grant Buckley
202 South 7th Street
Post Office Box 38
Cordele, Georgia, 31015
(229) 273-9570

John W. Baker Jr.
Baker, O'Kane, Atkins & Thompson, Attorneys at Law
2607 Kingston Pike, Suite 200

Post Office Box 1708
Knoxville, Tennessee 37919
(865) 637-5600

Evan M. Tager
Mayer Brown LLP
1999 K Street, NW
Washington, D.C. 20006
(202) 263-3240

Counsel for Plaintiff
Richard Neal Shapiro
Shapiro, Appleton & Duffan
1294 Diamond Springs Road
Virginia Beach, Virginia 23455

8. *Ten Eighty-Eight Baxter Street Assocs., LLC v. PPG Architectural Finishes, Inc.*, SU-08-CV-2064-J (Ga. Super. Ct. Aug. 15, 2008).

From 2008 to 2009, while an attorney at Prior, Daniel, & Wiltshire, I served as co-counsel to defendant PPG Architectural Finishes, Inc., (“PPG”) a paint supply company, in this state civil action. The dispute centered on PPG’s multiyear commercial lease of a building located in Athens, Georgia. The plaintiff landlord contended that PPG’s operations violated the terms of the lease, and PPG alleged that the landlord was seeking to oust PPG from the premises without cause and for the landlord’s financial benefit. I was directly involved in all aspects of this litigation including discovery, motions practice, and trial. The parties settled the case in the midst of a jury trial. Judge Steve C. Jones presided over all aspects of this case including trial.

Co-Counsel for Defendant
Michael C. Daniel,
Prior, Daniel, & Wiltshire, LLC
490 North Milledge Avenue
Athens, Georgia 30601
(706) 543-0002

Counsel for Plaintiff
Jeffrey W. DeLoach and Brian Epps
Epps, Holloway, DeLoach & Hoipkemier, LLC
2500 Daniels Bridge Rd #200-3a
Athens, Georgia 30606
(706) 508-4000

9. *United States v. Andrews*, 4:11-cr-203 (S.D. Ga. July 7, 2011).

From August 2011 to May 2012, while an attorney at The Jordan Firm, I served as defense counsel to Kiser Andrews in this federal criminal case. Mr. Andrews was indicted with participating in a multi-million dollar food stamp fraud conspiracy throughout Georgia in violation of 18 U.S.C. § 371 and 7 U.S.C. § 2024(b)(1). After being appointed by the Court at the commencement of the case, I represented Mr. Andrews throughout this matter including at all pretrial stages and during his plea and sentencing proceedings. Mr. Andrews entered a plea agreement whereby he accepted responsibility for his role in the conspiracy and agreed to assist the Government's investigation and prosecution. I also represented Mr. Andrews during his substantial assistance to the United States, including his testifying at the trial of other conspiracy members. Mr. Andrews' cooperation resulted in a reduction in his sentence from 24 months imprisonment to time served and three years of supervised release. District Judge William T. Moore, Jr. and Magistrate Judge G.R. Smith presided over this case.

Counsel for the United States

James D. Durham
Acting United States Attorney
Post Office Box 8970
Savannah, Georgia 31412
(912) 652-4422

Counsel for co-defendant Sam Mathis

David M. Burns, Jr.
102 East Liberty Street, 8th Floor
Savannah, Georgia 31401
(912) 233-2570.

Counsel for co-defendant Albert Martin

Karen Dove Barr
7805 Waters Avenue, Unit 9-B
Savannah, Georgia 31406
(912) 352-8053

Counsel for codefendant James Bisard

Robert P. Phillips, III
Phillips and Roberts
420 West Broughton Street, 2nd Floor
Savannah, Georgia 31401
(912) 232-0081

10. *United States v. Hunter*, 6:14-cr-13 (S.D. Ga. May 7, 2014).

From May 2014 through December 2014, while an attorney at the Jordan Firm, I served as sole defense counsel to Darrell Damond Hunter in this federal criminal case. Mr. Hunter was indicted on three counts of bank burglary in violation of

18 U.S.C. § 2113(a). Mr. Hunter was accused of burglarizing three separate banks in the Statesboro, Georgia area. After being appointed by the Court at the commencement of this case, I represented Mr. Hunter through all stages of this case including pretrial motions, discovery, his plea hearing, and the sentencing phase. Mr. Hunter entered a negotiated plea agreement whereby the Government agreed to offer limited evidence of his relevant uncharged conduct. The Court sentenced Mr. Hunter to 35 months imprisonment to be followed by three years of supervised release. District Judge Lisa Godbey Wood and Magistrate Judge G.R. Smith presided over this case.

Counsel for the United States

Tania Groover

Assistant United States Attorney

Post Office Box 8970

Savannah, Georgia 31412

(912) 652-4422

18. **Legal Activities:** Describe the most significant legal activities you have pursued, including significant litigation which did not progress to trial or legal matters that did not involve litigation. Describe fully the nature of your participation in these activities. List any client(s) or organization(s) for whom you performed lobbying activities and describe the lobbying activities you performed on behalf of such client(s) or organizations(s). (Note: As to any facts requested in this question, please omit any information protected by the attorney-client privilege.)

As part of my practice as an attorney at Prior, Daniel, & Wiltshire from 2006 to 2009, I served as general counsel to Advantage Behavioral Health Services ("ABHS") a governmental community health provider. I provided legal advice and training to ABHS on all aspects of its operation including human resources, patient privacy, medical records retention and production, billing disputes, and regulation compliance.

As part of my law practice at The Jordan Firm from 2009 to 2015, I regularly provided training to school teachers, administrators, and staff on legal ethics and compliance.

19. **Teaching:** What courses have you taught? For each course, state the title, the institution at which you taught the course, the years in which you taught the course, and describe briefly the subject matter of the course and the major topics taught. If you have a syllabus of each course, provide four (4) copies to the committee.

I have not taught any courses.

20. **Deferred Income/ Future Benefits:** List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients or customers. Describe the arrangements you have made to be compensated in the future

for any financial or business interest.

I do not have any deferred income arrangements, stock, option, uncompleted contracts, or other future benefits from previous business relationships.

21. **Outside Commitments During Court Service**: Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service with the court? If so, explain.

I do not have any plans, commitments, or agreements to pursue outside employment during my service with the court.

22. **Sources of Income**: List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, licensing fees, honoraria, and other items exceeding \$500 or more (if you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here).

See attached Financial Disclosure Report.

23. **Statement of Net Worth**: Please complete the supplied financial net worth statement in detail (add schedules as called for).

See attached Statement of Net Worth.

24. **Potential Conflicts of Interest**:

- a. Identify the family members or other persons, parties, categories of litigation, and financial arrangements that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to arise.

My wife, Crystal Chastain Baker, is an associate attorney at Taylor, Odachowski, Schmidt, Crossland, LLC. I will follow Canon 3(C)(1)(d)(ii) of the Guide to Judiciary Policy, and disqualify myself from any proceeding in which my wife serves as a lawyer.

- b. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.

If confirmed I will continue to carefully review and address any real or potential conflicts by reference to 28 U.S.C. § 455, Canon 3 of the Code of Conduct for United States Judges, and any and all other laws, rules, and practices governing such circumstances. I will also continue to maintain a list of cases in which I was directly involved as an attorney and actively screen parties and counsel in each case for potential conflicts.

25. **Pro Bono Work:** An ethical consideration under Canon 2 of the American Bar Association's Code of Professional Responsibility calls for "every lawyer, regardless of professional prominence or professional workload, to find some time to participate in serving the disadvantaged." Describe what you have done to fulfill these responsibilities, listing specific instances and the amount of time devoted to each.

As a United States Magistrate Judge, I am prohibited from providing legal services (including *pro bono*) services, and I was similarly prohibited from providing legal services during my tenure as a judicial law clerk.

As an attorney, I regularly provided legal services to disadvantaged individuals. I served on the CJA Panel for both the Middle and Southern Districts of Georgia. As a CJA lawyer, I represented numerous indigent criminal defendants at a rate much lower than my standard hourly rate. From 2007 to 2009, I was also appointed to represent indigent criminal defendants by state courts in Athens-Clarke County, Georgia. I also represented several school teachers and paraprofessionals who could not afford my services on a *pro bono* basis. My *pro bono* representation in this area included a number of pay and benefits disputes as well as clients undergoing ethics investigations. I also represented a few indigent clients in resolving debt collection matters. I voluntarily assisted these clients in having the claimed amounts greatly reduced and in one case entirely forgiven.

26. **Selection Process:**

- a. Please describe your experience in the entire judicial selection process, from beginning to end (including the circumstances which led to your nomination and the interviews in which you participated). Is there a selection commission in your jurisdiction to recommend candidates for nomination to the federal courts? If so, please include that process in your description, as well as whether the commission recommended your nomination. List the dates of all interviews or communications you had with the White House staff or the Justice Department regarding this nomination. Do not include any contacts with Federal Bureau of Investigation personnel concerning your nomination.

United States Senators Johnny Isakson and David Perdue formed the Federal Judicial Appointment Screening Committee of Georgia. I submitted an application to the Screening Committee on April 21, 2017. I interviewed with the Screening Committee on May 23, 2017, in Savannah, Georgia. It is my understanding that the Screening Committee recommended my nomination. In June 2017, I interviewed with attorneys from the White House Counsel's Office and the Office of Legal Policy at the Department of Justice in Washington, DC. Since that time I have been in contact with attorneys from the White House Counsel's Office and the Office of Legal Policy at the Department of Justice. On September 7, 2017, the President submitted my nomination to the Senate.

- b. Has anyone involved in the process of selecting you as a judicial nominee

discussed with you any currently pending or specific case, legal issue or question in a manner that could reasonably be interpreted as seeking any express or implied assurances concerning your position on such case, issue, or question? If so, explain fully.

No.

FINANCIAL DISCLOSURE REPORT NOMINATION FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Baker, Raymond S.	2. Court or Organization United States District Court, Southern District of Georgia	3. Date of Report 09/08/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☒ Nomination Date 09/07/2017 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 09/08/2017
7. Chambers or Office Address United States District Court, Southern District of Georgia Frank M. Scarlett Federal Building 801 Gloucester Street Brunswick, GA 31520		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 6

Name of Person Reporting

Baker, Raymond S.

Date of Report

09/08/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Taylor, Odachowski, Schmidt & Crossland, LLC, attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. EXEMPT				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 6

Name of Person Reporting

Baker, Raymond S.

Date of Report

09/08/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. EXEMPT		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2. MHEAC/U.S. Bank	Student loan #1	K
3. U.S. Department of Education	Student loan #2	K
4. Regions Bank	Mortgage on rental property	M
5. American Express	Credit card	K

FINANCIAL DISCLOSURE REPORT

Page 4 of 6

Name of Person Reporting

Baker, Raymond S.

Date of Report

09/08/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property, Athens, Georgia (\$158,440)	E	Rent	M	S					
2. WoodmenLife Flexible Premium Deferred Annuity	A	Interest	J	T					
3. SSgA Upromise 529, SSgA College 2024 Portfolio	A	Dividend	K	T					
4.									
5.									
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 6

Name of Person Reporting

Baker, Raymond S.

Date of Report

09/08/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 6 of 6

Name of Person Reporting

Baker, Raymond S.

Date of Report

09/08/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Raymond S. Baker**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		15	000	Notes payable to banks-secured (auto)		54	300
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities				Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due (credit card)		7	500
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable – see schedule		496	400
Real estate owned – see schedule		635	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		85	000	Student Loans		69	000
Cash value-life insurance							
Other assets itemize:							
Federal Thrift Savings Plan		11	470				
Federal Employees Retirement System		20	900				
SSGA Upromise 529 College Portfolio		15	500	Total liabilities		627	200
Woodmen Life Flexible Premium Deferred Annuity		5	200	Net Worth		160	870
Total Assets		788	070	Total liabilities and net worth		788	070
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							

FINANCIAL STATEMENT

NET WORTH SCHEDULES

Real Estate Owned

Personal Residence

\$415,000.00

Rental Property

\$220,000.00

Total Real Estate Owned

\$635,000.00

Real Estate Mortgages Payable

Personal Residence – Mortgage

\$321,019.00

Rental Property–Mortgage

\$175,405.00

Total Real Estate Mortgages Payable

\$496,424.00

AFFIDAVIT

I, Raymond Stanley Baker, Jr., do swear that the information provided in this statement is, to the best of my knowledge, true and accurate.

August 24, 2017
(DATE)

Raymond Stanley Baker, Jr.
(NAME)

[Signature]
(NOTARY)

