

UNITED STATES SENATE
COMMITTEE ON THE JUDICIARY

QUESTIONNAIRE FOR JUDICIAL NOMINEES

PUBLIC

1. **Name**: State full name (include any former names used).

Antonio Miguel Pozos
Antonio Miguel Pozos-Brewer

2. **Position**: State the position for which you have been nominated.

United States District Judge for the Eastern District of Pennsylvania

3. **Address**: List current office address. If city and state of residence differs from your place of employment, please list the city and state where you currently reside.

Offices: One Logan Square, Suite 2000
Philadelphia, Pennsylvania, 19103

Residence: Bryn Mawr, Pennsylvania

4. **Birthplace**: State year and place of birth.

1982; Berkeley, California

5. **Education**: List in reverse chronological order each college, law school, or any other institution of higher education attended and indicate for each the dates of attendance, whether a degree was received, and the date each degree was received.

2004 – 2007, University of Michigan Law School; J.D. (*cum laude*), 2007

2000 – 2004, Harvard College; A.B. (*magna cum laude*), 2004

1999, University of California, Santa Cruz (earned college credits during high school, no degree pursued or received)

6. **Employment Record**: List in reverse chronological order all governmental agencies, business or professional corporations, companies, firms, or other enterprises, partnerships, institutions or organizations, non-profit or otherwise, with which you have been affiliated as an officer, director, partner, proprietor, or employee since graduation from college, whether or not you received payment for your services. Include the name and address of the employer and job title or description.

2018 – Present
Faegre Drinker Biddle & Reath LLP
[Formerly Drinker Biddle & Reath LLP]
One Logan Square, Suite 2000
Philadelphia, Pennsylvania 19103
Partner

Spring 2020 – 2021, 2023 – 2025
University of Pennsylvania Carey Law School
3501 Sansom Street
Philadelphia, Pennsylvania 19104
Lecturer in Law

2014 – 2017
U.S. Department of Justice
Criminal Division, Fraud Section
1400 New York Avenue, NW
Washington, District of Columbia 20005
Trial Attorney

2011 – 2014
Cleary Gottlieb Steen & Hamilton LLP
2112 Pennsylvania Avenue, NW
Washington, District of Columbia 20037
Associate

2010 – 2011
Honorable Anthony J. Trenga
U.S. District Court, Eastern District of Virginia
401 Courthouse Square
Alexandria, Virginia 22314
Law Clerk

2007 – 2010, Summer 2006
Cleary Gottlieb Steen & Hamilton LLP
2112 Pennsylvania Avenue, NW
Washington, District of Columbia 20037
Associate
Summer Associate

Summer 2005
Dechert LLP
Cira Centre
2929 Arch Street
Philadelphia, Pennsylvania 19104
Summer Associate

Summer 2004
Kinsey Consumer Law Center
[The Kinsey Consumer Law Center no longer exists]
Soquel, California 95073
Law Clerk

Other Affiliations (uncompensated):

2019 – present
Drexel University Thomas R. Kline School of Law
3320 Market Street
Philadelphia, Pennsylvania, 19104
Member, Advisory Board

7. **Military Service and Draft Status:** Identify any service in the U.S. Military, including dates of service, branch of service, rank or rate, serial number (if different from social security number) and type of discharge received, and whether you have registered for selective service.

I did not serve in the military. I registered for the selective service upon turning 18.

8. **Honors and Awards:** List any scholarships, fellowships, honorary degrees, academic or professional honors, honorary society memberships, military awards, and any other special recognition for outstanding service or achievement.

Chambers USA – Pennsylvania, Litigation: White Collar Crime & Government Investigations (2022 – 2025)

Best Lawyers in America, Criminal Defense: White Collar (2025 – 2026)

“Rising Star,” White Collar, *Law360* (2021)

Best of the Bar, The Philadelphia Business Journal (2020)

Minority Business Leader, The Philadelphia Business Journal (2020)

Lawyer on the Fast Track, The Legal Intelligencer (2019)

Department of Health and Human Services Inspector General’s Cooperative Achievement Award (2017)

Federal Bureau of Investigation Award for Outstanding Efforts and Contributions to the Public Corruption Program (2017)

Drug Enforcement Administration Award in Recognition of Outstanding Contributions to the Field of Drug Law Enforcement (2017)

Drug Enforcement Administration Award in Recognition of Outstanding Contributions to Cooperative Law Enforcement Efforts in New Orleans, Louisiana (2017)

Letter of commendation from Department of Health and Human Services Office of the Inspector General for successful prosecution of health care fraud conspiracy in *United States v. Morad, et al.* (E.D. La.) (2015)

“Rising Star,” White Collar Criminal Defense, *Super Lawyers Magazine*, Washington DC (2014)

Frank Boas International Affairs Scholar, Harvard University Weatherhead Center for International Affairs (2003 – 2004)

Mellon Mays Undergraduate Fellow (2002 – 2004)

Eagle Scout, Boy Scouts of America (2000)

9. **Bar Associations:** List all bar associations or legal or judicial-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices which you have held in such groups.

American Bar Association (2008 – 2019)

American Health Law Association (2018 – 2020)

Hispanic Bar Association of the District of Columbia (2010 – 2014)

Hispanic Bar Association of Pennsylvania (intermittent membership from 2017 – present)

Hispanic National Bar Association (intermittent membership from 2018 – present)

Pennsylvania Bar Association (2021)

Philadelphia Bar Association (2021)

Philadelphia Criminal Law American Inn of Court (2018 – 2019)

United States Magistrate Judge Merit Selection Panel, United States District Court, Eastern District of Pennsylvania (2022)

University of Pennsylvania Law School American Inn of Court (2017 – present)

10. **Bar and Court Admission:**

a. List the date(s) you were admitted to the bar of any state and any lapses in membership. Please explain the reason for any lapse in membership.

California, 2007
District of Columbia, 2008
Pennsylvania, 2017

There have been no lapses in membership.

b. List all courts in which you have been admitted to practice, including dates of admission and any lapses in membership. Please explain the reason for any lapse in membership. Give the same information for administrative bodies that require special admission to practice.

United States Court of Appeals for the Third Circuit, 2019
United States District Court for the Southern District of California, 2012
United States District Court for the Eastern District of California, 2012
United States District Court for the Central District of California, 2011
United States District Court for the Northern District of California, 2009
United States District Court for the Eastern District of Pennsylvania, 2018

There have been no lapses in membership.

11. **Memberships:**

a. List all professional, business, fraternal, scholarly, civic, charitable, or other organizations, other than those listed in response to Questions 9 or 10 to which you belong, or to which you have belonged, since graduation from law school. Provide dates of membership or participation, and indicate any office you held. Include clubs, working groups, advisory or editorial boards, panels, committees, conferences, or publications.

The Union League of Philadelphia (2018 – present)

The Federalist Society (2018 – 2019, 2020 – 2023, 2024 – present)

The Merion Cricket Club (2014 – present)

Harvard College Class of 2004 Gift Committee (2013 – 2019)

b. The American Bar Association's Commentary to its Code of Judicial Conduct states that it is inappropriate for a judge to hold membership in any organization that invidiously discriminates on the basis of race, sex, or religion, or national origin. Indicate whether any of these organizations listed in response to 11a above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies. If so, describe any action you have taken to change these policies and practices.

It is my understanding that years before I became a member of the Union League of

Philadelphia and the Merion Cricket Club, these clubs, like similar clubs around the country, may have excluded members on discriminatory bases that should not have been acceptable to people then and would not be acceptable now.

Except as set forth above, to the best of my knowledge, none of the above organizations currently discriminates or formerly discriminated on the basis of race, sex, religion, or national origin either through formal membership requirements or the practical implementation of membership policies.

12. **Published Writings and Public Statements:**

a. List the titles, publishers, and dates of books, articles, reports, letters to the editor, editorial pieces, or other published material you have written or edited, including material published only on the Internet. Supply copies of all published material to the Committee.

With Jordan Strauss, *Atty Considerations Amid Rise of Tech-Enabled Investigations*, Law360, January 12, 2023. Copy Supplied.

With Dylan Carson, *Health Care Is in DOJ's Criminal Antitrust Crosshairs*, Law360, April 8, 2022. Copy supplied.

With Mark Taticchi, *Why Courts Should Apply Escobar in Criminal Cases*, Law360, August 29, 2018. Copy supplied.

I also participated in preparing Faegre Drinker client alert memoranda on certain topics related to my practice. In addition to being published on the firm's website, I understand that other legal publications also republished or circulated those memoranda. To the best of my recollection, after a thorough search, those alert memoranda include:

With Jesse Witten, Mark Phillips, Caroline Herion, Winnie McBride, and Daniel Walbright, *CARES Act Relief Fund Payments to Health Care Providers: Key Requirements and Compliance Risks*, April 21, 2020. Copy supplied.

With Jesse Witten, *The Elimination of Kickbacks in Recovery Act and COVID-19: Clinical Laboratory Compliance with EKRA in Current Pandemic*, April 14, 2020. Copy supplied.

With Joseph Rillotta, *DOJ May Grant Declinations to Companies that Voluntarily Self-Disclose FCPA Violations by Senior Executives*, March 4, 2019. Copy supplied.

With Peter Baldwin, *Department of Justice Announces Important Revisions to the Yates Memo*, December 13, 2018. Copy supplied.

With Mary Hansen, Joseph Rillotta, and Peter Baldwin, *DOJ Clarifies Application of FCPA Corporate Enforcement Policy to Risks Discovered During the M&A Process*, August 14, 2018. Copy supplied.

With Jesse Witten and Barry Gross, *601 Charged in Record-Breaking Annual Health Care Fraud and Opioid "Takedown,"* June 29, 2018. Copy supplied.

With Joseph Rillotta, *The Department of Justice Announces Expansion of Self-Disclosure-Based Leniency Principles to All Corporate Cases,* March 5, 2018. Copy supplied.

With James Lundy, *The CFTC and DOJ Crack Down Harder on Spoofing & Supervision,* February 6, 2018. Copy supplied.

With Jesse Witten, *Justice Department Issues Policy Suggesting New Emphasis on Dismissal of Unwarranted False Claims Act Qui Tam Cases,* January 29, 2018. Copy supplied.

b. Supply copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of on behalf of any bar association, committee, conference, or organization of which you were or are a member. If you do not have a copy of a report, memorandum or policy statement, give the name and address of the organization that issued it, the date of the document, and a summary of its subject matter.

None known.

c. Supply copies of any testimony, official statements or other communications relating, in whole or in part, to matters of public policy or legal interpretation, that you have issued or provided or that others presented on your behalf to public bodies or public officials.

None known.

d. Supply copies, transcripts or recordings of all speeches or talks delivered by you including commencement speeches, remarks, lectures, panel discussions, conferences, political speeches, and question-and-answer sessions. Include the date and place where they were delivered, and readily available press reports about the speech or talk. If you do not have a copy of the speech or a transcript or recording of your remarks, give the name and address of the group before whom the speech was given, the date of the speech, and a summary of its subject matter. If you did not speak from a prepared text, furnish a copy of any outline or notes from which you spoke.

November 16, 2023: Panelist, "Evolving Privilege Risks: Waiver, Mixed Business-Legal Communications, and International Considerations," Pennsylvania Association of Criminal Defense Lawyers' White Collar Practice Seminar, Philadelphia, Pennsylvania. I discussed issues involving practical and cross-border privilege considerations. Notes supplied.

September 7, 2023: Panelist, "When the Feds Come A'Knocking: What to do when a Government Agency Calls upon You?" Hispanic National Bar Association Annual

Convention, Minneapolis, Minnesota. I spoke about best practices in responding to government investigations. Presentation slides supplied.

December 1, 2022: Presenter, "Leading Technology-Enabled Investigations: Effective Management and Ethical Pitfalls," Faegre Drinker Biddle & Reath LLP CLE and Kroll, a division of Duff & Phelps, webinar, Philadelphia, Pennsylvania. Video is available at: <https://www.faegredrinker.com/en/insights/events/2022/12/leading-technology-enabled-investigations#tab-Overview>.

December 9, 2021: Presenter, "Leading Technology-Enabled Investigations: Effective Management and Ethical Pitfalls," Faegre Drinker Biddle & Reath LLP CLE and Kroll, a division of Duff & Phelps, webinar, Philadelphia, Pennsylvania. Video is available at: <https://www.faegredrinker.com/en/insights/events/2021/12/leading-technology-enabled-investigations#tab-Overview>.

November 17, 2021: Moderator, "Life Sciences Enforcement Trends to Watch," Faegre Drinker Biddle & Reath LLP "Life Sciences Week" webinar, Philadelphia, Pennsylvania. Presentation slides supplied.

September 28, 2021: Panelist, "State AG Enforcement Trends," Hispanic National Bar Association Annual Convention. The conference was held virtually in 2021. Presentation slides supplied.

July 7, 2021: Panelist, "Legal Options at the Time of Government Execution of a Search Warrant," Faegre Drinker Biddle & Reath LLP CLE, webinar, Philadelphia, Pennsylvania. Video is available at: <https://www.faegredrinker.com/en/insights/events/2021/7/legal-options-at-the-time-of-a-search#tab-Overview>.

June 9, 2021: Moderator, "Current Enforcement Efforts," Faegre Drinker Biddle & Reath LLP, webinar, "Substance Use Disorder Treatment Centers: Uncovering Fraud and Advancing Policies to Promote Best Practices," Philadelphia, Pennsylvania. Presentation slides supplied.

December 17, 2020: Presenter, "Leading Technology-Enabled Investigations: Effective Management and Ethical Pitfalls," Faegre Drinker Biddle & Reath LLP CLE and Kroll, a division of Duff & Phelps, webinar, Philadelphia, Pennsylvania. Video is available at <https://vimeo.com/492657413/361bffe48b>.

October 27, 2020: Panelist, "The 2020 Election and Health Care Law," The Philadelphia Bar Association CLE webinar, Philadelphia, Pennsylvania. Presentation slides supplied.

January 30, 2020: Panelist, "Challenges and Ethical Pitfalls of Leading Technology-Enabled Investigations," Association of Corporate Counsel, Greater Philadelphia, CLE event, Lehigh Valley, Pennsylvania. Presentation slides supplied.

December 11, 2019: Presenter, "Leading Technology-Enabled Investigations: Effective Management and Ethical Pitfalls," Drinker Biddle & Reath LLP and Kroll, a division of Duff & Phelps, CLE program, Philadelphia, Pennsylvania. Presentation slides supplied.

November 22, 2019: Presenter, "A Look at Legal Ethics Through the Lens of the Mueller Investigation," Pennsylvania Association of Criminal Defense Lawyers' White Collar Practice Seminar, Philadelphia, Pennsylvania. Notes supplied.

October 15, 2019: Presenter, "The Perils of Dealing with Whistleblowers Under the False Claims Act," Seton Hall Law School Healthcare Compliance Certification Program, Newark, New Jersey. Presentation slides supplied.

September 26, 2019: Presenter, "The SUPPORT for Patients and Communities Act: What You Need to Know About the New Law's Expansion of Criminal Law Against Paying for Referrals ("EKRA")," Annual Meeting of the Rehabilitation and Community Providers Association, Hershey, Pennsylvania. Presentation slides supplied.

April 24, 2019: Presenter, "Important Developments in Federal Enforcement Policy, and Related Disclosure Considerations," Association of Corporate Counsel, Greater Philadelphia, In-House Counsel Conference, Philadelphia, Pennsylvania. Presentation slides supplied.

April 12, 2019: Presenter, "Current Topics in Compliance: Health Care and Life Sciences Industries," Rutgers Law School Fourth Annual Compliance Institute, Camden, New Jersey. Presentation slides supplied.

February 12, 2019: Presenter, "Potential Benefits, Pitfalls and Uncertainties of Corporate Self-Disclosures," Clear Law Institute Webinar, Philadelphia, Pennsylvania. Presentation slides supplied.

January 9, 2019: Presenter, "The SUPPORT for Patients and Communities Act: What You Need to Know About the New Law's Expansion of Criminal Laws Against Paying for Patient Referrals," National Alliance of Recovery Residences webinar, Philadelphia, Pennsylvania. Presentation slides supplied.

November 11, 2018: Moderator, "2018 Healthcare Fraud Enforcement Developments," Pennsylvania Association of Criminal Defense Lawyers' White Collar Practice Seminar, Philadelphia, Pennsylvania. Notes supplied.

October 9, 2018: Presenter, "Government Scrutiny and Your Hospice: Addressing Common Risk Factors Before the Government Comes Knocking," Home Care and Hospice Conference and Expo, Grapevine, Texas. Presentation slides supplied.

October 3, 2018: Presenter, "An Ounce of Prevention: Identifying and Addressing Common Risk Factors Before the Government Comes Calling," Annual Meeting of the Rehabilitation and Community Providers Association, Hershey, Pennsylvania.

Presentation slides supplied.

September 25, 2018: Presenter, "Hot Topics in Corporate Enforcement," teleconference presentation to the monthly meeting of the Hispanic National Bar Association Corporate Counsel Division, Washington, District of Columbia. Presentation slides supplied.

September 18, 2018: Presenter, "Federal Laws and Your Recovery Residence: Identifying and Fixing Common Problems Before the Feds Come Knocking," Pennsylvania Alliance of Recovery Residences, Philadelphia, Pennsylvania. Presentation slides supplied.

September 12, 2018: Presenter, "Hot Topics in Government Enforcement for Life Sciences Companies," Drinker Biddle & Reath LLP webinar, Washington, District of Columbia. Presentation slides supplied.

September 7, 2018: Panelist, "Obstacles, Innovation & Disruption in Health Care Law," Hispanic National Bar Association Annual Meeting, Philadelphia, Pennsylvania. Notes supplied.

August 1, 2018: Presenter, "Hot Topics in Cybersecurity and Data Privacy," the Union League of Philadelphia Business Leadership Forum, Philadelphia, Pennsylvania. Presentation slides supplied.

July 23, 2018: Guest Lecturer, "Corporate Internal Investigations," Temple University Law School Tsinghua LLM Fellowship Program, Philadelphia, Pennsylvania. Presentation slides supplied.

April 25, 2018: Panelist, "Voluntary Government Disclosures: Worth the Risk?," Association of Corporate Counsel, Greater Philadelphia, In-House Counsel Conference, Philadelphia, Pennsylvania. Presentation slides supplied.

February 13, 2018: Panelist, "What To Do When S#!t Happens," Association of Corporate Counsel, Greater Philadelphia, Corporate Counsel University, Philadelphia, Pennsylvania. Presentation slides supplied.

January 16, 2018: Moderator, "Sanctuary Cities and Their Impact on Community Safety," University of Pennsylvania Carey Law School American Inn of Court, Philadelphia, Pennsylvania. Notes supplied.

September 14, 2016: Panelist, "Home Health Care Fraud: Perspectives from The Big Easy, Motor City and the 305," U.S. Department of Justice Annual Health Care Fraud Training Conference, Washington, District of Columbia. Presentation slides supplied.

e. List all interviews you have given to newspapers, magazines or other publications, or radio or television stations, providing the dates of these interviews and copies of the clips or transcripts of these interviews where they are available to you.

Bari Faye Dean, *Fake Nursing Degree Liability is a Hot Potato – Will Hospitals Get Stuck with it?*, Becker's Hosp. Rev., March 15, 2023. Copy supplied.

Tony Rifilato, *Finra Probing Crypto Communications after FTX Implosion*, FundFire, November 16, 2022. Copy supplied.

Faegre Drinker Announcement, *Walé Oriola and Antonio Pozos Talk to FundFire About Regulation of the Cryptocurrency Market*, Nov. 16, 2022. Copy supplied.

Best of the Bar 2020: The Philadelphia Business Journal Honors 40 Top Lawyers, Phila. Bus. J., Sept. 29, 2020. Copy supplied.

Jeff Blumenthal, *Best of the Bar 2020: Get to Know Our Honorees in the White-Collar Criminal Defense Litigation Category*, Phila. Bus. J., Oct. 29, 2020. Copy supplied.

Ryan Sharrow, *Arrogant, Greedy, Love for Latin phrases: Best of the Bar Honorees on Biggest Misconceptions About Lawyers*, Phila. Bus. J., Oct. 27, 2020. Copy supplied.

Meet All Our 2020 Minority Business Leader Award Winners, Phila. Bus. J., July 17, 2020. Copy supplied.

2020 Minority Business Leader Awards: Antonio Pozos, Faegre Drinker Biddle & Reath, Phila. Bus. J., Aug. 20, 2020. Copy supplied.

Jeff Overley, *"Everybody in the Crosshairs" as DOJ Opioid Blitz Gets Real*, Law360, July 19, 2019. Copy supplied.

James Swann, *Fraudulent Opioid Prescribers Can Expect More Federal Charges*, Bloomberg L., Feb. 4, 2019. Copy supplied.

Diego M. Radzinski, *Republican Ex-Prosecutor Pozos Eyes 7th District Seat*, Legal Intelligencer, Feb. 13, 2018. Copy supplied.

Alumni Show Gratitude Through Annual Support, Harvard Magazine, July-Aug. 2014. Copy supplied.

13. **Judicial Office:** State (chronologically) any judicial offices you have held, including positions as an administrative law judge, whether such position was elected or appointed, and a description of the jurisdiction of each such court.

I have not held judicial office.

- a. Approximately how many cases have you presided over that have gone to verdict or judgment? _____

i. Of these cases, approximately what percent were:

jury trials: _____ %
bench trials: _____ %

ii. Of these cases, approximately what percent were:

civil proceedings: _____ %
criminal proceedings: _____ %

b. Provide citations for all opinions you have written, including concurrences and dissents.

c. For each of the 10 most significant cases over which you presided, provide: (1) a capsule summary of the nature of the case; (2) the outcome of the case; (3) the name and contact information for counsel who had a significant role in the trial of the case; and (4) the citation of the case (if reported) or the docket number and a copy of the opinion or judgment (if not reported).

d. For each of the 10 most significant opinions you have written, provide: (1) citations for those decisions that were published; (2) a copy of those decisions that were not published; and (3) the names and contact information for the attorneys who played a significant role in the case.

e. Provide a list of all cases in which certiorari was requested or granted.

f. Provide a brief summary of and citations for all of your opinions where your decisions were reversed by a reviewing court or where your judgment was affirmed with significant criticism of your substantive or procedural rulings. If any of the opinions listed were not officially reported, provide copies of the opinions.

g. Provide a description of the number and percentage of your decisions in which you issued an unpublished opinion and the manner in which those unpublished opinions are filed and/or stored.

h. Provide citations for significant opinions on federal or state constitutional issues, together with the citation to appellate court rulings on such opinions. If any of the opinions listed were not officially reported, provide copies of the opinions.

i. Provide citations to all cases in which you sat by designation on a federal court of appeals, including a brief summary of any opinions you authored, whether majority, dissenting, or concurring, and any dissenting opinions you joined.

14. **Recusal:** If you are or have been a judge, identify the basis by which you have assessed the necessity or propriety of recusal (If your court employs an "automatic" recusal system by which you may be recused without your knowledge, please include a general description of that

system.) Provide a list of any cases, motions or matters that have come before you in which a litigant or party has requested that you recuse yourself due to an asserted conflict of interest or in which you have recused yourself sua sponte. Identify each such case, and for each provide the following information:

I have not held judicial office.

- a. whether your recusal was requested by a motion or other suggestion by a litigant or a party to the proceeding or by any other person or interested party; or if you recused yourself sua sponte;
- b. a brief description of the asserted conflict of interest or other ground for recusal;
- c. the procedure you followed in determining whether or not to recuse yourself;
- d. your reason for recusing or declining to recuse yourself, including any action taken to remove the real, apparent or asserted conflict of interest or to cure any other ground for recusal.

15. **Public Office, Political Activities and Affiliations:**

- a. List chronologically any public offices you have held, other than judicial offices, including the terms of service and whether such positions were elected or appointed. If appointed, please include the name of the individual who appointed you. Also, state chronologically any unsuccessful candidacies you have had for elective office or unsuccessful nominations for appointed office.

I have not held public office. I have had no unsuccessful candidacies for public office or unsuccessful nominations for appointed office.

- b. List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

In 2018, I served as a volunteer and advisor to my wife, Clare Putnam Pozos, during her exploration of a run for the Republican nomination to represent Pennsylvania's Seventh Congressional District (renumbered as Pennsylvania's Fifth Congressional District) in the U.S. House of Representatives. I have not otherwise been formally affiliated with any campaign or candidate, nor held any title, nor been paid any compensation.

16. **Legal Career:** Answer each part separately.

- a. Describe chronologically your law practice and legal experience after graduation from law school including:

- i. whether you served as clerk to a judge, and if so, the name of the judge, the court and the dates of the period you were a clerk;

From 2010 to 2011, I served as a law clerk to the Honorable Anthony J. Trenga, District Judge of the United States District Court for the Eastern District of Virginia.

- ii. whether you practiced alone, and if so, the addresses and dates;

I have not practiced law alone.

- iii. the dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been affiliated, and the nature of your affiliation with each;

2007 – 2010; 2011 – 2014
Cleary Gottlieb Steen & Hamilton LLP
2112 Pennsylvania Avenue, NW
Washington, D.C. 20037
Associate

2014 – 2017
U.S. Department of Justice
Criminal Division, Fraud Section
1400 New York Avenue, NW
Washington, D.C. 20005
Trial Attorney

2018 – Present
Faegre Drinker Biddle & Reath LLP
[Formerly Drinker Biddle & Reath LLP]
One Logan Square, Suite 2000
Philadelphia, Pennsylvania 19103
Partner

- iv. whether you served as a mediator or arbitrator in alternative dispute resolution proceedings and, if so, a description of the 10 most significant matters with which you were involved in that capacity.

I have not served as a mediator or arbitrator.

- b. Describe:

- i. the general character of your law practice and indicate by date when its character has changed over the years.

As an Associate at Cleary Gottlieb Steen & Hamilton LLP from 2007 to 2010, my practice primarily focused on state and federal litigation involving financial institutions, False Claims Act litigation, and regulatory antitrust merger clearance. In these matters, I took and defended depositions in the United States and abroad, prepared and represented witnesses in government investigations, researched and drafted dispositive motions and discovery motions, and managed civil discovery and document productions in government investigations.

I served as a law clerk to the Hon. Anthony J. Trenga, United States District Judge for the Eastern District of Virginia from 2010 to 2011. I assisted Judge Trenga with civil and criminal trials, civil dispositive motions, and researched a wide range of legal issues in civil and criminal cases for Judge Trenga.

After my clerkship, I returned to Cleary Gottlieb Steen & Hamilton LLP from 2011 to 2014. During this period, my practice focused on state and federal litigation and investigations involving antitrust issues, commercial bribery, fraud, money laundering and securities fraud. I continued to take and defend depositions, research and draft motions, and manage civil discovery and responses to government investigations.

As a Trial Attorney with the Criminal Fraud Section of the U.S. Department of Justice from 2014 to 2017, my practice consisted exclusively of prosecuting federal criminal cases. My primary focus was prosecuting corporate and individual criminal health care fraud cases, mainly in Louisiana, Georgia, and Florida. I also was asked to prosecute a specific set of law enforcement corruption cases in the Eastern District of Louisiana (greater New Orleans area). In individual prosecutions, I handled all phases of litigation in U.S. District Court including pre-indictment investigations, grand jury practice, drafting and arguing motions, negotiating plea and cooperation agreements, trials, and sentencings. My corporate case responsibilities included grand jury practice, helping to negotiate and document the terms of corporate plea and non-prosecution agreements, interviewing corporate monitor candidates, and initiating resulting litigation against individual executives.

I joined my current firm, Faegre Drinker Biddle & Reath LLP, in January 2018. In my practice, I represent clients in all phases of criminal litigation, government and internal investigations, and related civil proceedings. I have represented clients in criminal cases involving the Food, Drug & Cosmetic Act, Foreign Corrupt Practices Act, and various types of alleged frauds. I have also represented clients in SEC enforcement litigation, civil investment fraud litigation, and in litigation and governmental and internal investigations involving the False Claims Act, securities issues, and corporate governance matters. In addition, I

represent clients in complex commercial litigation, including antitrust, cryptocurrency, and real estate matters in federal and state courts. I also advise clients on effective compliance programs, and manage client relationships.

- ii. your typical clients and the areas at each period of your legal career, if any, in which you have specialized.

While an Associate at Cleary Gottlieb Steen & Hamilton LLP from 2007 to 2010, and 2011 to 2014, I represented large business organizations, financial institutions, and individuals in litigation and investigations involving investment, securities and commercial frauds, money laundering, insider trading, the False Claims Act and antitrust issues. I also did pro bono work.

As a Trial Attorney with the Criminal Division of the United States Department of Justice from 2014 to 2017, my sole client was the United States of America. During this period, I prosecuted cases involving health care fraud, violations of the Anti-Kickback statute, and law enforcement corruption.

As a Partner at Faegre Drinker Biddle & Reath LLP from 2018 to the present, my clients include a range of business organizations, including large, medium, and small businesses, as well as individuals in white collar criminal litigation and investigations, civil enforcement litigation and investigations, as well as civil litigation. I also do pro bono work.

- c. Describe the percentage of your practice that has been in litigation and whether you appeared in court frequently, occasionally, or not at all. If the frequency of your appearances in court varied, describe such variance, providing dates.

Almost all of my practice has involved litigation. As an Associate at Cleary Gottlieb Steen & Hamilton LLP, I occasionally appeared in court to argue discovery motions, and assisted partners arguing dispositive motions and at trial. As a Trial Attorney with the Criminal Fraud Section of the Department of Justice, I appeared in federal court frequently, often daily, to obtain investigative authorizations including search warrants, pen register and cell site orders, and for arraignments, detention hearings, pretrial motion hearings, guilty pleas, trials and sentencings. I tried two complex fraud cases to juries in the U.S. District Court for the Eastern District of Louisiana in 2015 and 2016. As a Partner at Faegre Drinker Biddle & Reath LLP, I appear regularly in federal and state courts, and have represented clients in bond, plea and sentencing hearings in criminal cases, discovery hearings, motions hearings, and court-ordered mediations with U.S. Magistrate Judges in civil cases.

- i. Indicate the percentage of your practice in:

1. federal courts: 75%
2. state courts of record: 20%
3. other courts: 0%
4. administrative agencies: 5%

ii. Indicate the percentage of your practice in:

1. civil proceedings: 75%
2. criminal proceedings: 25%

d. State the number of cases in courts of record, including cases before administrative law judges, you tried to verdict, judgment or final decision (rather than settled), indicating whether you were sole counsel, chief counsel, or associate counsel.

I have tried two cases to verdict, judgment or final decision. In both of those cases, I was co-counsel, along with two other prosecutors.

i. What percentage of these trials were:

1. jury: 100%
2. non-jury: 0%

e. Describe your practice, if any, before the Supreme Court of the United States. Supply copies of any briefs, amicus or otherwise, and, if applicable, any oral argument transcripts before the Supreme Court in connection with your practice.

I have never appeared before the Supreme Court of the United States.

17. **Litigation:** Describe the ten (10) most significant litigated matters which you personally handled, whether or not you were the attorney of record. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the case. Also state as to each case:

- a. the date of representation;
- b. the name of the court and the name of the judge or judges before whom the case was litigated; and
- c. the individual name, addresses, and telephone numbers of co-counsel and of principal counsel for each of the other parties.

1. *United States v. Morad*, 2:13-cr-00101 (E.D. La.) (Hon. Sarah S. Vance) (Representation:

2015 – 2017)

I represented the United States in the prosecution of this case, which involved a \$56 million Medicare fraud conspiracy in the greater-New Orleans area. The defendants defrauded the Medicare program by, among other things, paying illegal bribes and kickbacks in exchange for patient referrals, falsely certifying that the patients qualified for home health care services, and billing Medicare for services that were not medically necessary or not rendered. Nine defendants (including the owners of a chain of home health care agencies, three doctors, and an accountant) pleaded guilty before trial. Four defendants (including two doctors, a nurse, and an administrator) proceeded to trial and were convicted. I investigated the case, represented the United States in plea and sentencing hearings, and served as co-lead counsel at trial in 2015. In preparation for trial, I drafted pretrial motions, jury instructions, and expert disclosures, and prepared witnesses for trial. During the trial, I argued motions, examined fact and expert witnesses, and delivered the government's closing arguments.

Co-counsel:

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Deceased

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Counsel for Defendant Dannel
Morris W. Reed, Jr.
Deceased

2. *United States v. Davis*, No. 2:15-cr-155 (E.D. La.) (Hon. Kurt D. Engelhardt)
(Representation: 2015 – 2016)

I represented the United States in the prosecution of this case, which involved a \$34 million Medicare fraud conspiracy in the greater-New Orleans area. In this case, the defendants defrauded the Medicare program by, among other things, paying illegal bribes and kickbacks in exchange for patient referrals, falsely certifying that the patients qualified for home health care services, and billing Medicare for services that were not medically necessary or not rendered. Two defendants (a home health agency owner and a physician) were convicted at trial. A third defendant (a physician) was acquitted. I investigated and indicted the defendants, and represented the United States at trial in 2016. In preparation for trial, I drafted pretrial motions, jury instructions, and expert disclosures, and prepared witnesses for trial. During the trial, I examined fact and expert witnesses, cross-examined one of the defendants convicted at trial, and delivered the government's closing arguments.

Co-counsel:

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3. *United States v. Atlanta Medical Center, Inc.*, No. 1:16-cr-350 (N.D. Ga.) (Hon. Amy Totenberg); *United States v. Holland*, No. 1:17-cr-20054 (S.D. Fla.) (Hon. Jose E. Martinez) (Representation: 2015 – 2017)

I represented the United States in the prosecution of this case against a large health care company, two of its hospital subsidiaries, and a company executive. In that case, the defendants were charged with paying illegal kickbacks to a chain of women's clinics in exchange for referring pregnant women to the defendants' hospitals to deliver their babies, which were covered by the Georgia and South Carolina Medicaid programs. The case resulted in a \$513 million monetary resolution, parent-level non-prosecution agreement, guilty pleas by the two subsidiaries, imposition of a corporate monitor, and individual criminal charges against the executive, among other individuals.

In the corporate portion of the case, I investigated the matter, met with company counsel, management and directors, helped negotiate the corporate resolution, drafted plea and non-prosecution agreements, represented the United States at the entry of the corporate guilty pleas, and interviewed and recommended corporate monitor candidates. In the prosecution of the individual defendant, I investigated and charged the case, interviewed prospective witnesses, represented the United States at the bond hearing, and researched and drafted pretrial motions in the Southern District of Florida prosecution before the case was transferred to the Northern District of Georgia for further proceedings.

Co-counsel:

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4. *United States v. Scott*, 2:17-cr-00181 (E.D. La.) (Hon. Jane Triche Milazzo); *United States v. Newman*, 2:16-cr-92 (E.D. La.) (Hon. Eldon E. Fallon) (Representation: 2015 – 2017)

I represented the United States in the investigation and prosecution of a Drug Enforcement Administration Special Agent and three Drug Enforcement Administration Task Force Officers for offenses involving narcotics distribution, robbery, firearms, obstruction of justice, perjury, falsifying records and conversion. I worked with the Federal Bureau of Investigation, the Department of Justice Office of the Inspector General, and the Drug Enforcement Administration Office of Professional Responsibility to investigate the case. I obtained indictments against the defendants, represented the United States in bond and detention hearings, and litigated discovery and sealing issues. I also negotiated and represented the United States at the guilty plea of one of the Task Force Officers to charges of possessing a firearm during a purported law enforcement search that was, in fact, a drug robbery, and of stealing money from a drug dealer in an unrelated search. After my departure from the Department of Justice at the end of 2017, Another Task Force Officer formally pleaded guilty to possession with the intent to distribute cocaine, and stealing money from a drug dealer. The remaining defendants were convicted at trial.

Co-counsel:

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5. *United States v. Riddell*, No. 1:19-cr-10074 (D. Mass) (Hon. Nathaniel M. Gorton)
(Representation: 2019 – 2022)

I represented an individual who pleaded guilty to unlawfully taking and proctoring tests for wealthy third parties in the “Varsity Blues” prosecutions in the District of Massachusetts. As lead counsel, I advised him on his cooperation with the government and represented him at sentencing. Through cooperation and advocacy, I was able to obtain a sentence of four months of incarceration for my client, representing a substantial reduction from the advisory U.S. Sentencing Guidelines range of 33-41 months reflected in his plea agreement.

Co-counsel:

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Opposing counsel:

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6. *McFarland v. Ethicon, Inc*, July Term 2013, No. 1577, Case Id. 130701577 (Philadelphia County Court of Common Pleas) (Hon. Kenneth Powell, Jr.) (Representation: 2019)

I defended my law firm, Drinker Biddle & Reath LLP, against allegations by plaintiffs in pelvic mesh mass tort litigation that the firm failed to file a timely Rule 1925(b) statement of errors complained of on appeal, and therefore waived our clients’ ability to appeal a \$125 million judgment. I conducted an internal investigation, and supervised a complex document production in response to subpoenas issued by the plaintiffs. In defending the firm at evidentiary hearings, I represented testifying firm attorneys, information technology personnel and mail room staff, and drafted briefing in support of

the firm's position that the firm did not fail to file a timely rule 1925(b) statement. In coordination with separate counsel for our clients, I successfully obtained an order from the Court holding that there was no waiver of the right to appeal.

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Opposing counsel:

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7. *SEC v. Hill International, Inc.*, No. 1:20-cv-447 (S.D.N.Y.) (Hon. Paul A. Engelmayer) and No. 2:20-cv-2143 (E.D. Pa.) (Hon. Timothy J. Savage) (Representation: 2020)

I represented an accountant in an SEC enforcement action in which the SEC accused my client of fraudulently concealing \$5 million in foreign currency exchange losses during his employment. I successfully moved to have the case transferred from the Southern District of New York to the Eastern District of Pennsylvania, and litigated discovery disputes in the Eastern District of Pennsylvania. I subsequently negotiated a favorable settlement for Mr. Tornello in which the SEC dismissed their fraud claims against Mr. Tornello, and Mr. Tornello agreed to pay a \$25,000 civil penalty and submit to a one-year suspension to resolve the remaining non-fraud claims.

Co-counsel:

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8. *Liang v. Bara*, No. 22-cv-541 (D. Conn.) (Hon. Janet C. Hall); *Liang v. Bara*, Case No. FST-CV-22-6057690-S (Conn. Superior Court, Judicial District of Stamford Norwalk), Case No. HDD-CV-22-6160192-S (Conn Superior Court, Judicial District of Hartford) (Hon. Cesar A. Noble); *Hephaestus F, A.G. v. Liang*, Case No. 505/22, Justice of the Peace Office of the City of Zug, Switzerland (Representation: 2022 – 2023)

This matter involved a dispute over the terms of a cryptocurrency token purchase agreement, in which the Plaintiff contended that he was prevented from accruing and selling cryptocurrency to which he was entitled as an initial investor in what became the OHM token. The Plaintiff sought declaratory relief regarding his claimed entitlement to OHM tokens, and damages in excess of \$2 billion. The case was initially filed in the U.S. District Court for the District of Connecticut. Defendants, including my client, an entrepreneur, moved to dismiss the action for lack of jurisdiction and for failure to state a claim. After reviewing the motion, Plaintiff agreed that the U.S. District Court lacked subject matter jurisdiction because Plaintiff (a citizen of China) and at least one defendant were aliens, and voluntarily dismissed the case in favor of pursuing the action in state court. Shortly thereafter, Plaintiff refiled the case in the Superior Court of Connecticut, Judicial District of Stamford Norwalk as Case No. FST-CV-22-6057690-S, and subsequently transferred to the Hartford Judicial District as Case No. HDD-CV-22-6160192-S. In the interim, the defendants in the Connecticut litigation initiated parallel conciliation proceedings in Switzerland. The matter was subsequently resolved through a confidential settlement.

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9. *Shippensburg Lot 8, LLC v. Cumberland Valley Regional Development Corp.*, No. 2020-2673 (Franklin County Ct. Common Pleas) (Hon. Shawn D. Meyers) (Representation: 2023 – 2024)

This matter involved a dispute between my client, a real estate development firm, and another real estate firm over the right to purchase a large piece of commercial real estate in Pennsylvania upon which the parties sought to build a warehouse from the Cumberland Valley Regional Development Corporation. In sum, the case turned upon whether my client validly exercised its right of first refusal to buy the property, or, as Plaintiff claimed, tortiously interfered with its efforts to purchase the property. After assuming responsibility for this matter, I persuaded the court to grant summary judgment establishing that my client validly exercised its right of first refusal, and was therefore entitled to purchase the property. Thereafter, the parties entered into an agreement through which the remaining related claims between my client and Plaintiff were discontinued, concluding the litigation for my client and allowing it to purchase the disputed property.

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10. *United States v. Vepuri*, No. 2:2021-cr-00132 (E.D. Pa.) (Hon. Harvey Bartle III); *United States v. KVK Tech, Inc.*, No. 2:2024-cr-00069 (E.D. Pa) (Hon. Harvey Bartle III)
(Representation: 2023 – present)

In these related cases, I was initially hired to represent an individual who, along with KVK Tech Inc. (a company for which my client serves as a consultant) and a KVK Tech executive, were charged with violations of the Food, Drug & Cosmetic Act, conspiracy, and making false statements to the Food & Drug Administration. I successfully negotiated dismissal of the charges against my client, and the charges against the KVK executive were also dismissed. The company ultimately entered into a three-year Deferred Prosecution Agreement, and a defunct subsidiary entered into a misdemeanor guilty plea. As a condition of the Deferred Prosecution Agreement, the company was required, among other things, to retain an Independent Compliance Monitor and provide reports to the government regarding its compliance program. After the dismissal of the charges against my client, I was retained to represent the company in the Monitorship. That representation is ongoing.

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Independent Compliance Monitor:

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18. **Legal Activities:** Describe the most significant legal activities you have pursued, including significant litigation which did not progress to trial or legal matters that did not involve litigation. Describe fully the nature of your participation in these activities. List any client(s) or organization(s) for whom you performed lobbying activities and describe the lobbying activities you performed on behalf of such client(s) or organizations(s). (Note: As to any facts requested in this question, please omit any information protected by the attorney-client privilege.)

In private practice, the majority of my work has been in litigation. However, I also regularly advise clients concerning compliance with existing statutes and regulations. In addition, I regularly lead teams that conduct sensitive internal investigations for organizational clients. These matters generally involve analysis of electronic and physical evidence, employee interviews, advising the organizations' leadership on risk mitigation and compliance programs, and coordinating with state and federal authorities that may be investigating the clients' activities and/or the companies' auditors.

I have never acted or registered as a lobbyist.

19. **Teaching:** What courses have you taught? For each course, state the title, the institution at which you taught the course, the years in which you taught the course, and describe briefly the subject matter of the course and the major topics taught. If you have a syllabus of each course, provide copies to the committee.

In the spring quarters of 2023, 2024 and 2025, I co-taught a seminar at the University of Pennsylvania Carey Law School entitled "Government Enforcement Litigation." My co-instructor in each term was Alison Kehner. The seminar taught students about federal civil enforcement litigation, and involved simulated client counseling, writing and advocacy exercises. Syllabi supplied.

In the spring semesters of 2020 and 2021, I co-taught a seminar at the University of Pennsylvania Carey Law School entitled "Federal Prosecution in Practice." My co-instructors in 2020 were William McSwain and Alison Kehner. My co-instructor in 2021 was Alison Kehner. The seminar taught students about the work of a federal prosecutor from investigation through trial and sentencing. The course involved a mix of lectures and simulated exercises that were intended to develop students' analytical, oral, and written advocacy skills, as well as to provide them with perspective on the day-to-day work of federal prosecutors. Syllabi supplied.

20. **Deferred Income/ Future Benefits:** List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients or customers. Describe the arrangements you have made to be compensated in the future for any financial or business interest.

I expect to receive a return of capital in Faegre Drinker Biddle & Reath LLP. Under the terms of my partnership agreement, the firm would be required to return my capital over a period of eight quarters beginning in March of the year following my departure from the firm. If confirmed, I understand that the return period would apply unless waived by the firm.

As a general matter, if confirmed, I will work with my firm to ensure that I have no continuing financial interest in any legal matter.

21. **Outside Commitments During Court Service:** Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service with the court? If so, explain.

No.

22. **Sources of Income:** List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, licensing fees, honoraria, and other items exceeding

\$500 or more (if you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here).

See attached Financial Disclosure Report.

23. **Statement of Net Worth:** Please complete the attached financial net worth statement in detail (add schedules as called for).

See attached Net Worth Statement.

24. **Potential Conflicts of Interest:**

a. Identify the family members or other persons, parties, categories of litigation, and financial arrangements that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to arise.

If confirmed, I will recuse in any litigation where I have ever played a role. For a period of time, I anticipate recusing in all cases where my current firm, Faegre Drinker Biddle & Reath LLP, represents a party. I will recuse in any case where members of my family, who are practicing attorneys, represent any party. Lastly, I will evaluate any other real or potential conflict, or relationship that could give rise to appearance of conflict, on a case-by-case basis and determine appropriate action with the advice of parties and their counsel including recusal where necessary.

b. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.

If confirmed, I will carefully review and address any real or potential conflicts by reference to 28 U.S.C. § 455, Canon 3 of the Code of Conduct for United States Judges, and any and all other laws, rules, and practices governing such circumstances relating to real or potential conflicts of interest.

25. **Pro Bono Work:** An ethical consideration under Canon 2 of the American Bar Association's Code of Professional Responsibility calls for "every lawyer, regardless of professional prominence or professional workload, to find some time to participate in serving the disadvantaged." Describe what you have done to fulfill these responsibilities, listing specific instances and the amount of time devoted to each.

As an Associate at Cleary Gottlieb Steen & Hamilton LLP, I was a member of the Screening Committee of the Mid-Atlantic Innocence Project from 2008 to 2010 (when I left the firm to clerk), and again from 2011 to 2013 (when I returned to the firm after my clerkship). I reviewed cases in which prisoners requested assistance from the Mid-Atlantic Innocence Project in challenging their convictions, made recommendations regarding whether the case posed sufficiently significant issues of potential innocence for the Innocence Project to take a case, and reviewed recommendations made by other Screening Committee members. During these years, I

estimate that I spent between 100 and 150 hours per year on Innocence Project matters. I also participated in the Firm's representation of the plaintiffs in a disability rights lawsuit against the District of Columbia, in which the Firm obtained a settlement with the District requiring the District to make improvements to the John A. Wilson Building which houses the Executive Office of the Mayor and the Council of the District of Columbia. The Washington Lawyers' Committee for Civil Rights awarded the firm an Outstanding Achievement Award for the Firm's work in that litigation.

As a Trial Attorney with the Criminal Division of the Department of Justice, while I was not permitted to engage in the practice of law on behalf of an entity other than the federal government, I was privileged to protect and defend the U.S. Constitution and uphold the rule of law.

As a Partner at Faegre Drinker Biddle & Reath LLP, I have also engaged in pro bono work, in addition to my work in legal academia. Most significantly, I represented an individual serving a life sentence in the Louisiana State Penitentiary for second degree murder based on a non-unanimous (11-1) jury verdict in state habeas proceedings after the Supreme Court's decision in *Ramos v. Louisiana*, 590 U.S. 83 (2020). In *Ramos*, the Supreme Court held that the Sixth and Fourteenth Amendments to the Constitution require unanimous jury verdicts. My team and I spent hundreds of hours re-investigating our client's case and advocating for him in the trial court and the Louisiana Court of Appeal. That representation was not successful, as the United States Supreme Court in *Edwards v. Vannoy*, 593 U.S. 255 (2021) and the Louisiana Supreme Court in *State v. Reddick*, 351 So.3d 293 (2022), determined that *Ramos* was not retroactive.

26. **Selection Process:**

a. Please describe your experience in the entire judicial selection process, from beginning to end (including the circumstances which led to your nomination and the interviews in which you participated). Is there a selection commission in your jurisdiction to recommend candidates for nomination to the federal courts? If so, please include that process in your description, as well as whether the commission recommended your nomination. List the dates of all interviews or communications you had with the White House staff or the Justice Department regarding this nomination. Do not include any contacts with Federal Bureau of Investigation personnel concerning your nomination.

In October 2025, I submitted a Questionnaire and related materials to Senator David McCormick and Senator John Fetterman asking to be considered to serve as a United States District Judge for the Eastern District of Pennsylvania, through email addresses provided in a joint public announcement issued by Senator McCormick and Senator Fetterman.

In January 2026, I was contacted by representatives of the Judicial Nominating Commission for the Eastern District of Pennsylvania, appointed by Senator McCormick and Senator Fetterman, to schedule an interview with the Commission. On February 4, 2026, I interviewed with the Commission. I understand that the Commission

subsequently recommended me to Senator McCormick, along with other prospective candidates. On March 2, 2026, I interviewed with Senator McCormick and a member of his staff, remotely.

On April 9, 2026, I interviewed with attorneys from the White House Counsel's Office at the Eisenhower Building in Washington, D.C. On April 16, 2026, the White House Counsel's Office contacted me to let me know that I was under consideration for the nomination. Since then, I have been in contact with Officials from the White House Counsel's Office and the Justice Department's Office of Legal Policy regarding the nomination.

On May 8, 2026, President Trump called to inform me that he had selected me for a nomination. On May 12, 2026, the President submitted my nomination to the Senate.

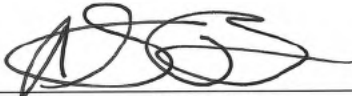
b. Has anyone involved in the process of selecting you as a judicial nominee discussed with you any currently pending or specific case, legal issue or question in a manner that could reasonably be interpreted as seeking any express or implied assurances concerning your position on such case, issue, or question? If so, explain fully.

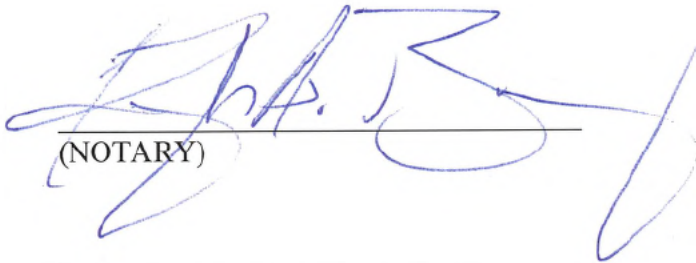
No.

AFFIDAVIT

I, Antonio M. Pozos, do swear that the information provided in this statement is, to the best of my knowledge, true and accurate.

May 7, 2026
(DATE)


(NAME)


(NOTARY)

Commonwealth of Pennsylvania - Notary Seal
KYLE A. BERRY - Notary Public
Philadelphia County
My Commission Expires May 12, 2029
Commission Number 1457355