UNITED STATES SENATE
COMMITTEE ON THE JUDICIARY

QUESTIONNAIRE FOR NON-JUDICIAL NOMINEES

PUBLIC

1. **Name**: State full name (include any former names used).

   Peter Joseph Kadzik

2. **Position**: State the position for which you have been nominated.

   Assistant Attorney General, Office of Legislative Affairs, U.S. Department of Justice

3. **Address**: List current office address. If city and state of residence differs from your place of employment, please list the city and state where you currently reside.

   U.S. Department of Justice
   950 Pennsylvania Avenue NW
   Washington, D.C., 20530

4. **Birthplace**: State date and place of birth.

   1953; Kenmore, New York

5. **Education**: List in reverse chronological order each college, law school, or any other institution of higher education attended and indicate for each the dates of attendance, whether a degree was received, and the date each degree was received.

   Georgetown University, 1974 – 77; J.D., 1977

   State University of New York at Buffalo, 1971 – 74; B.A., 1974

6. **Employment Record**: List in reverse chronological order all governmental agencies, business or professional corporations, companies, firms, or other enterprises, partnerships, institutions or organizations, non-profit or otherwise, with which you have been affiliated as an officer, director, partner, proprietor, or employee since graduation from college, whether or not you received payment for your services. Include the name and address of the employer and job title or description.

   U.S. Department of Justice
   Office of Legislative Affairs
   2013 – Present
   Principal Deputy Assistant Attorney General
   950 Pennsylvania Avenue, NW
   Washington, D.C. 20530

U.S. Department of Justice
Office of Legislative Affairs
2013 – 2013
Deputy Assistant Attorney General
950 Pennsylvania Avenue, NW
Washington, D.C. 20530

Voter Participation Center
2012 – 2013
Assistant Secretary
1707 L Street, NW
Washington, D.C. 20036

Advisory Board, News Literacy Project
2011 – 2013 (estimated)
Member
5625 Devon Road
Bethesda, MD 20815

Advisory Board, Corporate Counsel Institute
Georgetown University Law Center
2005 – 2013 (estimated)
Member
600 New Jersey Avenue, NW
Washington, D.C. 20001

American Palm Oil Promotion Council
1994 – 2013 (estimated)
Secretary
1010 Wisconsin Avenue, NW
Washington, D.C. 20007

Dickstein Shapiro LLP
1986 – 2013
Partner
1825 Eye Street, NW
Washington, D.C. 20006

National Law Alumni Board, Georgetown University Law Center
1995 – 2002 (estimated)
Member
600 New Jersey Avenue, NW
Washington, D.C. 20001

President's Advisory Committee on the Arts, John F. Kennedy Center for the Performing Arts
1999 – 2001
Member
Washington, D.C. 20566

Board of Trustees, Washington Lawyers' Committee for Civil Rights and Urban Affairs
1990 – 2000 (estimated)
Member
11 Dupont Circle, NW
Washington, D.C. 20036

Advisory Board, AARP's Legal Counsel for the Elderly
1995 – 1998 (estimated)
Member
601 E Street, N.W.
Washington, D.C. 20049

Thrift Depositor Protection Oversight Board
1993 – 1995
Advisory Board Member
Washington D.C. 20232

Hearing Committee, DC Bar's Board on Professional Responsibility
1980 – 1987
Chairman and Member,
Washington, D.C. 20005

Dickstein Shapiro LLP
1980 – 1985
Associate
1825 Eye Street, NW
Washington, D.C. 20006

United States Senate
Committee on the Judiciary
June 1980
Intern
Dirksen Senate Office Building
Washington, D.C. 20510

Kennedy for President
January – June 1980
Advance Staff
1250 22nd Street NW
Washington, D.C. 20037

U.S. Department of Justice, United States Attorney's Office
1978 – 1980
Assistant United States Attorney
555 4th Street NW
Washington, D.C. 20530

Hon. Thomas A. Flannery
U.S. District Court for the District of Columbia
1977 – 1978
Law Clerk
333 Constitution Avenue, NW
Washington, D.C. 20001

Admissions Office, Georgetown University Law Center
1976 – 1977
Applications Reader
600 New Jersey Avenue, NW
Washington, D.C. 20001

Hodgson Russ Andrews Woods & Goodyear
1976 – 1976
Summer Associate
1 M&T Plaza
Buffalo, N.Y. 14203

Organization Resources and Systems Advisors
1975 – 1975
Summer Intern
223 Pennsylvania Avenue, SE
Washington, D.C. 20003

Hertel Florist
1972 – 1974
Driver
Hertel Avenue
Buffalo, N.Y. 14216

International Alliance of Theatrical and Stage Employees
1973 – 1974 (estimated)
Stagehand
Porter Avenue
Buffalo, N.Y. 14201

7. **Military Service and Draft Status**: Identify any service in the U.S. Military, including dates of service, branch of service, rank or rate, serial number (if different from social security number) and type of discharge received, and whether you have registered for selective service.

   I have registered for selective service, but have not served in the U.S. Military.

8. **Honors and Awards**: List any scholarships, fellowships, honorary degrees, academic or professional honors, honorary society memberships, military awards, and any other special recognition for outstanding service or achievement.

   Member, Signum Fidei Society, St. Joseph's Collegiate Institute, 2009 – Present

   Member, Phi Beta Kappa, 1974 – Present

Articles Editor and Member, Georgetown Law Journal, 1975 – 77

B.A., summa cum laude, State University of New York at Buffalo, 1974

9. **Bar Associations**: List all bar associations or legal or judicial-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices which you have held in such groups.

   Member, American Bar Association (Litigation and Antitrust Sections), 1980 – Present

   Member, New York State Bar Association, 1980 – Present

   Member, Erie County Bar Association, 1980 – Present

   Member, Bar Association of the District of Columbia, 1980 – Present

10. **Bar and Court Admission**:

    a. List the date(s) you were admitted to the bar of any state and any lapses in membership. Please explain the reason for any lapse in membership.

       There have been no lapses in my memberships.

February 1978 – Present	New York Bar
October 1978 – Present	D.C. Bar

    b. List all courts in which you have been admitted to practice, including dates of admission and any lapses in membership. Please explain the reason for any lapse in membership. Give the same information for administrative bodies that require special admission to practice.

       There have been no lapses in my memberships.

October 1978 – Present	D.C. Court of Appeals
October 1980 – Present	United States District Court for the District of Columbia
January 1981– Present	United States Court of Appeals for the District of Columbia Circuit
June 1982 – Present	United States Supreme Court
February 1988 – Present	United States District Court for the Southern District of New York
April 1989 – Present	United States Court of Appeals for the Eleventh Circuit
June 1995 – Present	United States District Court for the District

	of Maryland
December 2000 – Present	United States Court of Appeals for the Fifth Circuit
November 2003– Present	United States Court of Appeals for the Federal Circuit
November 2005 – Present	United States Court of Appeals for the Eighth Circuit
February 2006 – Present	United States Court of Appeals for the Second Circuit
October 2010 – Present	United States District Court for the Eastern District of New York

11. **Memberships**:

a. List all professional, business, fraternal, scholarly, civic, charitable, or other organizations, other than those listed in response to Questions 9 or 10 to which you belong, or to which you have belonged, since graduation from law school. Provide dates of membership or participation, and indicate any office you held. Include clubs, working groups, advisory or editorial boards, panels, committees, conferences, or publications.

I have made financial contributions to charitable organizations over the years. I have not included in the list below any organizations to which I gave funds and did not otherwise participate in programmatic activities although the organization may label me a member. Although there may be others I have not found in my records, these organizations include:

Member, Washington Golf & Country Club, Arlington, Virginia, 1995 – Present.

Member Robert Trent Jones Golf Club, Gainesville, Virginia, 2011 – Present.

Member, Overlee Community Pool Association, Arlington, Virginia, 1986 – 95.

Advisory Board Member, AARP's Legal Counsel for the Elderly, Washington, D.C., 1995 – 98 (estimated).

Member, Board of Trustees, Washington Lawyers' Committee for Civil Rights and Urban Affairs, Washington, D.C., 1990 – 2000 (estimated).

Advisory Board Member, Corporate Counsel Institute, Georgetown University Law Center, Washington, D.C., 2000 – 13 (estimated).

Advisory Board Member, News Literacy Project, Bethesda, MD, 2011 – 13 (estimated).

Member, National Law Alumni Board, Georgetown University Law Center, Washington, D.C., 1995 – 02 (estimated).

Assistant Secretary, Voter Participation Center, Washington, D.C., 2012 – 13.

Secretary, American Palm Oil Promotion Council, Washington, D.C., 1994 – 2013 (estimated).

Chairman and Member, Hearing Committee, DC Bar's Board on Professional Responsibility, Washington, D.C., 1980 – 87.

Advisory Board Member, Thrift Depositor Protection Oversight Board, Washington D.C., 1993 – 95.

Member, President's Advisory Committee on the Arts, John F. Kennedy Center for the Performing Arts, Washington, D.C., 1999 – 2001.

b. Indicate whether any of these organizations listed in response to 11a above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies. If so, describe any action you have taken to change these policies and practices.

None to my knowledge.

12. **Published Writings and Public Statements**:

a. List the titles, publishers, and dates of books, articles, reports, letters to the editor, editorial pieces, or other published material you have written or edited, including material published only on the Internet. Supply four (4) copies of all published material to the Committee.

I have done my best to identify any titles, publishers and dates of books, articles, reports, letters to the editor, editorial pieces, or other published material, including through a review of my personal files and searches of publicly available electronic databases. Despite my searches, there may be other materials I have been unable to identify, find, or remember. I have located the following:

"Antitrust Concerns in Labor Union Organization of Independent Contractors," *Labor Law Exchange*, 1994. Copy Supplied.

"Antitrust Concerns in Labor Union Organization of Independent Contractors," *Labor Law Exchange*, Volume 13, 1992. Copy Supplied.

"More on the Fat Fight," Counsel for Malaysian Palm Oil Promotion Council, *Washington Post*, July 22, 1991. Copy Supplied.

"Compliance Guide to the Antitrust Laws of the United States," *International Company & Commercial Law Review*. Volume 2, 1991. Copy Supplied.

"Federal Preemption of State Payments of Unemployment Compensation to Strikers," *Georgetown Law Journal*, Volume 64, 1975 – 76. Copy Supplied.

b. Supply four (4) copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of on behalf of any bar association, committee, conference, or organization of which you were or are a member. If you do not have a copy of a report, memorandum or policy statement, give the name and address of the organization that issued it, the date of the document, and a summary of its subject matter.

None.

c. Supply four (4) copies of any testimony, official statements or other communications relating, in whole or in part, to matters of public policy or legal interpretation, that you have issued or provided or that others presented on your behalf to public bodies or public officials.

I have done my best to identify all testimony, official statements or other communications relating to public policy or legal interpretation, including through a review of my personal files and searches of publicly available electronic databases. Despite my searches, there may be other items I have been unable to identify, find, or remember. I have located the following:

Letter to Attorney General Kenneth Cuccinelli (VA) on behalf of the Voter Participation Center (VPC, f/k/a Women's Voices. Women Vote). July 25, 2012. Copy Supplied.

Testimony before the House Committee on Oversight and Government Reform, "Hearing on Presidential Pardons, Part II." March 1, 2001. Copy supplied.

Comments on Hearings on Global Competition and Innovation – Antitrust Improvements Act of 1976, provided to Donald S. Clark, Office of the Secretary, Federal Trade Commission. January 26, 1996. Copy supplied.

d. Supply four (4) copies, transcripts or recordings of all speeches or talks delivered by you, including commencement speeches, remarks, lectures, panel discussions, conferences, political speeches, and question-and-answer sessions. Include the date and place where they were delivered, and readily available press reports about the speech or talk. If you do not have a copy of the speech or a transcript or recording of your remarks, give the name and address of the group before whom the speech was given, the date of the speech, and a summary of its subject matter. If you did not speak from a prepared text, furnish a copy of any outline or notes from which you spoke.

I have done my best to identify transcripts or recordings of all speeches or talks, including through a review of my personal files and searches of publicly available electronic databases. Despite my searches, there may be other items I have been unable to identify, find, or remember. I have located the following:

Question & Answer Session, "Dickstein Shapiro's Peter Kadzik," Law 360, August 10, 2009. Copy Supplied.

Speaker, "DNC Compliance with Congressional Campaign Fundraising Investigations," DNC Press Briefing, July 2, 1997. No transcript or notes available.

Speaker, "Antitrust Implications in Moving to More Competitive Utility Markets," Fourth Annual DOE-NARUC Natural Gas Conference, 1995. Copy Supplied.

e. List all interviews you have given to newspapers, magazines or other publications, or radio or television stations, providing the dates of these interviews and four (4) copies of the clips or transcripts of these interviews where they are available to you.

I have done my best to identify all interviews, including through a review of my personal files and searches of publicly available electronic databases. Despite my searches, there may be other items I have been unable to identify, find, or remember. I have located the following:

Interview, "Romney Hits Suspect Voter Mailings; Forms Sent to Va. Pets, the Dead," David Sherfinski & Emily Hatton, Washington Times, July 26, 2012. Copy Supplied.

Interview, "Romney Seeks Va. Voter Form Probe," Kevin Robillard, Politico, July 25, 2012. Copy Supplied.

Interview, "Microsoft Bid Faces Scrutiny; But OK Likely for Purchase of Yahoo! Inc.," Robert Schmidt, The Record (NJ), Bloomberg News, February 2, 2008. Copy Supplied.

Interview, "Intellectual Property: Court Exonerates TV Makers In 'V-Chip;' Patent Case," Sarah Lai Stirland, Nat'l Journal's Tech. Daily, August 11, 2004. Copy Supplied.

Interview, "Intellectual Property: Judges Review 'V-Chip;' Patent-Infringement Case," Sara Lai Stirland, Nat'l Journal's Tech. Daily, August 6, 2004. Copy Supplied.

Interview, "Lobbyist? Who's a Lobbyist? When It Comes to Clemency, They Are Most Likely Advocates," Wash. Post, March 5, 2001. Copy Supplied.

Interview, "Primaries Schedule Evaluated," Stewart M. Powell, Contra Costa Times (California), February 13, 2000. Copy Supplied.

Interview, "Trail Mix; News from Campaign 2000," The Dallas Morning News, February 9, 2000. Copy Supplied.

Interview, "McCain Turns Net Into Gains," St. Petersburg Times (Florida), February 9, 2000. Copy Supplied.

Interview, "GOP, Democrats Agree To Alter Primary Schedule," The Stuart News/Port St. Lucie News (Stuart, FL), February 9, 2000. Copy Supplied.

Interview, "38 in Starr Inquiry Want Legal Bills Paid; Tab Will Total Millions After Investigation Ends, Justice Department Says," Michael Hedges, Arkansas Democrat-Gazette (Little Rock, AR), March 6, 1999. Copy Supplied.

Interview, "Taxpayers May Have to Foot the Bill for Legal Fees in Starr Probes," The Patriot Ledger (Quincy, MA), March 6, 1999. Copy Supplied.

Interview, "Witnesses In Starr-Clinton Battle Want Legal Fees Paid," Michael Hedges, The Plain Dealer (Cleveland, OH), March 6, 1999. Copy Supplied.

Interview, "Senate Impeachment Trial," Fox News Network, the Crier Report, February 3, 1999. Copy Supplied.

Interview, CNN Newsroom, February 2, 1999. Copy Supplied.

Interview, "Trial of the President: Deposition Day One," CNN Burden of Proof, February 1, 1999. Copy Supplied.

Interview, "Monica Lewinsky is Questioned Again; Despite Motion to Dismiss, Byrd Offers Little Comfort to Clinton; President Keeps Focus on Budget," CNN Inside Politics, February 1, 1999. Copy Supplied.

Interview, CNN Moneyline News Hour with Lou Dobbs, February 1, 1999. Copy Supplied.

Interview, "The Trial of Their Lives; President's Case Has Consumed His Team," Peter Baker, The Washington Post, January 19, 1999. Copy Supplied.

Interview, "Impeachment of President Clinton," CNBC, Rivera Live, December 18, 1998. Copy Supplied.

Interview, "Chances of President Clinton Being Impeached Dwindling, With Censure a More Likely Option," CNBC, Rivera Live, November 30, 1998. Copy Supplied.

Interview, "Microsoft Must Fight to Stay on Top," Anne Gearan, AP, November 27, 1998. Copy Supplied.

Interview, "The Problem with the American Way: Even in Capitalist U.S., Companies with Market Power Walk a Fine Line," Anne Gearan, National Post, November 27, 1998. Copy Supplied.

Interview, "Microsoft and the Murky World of Antitrust," Anne Gearan, Ottawa Citizen, November 27, 1998. Copy Supplied.

Interview, "Antitrust Laws Fraught with Internal Irony; The Get-Ahead Entrepreneur Must Make Sure He Doesn't Get Too Far Ahead," Anne Gearan, Arkansas Democrat-Gazette (Little Rock, AR), November 26, 1998. Copy Supplied.

Interview, "Fine Line for What's Allowed, and What's Not," AP, November 26, 1998. Copy Supplied.

Interview, "Brilliant or Brutal? Microsoft Walks Fine Line," Anne Gearan, Austin American-Statesman (Austin, TX), November 26, 1998. Copy Supplied.

Interview, "What is Revealed in the Audiotapes of Conversations Between Linda Tripp and Monica Lewinsky," CNBC, Rivera Live, November 26, 1998. Copy Supplied.

Interview, "Lewinsky-Tripp Audiotapes Released to the Public," CNBC, Rivera Live, November 26, 1998. Copy Supplied.

Interview, "The American Way…Or Illegal?," Deseret News (UT), November 26, 1998. Copy Supplied.

Interview, "Microsoft Defends "American Way"," Hamilton Spectator (Ontario, Canada), November 26, 1998. Copy Supplied.

Interview, "Fine Line for What's Allowed, and What's Not," AP, November 25, 1998, Washington Dateline. Copy Supplied.

Interview, "Looking at Ken Starr's Testimony Before the House Judiciary Committee for Inconsistencies and Possible Falsehoods," CNBC, Rivera Live, November 24, 1998. Copy Supplied.

Interview, "What is Revealed in the Audiotapes of Conversations Between Linda Tripp and Monica Lewinsky," CNBC, Rivera Live, November 17, 1998. Copy Supplied.

Interview, "Lewinsky-Tripp Audiotapes Released to the Public," CNBC, Rivera Live, November 17, 1998. Copy Supplied.

Interview, "Impeachment Hearings: GOP Divided on Direction," The Hotline, November 11, 1998. Copy Supplied.

Interview, "Clinton Takes Heart in Results; President Watches Returns with Friends and Sausage Pizza," The Times-Picayune (New Orleans, LA), November 9, 1998. Copy Supplied.

Interview, "For Clinton, The Outlook Brightens; Results May Weaken Impeachment Inquiry," John F. Harris & Peter Baker, Washington Post, November 4, 1998.

Interview, "Congress Will Focus on Ken Starr's Behavior As Well As the President's During the Impeachment Inquiry," CNBC, Rivera Live, October 28, 1998. Copy Supplied.

Interview, "Legal Bills Hang Over Heads of Many Clinton Staffers," Anne Gearan, AP, October 22, 1998. Copy Supplied.

Interview, "Starr Inquiry Costs High for Clinton Staffers: Many Have Testified Under Oath – Costing Thousands of Dollars," Anne Gearan, The Ottawa Citizen, October 22, 1998. Copy Supplied.

Interview, "Legal Bills Hang Over Heads of Many Clinton Staffers," Anne Gearan, AP, October 21, 1998. Copy Supplied.

Interview, "Clinton Staffers Face Legal Bills," Anne Gearan, AP, October 21, 1998. Copy Supplied.

Interview, "Investigating the President: Rep. Canady Not Surprised by Yesterday's Vote; Republicans Pressures Members, Says Peter Kadzik," CNN Early Edition, October 9, 1998. Copy Supplied.

Interview, "House Votes to Move Forward with Impeachment Hearings for President Clinton, with Some Democrats Joining Republicans in an Affirmative Vote," CNBC, Rivera Live, October 8, 1998. Copy Supplied.

Interview, "Man of Good Repute on Clinton's Side," Kathy Kiely, USA Today, October 7, 1998.

Interview, "Budget Surplus; Whether the Impeachment Hearing for President Clinton Should Go Forward," CNBC, Rivera Live, September 30, 1998. Copy Supplied.

Interview, "Clinton Impeachment Watch," The Bulletin's Frontrunner, September 23, 1998. Copy Supplied.

Interview, "President Clinton's Grand Jury Testimony," CNBC, Rivera Live, September 22, 1998. Copy Supplied.

Interview, "Defiant Clinton Braces for Video Release," Courier Mail (Queensland, Australia), September 18, 1998. Copy Supplied

Interview, "The Starr Report: Judiciary Committee to Vote on Clinton Video Today," CNN Early Edition, September 17, 1998. Copy Supplied.

Interview, "Bill's Sex, Lies and Videotape Sought," Illawarra Mercury (Australia), September 17, 1998. Copy Supplied.

Interview, "Clinton Continues With Business of the Country," CNN Worldview, September 16, 1998. Copy Supplied.

Interview, "Investigating the President: Clinton Attorney Declares Defense Will Move Into Political Sphere," CNN Early Edition, September 16, 1998. Copy Supplied.

Interview, "The Starr Report: White House Worries Video Will Damage Clinton's Image," CNN Morning News, September 16, 1998. Copy Supplied.

Interview, "Clinton Testifies Prosecutors 'Trying to Criminalize My Private Life,'" Interview, CNN Morning News, September 16, 1998. Copy Supplied.

Interview, "The Starr Report: Congress Sets Up for Showdown Over Clinton Video," CNN Morning News, September 16, 1998. Copy Supplied.

Interview, "Investigating the President: Clinton Steps Up His Defense," CNN Burden of Proof, September 16, 1998. Copy Supplied.

Interview, "Peter Kadzik, White House Lawyer, Discusses President Clinton's Political and Legal Woes and Strategies for Dealing with Them," CNBC, Upfront Tonight, September 15, 1998. Copy Supplied.

Interview, "Reno Launches Probe Into Gore's Fundraising Calls; Inquiry: In a Step That Could Result in The Naming of an Independent Counsel, Attorney General Will Examine Vice President's White House Efforts," Alan C. Miller & Ronald J. Ostrow, L.A. Times, August 27, 1998. Copy Supplied.

Interview, "President Clinton Possibly Being Charged with Abuse of Presidential Power in the Lewinsky Case," CNBC, Rivera Live, August 24, 1998. Copy Supplied.

Interview, "Reaction to President Clinton's Admission of Lying in the Monica Lewinsky Investigation," CNBC, Rivera Live, August 18, 1998. Copy Supplied.

Interview, "President Clinton's Speech to the American People; His Appearance Before Ken Starr's Grand Jury," CNBC, Rivera Live, August 18, 1998. Copy Supplied.

Interview, "Possible Distraction the Lewinsky Matter is Having on President Clinton's Foreign and Domestic Agendas and Dirt Being Dug Up on Lewinsky by Clinton's Investigators," CNBC, Rivera Live, August 10, 1998. Copy Supplied.

Interview, "Linda Tripp Finishes Her Testimony to the Lewinsky Grand Jury, As Speculation Continues Over What Monica Lewinsky May Say to the Grand Jury Investigating President Clinton," CNBC, Rivera Live, July 29, 1998. Copy Supplied.

Interview, "Secret Service Testimony in the Lewinsky Investigation and How the Treatment of Secret Service Officers Will Affect Future Protection of Presidents," CNBC, Rivera Live, July 21, 1998. Copy Supplied.

Interview, "Ongoing Battle by Ken Starr to Get Testimony from Secret Service Agents Guarding the President and the War Waged by the White House," CNBC, Rivera Live, July 16, 1998. Copy Supplied.

Interview, "Around the Nation," Ark. Democrat-Gazette (Little Rock, AR), June 24, 1998. Copy Supplied.

Interview, "Starr Investigation: Podesta Testifies Before Grand Jury," The

Bulletin's Frontrunner, June 24, 1998. Copy Supplied.

Interview, "Around the U.S.," The Dallas Morning News, June 24, 1998. Copy Supplied.

Interview, "Starr Briefs Grand Jury," Dayton Daily News, June 24, 1998. Copy Supplied.

Interview, "National Digest," Fort Worth Star-Telegram, June 24, 1998. Copy Supplied.

Interview, "The News in Brief," The Herald-Sun (Durham, NC), June 24, 1998. Copy Supplied

Interview, "Grand Jury Questions White House Official," Milwaukee Journal Sentinel, June 24, 1998. Copy Supplied.

Interview, "White House Official Testifies at Grand Jury," N.Y. Times, June 24, 1998. Copy Supplied.

Interview, "Starr Briefs Grand Jury," Press Journal (Vero Beach, FL), June 24, 1998. Copy Supplied.

Interview, "Grand Jury Kept Busy with Starr Briefing, Testimony," Seattle Post-Intelligencer, June 24, 1998. Copy Supplied.

Interview, "Kenneth Starr Briefs Grand Jury in Person," St. Louis Post-Dispatch, June 24, 1998. Copy Supplied.

Interview, "Clinton Aide Appears at Grand Jury 3rd Time; Podesta Helped Lewinsky's U.N. Job Search," Ben White & Susan Schmidt, Washington Post, June 24, 1998. Copy Supplied.

Interview, "Lewinsky and Starr Continue Negotiations," Jerry Seper, Washington Times, June 24, 1998. Copy Supplied.

Interview, "Starr Briefs Grand Jury; Investigators Question Clinton Aide," Douglas Kiker, AP, June 23, 1998. Copy Supplied.

Interview, "Lewinsky Lawyers Make Gesture to Starr on Book List," Larry Margasak, AP, June 23, 1998. Copy Supplied.

Interview, "Starr Briefs Grand Jury; Investigators Question Clinton Aide," Douglas Kiker, AP, June 23, 1998. Copy Supplied.

Interview, "AP Top News," Suzanne Rowland, AP, June 23, 1998. Copy Supplied.

Interview, "Grand Jury Questions Clinton Aide," Douglas Kiker, AP, June 23, 1998. Copy Supplied.

Interview, "Grand Jury Questions Chief of Staff," Douglas Kiker, AP, June 23, 1998. Copy Supplied.

Interview, "Starr To Get Lewinsky Book Records," Larry Margasak, June 23, 1998. Copy Supplied.

Interview, "Latest Developments in the Clinton-Lewinsky Investigations," CNBC, Rivera Live, June 23, 1998. Copy Supplied.

Interview, "Clinton Prepares for China Trip; Showdown Day in New Mexico; Has Ronald Reagan's Eleventh Commandment Been Broken?," CNN Inside Politics, June 23, 1998. Copy Supplied.

Interview, "China Trip Allows an Escape from Ken Starr's Investigation," CNN Inside Politics, June 23, 1998. Copy Supplied.

Interview, "Ken Starr's Investigation," Fox News Network, Hannity & Colmes, June 23, 1998. Copy Supplied.

Interview, "Political Headlines," Fox News Network, Fox Special Report with Brit Hume, June 23, 1998. Copy Supplied.

Interview, "Fund-Raiser Says Democrats Pressed Him for Cash," Eric Schmitt, N.Y. Times, June 20, 1998. Copy Supplied

Interview, "Democrat Cites Early Suspicion Over Donations," Don Van Natta Jr., N.Y. Times, June 19, 1998. Copy Supplied.

Interview, "Discounters Can Reimport Goods: Court," David G. Savage, L.A. Times, March 10, 1998. Copy Supplied.

Interview, "Import Ruling a Loss for U.S. Firms, Copyright Law Can't Stop 'Gray Market,' Supreme Court Rules," San Jose Mercury News, March 10, 1998. Copy Supplied.

Interview, "Justice is Blind But Not Cheap," Meredith Oakley, Arkansas Democrat-Gazette (Little Rock, AR), March 4, 1998. Copy Supplied.

Interview, "Lewinsky Probe Costly for White House Staff," Kevin Murphy, Miami Herald, February 15, 1998. Copy Supplied.

Interview, "Clinton Has Legal Fund but Aides on Their Own," Kevin Murphy, Detroit Free Press, February 13, 1998. Copy Supplied.

Interview, "Sex Scandal Translates to Legal Bills," Kevin Murphy, Kansas City Star, February 13, 1998. Copy Supplied.

Interview, "President's Aides Feel Heat," Kevin Murphy, Ottawa Citizen, February 12, 1998. Copy Supplied.

Interview, "Clinton Investigation Producing Legal, Financial Woes for Those

Who Work for Him," Kevin Murphy, Knight Ridder, February 11, 1998. Copy Supplied.

Interview, "Panel Grills Key Clinton Aide; Answered Every Question, Podesta Says," Lynn Sweet, Chicago Sun-Times, February 6, 1998. Copy Supplied.

Interview, "For DNC Lawyers, Patience is a Necessary Virtue; Work? Plenty of it. High Profile? Sure. But About the Check for Legal Fees…It Might Be Late," David Segal, The Washington Post, January 19, 1998. Copy Supplied.

Interview, "DNC: The Money Hole," The Hotline, December 16, 1997. Copy Supplied.

Interview, "Political News of the Week," CNN Inside Politics, December 12, 1997. Copy Supplied.

Interview, "Political News of the Week," CNN Inside Politics, December 12, 1997. Copy Supplied.

Interview, "More Questions on Fundraising," CNN Inside Politics, December 12, 1997. Copy Supplied.

Interview, "Donor Probe Sheds Light on Foreign Cash Flow; Politics: Records Show How Contributions Were Laundered by a Democratic Party Operative and Straw Donors in L.A. Area. Evidence Provides Strong Leads for Prosecution, Investigators Say," Glenn F. Bunting & David Rosenzweig, L.A. Times, December 7, 1997. Copy Supplied.

Interview, "A Look at GOP's Strategy in White House Videotape Investigation," CNN Inside Politics, October 17, 1997. Copy Supplied.

Interview, "Top Political News of the Day," CNN Inside Politics, October 17, 1997. Copy Supplied.

Interview, "Memos Appear to Contradict DNC Defense," The Dallas Morning News, October 7, 1997. Copy Supplied.

Interview, "DNC: Internal Memos Outline Asian Fundraising In '91," The Hotline, October 7, 1997. Copy Supplied.

Interview, "Democrats Sought Funds on Asia Trip, Memos Show; Politics: Venture in 1991 Appears to Contradict DNC Claim Foreign-Linked Financial Abuses were Aberration," Alan C. Miller, L.A. Times, October 7, 1997. Copy Supplied.

Interview, "Campaign Finance: Raising the Money; Party Officials Orchestrated White House Sleepovers," Don Van Natta, Jr., N.Y. Times, October 4, 1997. Copy Supplied.

Interview, "Democrats Quick to Deny Sweet Deal with Teamsters," Steven Greenhouse & Don Van Natta, Jr., Hamilton Spectator (Ontario, Canada), September 19, 1997. Copy Supplied.

Interview, "3 Teamster Aides Make Guilty Pleas and Hint at Plot," Steven Greenhouse, N.Y. Times, September 19, 1997. Copy Supplied.

Interview, "Proposed Deal With Democrats Draws Focus of Investigators in Teamsters Election," Steven Greenhouse & Don Van Natta, Jr., N.Y. Times, September 18, 1997. Copy Supplied.

Interview, "Money Gore Raised Went to Prohibited Accounts; Party Should Get Blame, Democrats Say," Mary Ann Akers, Washington Times, September 11, 1997. Copy Supplied.

Interview, "Justice Formally Reviews Gore Solicitations," The Bulletin's Frontrunner, September 4, 1997. Copy Supplied.

Interview, "Gore: Hearings Start as Justice Announces Review," The Hotline, September 4, 1997. Copy Supplied.

Interview, "Reno Evaluates Need for Probe of Calls by Gore," David Willman, Ronald J. Ostrow & Alan C. Miller, Los Angeles Times, September 4, 1997. Copy Supplied.

Interview, "Gore Under Fire for Judgments About Hard and Soft Campaign Money," CNN Inside Politics, September 3, 1997. Copy Supplied.

Interview, CNN Moneyline with Lou Dobbs, September 3, 1997. Copy Supplied.

Interview, "Reno Moves to Secure Counsel For Soft Money Probe," CNN Moneyline with Lou Dobbs, September 3, 1997. Copy Supplied.

Interview, "Campaign Fund-Raising Controversy; Senate Panel Issues Subpoenas," Facts on File News Digest, August 28, 1997. Copy Supplied.

Interview, "Senate Panel Probes DNC Files Delay; Donation Call Sheets For First Lady Found," Bob Woodward, Washington Post, August 8, 1997. Copy Supplied.

Interview, "Outside Hearings, Spin City" Elaine Povich, Newsday, July 14, 1997. Copy Supplied.

Interview, "Curtain Up on Finance Probe; Hearings on Reported Campaign Abuses May Not Raise Interest," Susan Baer, Baltimore Sun, July 7, 1997. Copy Supplied.

Interview, "Hearings Target Influence-Peddling," Steven Thomma, Detroit Free Press, July 7, 1997. Copy Supplied.

Interview, "Were the Elections for Sale? Senate to Examine Campaign Financing," Steven Thomma, Florida Times-Union (Jacksonville, FL), July 7,

1997. Copy Supplied.

Interview, "Probing How Money Affected '96 Election," Steven Thomma, Philadelphia Inquirer, July 7, 1997. Copy Supplied.

Interview, "Bickering Heralds Campaign Hearings," J. Scott Orr, The Star-Ledger (Newark, NJ), July 7, 1997. Copy Supplied.

Interview, "Tracing Lippo Empire's Campaign Links; Records Indicate Calif. Firm Run by Huang May Have Funneled Funds," Lena H. Sun, Washington Post, July 7, 1997. Copy Supplied.

Interview, "Hearings to Investigate Money Abuses; Both Political Parties Must Defend Allegations of Financial Corruption in the 1996 Elections," Steven Thomma, Akron Beacon Journal (OH), July 6, 1997. Copy Supplied.

Interview, "Who Bought the 1996 Election? Thompson Hoping to Find the Answers," Steven Thomma, Charleston Gazette (WV), July 6, 1997. Copy Supplied.

Interview, "Fund-Raising Hearings on Tap; Democrats Hoping for Few New Revelations," Lynn Sweet, Chicago Sun-Times, July 6, 1997. Copy Supplied.

Interview, "Battle Lines Drawn for GOP-Led Probe of Campaign Financings," Steven Thomma, Miami Herald, July 6, 1997. Copy Supplied.

Interview, "Senate Probes Campaign Funds," Steven Thomma, The Post and Courier (Charleston, SC), July 6, 1997. Copy Supplied.

Interview, "Campaign-Finance Hearings to Put Election Dealings Under Microscope," Steven Thomma, Charlotte Observer, July 4, 1997. Copy Supplied.

Interview, "White House Aides, Democrats Face Subpoenas," James W. Brosnan, Commercial Appeal (TN), July 3, 1997. Copy Supplied.

Interview, "Democrats Sear GOP Over Probes into Campaign; Contend Congress' Inquiries Cripple the Party with Debt," Lance Gay & Jim O'Connell, Pittsburgh Post-Gazette, July 3, 1997. Copy Supplied.

Interview, "GOP Accused of Abusing Power in Harassment of Top Democrats," Lance Gay & Jim O'Connell, Plain Dealer (Cleveland, OH), July 3, 1997. Copy Supplied.

Interview, "Probe Into Campaign Finances Set to Begin," Judy Keen & Tom Squitieri, USA Today, July 3, 1997. Copy Supplied.

Interview, "Senate Panel Subpoenas 30 in Campaign-Finance Probe, Lindsey, Ickes, Williams Among Those Called to Testify," Mary Ann Akers, Washington Times, July 3, 1997. Copy Supplied.

Interview, "DNC Seen as Exploring Foreign Funding in 1991; Politics: Overseas

Trip Led by Then-Chairman Ron Brown Explored Avenues for Contributions, Records Show," Alan C. Miller, L.A. Times, June 30, 1997. Copy Supplied.

Interview, "Feeling Devalued by Change, Doctors Seek Union Banner," Peter T. Killborn, N.Y. Times, May 30, 1996. Copy Supplied.

Interview, The Nightly Business Report, February 16, 1995. Copy Supplied.

Interview, Fox Morning News, December 13, 1993. No Copy Available.

Interview, Fox Morning News, October 8, 1993. No Copy Available.

Interview, Fox Morning News, August 23, 1993. No Copy Available.

Interview, Fox Morning News, August 8, 1993. No Copy Available.

Interview, Fox Morning News, June 3, 1993. No Copy Available.

Interview, Fox Morning News, May 15, 1993. No Copy Available.

Interview, "Potash-Lawsuit," Canadian Press, April 6, 1993. Copy Supplied.

Interview, "Court Makes Schools Liable for Sex Bias," Aaron Epstein, Miami Herald, February 27, 1992. Copy Supplied.

13. **Public Office, Political Activities and Affiliations**:

a. List chronologically any public offices you have held, other than judicial offices, including the terms of service and whether such positions were elected or appointed. If appointed, please include the name of the individual who appointed you. Also, state chronologically any unsuccessful candidacies you have had for elective office or unsuccessful nominations for appointed office.

Deputy Assistant Attorney General, Office of Legislative Affairs, U.S. Department of Justice, appointed by President Barack Obama (3/13).

Principal Deputy Assistant Attorney General, Office of Legislative Affairs, U.S. Department of Justice, designated by Attorney General Holder (4/13 to Present).

b. List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

1980: Kennedy for President, Advance Staff

1984: Mondale/Ferraro Campaign, Scheduler

1988: Dukakis/Bentsen Campaign, Advisor

1996: Clinton/Gore Campaign, Surrogate Scheduler

2004: Kerry/Edwards Campaign, Scheduler

14. **Legal Career:** Answer each part separately.

a. Describe chronologically your law practice and legal experience after graduation from law school including:

i. whether you served as clerk to a judge, and if so, the name of the judge, the court and the dates of the period you were a clerk;

U.S. District Court for the District of Columbia
Honorable Thomas A. Flannery
1977 – 78
Law Clerk
333 Constitution Avenue, NW
Washington, D.C., 20001

ii. whether you practiced alone, and if so, the addresses and dates;

I have not practiced alone.

iii. the dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been affiliated, and the nature of your affiliation with each.

U.S. Department of Justice
Office of Legislative Affairs
2013 – Present
Principal Deputy Assistant Attorney General
950 Pennsylvania Avenue, NW
Washington, D.C. 20530

U.S. Department of Justice
Office of Legislative Affairs
2013 – 2013
Deputy Assistant Attorney General
950 Pennsylvania Avenue, NW
Washington, D.C. 20530

Dickstein Shapiro LLP
1986 – 2013
Partner
1825 Eye St. NW
Washington, D.C. 20006

Dickstein Shapiro LLP
1980 – 1985
Associate
1825 Eye St. NW
Washington, D.C. 20006

U.S. Department of Justice, United States Attorney's Office
1978 – 1980
Assistant United States Attorney
555 4th Street NW
Washington, D.C. 20530

iv. whether you served as a mediator or arbitrator in alternative dispute resolution proceedings and, if so, a description of the 10 most significant matters with which you were involved in that capacity.

I have never served as a mediator or arbitrator.

b. Describe

i. the general character of your law practice and indicate by date when its character has changed over the years.

My law practice at Dickstein Shapiro focused on complex civil and antitrust litigation. I have represented clients in matters before the FTC, DOJ, state attorneys general, various state enforcement agencies and Congress. I also provided antitrust advice and counseling to Fortune 500 companies, trade associations, and labor organizations.

ii. your typical clients and the areas at each period of your legal career, if any, in which you have specialized.

My typical clients included large companies involved in litigation or regulatory matters, such as Meritor, Inc. (and it predecessors ArvinMeritor, Inc., Arvin Industries, Inc., and Maremont Corp.), Duke Energy Corporation and Pfizer Inc. In other matters, I represented L-3 Corporation in a breach of contract matter, and First Chicago International, which was the victim of a check-kiting scheme.

I have also represented individuals and entities with respect to inquiries by congressional committees and state attorneys general, including Duke Energy Corporation, Meritor, Inc., Democratic National Committee, Financial Crisis Inquiry Commission, Martha Johnson, Jonathan Sliver, Wal-Mart, and others.

I also have represented entities with respect to mergers and acquisitions or in opposition to proposed transactions. Such clients have included National Association of Chain Drug Stores, Harbour Group, Brynwood Partners, and AT&T.

I helped form and represented labor management associations designed to provide a vehicle for employers and labor organizations to advocate for policies to promote domestic employment and job growth.

c. Describe the percentage of your practice that has been in litigation and whether you appeared in court frequently, occasionally, or not at all. If the frequency of your appearances in court varied, describe such variance, providing dates.

I would estimate that over the course of more than 32 years in private practice, approximately 75% of my practice was litigation. I appeared in court frequently.

i. Indicate the percentage of your practice in:
   1. federal courts;
   2. state courts of record;
   3. other courts;
   4. administrative agencies

Dickstein Shapiro LLP (1980 – 2013)

1. federal courts; 80%
2. state courts of record; 20%

3. other courts; 0%
4. administrative agencies 0%

United States Attorney's Office, U.S. Department of Justice (1978 – 1980)
1. federal courts; 0%
2. state courts of record; 100%
3. other courts; 0%
4. administrative agencies 0%

ii. Indicate the percentage of your practice in:
1. civil proceedings;
2. criminal proceedings.

Dickstein Shapiro LLP (1980 – 2013)
1. civil proceedings; 95%
2. criminal proceedings. 5%

United States Attorney's Office, U.S. Department of Justice (1978 – 1980)
1. civil proceedings; 0%
2. criminal proceedings. 100%

d. State the number of cases in courts of record, including cases before administrative law judges, you tried to verdict, judgment or final decision (rather than settled), indicating whether you were sole counsel, chief counsel, or associate counsel.

During my tenure as an Assistant U.S. Attorney, I estimate that I tried 20-25 cases to verdicts as sole counsel. In private practice, I tried one case to judgment as associate counsel, obtained summary or default judgments or dismissals as chief counsel in approximately three cases.

i. What percentage of these trials were:
1. jury; 45%
2. non-jury. 55%

e. Describe your practice, if any, before the Supreme Court of the United States. Supply four (4) copies of any briefs, amicus or otherwise, and, if applicable, any oral argument transcripts before the Supreme Court in connection with your practice.

I have been a member of the Supreme Court bar since 1982. My practice did not frequently result in petitions for certiorari to the Supreme Court; however, I have served as counsel to clients who have submitted amicus briefs in connection with two Supreme Court cases.

1. *Franklin v. Gwinnett County Public Schools, et al.*, 503 U.S. 60 (1992). I was a lead counsel on a brief filed on behalf of the American Council of the Blind, Disability Rights Education and Defense Fund, Inc., and ten other disability rights advocacy and service organizations. Copy supplied.

2. *Quality King Distributors, Inc. v. L'Anza Research International, Inc.*, 523 U.S. 135 (1998). I served as co-counsel on an amicus brief filed on behalf of National Association of Chain Drug Stores and other entities in this case. Copy supplied.

15. **Litigation**: Describe the ten (10) most significant litigated matters which you personally handled, whether or not you were the attorney of record. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the case. Also state as to each case:

    a. the date of representation;

    b. the name of the court and the name of the judge or judges before whom the case was litigated; and

    c. the individual name, addresses, and telephone numbers of co-counsel and of principal counsel for each of the other parties.

    The following lists of counsel identify primary and/or liaison counsel in cases where a full list of counsel would be unduly lengthy. These lists have been complied to the best of my ability from publicly available sources, as well as my personal recollection and records; however, due to the age of certain cases and the number of counsel involved, some information may be outdated or incomplete. Publicly available electronic records regarding each of the federal cases listed below may be viewed on the PACER website at https://pacer.login.uscourts.gov/cgi-bin/login.pl.

    (1) *In re Aftermarket Filters Antitrust Litigation*, 08-cv-4883 (N.D. Ill.)

    Judge(s): Hon. Robert W. Gettleman
    Hon. Geraldine Soat Brown
    Date of Rep.: 2008-2013
    Client(s): ArvinMeritor, Inc.; Purolator Products, NA LLC; Purolator Products Company LLC

    Description: I served as lead counsel for ArvinMeritor, Inc. (now Meritor, Inc.), and its former Purolator Products subsidiaries, in a multi-district litigation in the U.S. District Court for the Northern District of Illinois involving price-fixing allegations in the automotive aftermarket filters industry. This case involved allegations of a wide-ranging conspiracy dating back to 1999 that included every major U.S. manufacturer of automotive filters. Separate putative class action cases also were brought in Canada. The plaintiffs in the U.S. litigation included three putative classes of filter purchasers, as well as the State of Florida and a qui tam plaintiff (an alleged "whistleblower"). The DOJ also investigated the defendants in this litigation, but closed its investigation in early 2010 without any finding of wrongdoing. The qui tam action was dismissed with prejudice as to the whistleblower.

    Disposition: All U.S. actions were settled and dismissed; settlement discussions are ongoing in Canadian actions.

Counsel:

Party	Lead Counsel
Co-Lead Counsel, Direct Purchasers	Bernard Persky Labaton Sucharow LLP 140 Broadway, 23rd Floor New York, NY 10005 (212) 907-0700
Co-Lead Counsel, Direct Purchasers	Michael Jerry Freed Freed Kanner London & Millen, LLC 2201 Waukegan Road, Suite 130 Bannockburn, IL 60015 (224) 632-4500
Co-Lead Counsel, Direct Purchasers	Roberta D. Liebenberg Fine, Kaplan and Black, R.P.C. One South Broad Street, 23rd Floor Philadelphia, PA 19107 (215) 567-6565
Co-Lead Counsel, Direct Purchasers	Stuart V. Kusin Susman Godfrey LLP 1000 Louisiana, #5100 Houston, TX 77002 (713) 653-7812
Co-Lead Counsel, Indirect Purchasers	Christopher Lovell Lovell Stewart Halebian, LLP 61 Broadway, Suite 501 New York, NY 10006 (212) 608-1900
Co-Lead Counsel, Indirect Purchasers	Michael E. Jacobs Zelle, Hofmann, Voelbel, Mason & Gette, LLP 500 Washington Avenue South, Suite 4000 Minneapolis, MN 55415 (612) 339-2020
Co-Lead Counsel, Indirect Purchasers	Renae Steiner Heins Mills & Olson, P.L.C. 310 Clifton Avenue Minneapolis, MN 55403 (612) 338-4605
Co-Lead Counsel, Indirect Purchasers	Mario N. Alioto Trump, Alioto, Trump & Prescott 2280 Union Street San Francisco, CA 94123 (415) 563-7200

Party	Lead Counsel
Co-Lead Counsel, Gasoline Retailers	Gary D. McCallister Gary D. McCallister & Associates, Ltd. 120 North LaSalle Street, Suite 2800 Chicago, IL 60602 (312) 345-0611
Co-Lead Counsel, Gasoline Retailers	Martin R Fox Bleau Fox PLC 3575 Cahuenga Blvd West Ste 580 Los Angeles, CA 90068 (323) 874-8613
State of Florida	Christopher R. Hunt Office of The Attorney General of Florida Antitrust Division PL-01 The Capitol Tallahassee, FL 32399 (850) 414-3300
Affinia, Inc. & Wix Filtration Corp. LLC	John D. Briggs Axinn Veltrop Harkrider LLP 950 F. Street NW Washington, DC 20004 (202) 721-5400
Honeywell International, Inc.	Richard G. Parker O'Melveny & Myers LLP 1625 Eye Street NW Washington, DC 20006 (202) 383-5300
Champion Labs., Inc.	Margaret M. Zwisler Latham & Watkins LLP 55 Eleventh Street, NW, Suite 1000 Washington, DC 20004-1304 (202) 637-2200
Cummins Filtration Inc.	Michael A. Paskin Cravath Swaine & Moore LLP Worldwide Plaza 825 8th Avenue New York, NY 10019 (212) 474-1760
Donaldson Company, Inc.	James T. McKeown Foley & Lardner LLP 777 East Wisconsin Avenue Milwaukee, WI 53202 (414) 297-5530
Baldwin Filters, Inc.	Darrell Prescott Baker & McKenzie LLP 452 Fifth Avenue New York, New York 10018 (212) 626-4476

(2) *In re Air Cargo Shipping Service Antitrust Litigation*, 06-md-01775 (E.D.N.Y.); 11-5464-cv (2d Cir.)

Judge(s): Hon. John Gleeson (E.D.N.Y.)
Hon. Viktor V. Pohorelsky (E.D.N.Y.);
Hon. Jacobs, Kearse, and Hall (2d Cir.)
Date of Rep.: 2010-2012
Client(s): Saudi Arabian Airlines, Ltd.

Description: I served as lead counsel for Saudi Arabian Airlines beginning in October 2010 in a putative class action multi-district litigation alleging price-fixing by airlines that provided air cargo services into or out of the United States. Plaintiffs alleged an international conspiracy among airlines to fix prices with respect to such air cargo services. The claims by the indirect purchaser plaintiffs were dismissed in September 2009 on preemption grounds, and that dismissal was affirmed by the 2nd Circuit (October 2012). *See In re Air Cargo Shipping Servs. Antitrust Litig.*, 697 F.3d 154 (2d Cir. 2012). Saudi Arabian Airlines reached a settlement agreement with the direct purchaser plaintiffs, which was approved by the court in August 2012.

Disposition: Indirect purchaser actions were dismissed; direct purchaser actions were settled and dismissed.

Counsel:

Party	**Lead Counsel**
Co-Lead Counsel, Direct Purchaser Plaintiffs	Michael D. Hausfeld Hausfeld, L.L.P. 1700 K Street, NW Suite 650 Washington, DC 20006 (202) 540-7200
Co-Lead Counsel, Direct Purchaser Plaintiffs	Barbara J. Hart, Jay Himes Labaton Sucharow & Rudoff LLP 100 Park Avenue New York, NY 10017 (212) 907-0700
Co-Lead Counsel, Direct Purchaser Plaintiffs	Robert N. Kaplan Kaplan Fox & Kilsheimer LLP 805 Third Avenue 22nd Floor New York, NY 10022 (212) 687-1980
Co-Lead Counsel, Direct Purchaser Plaintiffs	Howard J. Sedran Levin, Fishbein, Sedran & Berman 510 Walnut Street, Suite 500 Philadelphia, PA 19106 (215) 592-1500

Party	Lead Counsel
Liaison Counsel for the Joint Defense Group	William R. Sherman Latham & Watkins LLP 555 Eleventh Street, NW Suite 1000 Washington, DC 20004 (202) 637-2200

(3) ***In re Western States Wholesale Natural Gas Antitrust Litigation, 03-cv-01431 (D. Nev.); 11-16786 (9th Cir.)***

Judge(s): Hon. Philip M. Pro (D. Nev.)
Hon. Peggy A. Leen (D. Nev.)
Hon. Bea, Watford, and Sessions (9th Cir.)
Date of Rep.: 2003-2012
Client(s): Duke Energy Corporation; Duke Energy Trading and Marketing, LLC

Description: I served as co-lead counsel for Duke Energy Corporation and Duke Energy Trading and Marketing, in a putative class action multi-district litigation that accused a group of energy trading companies of conspiring to manipulate the price of natural gas at markets throughout the United States. The plaintiffs alleged that the defendants made false transaction reports of prices and volumes to publishers of industry newsletters that published price "indices" used as price reference points in natural gas transactions. Dozens of suits arising from this alleged conduct were brought on behalf of classes of buyers located in several states (California, Colorado, Kansas, Missouri, Tennessee, and Wisconsin) in both state and federal courts, with claims under both state and federal antitrust and consumer protection laws. Duke Energy and other defendants won summary judgment on grounds of federal pre-emption in July 2011, and the cases were appealed to the Ninth Circuit. The Ninth Circuit reversed the decision on federal preemption (April 2013). *See In re Western States Wholesale Natural Gas Antitrust Litigation*, --- F.3d ---, 2013 WL 1449919 (9th Cir.).

Disposition: Summary judgment granted; however, the Ninth Circuit reversed the decision.

Counsel:

Party	Counsel
Co-Lead Counsel for Plaintiffs	Michael J. Boni Kohn, Swift & Graf, P.C. One South Broad Street, Suite 2100 Philadelphia, PA 19107 (215) 238-1700
Co-Lead Counsel for Plaintiffs	Marc H. Edelson Hoffman & Edelson 45 W. Court Street Doylestown, PA 18901 (215) 230-8043
Co-Lead Counsel for Plaintiffs	Craig C. Corbitt Zelle, Hofmann, Weibel, Mason & Gette LLP 44 Montgomery Street, Suite 3400 San Francisco, CA 94104 (415) 693-0700
Co-Lead Counsel for Plaintiffs	Susan G. Kupfer Glancy, Binkow & Goldberg LLP 455 Market Street, Suite 1810 San Francisco, CA 94105 (415) 972-8160
Defendant Coral Energy Resources L.P.	Joshua D. Lichtman Fulbright & Jaworski LLP 865 S. Figueroa Street, 29th Floor Los Angeles, CA 90017-2571 (213) 892-9200
Defendant AEP Energy Services, Inc.	Steven J. Routh Jonathan Abram Hogan & Hartson LLP 555 Thirteenth Street, NW Washington, DC 2004-1109 (202) 637-5600
Defendants Xcel Energy, Inc.; eprime, Inc.; and Northern States Power Company	Michael J. Miguel David Peterson Morgan Lewis & Bockius LLP 300 South Grand Avenue, Suite 2200 Los Angeles, CA 90071-3132 (213) 612-2500
Defendants Dynegy Inc.; Dynegy GP Inc.; DMT Holdings, L.P.; and Dynegy Marketing and Trade	Michael J. Kass Douglas R. Tribble Pillsbury Winthrop LLP 101 West Broadway, Suite 1800 San Diego, CA 92101 (619) 234-5000

Party	Counsel
Defendants Cantera Gas Company; Cantera Natural Gas, Inc.; CMS Energy; and CMS Marketing Services and Trading Company	Mark E. Haddad Sidley Austin Brown & Wood LLP 555 West 5th Street, 40th Floor Los Angeles, CA 90013 (213) 896-6000
Defendants Encana Corporation; Encana Energy Services, Inc.; WD Energy Services, Inc.	Robert A. Sacks Sullivan & Cromwell LLP 1888 Century Park East, 21st Floor Los Angeles, CA 90067 (310) 712-6600
Defendants ONEOK, Inc.; ONEOK Energy Services Company, L.P. (f/k/a ONEOK Energy Marketing and Trading Company, L.P.); and Kansas Gas Marketing Company	Oliver S. Howard Gable & Gotwals 100 W 5th St # 1100 Tulsa, OK 74103 (918) 595-4800
Defendants Reliant Energy, Inc. and Reliant Energy Services, Inc.	J. Gregory Copeland Baker Botts LLP One Shell Plaza 910 Louisiana Street Houston, Texas 77002-4995 (713) 229-1301
Defendant CenterPoint Energy, Inc.	Orrin L. Harrison, III Akin Gump Strauss Hauer & Feld LLP 1700 Pacific Avenue, Suite 4100 Dallas, TX 75201-4624 (214) 969-2860
Sempra Energy, Southern California Gas Company, and San Diego Gas & Electric Company	Richard P. Levy Gibson, Dunn & Crutcher LLP 333 S. Grand Ave. Los Angeles, CA 90071 (213) 229-7000 Aan Z. Yudkowsky Stroock & Stroock & Lavan LLP 2029 Century Park East, Suite 1800 Los Angeles, CA 90067-3086 (310) 556-5800
Sempra Energy Trading LLC (f/k/a Sempra Energy Trading Corp.)	William R. Urga Jolley Urga Wirth Woodbury & Standish 3800 Howard Hughes Pkwy Las Vegas, NV 89169 (702) 699-7500
Defendant Western Gas Resources, Inc.	James E. Scarboro Arnold & Porter LLP Suite 4400 370 Seventeenth Street Denver, CO 80202-1370 (303) 863-2311

Party	Counsel
Defendants The Williams Companies, Inc.; Williams Merchant Services Company, Inc.; and Williams Power Company, Inc. (f/k/a Williams Energy Marketing & Trading Company)	Graydon Dean Luthey, Jr. HALL, ESTILL, HARDWICK, GABLE, GOLDEN & NELSON, P.C. 320 South Boston Avenue Suite 200 Tulsa, OK 74103-3706 (918) 594-0400 Jeffrey Shohet DLA Piper Rudnick Gray Cary US LLP 4365 Executive Drive, Suite 1100 San Diego, CA 92121-2133 (858) 677-1400
Defendants El Paso Corporation and El Paso Marketing, L.P. (f/k/a El Paso Merchant Energy, L.P.)	Stacy Williams Locke Lord Bissell & Liddell LLP 2800 JPMorgan Chase Tower 600 Travis Houston, Texas 77002 (713) 226-1297 Diane E. Pirtchard Morrison & Foerster LLP 425 Market Street San Francisco, CA 94105-2482 (415) 268-7000

(4) ***In re Natural Gas Commodity Litigation, 03-cv-06186 (S.D.N.Y.)***

Judge(s): Hon. Victor Marrero
Hon. Andrew Peck
Date of Rep.: 2003-2006
Client(s): Duke Energy Corporation; Duke Energy Trading and Marketing, LLC

Description: I served as lead counsel for Duke Energy Corporation and Duke Energy Trading and Marketing, in defending consolidated putative class action suits alleging violations of the Commodity Exchange Act and aiding and abetting violations by numerous defendants. The suits were brought on behalf of entities that traded natural gas futures and options contracts on the New York Mercantile Exchange during 2000 through 2002. The plaintiffs alleged that the defendants reported false and misleading trading information to trade publications and that certain defendants not including Duke Energy, engaged in "wash trades" for the purpose of artificially inflating the price of natural gas.

Disposition: Duke Energy Corporation was dismissed in 2004; Duke Energy Trading and Marketing settled with the plaintiffs and was dismissed from the action in 2006.

Counsel:

Party	Counsel
Co-Lead Counsel for Plaintiffs Cornerstone Propane Partners, L.P.; Roberto E. Calle Gracey; and Dominick Viola, Jr.	Bernard Persky Goodkind Labaton Rudoff & Sucharow LLP 140 Broadway New York, NY 10005 (212) 907-0700
Co-Lead Counsel for Plaintiffs Cornerstone Propane Partners, L.P.; Roberto E. Calle Gracey; and Dominick Viola, Jr.	Burton H. Finkelstein Finkelstein, Thompson & Loughran James Place 1077 30th Street, N.W. Suite #150 Washington, DC 20007 (202) 337-8000
Co-Lead Counsel for Plaintiffs Cornerstone Propane Partners, L.P.; Roberto E. Calle Gracey; and Dominick Viola, Jr.	Stephen Lowey Lowey Dannenberg Cohen & Hart, P.C. (f/k/a Lowey Dannenberg Bemporad & Selinger, P.C.) White Plains Plaza One North Broadway White Plains, NY 10601-2310 (914) 733-7217
Co-Lead Counsel for Plaintiffs Cornerstone Propane Partners, L.P.; Roberto E. Calle Gracey; and Dominick Viola, Jr.	Christopher Lovell Lovell Stewart Halebian, LLP 61 Broadway, Suite 501 New York, NY 10006 (212) 608-1900
Co-Lead Counsel for Plaintiffs Cornerstone Propane Partners, L.P.; Roberto E. Calle Gracey; and Dominick Viola, Jr.	Louis F. Burke Louis F. Burke, P.C. 460 Park Ave # 21 New York, NY 10022 (212) 682-1700
Counsel for American Electric Power Co., Inc. and AEP Energy Service, Inc.	Steven J. Routh Hogan & Hartson LLP 555 13th St NW # 800E Washington, DC (202) 637-5600
Counsel for Aquila Energy Marketing Corp. and Aquila Merchant Services, Inc.	William Molinski Orrick, Herrington & Sutcliffe LLP 777 South Figueroa Street Suite 3200 Los Angeles, CA 90017-5855 (213) 612-2256

Party	Counsel
Counsel for Calpine Energy Services, L.P.; Enserco Energy, Inc.	Richard P. Swanson Veronica Rendon Arnold & Porter LLP 399 Park Avenue New York, NY 10022-4690 (212) 715-1000
Counsel for Cinergy Marketing & Trading, L.P.	Steven M. Bierman Sidley Austin Brown & Wood 787 Seventh Avenue New York, New York 10019 (212) 839-5510
Counsel for Cantera Gas Company LLC; CMS Energy Resource Management Company	Daniel A. Mullen McDermott, Will & Emery 500 North Capitol St NW Washington, DC 20001 (202) 756-8000
Counsel for Cook Inlet Energy Supply LLC	Mary Anne Sullivan Hogan & Hartson LLP 555 13th Street, NW Washington, DC 20004 (202) 637-5600
Counsel for Coral Energy Resources, L.P.	Joshua D. Lichtman Fulbright & Jaworski LLP 865 S. Figueroa Street, 29th Floor Los Angeles, CA 90017-2571 (213) 892-9200
Counsel for Dynegy Marketing & Trade, West Coast Power, LLC	Douglas R. Tribble Pillsbury Winthrop LLP 101 West Broadway, Suite 1800 San Diego, CA 92101 (619) 234-5000
Counsel for El Paso Merchant Energy, L.P.	Stephanie J. Goldstein Fried Frank Harris Shriver & Jacobson LLP One New York Plaza New York, New York 10004 (212) 859-8254
Counsel for Entergy-Koch Trading, L.P.	Kenneth M. Raisler Sullivan & Cromwell LLP 125 Broad Street New York, New York 10004-2498 (212) 558-4000
Counsel for e prime, Inc.	Robert C. Micheletto Jones Day LLP 222 East 41st Street New York, New York 10017-6702 (212) 326-3690

Party	Counsel
Counsel for MidAmerican Energy Co.	Robert A. Jaffe Kutak Rock LLP 1101 Connecticut Avenue, N.W. Suite 1000 Washington, DC 20036 (202) 828-2434
Counsel for Mieco, Inc.	Richard T. Marooney, Jr. King & Spalding LLP 1185 Avenue of the Americas New York, NY 100036 (212) 556-2100
Counsel for ONEOK Energy and Marketing Company, L.P. and ONEOK, Inc.	Karen Michele Crupi Heller Ehrman, LLP Times Square Tower 7 Times Square New York, NY 10036-6524 (212) 832-8300
Counsel for Reliant Energy Services, Inc.	Greg Copeland Baker Botts LLP One Shell Plaza 910 Louisiana Street Houston, Texas 77002-4995 (713) 229-1301
Counsel for Sempra Energy Trading	Aan Z. Yudkowsky Stroock & Stroock & Lavan LLP 2029 Century Park East, Suite 1800 Los Angeles, CA 90067-3086 (310) 556-5800
Counsel for WD Energy Services, Inc.	Robert A. Sacks Sullivan & Cromwell LLP 1888 Century Park East, 21st Floor Los Angeles, CA 90067 (310) 712-6600
Counsel for Western Gas Resources, Inc.	Peter E. Greene Skadden, Arps, Slate, Meagher & Flom LLP 4 Times Square New York, New York 10036 (212) 735-3620
Counsel for Williams Energy Marketing and Trading Company, Williams Companies, Inc.	Mark Hamer Gray Cary Ware & Freidenrich, LLP 4365 Executive Dr., Suite 1100 San Diego, CA 92121 (858) 638-6758

(5) ***L-3 Communications Avionics Systems, Inc. v. Cirrus Design Corporation, 09-cv-01405 (D. Minn.)***

Judge(s): Hon. Patrick J. Schiltz
Hon. Leo I. Brisbois

Date of Rep.: 2009-2010

Client(s): L-3 Communications Avionics Systems, Inc.

Description: I served as lead counsel for L-3 Communications Avionics Systems, Inc. in a contract dispute involving the development of a proprietary system for a new model of aircraft. The defendant company had terminated the contract but refused to pay the amounts owed pursuant to a termination clause, for the investments made and development expenses incurred by L-3 Communications. After L-3 Communications prevailed on a significant discovery motion, the defendant entered into settlement negotiations.

Disposition: The parties reached a favorable settlement and agreed to dismiss the action.

Counsel:

Party	**Counsel**
Cirrus Design Corporation	Peter W. Carter Dorsey & Whitney LLP 50 S 6th St Ste 1500 Minneapolis, MN 55402-1498 (612) 340-5635

(6) ***Clayworth et al. v. Pfizer, Inc. et al., RG04172428 (Cal. Super. Ct.); A131804 (Cal. Ct. App.)***

Judge(s): Hon. Harry Sheppard (Cal. Sup. Ct.)
Hon. Ronald Sabraw (Cal. Sup. Ct.)
Hon. Kline, Haerle, and Richman (Cal. Ct. App.)
Date of Rep.: 2004-2010
Client(s): Pfizer, Inc.

Description: I served as lead counsel for Pfizer, Inc. in defending this litigation, an action by certain California pharmacies against Pfizer, other prescription drug manufacturers, and the trade association PhRMA, alleging state antitrust and unfair competition law violations. Plaintiffs asserted that defendants conspired to fix the prices of their prescription drugs sold in the United States at prices higher than those of the same drugs in Canada. Defendants prevailed at the state trial court level on their motion for summary judgment on the merits, and the California Court of Appeal affirmed this ruling in favor of Pfizer and the other defendants (August 2012). (unpublished).

Disposition: The California Court of Appeal affirmed the lower court's decision granting summary judgment in favor of Pfizer and the other defendants.

Counsel:

Party	**Counsel**
Counsel for Plaintiffs	Joseph M. Alioto Alioto Law Firm 555 California Street, 31st Floor San Francisco, CA 94104 (415) 434-8900

Counsel for Plaintiffs	Russell F. Brasso Foreman & Brasso 930 Montgomery Street, Suite 600 San Francisco, CA 94133 (415) 433-3475
Counsel for Plaintiffs	Jeffery K. Perkins Law Offices of Jeffery K. Perkins 1275 Columbus Avenue, Suite 208 San Francisco, CA 94133 (415) 474-3833
Counsel for Plaintiffs	John H. Boone Law Offices of John H. Boone 555 California Street, Suite 3160 San Francisco, CA 94104 (415) 434-1133
Counsel for Plaintiffs	James M. Dombroski Law Offices of James M. Dombroski P.O. Box 751027 Petaluma, CA 94975 (707) 762-7807
Counsel for Plaintiffs	Gary D. McCallister Gary D. McCallister & Associates 120 North LaSalle Street, Suite 2800 Chicago, IL 60602 (312) 346-0611
Attorneys For Defendant Abbott Laboratories	Tyler M. Paetkau Nicole P. Dogwill Winston & Strawn, LLP 101 California Street San Francisco, CA 94111 -5802 (415) 591-1000 James F. Hurst Susan A. Pipal Winston & Strawn, LLP 35 W. Wacker Drive Chicago, IL 60601 (312) 558-5600
Attorneys For Defendant Allergen, Inc.	Jeffrey T. Thomas James N. Knight Gibson, Dunn & Crutcher LLP 3161 Michelson Drive Irvine, CA 92612-4412 (949) 451-3800

Attorneys for Defendant Amgen Inc.	Steven O. Kramer Sheppard, Mullin, Richter & Hampton LLP 333 South Hope Street, 48th Floor Los Angeles, CA 90071-1448 (213) 620-1780 Joseph H. Young Steven F. Barley Hogan & Hartson LLP 111 South Calvert Street Baltimore, MD 21201 (410) 659-2775
Attorneys For Defendant AstraZeneca LP	William Fenrich Arthur Golden Davis, Polk & Wardwell LLP 450 Lexington Avenue New York, NY 10017 Telephone: (212) 450-4000 Peter A. Strotz William E. Steimle Filice Brown Eassa & McLeod LLP Lake Merritt Plaza 1999 Harrison Street, 18th Floor Oakland, CA 946 12-0850 (510) 444-3131
Attorneys For Defendant Boehringer Ingelheim Pharmaceuticals, Inc.	Jeffrey A. LeVee Jones Day 1755 Embarcadero Road Palo Alto, CA 94303 (650) 687-4166
Attorneys For Defendant Bristol-Myers Squibb Company	Elizabeth L. Grayer Jessica Burturla Cravath, Swaine & Moore LLP 825 Eighth Avenue New York, NY 10019 (212) 474- 1000 Paul J. Riehle Matthew A. Fischer Sedgwick, Detert, Moran & Arnold LLP One Market Plaza Steuart Tower, 8th Floor San Francisco, CA 94105 (415) 781-7900

Attorney for Defendant Eli Lilly	Michele Floyd Reed Smith LLP Two Embarcadero Center, Suite 2000 San Francisco, CA 94111 (415) 543-8700 Gary Hansen David P. Graham Oppenheimer Wolff & Donnelly Plaza VII, Suite 3300 45 South Seventh Street Minneapolis, MN 55402-1609 (612) 607-7584
Attorneys for Defendant GlaxoSmithKline PLC	George S. Cary Matthew I. Bachrack David Gelfand Cleary Gottlieb Steen & Hamilton LLP 2000 Pennsylvania Avenue, NW Washington, DC 20006 (202) 974-1500 Alexander F. Wiles John C. Keith Irell & Manella LLP 1800 Avenue of the Stars, Suite 900 Los Angeles, CA 90067-4276 (310) 277-1010
Attorneys for Defendant Hoffman-La Roche	H. Christian L'Orange Drinker Biddle & Reath LLP 50 Fremont Street, 20th Floor San Francisco, CA 94105-2235 (415) 591-1730 Paul H. Saint-Antoine Drinker Biddle & Reath LLP One Logan Square 18th & Cherry Streets Philadelphia, PA 19103-6996 (215) 988-2700
Attorneys for Defendants Johnson & Johnson Health Care Systems, Inc., Janssen Pharmaceutical, Inc., Ortho Biotech, Inc., and Ortho-McNeil Pharmaceutical, Inc.	Beatrice B. Nguyen Cecilia Ogbu Tracy Reichmuth Crowell & Moring LLP 275 Battery Street, 23rd Floor San Francisco, CA 941 11 (415) 986-2800
Attorneys for Defendant Merck Sharp & Dohme Corp.	Rita M. Haeusler Hughes Hubbard & Reed LLP 350 South Grand Avenue Los Angeles, CA 90071 (213) 613-2800

Attorneys For Defendant Novartis Pharmaceuticals Corporation	Aton Arbisser Kaye Scholer LLP 1999 Avenue of the Stars, Suite 1700 Los Angeles, CA 90067-6048 (310) 788-1000 Saul P. Morgenstern Kaye Scholer LLP 425 Park Avenue New York, NY 10022 (212) 836-8000 James A .O'Neal Faegre & Benson LLP 2200 Wells Fargo Center 90 South Seventh Street Minneapolis, MN 55402 (612) 766-8420
Attorneys for Defendant Pharmaceutical Research and Manufacturers of America	Margaret M. Zwisler Latham & Watkins LLP 55 Eleventh Street, NW, Suite 1000 Washington, DC 20004-1304 (202) 637-2200 Charles H. Samel Latham & Watkins LLP 355 South Grand Avenue Los Angeles, CA 90071-1560 (213) 485-1234
Attorneys for Defendant Wyeth	Ronald C. Redcay Arnold & Porter LLP 777 South Figueroa Street, 44th Floor Los Angeles, CA 90017-2513 (213) 243-4000 Douglas L. Wald Arnold & Porter LLP 555 Twelfth Street, NW Washington, DC 20004-1206 (202) 942-5000

(7) ***In re Canadian Import Antitrust Litigation, 04-cv-02724 (D. Minn.); 05-3873 (8th Cir.)***

Judge(s): Hon. Jonathan Lebedoff (D. Minn.)
Hon. Joan N. Ericksen (D. Minn.)
Hon. Susan Richard Nelson (D. Minn.)
Hon. Colloton, Gibson, and Loken (8th Cir.)
Date of Rep.: 2004-2006
Client(s): Pfizer, Inc.

Description: I served as lead counsel for Pfizer, Inc. in securing a complete dismissal of this action. A nationwide class of U.S. prescription drug purchasers filed this case against Pfizer and other manufacturers of prescription drugs alleging federal and state antitrust and state unfair competition violations. Plaintiffs alleged that defendants conspired to prevent the importation into the U.S. of lower-priced prescription drugs from Canada thereby forcing them to purchase higher-priced prescription drugs from U.S. pharmacies. In August 2005, defendants prevailed on their motion to dismiss the complaint in its entirety. On appeal by Plaintiffs, the Eighth Circuit unanimously affirmed the dismissal of the case (November 2006). *See In re Canadian Import Antitrust Litigation*, 470 F.3d 785 (8th Cir. 2006).

Disposition: The Eighth Circuit unanimously affirmed the trial court's dismissal of this action in favor of Pfizer and the other defendants.

Counsel:

Party	**Counsel**
Lead Counsel for Plaintiffs	Marvin A. Miller Jennifer W. Sprengel William R. Kane Miller Faucher and Cafferty LLP 30 North LaSalle Street Chicago, IL 60602 (312) 782-4880
Liaison Counsel for Plaintiffs	Daniel E. Gustafson Karla M. Gluek Gustafson Gluek PLLC 725 Northstar East 608 Second Avenue South Minneapolis, MN 55402 (612) 333-8844
Liaison Counsel for Defendants	Michael McCarthy Gary J. Haugen Maslon Edelman Borman & Brand LLP 3300 Wells Fargo Center 90 South Seventh Street Minneapolis, MN 55402 (612) 672-8347

(8) ***Sony Electronics, et al .v. Soundview Tech, Inc., 00-cv-00754 (D. Conn.); 2004-1057 (Fed. Cir.)***

Judge(s): Hon. Janet Bond Arterton (D. Conn.)
Hon. Newman, Rader, and Bryson (Fed. Cir.)
Date of Rep.: 2000-2005
Client(s): Consumer Electronics Association and Electronic Industries Alliance

Description: I served as lead counsel for two trade associations (Consumer Electronics Association and Electronic Industries Alliance) in litigation involving the holder of a patent for certain v-chip technology (Soundview Industries). Soundview

alleged patent infringement and a conspiracy to boycott licenses for its technology, which conspiracy was allegedly facilitated by the trade associations. The trial court ruled that there was no patent infringement and no antitrust violation. *See Sony Elecs. v. Soundview Techs.*, 225 F. Supp. 2d 164 (D. Conn. 2002); *Sony Elecs., Inc. v. Soundview Techs., Inc.*, 281 F. Supp. 2d 399 (D. Conn. 2003). The rulings were affirmed by the Federal Circuit (August 2004) (unpublished).

Disposition: The Federal Circuit affirmed the trial court's ruling, and the action was ultimately dismissed.

Counsel:

Party	**Counsel**
Hitachi America Ltd.	Kathleen McGillis Haley McDermott, Will & Emery 600 13th St., N.W. Washington, DC 20005-3096 (202) 756-8000
JVC Americas Corp.; Matsushita Electric Corp. of America	Morton Amster Amster, Rothstein & Ebenstein 90 Park Avenue 21St Floor New York, NY 10016 (212) 697-5995
Mitsubishi Digital Electronics America, Inc.; Mitsubishi Electronics America Inc.	Vincent J. Belusko Morrison & Foerster 555 W. Fifth St. Suite 3500 Los Angeles, CA 90013-1024 (213) 892-5593
Phillips Electronics NA Corp.	Garrand Russ Beeney Sullivan & Cromwell 125 Broad Street New York, NY 10004 (212) 558-4000
Pioneer Electronics (USA), Inc.	Keri R. Curtis Howrey, Simon, Arnold & White 550 So. Hope St., Suite 1400 Los Angeles, CA 90071 (213) 892-1800
Samsung Electronics America, Inc.	Robert A. Johnson Akin, Gump, Strauss, Hauer & Feld LLP 590 Madison Ave. New York, NY 10022 (212) 872-1077
Sharp Electronics Corp.	Robert W. Adams Nixon & Vanderhye 1100 N. Glebe Rd., 8th Fl. Arlington, VA 22201 (703) 816-4122

	William M. Bloss Koskoff, Koskoff & Bieder, P.C. 350 Fairfield Ave. Bridgeport, CT 06604 (203) 336-4421
Sony Corporation of America	Richard M. Steuer Mayer Brown Rowe & Maw LLP 1675 Broadway New York, NY 10019-5820 212-506-2530
Sony Electronics, Inc.	Richard L. DeLucia Kenyon & Kenyon One Broadway New York, NY 10004 (212) 425-7200
Soundview Tech., Inc.	John J. Bogdanski Howd & Ludorf 65 Wethersfield Ave. Hartford, CT 06114-1190 (860) 249-1361 Joseph L. Clasen Robinson & Cole 1055 Washington Boulevard 9th Floor Stamford, CT 06901-2249 (203) 462-7510
Thomson Consumer Electronics, Inc.	Peter C. McCabe, III. Winston & Strawn 35 West Wacker Drive Chicago, IL 60601 (312) 558-5600
Toshiba America Consumer Products Inc.	Thomas G. Gallatin, Jr. Latham & Watkins 885 Third Ave., 53rd Fl. Suite 1000 New York, NY 10022-4802 (212) 906-1200

(9) ***Chevron USA, Inc. v. GPM Gas Corporation, 97-cv-199 (W.D. Tex.); No. 99-50987 (5th Cir.)***

Judge(s): Hon. Lucius D. Bunton III (W.D. Tex.)
Hon. Politz, DeMoss, Stewart (5th Cir.)
Date of Rep.: 1999-2002
Client(s): GPM Gas Corporation

Description: I served as lead appellate counsel for GPM Gas Corporation (an affiliate of Duke Energy) in a contract dispute between Chevron and GPM as successors-in-interest to contracts involving the sale of casinghead gas. I was brought in as appellate counsel after a bench trial that resulted in a $13.8 million judgment

against GPM. GPM asserted numerous arguments on appeal, including a basis for voiding the underlying contractual provisions and that the claims were time barred. After oral argument, the Fifth Circuit affirmed the judgment of the trial court (June 2001) (unpublished). GPM filed a petition for certiorari to the Supreme Court, but it was denied.

Disposition: The Fifth Circuit affirmed the trial court's award of $13.8 million in damages. A petition for certiorari to the Supreme Court was denied.

Counsel:

Party	**Lead Counsel***
Chevron USA, Inc.	Steven Carroll Kiser Andrew Harper Estes Lynch, Chappell & Alsup, P.C. Suite 700 300 N. Marienfeld Street The Summit Midland, TX 79701-4322 (432) 683-3351
	*appellate counsel only listed.

(10) ***Sonitrol of Fresno, et al. v. AT&T, et al., 83-cv-2324 (D.D.C.)***

Judge(s): Hon. June L. Green
Date of Rep.: 1983-1987
Client(s): AT&T

Description: I served as counsel for AT&T in an action involving numerous allegations, including unlawful conspiracies in restraint of trade and monopolization relating to the development of remote alarm system services. Defendants successfully obtained summary judgment on antitrust claims in rulings that acknowledged that telephone company rates and facilities that were subject to state government regulation were exempt from attack under the federal antitrust laws. *See Sonitrol of Fresno, et al. v. AT&T, et al.*, 629 F. Supp. 1089 (D.D.C. 1986), and 1986-1 CCH Trade Reg. 67,107 (unpublished).

Disposition: The D.C. District Court granted summary judgment in favor of AT&T and its affiliates.

Counsel:

Party	**Lead Counsel****
Sonitrol Plaintiffs	David S. Cohen; Cohen & White, Washington, DC Brian E. Moran, Chapman & Moran, Stamford, Conn., Robert N. Kaplan, Kaplan, Kilsheimer & Foley, New York, NY William Knecht, Moraga, CA Henry M. Burwell, Barringer, Allen, Pinnex & Burwell, Greenville, SC
** No further contact information available due to age of litigation.	

16. **Legal Activities**: Describe the most significant legal activities you have pursued, including significant litigation which did not progress to trial or legal matters that did not involve litigation. Describe fully the nature of your participation in these activities. List any client(s) or organization(s) for whom you performed lobbying activities and describe the lobbying activities you performed on behalf of such client(s) or organizations(s). (Note: As to any facts requested in this question, please omit any information protected by the attorney-client privilege.)

In addition to extensive civil litigation, I represented and counseled numerous clients during my time in private practice in non-litigation matters. Such matters included criminal investigations, congressional investigations, and representation before the Federal Trade Commission (FTC), the Department of Justice (DOJ), state attorneys general, and various state enforcement agencies. I also counseled clients on voting/electoral reform efforts and matters of antitrust compliance, as well as in connection with mergers and acquisitions. I have described the most significant representative matters below.

Investigations:

- Represented the Democratic National Committee in connection with Congressional investigations and hearings into campaign finance and fundraising irregularities during the 1996 Presidential campaign.
- Represented then Chief of Staff to President Clinton, John Podesta, in various Congressional and other investigations related to the Monica Lewinsky matters.
- Represented public officials in Congressional investigations before the House Committee on Oversight and Government Reform and House Committee on Energy and Commerce. Representative clients include former U.S. Administrator of General Services at the General Services Administration (GSA), Martha Johnson, and former Executive Director of the Loan Programs Office at the Department of Energy, Jonathan Silver.
- Represented an automotive parts manufacturer in federal and state price-fixing investigations.
- Represented a major energy company in connection with federal and state criminal and regulatory investigations concerning production, distribution, and trading practices, all of which were resolved on favorable terms.
- Represented various trade associations and corporate clients in connection with the enforcement activities and investigations by state attorneys general.

Voting/Electoral Reform:

- Represented and counseled the Voter Participation Center (VPC, f/k/a Women's Voices. Women Vote) and Women's Voices. Women Vote Action Fund (WVWVAF) in its voter registration and electoral reform efforts, programs, and publications.
- Advised VPC and WVWVAF generally with regard to corporate structure and governance, fundraising and solicitation registration, and strategic planning.
- Advised the Boards of the VPC and WVWVAF.

Antitrust Compliance:

- Presented more than 100 antitrust compliance seminars and conducted more than a dozen antitrust audits for a Fortune 100 company, a major manufacturer of automotive replacement parts, the national trade association for mutual fund companies, a diversified supplier of energy services, a natural gas distributor, and a number of manufacturing enterprises, and trade associations
- Counseled clients on related antitrust matters and queries to ensure compliance with all applicable rules and regulations.

Labor Management Committees (LMCs):

- Provided advice on the formation of committees for labor-management cooperation to advocate for common issues affecting the industry relating to job creation and growth.
- Served as counsel to LMCs in various industries, including pharmaceuticals, timber, and oil and natural gas. Provided advice on corporate formation, tax status, registration, and record-keeping requirements. Attended annual meetings and counseled LMCs on antitrust matters to ensure compliance.

Mergers and Acquisitions:

- Represented the National Association of Chain Drug Stores (NACDS) in its joint opposition with the National Community Pharmacists Association (NCPA) to the Express Scripts, Inc. (ESI)-Medco Health Solutions (Medco) merger, including representation before FTC Commissioners, Committees of Congress, and various state attorneys general.
- Represented clients and made presentations to the FTC Commissioners and agency staff in defense of proposed mergers and acquisitions in a wide variety of industries for Harbour Group and other clients.
- Advised clients in connection with Hart Scott Rodino (HSR) filings and represented companies subject to second requests for information, negotiated compliance with such requests, and represented witnesses deposed by FTC and DOJ staff for Harbour Group and other clients.
- Counseled clients seeking to instigate FTC or DOJ activity with respect to mergers contemplated by their competitors or suppliers for NACDS and other clients.

Lobbying activities:

- From September to December of 2000, I was a registered lobbyist for the Harbour Group and worked on matters related to amendments to the Hart Scott Rodino Act.
- From 1999-2000, I was a registered lobbyist for Case Corporation and worked on matters related to the export of farm equipment to Russia.
- From January to July 2002, I was a registered lobbyist for the Cigar Association of American and worked on matters related to the labeling of cigars.
- From 1999 to 2007, I was a registered lobbyist for the International Brotherhood of Teamsters and worked on matters related to relief from provisions of a consent decree.

17. **Teaching**: What courses have you taught? For each course, state the title, the institution at which you taught the course, the years in which you taught the course, and describe briefly the subject matter of the course and the major topics taught. If you have a syllabus of each course, provide four (4) copies to the committee.

    None.

18. **Deferred Income/ Future Benefits**: List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients or customers. Describe the arrangements you have made to be compensated in the future for any financial or business interest.

    My capital account at Dickstein Shapiro LLP was $697,000 upon my withdrawal from the partnership. Absent acceleration, pursuant to the terms of the partnership agreement, I received approximately 25% of that amount on May 30, 2013, and will receive 33.33% of the remaining amount on the anniversary date in 2014, 2015, and 2016 with 6% interest on the deferred amounts. Also, as a U.S. government employee, I participate in the Thrift Savings Plan.

19. **Outside Commitments During Service**: Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service? If so, explain.

    None.

20. **Sources of Income**: List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, licensing fees, honoraria, and other items exceeding $500 or more (if you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here).

    See attached SF 278.

21. **Statement of Net Worth**: Please complete the attached financial net worth statement in detail (add schedules as called for).

    See attached Net Worth Statement.

22. **Potential Conflicts of Interest**:

    a. Identify the family members or other persons, parties, affiliations, pending and categories of litigation, financial arrangements or other factors that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to arise.

       In connection with the nomination process, I have consulted with the Office of Government Ethics and the Department of Justice's designated ethics official to identify potential conflicts of interest. Any potential conflicts of interest will be

resolved in accordance with the terms of an ethics agreement I have entered into with the Department's designated ethics official.

b. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.

In connection with the nomination process, I have consulted with the Office of Government Ethics and the Department of Justice's designated ethics official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement I have entered into with the Department's designated ethics official.

23. **Pro Bono Work**: An ethical consideration under Canon 2 of the American Bar Association's Code of Professional Responsibility calls for "every lawyer, regardless of professional prominence or professional workload, to find some time to participate in serving the disadvantaged." Describe what you have done to fulfill these responsibilities, listing specific instances and the amount of time devoted to each. If you are not an attorney, please use this opportunity to report significant charitable and volunteer work you may have done.

I served as chair of the pro bono committee for Dickstein Shapiro LLP from approximately 1990 to 1996 where I coordinated and encouraged pro bono service by all attorneys at the firm. I also served as co-chair of the firm's diversity committee for a number of years. I also represented and counseled numerous pro bono clients and supervised many associates in pro bono matters throughout my 32 years in private practice at Dickstein Shapiro LLP. Representative clients include the following:

- *Hovsepyan v. Broadcasting Board of Governors*, 1:10-cv-00868 (D.D.C): I represented a client in an employment discrimination and retaliation case under the Rehabilitation Act and the Age Discrimination in Employment Act. After the client's initial pro se complaint was dismissed, I was appointed by the court to represent him. The case is pending in the United States District Court for the District of Columbia, in front of Judge Rosemary Collyer. Upon joining the Department of Justice, I withdrew from the case.

- The Voter Participation Center (VPC, f/k/a Women's Voices. Women Vote): I provided ongoing counseling with regard to VPC's voter registration and reform efforts, including its programs and initiatives to register and turn out historically underrepresented groups at the polls.

- Disability Rights Education and Defense Fund, Inc.: As noted in Item 14(e) above, I co-authored an amicus brief submitted to the Supreme Court on their behalf that supported a right of damages under Title IX.

- The News Literacy Project: I provided ongoing counseling with regard to non-profit formation, corporate structure and board issues, fundraising solicitation registration, partnerships and contract issues, and general programming and strategic planning.

- People for The American Way: I represented employees of the organization in connection with third-party subpoenas they received in an action brought in Tennessee regarding the censorship of textbooks.

- AARP's Legal Counsel for the Elderly: I supervised young lawyers who prepared living wills and powers of attorney for elderly clients.

- Washington Lawyers' Committee for Civil Rights and Urban Affairs: I supervised young lawyers who represented clients in fair housing and race discrimination cases.

AFFIDAVIT

I, Peter Joseph Kadzik, do swear that the information provided in this statement is, to the best of my knowledge, true and accurate.

8/23/13
(DATE)

(NAME)

Washington, District of Columbia

Subscribed and sworn to before me, in my presence,
this 23RD day of AUGUST, 2013
by PETER JOSEPH KADZIK
Notary Public
My Commission Expires 08/31/2015

SYLVIA J. TURNER
Notary Public, District of Columbia
My Commission Expires August 31, 2015

SYLVIA J. TURNER NOTARY PUBLIC DISTRICT OF COLUMBIA