

**UNITED STATES SENATE
COMMITTEE ON THE JUDICIARY**

QUESTIONNAIRE FOR JUDICIAL NOMINEES

PUBLIC

1. **Name**: State full name (include any former names used).

Gerald John Pappert
2. **Position**: State the position for which you have been nominated.

United States District Court for the Eastern District of Pennsylvania
3. **Address**: List current office address. If city and state of residence differs from your place of employment, please list the city and state where you currently reside.

Cozen O'Connor
1900 Market Street
Philadelphia, Pennsylvania 19103
4. **Birthplace**: State date and place of birth.

1963; Albany, New York
5. **Education**: List in reverse chronological order each college, law school, or any other institution of higher education attended and indicate for each the dates of attendance, whether a degree was received, and the date each degree was received.

1985 – 1988, University of Notre Dame Law School; J.D., 1988

1981 – 1985, Villanova University; B.A. (*cum laude*), 1985
6. **Employment Record**: List in reverse chronological order all governmental agencies, business or professional corporations, companies, firms, or other enterprises, partnerships, institutions or organizations, non-profit or otherwise, with which you have been affiliated as an officer, director, partner, proprietor, or employee since graduation from college, whether or not you received payment for your services. Include the name and address of the employer and job title or description.

2012 – Present, Summer 1987
Cozen O'Connor
1900 Market Street
Philadelphia, Pennsylvania 19103
Partner (2012 – Present)
Summer Associate (Summer 1987)

2012 – Present

Pennsylvania Banking and Securities Commission
Market Square Plaza
17 North Second Street, Suite 1300
Harrisburg, Pennsylvania 17101
Chairman

2006 – 2012

Commonwealth Financing Authority
Department of Community and Economic Development
400 North Street, Fourth Floor
Harrisburg, Pennsylvania 17120
Legislative Appointee

2008 – 2012

Cephalon, Inc.
41 Moores Road
Frazer, Pennsylvania 19355
Executive Vice President, General Counsel and Secretary

2005 – 2008

Ballard Spahr, LLP
1735 Market Street
51st Floor
Philadelphia, Pennsylvania 19103
Partner

1997 – 2005

Office of the Attorney General
Strawberry Square, 16th Floor
Harrisburg, Pennsylvania 17120
Attorney General of Pennsylvania (2003 – 2005)
First Deputy Attorney General of Pennsylvania (1997 – 2003)

1988 – 1997

Duane Morris, LLP
30 South 17th Street
Philadelphia, Pennsylvania 19103
Associate

April – November 1996

Mike Fisher for Attorney General
Harrisburg, Pennsylvania
Campaign Manager

April – November 1994
Santorum for Senate
Philadelphia, Pennsylvania
Volunteer Staff Member

Summer 1986, Summer 1985
State Senator Jay Rolison
New York State Capitol
Washington Avenue and State Street
Albany, New York 12206
Legislative Assistant (Summer 1986)
Intern (Summer 1985)

7. **Military Service and Draft Status:** Identify any service in the U.S. Military, including dates of service, branch of service, rank or rate, serial number (if different from social security number) and type of discharge received, and whether you have registered for selective service.

I have not served in the military. I registered for selective service at age 18.

8. **Honors and Awards:** List any scholarships, fellowships, honorary degrees, academic or professional honors, honorary society memberships, military awards, and any other special recognition for outstanding service or achievement.

National Philanthropy Day Award for The George Fund for pediatric hospice (2009)

Recognition and honors from various groups and organizations during my service as Pennsylvania Attorney General (2003 – 2005)

University of Notre Dame Law School National Moot Court Team (1988)

Villanova University, Dean's List (1981 – 1985)

9. **Bar Associations:** List all bar associations or legal or judicial-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices which you have held in such groups.

Pennsylvania Bar Association

10. **Bar and Court Admission:**

- a. List the date(s) you were admitted to the bar of any state and any lapses in membership. Please explain the reason for any lapse in membership.

Pennsylvania, 1988
New York State, 1995

There have been no lapses in membership.

- b. List all courts in which you have been admitted to practice, including dates of admission and any lapses in membership. Please explain the reason for any lapse in membership. Give the same information for administrative bodies that require special admission to practice.

Supreme Court of Pennsylvania, 1988

United States District Court for the Eastern District of Pennsylvania, 1989

United States Supreme Court, 2001

There have been no lapses in membership.

11. **Memberships:**

- a. List all professional, business, fraternal, scholarly, civic, charitable, or other organizations, other than those listed in response to Questions 9 or 10 to which you belong, or to which you have belonged, since graduation from law school. Provide dates of membership or participation, and indicate any office you held. Include clubs, working groups, advisory or editorial boards, panels, committees, conferences, or publications.

Association of Corporate Counsel of America (2008 – 2011)

National Constitution Center Corporate Council (2008, 2009)

National Constitution Center Liberty Medal Gala Dinner Committee (2010)

National Association of Attorneys General (“NAAG”) (1997 – 2005)

NAAG Pharmaceutical Pricing Committee (2004)

NAAG Prescription Drug Abuse Committee (2004)

NAAG Strategic Planning Committee (2004)

NAAG Health Care Fraud, Abuse and Advocacy Committee (2004)

NAAG Consumer Protection Committee (2004)

NAAG Corporate Responsibility Securities Working Group (2004)

Order of St. Thomas More, Dean’s Circle, Notre Dame Law School (2011 – Present)

Society of Attorneys General Emeritus (2005 – Present)

The Federalist Society (1997 – 1998)

The George Fund for Pediatric Hospice Care (2008 – Present)
Founder

Whitemarsh Valley Country Club (1994 – Present)

- b. The American Bar Association's Commentary to its Code of Judicial Conduct states that it is inappropriate for a judge to hold membership in any organization that invidiously discriminates on the basis of race, sex, or religion, or national origin. Indicate whether any of these organizations listed in response to 11a above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies. If so, describe any action you have taken to change these policies and practices.

None of the organizations listed in response to 11(a) above currently discriminate or, to my knowledge, formerly discriminated on the basis of race, sex, religion or national origin. At no time during my membership have any of these organizations discriminated on any of these bases.

12. **Published Writings and Public Statements:**

- a. List the titles, publishers, and dates of books, articles, reports, letters to the editor, editorial pieces, or other published material you have written or edited, including material published only on the Internet. Supply two (2) copies of all published material to the Committee.

Fighting Back Against Skyrocketing Drug Prices, PR Newswire, March 19, 2004. Copy supplied.

- b. Supply two (2) copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of on behalf of any bar association, committee, conference, or organization of which you were or are a member. If you do not have a copy of a report, memorandum or policy statement, give the name and address of the organization that issued it, the date of the document, and a summary of its subject matter.

2004 NAAG Strategic Planning Report. Copy supplied.

2002 Pennsylvania Interbranch Commission on Venue Report. Copy supplied.

- c. Supply two (2) copies of any testimony, official statements or other communications relating, in whole or in part, to matters of public policy or legal interpretation, that you have issued or provided or that others presented on your behalf to public bodies or public officials.

I have chaired the quarterly public meeting of the Pennsylvania Banking and Securities Commission on May 7, 2014, February 12, 2014, November 13, 2013, August 7, 2013, May 8, 2013, February 13, 2013, and November 15, 2012. Meeting Minutes supplied where available.

On May 7, 2014, the Pennsylvania Banking and Securities Commission, which I chair, issued its Final Order in an enforcement action by the Pennsylvania

Department of Banking and Securities against H.L.I., Inc., Mark A. Fitchett and Elizabeth Hartsig. Copy supplied.

On May 8, 2013, the Pennsylvania Banking and Securities Commission, which I chair, issued its Opinion and Final Order in an enforcement action by the Pennsylvania Department of Banking and Securities against TAC Financial, Inc., Roy H. Eder, David John Nava d/b/a Surf Financial Group, LLC, William Sayers, Robert J. McNulty and Rhett J. McNulty. Copy supplied.

On April 21, 2013, I joined with 75 other current or former state attorneys general of both political parties in a letter to Senators Leahy and Grassley supporting immigration reform. Copy supplied.

On February 13, 2013, the Pennsylvania Banking and Securities Commission, which I chair, issued a Consent Agreement and Order concluding an investigation by the Pennsylvania Department of Banking and Securities into the business practices of Curing Capital, Inc. and Michael Dion. Copy supplied.

On February 13, 2013, the Pennsylvania Banking and Securities Commission, which I chair, issued a Consent Agreement and Order concluding an investigation by the Pennsylvania Department of Banking and Securities into the securities activities of New Hi-Tech Oil and Gas Discoveries, LLC and Dennis W. Stutes. Copy supplied.

Fall 2012: As nominee to be Chairman of the Pennsylvania Commission on Banking and Securities, I made a very brief opening statement to members of the Pennsylvania Senate Banking & Insurance Committee. The statement made to the members of the Senate Banking & Insurance Committee was made from handwritten notes, which I discarded after Senate Confirmation to that position in the Fall of 2012.

On March 1, 2012, I joined with 109 former state attorneys general of both political parties in an *amicus curiae* brief in support of former Alabama Attorney General Don Siegelman's petition for a writ of certiorari in the case of *Siegelman v. United States*, 2012 U.S. S.Ct. Briefs LEXIS 1004.

On October 26, 2004, I sent a letter to Pennsylvania Governor Edward G. Rendell objecting to his intention to dispatch appointees of his administration to each county in Pennsylvania to oversee efforts of local election officials on Election Day. A copy of the letter is supplied.

On August 16, 2004, I joined with 37 attorneys general of both political parties in a letter to the Office of the Controller of the Currency, Office of Thrift Supervision, National Credit Union Administration, the Board of Governors of the Federal Reserve System and the Federal Deposit Insurance Corporation providing comments on the agencies' proposed fair credit reporting affiliate marketing regulations. A copy of the letter is supplied.

On June 22, 2004, I submitted to the Pennsylvania House of Representatives a report on pricing factors for auto emissions testing in Pennsylvania. A copy of the report is supplied.

On May 27, 2004, I chaired a community hearing pertaining to the proposed purchase of Phoenixville Hospital by Community Health Systems, Inc. I have no notes, transcript or recording.

On, May 25, 2004, I testified before the House of Representatives Health and Human Services Committee regarding the increasing prices of pharmaceuticals and the role of state attorneys general in helping to combat these increases. I have no notes, transcript or recording.

On March 2, 2004, I testified before the Pennsylvania House of Representatives Appropriations Committee in support of the Office of Attorney General 2004-2005 budget request, Harrisburg, Pennsylvania. I have no notes, transcript or recording.

As the nominee for Pennsylvania Attorney General in 2004, I provided testimony to the Pennsylvania Senate Judiciary Committee. As Attorney General, I provided an opening statement to the Pennsylvania House and Senate Appropriations Committees in support of the budget request made by the Pennsylvania Office of Attorney General in February 2004. The statements made to the Senate Judiciary Committee and the House and Senate Appropriations Committee no longer exist and/or I do not have access to them.

- d. Supply two (2) copies, transcripts or recordings of all speeches or talks delivered by you, including commencement speeches, remarks, lectures, panel discussions, conferences, political speeches, and question-and-answer sessions. Include the date and place where they were delivered, and readily available press reports about the speech or talk. If you do not have a copy of the speech or a transcript or recording of your remarks, give the name and address of the group before whom the speech was given, the date of the speech, and a summary of its subject matter. If you did not speak from a prepared text, furnish a copy of any outline or notes from which you spoke.

As a statewide public official in Pennsylvania, particularly as Attorney General between December 2003 and January 2005, I made numerous speeches in a variety of settings. Many remarks were made without notes of any kind, while others were made from handwritten notes, typically written on index cards all of which were discarded, either soon after the event in question or when I completed my public service. The following is a list of my speeches or remarks based on a review of my files and the Internet:

July 24, 2008: Guest speaker, Naturalization Ceremony, Ceremonial Courtroom, United States Courthouse, Philadelphia, Pennsylvania. Welcoming remarks to newly naturalized United States citizens. I have no notes, transcript or recording.

United States Courthouse, Sixth and Market Streets, Philadelphia, Pennsylvania 19106.

April 27, 2006: Featured speaker, Consumer Financial Services Litigation Institute, New York, New York. Remarks on the role of state attorney generals in the financial services industry. I have no notes, transcript or recording, but press coverage is supplied. Consumer Financial Litigation Institute, 810 Seventh Ave., New York, New York 10019.

February 24, 2006: Panelist, The Authority and Role of the State Attorney General in the Area of Charitable Trusts and Organizations; The Attempted Sale of the Hershey Foods Corporation by the Hershey Trust Company and Board of Managers of the Milton Hershey School, National State Attorneys General Program, New York, New York. Copy of presentation supplied.

December 7, 2005: Moderator, State Attorneys General: Anticipating Their Investigations and Enforcement panel, Philadelphia, Pennsylvania. Other than to facilitate discussion between the panelists, I do not recall the content of my remarks. I have no notes, transcript or recording. Ballard Spahr Andrews & Ingersoll, LLP, 1735 Market Street, Philadelphia, Pennsylvania 19103.

November 9, 2005: Keynote Speaker, Philadelphia Association of Paralegals Luncheon, Philadelphia, Pennsylvania. I do not recall the content of my remarks. I have no notes, transcript or recording. Philadelphia Association of Paralegals, P.O. Box 59198, Philadelphia, Pennsylvania 19102.

July 12, 2005: Panelist, Philadelphia Bar Association Young Lawyers Division Panel. Remarks to young lawyers on how to find the best career path and succeed in their practice. I have no notes, transcript or recording, but press coverage supplied. Philadelphia Bar Association, 1101 Market Street, 11th Floor, Philadelphia, Pennsylvania 19107.

December 7, 2004: Speaker, American Bar Association Health Law Section Meeting, Arlington, Virginia. Remarks on trends in attorney general enforcement in antitrust, consumer protection and charitable trust in the health care industry. I have no notes, transcript or recording. American Bar Association, 321 North Clark Street, Chicago, Illinois 06054.

November 19, 2004: Keynote Speaker, Pennsylvania State Police Cadet Graduation Ceremony, Annville, Pennsylvania. Congratulating graduates and discussing the role of the state police in Pennsylvania law enforcement. I have no notes, transcript or recording. Pennsylvania State Police, 1800 Elmerton Avenue, Harrisburg, Pennsylvania 17110.

October, 2004: Welcoming remarks at the Red Ribbon Rally, Harrisburg, Pennsylvania. Urging students from across Pennsylvania to join with the Office of Attorney General and "Team Up Against Drugs." I have no notes, transcript or recording. I do not recall the sponsorship organization.

October 22, 2004: Speaker, Project PEACE Event, Philadelphia, Pennsylvania. Encouraging school students to participate in Project PEACE. I have no notes, transcript or recording. Pennsylvania Bar Association, 100 South Street, Harrisburg, Pennsylvania 17101.

October 23, 2004: Keynote Speaker, *The Cost of Addiction: Fear and Hope*, Greater Wyoming Valley Leadership Forum, Wilkes-Barre, Pennsylvania. I have no notes, transcript or recording. Wyoming Valley Leadership Forum, 4 Public Square, Wilkes-Barre, Pennsylvania 18701.

October 21, 2004: Keynote Speaker, Snyder County Republican Party Dinner, Middleburg, Pennsylvania. General remarks on behalf of Republican candidates. I have no notes, transcript or recording. The Snyder County Republican Party has no physical address.

October 18, 2004: Speaker, Cambria County Republican Rally, Johnstown, Pennsylvania. General remarks on behalf of state, local and national candidates. I have no notes, transcript or recording. Cambria County Republican Committee, 450 Luray Avenue, Johnstown, Pennsylvania 15904.

October 14, 2004: Keynote Speaker, Adams County Eisenhower Dinner, Bendersville, Pennsylvania. Analogizing President Bush's war on terror to General and President Eisenhower's efforts in World War II and after. I have no notes, transcript or recording. Adams County Republican Committee, 395 Buford Avenue, Gettysburg, Pennsylvania 17325.

September 17, 2004: Speaker, Project PEACE Event at Good Shepherd School, Camp Hill, Pennsylvania. Remarks encouraging school students to participate in Project PEACE. I have no notes, transcript or recording. Pennsylvania Bar Association, 100 South Street, Harrisburg, Pennsylvania 17101.

September 14, 2004: Speaker, Bucks County Association of Realtors "Identity Theft Forum," Warminster, Pennsylvania. Role of the Attorney General's Bureau of Consumer Protection in helping people avoid becoming victims of identity theft. I have no notes, transcript or recording, but press coverage is supplied. Bucks County Association of Realtors, 1452 Old York Road, Warminster, Pennsylvania 18974.

August 19, 2004: Meeting with the Editorial Board of the York Daily Record to discuss Attorney General enforcement goals and priorities. I have no notes, transcript or recording. York Daily Record, 1891 Loucks Road, York, Pennsylvania 17404.

August 18, 2004: Meeting with the Reading Eagle Editorial Board to discuss Attorney General enforcement goals and priorities. I have no notes, transcript or recording. Reading Eagle, 340 Court Street, Reading, Pennsylvania 19603.

August 18, 2004: Meeting with the Lancaster New Era Editorial Board to discuss Attorney General enforcement goals and priorities. I have no notes, transcript or recording. Lancaster New Era, 8 West King Street, Lancaster, Pennsylvania 17603.

August 17, 2004: Meeting with the Editorial Board of the Harrisburg Patriot News to discuss Attorney General enforcement goals and priorities. I have no notes, transcript or recording. Harrisburg Patriot News, 2020 Technology Parkway #300, Mechanicsburg, Pennsylvania 17050.

August 13, 2004: Meeting with Centre Daily Times Editorial Board to discuss Attorney General enforcement goals and priorities. I have no notes, transcript or recording. Centre Daily Times, 3400 E. College Avenue, State College, Pennsylvania 16801.

July 30, 2004: Speaker, County and State Detectives' Association Annual Summer Conference, Lancaster, Pennsylvania. Remarks on the importance of the county and state detectives in the role of law enforcement in Pennsylvania. I have no notes, transcript or recording. County Detectives Association of Pennsylvania, 125 Roberts Road, Souderton, Pennsylvania 18964.

July 27, 2004: Meeting with the Altoona Mirror Editorial Board to discuss Attorney General goals and enforcement priorities. I have no notes, transcript or recording. Altoona Mirror, 301 Cayuga Avenue, Altoona, Pennsylvania 16602.

July 26, 2004: Meeting with the Butler Eagle Editorial Board to discuss Attorney General actions and priorities. I have no notes, transcript or recording. Butler Eagle, 114 West Diamond Street, Butler, Pennsylvania 16601.

July 21, 2004: Speaker, 82nd Annual Pennsylvania Sheriffs Association Conference, Wyomissing, Pennsylvania. Remarks as to the role and importance of the Pennsylvania Sheriffs to law enforcement, particularly drug law enforcement, as members of the Attorney General's Municipal Drug Task Forces. I have no notes, transcript or recording. Pennsylvania Sheriffs Association, 2426 North Second Street, Harrisburg, Pennsylvania 17110.

July 14, 2004: Meeting with Lancaster Intelligencer Journal Editorial Board to discuss Attorney General goals and enforcement priorities. I have no notes, transcript or recording. Lancaster Intelligencer Journal, 8 West King Street, Lancaster, Pennsylvania 17603.

July 13, 2004: Speaker, Pennsylvania State Prothonotary and Clerk of Courts Conference, Wilkes-Barre, Pennsylvania. Remarks as to the importance of the roles of the Prothonotaries and Clerks of Courts in the Pennsylvania Judicial System. I have no notes, transcript or recording. The Pennsylvania Prothonotaries and Clerks of Courts Association has no physical address.

July 7, 2004: Meeting with Allentown Morning Call Editorial Board to discuss pharmaceutical pricing and Attorney General enforcement goals and priorities. I have no notes, transcript or recording. Allentown Morning Call, 101 North Sixth Street, Allentown, Pennsylvania 18101.

June 23, 2004: Meeting with Editorial Board of the Scranton Times Newspaper to discuss pharmaceutical pricing and Attorney General enforcement priorities. I have no notes, transcript or recording. Scranton Times, 149 Penn Avenue, Scranton, Pennsylvania 18503.

June 22, 2004: Meeting with Johnstown Tribune-Democrat Editorial Board to discuss pharmaceutical drug pricing and other Attorney General enforcement priorities. I have no notes, transcript or recording. Johnstown Tribute Democrat, 425 Locust Street, Johnstown, Pennsylvania 15907.

June 10, 2004: Speaker, Allegheny County Young Republicans Meeting. Remarks encouraging young people to be involved in the political process. I have no notes, transcript or recording. Young Republicans of Allegheny County, 711 Penn Avenue, Pittsburgh, Pennsylvania 15222.

June 10, 2004: Meeting with Editorial Board of Pittsburgh Post-Gazette to discuss pharmaceutical drug pricing and other Attorney General enforcement priorities. I have no notes, transcript or recording. Pittsburgh Post-Gazette, 34 Boulevard of the Allies, Pittsburgh, Pennsylvania 15222.

May 24, 2004: Keynote Speaker, Greater Hazleton Friends of Scouting Fundraising Dinner, Hazleton, Pennsylvania. Remarks regarding the role and importance of scouting. I have no notes, transcript or recording. Greater Hazleton Friends of Scouting, 21 North Church Street, Hazleton, Pennsylvania 18202.

May 20, 2004: Keynote Speaker, Pennsylvania Bar Institute 2004 Non-Profit Institute Program. Remarks regarding the role of the Attorney General in regulating and monitoring non-profits. I have no notes, transcript or recording. Pennsylvania Bar Institute – Philadelphia, The Wanamaker Building, 100 E. Penn Square, Philadelphia, Pennsylvania 19107.

May 17, 2004: Speaker, Victory 2004 Reception, Bethlehem, Pennsylvania. General remarks on behalf of Republican candidates. I have no notes, transcript or recording. Pennsylvania Republican State Committee, 112 State Street, Harrisburg, Pennsylvania 17101.

May 17, 2004: Speaker, Conference of Chief Deputy Attorneys General, Washington, DC. Remarks regarding the role of the Chief Deputy Attorney General. I have no notes, transcript or recording. National Association of Attorneys General, 2030 M Street NW, Washington, DC 20036.

May 13, 2004: Keynote Speaker, Citizens Crime Commission of the Delaware Valley Law Enforcement Appreciation Banquet, Philadelphia, Pennsylvania. General remarks in support of the Crime Commission's role in law enforcement. I have no notes, transcript or recording. Citizens Crime Commission, 1518 Walnut Street, #902, Philadelphia, Pennsylvania 19103.

May 11, 2004: Speaker, Lincoln-Jackson School, Scranton, Pennsylvania. General remarks about what it is like to be the Attorney General to assembly of third, fourth and fifth graders. I have no notes, transcript or recording. Lincoln-Jackson School, 425 North Washington Avenue, Scranton, Pennsylvania 18503.

May 7, 2004: Speaker at Montgomery County Police Officers Memorial Service, Norristown, Pennsylvania. General remarks of thanks and praise for law enforcement officers who lost their lives in the line of duty. I have no notes, transcript or recording. Montgomery County Courthouse, 2 East Airy Street, Norristown, Pennsylvania 19404.

May 7, 2004: Speaker at Delaware County Law Enforcement Memorial Service, Upland, Pennsylvania. General remarks of thanks and praise for law enforcement officers who lost their lives in the line of duty. I have no notes, transcript or recording. Upland Baptist Church, 325 Main Street, Upland, Pennsylvania 19015.

April 26, 2004: Speaker, Pennsylvania Press Club Luncheon, Harrisburg, Pennsylvania. Transcript of remarks supplied.

April 22, 2004: Speaker, Chester County Republican Committee Spring Reception, Mendenhall, Pennsylvania. General remarks of support for local, state and national candidates. I have no notes, transcript or recording, but press coverage is supplied. Chester County Republican Committee, 15 South Church Street, West Chester, Pennsylvania 19382.

April 21, 2004: Speaker at Law Enforcement for Bush/Cheney Roundtable, Trevoise, Pennsylvania. General remarks in support of candidates, particularly with respect to issues of national security; participant with various police, fire and congressional representatives. I have no notes, transcript or recording. Pennsylvania Republican State Committee, 112 State Street, Harrisburg, Pennsylvania 17101.

April 16, 2004: Keynote speaker, CLE Accredited Symposium for In-House Counsel. General remarks on the rise of authority of state attorneys general and how corporate counsel should respond and deal with attorney general action and investigations. I have no notes, transcript or recording, but press coverage is supplied. The sponsoring organization has no physical address.

April 12, 2004: Speaker, Republican Club of York County Spring Dinner, York, Pennsylvania. Remarks regarding the role of the Office of Attorney General, including actions and priorities for the office and general remarks supporting

Republican Party candidates throughout the Commonwealth. I have no notes, transcript or recording, but press coverage is supplied. Republican Club of York County, 2453 Kingston Court, York, Pennsylvania 17402.

April 1, 2004: Speaker, Pennsylvania Narcotics Officers Association Annual Training Seminar, Harrisburg, Pennsylvania. Remarks regarding the importance of the role of properly trained narcotics officers in the fight against illegal drugs. I have no notes, transcript or recording. Pennsylvania Narcotics Officers Association, 3117 Blytheburn Road, Mountain Top, Pennsylvania 18707.

March 26, 2004: Keynote Speaker, Pennsylvania State Representative Patricia Vance Senior Crime Prevention University, Camp Hill, Pennsylvania. Remarks aimed at educating Pennsylvania seniors on crimes perpetrated against the elderly and to discuss the Attorney General's role in preventing such crimes. I have no notes, transcript or recording. Office of (now State Senator) Patricia Vance, Senate Box 203031, Room 173, Harrisburg, Pennsylvania 17120.

March 12, 2004: Keynote Speaker, Project PEACE Dinner, Altoona, Pennsylvania. Remarks focused on the importance of this joint effort between the Attorney General and the Pennsylvania Bar Association to teach school students non-violent ways to resolve disputes. I have no notes, transcript or recording, but a related press release is supplied in response to Question 12e below. Pennsylvania Bar Association, 100 South Street, Harrisburg, Pennsylvania 17101.

March 1, 2004: Speaker at fundraiser for Thomas W. Corbett's campaign to become Attorney General, Harrisburg, Pennsylvania. General remarks on the qualifications of the candidate to serve as Attorney General. I have no notes, transcript or recording. The sponsoring organization has no physical address.

February 27, 2004: Speaker, Project PEACE Program at Leary Elementary School, Warminster, Pennsylvania. Discussing how students can mediate disagreements peacefully and resolve conflicts in a non-violent manner. I have no notes, transcript or recording, but press coverage is supplied. Pennsylvania Bar Association, 100 South Street, Harrisburg, Pennsylvania 17101.

February 25, 2004: Speaker, High School Lifeskills Championship Program, Harrisburg, Pennsylvania. Providing encouragement to high school academic competitors. I have no notes, transcript or recording. Pennsylvania Office of Attorney General, Strawberry Square, Harrisburg, Pennsylvania 17120.

February 3, 2004: Speaker, Pennsylvania District Attorneys Association Mid-Winter Meeting, Philadelphia, Pennsylvania. Discussion of plans to work cooperatively with the Pennsylvania District Attorneys Association. I have no notes, transcript or recording. Pennsylvania District Attorneys' Association, 2929 North Front Street, Harrisburg, Pennsylvania 17110.

February 2, 2004: Inaugural Address given upon my taking oath of office as the Attorney General of Pennsylvania, Harrisburg, Pennsylvania. Remarks supplied.

December 15, 2003: Speaker at the Investiture of Judge D. Michael Fisher for the United States Court of Appeals for the Third Circuit, United States Courthouse, Pittsburgh, Pennsylvania. Transcript of my remarks is supplied.

October 13, 2001: Speaker, Lancaster County ACTION Breakfast, Leola, Pennsylvania. Remarks in support of Attorney General Mike Fisher's gubernatorial campaign. I have no notes, transcript or recording, but press coverage is supplied. Lancaster County ACTION, 3121-A Mount Joy Road, Mount Joy, Pennsylvania 17552.

December 3, 1998: Presenter, *Emerging Issues in Sexual Harassment Law*. National Association of Attorneys General Issues Management Retreat, Coral Gables, Florida. I have no notes, transcript or recording, but press coverage is supplied. National Association of Attorneys General, 2030 M Street N.W., Washington, DC 20036

March 31, 1998: Speaker, I provided welcoming remarks at a conference entitled *Crime Trends in America* sponsored by the Middle Atlantic Great Lakes Organized Crime Law Enforcement Network ("MAGLOCLLEN") in Pittsburgh, Pennsylvania. I have no notes, transcript or recording. MAGLOCLLEN, 140 Terry Drive, Newtown, Pennsylvania 18940. Press coverage supplied.

- e. List all interviews you have given to newspapers, magazines or other publications, or radio or television stations, providing the dates of these interviews and two (2) copies of the clips or transcripts of these interviews where they are available to you.

As a statewide public official, I interacted with the print, radio and electronic media on a daily basis. I gave numerous press conferences, conducted innumerable radio interviews and spoke regularly with print reporters. I cannot recall all the interviews I have given or the dates on which I conducted them, and to the extent I had any notes from these interviews, they were discarded subsequent to the interview. Most of the subjects of my interaction with members of the media were official actions taken by the office during my tenure as both First Deputy Attorney General and Attorney General of Pennsylvania. The following list represents those interviews and comments to the media that I could identify from a review of my records and an Internet search. I have also listed press releases issued by the Office of Attorney General. These press releases summarized the content of most of my discussions with the media and formed the basis for many of the news stories where I was quoted, whether or not I was actually interviewed.

Interviews

From the conclusion of my service as Attorney General in 2005 to the summer of 2006 and again from the spring of 2008 to the spring or early summer of 2013, I appeared roughly once every four to six weeks as a panelist on Inside Story, a Sunday morning talk show on WPVI TV in Philadelphia. The show features a moderator and four panelists who discuss local, state, regional and national topics. WPVI does not maintain video of the program on its website and does not maintain transcripts of the programs. Press coverage, where available, is supplied.

November 19, 2013: Gina Passarella, *General Counsel Wary of Teaming with Procurement*, The Legal Intelligencer. Copy supplied.

August 14, 2013: Sara Forden and David McLaughlin, *AMR-US Airways Antitrust Suit Seen as Difficult to Settle*, Bloomberg News. Copy supplied.

July 26, 2013: Saranac Hale Spencer and Ben Present, *Legal Implications of Kane's Gay-Marriage Move Uncertain*, The Legal Intelligencer. Copy supplied.

July 24, 2013: Randy Bartley, *A la Carte Laws*, Jeffersonian Democrat. Copy supplied.

July 12, 2013: Brad Bumstead, *Kane Won't Defend PA Law*, Pittsburgh Tribune Review. Copy supplied.

July 12, 2013: Rich Lord, Megan Rogers and Kate Giammarise, *Kane Won't Defend PA Ban on Gay Unions*, Pittsburgh Post-Gazette. Copy supplied.

July 11, 2013: Peter Hall, *Kane Faces Decision on Gay Marriage Suit*, Allentown Morning Call. Copy supplied.

July 2013: Melissa Maleske, *What In-House Counsel Should Know About Working With State Attorneys General*, Inside Counsel Magazine. Copy supplied.

June 26, 2013: Gina Passarella, *Are Mass Torts Turning into Commodity Work? Not Quite*, The Legal Intelligencer. Copy supplied.

June 21, 2013: Gina Passarella, *Third Circuit Could See Rise in Pay-For-Delay Litigation*, The Legal Intelligencer. Copy supplied.

February 19, 2013: Zack Needles, *And if the List Were Longer . . . Top Lateral Runners-Up*, The Legal Intelligencer. Copy supplied.

February 14, 2013: Eric Hornbeck, *Retail Giants' Martha Stewart Fight Shows Contract No-Nos*, Law 360. Copy supplied.

February 12, 2013: Ben Present, *For Moulton's Investigation Into Sandusky Case, Context is Key*, The Legal Intelligencer. Copy supplied.

November 13, 2012: Eric Hornbeck, *Ex-Cephalon GC Joins Cozen O'Connor*, Law 360. Copy supplied.

November 12, 2012: Jeff Blumenthal, *Ex-PA AG Pappert Signs Up with Cozen O'Connor*, Philadelphia Business Journal. Copy supplied. (Reprinted in multiple outlets).

November 12, 2012: *Cozen O'Connor Adds Former Pennsylvania Attorney General and Current Securities Commission Chairman to Firm's Commercial Litigation Group*. Copy supplied.

October 27, 2012: Peter Jackson, *Former PA AG's: Job Demands Experience, Energy*, Associated Press State and Local Wire. Copy supplied.

July 15, 2012: Tim Darragh and Peter Hall, *When Institutions are Sacred; Like the Catholic Church, Penn State Kept Sex Abuse Secret*, Allentown Morning Call. Copy supplied.

May 24, 2012: Paula M. Riley, *A Fundraising Run to Help Children with Terminal Illnesses*, Chestnut Hill Local. Copy supplied.

June 8, 2011: *Cephalon Granted Temporary Restraining Order in Amrix Litigation*, Medical Patent Law Weekly (quote reprinted in multiple outlets). Copy supplied.

June 2, 2011: *Kids to Run for George Fund*, Chestnut Hill Local (Philadelphia, PA). Copy supplied.

May 24, 2011: Kris Mamula, *Federal Judge Bars Mylan's Drug Launch*, Pittsburgh Business Times. Copy supplied.

May 23, 2011: *Cephalon Wins Restraining Order Against Mylan*, Pharma Business Daily Bulletin (quote reprinted in multiple outlets). Copy supplied.

April 2, 2011: *Court Rules on First Set of Patents in Fentora Litigation*, News Rx. Copy supplied.

March 28, 2011: *Judge Denies Challenge to Cephalon Patent for Pain Drug Fentora*, Drug Industry Daily (quote reprinted in multiple outlets). Copy supplied.

March 17, 2011: Jonathan Starkey, *Cephalon Asks Feds to Block Generic*, The News Journal (Wilmington, DE). Copy supplied.

March 14, 2011: *Cephalon Shares Fall After Fentora Patents Decision*, Philadelphia Business Journal (quote reprinted in multiple outlets). Copy supplied.

February 10, 2011: *Brief of Q4 2010 Cephalon Earnings Call*, Fair Disclosure Wire. Copy supplied.

December 21, 2010: *Philadelphia Inquirer Editorial: A Life in Full*, Philadelphia Inquirer. Copy supplied.

October 28, 2010: *Brief of Q3 2010 Cephalon Earnings Call*, Fair Disclosure Wire. Copy supplied.

October 12, 2010: Gina Passarella, *GCs Waiting for Law Firms to Dance*, The Legal Intelligencer. Copy supplied.

September 12, 2010: John Micek, *Corbett Unafraid to Delegate*, Allentown Morning Call. Copy supplied.

August 3, 2010: Christopher Hepp, *FTC Appears to be Winning a Battle with Generic Drug Makers*, Philadelphia Inquirer. Copy supplied.

August 2, 2010: Daniel Rubin, *The George Fund Fills a Need by Focusing on the Care of Dying Children*, Philadelphia Inquirer. Copy supplied.

July 27, 2010: *Brief of Q2 2010 Cephalon Earnings Call*, Fair Disclosure Wire. Copy supplied.

July 22, 2010: Paula Riley, *Children's Races at Boathouse Row to Benefit Pediatric Hospice*, The Chestnut Hill Local (Philadelphia, PA). Copy supplied.

July 1, 2010: John George, *Cephalon at Dispute's Heart in Case Pitting FTC, Watson*, Philadelphia Business Journal. Copy supplied.

June 24, 2010: Susan Decker, *Ending the Silence of Generic Drugmakers*, Bloomberg Business Week. Copy supplied.

May 19, 2010: Sasha Coffner, *Cephalon Settlement with Generic Maker in Nuvigil Patent Suits Could Be Delayed by Ongoing Antitrust Litigation – Experts*, Pharmawire. Copy supplied.

January 13, 2010: Natasha Singer, *Deals to Restrain Generic Drugs Face a Ban in Healthcare Bill*, The New York Times. Copy supplied.

December 5, 2009: *Cephalon Files Patent Infringement Lawsuit Against Teva*, Datamonitor NewsWire (quote reprinted in multiple outlets). Copy supplied.

October 27, 2009: *Brief of Q3 2009 Cephalon Earnings Call*, Fair Disclosure Wire. Copy supplied.

August 4, 2009: *Brief of Q2 2009 Cephalon Earnings Call*, Fair Disclosure Wire. Copy supplied.

July 10, 2009, WPVI TV in Philadelphia – Monica Malpass interviews former Pennsylvania Attorney General Jerry Pappert. Video recording available at <http://abclocal.go.com/wpvi/video?id=6908721>.

May 5, 2009: *Brief of Q1 2009 Cephalon Earnings Call*, Fair Disclosure Wire. Copy supplied.

March 23, 2009: Tami Kamin-Meyer, *Pappert's Perfect Progression: Cephalon GC's Career Path has Prepare Him Well for New Position*, The Legal Intelligencer. Copy supplied.

December 14, 2008: *Cephalon and Eurand File Patent Infringement Lawsuit Against Mylan and Barr Pharmaceuticals*, Lab Business Week (quote reprinted in multiple outlets). Copy supplied.

November 27, 2008, WPVI TV in Philadelphia – Monica Malpass interviews former Pennsylvania Attorney General Jerry Pappert. I have no notes, transcript or recording.

October 28, 2008: *Brief of Q3 2008 Cephalon Earnings Call*, Fair Disclosure Wire. Copy supplied.

October 19, 2008: *Cephalon Concludes All Outstanding Federal and State Government Investigations into Company's Sales and Promotional Practices*, Lab Business Week (quote reprinted in multiple outlets). Copy supplied.

June 29, 2008, WPVI TV in Philadelphia – Monica Malpass interviews former Pennsylvania Attorney General Jerry Pappert. I have no notes, transcript or recording.

June 3, 2008: Press Release, *Cephalon Files Patent Infringement Lawsuit Against Watson Pharmaceuticals* (quotes reprinted in multiple outlets). Copy supplied.

May 14, 2008: *Jerry Pappert to Join Cephalon, Inc. as Executive V.P. and G.C.*, Biotech Week (reprinted in multiple outlets). Copy supplied.

May 1, 2008: Zack Needles: *Pappert Leaving Ballard Spahr for Cephalon*, The Legal Intelligencer. Copy supplied.

February 22, 2008, WPVI TV in Philadelphia – Monica Malpass interviews former Pennsylvania Attorney General Jerry Pappert. Video recording available

at

<http://abclocal.go.com/wpvi/sory?section=news/politics&id=5976151>.

June 24, 2005: Elliot Grossman, *Easton Inquiry Altered by Grand Jury*, Allentown Morning Call. Copy supplied.

May 11, 2005: Charles Thompson, *Rendell's Rivals Make Case for Job; GOP Hopefuls Speak at PEG Dinner*, Harrisburg Patriot News. Copy supplied.

May 10, 2005: Benjamin Lowe, *Trouble in the Family of Milton S. Hershey*, Philadelphia Inquirer. Copy supplied.

May 8, 2005: Les Powell, *Fundraiser Honors Memory of Slain Women*, Harrisburg Patriot News. Copy supplied.

January 11, 2005: *Catholics Warned of Tsunami Aid Scam*, Harrisburg Patriot News. Copy supplied.

January 10, 2005: Jeff Blumenthal, *Pappert to Join Ballard Spahr After Stint as AG*, Pennsylvania Law Weekly. Copy supplied.

January 4, 2005: Porus P. Cooper, *Jerry Pappert Plans to Join Ballard Spahr*, Philadelphia Inquirer. Copy supplied.

December 30, 2004: Mark Scolforo, *Pappert Reviews Brief Tenure as Attorney General*, Associated Press State and Local Wire. Copy supplied.

December 22, 2004: John Bugbee, *Another Ness Prostitution Case*, The York, PA Dispatch. Copy supplied.

December 17, 2004: John L. Micek, *Pappert Happy to End Reign as State Prosecutor; His Year as Attorney General Marked by High Profile Cases*, Allentown Morning Call. Copy supplied.

December 14, 2004: Brad Brumstead, *Slots Bill Likely to Stand as Passed, Attorney General Says*, Pittsburgh Tribune Review. Copy supplied.

December 9, 2004: Mike Crissey, *State Charges Erie Mayor, Two Others Over Real Estate Deals*, Associated Press State and Local Wire. Copy supplied.

October 29, 2004: Mark Fazlollah, *Agencies Ask if Brokers Overcharged*, Philadelphia Inquirer. Copy supplied.

October 18, 2004: Susan Koeppen, *Warning to Consumers Concerning Used Cars Being Sold that were Previously Damaged by Floods*, CBS News The Early Show. Transcript supplied.

October 4, 2004: Jeff Shields, *Taking Law Into Their Own Hands*, Philadelphia Inquirer. Copy supplied.

September 8, 2004: John Bull, *State Sues to Block Gaming Board Choice*, Allentown Morning Call. Copy supplied.

Summer 2004: Linda Williams, *Setting the Bar High for Public Service: A Profile of Attorney General Jerry Pappert*, Pennsylvania Bar Association News and Views. Copy supplied.

August 25, 2004: Jeff Shields, *Attorney General Wants More Say Over Gaming*, Philadelphia Inquirer. Copy supplied.

August 23, 2004: Fawn Vrazo, *Painkiller Crackdown Has Hurt True Sufferers*, Philadelphia Inquirer. Copy supplied.

August 21, 2004: Kori Walter, *Pennsylvania Attorney General Faults Gambling Law*, Reading Eagle. Copy supplied.

July 27, 2004: Charles Lardner, *No Local Control Over Slots Zoning*, Lancaster Intelligencer Journal. Copy supplied.

July 1, 2004: Linda Kleindienst, *7 Oil Giants Hand Over Documents*, Orlando Sentinel. Copy supplied.

June 23, 2004: *State Probe Backs Stations on Cost of Emissions Testing*, Associated Press State and Local Wire. Copy supplied.

June 23, 2004: Ad Crable, *Probe: Prices on Emissions Tests Not Fixed*, Lancaster New Era. Copy supplied.

May 6, 2004: Guest on live call-in show on Pennsylvania Cable Network (PCN). Responding to callers' questions about actions taken and priorities for the Office of Attorney General. I have no notes, transcript or recording.

April 27, 2004: Alice Dembner, *MEDCO Settles with US, 20 States, Agrees to Curbs and to Pay \$29 Million*, The Boston Globe. Copy supplied.

April 27, 2004: Linda Loyd, *Medco to Settle Charges from 20 States that it Switched Medications*, Philadelphia Inquirer. Copy supplied.

April 14, 2004: *Police: Narcotics Agents Shoot and Kill Suspect*, Associated Press State and Local Wire. Copy supplied.

March 17, 2004: Guest, discussing the pharmaceutical pricing litigation that I filed as Attorney General on the C-SPAN "Washington Journal" program. I have no notes, transcript or recording.

March 11, 2004: Mark Scolforo, *PA Sues Drug Makers Over Pricing Practices*, Associated Press State and Local Wire. Copy supplied.

March 6, 2004: Bill Bergstrom, *Examiner Accused in Illegal Drivers' License Scheme*, Associated Press State and Local Wire. Copy supplied.

February 23, 2004: Guest on Representative Melissa Murphy Weber's Cable Television Show, discussing the role of the Attorney General in preventing crime against Pennsylvania's seniors. I have no notes, transcript or recording.

February 22, 2004: John L. Micek, *Price of Justice Straining Budget*, Allentown Morning Call. Copy supplied.

February 12, 2004: Dan Lewerenz, *State College Woman Charged with Stealing \$120,000 from PennDOT*, Associated Press State and Local Wire. Copy supplied.

February 11, 2004: Guest on the Pennsylvania Newsmakers Television Show, presenting goals and priorities as Attorney General of Pennsylvania. I have no notes, transcript or recording.

2004: *State Accuses Former Notary of Fraud in Mortgage Scheme*. Associated Press State and Local Wire. Copy supplied.

January 9, 2004: *Erie Doctor Charged with Manslaughter, Illegally Prescribing Drugs*, Associated Press State and Local Wire. Copy supplied.

December 14, 2003: John L. Micek, *Snelling Lands It*, Allentown Morning Call. Copy supplied.

December 13, 2003: Associated Press, *State's New Top Cop to be Deputy Who Argued Against Hershey Sale*. Available at <http://tinyurl.com/k68oo9n>.

December 13, 2003: Associated Press, *Acting Attorney General Named*, Philadelphia Inquirer. Copy supplied.

May 11, 2003: Stephanie Strom, *Strong-Arm Shaking of Charities Raises Ethics Qualms*, New York Times. Copy supplied.

November 16, 2002: David Marcus, *Hershey Trust Cleans House*, Daily Deal. Copy supplied.

November 15, 2002: Wendy Tanaka, *Hershey Trust Board Shrinks, Adds Local Residents*, Philadelphia Inquirer. Copy supplied.

November 15, 2002: Martha Raffaele, *Hershey Trust Reorganizes Board*, Associated Press. Copy supplied.

November 15, 2002: Tamar Lewin, *10 Board Members to Leave Hershey's Charitable Trust*, New York Times. Copy supplied.

November 15, 2002: Brett Marcy, *Hershey Trust Board Gains Local Flavor as Sale Advocates Ousted in Overhaul*, Harrisburg Patriot-News. Copy supplied.

November 14, 2002: Marc Levy, *Hershey Trust Trims Board by Six, Adding Four Local Residents*, Associated Press. Copy supplied.

October 11, 2002: Associated Press State and Local Wire, *Senate Passes Bill to Discourage Future Sale of Hershey Foods*. Copy supplied.

October 10, 2002: John Chase and John McCormick, *Ryan, Rival Clash Over Casino Issue*, Chicago Tribune. Copy supplied.

September 22, 2002: John L. Micek, *Mike Who? Fisher and His Campaign Low-Key*, Allentown Morning Call. Copy supplied.

September 12, 2002: George Strawley, *State AG Says Sale Not Necessary; Hershey Says It's Not His Business*, Pittsburgh Post-Gazette. Copy supplied.

October 4, 1999: Glen Justice, *In Tobacco Suit, Grumblings Over Lawyer Fees*, Philadelphia Inquirer. Copy supplied.

April 24, 1997: Mario F. Cattabiani, *Pennsylvania Taking Tobacco 'Cartel' to Court*, Allentown Morning Call. Copy supplied.

April 24, 1997: John M. Baer, *Firm Ties*, Philadelphia Daily News. Copy supplied.

April 24, 1997: Robert Moran, *Pa. Suit Tobacco Firms Target Minors*, Philadelphia Inquirer. Copy supplied.

April 24, 1997: Frank Reeves and Peter Shelly, *PA Joins States Suing Tobacco Firm*, Pittsburgh Post-Gazette. Copy supplied.

December 10, 1996: Megan O'Matz, *Some Transitions Bumpy in State Government*, Allentown Morning Call. Copy supplied.

December 7, 1996: Peter Shelly, *Fisher Outspent Kohn*, Pittsburgh Post-Gazette. Copy supplied.

November 6, 1996: Lawrence Walsh and Pete Shelly, *Fisher Races from Behind to Beat Kohn*, Pittsburgh Post-Gazette. Copy supplied.

November 3, 1996: Robert Zausner, *Candidates for State Office Wage Blitz on the Undecided*, Philadelphia Inquirer. Copy supplied.

November 2, 1996: Frank Reeves, *Poll Has Fisher and Hafer Leading*, Pittsburgh Post-Gazette. Copy supplied.

November 1, 1996: Peter Shelly and Frank Reeves, *Money Blitz Fuels Hopefuls' End Game*, Pittsburgh Post-Gazette. Copy supplied.

October 31, 1996: John M. Baer, *Poll Offers Hope for GOP*, Philadelphia Daily News. Copy supplied.

October 31, 1996: Peter J. Shelly, *Fisher Campaign Letter to Lutheran Churches Assailed*, Pittsburgh Post-Gazette. Copy supplied.

October 29, 1996: Robert Zausner, *For Kohn, Fund Raising is Relative*, Philadelphia Inquirer. Copy supplied.

October 7, 1996: Frank Reeves and Peter Shelly, *Casey, Hafer and Fisher Lead Battles for Pa. Posts*, Pittsburgh Post-Gazette. Copy supplied.

October 4, 1996: John M. Baer, *Protestors Crash Fisher Fund-Raiser*, Philadelphia Daily News. Copy supplied.

October 3, 1996: John M. Baer, *Poll: Voters Ignoring State Races*, Philadelphia Daily News. Copy supplied.

September 26, 1996: *Fisher Took But Will Return Tobacco PAC Money*, Pittsburgh Post-Gazette. Copy supplied.

September 22, 1996: *Fisher to Return Check*, Pittsburgh Post-Gazette. Copy supplied.

June 1, 1996: *Foe Queries Candidate*, Pittsburgh Post-Gazette. Copy supplied.

Press Conferences

January 11, 2005: Press conference announcing the breakup of a \$1 million cocaine and marijuana ring in York, Pennsylvania. Representative press coverage supplied.

January 6, 2005: Press conference announcing arrest of former PennDOT supervisor for bribery and tampering with records. Representative press coverage supplied.

January 6, 2005: Press conference announcing criminal charges against six in a scheme to defraud home buyers. Representative press coverage supplied.

December 17, 2004: Press conference announcing arrests of 62 drug dealers in Blair County. I have no notes, transcript or recording.

December 9, 2004: Press conference with local district attorneys to announce arrests of 18 drug dealers in Carbon and Schuylkill counties. Representative press coverage supplied.

December 8, 2004: Press conference announcing criminal charges against Mayor of Erie and two others in real estate probe. Representative press coverage supplied.

December 6, 2004: Press conference announcing the filing of lawsuit against four people for selling bogus academic degrees. Representative press coverage supplied.

November 22, 2004: Press conference announcing the arrests of six men charged in an undercover Internet child sex sting. I have no notes, transcript or recording.

November 18, 2004: Press conference with Westmoreland County District Attorney announcing arrests of 27 alleged drug dealers. Representative press coverage supplied.

November 17, 2004: Press conference announcing the filing of charges against a doctor for trading drugs for sex with patients. Representative press coverage supplied.

October 28, 2004: Press conference announcing filing of lawsuit against 16 people for engaging in elaborate statewide living trust scheme. Representative press coverage supplied.

October 19, 2004: Press conference announcing arrests of 19 individuals operating major cocaine ring in northwestern Pennsylvania. Representative press coverage supplied.

October 12, 2004: Press conference announcing arrests in multi-million dollar crack cocaine distribution ring in Union, Northumberland, Snyder and Montour counties. I have no notes, transcript or recording.

October 4, 2004: Press conference announcing arrest of doctor in Luzerne County for illegally prescribing prescription drugs and money laundering. Representative press coverage supplied.

September 30, 2004: Press conference announcing the arrests of numerous alleged cocaine dealers in eastern and southeastern Pennsylvania. Representative press coverage supplied.

September 23, 2004: Press conference announcing the arrests of three doctors in New Castle, Pennsylvania for alleged Medicaid fraud and drug law violations. Representative press coverage supplied.

September 8, 2004: Press conference announcing the filing of a lawsuit against Blair County bridal shop for failure to deliver gowns and wedding apparel. Representative press coverage supplied.

August 26, 2004: Press conference with Blair County District Attorney announcing the arrests of 28 alleged drug dealers. I have no notes, transcript or recording.

August 25, 2004: Press conference announcing the arrest of a Philadelphia doctor and 22 other suspects in \$1 million insurance scam. Representative press coverage supplied.

August 24, 2004: Press conference announcing theft charges against a Harrisburg woman for allegedly stealing \$400,000 from the Pennsylvania Department of Community and Economic Development. Representative press coverage supplied.

August 23, 2004: Press conference with Dauphin County District Attorney to announce sex charges against two county paramedics. I have no notes, transcript or recording.

August 20, 2004: Press conference announcing the filing of a lawsuit against a western Pennsylvania pre-need funeral business for false and deceptive advertising and failing to deliver goods and services. Representative press coverage supplied.

July 30, 2004: Press conference with United States Attorney Patrick Meehan to announce resolution of civil and criminal cases against Shering-Plough. Representative press coverage supplied.

July 29, 2004: Press conference with Bucks County District Attorney to announce the arrests of 14 alleged cocaine and marijuana dealers in Bucks County. Representative press coverage supplied.

July 27, 2004: Press conference to announce the filing of a lawsuit against the manufacturer of bullet proof vests for failing to disclose flaws in the products. Representative press coverage supplied.

July 26, 2004: Press conference with Pennsylvania State Police to announce animal cruelty charges against two men for promoting dogfighting. Representative press coverage supplied.

July 8, 2004: Press conference with Philadelphia District Attorney and police to announce the capture of 15 alleged heroin dealers in Philadelphia. Representative press coverage supplied.

June 30, 2004: Press conference with Luzerne County District Attorney to announce the arrests of nine alleged drug dealers operating in Luzerne County. Representative press coverage supplied.

June 29, 2004: Press conference to announce distribution of settlement proceeds from antitrust investigation. I have no notes, transcript or recording.

June 24, 2004: Press conference to announce the filing of a lawsuit against a bank and collection company for alleged predatory lending. I have no notes, transcript or recording.

June 23, 2004: Press conference with Bradford County District Attorney to announce the arrests of 26 alleged Bradford County drug dealers. I have no notes, transcript or recording.

June 4, 2004: Press conference to announce insurance fraud charges against six people who allegedly participated in a “slip and fall” insurance scam. Representative press coverage supplied.

June 1, 2004: Press conference with Beaver County District Attorney to announce the arrests of 17 alleged drug dealers operating in Beaver and Allegheny Counties. Representative press coverage supplied.

May 4, 2004: Press conference with Mercer County District Attorney to announce the arrests of 21 alleged drug dealers operating in Mercer County. I have no notes, transcript or recording.

April 29, 2014: Press conference with Dauphin County District Attorney to announce arrests of two men charged in undercover child sex sting. Representative press coverage supplied.

April 23, 2004: Press Conference with various Pennsylvania District Attorneys in support of Tom Corbett for Attorney General. General remarks of support for Mr. Corbett’s candidacy. I have no notes, transcript or recording.

April 20, 2004: Press conference with Westmoreland County District Attorney to announce arrests of over 50 alleged drug dealers operating in Westmoreland County. Representative press coverage supplied.

April 13, 2004: Press conference with Pennsylvania State Police and Sunbury, Pennsylvania Police to announce the arrests of seven Northumberland County prison guards. Representative press coverage supplied.

April 13, 15, and 22 and May 3, 4, and 11, 2004: Multiple press conferences throughout Pennsylvania to warn of prescription drug abuse problem. Representative press coverage supplied.

April 8, 2004: Press conference with Blair and Columbia County District Attorneys to announce the capture of nine suspected crack cocaine dealers operating in Blair and Columbia Counties. I have no notes, transcript or recording.

March 30, 2004: Press conference to announce charges and ethics violations against Lackawanna County prison warden and other officials. Representative press coverage supplied.

March 24, 2004: Press conference with Armstrong and Westmoreland County District Attorneys to announce the arrests of four alleged methamphetamine dealers operating in Armstrong and Westmoreland Counties. Representative press coverage supplied.

March 23, 2004: Press conference with Lawrence County District Attorney to announce the capture of 23 suspected drug dealers who were allegedly selling cocaine in Lawrence County. Representative press coverage supplied.

March 18, 2004: Press conference with Luzerne County District Attorney to announce the arrests of 17 alleged marijuana dealers operating in Luzerne County. Representative press coverage supplied.

March 10, 2004: Press conference to announce the filing of a lawsuit against 13 major drug companies for unlawful and deceptive pricing and sales practices. Representative press coverage supplied.

March 5, 2004: Press conference to announce charges against two suspects for allegedly participating in a large scale driver's license scam in Philadelphia. Representative press coverage supplied.

March 3, 2004: Press conference to announce distribution of settlement funds from price-fixing investigation. I have no notes, transcript or recording.

February 25, 2004: Press conference to announce the filing of charges against three Democratic officials for allegedly forging signatures on nominating petitions. Representative press coverage supplied.

February 20, 2004: Press conference with Carbon County District Attorney to announce the arrests of 15 alleged drug dealers operating in Carbon County. Representative press coverage supplied.

February 12, 2004: Press conference to announce the filing of criminal charges against a former PennDOT worker for allegedly stealing \$123,000 from PennDOT. Representative press coverage supplied.

February 6, 2004: Press conference to announce the filing of a lawsuit against a national mail order catalog and Internet sales company. I have no notes, transcript or recording.

January 29, 2004: Press conference to announce the filing of a lawsuit against a State College, Pennsylvania real estate corporation for failing to return security deposits to tenants. Representative press coverage supplied.

January 28, 2004: Press conference with Luzerne County District Attorney to announce the capture of 21 suspected cocaine dealers allegedly operating in Luzerne County. Representative press coverage supplied.

January 22, 2004: Press conference to announce the payment of \$11.1 million to the Pennsylvania Medicaid program. I have no notes, transcript or recording.

January 14, 2004: Press conference to announce the recovery of \$1.3 million for the Pennsylvania Medicaid program. Representative press coverage supplied.

January 9, 2004: Press conference with Blair County District Attorney to announce the arrests of 41 alleged drug dealers operating in Blair County. I have no notes, transcript or recording.

January 8, 2004: Press conference with Erie County District Attorney to announce the filing of involuntary manslaughter charges against an Erie doctor. Representative press coverage supplied.

December 19, 2003: Press conference with York County District Attorney to announce the capture of 10 suspected heroin dealers operating in York County. I have no notes, transcript or recording.

Attorney General Press Releases

All press releases identified below were issued by the Pennsylvania Office of Attorney General. Copies of every press release listed are supplied, except where noted.

January 14, 2005: *Pike County Businessmen and Company Accused of Violating State's 'Do Not Call' Law.*

January 13, 2005: *AG Pappert Announces Charges Against Former Bradford County Water and Sewer Authority Employee.*

January 11, 2005: *AG Pappert Announces Break-Up of \$1 Million York Cocaine and Marijuana Ring.*

January 6, 2005: *AG Pappert Announces Fraud Charges Against Monroe County Home Builder.*

January 6, 2005: *AG Pappert Announces Arrest of Former PennDOT Supervisor for Bribery and Tampering with Records.*

January 4, 2005: *Pennsylvania Attorney General Pappert to Join Ballard.*

January 3, 2005: *AG Pappert Warns Consumers Not to be Fooled by Bogus Charities Claiming to Assist Natural Disaster Victims.*

January 3, 2005: *Lehigh County Pool Contractor and Self Proclaimed Pastor Accused of Accepting \$125,000 from Consumers Without Preforming Work.*

December 22, 2004: *AG Pappert Announces Tax Charges Against Restaurant Owner in Philadelphia.*

December 22, 2004: *AG Pappert Issues Health Warning to Consumers Who Purchased the Blood Testing Strips.*

December 21, 2004: *AG Pappert, PA State Police Announce Prostitution Charges Against York Man.*

December 20, 2004: *AG Pappert Recovers More Than \$6 Million for PA Medicaid Program in Settlement With Schering-Plough Over Illegal and Fraudulent Drug Pricing.*

December 17, 2004: *United States Attorney Meehan and Attorney General Pappert Announce Charges in Cocaine Distribution Case.* Press release issued by United States Attorney's Office.

December 17, 2004: *AG Pappert and DA Gorman Announce Arrests of as Many as 62 Alleged Drug Dealers Operating in Blair County.*

December 16, 2004: *AG Pappert Dispels E-Mails Sent to PA Consumers Regarding Upcoming 411 Wireless Telephone Directory.*

December 15, 2004: *AG Pappert Sues Luzerne County Wedding Photographers and Businesses.*

December 14, 2004: *AG Pappert Announces Winners of "Team Up Against Drugs" Calendar Contest.*

December 13, 2004: *AG Pappert Applauds Montgomery County Court Decision Approving Barnes Foundation Location Plan to Insure Long Term Survival.*

December 8, 2004: *AG Pappert Announces Charges Against Erie Mayor and Two Others in Real Estate Probe.*

December 6, 2004: *AG Pappert Names Defendants in Elaborate E-Mail Scheme to Sell Bogus Academic Degrees.*

December 6, 2004: *AG Pappert Announces Major Seizure of Cocaine and Drug Trafficking Arrests in Allegheny County.*

November 24, 2004: *AG Pappert Sues Northampton County Debris Hauler.*

November 23, 2004: *AG Pappert Announces Arrests in Philadelphia Cocaine and Methamphetamine Distribution Ring.*

November 22, 2004: *AG Pappert Announces Arrests of Six Men Charged in Undercover Internet Child Sex Sting.*

November 18, 2004: *AG Pappert and DA Peck Announce Arrests of as Many of 35 Suspected Drug Dealers.*

November 17, 2004: *AG Pappert Charges Washington County Doctor with Prescribing Oxycontin for Sex.*

November 10, 2004: *Bank and Collection Company to Pay \$450,000 in Fines and Costs Following Predatory Lending Investigation.*

November 10, 2004: *Westmoreland County Dry Cleaning Business Owner to Reopen this Saturday to Return Consumer Property.*

November 10, 2004: *Lackawanna County Contractor Sued; AG Seeks Fines and Bans on Conducting Business Until Consumers are Refunded.*

November 8, 2004: *Statement of Attorney General Pappert on Commonwealth Court Decision Voiding Coy Appointment to PA Gaming Control Board.*

November 5, 2004: *Owner of Two Westmoreland County Dry Cleaning Businesses to Return Clothes and Items to Consumers.*

November 4, 2004: *AG Pappert Announces Arrest of Major Pittsburgh Area Cocaine Distributor.*

October 28, 2004: *AG Pappert Seeks Injunction to Stop Erie man from the Unauthorized Practice of Law.*

October 28, 2004: *AG Pappert Names Sixteen Defendants in "Living Trust" Sales Scheme.*

October 27, 2004: *AG Pappert Announces New Charges Against Luzerne County Doctor Accused of Illegally Prescribing Drugs.*

October 27, 2004: *AG Pappert, Pa. Bar Association and Philadelphia School District CEO Bring Conflict Resolution Program to Philadelphia Schools.*

October 26, 2004: *AG Pappert Reaches Agreement in Bankruptcy Requiring Luzerne County Taxidermist to Return "Trophies" to Consumers.*

October 25, 2004: *AG Pappert Announces Charges Against Two Beaver County Residents for Selling Marijuana at State Liquor Store.*

October 19, 2004: *AG Pappert Announces Arrests in Major Cocaine Ring Operating in Northwestern Pennsylvania.*

October 12, 2004: *AG Pappert Announces Arrests in Multi-Million Dollar Crack Cocaine Distribution Ring.*

October 12, 2004: *Florida-Based Travel Promoter Ordered to Refund Consumers and Cease Conducting Business in PA.*

October 12, 2004: *AG Pappert Issues Statement on Lambert Ruling.*

October 7, 2004: *AG Pappert Announces Bribery and Related Charges Against Former Erie PennDOT Purchasing Agent.*

October 6, 2004: *AG Pappert Announces Drug and Other Criminal Charges Against Carbon County Police Chief.*

October 5, 2004: *AG Pappert Obtains Refunds for Southeastern PA Health Club Members.*

October 4, 2004: *AG Pappert Announces Arrest of Luzerne County Doctor on Charges of Illegally Prescribing Drugs.*

September 30, 2004: *AG Pappert Announces Arrest of Lancaster County Doctor on Medicaid Fraud Charges.*

September 30, 2004: *AG Pappert Announces Arrests in Lehigh and Delaware Valley Cocaine Distribution Ring.*

September 23, 2004: *AG Pappert Announces Charges of Medicaid Fraud and Prescription Drug Violations Against Three Lawrence County Doctors.*

September 21, 2004: *AG Pappert Warns Consumers About Potential Flood Related Scams.*

September 21, 2004: *AG Pappert Gives Opening Statement at Resumption of Barnes Foundation Hearing.*

September 17, 2004: *AG Pappert Charges State Representative Habay with Using Commonwealth Employees for Political Purposes.*

September 17, 2004: *AG Pappert Launches Project PEACE Conflict Resolution Program at Cumberland County Elementary School.*

September 14, 2004: *AG Pappert Reaches Agreement with CVS Pharmacy to Refund Consumers Who Paid Full Price for Drug Prescriptions Related to Auto Accidents.*

September 13, 2004: *AG Pappert Announces Charges Against a Blair County Pharmacist and Others in Illegal Prescription Drug Scheme.*

September 9, 2004: *Owner of Three PA Mobile Home Parks to Cease Discriminatory Practices; Restitution Available to Affected Residents.*

September 8, 2004: *AG Pappert Sues PA Bridal Shop Owners.*

September 8, 2004: *AG Pappert Announces National Agreement with Rite Aid Corporation Aimed at Reducing Underage Cigarette Sales.*

September 7, 2004: *AG Pappert Files Lawsuit Asking Court to Declare Coy Appointment to PA Gaming Control Board Unconstitutional.*

August 31, 2004: *Georgia-Based Company to Comply with Pennsylvania Do Not Call Law.*

August 26, 2004: *AG Pappert and DA Gorman Announce Arrests of as Many as 28 Alleged Drug Dealers Operating in Blair County.*

August 26, 2004: *Two Defendants Pay \$50,000 to Victims in Western Pennsylvania Home Improvement Scheme.*

August 25, 2004: *AG Pappert Files \$2.7 Million Lawsuit Against 20 Defendants in Pocono Land/Home Sales and Finance Scheme.*

August 25, 2004: *AG Pappert Announces Arrest of Philadelphia Doctor and 22 Other Suspects in \$1 Million Insurance Fraud Scam.*

August 24, 2004: *AG Pappert Announces Settlement with Chester County Based National Mail Order Catalog.*

August 24, 2004: *AG Pappert Announces Theft Charges Against Former Harrisburg Woman Who Allegedly Stole \$400,000 From Department of Community and Economic Development.*

August 23, 2004: *AG Pappert, DA Marsico Announce Sex Charges Against Two Dauphin County Paramedics.*

August 20, 2004: *AG Pappert Announces Break-Up of Erie Cocaine Distribution Ring.*

August 20, 2004: *AG Pappert Sues Western Pennsylvania Pre-Need Funeral Business.*

August 12, 2004: *AG Pappert Takes Action Against Monroe County Used Car Dealer.*

August 11, 2004: *AG Pappert Releases \$14,000 to Help Recruit and Train PA Firefighters and Promote Emergency Services.*

August 6, 2004: *Philadelphia-Based Comcast Cable to Comply with Pennsylvania "Do Not Call" Law.*

August 6, 2004: *AG Pappert Announces Sentence of York County Man Who Falsified Credentials and Double-Billed Medicaid.*

August 3, 2004: *AG Pappert Commends State Department of Banking Study on Monroe County Home Foreclosure Filings.*

July 29, 2004: *AG Pappert and DA Gibbons Announce Arrests of as Many as 14 Suspected Cocaine and Marijuana Dealers in Bucks County.*

July 27, 2004: *AG Pappert Accuses Bulletproof Vest Maker of Failing to Disclose Potentially Life Threatening Flaws in Equipment Sold to Pennsylvania Law Enforcement Agencies.*

July 26, 2004: *AG Pappert and Pennsylvania State Police Charge two men with Promoting Dog Fighting in Pennsylvania and Throughout the Nation.*

July 21, 2004: *AG Pappert Says PA Consumers Will Receive Nearly \$1.6 Million in Drug Settlement.*

July 21, 2004: *AG Pappert Obtains \$9,000 for Consumers Denied Refunds from a Lancaster County Motel Owner.*

July 9, 2004: *AG Pappert Sues PA Refinance Business for "Do Not Call" Violations.*

July 8, 2004: *AG Pappert Obtains \$15,000 for Victims of Home Improvement Fraud in Northeastern Pennsylvania.*

July 8, 2004: *AG Pappert, DA Abraham and Philadelphia Police Department Announce Break-Up of Large Scale Philadelphia Heroin Ring.*

July 7, 2004: *AG Pappert Announces Another Arrest in Lackawanna County Prison Investigation.*

June 30, 2004: *AG Pappert, DA Lupas Announce Arrests of as Many as 29 Alleged Luzerne County Drug Dealers.*

June 29, 2004: *AG Pappert Delivers \$35,000 to Penn State Nutrition Links Program.*

June 25, 2004: *AG Pappert Secures \$433,000 for PA Medicaid Program under Settlements with Rite Aid and Wal-Mart.*

June 24, 2004: *AG Pappert Takes Action Against Bank and Collection Company in Predatory Lending/Credit Card Scheme.*

June 23, 2004: *AG Pappert, DA Downs Announce Arrests of Up to 26 Bradford County Drug Dealers.*

June 21, 2004: *York-Based Cable TV Service Provider to Fully Refund Customers.*

June 18, 2004: *AG Pappert Seeks Refunds for Consumers Following Investigation into Westmoreland County Car Dealer.*

June 14, 2004: *AG Pappert Announces Guilty Plea of Former Greenville Police Officer.*

June 14, 2004: *AG Pappert Announces US Supreme Court Decision in Precedent Setting Commonwealth Case.*

June 10, 2004: *AG Pappert Announces Multi-State Action Against Car Dealers and Credit Company in Alleged Inflated Lease Payoff Scheme.*

June 4, 2004: *AG Pappert Announces Arrests of up to Six Blair County Residents in Large Scale "Slip and Fall" Insurance Scam.*

June 2, 2004: *AG Pappert Announces Return of More Than \$272,000 to Pennsylvania Cancer Patients.*

June 2, 2004: *AG Pappert and United Refinery Announce Reimbursement Program for Gas Customers.*

June 1, 2004: *AG Pappert Announces Arrests of 17 Alleged Drug Dealers Operating in Beaver and Allegheny Counties.*

May 28, 2004: *AG Pappert Accuses Former Western PA Water Purification Company and President of False Advertising and Illegal Business Practices.*

May 27, 2004: *Lehigh County Man Barred from Selling Home Heating Oil in Pennsylvania.*

May 26, 2004: *Carbon County Man Barred from Conducting Sales Over the Internet.*

May 25, 2004: *AG Pappert Obtains Nearly \$15,000 in Restitution for Lehigh Valley Victims of Home Improvement Fraud.*

May 24, 2004: *AG Pappert Announces Guilty Plea of "Operation Bone Crusher" Ring Leader.*

May 20, 2004: *AG Pappert Obtains Full Refunds for Consumers who Purchased Audio/Video Equipment on the Internet.*

May 13, 2004: *AG Pappert Announces \$38 Million Multi-State Settlement with Maker of Anti-Seizure Medication.*

May 11, 2004: *AG Pappert to Share Pennsylvania "Do Not Call" List with the FTC.*

May 11, 2004: *AG Pappert Warns of Prescription Drug Abuse Problem in Lackawanna/Luzerne Counties.*

May 10, 2004: *Memphis-Based Terminix International to Fully Disclose Fuel Surcharges; PA Consumers Can Apply for Refunds.*

May 7, 2004: *AG Pappert Sues Susquehanna County Modular Home Builder.*

May 7, 2004: *AG Pappert Sues Allegheny County Wedding Photographer.*

May 6, 2004: *Delaware County-Based Mortgage Company Pays \$20,000 and Agrees to Comply with PA "Do Not Call" Law.*

May 4, 2004: *AG Pappert, Mercer County District Attorney Epstein Announce Arrests of up to 21 Mercer County Drug Dealers.*

April 30, 2004: *AG Pappert Charges Two Clearfield County Men with Drug Offenses.*

April 29, 2004: *AG Pappert, DA Marsico Announce Arrests of Two Men Charged in Undercover Internet Child Sex Sting.*

April 29, 2004: *Bucks County-Based Mover Banned from Working in Pennsylvania.*

April 28, 2004: *AG Pappert Recognizes Drug Free Calendar Contest Winner.*

April 28, 2004: *AG Pappert Announces US Supreme Court Decision Upholding PA Congressional Redistricting Plan.*

April 27, 2004: *AG Pappert Announces Prison Sentence of Lancaster County Man Who Swindled Nearly \$3 Million from Dozens of Senior Citizens.*

April 26, 2004: *AG Pappert Announces \$22.7 Million Settlement with Medco Health Solutions.*

April 26, 2004: *AG Pappert Asks PA Supreme Court to Hear Scher Appeal.*

April 22, 2004: *AG Pappert Warns of Prescription Drug Abuse Problem in Philadelphia.*

April 21, 2004: *Allegheny County Firms Named in "Do Not Call" Lawsuit.*

April 20, 2004: *AG Pappert Announces Arrests of as Many as 58 Alleged Drug Dealers Operating in Westmoreland County.*

April 20, 2004: *Lancaster County Motel Owner Accused of Failing to Return Money for Rooms that Were Unusable or Cancelled.*

April 19, 2004: *AG Pappert Seeks Court Order from Commonwealth Court Securing the Voting Rights of Pennsylvanians Overseas.*

April 16, 2004: *AG Pappert Joins the Effort to Secure the Voting Rights of Pennsylvanians Serving Overseas.*

April 15, 2004: *AG Pappert Warns of Prescription Drug Abuse Problem in York.*

April 14, 2004: *AG Pappert Announces Forgery Charges Against Greater Johnstown School District Teacher.*

April 13, 2004: *AG Pappert Issues Statement on Monroe County Shooting.*

April 13, 2004: *AG Pappert Warns of Prescription Drug Abuse Problem in Lehigh Valley.*

April 13, 2004: *AG Pappert, PSP Announce Charges Against Seven Current and Former Northumberland County Prison Guards.*

April 13, 2004: *AG Pappert Charges Two Philadelphia Business Men for Failing to Pay Taxes.*

April 8, 2004: *AG Pappert Sues Virginia-Based Sports Photographer.*

April 8, 2004: *AG Pappert, DA's Gorman and Tulowitzki Announce Break-Up of Multi-Million Dollar Crack Cocaine Organization.*

April 7, 2004: *New Jersey Corporation Pays \$8,400 Fine Following Investigation into "Do Not Call" Complaints.*

April 6, 2004: *AG Pappert Reaches Settlement with Tobacco Company Allowing its Cigarettes to be sold in Pennsylvania.*

April 6, 2004: *AG Pappert Issues Advisory to Homeowners Affected in State's Pocono Land Fraud Probe.*

April 6, 2004: *AG Pappert Will Ask PA Supreme Court to Hear Scher Appeal.*

April 1, 2004: *Connecticut-Based Company Pays \$40,000; Refunds Available for Eligible Consumers Claiming Unauthorized Charges.*

April 1, 2004: *Statement from Attorney General Pappert Regarding the Death of Two Bradford County Sheriffs' Deputies.*

March 31, 2004: *Delaware County Carpet Cleaning Business Pays \$4,600 Fine and Agrees to Comply with "Do Not Call" Law.*

March 30, 2004: *AG Pappert Announces Arrests in Lackawanna County Prison Investigation.*

March 25, 2004: *AG Pappert Announces Arrests of Three Alleged Wilkesburg Heroin Dealers; \$400,000 Worth of Heroin Seized.*

March 24, 2004: *AG Pappert, Westmoreland County DA Peck and Armstrong County DA Andreassi Announce Break-Up of Meth Ring.*

March 23, 2004: *AG Pappert, Lawrence County DA Mangino Announce Charges Against 23 Alleged New Castle Drug Dealers.*

March 23, 2004: *AG Pappert Sues Pittsburgh Company for "Do Not Call" Violations.*

March 19, 2004: *AG Pappert Announces Arrests of Two Alleged Monroeville Cocaine Dealers.*

March 18, 2004: *AG Pappert and DA Lupas Announce Charges Against 17 Alleged Luzerne County Marijuana Dealers.*

March 15, 2004: *AG Pappert Files Suit Against Allegheny County Appraiser.*

March 12, 2004: *AG Pappert, DA Marsico Announce Sentences of Two Men Charged in Undercover Internet Child Sex Sting.*

March 12, 2004: *AG Pappert and PA Bar Association host Project PEACE Anti-Violence Training for Elementary Schools.*

March 12, 2004: *AG Pappert Announces Environmental Crimes Charges Against State College Man for Illegal Dumping.*

March 12, 2004: *AG Pappert and DA Pettit Announce Charges Against Washington County Heroin Dealers.*

March 10, 2004: *AG Pappert Sues 13 Major Drug Companies for Unlawful and Deceptive Pricing and Sales Practices.*

March 9, 2004: *AG Pappert Obtains Restitution for Former Bucks County Gym Members.*

March 5, 2004: *AG Pappert Announces Charges Against Two Suspects in PennDOT Driver Licensing Scam.*

March 4, 2004: *AG Pappert Seeks Fines and Restitution from Luzerne County Home Builder Accused of Shoddy Workmanship.*

March 4, 2004: *AG Pappert Obtains Restitution in Cases Against Florida Telemarketers.*

March 3, 2004: *AG Pappert Recovers \$1.5 Million in Settlement Funds for PACE Program; Money to Pay for Prescription Drugs for Uninsured Seniors.*

March 2, 2004: *AG Pappert Announces Arrests of Three Alleged Lackawanna County Cocaine Dealers.*

February 27, 2004: *AG Pappert Commends Bucks County Elementary School for Adopting Project PEACE Program.*

February 26, 2004: *AG Pappert Files Contempt Charges Against Former Luzerne County Contractor.*

February 26, 2004: *AG Pappert Reaches \$440,000 Settlement with Florida Tobacco Company.*

February 25, 2004: *AG Pappert Sues New Jersey-Based Satellite TV and Cellular Phone Companies.*

February 25, 2004: *AG Pappert Announces Forgery and Election Law Charges Against 58th Ward Democratic Party Workers.*

February 25, 2004: *AG Pappert Announces North East High School as Winner of "LifeSmarts" Championship.*

February 23, 2004: *AG Pappert Announces Guilty Plea and Sentence in Lehigh County Sludge Case.*

February 20, 2004: *AG Pappert and Carbon County DA Dobias Announce Arrests of as Many as 14 Alleged Drug Dealers Operating in Carbon County.*

February 19, 2004: *AG Pappert Sues Blair County-Based Charity over Alleged Illegal Fundraising.*

February 19, 2004: *AG Pappert Announces Release of Refund Checks in Compact Disc Antitrust Case.*

February 13, 2004: *AG Pappert, DA Lupas Announce Arrests of Five Heroin Suspects.*

February 12, 2004: *AG Pappert Announces Theft Charges Against Former PennDOT Purchasing Agent.*

February 12, 2004: *AG Pappert Sues Cambria County Business Following Investigation into "Do Not Call" Violations.*

February 11, 2004: *Texas-Based Cellular Company and Its Telemarketer Pay Fine in State's "Do Not Call" Investigation.*

February 6, 2004: *AG Pappert Files Suit Against Chester County-Based National Mail Order Catalog and the Internet Sales Company.*

February 4, 2004: *AG Pappert Named to National Committees Aimed at Stopping Pharmaceutical Overpricing and Preventing Prescription Drug Abuse.*

February 3, 2004: *AG Pappert Names First Deputy and Director of Criminal Law Division.*

January 30, 2004: *Philadelphia Hearing Aid Business Pays \$7,500 Fine Following Investigation Into "Do Not Call" Complaints.*

January 29, 2004: *AG Pappert Sues Major State College Rental Property Owner Accused of Violating PA Landlord Tenant Act.*

January 28, 2004: *AG Pappert and DA Lupas Announce as Many as 22 Additional Arrests in \$2 Million Luzerne County Cocaine Trafficking Ring.*

January 27, 2004: *AG Pappert Warns Consumers About Credit Fraud and Billing Scams During National Consumer Protection Week.*

January 26, 2004: *AG Pappert Sues Cable TV Service Provider and Operator.*

January 22, 2004: *AG Pappert Files Suit Against Former PA Notary Involved in Case Against Pittsburgh Home Improvement Contractor.*

January 22, 2004: *AG Pappert Recovers \$11.1 Million for PA Medicaid Program in Settlements with Bayer and Glaxo Smith Kline.*

January 20, 2004: *Acting AG Pappert Sues PA Warranty Company.*

January 20, 2004: *PA Senate Unanimously Confirms Pappert as Attorney General.*

January 14, 2004: *Acting AG Pappert Obtains Nearly \$1.3 Million for PA Medicaid Program.*

January 9, 2004: *Acting AG Pappert and DA Gorman Announce Arrests of as Many as 41 Alleged Drug Dealers Operating in Blair County.*

January 8, 2004: *Acting AG Pappert and Erie DA Foulk Announce Involuntary Manslaughter Charges Against Doctor.* I have been unable to obtain a copy of the press release, but representative press coverage is supplied.

January 6, 2004: *Acting AG Pappert Announces Lawsuit Against Company Claiming to Restore Suspended Drivers Licenses.*

January 6, 2004: *Acting AG Pappert Announces Settlement with Time Life, Inc. in "Do Not Call" Case.*

January 5, 2004: *Acting AG Pappert Comments on Pennsylvania Supreme Court Decision on Older Adults Protective Services Act.* I have been unable to obtain a copy of the press release, but representative press coverage is supplied.

January 2, 2004: *Acting AG Pappert Files Contempt of Court Charges Against Clearfield County Hearing Aid Business and Operators.*

December 30, 2003: *Acting AG Pappert Files Charges Against Former Monroe County Attorney for Stealing \$1.3M From Clients.* I have been unable to obtain a copy of the press release, but representative press coverage is supplied.

December 24, 2003: *Acting AG Pappert Obtains Restitution for Philadelphia Health Club Members After Gym Permanently Shuts Down.*

December 22, 2003: *Acting AG Pappert Announce Environmental Crimes Charges Against Dover Man and York International, Inc.*

December 19, 2003: *Acting AG Pappert and York DA Rebert Announce Break-Up of York County Heroin Ring.*

December 17, 2003: *Acting AG Pappert Announces Arrests of Three Alleged Allentown Cocaine Dealers.*

December 15, 2003: *Acting AG Pappert to Host Winners of "Team Up Against Drugs" Calendar Contest.*

January 26, 2001: *PA Supreme Court Upholds State Pardons Board Law.*

13. **Judicial Office:** State (chronologically) any judicial offices you have held, including positions as an administrative law judge, whether such position was elected or appointed, and a description of the jurisdiction of each such court.

I have not held any judicial offices.

- a. Approximately how many cases have you presided over that have gone to verdict or judgment? _____
- i. Of these, approximately what percent were:
- jury trials? _____%; bench trials _____% [total 100%]
- civil proceedings? _____%; criminal proceedings? _____% [total 100%]

- b. Provide citations for all opinions you have written, including concurrences and dissents.
 - c. For each of the 10 most significant cases over which you presided, provide: (1) a capsule summary of the nature the case; (2) the outcome of the case; (3) the name and contact information for counsel who had a significant role in the trial of the case; and (3) the citation of the case (if reported) or the docket number and a copy of the opinion or judgment (if not reported).
 - d. For each of the 10 most significant opinions you have written, provide: (1) citations for those decisions that were published; (2) a copy of those decisions that were not published; and (3) the names and contact information for the attorneys who played a significant role in the case.
 - e. Provide a list of all cases in which certiorari was requested or granted.
 - f. Provide a brief summary of and citations for all of your opinions where your decisions were reversed by a reviewing court or where your judgment was affirmed with significant criticism of your substantive or procedural rulings. If any of the opinions listed were not officially reported, provide copies of the opinions.
 - g. Provide a description of the number and percentage of your decisions in which you issued an unpublished opinion and the manner in which those unpublished opinions are filed and/or stored.
 - h. Provide citations for significant opinions on federal or state constitutional issues, together with the citation to appellate court rulings on such opinions. If any of the opinions listed were not officially reported, provide copies of the opinions.
 - i. Provide citations to all cases in which you sat by designation on a federal court of appeals, including a brief summary of any opinions you authored, whether majority, dissenting, or concurring, and any dissenting opinions you joined.
14. **Recusal**: If you are or have been a judge, identify the basis by which you have assessed the necessity or propriety of recusal (If your court employs an "automatic" recusal system by which you may be recused without your knowledge, please include a general description of that system.) Provide a list of any cases, motions or matters that have come before you in which a litigant or party has requested that you recuse yourself due to an asserted conflict of interest or in which you have recused yourself sua sponte. Identify each such case, and for each provide the following information:

I have not served as a judge.

- a. whether your recusal was requested by a motion or other suggestion by a litigant or a party to the proceeding or by any other person or interested party; or if you recused yourself sua sponte;

- b. a brief description of the asserted conflict of interest or other ground for recusal;
- c. the procedure you followed in determining whether or not to recuse yourself;
- d. your reason for recusing or declining to recuse yourself, including any action taken to remove the real, apparent or asserted conflict of interest or to cure any other ground for recusal.

15. Public Office. Political Activities and Affiliations:

- a. List chronologically any public offices you have held, other than judicial offices, including the terms of service and whether such positions were elected or appointed. If appointed, please include the name of the individual who appointed you. Also, state chronologically any unsuccessful candidacies you have had for elective office or unsuccessful nominations for appointed office.

Since October 17, 2012, I served as the Chairman of the Pennsylvania Banking and Securities Commission after being nominated by Governor Thomas W. Corbett and confirmed by the Pennsylvania Senate.

From October 2006 to July 2012, I served as a member of the Commonwealth Financing Authority after being appointed by Pennsylvania Senate President Pro Tempore Robert C. Jubelirer.

From December 15, 2003 to January 20, 2005, I served as the Attorney General of Pennsylvania after being nominated by Pennsylvania Governor Edward G. Rendell and confirmed by the Pennsylvania Senate.

From January 21, 1997 to December 15, 2003, I served as the First Deputy Attorney General of Pennsylvania after being appointed by Attorney General D. Michael Fisher.

I have never been a candidate for public office, nor have I ever had an unsuccessful nomination for appointed office

- b. List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

As Attorney General for Pennsylvania in 2004, I spoke at a number of events urging general support for local, state and national Republican candidates. In particular, I recall endorsing Tom Corbett to succeed me as Attorney General in various settings, including a March 2004 fundraising event and a press conference held on April 23, 2004. I also publicly supported the Bush-Cheney campaign at a Law Enforcement for Bush/Cheney event on April 21, 2004.

On October 13, 2001, I gave remarks in support of Mike Fisher's gubernatorial campaign at a Lancaster County ACTION Breakfast. From April through November 1996, I was the campaign manager for Mike Fisher for Attorney General. I managed all aspects of then-State Senator Mike Fisher's campaign for Attorney General of Pennsylvania.

From May through November 1994, I was a campaign volunteer for Rick Santorum for Senate. I helped plan the logistics for press and public events, organized meetings, researched policy issues, provided transportation, and stuffed envelopes.

16. **Legal Career:** Answer each part separately.

a. Describe chronologically your law practice and legal experience after graduation from law school including:

i. whether you served as clerk to a judge, and if so, the name of the judge, the court and the dates of the period you were a clerk;

I have never served as a judicial clerk.

ii. whether you practiced alone, and if so, the addresses and dates;

I have never practiced alone.

iii. the dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been affiliated, and the nature of your affiliation with each.

1988 – 1997
Duane Morris, LLP
30 South 17th Street
Philadelphia, Pennsylvania 19103
Associate

1997 – 2005
Office of the Attorney General
Strawberry Square, 16th Floor
Harrisburg, Pennsylvania 17120
First Deputy Attorney General of Pennsylvania (1997 – 2003)
Attorney General of Pennsylvania (2003 – 2005)

2005 – 2008
Ballard Spahr, LLP
1735 Market Street
51st Floor
Philadelphia, Pennsylvania 19103
Partner

2008 – 2012
Cephalon, Inc.
41 Moores Road
Frazer, Pennsylvania 19355
Executive Vice President, General Counsel and Secretary

2012 – Present
Cozen O'Connor
1900 Market Street
Philadelphia, Pennsylvania 19103
Partner

- iv. whether you served as a mediator or arbitrator in alternative dispute resolution proceedings and, if so, a description of the 10 most significant matters with which you were involved in that capacity.

I have never served as a mediator or arbitrator.

b. Describe:

- i. the general character of your law practice and indicate by date when its character has changed over the years.

For the first few years of my legal career as an associate attorney at Duane Morris, my practice consisted largely of document reviews and drafting of memoranda, motions and legal briefs as well as drafting and answering written discovery. I then began to focus more on the drafting of pleadings, the taking and defending of depositions, arguing discovery motions, litigating motions to dismiss, motions for summary judgment and other procedural matters, as well as assisting more senior lawyers in jury trials. In the early to mid-1990s, in addition to all of the above tasks, I began handling arbitrations and served as lead trial counsel in a federal jury trial that resulted in a verdict in favor of the firm's client.

During my service as First Deputy Attorney General of Pennsylvania, from 1997 to 2003, the character of my practice changed to one more of management of strategic direction and decision-making in both civil and criminal matters. In addition to my management responsibilities, I occasionally edited pleadings, briefs, criminal complaints, affidavits of probable cause, proposed settlement agreements, consent decrees, and other documents relevant to the practice of the Office of Attorney General. I successfully argued three appeals in the Pennsylvania Supreme Court, as well as an appeal in the United States Supreme Court. I also served as lead trial and appellate counsel in the Office's successful action to prevent the sale of the Hershey Foods Corporation.

As the Attorney General of Pennsylvania from 2003 to 2005, I was not able to personally try any cases or handle any appeals and my role became one of final decision making with respect to all civil and criminal actions taken by the Office of Attorney General, with the accompanying responsibility to explain my actions and priorities to the people of Pennsylvania.

After leaving the Attorney General's office, I returned to private practice at the firm of Ballard Spahr, LLP, where I was a partner from February 2005 to May 2008. During this time, I resumed a litigation practice and served as lead trial counsel in a federal jury trial, representing a local law enforcement agency in a case that settled after a week of trial. I also represented and counseled various clients in responding to requests for documents and other information in governmental investigations, advocating the firm's clients' interests in front of those regulatory bodies, and advising and counseling clients on how to avoid becoming the subject of governmental investigations, with an emphasis on best practices and corporate compliance.

Upon becoming Executive Vice President, General Counsel and Secretary of Cephalon, Inc., in May 2008, my responsibilities included managing and overseeing all company legal matters including litigation, transactions, as well as government relations and corporate communications. In this role, my clients were the company and its shareholders. I oversaw the defense of investigations and litigation brought by federal and state regulators, the prosecution of patent infringement litigation pursuant to the Hatch-Waxman Act, the defense of class actions, shareholder litigation and other major commercial cases, the company's defense to a hostile takeover attempt and subsequent negotiations and sale of the company to a "white knight."

Upon returning to private practice at Cozen O'Connor in November 2012, I resumed the representation of individuals and companies facing regulatory and enforcement investigations where representative clients include a major healthcare provider and insurer and the Commonwealth of Pennsylvania.

- ii. your typical clients and the areas at each period of your legal career, if any, in which you have specialized.

During my career at Duane Morris, typical clients included larger commercial entities such as insurance companies and insurance brokerage firms, governmental entities, banks, architect and engineering firms, construction companies, engineering and utility contractors and various individuals. During my public service in the Pennsylvania Office of Attorney General, my clients were the citizens of Pennsylvania as well as the Commonwealth and its various agencies and employees. At the

Ballard Spahr firm, my typical clients included individuals and public companies in various industries, including automobile dealers, a major cable television and Internet provider, a major telephone company, an international brewing company, a regional port authority and its attached police force, and an international biopharmaceutical company. While at Cephalon, my clients were the company and its shareholders. Upon returning to private practice in November 2012, I resumed the representation of individuals and companies facing regulatory and enforcement investigations where representative clients include a major healthcare provider and insurer, the Commonwealth of Pennsylvania, an individual employee of a pharmaceutical company and a Philadelphia based university.

- c. Describe the percentage of your practice that has been in litigation and whether you appeared in court frequently, occasionally, or not at all. If the frequency of your appearances in court varied, describe such variance, providing dates.

Roughly half of my legal career has been spent in active litigation, with the remainder of my legal career spent in various management capacities.

- i. Indicate the percentage of your practice in:

- | | |
|-----------------------------|-----|
| 1. federal courts: | 40% |
| 2. courts of record: | 60% |
| 3. other courts: | 0% |
| 4. administrative agencies: | 0% |

- ii. Indicate the percentage of your practice in:

- | | |
|--------------------------|-----|
| 1. civil proceedings: | 75% |
| 2. criminal proceedings: | 25% |

- d. State the number of cases in courts of record, including cases before administrative law judges, you tried to verdict, judgment or final decision (rather than settled), indicating whether you were sole counsel, chief counsel, or associate counsel.

I tried two cases to verdict as lead trial counsel, one in federal court and the other in state court. I tried one case to verdict in federal court as associate counsel.

- i. What percentage of these trials were:

- | | |
|--------------|-----|
| 1. jury: | 33% |
| 2. non-jury: | 67% |

- e. Describe your practice, if any, before the Supreme Court of the United States. Supply four (4) copies of any briefs, amicus or otherwise, and, if applicable, any oral argument transcripts before the Supreme Court in connection with your practice.

In 2001, I represented the Pennsylvania Department of Corrections in arguing before the Court the case of *Timothy Booth v. C.O. Churner, et al.*, 532 U.S. 731 (2001). Copies of the briefs and the oral argument transcript are included.

I was also listed as counsel on briefs filed in the Court in the cases below, with cites to the briefs provided. For the *amicus curiae* briefs I am listed, typically with other state attorneys general, to support a fellow attorney general and his or her office in a particular matter. I did not have any personal involvement in any of those cases, beyond being listed on the brief. In the cases of *Pompilla v. Beard*, *Hall v. Pennsylvania Board of Probation and Parole* and *Pennsylvania State Police v. Suders* I am listed as counsel on the merits briefs because my office represented the Pennsylvania Department of Corrections, Board of Probation and Parole and State Police, respectively. I similarly had no personal involvement in these matters.

Siegelman v. United States, Brief of *Amici Curiae* in Support of Petition for Certiorari (2012 U.S. S.Ct. Briefs LEXIS 1004).

McBride v. Ortiz, Brief of *Amici Curiae* in Support of Petitioners (2005 WL 154022).

Rahn v. Robb, Brief of *Amici Curiae* in Support of Petitioners (2004 WL 2915266).

Pompilla v. Beard, Brief for Respondent (2004 U.S. S. Ct. Briefs LEXIS 861).

McCleary County v. ACLU of Kentucky, Brief for *Amici Curiae* in Support of Petitioners (2003 U.S. S. Ct. Briefs LEXIS 772).

Van Orden v. Perry, Brief of *Amici Curiae* in Support of Respondents (2003 U.S. S. Ct. Briefs LEXIS 811).

Lingle v. Chevron USA, Inc., Brief of *Amici Curiae* in Support of Petitioners, (2004 U.S. S. Ct. Briefs 876).

Metro-Goldwyn Mayer Studios v. Grokster, Brief of *Amici Curiae* in Support of Petitioners (2004 WL 2569664).

Smith v. Massachusetts, Brief of *Amici Curiae* in Support of Respondent (2004 U.S. S. Ct. Briefs LEXIS 695).

Rhines v. Weber, Brief of *Amici Curiae* in Support of Respondent (2004 WL 2070857).

Hall v. Pennsylvania Board of Probation and Parole, Brief in Opposition (2004 WL 2070857).

Pompilla v. Horn, Brief in Opposition (2004 WL 2216341).

Veneman v. Livestock Marketing Ass'n, Brief of *Amici Curiae* in Support of Petitioners (2004 U.S. S. Ct. Briefs LEXIS 830).

Granholt v. Heald, Brief of *Amici Curiae* in Support of Petitioners (2004 U.S. S. Ct. Briefs LEXIS 460).

Wilkinson v. Dotson, Brief of *Amici Curiae* in Support of Petitioner (2004 U.S. S. Ct. Briefs LEXIS 429).

Groody v. Doe, Petition for a Writ of Certiorari (2004 WL 1628913).

Devenpeck v. Alford, Brief of *Amici Curiae* in Support of Petitioners (2004 U.S. S. Ct. Briefs LEXIS 407).

Cooper Indus. v. Aviall Servs., Brief of *Amici Curiae* in Support of Respondent (2004 U.S. S. Ct. Briefs LEXIS 297).

Pennsylvania State Police v. Suders, Reply Brief for Petitioner (2004 WL 596123).

Nelson v. Campbell, Brief of *Amici Curiae* Supporting Respondents (2004 U.S. S. Ct. Briefs LEXIS 249).

Pennsylvania State Police v. Suders, Brief for Petitioner (2004 U.S. S. Ct. Briefs LEXIS 99).

Elk Grove Unified School District v. Newdow, Brief of *Amici Curiae* in Support of Petitioners (2003 U.S. S. Ct. Briefs LEXIS 1076).

17. **Litigation**: Describe the ten (10) most significant litigated matters which you personally handled, whether or not you were the attorney of record. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the case. Also state as to each case:

- a. the date of representation;
- b. the name of the court and the name of the judge or judges before whom the case was litigated; and
- c. the individual name, addresses, and telephone numbers of co-counsel and of principal counsel for each of the other parties.

1. *Wolfington, et al. v. Delaware River Port Authority of Pennsylvania and New Jersey, et al.*, 04-cv-04655 (E.D. Pa. 2004).

On September 16, 2003, the plaintiff was being issued a parking ticket by two police officers of the Delaware River Port Authority of Pennsylvania and New Jersey ("DRPA"). An argument with the officers ensued, and the plaintiff fled the scene with the officers in pursuit. The plaintiff was subsequently arrested and brought suit in October 2004 against the DRPA and the two officers for alleged violation of her civil rights during and after her arrest. The plaintiff also asserted various state law claims, some of which were brought against all defendants, others solely against the DRPA and the remainder solely against the officers. The DRPA was sued primarily under the theory of *respondeat superior*, as the officers' employer. I became lead defense counsel for the DRPA after joining the Ballard Spahr firm in February 2005. I conducted or supervised all depositions, discovery and motion practice, trial memoranda and all related trial papers and served as lead trial counsel. The Section 1983 claim against the DRPA was dismissed with prejudice prior to trial. The litigation settled after four days of trial. The trial was held from May 9 through May 12, 2005 before Judge John P. Fullam in the United States District Court for the Eastern District of Pennsylvania.

The plaintiff was represented by James L. Griffith, Fox Rothschild, LLP, 10 Sentry Parkway, Suite 200, P.O. Box 3001, Blue Bell, Pennsylvania 19422, (610) 397-3925. The individual officers, Loebell and Manny, were represented by James J. Black, III and Jeffrey B. Miceli, Black & Gerngross, P.C. 1617 JFK Boulevard, Suite 1575, Philadelphia, Pennsylvania 19103, (215) 636-1650.

2. *The Barnes Foundation, a Corporation*, No. 58,788 (Pa.Ct.Com.Pl. 2004).

Dr. Barnes created The Barnes Foundation in 1922 to promote the advancement of education and the appreciation of the fine arts and to establish and maintain an art gallery and other necessary buildings for the exhibition of works of art. Dr. Barnes thereafter donated his artwork to the Foundation to enable it to accomplish its charitable purposes. He funded the Foundation with an initial endowment, but over time the endowment became depleted and the Foundation was unable to cover its general operating expenses and other needs. The trust document pursuant to which Dr. Barnes donated his artwork contained many restrictions that gave the Foundation's board very little flexibility in raising the funds necessary to maintain the endowment.

In the hopes of ensuring its ability to continue its purpose in the future and to improve its finances, the Foundation reached agreement with leading philanthropic institutions in Philadelphia that promised to help the Foundation raise approximately \$150 million, predicated upon the relocation of its art collection. Such a relocation required approval by the Orphans Court. While decisions regarding deviations from trusts are for the Orphans Court to decide, the Office of Attorney General is the only party with legal standing in the courts of the Commonwealth to represent the interests of the public at large in those matters. Consistent with that responsibility, my office and I concluded that if the averments of the Foundation's Petition were supported by credible evidence, we were prepared to recommend to the court that the requested relief be granted. I studied the history of the Barnes Foundation and the trust indenture which created it and directed my office's strategy in the litigation. The case was in litigation for several years; I had responsibility over the matter from 2003 to early 2005 and personally gave the opening

statement in court on September 21, 2004. On December 13, 2004, the court issued its decision concurring with my recommendation. Judge Stanley R. Ott presided over the proceedings.

The Barnes Foundation was represented by Arlin M. Adams and Ralph Wellington of Schnaeder Harrison Segal & Lewis, LLP, 1600 Market Street, Suite 3600, Philadelphia, Pennsylvania 19103, (215) 751-2000. Lead counsel for the Commonwealth was Senior Deputy Attorney General Lawrence Barth, Office of the Attorney General of Pennsylvania, 21 South 12th Street, Philadelphia, Pennsylvania 19107, (215) 560-2402.

3. *In re: Milton Hershey School Trust*, No. 712, Year of 1963 (Pa. Ct. Com. Pl. 2002); *In re: Milton Hershey School Trust*, 807 A.2d 324 (Pa. Commw. Ct. 2002).

In the summer of 2002, the Hershey Trust Company and the Board of Managers of the Milton Hershey School proposed to sell their controlling interest in the Hershey Foods Corporation, which was held in trust for the school. On August 23, 2002, the Attorney General filed a petition for an *ex parte* injunction against the trust company and Board of Managers to preclude any sale until it could be properly considered by the Court and the Office of Attorney General.

The Common Pleas Court hearing on the injunction request was held on September 3, 2002 and the Judge issued the injunction order on September 4, 2002. On September 18, 2002, the Commonwealth Court issued its Order and Opinion upholding the Common Pleas Court injunction, concluding that the trial judge had reasonable grounds upon which to grant the preliminary injunction. The trust company and school thereafter ceased its efforts to sell the company. Senior Judge Warren G. Morgan presided over the Common Pleas court hearing and entered the preliminary injunction and President Judge James Colins, Judges Bernard McGinley, Dan Pellegrini, Bonnie Leadbetter and Robert Simpson of the Commonwealth Court heard the appeal and affirmed the trial court decision, with only Judge Pellegrini dissenting from the court's opinion. I was sole counsel at the trial court hearing and personally argued the appeal.

The Board of Managers of the Milton Hershey School and the Hershey Trust Company as Trustee of the Milton S. and Catherine S. Hershey Trust were represented by Jack M. Stover, Buchanan Ingersoll & Rooney, P.C., 409 North Second Street, Suite 500, Harrisburg, Pennsylvania 17101, (717) 237-4837.

4. *Booth v. C.O. Churner, et al.*, 532 U.S. 731 (2001).

Petitioner Booth was a Pennsylvania state prison inmate when he brought a Section 1983 action claiming that various corrections officers violated his 8th Amendment rights by using excessive force against him and denying him medical attention to treat ensuing injuries. He sought various forms of injunctive relief and money damages. At the time, Pennsylvania provided an administrative grievance and appeals system, which addressed Booth's complaints but had no provision for the recovery of money damages. Before suing in federal court, Booth filed an administrative grievance but did not seek administrative review after the prison authority denied his requests.

Booth's failure to appeal administratively led the district court to dismiss his complaint without prejudice for failure to exhaust administrative remedies under the Prison Litigation Reform Act of 1995. The Third Circuit Court of Appeals affirmed and the Supreme Court of the United States granted certiorari based on a split in the circuits. On behalf of the Pennsylvania Department of Corrections, I argued the appeal, representing the interests of the various corrections officers who had been sued. The Supreme Court ruled unanimously in favor of the corrections officers, holding that an inmate seeking only money damages must complete any prison administrative process capable of addressing the inmate's complaint and providing some form of relief, even if that process does not make specific provision for monetary relief. I argued the case on March 20, 2001 and the Court announced its decision on May 29, 2001.

Arguing the case for the petitioner was Nancy Winkelman of Schnaeder, Harrison, Segal and Lewis, LLP, 1600 Market Street, Suite 3600, Philadelphia, Pennsylvania 19103, (215) 751-2342. Arguing as *amicus curiae* and supporting the respondents was Irving L. Gornstein, Assistant Solicitor General, Department of Justice. Mr. Gornstein is now the Executive Director of the Supreme Court Institute at Georgetown Law School, 600 New Jersey Avenue, N.W., Washington, DC 20001, (202) 662-9934.

5. *Pennsylvania Prison Society, et al. v. Commonwealth of Pennsylvania, et al.*, 565 Pa. 526; 776 A.2d 971 (2001).

On behalf of the Commonwealth defendants, I argued this case on May 1, 2000, in the Supreme Court of Pennsylvania and the court handed down its decision on July 25, 2001. The case concerned the constitutionality of constitutional amendments that altered the procedures and composition of the Pennsylvania Board of Pardons. The Prison Society challenged the constitutionality of these amendments, arguing that because the ballot question that presented the proposed amendments to the voters contained five proposed amendments in the form of one question, it violated a separate constitutional provision. The Commonwealth Court of Pennsylvania held that the ballot question was invalid and the Commonwealth appealed to the Supreme Court of Pennsylvania. In a split decision, the Supreme Court of Pennsylvania reversed the Commonwealth Court and concluded that the ballot question was not constitutionally infirm.

Representing the Pennsylvania Prison Society on the appeal was William C. Costopoulos, 831 Market Street, Lemoyne, Pennsylvania 17043, (717) 761-2121.

6. *Commonwealth of Pennsylvania v. Maker, et al.*, 563 Pa. 454; 761 A.2d 1167 (2000).

I argued this case in the Supreme Court of Pennsylvania, defending a constitutional challenge to the Pennsylvania Bottle Club Law which made it a summary offense to permit any "lewd, immoral or improper entertainment" in a "bottle club." A "bottle club" is an establishment which is not licensed to sell alcoholic beverages, but in which alcoholic beverages may either be brought in by customers or be provided by the management. "Lewd, immoral or improper entertainment" is a term of art extensively defined by statute, but which includes nudity, acts of real or simulated sexual intercourse, and other forms of grossly sexual "entertainment." The appellants in this case contended

that the statute violated their freedom of expression under the First Amendment to the United States Constitution. I argued the case in 1999 and the court issued its per curium opinion in favor of the Commonwealth on November 27, 2000.

Other counsel for the Commonwealth was John G. Knorr, III, Chief Deputy Attorney General, Office of the Attorney General, 15th Floor, Strawberry Square, Harrisburg, Pennsylvania 17120, (717) 787-1144. Counsel for the Appellants was Lawrence Casella, Eckert Seamans Cherin & Mellott, LLC, 600 Grant Street, 44th Floor, Pittsburgh, Pennsylvania 15219, (412) 341-2536.

7. *Allied Signal, Inc. v. Amcast International Corp.*, 177 F. Supp. 2d 713 (S.D. Ohio 2001).

For many years, Allied Signal had disposed of waste from a coal tar products plant it operated in Ohio into the Goldkamp Disposal Area (“GDA”). Amcast, which had operated a foundry in the same Ohio town, also had dumped waste into the GDA over a period of many years. Allied was subsequently ordered by the United States Department Environmental Protection Agency (“EPA”) to remediate the GDA and in doing so, incurred several million dollars in costs. In January 1992, Allied Signal sued Amcast under the CERCLA statute to recover a portion of those costs. As a senior associate at Duane Morris, I was brought into the case in late 1994 and took and defended depositions and assisted with the drafting of all pre-trial papers. I then assisted lead counsel in trying the case from February 9 through February 17, 1995 in the United States District Court for the Southern District of Ohio. This was a bench trial. I conducted the direct and cross-examinations of numerous fact witnesses at trial and otherwise assisted lead counsel in all other aspects of the trial presentation. Following the proceedings, I assisted in the drafting of all post-trial briefing, memoranda and other necessary tasks. On January 12, 2001, after I had left the Duane Morris firm, the court entered judgment in favor of Allied Signal for over \$1.1 million, with an accompanying order that Amcast would pay 2% of certain of Allied’s response costs under CERCLA after that date and 28% of its other response costs after that point in time. Judge Walter H. Rice presided over the litigation.

Lead counsel for Allied Signal, Inc. was David C. Toomey, who has since retired from the practice of law. Amcast Industrial Corporation was represented by Ann Wightman and Donald Ireland, Faruki, Ireland & Cox, P.L.L., 500 Courthouse Plaza, S.W., 10 North Ludlow Street, Dayton, Ohio 45402, (937) 227-3700.

8. *Tork-Hiis, et al. v. Commonwealth of Pennsylvania, et al.*, 558 Pa. 170; 735 A.2d 1256 (1999).

I represented the Commonwealth in arguing this appeal in the Supreme Court of Pennsylvania. The issue before the court was whether a plaintiff who has commenced against the Commonwealth a tort action for damages may amend that action to add a new and distinct Commonwealth party after the expiration of the applicable statute of limitations. The Commonwealth Court of Pennsylvania had held that the plaintiff could so amend the complaint and I argued that a “Commonwealth party” is an entity distinct

from the “Commonwealth” and cannot be substituted for the Commonwealth after the expiration of the applicable statute of limitations if the amendment, in effect, adds a “new party.” I argued the case on April 27, 1999 and the Court issued its decision and opinion on August 18, 1999 in favor of the Commonwealth. The case was argued before the full Supreme Court of Pennsylvania with Chief Justice Flaherty writing for a unanimous Court.

Representing the plaintiffs in the appeal were Howard A. Rothenberg, Howard Rothenberg & Associates, 345 Wyoming Avenue, Suite 210, Scranton, Pennsylvania 18503, (570) 207-2889 and Steven G. Bresset, Bresset & Santora, LLC, 606 Church Street, Honesdale, Pennsylvania 18431, (570) 253-5953.

9. *McNasby v. The Graham Company, et al.*, 92-cv-01851 (E.D.Pa. 1992).

Mr. McNasby was an executive at The Graham Company (“Graham”), a Philadelphia-based commercial insurance brokerage firm. McNasby left his employment with Graham to join a rival company and took Graham clients with him to his new employer in an alleged violation of the covenant not to compete in his employment agreement. In March 1992, McNasby sued Graham for defamation and Graham filed a counterclaim against McNasby, Rollins Burdick Hunter Company (“RBH”) and AON Corporation (“AON”). As a senior associate at Duane Morris, I assisted lead counsel in representing Graham throughout the litigation. I helped to draft many of the pleadings, written discovery, motions, trial memoranda, points for charge and all other papers. I took and defended numerous depositions and assisted lead counsel at the jury trial. The litigation settled after six days of trial. The trial was held June 16 to 22, 1993 before Judge Jan E. DuBois.

Lead counsel for The Graham Company was Gene E.K. Pratter (formerly at Duane, Morris), United States District Court for the Eastern District of Pennsylvania, Tenth Floor, United States Courthouse, Sixth and Market Streets, Philadelphia, Pennsylvania 19106, (267) 299-7350. Mr. McNasby’s counsel was Michael L. Banks, Morgan Lewis, 1701 Market Street, Philadelphia, Pennsylvania 19103, (215) 963-5387. Mr. Banks also represented RBH and AON.

10. *Friedman v. Principal Mutual Life Insurance Company*, 91-cv-04424 (E.D.Pa. 1991).

Mr. Friedman was insured under a disability income policy issued by Principal Mutual. He sought benefits under the policy, his entitlement to which Principal Mutual disputed based on the belief that Friedman was not in fact disabled under the policy’s terms. As a mid-level associate at Duane Morris, I represented Principal Mutual. I conducted all discovery and motion practice and prepared all trial related papers and memoranda. I served as lead trial counsel for the four-day jury trial, which resulted in a complete defense verdict for our firm’s client. The plaintiff filed a motion for a new trial, which was denied. Friedman did not appeal the Court’s decision. The trial took place from November 19 to 22, 1991 and the court’s opinion denying the plaintiff’s motion for a new trial was issued on May 8, 1992. Judge Clarence C. Newcomer presided over the litigation; he has since passed away.

Friedman was represented by Bruce W. McCullough, Bodell Bove, LLC, 1225 North King Street, Suite 1000, Wilmington, Delaware 19801, (302) 655-6749.

18. **Legal Activities:** Describe the most significant legal activities you have pursued, including significant litigation which did not progress to trial or legal matters that did not involve litigation. Describe fully the nature of your participation in these activities. List any client(s) or organization(s) for whom you performed lobbying activities and describe the lobbying activities you performed on behalf of such client(s) or organizations(s). (Note: As to any facts requested in this question, please omit any information protected by the attorney-client privilege.)

While in the Office of Attorney General, I pursued a number of significant legal activities on behalf of the people of Pennsylvania in the areas of drug law enforcement, consumer protection, civil litigation, antitrust, charitable trusts, criminal law enforcement and others. Summarized below are some of the initiatives that the Office undertook during my tenure, all of which would have been pursued in 2004.

The Office filed a lawsuit against 13 major pharmaceutical companies for unlawful and deceptive pricing and sales practices. I alleged that those companies engaged in a complex scheme to raise drug prices by artificially inflating the cost of their drugs thus forcing consumers and state agencies to pay significantly higher prices for prescription medications. The lawsuit sought recovery for individual Pennsylvania consumers who were financially harmed by the higher prices, notably seniors who under the federal Medicare program paid 20% of the cost of their drugs and thus paid more for prescription medications when the companies raised their prices. I also sought recovery on behalf of Commonwealth programs and agencies that purchase drugs including Medicaid, the Pharmaceutical Assistance Contract for the Elderly (PACE) and the Pennsylvania Employees Benefits Trust Fund (PEBTF), which entities pay for prescription drugs based on a price set by the companies.

The Office filed a number of civil lawsuits regarding fraudulent and misleading practices by real estate brokers and appraisers, who deliberately overstated the value of properties and newly built homes to home buyers and lenders throughout Northeastern Pennsylvania. These cases resulted in Consent Petitions and Orders filed in the Commonwealth Court of Pennsylvania providing for injunctive relief, \$750,000 in consumer restitution, \$175,000 in civil penalties and \$385,000 in costs.

The Office filed felony charges against a sitting member of the Pennsylvania House of Representatives for having his legislative staff work on campaign events during Commonwealth business hours, effectively substituting his taxpayer-funded legislative staff for what should have been a separate political campaign. The subsequent conviction of former Representative Jeffrey Habay, and the appellate courts' upholding of that conviction, created the precedent necessary for the broader "Bonusgate" prosecutions and convictions which took place after I had left office.

The Office brought litigation that established an important precedent in Pennsylvania, which successfully prevented a sitting member of the Pennsylvania House of Representatives from resigning his position to accept appointment to another, higher paying, position in state government.

I have not performed any lobbying activities on behalf of any clients or organizations.

19. **Teaching:** What courses have you taught? For each course, state the title, the institution at which you taught the course, the years in which you taught the course, and describe briefly the subject matter of the course and the major topics taught. If you have a syllabus of each course, provide four (4) copies to the committee.

I have never taught a course, though once a year I speak to a class at University of Pennsylvania Law School on the topics of the practice of law and professional satisfaction.

20. **Deferred Income/ Future Benefits:** List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients or customers. Describe the arrangements you have made to be compensated in the future for any financial or business interest.

None.

21. **Outside Commitments During Court Service:** Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service with the court? If so, explain.

If confirmed, I have no such plans at this time.

22. **Sources of Income:** List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, licensing fees, honoraria, and other items exceeding \$500 or more (if you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here).

See Financial Disclosure Report.

23. **Statement of Net Worth:** Please complete the attached financial net worth statement in detail (add schedules as called for).

See Net Worth Statement.

24. **Potential Conflicts of Interest:**

- a. Identify the family members or other persons, parties, categories of litigation, and financial arrangements that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to arise.

Immediate family members and in-laws, cases with which I was involved in private or governmental practice and financial interest in the affairs of a party before the court are examples of potential conflicts of interest. I would review these and all other actual and potential conflicts and address them in accordance with the Code of Conduct for United States Judges and applicable ethical canons.

- b. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.

If confirmed, I would review all matters for actual and potential conflicts in accordance with all applicable codes of conduct and canons of ethics.

25. **Pro Bono Work:** An ethical consideration under Canon 2 of the American Bar Association's Code of Professional Responsibility calls for "every lawyer, regardless of professional prominence or professional workload, to find some time to participate in serving the disadvantaged." Describe what you have done to fulfill these responsibilities, listing specific instances and the amount of time devoted to each.

While at Duane Morris, I represented a state prison inmate in a federal lawsuit against the City of Philadelphia and secured for the inmate a default judgment and modest financial reward. I also participated in an adult literacy program. As the Attorney General of Pennsylvania, while I was precluded from representing private litigants, I participated in numerous community outreach initiatives, bar association sponsored programs, public education efforts and other projects designed to benefit the Commonwealth's citizens.

26. **Selection Process:**

- a. Please describe your experience in the entire judicial selection process, from beginning to end (including the circumstances which led to your nomination and the interviews in which you participated). Is there a selection commission in your jurisdiction to recommend candidates for nomination to the federal courts? If so, please include that process in your description, as well as whether the commission recommended your nomination. List the dates of all interviews or communications you had with the White House staff or the Justice Department regarding this nomination. Do not include any contacts with Federal Bureau of Investigation personnel concerning your nomination.

Senators Casey and Toomey have formed a bipartisan Judicial Nomination Advisory Panel in the Eastern District of Pennsylvania, which recommends candidates for nomination to the federal court, and I submitted a completed questionnaire on September 25, 2013. On November 19 and 20, as well as

December 16, 2013, I met with members of that Panel in Philadelphia, Pennsylvania. On November 22, 2013, I met with Senator Toomey in Conshohocken, Pennsylvania. On December 11, 2013, I met with Senator Casey in Washington, D.C. Since December 20, 2013, I have been in contact with officials from the Office of Legal Policy at the Department of Justice. On January 29, 2014, I interviewed with attorneys from the White House Counsel's Office and the Department of Justice in Washington, D.C. On June 16, 2014, the President submitted my nomination to the Senate.

- b. Has anyone involved in the process of selecting you as a judicial nominee discussed with you any currently pending or specific case, legal issue or question in a manner that could reasonably be interpreted as seeking any express or implied assurances concerning your position on such case, issue, or question? If so, explain fully.

No.

**FINANCIAL DISCLOSURE REPORT
NOMINATION FILING**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Pappert, Gerald J.	2. Court or Organization U.S. District Court for the Eastern District of Pennsylvania	3. Date of Report 06/16/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S District Judge	5a. Report Type (check appropriate type) ☒ Nomination Date 06/16/2014 ☐ Initial ☐ Annual ☐ Final	6. Reporting Period 01/01/2013 to 06/12/2014
	5b. ☐ Amended Report	
7. Chambers or Office Address Cozen O'Connor, P.C. 1900 Market Street Philadelphia, PA 19103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Shareholder	Cozen O'Connor, P.C.
2.	Chairman	Pennsylvania Banking and Securities Commission
3.	General Partner	Marza, LP
4.	Trustee	Trust No. 1
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2001	Pennsylvania State Employees' Retirement System Defined Benefit Pension Plan
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Pappert, Gerald J.

Date of Report

06/16/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Cephalon, Inc., 2011 Bonus	\$298,200.00
2. 2012	Cozen O'Connor, P.C., Salary	\$55,925.00
3. 2012	Commonwealth of Pennsylvania, Salary	\$4,531.00
4. 2012	Pennsylvania State Employees' Retirement System, Retirement Benefits	\$4,138.71
5. 2012	ABC, Inc., Fees	\$900.00
6. 2013	Cozen O'Connor, P.C., Salary	\$382,447.51
7. 2013	Commonwealth of Pennsylvania, Salary	\$30,302.84
8. 2013	ABC, Inc., Fees	\$750.00
9. 2014	Cozen O'Connor, Salary	\$96,837.20
10. 2014	Commonwealth of Pennsylvania, Salary	\$15,807.52

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Pappert, Gerald J.

Date of Report

06/16/2014

2. _____
3. _____
4. _____
5. _____

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Pappert, Gerald J.

Date of Report

06/16/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. Exempt		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Pappert, Gerald J.

Date of Report

06/16/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Marza, LP									
2. -Arizona State Bonds	A	Interest	K	T					
3. -Barclays Bank PLC	D	Dividend	M	T					
4. -Beaver County, PA Bonds	A	Interest	K	T					
5. -Buffalo, NY Bonds	A	Interest	K	T					
6. -Calamos Market Neutral Income Fund	D	Dividend	M	T					
7. -Dansville, NY Central School District Bonds	A	Interest	K	T					
8. -East Penn, PA School District Bonds	A	Interest	K	T					
9. -Elk County, PA Industrial Development Authority Bonds	B	Interest	N	T					
10. -American Funds EuroPacific Growth Fund	F	Dividend	N	T					
11. -Federal Farm Credit Banks Consolidated Bonds	A	Interest	K	T					
12. -Federal Home Loan Banks Consolidated Bonds	A	Interest	K	T					
13. -Spartan 500 Index Fund	D	Dividend	K	T					
14. -Fidelity Floating Rate High Income Fund	C	Dividend	N	T					
15. -Fidelity Municipal Money Market	A	Interest	L	T					
16. -First Trust Dow Jones Internet Index Fund	A	Dividend	M	T					
17. -Gates-Chili, NY Central School District Bonds	B	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Pappert, Gerald J.

Date of Report

06/16/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Gateway Fund	D	Dividend	M	T					
19. -Greater Johnstown, PA School District Bonds	B	Interest	M	T					
20. -Greenfield, MA Bonds	A	Interest	M	T					
21. -Harbor Creek, PA School District Bonds	A	Interest	K	T					
22. -Highland LongShort Equity Fund	D	Dividend	M	T					
23. -iShares Core S&P 500 Index Fund	G	Dividend	P1	T					
24. -iShares Core Total U.S. Bond Market Fund	A	Dividend	J	T					
25. -iShares 1-3 Year Treasury Bond	A	Dividend	J	T					
26. -iShares Core S&P Mid-Cap	G	Dividend	N	T					
27. -iShares Russell 2000 Index Fund	F	Dividend	N	T					
28. -iShares U.S. Technology	E	Dividend	L	T					
29. -iShares Core S&P Small-Cap	E	Dividend	M	T					
30. -iShares S&P Europe 350	D	Dividend	K	T					
31. -JPMorgan Alerian MLP Index	E	Dividend	M	T					
32. -Janus Triton Fund	E	Dividend	M	T					
33. -MainStay Marketfield Fund	E	Dividend	M	T					
34. -Merger Fund	D	Dividend	N	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Pappert, Gerald J.

Date of Report

06/16/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -North & South Shenango, PA Jt. Municipal Authority Bonds	B	Interest	M	T					
36. -Pacira Pharmaceuticals, Inc.	D	Dividend	M	T					
37. -Panther Valley, PA School District Bonds	A	Interest	K	T					
38. -Phelps-Clifton Springs, NY Central School District Bonds	B	Interest	M	T					
39. -Pike County, KY School District Bonds	C	Interest	M	T					
40. -Ringwood, NJ Board of Education Bonds	C	Interest	M	T					
41. -Romeo, MI Community School District Bonds	A	Interest	M	T					
42. -Scout International Fund	F	Dividend	N	T					
43. -PA State Public School Building Authority Bonds	A	Interest	M	T					
44. -Stone Harbor, NJ Bonds	A	Interest	M	T					
45. -Swatara Twp., PA Bonds	A	Interest	M	T					
46. -Templeton Global Bond Fund	A	Dividend	M	T					
47. -University of Northern Iowa Bonds	A	Interest	K	T					
48. -Utah State Building Ownership Authority Bonds	C	Interest	M	T					
49. -Vanguard Total Stock Market Fund	E	Dividend	L	T					
50. -Wasatch Long/Short Fund	E	Dividend	L	T					
51. -American Funds Washington Mutual Investors Fund	G	Dividend	O	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Pappert, Gerald J.

Date of Report

06/16/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -Wells Fargo Premier Large Company Growth Fund	G	Dividend	N	T					
53. -Whitesboro, NY Central School District Bonds	A	Interest	M	T					
54. -Williamsport, PA Sanitary Authority Bonds	A	Interest	K	T					
55. BMW Bank of North America Utah CD	A	Interest	K	T					
56. Exxon Mobil Corp. Stock	E	Dividend	L	T					
57. Fidelity Cash Reserves	A	Interest	K	T					
58. GlaxoSmithKline Capital plc Note	A	Interest	K	T					
59. Intel Corp. Note	A	Interest	K	T					
60. IBM Corp. Note	A	Interest	K	T					
61. Merck & Co., Inc. Note	A	Interest	K	T					
62. PIMCO Total Return Fund	A	Dividend	K	T					
63. PIMCO Commodity Real Return Strategy Fund	A	Dividend	J	T					
64. URBAN STORAGE FUND	A	Distribution	M	W					
65. VILLAGE AT CANTERBURY	A	Distribution	M	W					
66. PNC BANK --Accounts	A	Interest	L	T					
67. PAC Life--Universal Life Policy		None	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A =\$1,000 or less
F =\$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000

S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Pappert, Gerald J.

Date of Report

06/16/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Trust No. 1, listed in Section I of this Report, is an unfunded trust.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting	Date of Report
Pappert, Gerald J.	06/16/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Gerald J. Pappert**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		49	071	Notes payable to banks-secured			
U.S. Government securities – see schedule		50	975	Notes payable to banks-unsecured			
Listed securities – see schedule	8	889	642	Notes payable to relatives			
Unlisted securities – see schedule		350	000	Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable – personal residence		582	773
Real estate owned – personal residence		830	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		30	000				
Cash value-life insurance		20	731				
Other assets itemize:							
Pennsylvania SERS pension plan		3	509				
				Total liabilities		582	773
				Net Worth	9	641	155
Total Assets	10	223	928	Total liabilities and net worth	10	223	928
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							

FINANCIAL STATEMENT

NET WORTH SCHEDULES

U.S. Government Securities

Federal Farm Credit Banks Consolidated Bonds	\$ 25,826
Federal Home Loan Banks Consolidated Bonds	25,149
Total U.S. Government Securities	<u>\$ 50,975</u>

Listed Securities

American Funds EuroPacific Growth Fund	\$ 256,936
American Funds Washington Mutual Investors Fund	588,150
Arizona State bonds	25,211
Barclays Bank Plc	116,394
Beaver County, PA bonds	25,398
BMW Bank of North America Utah CD	25,112
Buffalo, NY bonds	25,843
Calamos Market Neutral Income Fund	123,367
Dansville, NY Central School District bonds	25,332
East Penn, PA School District bonds	25,387
Elk County, PA Industrial Development Authority bonds	116,275
Exxon Mobil Corp. stock	50,265
Fidelity Cash Reserves	22,920
Fidelity Floating Rate High Income Fund	264,179
Fidelity Municipal Money Market	74,188
First Trust Dow Jones Internet Index Fund	116,502
Gates Chili, NY Central School District bonds	129,054
Gateway Fund	175,659
GlaxoSmithKline Capital plc note	25,311
Greater Johnstown, PA School District bonds	127,270
Greenfield, MA bonds	102,451
Harbor Creek, PA School District bonds	25,392
Highland LongShort Equity Fund	129,413
IBM Corp. note	20,180
Intel Corp. note	20,586
iShares 1-3 Year Treasury Bond ETF	2,116
iShares Core S&P 500 Index ETF	2,034,666
iShares Core S&P Mid-Cap ETF	394,035
iShares Core S&P Small-Cap ETF	118,888
iShares Core Total U.S. Bond Market ETF	1,426
iShares Russell 2000 Index ETF	251,114
iShares S&P Europe 350 ETF	41,084
iShares U.S. Technology ETF	71,151
Janus Triton Fund	173,757
JPMorgan Alerian MLP Index ETN	132,908

MainStay Marketfield Fund	210,726
Merck & Co Inc. note	24,735
Merger Fund	273,158
North & South Shenango, PA Jt. Municipal Authority bonds	101,081
PA State Public School Building Authority bonds	155,298
Pacira Pharmaceuticals Inc. Com US	147,847
Panther Valley, PA School District bonds	25,732
Phelps-Clifton Springs, NY Central School District bonds	134,416
Pike County, KY School District bonds	130,811
PIMCO CommodityRealReturn Strategy Fund	7,282
PIMCO Total Return Fund	1,123
Ringwood, NJ Board of Education bonds	104,503
Romeo, MI Community School District bonds	126,995
Scout International Fund	319,962
Spartan 500 Index Fund	27,172
Stone Harbor, NJ bonds	100,646
Swatara Township, PA bonds	102,877
Templeton Global Bond Fund	166,971
University of Northern Iowa bonds	25,482
Utah State Building Ownership Authority bonds	103,486
Vanguard Total Stock Market ETF	82,154
Wasatch Long/Short Fund	97,855
Wells Fargo Premier Large Company Growth Fund	409,018
Whitesboro, NY Central School District bonds	126,980
Williamsport, PA Sanitary Authority bonds	25,412
Total Listed Securities	<u>\$ 8,889,642</u>

Unlisted Securities

Urban Storage Fund LP	\$ 200,000
Village at Canterbury LP	<u>150,000</u>
Total Unlisted Securities	\$350,000

AFFIDAVIT

I, Gerald J. Pappert, do swear
that the information provided in this statement is, to the best
of my knowledge, true and accurate.

June 18, 2014

(DATE)

Gerald Pappert

(NAME)

Lisa A. Gangloff

(NOTARY)

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Lisa A. Gangloff, Notary Public
City of Philadelphia, Philadelphia County
My commission expires November 29, 2014