

**UNITED STATES SENATE
COMMITTEE ON THE JUDICIARY**

QUESTIONNAIRE FOR JUDICIAL NOMINEES

PUBLIC

1. **Name:** State full name (include any former names used).

Julien Xavier Neals

2. **Position:** State the position for which you have been nominated.

United States District Judge for the District of New Jersey

3. **Address:** List current office address. If city and state of residence differs from your place of employment, please list the city and state where you currently reside.

Office: Office of County Counsel
 One Bergen County Plaza, Room 580
 Hackensack, New Jersey 07601

Residence: East Orange, New Jersey

4. **Birthplace:** State year and place of birth.

1965; Newark, New Jersey

5. **Education:** List in reverse chronological order each college, law school, or any other institution of higher education attended and indicate for each the dates of attendance, whether a degree was received, and the date each degree was received.

1988 – 1991, Emory University School of Law; J.D., 1991

1982 – 1986, Morehouse College; B.A., 1986

6. **Employment Record:** List in reverse chronological order all governmental agencies, business or professional corporations, companies, firms, or other enterprises, partnerships, institutions or organizations, non-profit or otherwise, with which you have been affiliated as an officer, director, partner, proprietor, or employee since graduation from college, whether or not you received payment for your services. Include the name and address of the employer and job title or description.

January 2015 – present
County of Bergen
One Bergen County Plaza, Room 580

Hackensack, New Jersey 07601
County Counsel

1992 – 2006, October 2014 – January 2015
Chasan Leyner & Lamparello, PC
300 Harmon Meadow Boulevard
Secaucus, New Jersey 07094
Partner (2003 – 2006, October 2014 – January 2015)
Associate (1993 – 2003)
Law Clerk (1992 – 1993)

2008 – 2014
City of Newark
920 Broad Street
Newark, New Jersey 07102
Business Administrator (2010 – 2014)
Corporation Counsel (2008 – 2010)

2006 – 2008
Newark Municipal Court
31 Green Street
Newark, New Jersey 07102
Chief Judge

1991 – 1992
Superior Court of New Jersey
Hudson County, Civil Division
William J. Brennan Courthouse
483 Newark Avenue
Jersey City, New Jersey 07306
Law Clerk to the Honorable Seymour Margulies

1986 – 1991
Musician, self-employed
(part-time during law school, 1988 – 1991)

Other Affiliations (uncompensated):

2008 – present
Volunteer Lawyers for Justice
Gateway One
100 Mulberry Street, Sixth Floor
Newark, New Jersey 07102
Board of Trustees (2008 – present)
Chairman (2011 – 2013)

2011 – 2014
Newark Downtown District
15 Clinton Street
Newark, New Jersey
Board Member

2010 – 2011
New Jersey State Bar Foundation
One Constitution Square
New Brunswick, New Jersey 08901
Trustee

2002 – 2006
Hudson County Bar Association
Brennan Courthouse
583 Newark Avenue
Jersey City, New Jersey 07306
Trustee

7. **Military Service and Draft Status:** Identify any service in the U.S. Military, including dates of service, branch of service, rank or rate, serial number (if different from social security number) and type of discharge received, and whether you have registered for selective service.

I did not serve in the military. I have registered for selective service.

8. **Honors and Awards:** List any scholarships, fellowships, honorary degrees, academic or professional honors, honorary society memberships, military awards, and any other special recognition for outstanding service or achievement.

Gibbons Trendsetter Award (2014)

Black History Month Celebration Recognition Award, Essex County Prosecutor's Office (2014)

Greater Newark Conservancy Special Recognition Award (2014)

Outstanding Public Service Award, Caribbean American Heritage & Cultural Foundation of New Jersey (2013)

Pro Bono Leader Award, Volunteer Lawyers for Justice (2011)

Administrator's Award, Honorable Luis A. Quintana, Councilman at Large, 17th Annual Breakfast (2011)

Guest of Honor Award, Newark Firefighters Union (2011)

Certificate of Appreciation Walk for Community Empowerment, Urban League of Essex County (2011)

Walter A. Lucas Special Merit Award, Essex County Bar Association (2010)

Men of Vision, Famous Fathers Famous Sons Recognition, Newark Municipal Council President Mildred C. Crump (2010)

New Jersey Business Community Award, Caribbean American Business Association (2009)

Flame Award, Second Annual Comprehensive Summer Internship Program, City of Newark Law Department (2008)

Inaugural Essence of Inspiration Award, Newark Emergency Services for Families (2008)

Recognition Award, Essex County Municipal Court Judges Association (2008)

Certificate of Appreciation Annual Men's Career Day, Rutgers University (2007)

Benjamin E. Mays Excellence in Community Service Award, United Negro College Fund (2007)

Certificate of Special Recognition Career Day, First Avenue School Newark (2007)

Certificate of Appreciation Urban League of Essex County Career Day, Dr. Martin Luther King, Jr., Elementary School (2007)

9. **Bar Associations:** List all bar associations or legal or judicial-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices, which you have held in such groups.

American Association for Justice (formerly American Trial Lawyers Association)
Associate Member (2005 – 2011)

Essex County Bar Association

Nominating Committee (2014 – present)

Delegate to New Jersey State Bar Association General Council (2014)

Co-Chair, Bar Goes Local Newark Gala Event (2009 – 2010)

Federal Bar Association

Hudson American Inn of Court

Hudson County Bar Association

Trustee (2002 – 2006)

Diversity Committee (2002 – 2006)

Professionalism Committee (1994 – 2002)

New Jersey State Bar Association
Executive Committee of the Section on Labor & Employment Law (2008 – 2012)
New Jersey State Bar Foundation
Trustee (2010 – 2012)
Fellowship Committee (2010 – 2012)
New York State Bar Association
Supreme Court of New Jersey
Committee on Character and Fitness (2006)
District VI Ethics Committee, Hudson County (2002 – 2006)
Model Civil Jury Charge Committee (2002 – 2006)
Superior Court of New Jersey, Hudson County
Early Settlement Panel (2003)

10. **Bar and Court Admission:**

- a. List the date(s) you were admitted to the bar of any state and any lapses in membership. Please explain the reason for any lapse in membership.

New Jersey, 1993
New York, 1993

There have been no lapses in my New Jersey Bar admission. I inadvertently did not renew my New York Bar admission and my admission lapsed in 2013 and 2014. I had not practiced in the State of New York while my admission lapsed, and I renewed my membership in 2015 by making the required annual payments for those years. I served as business administrator for the City of Newark, New Jersey during those years.

- b. List all courts in which you have been admitted to practice, including dates of admission and any lapses in membership. Please explain the reason for any lapse in membership. Give the same information for administrative bodies that require special admission to practice.

United States Court of Appeals for the Third Circuit, 1996
United States District Court for the District of New Jersey, 1993

There have been no lapses in membership.

11. **Memberships:**

- a. List all professional, business, fraternal, scholarly, civic, charitable, or other organizations, other than those listed in response to Questions 9 or 10 to which you belong, or to which you have belonged, since graduation from law school. Provide dates of membership or participation, and indicate any office you held. Include clubs, working groups, advisory or editorial boards, panels, committees, conferences, or publications.

Fugitive Safe Surrender Newark Program, New Jersey, November 7 – 9, 2009
Planning Committee Member (2009)

Newark Downtown District
Board Member (2011 – 2014)

Newark Reentry Legal Services (ReLeSe)
Steering Committee Member (2007 – 2008)

New Jersey League of Municipalities
Legislative Committee Member (2014)

New Jersey Volunteer Lawyers for the Arts
Steering Committee Member (2005)

Volunteer Lawyers for Justice
Board of Trustees (2008 – present)
Chairman (2011 – 2013)
Immediate Past Chair (2013 – present)

- b. The American Bar Association's Commentary to its Code of Judicial Conduct states that it is inappropriate for a judge to hold membership in any organization that invidiously discriminates on the basis of race, sex, or religion, or national origin. Indicate whether any of these organizations listed in response to 11a above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies. If so, describe any action you have taken to change these policies and practices.

To the best of my knowledge, none of the organizations listed above currently discriminates or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies.

12. Published Writings and Public Statements:

- a. List the titles, publishers, and dates of books, articles, reports, letters to the editor, editorial pieces, or other published material you have written or edited, including material published only on the Internet. Supply four (4) copies of all published material to the Committee.

Emory Lawyer, Class Notes, Emory University School of Law, Summer 2011.
Copy available at <http://tinyurl.com/mq7tj87>.

With Karen Sacks, *Help VLJ Help Others*, Volunteer Lawyers for Justice, December 2010. Copy supplied.

With Ralph Lamparello, The Role of the Expert Witness in Sexual Harassment Cases, in *A Handbook of Cultural, Social Science, Management and Legal Perspectives* (2003). Copy supplied.

With Ralph Lamparello, *The Use of Experts in Sexual Harassment Litigation*, New Jersey Lawyer, April 1999. Copy supplied.

- b. Supply four (4) copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of on behalf of any bar association, committee, conference, or organization of which you were or are a member. If you do not have a copy of a report, memorandum or policy statement, give the name and address of the organization that issued it, the date of the document, and a summary of its subject matter.

2014 Newark Zoning and Land Use Regulations. During my tenure as business administrator for the City of Newark my name appeared on reports and other documents that were made available to the public. Although I did not personally prepare this document, I approved the presentation of the document to the Newark Municipal Council for consideration for adoption. Copy of draft report available at <http://tinyurl.com/kpz39fs>.

2013 City of Newark's Division of Traffic & Signals 2013 Annual Report. During my tenure as business administrator for the City of Newark my name appeared on reports and other documents that were made available to the public. Although I did not personally prepare this document, I approved the presentation of the document to the mayor prior to the document being made publicly available. Copy supplied.

2012 and 2013 Annual Reports, Newark Downtown District. Although, as a board member, my name appeared on the reports, to the best of my knowledge, I did not participate or consult in any way in their preparation, and the board was never asked to vote on or approve the contents. Copies supplied.

2012 Newark's Master Plan, Our City, Our Future, Volume 2. During my tenure as business administrator for the City of Newark my name appeared on reports and other documents that were made available to the public. Although I did not personally prepare this document, I approved the presentation of the document to the Newark Municipal Council for consideration for adoption. Copy available at <http://tinyurl.com/k65urdh>.

2011 Newark's Emerging Developments: State of the City 2011. During my tenure as business administrator for the City of Newark my name appeared on reports and other documents that were made available to the public. Although I

did not personally prepare this document, I approved the presentation of the document to the mayor for use in connection with the 2011 State of the City Address. Copy supplied.

2011 How to Do Business with the City of Newark, New Jersey. Although as business administrator my name appeared on the document, I did not prepare the document but consulted with the City's purchasing department in its preparation. Copy supplied.

2010 Pequannock and Wanaque Water Systems Annual Water Quality Report. During my tenure as business administrator for the City of Newark my name appeared on reports and other documents that were made available to the public. Although my name appears on this document, I did not personally prepare or approve the document. Copy supplied.

During my tenure as corporation counsel and as business administrator for the City of Newark, my name may have appeared on reports and other documents that were made available to the public that I did not personally prepare or review prior to the document being made publicly available.

While a member of the Supreme Court of New Jersey District VI Ethics Committee, Hudson County (2002 – 2006), I investigated a number of ethics grievances against attorneys. At the conclusion of each investigation, I prepared a report to the committee chair that recommended a particular disposition, which included the filing of a formal complaint, dismissal or other alternatives. During my tenure, the grievance process was entirely confidential and the reports to the chair were not subject to public disclosure.

- c. Supply four (4) copies of any testimony, official statements or other communications relating, in whole or in part, to matters of public policy or legal interpretation, that you have issued or provided or that others presented on your behalf to public bodies or public officials.

As a board member for Newark Downtown District from 2011 to 2014, I attended several quarterly board of trustee meetings wherein the executive director and subcommittee chairs reported on various aspects of Newark Downtown District operations. The board discussed the subcommittee reports and other meeting agenda items and took any necessary action. The board meetings were not open to the public but meeting minutes are sent to the Municipal Council and are then available for public view. I was present at the following meetings:

February 6, 2014, Meeting. Minutes supplied.

June 20, 2013, Meeting. Minutes supplied.

January 31, 2013, Meeting. Minutes supplied.

As corporation counsel and as business administrator for the City of Newark (2008 – 2014), I regularly appeared at Municipal Council pre-meetings, regular meetings and special meetings and provided commentary on the record on the policy basis and legal basis for legislative items on the meeting agendas. I also often provided legal interpretation and legal advice to the Council in closed session on pending litigation, personnel matters and other confidential issues. I provided similar advice to the mayor and other executive branch officials. In my absence, assistant corporation counsel provided advice and interpretation on my behalf. The minutes and transcripts of the Council appearances are voluminous, and they are maintained at the City Clerk's office in hard copy, video or audio format only. On occasion, my remarks at Council meetings have been quoted or summarized in press coverage, and where I am aware of such coverage, I have supplied copies of the articles in Q12e.

As corporation counsel for the City of Newark (2008 – 2010), I provided formal and informal legal opinions on various matters. After searching my records, the records of the office of the City Clerk and the Internet, I have compiled the following formal opinions, although it is possible that additional opinions may exist.

Opinion of the Corporation Counsel of the City of Newark regarding police ordinances and rank demotions, dated November 10, 2010. Copy supplied.

Opinion of the Corporation Counsel of the City of Newark regarding payment for qualifying election goods and services, dated May 10, 2010. Copy supplied.

Opinion of the Corporation Counsel of the City of Newark regarding the duration of a tax exemption under the New Jersey Housing and Mortgage Finance Agency Law, dated February 3, 2010. Copy supplied.

Opinion of the Corporation Counsel of the City of Newark regarding statutory salary provisions, dated July 25, 2009. Copy supplied.

Opinion of the Corporation Counsel of the City of Newark regarding installation of surveillance cameras in work areas, June 30, 2009. Copy supplied.

Opinion of the Corporation Counsel of the City of Newark regarding the authority of council investigative committees, dated January 8, 2009. Copy supplied.

Opinion of the Corporation Counsel of the City of Newark regarding the appearance of council members before boards and bodies, dated November 13, 2008. Copy supplied.

Opinion of the Corporation Counsel of the City of Newark regarding five-year tax abatements, dated October 15, 2008. Copy supplied.

Opinion of the Corporation Counsel of the City of Newark regarding financial disclosure filing requirements, dated October 8, 2008. Copy supplied.

Opinion of the Corporation Counsel of the City of Newark regarding eligibility for specific 5-year tax abatements, dated May 15, 2008. Copy supplied.

Opinion of the Corporation Counsel of the City of Newark regarding legal ability to pay for video services dated April 23, 2008. Copy supplied.

In addition, as corporation counsel and also as business administrator for the City of Newark, I was involved in the execution of financial documents for notes and bonds for the City of Newark and various city agencies. These financial documents are voluminous and are maintained in the offices of the City Clerk, the City's finance department and the offices of bond counsel primarily in hard copy and to a much lesser degree electronic format.

- d. Supply four (4) copies, transcripts or recordings of all speeches or talks delivered by you, including commencement speeches, remarks, lectures, panel discussions, conferences, political speeches, and question-and-answer sessions. Include the date and place where they were delivered, and readily available press reports about the speech or talk. If you do not have a copy of the speech or a transcript or recording of your remarks, give the name and address of the group before whom the speech was given, the date of the speech, and a summary of its subject matter. If you did not speak from a prepared text, furnish a copy of any outline or notes from which you spoke.

I have not maintained a comprehensive list of the speeches or talks that I have delivered. The following list is the product of a search of available records, files and publicly available information. It is possible that there are additional presentations for which I did not maintain records.

February 10, 2015: Speaker, "An Affair To Remember, A Valentine's Gala Celebration of Our First Decade," New Jersey Volunteer Lawyers for the Arts, Newark, New Jersey. I made remarks about the musical and legal abilities of board chair and honoree, attorney Nicholas Stevens, Esq., whom I have known for over twenty years. I have no notes, transcripts or recordings. The address for New Jersey Volunteer Lawyers for the Arts is P.O. Box 2265, Flemington, New Jersey, 08822.

September 12, 2014: Speaker, Installation Ceremony of Honorable Victoria F. Pratt as Chief Judge, Office of the Mayor, Newark, New Jersey. Video supplied.

August 21, 2014: Speaker, Installation Ceremony of Honorable Bahir Kamil as Superior Court Judge, Office of the Mayor, Newark, New Jersey. Video supplied.

May 9, 2014: Speaker, Groundbreaking for Ironbound Stadium, Greater Newark LISC, Newark, New Jersey. I made remarks on the progress of the development of contaminated properties throughout the City of Newark and commended the project developers. I have no notes, transcripts or recordings. The address for Greater Newark LISC is 17 Academy Street, Suite 912, Newark, New Jersey 07102.

May 8, 2014: Speaker, Ribbon Cutting and Dedication Ceremony for Tichenor Park, Office of the Mayor, Newark, New Jersey. Video supplied.

April 7, 2014: Master of ceremony, Wynona's House First Child Advocacy Center National Summit on Child Abuse & Neglect: Invisible No More, Wynona's House Child Advocacy Center, Newark, New Jersey. I served as master of ceremony for the first day of a summit on child advocacy, which featured testimonials and speeches by various educators and elected officials. I have no notes, transcripts or recordings. The address for Wynona's House Child Advocacy Center is 185 Washington Street, Newark, New Jersey 07102.

March 28, 2014: Speaker, Recipient of Gibbons Trendsetter Award, Gibbons, PC, Newark, New Jersey. As the award recipient, I spoke on my personal background and experiences in the legal profession. I have no notes, transcripts or recordings. The address for Gibbons, PC, is One Gateway Center, Newark, New Jersey 07102.

March 13, 2014: Master of ceremony, State of the City Address, Office of the Mayor, 920 Broad Street, Newark, New Jersey 07102. Video supplied ("Neals 1" at 00:00).

February 20, 2014: Speaker, Swearing in of the 43rd Fire Recruit Class, Newark Fire Department, Newark, New Jersey. Video supplied ("Neals 1" at 13:32).

January 30, 2014 – February 1, 2014: Advisory Group Panel Member, Project on Municipal Innovation Advisory Group, 11th Convening, Living Cities, Cambridge, Massachusetts. The panel was on the challenges that large cities in the United States experience in the areas of crime, development, housing and technology, among other issues. I discussed the different initiatives implemented in the City of Newark and some of the practical and legal challenges experienced in connection with those initiatives. I have no notes, transcripts or recordings. The address for Living Cities is 1730 M Street NW, Suite 400, Washington, D.C. 20036.

January 29, 2014: Master of ceremony, City of Newark 26th Anniversary Celebration of "Sing In Praise Of King" Observance, Office of the Mayor, Newark, New Jersey. I served as master of ceremony for the City of Newark's annual Dr. Martin Luther King, Jr., Day celebration. I made remarks about the contributions of the keynote speaker and the importance of diversity in American society. I have no notes, transcripts or recordings. The address for the Office of the Mayor is 920 Broad Street, Newark, New Jersey 07102.

December 18, 2013: Speaker, Ceremony to Welcome the 43rd Fire Recruit Class and to Open Renovated Chestnut Street Firehouse, Office of the Mayor, Newark, New Jersey. I made remarks about the challenges that firefighters face on a daily basis and congratulated the new recruits. I have no notes, transcripts or recordings. The address for the Office of the Mayor is 920 Broad Street, Newark, New Jersey 07102.

November 28, 2013: Speaker, Annual Tree Lighting, Office of the Mayor, Newark, New Jersey. Video is available at <https://www.youtube.com/watch?v=Mr0KhaJxrOU>.

November 11, 2013: Speaker, Seminar Under the Tuscan Sun: How Public Entity Law Shines a Light on Public Employees, New Jersey State Bar Association, Rome, Italy. Course materials supplied.

November 2, 2013: Speaker, "Rebuilding the Dream" Public Policy Summit, Leadership Newark, Newark, New Jersey. I was the topic speaker on the progress of the development of the City of Newark, news coverage and perception of the City. I have no notes, transcripts or recordings, but press coverage is supplied. The address for Leadership Newark is 494 Broad Street, Suite LL10, Newark, New Jersey 07102.

October 19, 2013: Speaker, 16th Annual "Making Strides against Breast Cancer Walk," American Cancer Society, Newark, New Jersey. I made welcoming remarks at the event and spoke about being a cancer survivor and the importance of showing support for those battling the disease. I have no notes, transcripts or recordings. The address for the American Cancer Society is 986 South Springfield Avenue, Springfield, New Jersey 07081.

October 8 – 10, 2013: Participant, Living Cities Learning Community Conference, The Strong Healthy Communities Initiative, Chicago, Illinois. As a conference attendee, I participated in panel and work group discussions about health-based initiatives in cities throughout the United States. I have no notes, transcripts or recordings, but press coverage is supplied. The address for the Strong Healthy Communities Initiative is Dryden Mansion, 59 Lincoln Park, Suite 210, Newark, New Jersey 07102.

October 2, 2013: Speaker, Annual Stakeholder Luncheon and Newark Downtown District's 15th Anniversary Celebration, Newark Downtown District, Newark, New Jersey. I made remarks on the City's accomplishments in the areas of development and overall quality of life. I have no notes, transcripts or recordings. The address for Newark Downtown District is 15 Clinton Street, Newark, New Jersey 07102.

June 24, 2013: Speaker, Caribbean Heritage Month Flag-Raising Ceremony, Office of the Mayor, Newark, New Jersey. I made remarks about the contributions of the Caribbean business community to Newark's economic development after being acknowledged for my work as business administrator. I have no notes, transcripts or recordings. The address for the Office of the Mayor is 920 Broad Street, Newark, New Jersey 07102.

June 19, 2013: Speaker, Newark Fire officials Promotional Ceremony, Office of the Mayor, Newark, New Jersey. I made remarks about the special characteristics of firefighters and the qualifications of the promotional candidates. I have no notes, transcripts or recordings, but press coverage is supplied. The address for the Office of the Mayor is 920 Broad Street, Newark, New Jersey 07102.

January 29, 2013: Master of ceremony, City of Newark's Annual Celebration of "Sing In Praise Of King" Observance, Office of the Mayor, Newark, New Jersey. I served as master of ceremony for the City of Newark's annual Dr. Martin Luther King, Jr., Day celebration. I made remarks about Dr. King's background and his contributions that helped shape civil rights in America. I have no notes, transcripts or recordings, but press coverage is supplied. The address for the Office of the Mayor is 920 Broad Street, Newark, New Jersey 07102.

January 10, 2013: Panelist, Building Leadership: Past, Present & Future, Leadership Newark, Newark, New Jersey. I made remarks as a panel member on the need to develop leaders among youth to help shape a positive future for the City of Newark and society as a whole. I have no notes, transcripts or recordings. The address for Leadership Newark is 494 Broad Street Suite LL10 Newark, New Jersey 07102.

December 4, 2012: Speaker, Annual "Arts & Eats for Justice Gala," Volunteer Lawyers for Justice, Montclair, New Jersey. I made remarks about the importance of pro bono work by attorneys while I presented the 2012 Pro Bono Firm Award. I have no notes, transcripts or recordings. The address for Volunteer Lawyers for Justice is Gateway One, 100 Mulberry Street, Sixth Floor, Newark, New Jersey 07102.

October 21, 2012: Speaker, "Making Strides Against Breast Cancer," American Cancer Society, Newark, New Jersey. I made welcoming remarks at the event and spoke about the importance of events that bring attention to the battle against cancer and other diseases. I have no notes, transcripts or recordings, but press

coverage is supplied. The address for the American Cancer Society is 986 South Springfield Avenue, Springfield, New Jersey 07081.

October 3, 2012: Speaker, Annual Stakeholder Luncheon of the Newark Downtown District, Newark Downtown District, Newark, New Jersey. I made remarks on the status of the City's financial situation and development. I have no notes, transcripts or recordings. The address for Newark Downtown District is 15 Clinton Street, Newark, New Jersey 07102.

October 2012: Panelist, Collaboration for Transformation Forum, Resident Minister's Alliance, Newark, New Jersey. I participated in a panel question and answer session about the status of the City of Newark's infrastructure and development. I have no notes, transcripts or recordings. The address for the Resident Minister's Alliance is Metropolitan Baptist Church, 149 Springfield Avenue, Newark, New Jersey 07103.

July 20, 2012: Speaker, Newark Raises the Flag to Honor Gay Pride, Office of the Mayor, Newark, New Jersey. I made remarks at this annual event about the importance of acceptance in our society and the need to respect the rights of others. I have no notes, transcripts or recordings, but press coverage is supplied. The address for the Office of the Mayor is 920 Broad Street, Newark, New Jersey 07102.

April 2012: Speaker, Metro Urban Search and Rescue Strike Team's Fifth Annual Training Exercise, Newark Fire Department, Newark, New Jersey. I made remarks about the importance of joint training between municipalities to prepare for natural disasters and other significant events. I have no notes, transcripts or recordings, but press coverage is supplied. The address for the Newark Fire Department is 1010 18th Avenue, Newark, New Jersey 07106.

December 20, 2011: Speaker, Promotional Ceremony for Fire Officers and Swearing In of Recruits at City Hall Ceremony, Office of the Mayor, Newark, New Jersey. I gave remarks about the importance of the mission of the Newark Fire Department and the City's efforts to keep the department fully staffed despite budget issues. I have no notes, transcripts or recordings, but press coverage is supplied. The address for the Office of the Mayor is 920 Broad Street, Newark, New Jersey 07102.

December 6, 2011: Speaker, Seventh Annual "Arts & Eats for Justice Gala," Volunteer Lawyers for Justice, Montclair, New Jersey. I gave remarks as recipient of the 2011 Pro Bono Award. I have no notes, transcripts or recordings, but press coverage is supplied. The address for Volunteer Lawyers for Justice is Gateway One, 100 Mulberry Street, Sixth Floor, Newark, New Jersey 07102.

December 1, 2011: Panelist, "Professionalism for the Municipal Bench and Bar," Essex County Bar Foundation, Newark, New Jersey. I spoke on ethics and

professionalism issues that had resulted in disciplinary action against municipal court judges and attorneys, and ethics and professionalism issues in defending and prosecuting municipal court cases. I have no notes, transcripts or recordings. The address for the Essex County Bar Foundation is Essex County Historic Courthouse, 470 Dr. Martin Luther King, Jr., Boulevard, Room B01, Newark, New Jersey 07102.

October 27, 2011: Speaker, Meeting of the Newark Youth Court, Newark Municipal Court, Newark, New Jersey. I made remarks welcoming the Mayor of Camden, New Jersey, and Camden elementary school students to a Newark Youth Court proceeding. I have no notes, transcripts or recordings. The address for the Newark Municipal Court is 31 Green Street, Room 301, Newark, New Jersey 07102.

July 26, 2011: Speaker, Installation Ceremony of Diana E. Montes and Dion J. Williams as Municipal Court Judges, Office of the Mayor, Newark, New Jersey. I made remarks on the contributions that Judge Montes and Judge Williams made to the efficiency of the Newark Municipal Court and the importance of the court as the third branch of government. I have no notes, transcripts or recordings, but press coverage is supplied. The address for the Office of the Mayor is 920 Broad Street, Newark, New Jersey 07102.

July 20, 2011: Speaker, "Overview of Potential Concerns of a Municipality Concerning 42 U.S.C. § 1983 Litigation," 11th Annual Municipal Law Institute, Practising Law Institute, New York, New York. Course materials supplied.

June 22, 2011: Master of ceremony, Installation of Richard E.A. Nunes as Chief Judge, Office of the Mayor, Newark, New Jersey. I served as master of ceremony for the swearing-in ceremony and made remarks about Judge Nunes' abilities and the administration's support of the court's operations. I have no notes, transcripts or recordings, but press coverage is supplied. The address for the Office of the Mayor is 920 Broad Street, Newark, New Jersey 07102.

June 16, 2011: Speaker, Opening Ceremony of Newark Community Solutions Court, Newark Municipal Court, Newark, New Jersey. I made remarks about the tremendous effort it took on behalf of many stakeholders to bring the court to reality, and thanked all of those who participated in the process. I have no notes, transcripts or recordings, but press coverage is supplied. The address for the Newark Municipal Court is 31 Green Street, Room 301, Newark, New Jersey 07102.

May 29, 2011: Speaker, 45th Annual African-American Heritage Parade, City of Newark, Newark, New Jersey. I gave welcoming remarks to the attendees. I have no notes, transcripts or recordings, but press coverage is supplied. The address for the City of Newark is 920 Broad Street, Newark, New Jersey 07102.

March 18, 2011: Remarks, African Commission's Second Annual African Women's Day Conference, Municipal Council of the City of Newark, Newark, New Jersey. I gave welcoming remarks on behalf of the Administration to the attendees. I have no notes, transcripts or recordings. The address for the Municipal Council of the City of Newark is 920 Broad Street, Newark, New Jersey 07102.

February 9, 2011: Remarks, Installation Ceremony for New Fire Chief and Officers, Office of the Mayor, Newark, New Jersey. I made remarks at the installation ceremony about the contributions of the promoted officers and the importance of fire service. I have no notes, transcripts or recordings, but press coverage is supplied. The address for the Office of the Mayor is 920 Broad Street, Newark, New Jersey 07102.

January 19, 2011: Remarks, Installation Ceremony for Fateen Ziyad as Fire Director, Office of the Mayor, Newark, New Jersey. I made remarks at the installation ceremony about the administration's commitment to work with and support the fire department and the importance of fire service. I have no notes, transcripts or recordings, but press coverage is supplied. The address for the Office of the Mayor is 920 Broad Street, Newark, New Jersey 07102.

December 2, 2010: Speaker, Installation Ceremony of Julien X. Neals, Esq., as Business Administrator of the City of Newark, Office of the Mayor, Newark, New Jersey. Video supplied ("Neals 1" at 20:30).

November 22, 2010: Panelist, "Top 10 Employment Law Cases of 2010," New Jersey Institute for Continuing Legal Education, New Brunswick, New Jersey. I spoke on the impact of recent holdings in employment law cases and provided practice tips. I have no notes, transcripts or recordings. The address for the New Jersey Institute for Continuing Legal Education is One Constitution Square, New Brunswick, New Jersey 08901.

November 12, 2010: Speaker, Meeting of the Association of Black Law Students, Rutgers Law School, Newark, New Jersey. I spoke on the importance of diversity in the legal profession. I have no notes, transcripts or recordings. The address for Rutgers Law School is 123 Washington Street, Newark, New Jersey 07102.

October 19, 2010: Speaker, "Jazz for Justice" Dinner, Rutgers Law School, Newark, New Jersey. I served as keynote speaker at a dinner honoring distinguished jurists and Rutgers-Newark Law Alums Betty Lester, Renee Weeks and Michael Wright. I spoke about the importance of the legal profession in American society. I have no notes, transcripts or recordings. The address for Rutgers Law School is 123 Washington Street, Newark, New Jersey 07102.

July 21, 2010: Speaker, "Anatomy of a Quality of Life Initiative," Tenth Annual Municipal Law Institute Meeting, Practising Law Institute, New York, New York. Outline supplied.

July 12, 2010: Speaker, Fourth Annual Comprehensive Summer Internship Program, Department of Law of the City of Newark, Newark, New Jersey. I made welcoming remarks to the incoming participants of the annual internship program sponsored by Newark's Department of Law. I have no notes, transcripts or recordings. The address for the Department of Law of the City of Newark is 920 Broad Street, Room 316, Newark, New Jersey 07102.

July 1, 2010: Speaker, Administration of Oath of Office, Office of the City Clerk of the City of Newark, Newark, New Jersey. I administered the oath of office to Cory A. Booker, for his second term as mayor of Newark. Video available at <https://www.youtube.com/watch?v=XF2B6vpBz8>.

May 24, 2010: Speaker, 45th Annual African-American Heritage Parade, City of Newark, Newark, New Jersey. I gave welcoming remarks to the attendees. I have no notes, transcripts or recordings. The address for the City of Newark is 920 Broad Street, Newark, New Jersey 07102.

April 21, 2010: Speaker, Newark Youth Court Graduation and Induction Ceremony, Center for Court Innovation, Newark, New Jersey. I spoke on the importance of developing youth in society and outlined the efforts of those who contributed to the success of the youth court initiative. I have no notes, transcripts or recordings, but press coverage is supplied. The address for the Center for Court Innovation is 520 Eighth Avenue, 18th Floor, New York, New York 10018.

April 8, 2010: Speaker, Bar Goes Local, The Newark Gala Event, Essex County Bar Association, Newark, New Jersey. I made welcoming remarks at an annual breakfast for attorneys, judges and others. I have no notes, transcripts or recordings. The address for the Essex County Bar Association is Essex County Historic Courthouse, 470 Dr. Martin Luther King, Jr., Boulevard, Room B01, Newark, New Jersey 07102.

February 24, 2010: Panelist, "Dreams of our Fathers" Panel Discussion, 2010 Black History Month forum, Newark Public Library, Newark, New Jersey. I spoke on being the son of an attorney and answered the questions from the moderator and those in attendance about the legal profession. I have no notes, transcripts or recordings. The address for the Newark Public Library is 5 Washington Street, Newark, New Jersey 07102.

November 17, 2009: Speaker, Fourth Annual "Arts and Eats for Justice" Gala, Volunteer Lawyers for Justice, Newark, New Jersey. I served as the co-chair for the annual fundraising dinner and made remarks during the program about the pro bono services of the awardees. I have no notes, transcripts or recordings. The

address for Volunteer Lawyers for Justice is Gateway One, 100 Mulberry Street, Sixth Floor, Newark, New Jersey 07102.

November 6 – 11, 2009: Panelist, “Top Ten Cases in Employment Law,” Mid-Year Meeting, New Jersey State Bar Association, San Francisco, California. The panel featured New Jersey employment law practitioners and their views on the impact of recent court decisions. I discussed the holdings in significant employment law cases. I have no notes, transcripts or recordings. The address for the New Jersey State Bar Association is New Jersey Law Center, One Constitution Square, New Brunswick, New Jersey 08901.

August 13, 2009: Panel Judge, Mock Trial, Law Department City of Newark, Newark, New Jersey. I served as mock trial judge and provided oral feedback on the presentation for the law department summer interns. I have no notes, transcripts or recordings. The address of the Law Department of the City of Newark is 920 Broad Street, Room 316, Newark, New Jersey 07102.

July 21, 2009: Speaker, “Public Interest Law – The Ins and Outs of Practice,” Young Lawyers Section event, Essex County Bar Association, Newark, New Jersey. I provided an overview of various aspects of the practice of law in the public sector. I have no notes, transcripts or recordings. The address for the Essex County Bar Association is Essex County Historic Courthouse, 470 Dr. Martin Luther King, Jr. Boulevard, Room B01, Newark, New Jersey 07102.

July 7, 2009: Speaker, Fourth Annual Comprehensive Summer Internship Program, Department of Law of the City of Newark, Newark, New Jersey. I made welcoming remarks to the incoming participants of the annual internship program sponsored by Newark’s Department of Law. I have no notes, transcripts or recordings, but press coverage is supplied. The address for the Department of Law of the City of Newark is 920 Broad Street, Room 316, Newark, New Jersey 07102.

May 29, 2009: Speaker, Ivy Hill Middle School Mock Trials, Newark Municipal Court, Newark, New Jersey. I made welcoming remarks and spoke about the important role of the Municipal Court in the lives of Newark residents and society. I have no notes, transcripts or recordings. The address for the Newark Municipal Court is 31 Green Street, Room 301, Newark, New Jersey 07102.

May 23, 2009: Speaker, Caribbean American Business Association Fourth Annual Awards Banquet, Caribbean American Business Association, Newark, New Jersey. I made remarks as an award recipient as corporation counsel for the City of Newark regarding my interaction with members of the Essex County business community. I have no notes, transcripts or recordings. The address for the Caribbean American Business Association is 71 South Orange Avenue, Suite 392, South Orange, New Jersey 07079.

April 2, 2009: Speaker, Bar Goes Local, The Newark Gala Event, Essex County Bar Association, Newark, New Jersey. I made welcoming remarks as the co-chair of the 2009 annual breakfast for attorneys, judges and others, and commented on the importance of meetings of the bench and bar. I have no notes, transcripts or recordings. The address for the Essex County Bar Association is Essex County Historic Courthouse, 470 Dr. Martin Luther King, Jr., Boulevard, Room B01, Newark, New Jersey 07102.

March 17, 2009: Speaker, City of Newark, McCarter & English Law Firm Mentoring Recruitment Breakfast, Office of the Mayor, Newark, New Jersey. I made remarks about the importance of the participation of businesses in the development of young people's lives through mentoring. I have no notes, transcripts or recordings, but press coverage is supplied. The address for the Office of the Mayor is 920 Broad Street, Newark, New Jersey 07102.

February 26, 2009: Speaker, Meeting of the Bloomfield College Pre-Law Community, Bloomfield College, Bloomfield, New Jersey. I spoke on the topic of "Law and Morality, Navigating Among the Ethically Challenged." I have no notes, transcripts or recordings. The address for Bloomfield College is 467 Franklin Street, Bloomfield, New Jersey 07003.

February 26, 2009: Speaker, Black History Month celebration, Samuel R. Donald Elementary School, Bloomingdale, New Jersey. I spoke to elementary school students about the importance of diversity in American culture and the contributions of African-Americans to American history. I have no notes, transcripts or recordings. The address for Samuel R. Donald Elementary School is 29 Captolene Avenue, Bloomingdale, New Jersey 07403.

December 18, 2008: Panel Judge, Citizen School's Mock Trial Program, McCarter and English, Newark, New Jersey. I presided over the mock trial proceeding held at the Newark Municipal Court, which involved fifth, sixth and seventh grade students. I also provided feedback on the presentation for the program participants. I have no notes, transcripts or recordings. The address for McCarter and English is Four Gateway Center, 100 Mulberry Street, Newark, New Jersey 07102.

October 17, 2008: Speaker, "Gang Violence: How Attorneys Can Be Part of the Solution," 2008 General Council Meeting, New Jersey State Bar Association, New Brunswick, New Jersey. The panel discussion was on the rise in gang membership. I discussed urban and suburban gang membership and educational programs geared toward youth in which attorneys could participate. I have no notes, transcripts or recordings. The address for the New Jersey State Bar Association is New Jersey Law Center, One Constitution Square, New Brunswick, New Jersey 08901.

May 13, 2008: Speaker, A Night of Inspiration, 30th Anniversary Celebration Gala, Newark Emergency Services for Families, Newark, New Jersey. I made remarks about the importance of the services provided by the sponsor after receiving the Inaugural Essence of Inspiration Award. I have no notes, transcripts or recordings. The address for the Newark Emergency Services for Families is 982 Broad Street, Newark, New Jersey 07102.

May 7, 2008: Speaker, "The Rule of Law, Foundation for Communities of Opportunity and Equity," Hudson County Bar Association, Jersey City, New Jersey. I was the keynote speaker at a Law Day event. I did not use prepared text and spoke about the importance of diversity in the enrichment of society and the need for all citizens to be law abiding. I have no notes, transcripts or recordings. The address for the Hudson County Bar Association is Brennan Courthouse, Fourth floor, 583 Newark Avenue, Jersey City, New Jersey 07306.

April 11, 2008: Speaker, Installation Ceremony of Julien X. Neals, Esq., as Corporation Counsel, Office of the Mayor, Newark, New Jersey. Video supplied.

March 12, 2008: Panelist, "From Wannabes to Youth Offenders: How Gangs Are Permeating New Jersey Communities and What Intervention Efforts Can Stem the Violence," New Jersey State Bar Foundation and New Jersey State Bar Association, New Brunswick, New Jersey. The panel was on the development of youth programs to provide alternatives to gang membership. I discussed the youth court initiative started in the Newark Municipal Court and the relationship between truancy and juvenile delinquency. I have no notes, transcripts or recordings. The address for the New Jersey State Bar Association is New Jersey Law Center, One Constitution Square, New Brunswick, New Jersey 08901.

October 30, 2007: Panelist, "Municipal Court Update," Essex County Bar Foundation, Newark, New Jersey. I spoke on recent court decisions in municipal court and offered practice tips when handling municipal court cases. I have no notes, transcripts or recordings. The Essex County Bar Foundation is located at Essex County Historic Courthouse, 470 Dr. Martin Luther King, Jr., Boulevard, Room B01, Newark, New Jersey 07102.

October 2007: Moderator, "Municipal Court Law: Municipal Court Judges on the New Court Rules & More," New Jersey Institute for Continuing Education, Edison, New Jersey. I moderated a question and answer session with a panel of municipal court judges on the impact of recent court rule changes. I have no notes, transcripts or recordings. The address for the New Jersey Institute for Continuing Legal Education is One Constitution Square, New Brunswick, New Jersey 08901.

June 11, 2007: Speaker, "Municipal Court Law: Top Issues in the Busiest Courtrooms," New Jersey Institute for Continuing Education, West Orange, New Jersey. The presentation was on the biggest challenges facing the Newark

Municipal Court, the State of New Jersey's largest municipal court, and municipal court practice tips. I have no notes, transcripts or recordings. The address for the New Jersey Institute for Continuing Legal Education is One Constitution Square, New Brunswick, New Jersey 08901.

May 18, 2007: Panelist, New Jersey State Bar Association Annual Meeting, New Jersey State Bar Association, Atlantic City, New Jersey. I served as a panel member on a symposium about gang violence and juvenile delinquency. I have no notes, transcripts or recordings, but press coverage is supplied. The address for the New Jersey State Bar Association is New Jersey Law Center, One Constitution Square, New Brunswick, New Jersey 08901.

December 6, 2006: Speaker, Installation Ceremony of Anna P. Pereira as Municipal Court Judge, Office of the Mayor, Newark, New Jersey. I made remarks on the qualifications of Judge Pereira, the anticipation of her positive contributions to the Newark Municipal Court and the importance of the court as the third branch of government. I have no notes, transcripts or recordings, but press coverage is supplied in response to Question 12e. The address for the Office of the Mayor is 920 Broad Street, Newark, New Jersey 07102.

November 30, 2006: Speaker, Installation Ceremony of Richard E.A. Nunes As Municipal Court Judge, Office of the Mayor, Newark, New Jersey. I made remarks on the qualifications of Judge Nunes, the anticipation of his positive contributions to the Newark Municipal Court and the importance of the court as the third branch of government. I have no notes, transcripts or recordings, but press coverage is supplied in response to Question 12e. The address for the Office of the Mayor is 920 Broad Street, Newark, New Jersey 07102.

November 3, 2006: Speaker, Installation Ceremony of Diana E. Montes-Infante as Municipal Court Judge, Office of the Mayor, Newark, New Jersey. I made remarks on the contributions that Judge Montes made to the efficiency of the Newark Municipal Court and the importance of the court as the third branch of government. I have no notes, transcripts or recordings, but press coverage is supplied. The address for the Office of the Mayor is 920 Broad Street, Newark, New Jersey 07102.

September 5, 2006: Speaker, Installation Ceremony of Julien X. Neals, Esq., as Chief Judge, Newark Municipal Court, Office of the Mayor, Newark, New Jersey. I gave brief remarks at my installation ceremony when appointed Chief Judge of the Newark Municipal Court. I have no notes, transcripts or recordings, but press coverage is supplied. The address of the Office of the Mayor is 920 Broad Street, Newark, New Jersey 07102.

February 19, 2004: Speaker, Civil Practice Committee, A Tale of Two Thresholds – From the Best of Times to the Worst of Times: An Update on Title 39 and 59 Threshold Issues, Hudson County Bar Association, Jersey City, New

Jersey. I provided comments on the legal cases impacting the thresholds for insurance claims and claims against municipalities in New Jersey. I have no notes, transcripts or recordings. The address for the Hudson County Bar Association is Brennan Courthouse, 583 Newark Avenue, Jersey City, New Jersey 07306.

- e. List all interviews you have given to newspapers, magazines or other publications, or radio or television stations, providing the dates of these interviews and four (4) copies of the clips or transcripts of these interviews where they are available to you.

Star-Ledger Editorial Board, *To Save Newark Cops, Fix the Budget: Editorial*, NJ.com, October 16, 2014. Copy supplied.

Naomi Nix, *Newark City Council Members Shuffle around Budget Introduction*, NJ.com, June 24, 2014. Copy supplied.

Michelle Kaske and Terrence Dopp, *Diamond in a Rough Patch: Sitting Empty, Riverfront Stadium Continues to Cost Newark Millions*, The Star-Ledger, June 15, 2014. Copy supplied.

Naomi Nix, *Newark Budget Woes Make State Takeover More Likely*, NJ.com, May 4, 2014. Copy supplied.

Barry Carter, *Newark Homes Not So Sweet with Toxic Vapors Seeping Inside*, NJ.com, April 13, 2014. Copy supplied.

David Giambusso, *State Ratchets up Talk of Newark Takeover*, NJ.com, March 12, 2014. Copy supplied.

City of Newark Communications Office Interview at Police Director Retirement, March 2014. Video supplied ("Neals 1" at 13:12).

January 27, 2014: I participated in a press conference where I made remarks on the importance of alternative health programs to encourage employee involvement. I have no notes, transcripts or recording.

David Giambusso, *Newark Seeks Budget Numbers to Avoid Depending on State Aid*, The Star-Ledger, January 9, 2014. Copy supplied.

David Giambusso, *Newark Budget May Face A Deficit In 2014*, NJ.com, December 22, 2013. Copy supplied.

David Giambusso, *State Warns Newark Mayor His Staff Moves May Not Fly*, NJ.com, December 5, 2013. Copy supplied.

David Cruz, *State Audit Blasts Newark Council, Clerk, City Hall Spending*, NJTV News (Interview), December 4, 2013. Video available at <http://www.njtvonline.org/news/video/state-audit-blasts-newark-council-clerk-city-hall-spending/>.

David Cruz, *Audit: Newark's City Council Spending Is High*, NJTV News, December 3, 2013. Copy supplied.

David Giambusso, *Comptroller Slams Newark Council Spending in Audit*, NJ.com, December 3, 2013. Copy supplied.

Terrence Dopp and Elise Young, *Booker Goes to D.C. as Zuckerberg Money Follows Mayor*, Bloomberg.com, October 30, 2013. Copy supplied.

David Cruz, *Newark's Teachers Village Opens Phase One with Much Fanfare*, September 25, 2013. Video available at <http://www.njtvonline.org/news/video/newark's-teachers-village-opens-phase-1-with-much-fanfare/>.

Excellence in Leadership; Lamparello to Serve as 115th President of State Bar Association, New Jersey Law Journal, May 13, 2013. Copy supplied.

Star-Ledger Staff, *City Council Gives Approval to a Deal with the Devils*, The Star-Ledger, February 27, 2013. Copy supplied.

David Cruz, *Devils Gets Newark City Council Approval, Despite Some Reservations*, NJTV Online (Interview), February 26, 2013. Video available at <http://www.njtvonline.org/news/video/devils-deal-gets-newark-city-council-approval-despite-some-reservations/>.

Making Newark's Intersections Safer, February 20, 2013. Video available at <https://www.youtube.com/watch?v=jSp83u0R0aU> and <https://www.youtube.com/watch?v=c4MqMETVOKo>.

Paul Milo, *Booker Urges Residents to Prepare for Nemo*, Newark Patch, February 8, 2013. Copy supplied.

David Giambusso, *Booker Appeals Ruling on Contentious Vote*, The Star-Ledger, February 7, 2013. Copy supplied.

Paul Milo, *Property Tax Amnesty Ending Thursday, Program Set Up To Aid Victims of Hurricane Sandy*, Newark Patch, January 28, 2013. Copy supplied.

David Giambusso, *A Tighter Grip on Newark's Spending: State Imposes New Restrictions for Aid*, The Star-Ledger, January 13, 2013. Copy supplied.

Michael Calderone, *Cory Booker: New York Times Story 'Factually Wrong,'* HuffingtonPost.com, December 17, 2012. Copy supplied.

David Giambusso, *Judge to Decide Clash Between Booker, City Council Over Tie-Breaking Vote,* The Star-Ledger, November 29, 2012. Copy supplied.

Comedian Kevin Hart Delivers Heartfelt Goods, Jetmag.com, November 13, 2012. Copy supplied.

David Ariosto, *As City Cutbacks Cause Police Layoffs, Bullets in Night Claim Young Mother's Life,* CNN.com, October 29, 2012. Copy supplied.

David Giambusso, *Newark Set to Pass Budget amid State Tensions \$615.6M Plan Shows Slight Drop In Spending Despite Big Decrease in State Aid,* The Star-Ledger, October 14, 2012. Copy supplied.

David Giambusso, *Gov. Christie Keeps Promise to Cut Newark Aid; City Will Receive \$10M,* NJ.com, October 6, 2012. Copy supplied.

Max Pizarro, *Newark Source: Christie Trying to Change Subject More than Fire a Shot at Booker,* PolitickerNJ.com, October 2, 2012. Copy supplied (reprinted in multiple outlets).

October 2012: I participated in a press conference providing an update on Hurricane Sandy. Video supplied.

Julien X. Neals, Esq.: Your Business Is His Business in Newark, The Positive Community, October 2012. Copy supplied.

Community Justice in Newark, Planning A Community Court, Community Engagement, WMBC News, October 22, 2012. Video available at <http://newarkcs.blogspot.com/2012/10/planning-community-justice-initiative-22.html>.

Newark Council Approves Putting Revised M.U.A. Question on Ballot, Local Talk News.com, September 17, 2012. Copy supplied.

David Giambusso, *Battle over Newark Water Agency Continues to Flow,* NJ.com, September 16, 2012. Copy supplied.

David Giambusso, *Watershed Agency Votes to Sever Ties with Newark Opponents Say Group Overreached in Move to Split from the City,* The Star-Ledger, September 14, 2012. Copy supplied.

David Giambusso, *Council Oks Public Vote on Water Newark Authority Could Be Created*, The Star-Ledger, September 12, 2012. Copy supplied (reprinted in multiple outlets).

Paul Milo, *Council Questions Booker Staffing Levels*, Newark Patch, August 16, 2012. Copy supplied.

New Jersey Towns Borrowing Millions To Pay For Retirees' Sick Leave, Bloomberg News, NJ.com, August 10, 2012. Copy supplied.

Paul Milo, *Council Grants Booker Aide Tax Abatement Last Week*, Newark Patch, August 6, 2012. Copy supplied.

David Cruz, *Newark Council's MUA Vote Highlights Divisions with Booker Administration*, NJTV Online, August 2, 2012. Video available at <http://www.njtvonline.org/news/video/newark-councils-mua-vote-highlights-divisions-with-booker-administration/>.

David Giambusso, *Newark City Council Nixes Mayor Cory Booker's Water Plan*, NJ.com, July 24, 2012. Copy supplied.

Lia Eustachewich, *Children Died in Fire as Mother Gave Birth*, Newark Patch, July 22, 2012. Copy supplied.

Richard Khavkine, *Three Children, Teen Among Five Killed in Early Morning Newark Blaze More Than 15 Left Homeless; Cause Not Yet Determined*, The Star-Ledger, July 21, 2012. Copy supplied.

Star-Ledger Staff, *Girl, Three, and Boy, Four, Are among Victims of Newark Fire*, NJ.com, July 20, 2012. Copy supplied.

Andrew Meyer, *Newark Today with Mayor Cory Booker*, WBGO radio station (Interview), July 15, 2012. Video supplied ("Neals 1" at 16:08).

Herbert Glenn, *Newark B.A. Julian (sic) X. Neals, Makes His Case on Municipal Utility Proposal*, July 11, 2012. Video available at <https://www.youtube.com/watch?v=E0wJ58rYEW>.

David Giambusso, *Contract Foul-Up Muddies Water at Treatment Plant Service Plan Ended Midnight Yesterday*, The Star-Ledger, June 1, 2012. Copy supplied.

Barry Carter, *Read All About It: Newsstand Owner Gains Support in His Standoff with Newark*, The Star-Ledger, April 25, 2012. Copy supplied.

Heather Haddon, *Newark, Devils Walked Away From Fee Deals; Mayor Booker, Team Owner Trade Blame*, The Wall Street Journal Online, April 16, 2012. Copy supplied.

Bottom to Top Process Changes, An Interview with Julien X. Neals, Esq., Business Administrator for the City of Newark, Leaders Magazine, April 2, 2012. Copy supplied.

Paul Milo, *Council Members Say Public Vehicles Misused*, Newark Patch, March 13, 2012. Copy supplied.

Kirk Victor, *Struggling Cities Strike Deals to Solve Fiscal Problems*, Governing Magazine, March 2012. Copy supplied.

Lia Eustachewich, *Whitney Houston Celebrated in Rousing Funeral Service*, Newark Patch, February 19, 2012. Copy supplied.

Kobie Brown, *From Fatherless to Fatherhood*, 2012. Video supplied.

Joan Whitlow, *Facing A Shortfall, Can Newark Afford These Raises?*, NJ.com, December 30, 2011. Copy supplied.

Joan Whitlow, *Arena Deal Was Left on the Table*, The Star-Ledger, December 23, 2011. Copy supplied.

David Giambusso, *Newark Tax Ad Blunder Costs City \$72K, Sparks Panic and Outrage among Residents*, NJ.com, December 2, 2011. Copy supplied.

Joshua Wilwohl, *Councilman Pushes for 'Ban the Box' Legislation in Newark*, Newark Patch, November 22, 2011. Copy supplied.

Mark Spivey, *'Dreamers' Headline I Have a Dream Foundation Fundraiser in Scotch Plains*, Courier News, October 26, 2011. Copy supplied.

Joshua Wilwohl, *Senator Calls for Newark Audit*, Newark Patch, October 6, 2011. Copy supplied.

David Giambusso, *City Council Adopts Budget with 4.6% Tax Hike, Deficit*, The Star-Ledger, September 30, 2011. Copy supplied.

Joshua Wilwohl, *Newark Council Adopts Budget*, Newark Patch, September 30, 2011. Copy supplied.

Tomás Dinges, *\$32M Loan for Newark Comes with a Catch – A State Monitor*, The Star-Ledger, September 21, 2011. Copy supplied.

Not Making the Grade: Workers Who Skip Civil Service Test Should Be Booted, The Star-Ledger, September 3, 2011. Copy supplied.

David Giambusso, *Anger Lingers after 'Provisionals' with Ties to Booker Survive Jobs Purge*, The Star-Ledger, August 28, 2011. Copy supplied.

Joan Whitlow, *Some of These Salary Increases Don't Add Up*, The Star-Ledger, July 24, 2011. Copy supplied.

Star-Ledger Staff, *Newark Council Rejects Mayor Cory Booker's Proposed Budget*, NJ.com, July 20, 2011. Copy supplied.

Joshua Wilwohl, *Newark Police, Fire Departments Partner to Combat Emergencies in New Training Effort*, Newark Patch, July 14, 2011. Copy supplied.

Andrew Meyer, *Newark Today with Mayor Cory Booker*, WBGO radio station (Interview), July 14, 2011. Video available at <https://www.youtube.com/watch?v=ifwxqEpQ0l4>.

Joshua Wilwohl, *Newark Mayor Cory Booker to Introduce Budget with No Layoffs, Furloughs*, Newark Patch, July 8, 2011. Copy supplied.

David Giambusso, *City Seeks Ways to Close \$45 Million Budget Gap*, The Star-Ledger, July 7, 2011. Copy supplied.

David Giambusso, *Newark Officials More Optimistic as City Reduces Deficit; Council Could Receive 2011 Budget by July 7: Property Taxes Expected to Rise By 6 Percent*, The Star-Ledger, June 26, 2011. Copy supplied.

Richard E.A. Nunes Sworn in as 12th Chief Judge of Newark Municipal Court, Local Talk News.com, June 22, 2011. Copy supplied.

David Giambusso, *In Newark, No Budget Plan, But a Battle all the Same: Outlook Is Just Slightly Less Gloomy than Last Year*, The Star-Ledger, May 22, 2011. Copy supplied.

David Giambusso, *Council Balks at Paying Bills without a Budget*, The Star-Ledger, May 20, 2011. Copy supplied.

Joan Whitlow, *Council Kills Emergency Funds Request, But City Still Open*, The Star-Ledger, May 20, 2011. Copy supplied.

April 27, 2011: I participated in a press conference discussing how the Newark Fire Department was awarded a \$7 million grant. Video available at <https://www.youtube.com/watch?v=9SMwlsxuaOI>.

James Queally, *Newark Fire Department Receives \$7.1M Federal Grant to Be Spread Out over Next Two Years*, NJ.com, April 27, 2011. Copy supplied.

David Giambusso, *Spending Cap Looms over Newark's Financial Picture, City Officials Fear Proposed State Mandate Will Force Layoffs*, The Star-Ledger, March 6, 2011. Copy supplied.

Volunteer Lawyers for Justice Appoints Five New Members to Its Board of Trustees, Volunteer Lawyers for Justice, February 17, 2011. Copy supplied.

The Star-Ledger Editorial Board, *In Newark, It's Six Degrees of Sanitation*, The Star-Ledger, January 14, 2011. Copy supplied.

David Giambusso, *Newark Council Dumps on the Man Responsible for Clearing City Streets*, The Star-Ledger, December 31, 2010. Copy supplied.

On-Air Telephone Interview, WNBC-TV, December 26, 2010. I answered questions about the snow-removal resources and strategy employed by the City of Newark during the December 2010 blizzard. I have no notes, transcripts or recordings.

David Giambusso, *Newark Reaches Deal To Save Nearly 200 Sanitation Jobs*, NJ.com, December 17, 2010. Copy supplied.

Terry Dopp, *Moody's Downgrades Newark's Credit Rating as City Faces \$30.5M Deficit*, NJ.com, December 8, 2010. Copy supplied.

Julien Neals: Newark's Business Administrator, December 7, 2010. Video available at <https://www.youtube.com/watch?v=vudTa2l-GI0>.

167 Newark Police Officers Laid Off, Local Talk News.com, December 2, 2010. Copy supplied.

Joan Whitlow, *In Newark, Everything's for Sale this Holiday Season*, The Star-Ledger, November 26, 2010. Copy supplied.

David Giambusso and James Queally, *Bid to Spare 167 Newark Cop Jobs Stirs Rancor, Frustration: Questions Raised over Decision by Police Union's Executive Board*, The Star-Ledger, November 24, 2010. Copy supplied.

Newark FOP Leadership Rejects City's Proposal to Save Police Jobs, November 23, 2010. Video available at <https://www.youtube.com/watch?v=5lh1qJxYyCA>.

David Giambusso and James Queally, *11th-Hour Deal Aims to Avert City Police Layoffs: Newark Suspends Cuts Until Nov. 30 as FOP Agrees to Let Its Board Weigh a Full Union Vote*, The Star-Ledger, November 21, 2010. Copy supplied.

Rohan Mascarenhas, *Newark Extends Amnesty Program For Overdue Tax, Water, Sewer Bills*, NJ.com, November 17, 2010. Copy supplied.

Newark Mayor Cory Booker Names Top Lawyer to Be Business Administrator, NJ.com, November 12, 2010. Copy supplied.

Chris Megerian, *Police Director Expresses Frustration, McCarthy Defends Efforts at Reform*, The Star-Ledger, September 16, 2010. Copy supplied.

City of Newark Teams Up with Volunteer Lawyers for Justice to Expand Expungement Services for Essex County Residents with Criminal Records, Volunteer Lawyers for Justice, September 9, 2010. Copy supplied.

Chris Megerian, *ACLU Accuses Newark Police of False Arrests, Excessive Force*, NJ.com, September 8, 2010. Copy supplied.

Youth Court, Due Process, NJN Public Television and Radio, July 25, 2010. Video available at <https://www.youtube.com/watch?v=EH0pid5NsUs>.

Sarah Wallace, *Newark Responds to Officer DWI Controversy*, ABC News, July 12, 2010. Copy supplied.

Volunteer Lawyers for Justice Appoints Hon. Julien X. Neals, Esq. as New Chair of Board of Directors, PrLog.org, June 28, 2010. Copy supplied.

David Giambusso, *Newark Council Candidate Asks for Review of Demolition Contract with FBI Informant*, NJ.com, March 10, 2010. Copy supplied.

Joan Whitlow, *Gaps Linger in the Defense against Pay-to-Play*, The Star-Ledger, February 26, 2010. Copy supplied.

Rohan Mascarenhas, *ACLU: Newark Police Harassed Transgender Woman, Organization Files Suit, Calls For Improved Complaint Procedures*, The Star-Ledger, February 18, 2010. Copy supplied.

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Joan Whitlow, *Newark Plays 'Let's Make a Deal' for \$6 Million*, The Star-Ledger, January 8, 2010. Copy supplied.

Mary Pat Gallagher, *Shakedown Artist Smeared*, New Jersey Law Journal, October 19, 2009. Copy supplied.

Kate Coscarelli, *A Jury of One's Peers: Foundation Backs Newark Youth Court*, New Jersey State Bar Foundation Forum, Fall 2009. Copy supplied.

Joan Whitlow, *Is Booker Keeping Lid on Free Speech by Police?*, The Star-Ledger, August 7, 2009. Copy supplied.

Ralph Ortega, *ACLU Criticizes Newark Mayor Cory Booker's Handling of Police Policies*, NJ.com, July 13, 2009. Copy supplied.

Star-Ledger Staff, *Nuisance Crimes to Murder, Newark Can Do Better*, The Star-Ledger, June 12, 2009. Copy supplied.

Star-Ledger Editorial Board, *New Jersey, Stop Idling over Old Law*, NJ.com, June 4, 2009. Copy supplied.

City of Newark Gives Work Comp New Structure, CCMSI Matters Newsletter, Summer 2009. Copy supplied.

Sharon Adarlo, *27 Years after Court Ruling, Newark Axes Loitering Law*, The Star-Ledger, May 27, 2009. Copy supplied.

Crisis in the Cellblock – Parts One and Two, NJN Public Television and Radio, February 25, 2009. Video supplied.

Joan Whitlow, *Glad Tidings for Some Who Received Parking Tickets*, The Star-Ledger, January 9, 2009. Copy supplied.

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Katie Wang, *Newark Theater Gets a Needed Bailout, NEDC Riverfront Pledges \$500,000 to Keep Movie Complex Open*, The Star-Ledger, September 20, 2008. Copy supplied.

Jeffery C. Mays, *Judge Reopens Pru Center after 'Technical' Closure*, The Star-Ledger, July 12, 2008. Copy supplied.

David Porter, *Judge Lets Pru Center to Reopen after Code Dispute*, The Associated Press, July 12, 2008. Copy supplied.

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Jeffery C. Mays, *Setting a Better Example for Teen Workers: Chaos of '07 Pushes Newark to Organize Jobs Program*, The Star-Ledger, July 8, 2008. Copy supplied.

Jeffery C. Mays, *Newark OKs \$5.8 Million Settlement in '04 Accident: Two Drowned as Their Car Plunged into the Passaic*, The Star-Ledger, July 3, 2008. Copy supplied.

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Donna Leinwand, *Alternative Courts Gain Ground for Petty Crimes*, USA TODAY, June 10, 2008. Copy supplied.

Chanta L. Jackson, *Newark's Top Lawyer Wins Award*, NJ.com, May 13, 2008. Copy supplied.

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Jeffery C. Mays, *Booker Nominates Pair to Fill New Vacancies*, The Star-Ledger, January 5, 2008. Copy supplied.

Bruno R. Tedeschi, *Newark Mayor Booker Replaces Top Aides*, Jaffe Communications, NJ.com, January 4, 2008. Copy supplied.

Jeffery C. Mays, *Outreach Helps City to Create New Court: Community Effort Will Be Newark's First*, The Star-Ledger, December 5, 2007. Copy supplied.

Around the Counties, The Star-Ledger, December 5, 2007. Copy supplied.

Kristy Barry, *Bon Jovi Rocks "The Rock" to a Packed House on Opening Night in Newark*, Kristynabarry.blogspot.com, October 30, 2007. Copy supplied.

Katie Wang, *A Line in Cupid's Corridor*, The Star-Ledger, February 15, 2007. Copy supplied.

Carmen Juri, *Bringing Culture to the Bench*, The Star-Ledger, December 7, 2006. Copy supplied.

Katie Wang, *Newark Court System Tries People's Patience: But Sometimes Lax Ways Work in a Defendant's Favor*, The Star-Ledger, October 15, 2006. Copy supplied.

Jeffery C. Mays, *Booker Praises Newark Chief Judge as a New 'Kind'*, The Star-Ledger, September 6, 2006. Copy supplied.

Meghan Gill, *Officer Greg Kasko Suspended; Charged with Lying Under Oath*, Westfield Leader, July 20, 2006. Copy supplied.

Lisa Brennan, *\$575,000 for Drowning Accident*, New Jersey Law Journal, April 24, 2006. Copy supplied.

Julia M. Scott, *Another Westfield Police Case Argued: Cop Accused of Insubordination in His Criticism of Former Chief*, The Star-Ledger, March 21, 2006. Copy supplied.

Anna Bogdanowicz, *Tracy Denies Claims of Illegal Criminal Checks*, Record-Press, December 9, 2005. Copy supplied.

Julia M. Scott, *Officer Sues Westfield over Criminal Checks, He Says Chief Retaliated against Him over Complaint*, The Star-Ledger, December 1, 2005. Copy supplied.

Press Releases:

In an effort to inform the public of current events, the City of Newark routinely issued press releases on matters that may not have been otherwise reported in other media. The following list represents what I believe to be a complete list of such press releases in which I was quoted or went out under my name, except for those previously supplied in response to 12d.

City of Newark Offering Tax Amnesty Program from May 1, 2014, through May 30, 2014, April 30, 2014. Copy supplied.

Mayor Cory A. Booker Reminds Residents: Be Prepared When Hurricane Season Starts June 1, May 29, 2013. Copy supplied.

Hurricane Sandy Headed Towards New Jersey, October 26, 2012. Copy supplied.

Mayor Booker Reminds Residents Who Were Affected by Hurricane Irene to Apply for Federal Disaster Assistance, October 11, 2011. Copy supplied.

City of Newark Swears in Samuel A. DeMaio as Police Director and Sheila A. Coley as Police Chief, August 19, 2011. Copy supplied.

City of Newark Holds Installation Ceremony for New Fire Chief, February 9, 2011. Copy supplied.

Newark Launches Debt Recovery Program to Help Residents with Water Bills, Targeted News Service, January 11, 2011. Copy supplied (reprinted in multiple outlets).

Mayor Booker Announces Appointment of Deputy Chief Fateen Ziyad as Acting Fire Director, December 14, 2010. Copy supplied.

Julien X. Neals, Esq., Takes Oath as City of Newark's Business Administrator, December 3, 2010. Copy supplied.

Mayor Booker Swears in City of Newark's First Portuguese-American Corporation Counsel, December 1, 2010. Copy supplied.

City of Newark Reminds Residents of December 2010 Furlough Days, December 1, 2010. Copy supplied.

City of Newark Announces Police Layoffs Are Effective as of Tuesday, November 30, November 30, 2010. Copy supplied.

City of Newark Announces Police Layoffs, Targeted News Service, November 23, 2010. Copy supplied (reprinted in multiple outlets).

Mayor Booker Announces City of Newark Will Offer Its Annual Amnesty Program, November 15, 2010. Copy supplied (reprinted in multiple outlets).

Mayor Booker and Corporation Counsel Julien Neals Welcome Summer Law Interns to City of Newark, July 14, 2010. Copy supplied.

Newark Law Department Launches Student Internship Program, US Federal News, April 4, 2009. Copy supplied.

Newark Gains Two New Municipal Judges, Targeted News Service LLC, December 6, 2006. Copy supplied.

Newark Municipal Court Goes High-Tech to Improve Customer Service and Efficiency, November 3, 2006. Copy supplied.

13. **Judicial Office:** State (chronologically) any judicial offices you have held, including positions as an administrative law judge, whether such position was elected or appointed, and a description of the jurisdiction of each such court.

I was appointed chief judge of the Newark Municipal Court on September 5, 2006, and served through March 2008.

The jurisdiction of the Newark Municipal Court extends to disorderly persons offenses, motor vehicle and traffic violations, truancy matters, housing and code violations and any criminal matters downgraded by the superior court. All municipal court proceedings were non-jury in nature. The Newark Municipal Court handles approximately 500,000 matters per year. As chief judge, I supervised the court's 11 full-time judges. My

primary responsibilities were administrative in nature. I presided over truancy cases on a regular basis, and cases that involved traffic, disorderly persons and code violations in the absence of the assigned judges. In total, I presided over more than 6,000 cases. The municipal prosecutor prosecuted the matters on behalf of the state. The municipal public defender handled the defense in a large number of cases. By court rule, the court was required to dispose of matters within a 60-day period. Due to the summary nature of the proceedings, municipal cases generally resolved quickly.

- a. Approximately how many cases have you presided over that have gone to verdict or judgment?

I presided over approximately 20 municipal court matters that went to verdict or judgment.

- i. Of these, approximately what percent were:

jury trials:	0 %
bench trials:	100 %
civil proceedings:	0 %
criminal proceedings:	100 %

- b. Provide citations for all opinions you have written, including concurrences and dissents.

I did not write any opinions. In the approximately 20 matters referenced, I rendered verdicts directly from the bench at the conclusions of the trials. These decisions were recorded but not memorialized in a written opinion.

- c. For each of the 10 most significant cases over which you presided, provide: (1) a capsule summary of the nature the case; (2) the outcome of the case; (3) the name and contact information for counsel who had a significant role in the trial of the case; and (3) the citation of the case (if reported) or the docket number and a copy of the opinion or judgment (if not reported).

I do not have records of specific cases. The Newark Municipal Court adjudicates approximately 500,000 cases per year. The records are maintained in the database of the Administrative Office of the Courts. The municipal court has limited access to the database and cannot search these records by a judge's name. I have been unable to obtain records from the Administrative Office of the Courts that contain information responsive to Q13(c).

- d. For each of the 10 most significant opinions you have written, provide: (1) citations for those decisions that were published; (2) a copy of those decisions that were not published; and (3) the names and contact information for the attorneys who played a significant role in the case.

Due to the summary nature of municipal court proceedings, I rendered verdicts and other rulings directly from the bench and did not author written opinions.

- e. Provide a list of all cases in which certiorari was requested or granted.

I did not preside over any case in which certiorari was requested or granted.

- f. Provide a brief summary of and citations for all of your opinions where your decisions were reversed by a reviewing court or where your judgment was affirmed with significant criticism of your substantive or procedural rulings. If any of the opinions listed were not officially reported, provide copies of the opinions.

I did not author any opinion that was reversed by a reviewing court or where my judgment was affirmed with criticism of my substantive or procedural rulings.

- g. Provide a description of the number and percentage of your decisions in which you issued an unpublished opinion and the manner in which those unpublished opinions are filed and/or stored.

I did not issue any written unpublished opinions. Of the approximately 20 matters that I presided over to verdict, I rendered an oral opinion in 100% of them. The opinions were not reduced to writing (unless a transcript was ordered). The Newark Municipal Court utilized a digital system that recorded the entire proceedings. These recordings are filed and stored in the court according to state mandated retention schedules.

- h. Provide citations for significant opinions on federal or state constitutional issues, together with the citation to appellate court rulings on such opinions. If any of the opinions listed were not officially reported, provide copies of the opinions.

I did not author any opinions on federal or state constitutional issues.

- i. Provide citations to all cases in which you sat by designation on a federal court of appeals, including a brief summary of any opinions you authored, whether majority, dissenting, or concurring, and any dissenting opinions you joined.

I never had the occasion to preside over a matter by designation on a federal court of appeals.

- 14. **Recusal:** If you are or have been a judge, identify the basis by which you have assessed the necessity or propriety of recusal (If your court employs an "automatic" recusal system by which you may be recused without your knowledge, please include a general description of that system.) Provide a list of any cases, motions or matters that have come before you in which a litigant or party has requested that you recuse yourself due to an asserted conflict of interest or in which you have recused yourself sua sponte. Identify each such case, and for each provide the following information:

- a. whether your recusal was requested by a motion or other suggestion by a litigant or a party to the proceeding or by any other person or interested party; or if you recused yourself sua sponte;
- b. a brief description of the asserted conflict of interest or other ground for recusal;
- c. the procedure you followed in determining whether or not to recuse yourself;
- d. your reason for recusing or declining to recuse yourself, including any action taken to remove the real, apparent or asserted conflict of interest or to cure any other ground for recusal.

During my tenure as chief judge of the Newark Municipal Court, the court employed a system whereby a municipal court judge was required to recuse him or herself from any case in which a potential or actual conflict of interest was presented. A recusal request could be raised by any party or by the judge sua sponte. The court employed an automatic recusal system whereby court staff could reassign matters where a conflict based upon an apparent familial relationship or known association to a judge was evident. To the best of my recollection, I was not required to recuse myself from any case.

15. Public Office, Political Activities and Affiliations:

- a. List chronologically any public offices you have held, other than judicial offices, including the terms of service and whether such positions were elected or appointed. If appointed, please include the name of the individual who appointed you. Also, state chronologically any unsuccessful candidacies you have had for elective office or unsuccessful nominations for appointed office.

Corporation Counsel for the City of Newark, March 2008 to November 2010, appointed by the Honorable Cory A. Booker, mayor for the City of Newark, approved by the Newark Municipal Council.

Business Administrator, City of Newark, November 2010 to July 2014, appointed by the Honorable Cory A. Booker, mayor for the City of Newark, approved by the Newark Municipal Council.

County Counsel, Bergen County, New Jersey, January 12, 2015 to present, appointed by the Honorable James Tedesco, county executive for Bergen County, approved by the Board of Chosen Freeholders.

I have had no unsuccessful candidacies for elective office or unsuccessful nominations for appointed office.

- b. List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever

held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

I have not held any memberships in any political party or election committee. In 2006, in connection with Cory A. Booker's mayoral campaign and in support of his slate of municipal council candidates for the City of Newark, I volunteered to assign election-day attorneys to various polling sites to monitor polling activities within the South Ward of the City of Newark. I also attended several planning meetings in preparation for these election-day poll monitoring activities.

16. **Legal Career:** Answer each part separately.

- a. Describe chronologically your law practice and legal experience after graduation from law school including:

- i. whether you served as clerk to a judge, and if so, the name of the judge, the court and the dates of the period you were a clerk;

I served as a law clerk to the Honorable Seymour Margulies in the Civil Division, Hudson County Superior Court. The clerkship term was from September 1991 to August 1992.

- ii. whether you practiced alone, and if so, the addresses and dates;

I have not engaged in the solo practice of law at any time.

- iii. the dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been affiliated, and the nature of your affiliation with each.

2006 – 2008
Newark Municipal Court
31 Green Street
Newark, New Jersey 07102
Chief Judge

2008 – 2014
City of Newark
920 Broad Street
Newark, New Jersey 07102
Corporation Counsel (2008 – 2010)
Business Administrator (2010 – 2014)

1992 – 2006, October 2014 – January 2015
Chasan Leyner & Lamparello, PC

300 Harmon Meadow Boulevard
Secaucus, New Jersey 07094
Law Clerk (1992 – 1993)
Associate (1993 – 2003)
Partner (2003 – 2006, October 2014 – January 2015)

January 2015 – present
County of Bergen
One Bergen County Plaza
Hackensack, New Jersey 07601
County Counsel

- iv. whether you served as a mediator or arbitrator in alternative dispute resolution proceedings and, if so, a description of the 10 most significant matters with which you were involved in that capacity.

As a judicial law clerk, early in my career, I received certification as a mediator and regularly mediated cases as part of my duties. I do not recall any of the matters that I mediated. The number of cases that I mediated would have been approximately 25 and typically involved small claims. In my legal practice, I did not serve as an arbitrator or mediator in alternative dispute resolution proceedings.

b. Describe:

- i. the general character of your law practice and indicate by date when its character has changed over the years.

I started my legal career with the firm of Chasan Leyner & Lamparello, PC, in 1992. I served as a law clerk from 1992 to 1993. In 1993, I became an associate assigned to the firm's litigation department. I primarily handled matters in the areas of general litigation, which involved civil, criminal, family, contracts, copyright and trademark law, and some real estate matters. In 1997, my practice area became concentrated primarily in municipal defense work in federal and state courts. From 1997 to 2006, my primary focus was the defense of 42 U.S.C. Section 1983 actions in federal court. I continued to handle other general litigation matters also. I regularly appeared in federal, state, municipal and administrative forums. I became a partner with the firm in 2003 and continued to handle the same practice areas and began to supervise associates in these areas.

In 2006, I was appointed as chief judge of the Newark Municipal Court, where I served until 2008. As chief judge, I supervised all court operations and the court's 11 full-time judges. I sat regularly in truancy

court and in the traffic, criminal, and housing courts in an assigned judge's absence.

In 2008, I became the corporation counsel for the City of Newark. In that capacity, I supervised the city's law department, which consisted of 45 attorneys and support staff. The law department handled civil litigation, workers' compensation, labor, real estate, tax abatements, contracts and legislation, and municipal prosecutions. I served as corporation counsel until 2010, at which time I was appointed the city's business administrator.

I returned to Chasan Leyner & Lamparello, PC, as a partner in October 2014. I resumed practice in the firm's litigation department. My practice area focused on municipal defense and civil litigation.

In January 2015, I was appointed as county counsel for Bergen County, New Jersey. In this capacity I serve as the director of the county's law department and serve as legal counsel to the county executive, the eight county government departments, the county sheriff, county clerk, county surrogate and county prosecutor's office. As county counsel, I supervise affirmative litigation brought on behalf of any county entities and the defense of any claims brought against the county.

- ii. your typical clients and the areas at each period of your legal career, if any, in which you have specialized.

From approximately 1993 to 1997, I primarily represented individuals and small businesses in litigated cases, which included civil, criminal and family law cases. From 1997 to 2006, the typical clients I represented were public entities and individuals in federal civil rights cases. While corporation counsel for the City of Newark from 2008 to 2010, I served as director of the city's law department and provided legal counsel to the mayor, department directors and other executive branch officials, the municipal council members and other municipal employees as circumstances dictated. Upon returning to private practice from October 2014 to January 2015, my practice focused on representation of municipal entities in general litigation. From January 2015 to the present, I have served as county counsel for Bergen County, New Jersey. During my legal career I developed considerable experience and training in employment law, copyright and trademark matters, and federal civil rights litigation.

- c. Describe the percentage of your practice that has been in litigation and whether you appeared in court frequently, occasionally, or not at all. If the frequency of your appearances in court varied, describe such variance, providing dates.

During my tenure at Chasan Leyner & Lamparello, PC, I appeared in court frequently. As corporation counsel for the City of Newark I appeared in court on significant motions and settlement conferences, however, my primary role was to supervise the handling of all of the litigation, legislation, contracts and other legal matters involving the City of Newark. I served in a primarily consultative role and appeared in court occasionally. Similarly, as county counsel for Bergen County, I serve in a primarily consultative and supervisory role and appear in court occasionally. I estimate that 85 – 90% of my practice has involved litigation.

i. Indicate the percentage of your practice in:

- | | |
|-----------------------------|-----|
| 1. federal courts: | 80% |
| 2. state courts of record: | 15% |
| 3. other courts: | 3% |
| 4. administrative agencies: | 2% |

ii. Indicate the percentage of your practice in:

- | | |
|--------------------------|-----|
| 1. civil proceedings: | 95% |
| 2. criminal proceedings: | 5% |

d. State the number of cases in courts of record, including cases before administrative law judges, you tried to verdict, judgment or final decision (rather than settled), indicating whether you were sole counsel, chief counsel, or associate counsel.

Throughout my career in private practice I handled approximately 35 matters that were tried to verdict, judgment or final decision in various forums. I handled seven jury trials, one in the federal court and six in the superior court of New Jersey. Of the seven trials by jury I handled, I served as sole counsel in one, chief defense counsel in four, and co-defense counsel in two. I handled approximately 15-20 municipal court non-jury trials and tried approximately eight administrative law matters. I served as sole counsel in all of the municipal court and administrative matters.

i. What percentage of these trials were:

- | | |
|--------------|-----|
| 1. jury: | 20% |
| 2. non-jury: | 80% |

e. Describe your practice, if any, before the Supreme Court of the United States. Supply four (4) copies of any briefs, amicus or otherwise, and, if applicable, any oral argument transcripts before the Supreme Court in connection with your practice.

I have not appeared before the Supreme Court of the United States.

17. **Litigation**: Describe the ten (10) most significant litigated matters, which you personally

handled, whether or not you were the attorney of record. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the case. Also state as to each case:

- a. the date of representation;
- b. the name of the court and the name of the judge or judges before whom the case was litigated; and
- c. the individual name, addresses, and telephone numbers of co-counsel and of principal counsel for each of the other parties.

1. Laufgas v. Bramson, et al., 2:04-cv-00387-JLL-CCC, United States District Court, District of New Jersey, Newark; Hon. Jose L. Linares, USDJ, Hon. Claire C. Cecchi, USMJ (now USDJ).

Pro se plaintiff Laufgas filed many complaints against the City of Paterson and its officials on a regular basis for violations of his constitutional rights. This matter involved extensive claims against the City, a number of its public officials and the state of New Jersey. I served as lead counsel on the matter and had to confer with plaintiff on aspects of pre-trial discovery and motion practice. The matter was disposed of by way of summary judgment on behalf of all defendants. The date of representation was 2004 – 2006.

Counsel for Co-Defendant Price

Victor A. Afanador, Esq.
Lite Depalma Greenberg, LLC
Two Gateway Center, 12th Floor
Newark, New Jersey 07102
(973) 623-3000

Counsel for Co-Defendant Kane

Edward H. Haas, Esq.
Lisa F. Nemeth, Esq.
Office of the New Jersey Attorney General
New Jersey Department of Law & Public Safety
RJ Hughes Justice Complex
25 Market Street, P.O. Box 112
Trenton, New Jersey 08625
(609) 777-3609

2. Rossmorrey, et al. v. City of Paterson, et al., 2:97-cv-03964-JLL-RJH, United

States District Court, District of New Jersey, Newark, Hon. Jose L. Linares,
USDJ, Hon. Ronald J. Hedges, USMJ.

This matter involved claims of unlawful arrest and excessive force resulting from a riot that occurred at a Peruvian Day festival in the City of Paterson. Approximately 15 plaintiffs sued the City of Paterson and multiple defendants. My firm represented the City of Paterson. I was the attorney of record and lead defense counsel. I coordinated the extensive motion practice and pre-trial discovery, which involved over 25 depositions. The parties agreed to dispose of the matter by way of binding arbitration. The Honorable Stewart J. Pollock, retired Justice of the New Jersey Supreme Court, served as arbitrator. After an approximately six-month arbitration proceeding, Justice Pollock found in favor of all of the defendants. The date of representation was 1997 – 2006.

Arbitrator

Stewart Pollock (former Justice, Supreme Court of New Jersey)
Riker, Danzig, Scherer, Hyland, Perretti LLP
One Speedwell Avenue
Headquarters Plaza
Morristown, New Jersey 07962
(973) 451-8490

Counsel for Plaintiffs

Richard M. Winograd, Esq.
Ginarte, O'Dwyer & Winograd
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Newark, New Jersey 07105
(973) 854-8400

Counsel for Defendants

William P. Saracino, Esq.
Ricci, Fava & Scaracino, LLC
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Totowa, New Jersey 07512
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(Defendant Rizzo)

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West Paterson, New Jersey 07424
(973) 812-7599
(Defendant DeAngelis)

Gerald Sean Keegan, Esq.
948 McBride Avenue
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(973) 256-3700
(Defendant Heerbrandt)

Robert James Galluccio, Esq.
Goodman, Galluccio & Chessin
337 Park Avenue, P.O. Box 2037
Paterson, New Jersey 07509
(973) 742-1077
(Defendant Malfa)

James Joseph Frega, Esq.
Perconti & Cook, Esqs.
886 Belmont Avenue
North Haledon, New Jersey 07508
(973) 427-5549
(Defendant Avila)

Patrick J. Caserta, Esq.,
Patrick J. Caserta, Esq. LLC
1428 State Route 23
Wayne, New Jersey 07470
(973) 632-2100
(Defendant Oquendo)

Joseph T. Afflitto, Sr., Esq.
Afflitto, Raimondi & Afflitto
500 Valley Road, P.O. Box 3097
Wayne, New Jersey 07470
(973) 633-8700
(Defendant Spagnola)

3. Estate of Wyatt Wild, et al. v. County of Bergen, et al., 2:03-cv-01278-PS, United States District Court for the District of New Jersey, Newark, Hon. Faith Hochberg, USDJ, Hon. Patty Shwartz, USMJ (now Third Circuit Court of Appeals judge).

This matter involved a wrongful death claim by the deceased's minor children alleging excessive force by a Bergen County police officer who shot and killed the deceased following a high-speed police pursuit. The claims were based upon 42 U.S.C. § 1983 and state statutory claims. My firm represented defendant Bergen County. I served as lead counsel for the defense and coordinated all defense counsel pre-trial actions. The matter resolved by way of settlement. The date of representation was 2003 – 2005.

Counsel for Plaintiffs

Christian P. Stueben, Esq.
1386 Palisade Avenue
Fort Lee, New Jersey 07024
(201) 461-1111

Counsel for Defendant Bergen County Police Department

Rodman E. Honecker, Esq.
Windels, Marx, Lane & Mittendorf, LLP
120 Albany Street Plaza
New Brunswick, New Jersey 08901
(732) 846-7600

4. Gibson v. Barnes, et al., 2:99-cv-01029-FSH-PS, United States District Court, District of New Jersey, Newark, Hon. Faith S. Hochberg, USDJ, Hon. Patty Schwartz, USMJ (now Third Circuit Court of Appeals judge).

Plaintiff, the Tax Collector for the City of Paterson, brought this claim against the mayor and numerous public officials alleging that she was subjected to adverse employment actions after disclosing certain tax issues related to properties owned by the mayor. The matter was transferred to our firm from corporation counsel to handle the trial. I served as lead defense counsel and represented defendant City of Paterson and numerous defendant public officials. The matter was tried to verdict before Judge Hochberg. The jury found in favor of plaintiff and awarded damages in the approximate amount of \$400,000 plus counsel fees. The date of representation was 2002 – 2005.

Counsel for Plaintiff

Lisa M. Fittipaldi, Esq.
Difrancesco, Bateman, Coley, Yospin, Kunzman, David & Lehrer
15 Mountain Boulevard
Warren, New Jersey 07059
(908) 757-7800

Counsel for Defendant Barnes

William J. De Marco, Esq. (deceased)
James J. Frega, Esq.
Biscaglie & De Marco LLC
365 Rifle Camp Road
West Paterson, New Jersey 07424
(973) 742-8900

Corporation Counsel for Defendant City of Paterson

Susan Champion, Esq. (former corporation counsel)
Hunziker Jones & Sweeney, P.A.
Wayne Plaza II
155 Route 46 West
Wayne, New Jersey 07470

5. Rivers v. Paterson Police department, et al., PAS-L-5117-02, Superior Court of New Jersey, Passaic County, Law Division; Hon. Burrell Ives Humphreys, J.S.C.

Plaintiff was a correctional officer who alleged constitutional rights violations under 42 U.S.C. § 1983 and state law in connection with a stop by Paterson Police officers for suspicion of DWI. My firm represented an individual police officer. The matter resolved by way of a jury verdict of no cause of action on behalf of all the defendants. The date of representation was 2002 – 2004.

Counsel for Plaintiff

Brian W. Banasiak, Esq.,
Steven P. Haddad, PC
618 Newark Avenue
Jersey City, New Jersey 07306
(201) 798-3200

Counsel for Defendant Ungarian

Victor A. Afanador, Esq.,
Lite, Depalma, Greenberg, LLC
Two Gateway Center, 12th Floor
Newark, New Jersey 07102
(973) 623-3000

6. Rivera v. County Of Passaic, et al., 2:97-cv-05898-DRD, United States District Court for the District of New Jersey, Newark, Hon. Dickinson R. Debevoise, USDJ.

Plaintiff filed this action under 42 U.S.C. § 1983 and state law, based upon claims of excessive force and wrongful arrest. Plaintiff sued the City of Paterson, County of Passaic and other individual defendants. I served as lead defense counsel and the attorney of record for defendant City of Paterson and other public officials and handled the case throughout the entire proceeding. The matter involved extensive pre-trial discovery and motion practice. During the course of the litigation, plaintiff's counsel filed a disqualification motion, which raised the

issue whether a municipal attorney may represent both the municipality and individual officials or employees of that municipality when all have been sued as co-defendants in a civil rights action under 42 U.S.C. § 1983. We prevailed on the motion and defended multiple similarly situated defendants, which saved significant public funds. The matter resolved by way of settlement. The date of representation was 1997 – 2003.

Counsel for Plaintiffs

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Jersey City Law Department
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(201) 547-5229

Nancy E. Lucianna, Esq.
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Fort Lee, New Jersey 07024
(201) 947-6484

Counsel for Defendant Margeotes

Dennis Frank Calo, Esq.
Hartmann Doherty Rosa Berman & Bulbulia LLC
Suite 6
65 Route 4 East
River Edge, New Jersey 07661
(201) 441-9056

Counsel for Defendant County of Passaic

William T. Connell, Esq.
Dwyer, Connell & Lisbona
100 Passaic Avenue, P.O. Box 629
Fairfield, New Jersey 07004
(973) 276-1800

Counsel for Third Party Defendant City of Paterson

Anthony Benevento, Esq.
Fontanella & Benevento
246 Union Boulevard
Totowa, New Jersey 07512
(973) 942-6500

7. Bhuiyan, et al v. Nargiso, et al., 2:99-cv-02559-WGB, United States District Court, District of New Jersey, Newark, Hon. William G. Bassler, USDJ.

Plaintiff, a sergeant with the Paterson Police Department, filed this action under 42 U.S.C. § 1983 and state law claims alleging discriminatory treatment and civil rights violations. Plaintiff sued the City of Paterson, and other individual defendants and alleged substantial Monell claims against the municipality. My firm represented defendant City of Paterson and other public officials. I served as the attorney of record and lead counsel for defendants throughout the entire proceeding. The case required significant policy and custom analysis of the City's policies and procedures and training practices. It also involved extensive pre-trial discovery and motion practice. The matter resolved by way of settlement following arbitration. The date of the representation was 1999 – 2002.

Counsel for Plaintiffs

Kenneth W. Elwood, Esq.
Blume, Goldfaden, Berkowitz, Donnelly, Fried & Forte
One Main Street
Chatham, New Jersey 07928
(973) 635-5400

Counsel for Co-Defendants

Patrick J. Caserta, Esq.
Patrick J. Caserta, Esq. LLC
1428 State Route 23
Wayne, New Jersey 07470
(973) 632-2100

Adolph J. Galluccio, Esq.
Benevento, Galluccio, & Smith, Esqs.
246 Union Boulevard
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(973) 942-7636

Arbitrator

Drew M. Hurley, Esq.
17 East High Street
Somerville, New Jersey 08876
(908) 429-1118

8. Mitchell, et al., v. Chandler, et al., 2:97-cv-01562-JAP, United States District Court for the District of New Jersey, Newark transferred to Camden, Hon. Joel A. Pisano, USDJ.

Plaintiffs brought this 42 U.S.C. § 1983 civil rights action against individual sheriff officers, Essex County and other defendants alleging constitutional rights violations arising from claims of excessive force. The firm represented an individual defendant in the action. I served as attorney-of-record and was responsible for all aspects of the litigation. The matter concluded by way of settlement. The date of representation was 1997 – 2002.

Counsel for Plaintiff

Frederick Grayer, Esq.
Kent, Grayer & Rosenberg
8 Rockland Drive
Willingboro, New Jersey 08046
(609) 877-4000

Anthony Joseph Iacullo, Esq.
Iacullo Martino, LLC
247 Franklin Avenue
Nutley, New Jersey 07110
(973) 235-1550

Counsel for Defendant Essex County

Hon. Steven C. Mannion, U.S. Magistrate Judge
United States District Court, District of New Jersey
Martin Luther King, Jr., Federal Building & Courthouse
50 Walnut Street
Newark, New Jersey 07102
(973) 645-3827

9. M&R Marking Systems v. Top Stamp, Inc., et al., 2:96-cv-00828-KSH,
United States District Court, District of New Jersey, Newark, Hon. Katharine
S. Hayden, USDJ.

This case involved alleged infringement of two patents, a design patent for a handle top for a hand stamp and a mechanical patent for a hand stamp itself. Plaintiff alleged that defendants, Top Stamp, Inc. and two of its officers, willfully infringed both patents. I represented all defendants as local counsel and handled all aspects of pre-trial discovery and extensive pre-trial motion practice. The matter was resolved in part by summary judgment and settlement. The opinion in this case on the preliminary injunction is reported at 926 F. Supp. 466 (D.N.J. 1996). The date of representation was 1996 – 2000.

Counsel for Plaintiff

Gregory S. Gewirtz, Esq.,

Lerner, David, Littenberg, Krumholz & Mentlik
600 South Avenue West, Suite 300
Westfield, New Jersey 07090
(908) 654-5000

Co-Counsel for Defendants, *Pro Hac Vice*

Donald A. Kaul, Esq.,
Jerold I. Schneider, Esq.,
John E. Giust, Esq.
Dorsey & Whitney L.L.P.
1801 K Street, NW, Suite 750
Washington, DC 20006
(202) 442-3000

10. Kulowski v. Messano, et al., 2:97-cv-03238-AMW, United States District Court, District of New Jersey, Hon. Alfred M. Wolin, USDJ.

This matter involved a multi-count complaint against numerous public officials and entities alleging violations of plaintiff's constitutional rights. The claim arose out of plaintiff's treatment in connection with criminal charges previously pending against plaintiff in the Municipal Court of Jersey City and the Township of North Bergen, among other venues. Plaintiff filed claims against the local and county prosecutors, the presiding judge, the municipality and the county. I was the attorney of record and responsible for the preparation and filing of all pleadings. I filed a successful motion to dismiss on behalf of our municipal defendant clients, which was affirmed on appeal, bearing docket number 98-5130(NR). The date of representation was 1997 – 1998.

Counsel for Co-Defendants

Michael L. Dermody, Esq.
34-36 Jones
Jersey City, New Jersey 07306
(201) 659-2666

Counsel for Co-Defendants

Daniel W. Sexton, Esq.
329 Pacific Avenue
Jersey City, New Jersey 07304
(201) 332-5455

18. **Legal Activities:** Describe the most significant legal activities you have pursued, including significant litigation, which did not progress to trial or legal matters that did not involve litigation. Describe fully the nature of your participation in these activities. List

any client(s) or organization(s) for whom you performed lobbying activities and describe the lobbying activities you performed on behalf of such client(s) or organizations(s). (Note: As to any facts requested in this question, please omit any information protected by the attorney-client privilege.)

The most significant legal activities that I have pursued are the following:

Under my direction in my capacity as Newark's corporation counsel, the City of Newark launched its first youth court. The youth court initiative started while I was chief judge of the Newark Municipal Court and continued into my tenure as corporation counsel. I was responsible for coordinating all activities related to the development of the youth court. I also served as the liaison between the administration, the superior court, the Administrative Office of the Courts and the New Jersey State Bar Association to obtain the necessary support and approval for the program. The youth court concept uses positive peer pressure to fight low-level youth crime and school discipline issues, providing local teenagers with the tools to establish and enforce acceptable standards of behavior for their peers. In Newark's youth court model, cases which could include low-level offenses like truancy, shoplifting, or school fighting, are heard by other community youth who have been trained to perform the roles of judge, jury and advocate. The compliance rate with the jury's sanctions ranges from 85 to 95 percent, far beyond compliance rates for other alternative sanction programs for youth.

While chief judge of the Newark Municipal Court, I oversaw the initiative to start an alternative solutions program in the municipal court. My involvement in the program continued through my terms as corporation counsel and business administrator. Like the youth court, I was initially responsible for coordinating all activities related to the development of Newark Community Solutions (NCS). Similarly, I also served as the liaison between the administration, the superior court, the Administrative Office of the Courts, the Newark Municipal Court and the New Jersey State Bar Association to obtain the necessary support and approval for NCS. This program offers the judges of the Newark Municipal Court a broad range of sentencing options – community service, short-term group counseling and treatment readiness classes, educational assessments, and monitored placement in community-based treatment.

During my term as corporation counsel for the City of Newark, I managed litigation to reclaim \$35 million in city assets, including two downtown parking garages, a warehouse and at least \$3 million in liquid assets from a development corporation. I determined to follow a course of action to engage the principal of the development corporation in direct discussions in an attempt to avoid protracted litigation. The City reclaimed all of the assets, with the exception of an interest in a parking garage to be returned at a later date. This was accomplished without further litigation and with minimal additional expenditure of public funds.

During my tenures as corporation counsel and business administrator, I supervised the renegotiation of lease terms regarding the City's sports arena. As a result, the City will recoup additional revenues over the life of the lease that will help offset municipal

expenses related to the venue. Also, the City and the arena tenant avoided protracted litigation, which saved significant public and private funds.

I have not performed any lobbying activities on behalf of any clients or organizations.

19. **Teaching:** What courses have you taught? For each course, state the title, the institution at which you taught the course, the years in which you taught the course, and describe briefly the subject matter of the course and the major topics taught. If you have a syllabus of each course, provide four (4) copies to the committee.

I have not taught any courses other than continuing education courses or seminars.

20. **Deferred Income/ Future Benefits:** List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients or customers. Describe the arrangements you have made to be compensated in the future for any financial or business interest.

I do not expect to derive anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts or other future benefits from previous business relationships, professional services, firm memberships, former employers, clients or customers.

21. **Outside Commitments During Court Service:** Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service with the court? If so, explain.

I have no plans, commitments or agreements to pursue outside employment.

22. **Sources of Income:** List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, licensing fees, honoraria, and other items exceeding \$500 or more (if you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here).

See attached financial disclosure report.

23. **Statement of Net Worth:** Please complete the attached financial net worth statement in detail (add schedules as called for).

See attached net worth statement.

24. **Potential Conflicts of Interest:**

- a. Identify the family members or other persons, parties, categories of litigation, and

financial arrangements that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to arise.

I am a former partner at the firm of Chasan Leyner & Lamparello, PC. If confirmed, I would recuse myself from any matter being handled by the firm for an appropriate period of time. While in private practice, I served as outside counsel to the City of Paterson for approximately nine years. I serve as County Counsel to Bergen County and served as corporation counsel to the City of Newark. Any matters in which the County and either city were a party would likely present a potential conflict-of-interest. Any matters in which my wife or I may have a financial interest or where I have a significantly close relationship with counsel, parties or witnesses (family, business or social) would present conflicts-of-interest. If any conflict were to arise, I would immediately recuse myself from the matter. I would advise all counsel and parties, the chief judge, court staff and clerk of the conflict as soon as possible. This would ensure that the proceedings could continue without unnecessary delay.

- b. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.

The inquiry whether a conflict exists should be continued throughout the course of litigation as new parties, counsel or witnesses may be added as litigation progresses. Once a conflict or potential conflict is presented the matter must be addressed immediately to preserve the integrity of the proceedings and to avoid any undue delay. If confirmed, I would resolve any potential conflicts of interest by adhering to the Code of Conduct for United States Judges, 28 U.S.C. § 455, and all applicable policies and procedures of the United States Courts. I would recuse myself in any matter in which my spouse or any family member is a party, where my wife or I wife hold a financial interest, or where I have a sufficiently close connection with counsel or the parties (family, business or social). In the event of any uncertainty, I would err on the side of disqualification or recusal.

25. **Pro Bono Work:** An ethical consideration under Canon 2 of the American Bar Association's Code of Professional Responsibility calls for "every lawyer, regardless of professional prominence or professional workload, to find some time to participate in serving the disadvantaged." Describe what you have done to fulfill these responsibilities, listing specific instances and the amount of time devoted to each.

As an attorney in the public sector since 2006, I have been actively involved with Volunteer Lawyers for Justice as a trustee and former board chair to coordinate the pro bono representation of litigants throughout Essex County. In addition, I was a steering committee member of Newark Reentry Legal Services, which coordinated the pro bono legal representation of former incarcerated individuals. Also, while chief judge of the Newark Municipal Court, the court developed an alternative sentencing program that provided for social services for defendants and constructive program participation in lieu

of fines or jail time. The program, entitled Newark Community Solutions, was fully implemented in 2011 and remains a successful initiative to date.

26. Selection Process:

- a. Please describe your experience in the entire judicial selection process, from beginning to end (including the circumstances which led to your nomination and the interviews in which you participated). Is there a selection commission in your jurisdiction to recommend candidates for nomination to the federal courts? If so, please include that process in your description, as well as whether the commission recommended your nomination. List the dates of all interviews or communications you had with the White House staff or the Justice Department regarding this nomination. Do not include any contacts with Federal Bureau of Investigation personnel concerning your nomination.

On September 26, 2014, I sent my resume and a completed judicial questionnaire to the Chair of Senator Cory A. Booker's Advisory Group, for consideration for the position of United States District Judge. On September 30, 2014, I interviewed with the Advisory Group at Senator Booker's Newark office. On or about October 3, 2014, I interviewed with the Senator's staff at the Newark office. On November 7, 2014, Senator Booker advised me that he would be referring my name to the President for consideration.

Since November 21, 2014, I have been in contact with officials from the Office of Legal Policy at the Department of Justice. On January 13, 2015, I interviewed with attorneys from the White House Counsel's Office and the Department of Justice in Washington, D.C. On February 27, 2015, the President submitted my nomination to the Senate.

- b. Has anyone involved in the process of selecting you as a judicial nominee discussed with you any currently pending or specific case, legal issue or question in a manner that could reasonably be interpreted as seeking any express or implied assurances concerning your position on such case, issue, or question? If so, explain fully.

No.

FINANCIAL DISCLOSURE REPORT NOMINATION FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) NEALS, JULIEN X.	2. Court or Organization United States District Court District of New Jersey	3. Date of Report 02/26/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge, Nominee	5a. Report Type (check appropriate type) ☒ Nomination Date 02/26/2015 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 02/26/2015
7. Chambers or Office Address County of Bergen Office of the County Counsel One Bergen County Plaza, Room 580 Hackensack, NJ 07601-7076		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee, Immediate Past-Chair Board of Trustees	Volunteer Lawyers for Justice
2. Trustee	Newark Downtown District
3. Business Administrator	City of Newark, New Jersey
4. Partner	Chasan Leyner & Lamparello, P.C.
5. County Counsel	Bergen County, New Jersey

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 6

Name of Person Reporting

NEALS, JULIEN X.

Date of Report

02/26/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	County Counsel, Salary	\$11,652.00
2. 2014-2015	Chasan Leyner & Lamparello, P.C., Salary	\$45,000.00
3. 2014	IRA distribution	\$30,000.00
4. 2014	New Jersey State Treasury Pension Division, Distribution	\$80,000.00
5. 2014	Business Administrator, City of Newark, Salary	\$105,000.00
6. 2013	Business Administrator, City of Newark, City of Newark	\$184,000.00

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Self-employed, graphic artist
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 6

Name of Person Reporting

NEALS, JULIEN X.

Date of Report

02/26/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt.		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	J
2.	Seton Hall Preparatory School	School Tuition	J
3.	Citibank	Credit Card	J
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 6

Name of Person Reporting

NEALS, JULIEN X.

Date of Report

02/26/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1.					Exempt				
2. Transamerica Capital Growth Fund		None	J	T					
3. Transamerica Growth Opportunities Fund		None	J	T					
4. Transamerica US Growth Fund		None	J	T					
5. Transamerica Multi-Managed Balanced Fund		None	J	T					
6. Transamerica High Yield Bond		None	J	T					
7. Transamerica Money Market		None	J	T					
8. PNC Bank Account		None	K	T					
9. Hudson Savings Bank		None	L	T					
10. Primerica Whole Life		None	L	T					
11. US Savings Treasury Bonds Series I		None	J	T					
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 5 of 6

Name of Person Reporting

NEALS, JULIEN X.

Date of Report

02/26/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 6 of 6

Name of Person Reporting

NEALS, JULIEN X.

Date of Report

02/26/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ JULIEN X. NEALS

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		132	922	Notes payable to banks-secured			
U.S. Government securities (US Savings Treasury Bonds Series I)		2	000	Notes payable to banks-unsecured			
Listed securities – see schedule		3	081	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due		23	498
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable (personal residence)		260	000
Real estate owned (personal residence)		315	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		45	000	- Private High School Tuition		4	000
Cash value-life insurance (Primerica)		60	000				
Other assets itemize:							
				Total liabilities		287	498
				Net Worth		270	505
Total Assets		558	003	Total liabilities and net worth		558	003
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions? (official capacity suits)	Yes		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							

FINANCIAL STATEMENT

NET WORTH SCHEDULE

Listed Securities

Transamerica Capital Growth Fund	\$ 351.30
Transamerica Growth Opportunities Fund	439.58
Transamerica US Growth Fund	852.97
Transamerica Multi-Managed Balanced Fund	496.88
Transamerica High Yield Bond	541.38
Transamerica Money Market	397.90
Total Listed Securities	3,080.01

AFFIDAVIT

I, JULIEN XAVIER NEALS, do swear that the information provided in this statement is,
to the best of my knowledge, true and accurate.

2/26/15
(DATE)

[Signature]
(NAME)

Janine M. Colletti
(NOTARY)
Attorney at Law, State of New Jersey
Janine M. Colletti