

**UNITED STATES SENATE
COMMITTEE ON THE JUDICIARY**

QUESTIONNAIRE FOR JUDICIAL NOMINEES

PUBLIC

1. **Name:** State full name (include any former names used).

Jon David Levy

2. **Position:** State the position for which you have been nominated.

United States District Judge for the District of Maine

3. **Address:** List current office address. If city and state of residence differs from your place of employment, please list the city and state where you currently reside.

Maine Supreme Judicial Court
205 Newbury Street, Room 139
Portland, Maine 04101

4. **Birthplace:** State year and place of birth.

1954; New York, New York

5. **Education:** List in reverse chronological order each college, law school, or any other institution of higher education attended and indicate for each the dates of attendance, whether a degree was received, and the date each degree was received.

1976 –1979, West Virginia University College of Law; J.D., 1979

1972 –1976, Syracuse University; B.S., 1976

1975, George Washington University; no degree

Summer 1971, Rochester Institute of Technology; no degree

6. **Employment Record:** List in reverse chronological order all governmental agencies, business or professional corporations, companies, firms, or other enterprises, partnerships, institutions or organizations, non-profit or otherwise, with which you have been affiliated as an officer, director, partner, proprietor, or employee since graduation from college, whether or not you received payment for your services. Include the name and address of the employer and job title or description.

2002 – present
Maine Supreme Judicial Court
205 Newbury Street, Room 139
Portland, Maine 04101
Associate Justice

1995 – 2002
Maine Judicial Branch, State of Maine
11 Chases Pond Road
York, Maine 03909
Chief Judge (2001 – 2002)
Deputy Chief Judge (2000 – 2001)
District Court Judge, Tenth District (York County) (1995 – 2000)

1994 – 1995
Levy & Bartlett (dissolved)
276 York Street
York, Maine 03909
Attorney

1992 – 1995
Landmark Publishing Company (dissolved)
231 Cider Hill Road
York, Maine 03909
President

1983 – 1995
I was the named corporate clerk or secretary of approximately twenty Maine for-profit and not-for-profit corporations that I organized for clients and/or for which I served as corporate counsel. I resigned from those positions when I became a judge in 1995.
Corporate Clerk or Secretary

1986 – 1994
Law Offices of Jon D. Levy (dissolved)
Meadowbrook Plaza
647 U.S. Route One
York, Maine 03909
Attorney

1986
American Institute for Paralegal Studies (formerly of Portland, Maine)
560 Lans Way
Ann Arbor, Michigan 48103
Lecturer

1983 – 1986

Lowther & Levy, P.A. (dissolved)
Meadowbrook Plaza
647 U.S. Route One
York, Maine 03909
Attorney

1981 – 1982

United States District Court, Southern District of Texas
United States Courthouse
515 Rusk Street
Houston, Texas 77002
Special Monitor

1979 – 1981

United States District Court, Southern District of West Virginia
Robert C. Byrd United States Courthouse
300 Virginia Street East, Suite 2400
Charleston, West Virginia 25301
Law Clerk for U.S. District Judge John T. Copenhaver, Jr.

Summer 1978

West Virginia Office of the Attorney General
State Capitol Complex, Building One, Room E-26
Charleston, West Virginia 25305
Law Intern

Summer 1977

Legal Aid of West Virginia (formerly West Virginia Legal Services Plan, Inc.)
110 South Third Street
Clarksburg, West Virginia 26301
Law Intern

January – April 1977

Legal Aid of West Virginia (formerly North Central West Virginia Legal Aid Society)
165 Scott Avenue, Suite 209
Morgantown, West Virginia 26508
Law Intern

Other affiliations (uncompensated)

2007 – present

Maine Justice Action Group
107 Congress Street
Portland, Maine 04101

Chairman of the Board (2008 – present)
Vice-Chairman of the Board (2007 – 2008)

2005 – present
William Vaughan House Condominium Association
389 Danforth Street
Portland, Maine 04102
Director
Treasurer

1996 – 2000 (approximate)
Maine Trial Judges Association
c/o Hon. Nancy Mills
Cumberland County Courthouse
205 Newbury Street
Portland, Maine 04101
Secretary

1990 – 1995
York Hospital
15 Hospital Drive
York, Maine 03909
Trustee (1990 – 1995)
Chair of the Finance Committee (1993 – 1994)
Vice-Chair of the Board (1994 – 1995)

1989 – 1992
York County Bar Association
c/o Susan E. Driscoll, Esq.
Bergen & Parkinson, LLC
62 Portland Road, Suite 25
Kennebunk, Maine 04043
President (1991 – 1992)
Vice President (1990 – 1991)
Treasurer (1989 – 1990)

1988 – 1990
Pine Tree Legal Assistance
88 Federal Street
P.O. Box 547
Portland, Maine 04112
Director

7. **Military Service and Draft Status:** Identify any service in the U.S. Military, including dates of service, branch of service, rank or rate, serial number (if different from social

security number) and type of discharge received, and whether you have registered for selective service.

I registered for the selective service when I turned 18, but I have not served in the U.S. Military.

8. **Honors and Awards:** List any scholarships, fellowships, honorary degrees, academic or professional honors, honorary society memberships, military awards, and any other special recognition for outstanding service or achievement.

Outstanding Member Award, York County Bar Association, 2006

Family Law Achievement Award, Maine State Bar Association, 2001

Order of the Coif, West Virginia University College of Law, 1979

West Virginia Law School Association Award, 1979

James F. Brown Constitutional Law Award, West Virginia University College of Law, 1979

9. **Bar Associations:** List all bar associations or legal or judicial-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices which you have held in such groups.

American Bar Association (various periods, 1979 – 1995)

American Bar Association Standing Committee on Legal Aid and Indigent Defendants, Judicial Member (2013 – present)

Cumberland County Bar Association (2002 – present)

Dwight D. Opperman Institute of Judicial Administration, New York University School of Law (approximately 2002 – present)

Juvenile Drug Treatment Court, Biddeford, Maine
Presiding Judge (2000 – 2002)

Maine Bar Foundation (2011 – present)
Fellow (2011 – present)

Maine Family Law Advisory Commission (1996 – 2000, 2002 – present)
Chair (1996 – 2000)
Liaison (2002 – present)

Maine Judicial Branch

Judicial Education Committee (2012 – present)

Chair

Committee on Judicial Responsibility and Disability (2005 – present)

Liaison

Advisory Committee on Judicial Ethics (2005 – present)

Liaison

Bi-annual Maine Judicial College (2012)

Chair

Maine Supreme Judicial Court, Sentence Review Panel (2009 – present)

Chair

Advisory Committee on Probate Rules (2009 – 2012)

Liaison

Maine Supreme Judicial Court Triage Team (2007 – present)

Maine Civil Legal Services Fund Commission (2007 – present)

Liaison

Court Appointed Special Advocate Association Advisory Committee (2002 – 2007)

Liaison

New Model Implementation Group (2005)

Chair

Advisory Committee on Professional Responsibility (2002 – 2005)

Liaison

Macro Subcommittee of the Caseload Management and Case Information Committee (2004)

Chair

Judicial Resource Team (2002 – 2004)

Chair

New Judge Training Program (2002 – present)

Instructor

Guardian ad Litem Committee of the Maine District Court, (2000 – 2002)

Chair

Maine Justice Action Group (2007 – present)

Chairman of the Board (2008 – present)

Vice-Chairman of the Board (2007 – 2008)

Maine State Bar Association (1983 – present)

Family Law Section Subcommittee, Chair (approximately 1992)

Maine Bar Journal, Editorial Board (1985 – 1990)

Maine State-Federal Judicial Council (2007 – 2012)

Vice-Chair

Maine Trial Judges Association (1995 – 2002)

Secretary (approximately 1996 – 2000)

New England Appellate Judges Conference Coordinating Committee (2004 – 2009)

York County Bar Association (1983 – present)

President (1991 – 1992)

Vice President (1990 – 1991)

Treasurer (1989 – 1990)

10. **Bar and Court Admission:**

- a. List the date(s) you were admitted to the bar of any state and any lapses in membership. Please explain the reason for any lapse in membership.

West Virginia, 1979 (inactive)

Maine, 1983 (inactive)

There have been no lapses in membership.

- b. List all courts in which you have been admitted to practice, including dates of admission and any lapses in membership. Please explain the reason for any lapse in membership. Give the same information for administrative bodies that require special admission to practice.

U.S. District Court for the Southern District of West Virginia, 1979

U.S. District Court for the Southern District of Texas, 1981

U.S. District Court for the District of Maine, 1983

There have been no lapses in membership.

11. **Memberships:**

- a. List all professional, business, fraternal, scholarly, civic, charitable, or other organizations, other than those listed in response to Questions 9 or 10 to which you belong, or to which you have belonged, since graduation from law school. Provide dates of membership or participation, and indicate any office you held. Include clubs, working groups, advisory or editorial boards, panels, committees, conferences, or publications.

Gignoux Inn of Court (approximately 2002 – 2005)

Maine Businesses for Social Responsibility (approximately 1990 – 1994)

Natural Resources Council of Maine (exact dates of membership unknown, but ended no later than 1995)

Pine Tree Legal Assistance Board of Directors (1988 – 1990)

William Vaughan House Condominium Association (2005 – present)

Director

Treasurer

York Hospital Board of Trustees (1990 – 1995)

Chair of the Finance Committee (1993 – 1994)

Vice-Chair of the Board (1994 – 1995)

YORKWISE (approximately 1988 – 1994)

- b. The American Bar Association's Commentary to its Code of Judicial Conduct states that it is inappropriate for a judge to hold membership in any organization that invidiously discriminates on the basis of race, sex, or religion, or national origin. Indicate whether any of these organizations listed in response to 11a above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies. If so, describe any action you have taken to change these policies and practices.

To the best of my knowledge, none of the organizations listed above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies.

12. Published Writings and Public Statements:

- a. List the titles, publishers, and dates of books, articles, reports, letters to the editor, editorial pieces, or other published material you have written or edited, including material published only on the Internet. Supply four (4) copies of all published material to the Committee.

Supreme Court Justice Biographies, MAINE SUPREME JUDICIAL COURT,
http://www.courts.state.me.us/maine_courts/supreme/justices_bios.html.

The Supreme Judicial Court of the State of Maine, 1820 to 2009, CLEAVES LAW LIBRARY, <http://www.cleaves.org/sjcbios1.htm#JDL>.

Maine Voices: Lawyers in Libraries—In Case You Get the Book Thrown at You, PORTLAND PRESS HERALD, April 30, 2013. Copy provided.

MAINE FAMILY LAW (8th ed. 2013). A copy of most recent edition provided.

White House Forum on the State of Civil Legal Assistance, ACCESS TO JUSTICE UPDATE, July 2012. Copy provided.

Introduction, Symposium: Balancing Fairness with Finality: An Examination of Post-Conviction Review, 64 MAINE LAW REVIEW 377 (2012). Copy provided.

The Katahdin Counsel Recognition Program, 26 MAINE BAR JOURNAL 123 (2011). Copy provided.

Change is the Law of Life, 26 MAINE BAR JOURNAL 186 (Fall 2011). Copy provided.

About, DICK SIMON PHOTOGRAPHY (December 15, 2010), <http://blog.dicksimonphotography.com/about/>.

Pro Bono Maine: What a Wonderful World, 25 MAINE BAR JOURNAL 120 (2010). Copy provided.

The World is Round: Why We Must Assure Equal Access to Civil Justice, 62 MAINE LAW REVIEW 561 (2010). Copy provided.

Maine Lawyers Getting Creative About Ways to Help the Poor and Needy, PORTLAND PRESS HERALD, May 1, 2010. Copy provided.

Lessons from Nature: Law Day 2008, PORTLAND PRESS HERALD, May 1, 2008. Copy provided.

Remarks to the Maine State Bar Association Annual Meeting, 23 MAINE BAR JOURNAL 76 (Spring 2008). Copy provided.

Maine Voices: Law Day 2007: Looking Back 50 Years, PORTLAND PRESS HERALD, May 1, 2007. Copy provided.

Letter-to-the-Editor, *Truth Be Told*, NORTHEASTERN LAW MAGAZINE, Summer 2006, at 2. Copy provided.

Comments on the Retirement of Judge Michael N. Westcott, MAINE LAWYERS REVIEW, July 21, 2005, at 21. Copy provided.

Commentary on "Witness for the Client: A Judge's Role in Increasing Awareness in the Defendant" by Joyce Wheeler, 9 GESTALT REVIEW, no. 2, 2005. I have been unable to obtain a copy.

Letter-to-the-Editor, 89 ABA JOURNAL 14, November 2003. Copy provided.

The Changing Face of Justice in Maine: Maine's Drug Courts, 11 MAINE POLICY REVIEW no. 31, Spring 2002. Copy provided.

Learning to Judge: The Evolution of Family Law in Maine, 16 MAINE BAR JOURNAL 83 (2001). Copy provided.

Transcending Borders of Child Custody Litigation: An Introduction to the Uniform Child Custody Jurisdiction and Enforcement Act in Maine, 15 MAINE BAR JOURNAL 78 (2000). Copy provided.

Changes in Maine Matrimonial Law, FAIRSHARE, Jan. 1998. I have been unable to obtain a copy.

Jon D. Levy & Everett Moitoza, *Measuring Satisfaction: The Lawyer Life Inventory*, TRIAL MAGAZINE, July 1994. Copy provided.

Lawyers Can Lead a Balanced Life Outside of Law Practice, LAW PRACTICE MANAGEMENT MAGAZINE, May/June 1994. Copy provided.

From 1992 to 1994, I was an editor and publisher of the MAINE LAWYER'S DIGEST. I have been unable to obtain copies of these issues.

Book Review, *The Professional License and Divorce: A Review of "Valuing Professional Practices and Licenses: A Guide for the Matrimonial Practitioner,"* 3 MAINE BAR JOURNAL 92 (March 1988). Copy provided.

Letter-to-the-Editor, *What Would James Madison Be Doing this Week?*, YORK WEEKLY, September 23, 1987. Copy provided.

Attorney Advertising in West Virginia, YLS NEWSLETTER, Feb. 1980, at 5. Copy provided.

The Holder in Due Course—Paying More Dues, WEST VIRGINIA PUBLIC INTEREST LAW REPORT, February 1978, at 1. Copy provided.

Introduction, *West Virginia Public Interest Law Report*, WEST VIRGINIA PUBLIC INTEREST LAW REPORT (January – February 1978). Copy provided.

Attorney Advertising in WV, WEST VIRGINIA PUBLIC INTEREST LAW REPORT, May 1978, at 6. Copy provided.

The HDC and Consumer Credit, WEST VIRGINIA PUBLIC INTEREST LAW REPORT, May 1978, at 4. Copy provided.

Local Citizens Oppose Dam Project, WEST VIRGINIA PUBLIC INTEREST LAW REPORT, December 1978, at 13. Copy provided.

Confessions of a Consumer: A Guide to Consumer Action, WEST VIRGINIA PUBLIC INTEREST LAW REPORT, Winter 1978, at 13. Copy provided.

Consumer Law—The Supervised Loan in West Virginia, 80 WEST VIRGINIA LAW REVIEW 256 (1977 – 1978). Copy provided.

Editorial, THE FLAME, March 1972, at 2. Copy provided.

Editorial, THE FLAME, May 1971, at 3. Copy provided.

- b. Supply four (4) copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of on behalf of any bar association, committee, conference, or organization of which you were or are a member. If you do not have a copy of a report, memorandum or policy statement, give the name and address of the organization that issued it, the date of the document, and a summary of its subject matter.

Report on the Criminal Justice Process in Region I (York County) of the Maine Judicial Branch (January 28, 2011). Copy provided.

Justice for All: A Report of the Justice Action Group (October 10, 2007). Copy provided.

JAG Statewide Planning Initiative – Work Group Final Reports (May 5, 2007). Copy provided.

A New Model for Scheduling Courts and Allocating Judicial Resources (September 19, 2003). Copy provided.

Maine Family Law Advisory Commission (MFLAC) Report to the Maine Legislature, Joint Standing Committee on the Judiciary (JSCJ) (on L.D. 2564) (March 2000). Copy provided.

MFLAC Second Report to the Maine Legislature, JSCJ (on L.D. 2267) (February 23, 2000). Copy provided.

MFLAC Recommendation to the Maine Legislature, JSCJ (on Revision of Title 19-A, Section 953(2)(E)) (February 23, 2000). Copy provided.

MFLAC Report to the Maine Legislature, JSCJ (on L.D. 2511) (February 8, 2000). Copy provided.

MFLAC Report to the Maine Legislature, JSCJ (on L.D. 2307) (January 18, 2000). Copy provided.

MFLAC Report to the Maine Legislature, JSCJ (on L.D. 2286) (January 18, 2000). Copy provided.

MFLAC Report to the Maine Legislature, JSCJ (on L.D. 2267) (January 18, 2000). Copy provided.

MFLAC Comments on “An Act to Revise the Spousal Support Statute” (December 6, 1999). Copy provided.

MFLAC Report to the Maine Legislature, JSCJ (on L.D. 1860) (March 26, 1999). Copy provided.

MFLAC Report to the Maine Legislature, JSCJ (on L.D. 1592) (March 23, 1999). Copy provided.

MFLAC Report to the Maine Legislature, JSCJ (on L.D. 1753) (March 23, 1999). Copy provided.

MFLAC Report to the Maine Legislature, JSCJ (March 10, 1999). Copy provided.

MFLAC Report to the Maine Legislature, JSCJ (February 22, 1999). Copy provided.

MFLAC Report to the Maine Legislature, JSCJ (February 17, 1999). Copy provided.

MFLAC Report to the Maine Legislature, JSCJ (February 9, 1999). Copy provided.

MFLAC Report to Chief Justice Daniel E. Wathen (January 1999). Copy provided.

MFLAC Report to Chief Justice Daniel E. Wathen (December 21, 1998). Copy provided.

MFLAC Report to the Maine Legislature, JSCJ (on L.D. 1930 (February 9, 1998). Copy provided.

MFLAC Report to the Maine Legislature, JSCJ (February 2, 1998). Copy provided.

MFLAC Report to the Maine Legislature, JSCJ (on L.D. 1786) (January 16, 1998). Copy provided.

MFLAC Report to the Maine Legislature, JSCJ (on L.R. 2706) (December 28, 1997). Copy provided.

MFLAC Recommendation to Add Actions for Judicial Separation to M.R. Civ. P. 80 (November 21, 1997). Copy provided.

MFLAC Comments on the Draft Rules for the Family Division of the District Court, JSCJ (October 14, 1997). Copy provided.

MFLAC Report to the Maine Legislature, JSCJ (April 8, 1997). Copy provided.

MFLAC Report to the Maine Legislature, JSCJ (March 20, 1997). Copy provided.

MFLAC Report to the Maine Legislature, JSCJ (on L.D. 1213) (March 13, 1997). Copy provided.

MFLAC Report to the Maine Legislature, Joint Standing Committee on the Judiciary (January 27, 1997). Copy provided.

- c. Supply four (4) copies of any testimony, official statements or other communications relating, in whole or in part, to matters of public policy or legal interpretation, that you have issued or provided or that others presented on your behalf to public bodies or public officials.

Letter on behalf of the Justice Action Group to Legal Services Corporation (June 4, 2013). Copy provided.

Letter on behalf of the Maine Supreme Judicial Court to State of Maine Office of Aging and Disability Services (May 20, 2013). Copy provided.

Statement Before the Joint Standing Committee on the Judiciary (March 7, 2013). Copy provided.

Remarks Before the Joint Standing Committee on the Judiciary (March 13, 2012). Copy provided.

Statement Before the Joint Standing Committee on the Judiciary (February 15, 2011). Copy provided.

Letter on behalf of the Justice Action Group to FDIC (October 13, 2010). Copy provided.

Letter on behalf of the Justice Action Group in support of the Penobscot County Bar Association for the Harrison Tweed Award (March 26, 2010). Copy provided.

Remarks Before the Joint Standing Committee on the Judiciary (February 23, 2010). Copy provided.

Confirmation Remarks on Reappointment to Maine Supreme Judicial Court (February 24, 2009). Copy provided.

The Genesis of the New Model - Presentation to the Maine Judiciary (November 1, 2005). Copy provided.

Confirmation Remarks on Nomination to Maine Supreme Judicial Court (March 6, 2002). Copy provided.

Testimony before the Maine Legislature, Joint Standing Committee on the Judiciary regarding L.D. 2276 "An Act to Revise the Spousal Support Statute" (January 19, 2000). I have no notes, transcript, or recording.

Confirmation Remarks on Nomination to the Maine District Court (1995). Copy provided.

- d. Supply four (4) copies, transcripts or recordings of all speeches or talks delivered by you, including commencement speeches, remarks, lectures, panel discussions, conferences, political speeches, and question-and-answer sessions. Include the date and place where they were delivered, and readily available press reports about the speech or talk. If you do not have a copy of the speech or a transcript or recording of your remarks, give the name and address of the group before whom the speech was given, the date of the speech, and a summary of its subject matter. If you did not speak from a prepared text, furnish a copy of any outline or notes from which you spoke.

October 28, 2013: Presiding Judge, Admission of New Attorneys Ceremony, Alfred and Portland, Maine. I have presided over this ceremony throughout my time as a justice. Representative outline provided.

October 28, 2013: Speaker, remarks made at Katahdin Counsel Recognition Program, Maine Supreme Judicial Court, Alfred, Maine. Remarks provided.

October 24, 2013: Speaker, remarks honoring Ronald D. Bourque, Esq., upon his receipt of the Charles W. Smith, Jr., Award, York County Bar Association, Sanford, Maine. Notes provided.

October 23, 2013: Speaker, introduction of the Hon. Albie Sachs, the 2013 Stanley W. Wernick Lecture, Maine Judicial Branch, Bangor, Maine. Notes provided.

October 7, 2013: Speaker, "Why Should Every Mainer From Kittery to Fort Kent Care Deeply About the Pro Bono Work Performed by Maine Lawyers?," Katahdin Counsel Recognition Presentation sponsored by the Maine Supreme Judicial Court, Bangor, Maine. Notes provided.

June 5, 2013: Speaker, "Equal Access to Civil Justice," York County Bar Association, Saco, Maine. I used the same PowerPoint as the May 10, 2013, presentation.

May 10, 2013: Speaker, "Equal Access to Civil Justice," Knox, Waldo, and Sagadahoc County Bar Associations, Wiscasset, Maine. PowerPoint provided.

May 9, 2013: Speaker, Court Appointed Special Advocate training, Preti Flaherty law firm, Portland, Maine. PowerPoint provided.

May 2, 2013: Speaker, remarks on my work, Supreme Court Justice and Law Day, Augusta Area Kiwanis, Augusta, Maine. Remarks provided.

April 12, 2013: Speaker, presentation on Louis Brandeis, Maine Jewish Museum, Portland, Maine. I provided an overview of the life and achievements of Justice Louis Brandeis. I have no notes, transcript or recording. The address for the Maine Jewish Museum is 267 Congress Street, Portland, Maine 04101.

March 21, 2013: Speaker, remarks on the activities of the Justice Action Group, Cumberland County Bar Association, Portland, Maine. Notes provided.

March 14, 2013: Speaker, remarks on the presentation of the Advocate for Justice Award to Charles Walter Smith Jr., York County Bar Association, Ogunquit, Maine. Remarks provided.

March 13, 2013: Speaker, "The Secret to Happiness as a Family Law Attorney," University of Maine School of Law, Portland, Maine. PowerPoint provided.

December 12, 2012: Speaker, "Equal Access to Civil Justice," Cumberland County Bar Association, Portland, Maine. PowerPoint provided.

November 19, 2012: Speaker, Verrill Dana law firm presentation on the Maine Justice Action Group and the Katahdin Counsel Recognition Program, Portland, Maine. PowerPoint provided.

November 15, 2012: Speaker, presentation on the Maine Justice Action Group, Maine Trial Lawyers Association, Augusta, Maine. PowerPoint provided.

November 14, 2012: Speaker, Court Appointed Special Advocate training, Pierce Atwood law firm, Portland, Maine. PowerPoint provided.

November 7, 2012: Speaker, remarks made at Katahdin Counsel Recognition Program, Maine Supreme Judicial Court, Bangor, Maine. I used the same notes supplied in response to the October 23, 2012, event and press coverage is provided.

October 26, 2012: Speaker, remarks on the Katahdin Counsel Recognition Program at the Cumberland County Courthouse, Portland, Maine. I used the same notes as supplied in response to the October 23, 2012, event.

October 23, 2012: Speaker, remarks on the Katahdin Counsel Recognition Program at the Biddeford District Court, Biddeford, Maine. Notes provided.

October 16, 2012: Speaker, Maine Judicial College welcome and introduction, Maine Judicial Branch, Sugarloaf, Maine. Notes provided.

October 16, 2012: Speaker, presentation on Justice Louis Brandeis, Maine Judicial College, Maine Judicial Branch, Sugarloaf, Maine. PowerPoint provided.

October 16, 2012: Speaker, introduction of Peter L. Murray at the Maine Judicial College, Maine Judicial Branch, Sugarloaf, Maine. Notes provided.

September 18, 2012: Speaker, training for new law clerks on family law, Maine Supreme Judicial Court, Portland, Maine. I have conducted this training for new law clerks each year since 2003. Power point provided.

June 7, 2012: Speaker, presentation on Justice Louis Brandeis, York County Bar Association, Saco, Maine. I used the same PowerPoint supplied in response to the October 16, 2012 event.

April 26, 2012: Speaker, remarks honoring Hon. Peter Goranites on his receipt of the Maine State Bar Association's Family Law Section Achievement Award, Freeport, Maine State Bar Association, Freeport, Maine. Remarks provided.

February 16, 2012: Speaker, remarks regarding the Justice Action Group, Cumberland County Bar Association, Portland, Maine. Remarks provided.

January 26, 2012: Speaker, remarks on Katahdin Counsel Recognition Program at Access to Justice Symposium sponsored by the Maine State Bar Association, University of Maine School of Law, and Maine Justice Action Group, Portland, Maine. Notes provided.

January 26, 2012: Speaker, introduction of keynote address at Access to Justice Symposium sponsored by the Maine State Bar Association, University of Maine School of Law, and Maine Justice Action Group, Portland, Maine. Remarks provided.

January 5, 2012: Speaker, "Remarks of Jon D. Levy on the Retirement of Wendy Rau," Maine Judicial Center, Augusta, Maine. Remarks provided.

2012: Introduction, 2012 American Bar Association Battle of the Bands, Falmouth, Maine. Available at <http://www.youtube.com/watch?v=ep5w1q1loz8>.

December 8, 2011: Speaker, introduction of Mock Trial Competition, Maine State Bar Association, Portland, Maine. Notes provided.

November 15, 2011: Speaker, presentation to Katahdin Counsel Commission, Augusta, Maine. Notes provided.

October 26, 2011: Speaker, "Statement of Justice Jon D. Levy Regarding the Katahdin Counsel Recognition Program," Supreme Judicial Court, Augusta, Maine. Remarks provided.

October 19, 2011: Speaker, Guardian *ad Litem* training, Maine Judicial Branch, Augusta, Maine. I gave this presentation every year beginning in approximately 1999. PowerPoint provided.

June 14, 2011: Speaker, presentation on Justice Louis Brandeis, John W. Ballou Inn of Court, Bangor, Maine. I used the same PowerPoint supplied in response to the October 16, 2012, event.

May 18, 2011: Speaker, "Succeeding Between a Rock and a Hard Place – Best Practices for the Family Law Attorney from Judgment to Appeal," York County Bar Association, Saco, Maine. PowerPoint provided.

January 18, 2011: Speaker, presentation on Justice Louis Brandeis, Shaarey Tphiloh Synagogue, Portland, Maine. I provided an overview of the life and achievements of Justice Louis Brandeis. I have no notes, transcript, or recording. The address for Shaarey Tphiloh Synagogue is 76 Noyes Street, Portland, Maine 04103.

October 29, 2010: Speaker, update on the Maine Justice Action Group, Maine State-Federal Judicial Council, Augusta, Maine. Notes provided.

October 20, 2010: Speaker, presentation on decision writing and appellate review, to new law clerks, Maine Judicial Branch, Portland, Maine. PowerPoint provided.

September 29, 2010: Speaker, presentation on drafting appellate briefs, Maine State Bar Association, Freeport, Maine. PowerPoint provided.

June 17, 2010: Speaker, presentation on status of access to justice efforts in Maine, Maine State Bar Association, Augusta, Maine. I believe this speech was an update on the efforts of the Maine Justice Action Group. I have no notes, transcript, or recording. The address for the sponsoring organization is Maine State Bar Association, 124 State Street, Augusta, Maine 04330.

May 1, 2010: Panelist, family law continuing legal education program, York County Bar Association, Saco, Maine. The panel was on family law related topics. I have no notes, transcript or recording. The address for the York County Bar Association is York County Bar Association, Susan Driscoll, Esq., Bergen & Parkinson, LLC, 62 Portland Road, Suite 25, Kennebunk, Maine 04043.

February 26, 2010: Speaker, introductory remarks at the Access to Justice Symposium, cosponsored by the Maine State Bar Association, University of Maine School of Law, and Maine Justice Action Group, Portland, Maine. I have no notes, transcript, or recording, but press coverage is provided. The address for the Maine State Bar Association is 124 State Street, Augusta, Maine 04330.

January 21, 2010: Panelist, presentation on brief writing and appellate standards of review, Maine State Bar Association, Portland, Maine. I believe I used the same PowerPoint supplied in response to the September 29, 2010, event.

November 9, 2009: Speaker, presentation to high school students on freedom of the press, Waynflete School, Portland, Maine. Notes provided.

November 6, 2009: Speaker, presentation of the Harrison L. Richardson Award to the Hon. Robert W. Clifford, Maine Chapter of the American Board of Trial Advocates, Lewiston, Maine. Remarks provided.

October 2, 2009: Remarks at the Maine Access to Justice Symposium, Portland, Maine. Remarks and press coverage is provided.

October 1, 2009: Speaker, remarks on the public display of the portraits of Hon. Howard H. Dana and Hon. Susan Calkins, Maine Supreme Judicial Court, Portland, Maine. Remarks provided.

August 2009: Speaker, presentation on professionalism, University of Maine School of Law, Portland, Maine. Notes provided.

June 18, 2009: Speaker, presentation on status of access to justice efforts in Maine, Pine Tree Legal Assistance, Waterville, Maine. Notes provided.

May 6, 2009: Panelist, "The New Rules for Family Cases," York County Bar Association, Saco, Maine. The panel addressed the latest amendments to rules governing family law practice in Maine. I have no notes, transcript, or recording. The address for the York County Bar Association is York County Bar Association, c/o Susan Driscoll, Esq., Bergen & Parkinson, LLC, 62 Portland Road, Suite 25, Kennebunk, Maine 04043.

April 2, 2009: Speaker, "Trial and Tribulations: Litigation and Advocacy Skills for the Child Protective Attorneys," Maine Judicial Branch, Portland, Maine. Remarks provided.

March 5, 2009: Speaker, presentation on judicial precedent, Bates College, Lewiston, Maine. Notes provided.

March 3, 2009: Speaker, presentation on custody decisions, University of Maine School of Law, Portland, Maine. Power point provided.

January 21, 2009: Speaker, presentation on the Maine Judiciary, Maine State-Federal Judicial Council, Augusta, Maine. Power point provided.

September 27, 2008: Speaker, "Appellate Review and Probate Court Decisions," Presentation to Assembly of Maine Probate Judges, Belfast, Maine. PowerPoint provided.

June 19, 2008: Speaker, presentation on decision-writing for Maine trial judges, Maine Judicial Branch, Newry, Maine. PowerPoint provided.

April 24, 2008: Panelist, "Oral Advocacy Before the Law Court," Maine State Bar Association, Augusta, Maine. PowerPoint provided.

April 16, 2008: Speaker, "Celebrating the Twenty-Fifth Anniversary of Maine Volunteer Lawyers Project," Maine Volunteer Lawyers Project, Portland, Maine. Remarks provided.

April 11, 2008: Speaker, remarks to the Maine State Bar Association Board of Governors, Augusta, Maine. Notes provided.

March 20, 2008: Speaker, "The Spousal Support Conundrum," John W. Ballou Inn of Court, Bangor, Maine. PowerPoint provided.

January 31, 2008: Speaker, presentation on the Maine Justice Action Group to the Maine State Bar Association Winter Meeting, Maine State Bar Association, Rockland, Maine. Remarks provided.

January 23, 2008: Comments on Judge Kermit Lipez's Leadership, Remarks to the Maine Justice Action Group, Portland, Maine. Notes provided.

December 6, 2007: Speaker, presentation of the Advocate for Justice Award to Paul Chaiken, Maine Judicial Branch, Augusta, Maine. Notes and press coverage provided.

October 2007: Speaker, opening remarks, New England Appellate Conference, Ogunquit, Maine. Notes provided.

October 2007: Speaker, introduction of James Friedman, New England Appellate Conference, Ogunquit, Maine. Notes provided.

September 27, 2007: Speaker, presentation on family law, Maine State Bar Association. This presentation was on a family law topic and was part of a continuing legal education program. I have no notes, transcript or recording. The address of the Maine State Bar Association is 124 State Street, Augusta, Maine 04330.

July 7, 2007: Speaker, opening remarks, American Academy of Matrimonial Attorneys, Freeport, Maine. Remarks provided.

May 17, 2007: Speaker, "IDEA and the American Terroir," Kids Legal, Pine Tree Legal Assistance, Portland, Maine. PowerPoint provided.

March 16, 2007: Panelist, "New Directions in Family Law," York County Bar Association, Saco, Maine. A summary of this program is provided.

March 12, 2007: Speaker, Court Appointed Special Advocate training, Pierce Atwood law firm, Portland, Maine. PowerPoint provided.

November 16, 2006: Speaker, presentation on the Maine Judicial Branch's new model for scheduling, Maine State Bar Association, Augusta, Maine. Remarks provided.

October 2006: Speaker, dinner remarks to the Maine Supreme Judicial Court and Faculty of the University of Maine School of Law, Portland, Maine. Notes provided.

September 12, 2006: Speaker, presentation on the Judicial Branch's new model for scheduling, Advisory Committee on the Maine Civil Rules, Augusta, Maine. PowerPoint provided.

August 31, 2006: Speaker, remarks on professionalism to the first year law school class, University of Maine School of Law, Portland, Maine. Remarks provided.

May 25, 2006: Speaker, "The Spousal Support Conundrum," York County Bar Association, Saco, Maine. PowerPoint and Handout provided.

April 29, 2006: Speaker, introduction of keynote speaker, Juvenile Justice Advisory Group and Maine State Bar Association, Portland, Maine. Notes provided.

February 7, 2006: Speaker, Court Appointed Special Advocate training, Pierce Atwood law firm, Portland, Maine. Notes provided.

January 27, 2006: Speaker, presentation of the Caroline Duby Glassman Award to Faye Luppi, Maine State Bar Association, Portland, Maine. Notes provided.

January 2006: Panelist, “The Art of Written Advocacy: Drafting Persuasive, Concise and Creative Legal Briefs and Memoranda,” Maine State Bar Association Annual Meeting. Handout with notes provided.

September 21, 2005: Panelist, presentation on appellate practice, Maine State Bar Association, Augusta, Maine. Slides provided.

June 3, 2005: Speaker, keynote address, Kids Legal, Pine Tree Legal Assistance, Augusta, Maine. Remarks and press coverage is provided.

May 20, 2005: Speaker, comments on the retirement of Judge Michael N. Westcott, Maine Judicial Branch, Augusta, Maine. Remarks provided.

May 18, 2005: Speaker, presentation on appellate family law, Maine State Bar Association, Freeport, Maine. PowerPoint provided.

April 28, 2005: Speaker, “The Case for Caseflow Management,” Maine Judicial Branch, Lewiston, Maine. PowerPoint provided.

April 5, 2005: Speaker, “Domestic Violence Training for Lawyers,” Cumberland County Violence Intervention Partnership and Maine Volunteers Lawyers Project, Portland, Maine. Notes provided.

February 3, 2005: Speaker, remarks honoring Judge Andre Janelle, York County Bar Association, Saco, Maine. Remarks provided.

January 21, 2005: Speaker, “Are Sanity and Trial Practice Mutually Exclusive?,” Maine State Bar Association Augusta, Maine. PowerPoint provided.

September 2004: Panelist, presentation on bench memo preparation, Supreme Judicial Court, Portland, Maine. PowerPoint provided.

June 2004: Speaker, “Humility, Bagels, and Collaborative Change,” Kids Legal, Pine Tree Legal Assistance, Augusta, Maine. Remarks provided.

January 29, 2004: Speaker, opening remarks for a seminar entitled “The Developing Brain: A Vital Connection Between a Child’s Mind and Behaviors,” Maine State Bar Association, Rockland, Maine. Notes provided.

November 20, 2003: Speaker, remarks on the Judicial Resource Team, Maine Trial Lawyers Association, Augusta, Maine. Remarks provided.

November 8, 2003: Speaker, “Seven Habits of Effective District Court Trial Advocates,” Maine State Bar Association, Portland, Maine. Notes provided.

October 2, 2003: Speaker, remarks made at Kids' Legal Aid of Maine Conference, Kids Legal, Pine Tree Legal Assistance, Portland Maine. Remarks provided.

May 20, 2003: Panelist, presentation on proof of income in family law cases, York County Bar Association, Saco, Maine. Notes provided.

January 22, 2003: Speaker, remarks to attorneys participating in the Pierce Atwood Law Firm Volunteer Court Appointed Special Advocate Program, Portland, Maine. Remarks provided.

May 1, 2002: Speaker, remarks for Law Day celebration, Kennebec County Superior Court, Augusta, Maine. Remarks provided.

March 6, 2002: Speaker, meeting on domestic violence, Maine Coalition to End Domestic Violence, Augusta, Maine. My talk was on the District Court's response to domestic violence cases. I have no notes, transcript, or recording, but press coverage is provided.

February 4, 2002: Speaker, remarks made on the retirement of Judge John Sheldon, Maine Judicial Branch, Portland, Maine. Notes provided.

October 15, 2001: Speaker, speech to the Maine Prosecutors Association, Bar Harbor, Maine. The speech was on the processing of criminal cases in the Maine District Court. Notes provided.

February 9, 2001: Speaker, "The Judge's View of a Contested Case," Maine Bar Association, Augusta, Maine. The speech was on best practices on presenting a contested family law case in court. I have no notes, transcript or recording. The address of the Maine State Bar Association is 124 State Street, Augusta, Maine 04330.

October 27, 2000: Speaker, "Planning Evaluation in Juvenile Justice Programs," The Muskie Institute, Brunswick, Maine. The speech was on the juvenile court process in Maine. I have no notes, transcript, or recording. The address of the Muskie School of Public Service is P.O. Box 9300, Portland, Maine 04104.

June or July 2000: Speaker, "Juvenile Justice in Maine," JUMPSTART, Kennebunk, Maine. The speech was on the juvenile court process in Maine. I have no notes, transcript or recording. The address for JUMPSTART is c/o Biddeford Police Department, 39 Alfred Street, Biddeford, Maine 04005.

May 10, 2000: Speaker, "Maine's Juvenile Drug Courts," York County Public Safety/Social Services Collaborative, Biddeford, Maine. The speech was on the development of Maine's juvenile drug treatment courts. I have no notes,

transcript or recording. The address of the Biddeford Police Department is 39 Alfred Street, Biddeford, Maine 04005.

April 2000: Speaker, Juvenile Justice in Maine, Portland Area League of Women Voters, Portland, Maine. This speech was on the development of Maine's Juvenile Drug Treatment Courts. I have no notes, transcript or recording. The address of the Portland Area League of Women Voters is P.O. Box 7904, Portland, Maine 04112.

March 24, 2000: Speaker, "Judge's Perspective," Maine State Bar Association, Augusta, Maine. This speech addressed families in crisis. I have no notes, transcript or recording. The address of the Maine State Bar Association is 124 State Street, Augusta, Maine 04330.

January 21, 2000: Speaker, "Appreciation of Nonmarital Property," Maine State Bar Association, Portland, Maine. This speech was a presentation at the Annual Meeting of the Maine State Bar Association. I have no notes, transcript or recording. The address of the Maine State Bar Association is 124 State Street, Augusta, Maine 04330.

December 17, 1999: Speaker, "Procedures for the New Juvenile Drug Treatment Court," Maine District Court, Augusta, Maine. This speech was on the newly developed process for Maine's juvenile drug treatment courts. I have no notes, transcript, or recording. The address of the Maine District Court is 65 Stone Street, Augusta, Maine 04330.

October 22, 1999: Speaker, "Statutory Proposals of the Maine Family Law Advisory Commission," Maine Supreme Judicial Court, Waterville, Maine. This speech reviewed the work of the Maine Family Law Advisory Commission, All Courts Conference. I have no notes, transcript, or recording. The address of the Maine Supreme Judicial Court is 205 Newbury Street, Portland, Maine 04101

October 1, 1999: Speaker, "The Ten Habits of Highly Effective Domestic Relations Attorneys," Maine State Bar Association, Augusta, Maine. This speech was part of a continuing legal education program, entitled "Navigating the System: Nuts and Bolts of the Family Law Case." I have no notes, transcript or recording. The address of the Maine State Bar Association is 124 State Street, Augusta, Maine 04330.

September 23, 1999: Speaker, "Developments in Maine Juvenile Law," Saco Bay Rotary Club, Saco, Maine. I have no notes, transcript or recording. The address of the Saco Bay Rotary Club is 168 Saco Avenue, Old Orchard Beach, Maine 04060.

June 25, 1999: Speaker, "A Judge's Perspective on Domestic Violence." University of New England College of Osteopathic Medicine, Biddeford, Maine.

The speech was given as part of a multi-disciplinary conference on domestic violence. I have no notes, transcript or recording. The address of the University of New England is 11 Hills Beach Road, Biddeford, Maine 04005.

May 20, 1999: Speaker, "The Family Court System and Testimony," Early Intervention Providers, Portland, Maine. I have not been able to locate any notes, transcript, or recording, nor can I locate an address for the Early Intervention Providers.

March 18, 1999: Speaker, "Juvenile Justice: Keeping Up with the Changes," Maine State Bar Association, South Portland, Maine. This speech concerned juvenile law and was given at a meeting sponsored by the Maine State Bar Association. I have no notes, transcript or recording. The address of the Maine State Bar Association is 124 State Street, Augusta, Maine 04330.

January 28, 1999: Speaker, "View from the Bench," Maine State Bar Association, Augusta, Maine. This speech regarding domestic violence as given as part of a domestic violence training program for lawyers. I have no notes, transcript or recording. The address of the Maine State Bar Association is 124 State Street, Augusta, Maine 04330.

December 15, 1998: Speaker, presentation regarding the York County Juvenile Court Pilot Project, Maine District Court, Augusta, Maine. This speech was presented to the Study Group to Review and Consider Improvements in Juvenile and Adult Probation Services. I have no notes, transcript or recording. The address for the Maine District Court is Chief Judge, Maine District Court, 65 Stone Street, Augusta, Maine 04330.

October 30, 1998: Speaker, presentation on child custody decisions, Kids First Center, Portland, Maine. This speech was part of a program entitled "The Effects of Gender on Decision-Makers, Mars and Venus Break Apart: Gender Issues in Divorce." I have no notes, transcript, or recording. The Kids First Center address is 222 St. John's Street, #101, Portland, Maine 04102.

October 25, 1998. Panelist, presentation on juvenile law, Juvenile Justice Center, American Bar Association, Chicago, Illinois. This presentation was part of a program entitled "Programs, Politics and Procedures: A Dialogue Between the Bench and Bar," Juvenile Defender Leadership Summit, Chicago, Illinois. Sponsor: I have no notes, transcript, or recording. The address of the Juvenile Justice Center is ABA Criminal Justice Section, 1050 Connecticut Avenue, N.W., Suite 400, Washington D.C. 20036.

April 1998: Speaker, "Juvenile Justice in York County," JUMPSTART, Kennebunk, Maine. I have no notes, transcript, or recording. The address for JUMPSTART program is the Biddeford Police Department, 39 Alfred Street, Biddeford, Maine 04005.

April 1998: Speaker, "Maine Family Law Principles," Maine District Court, Portland, Maine. This speech was given as part of a training for Case Management Officers. I have no notes, transcript or recording. The address for the Maine District Court is Chief Judge, Maine District Court, 65 Stone Street, Augusta, Maine 04330.

October 24, 1997: Speaker, "A Judge's Perspective on Parent-Child Issues," Court Alternative Dispute Resolution Service, Augusta, Maine. This speech was given as part of training program for family law mediators. I have no notes, transcript, or recording. The address of the Court Alternative Dispute Resolution Service is Administrative Office of the Courts-ADR, P.O. Box 4820, Portland, Maine 04112.

July 24, 1997: Panelist, "The Revised Title 19-A: Pitfalls and Pleasures, New Developments in Family Law," Maine State Bar Association, Augusta, Maine. This panel addressed Maine's newly codified family law statutes. I have no notes, transcript or recording. The address of the Maine State Bar Association is 124 State Street, Augusta, Maine 04330.

May 7, 1997: Panelist, Judicial Symposium on "Decision-Making in Permanency Planning," Maine District Court, Bethel, Maine. This presentation concerned child protection law best practices. I have no notes, transcript, or recording. The address of the Maine District Court is Chief Judge, Maine District Court, 65 Stone Street, Augusta, Maine 04330.

May 1995: Panelist, "Effective Family Law Practice," National Business Institute, Portland, Maine. This panel addressed family law best practices. I have no notes, transcript, or recording. The address of the National Business Institute is 1218 McAnn Drive, Atlanta, Wisconsin 52720.

January 1994: Panelist, "Tax Aspects of Divorce," National Business Institute, Portland, Maine. This panel addressed the federal income tax consequences of divorce judgments. I have no notes, transcript, or recording. The address of the National Business Institute is 1218 McAnn Drive, Atlanta, Wisconsin 52720.

November 1993: Speaker, "On Lawyer Satisfaction," unknown sponsor, Bangor, Maine. This presentation was made at a law firm's retreat on best practices for deriving satisfaction in the legal profession. I have no notes, transcript, or recording. I have no record regarding the identity or address of the law firm that sponsored the retreat.

July 1993: Speaker, "Achieving Balance: Strategies for Lawyer Satisfaction," Maine State Bar Association, New Brunswick, Canada. This presentation concerned best practices for deriving satisfaction in the legal profession. I have

no notes, transcript, or recording. The address of the Maine State Bar Association is 124 State Street, Augusta, Maine 04330.

November 1992: Speaker, "Achieving Lawyer Satisfaction," Nashville Bar Association, Nashville, Tennessee. This presentation concerned best practices for deriving satisfaction in the legal profession. I have no notes, transcript, or recording. The address of the Nashville Bar Association is 221 4th Avenue North, Nashville, Tennessee 37219.

November 1992: Speaker, presentation on family law topic, Maine State Bar Association, Augusta, Maine. This speech was given at a program entitled, "Practical Skills for the Family Law Lawyer." I have no notes, transcript, or recording. The address of the Maine State Bar Association is 124 State Street, Augusta, Maine 04330.

October 1992: Speaker, "Getting Your Life Into Balance," Middlesex Family Lawyers Association, London, Ontario, Canada. This presentation concerned best practices for deriving satisfaction in the legal profession. I have no notes, transcript, or recording. The address of the Middlesex Family Lawyers Association is 80 Dundas Street, London, Ontario, Canada N6A 6A1.

May 1992: Speaker, presentation on family law practice, Maine State Bar Association, Augusta, Maine. This speech was given as part of a program entitled "Managing a Family Law Practice." I have no notes, transcript, or recording. The address of the Maine State Bar Association is 124 State Street, Augusta, Maine 04330.

February 1992: Speaker, presentation on family law practice, National Education Network, Portland, Maine. This speech was given as part of a program entitled "Breaking Up is Hard to Do – Children & Families in Divorce." I have no notes, transcript, or recording. I am not able to determine the address for the sponsor, the National Education Network.

January 1992: Speaker, "Personal and Professional Satisfaction – A Workshop for Lawyers," Landmark Group, Portsmouth, New Hampshire. This half-day workshop was presented to a group of approximately 20 lawyers. I have no notes, transcript, or recording. There is no physical address for the Landmark Group.

December 1991: Panelist, "Emerging Issues in Domestic Relations Practice," Maine State Bar Association, Portland, Maine. I do not know the specific topics addressed by this panel. I have no notes, transcript, or recording. The address of the Maine State Bar Association is 124 State Street, Augusta, Maine 04330.

November 1990: Speaker, "1990 Legal Year in Review – Family Law," Maine State Bar Association, Augusta, Maine. I presented a summary of the most important family law related legal developments during the previous year. I have

no notes, transcript, or recording. The address of the Maine State Bar Association is 124 State Street, Augusta, Maine 04330.

October 1990: Panelist, "Domestic Relations – The Client Interview," Maine State Bar Association, Portland, Maine. This panel concerned best practices for client interviewing. I have no notes, transcript, or recording. The address of the Maine State Bar Association is 124 State Street, Augusta, Maine 04330.

- e. List all interviews you have given to newspapers, magazines or other publications, or radio or television stations, providing the dates of these interviews and four (4) copies of the clips or transcripts of these interviews where they are available to you.

Interview for *Maine Watch With Jennifer Rooks*, Maine Public Broadcasting Network, December 14, 2012. Available at <http://www.mpbn.net/Television/LocalTelevisionPrograms/MaineWatch/tabid/477/ctl/ViewItem/mid/3470/ItemId/25128/Default.aspx>.

Access to Justice and the Business Community, Targeted News Service, March 13, 2012. Copy provided.

Interview for 207: *Access to Justice*, WCSH Channel 6, January 26, 2012. Available at <http://south.wcsh6.com/news/news/103437-access-justice>.

Ryan McLaughlin, *Cape Elizabeth Wins Mock Trial Competition*, Bangor Daily News, December 15, 2011. Copy provided.

Press conference, Maine Supreme Judicial Court, October 26, 2011. Announcement regarding the Katahdin Counsel Recognition Program. Press coverage provided.

Judy Harrison, *Chief Justice Calls Court System "Precarious,"* Bangor Daily News, March 18, 2009. Copy provided.

Trevor Maxwell, *Low Score on Overseeing Judges Rankles State Officials: An Advocacy Group Assumed a Level of Secrecy that Doesn't Exist, Court Experts Say*, Portland Press Herald, May 25, 2008, at B1. Copy provided.

Judy Harrison, *Maine Bar Seeks More Help for Poor*, Bangor Daily News, February 1, 2008, at B1. Copy provided.

Judy Harrison, *Bangor Lawyer Named Advocate for Justice*, Bangor Daily News, December 15, 2007, at B2. Copy provided.

Judy Harrison, *Conference Examines Youth Law*, Bangor Daily News, June 4, 2005. Copy of provided.

Jennifer L. Saunders, *Here Comes the Judge*, York Times, November 4, 2003, at 6A. Copy provided.

Judy Harrison, *Cuts Hurt Legal Aid for Abused*, Bangor Daily News, September 30, 2003, at 1. Copy provided.

Peter Paul Jesep, *Small Can Be Better*, 18 Maine Bar Journal 190, Fall 2003. Copy provided.

Karen Dandurant, *A Grade-A Understanding of Government*, Portsmouth Herald, 2003. Copy provided.

Jennifer Saunders, *Supreme Court Justice Visits York School*, 2003. Copy provided.

Michael P. Keating, *Jon Levy Sworn in as Supreme Court Justice*, York Weekly, March 13, 2002. Copy provided.

Gregory Kesich, *Prosecutor Seeks Help with Juvenile Cases*, Portland Press Herald, February 3, 2000, at 1A. Copy provided.

Meredith Goad, *Kittery Leads Way in Prevention by Giving Youths a Place to Go*, Portland Press Herald, October 26, 1997. Copy provided.

Gordon Bonin, *Maine Will Consider Trying More Youths as Adults*, Foster's Daily Democrat, August 9, 1997. Copy provided.

Gordon Bonin, *Too Few Officials, Too Little Money to Deal with Teen Crime*, Foster's Daily Democrat, July 30, 1997. Copy provided.

Gordon Bonin, *Juvenile Crime in Maine Shoots Up Despite Fewer Kids*, Foster's Daily Democrat, July 26, 1997. Copy provided.

Gordon Bonin, *Maine's Chief Justice Proposes Creating Family Court*, Foster's Daily Democrat, December 27, 1996. Copy provided.

Gordon Bonin, *York Man Heads Panel Studying Equality of Parental Rights Issues*, Foster's Daily Democrat, December 16, 1996. Copy provided.

Bill Nemitz, *Family Law Nothing to Laugh About*, Portland Press Herald, December 13, 1996. Copy provided.

Larry Favinger, *Levy Reminisces on 1st Year as Judge*, York Herald Sunday, September 8, 1996. Copy provided.

Jon Newberry, *Nobody's Perfect: For Lawyers Who Think They Must Always Be Invincible, Acknowledging an Error and Taking Corrective Action go Hand in Hand*, ABA Journal, March 1996, at 70. Copy provided.

Steven Keeva, *Good Act to Follow*, 81 ABA Journal 74, March 1995, at 74, 76. Copy provided.

Steven Keeva, *Defeating Dissatisfaction*, 78 ABA Journal 9, September 1992, at 38. Copy provided.

Steven Keeva, *Lawman Plays the Blues: From Clubs to Courtrooms, in Music and in Words*, 78 ABA Journal 92, February 1992. Copy provided.

WVU Law Student Publishing Newsletter, Charleston Sunday Gazette-Mail, October 16, 1977, at 18. Copy supplied.

13. **Judicial Office:** State (chronologically) any judicial offices you have held, including positions as an administrative law judge, whether such position was elected or appointed, and a description of the jurisdiction of each such court.

From July 1995 to March 2000, I served as a Judge of the Maine District Court. I was appointed to the position by former Governor Angus S. King, Jr., and confirmed by the Maine Senate to a seven-year term. The District Court's jurisdiction extends to most civil and criminal matters but does not include jury trials. The court has exclusive jurisdiction over juvenile, family, zoning enforcement, and traffic cases. As a District Court judge, I was authorized by statute to be assigned to the Superior Court and preside over jury trials. In March 2000, I was appointed to serve as the Deputy Chief Judge of the District Court by then-Chief Justice Daniel Wathen. In January 2001, I was appointed to serve as Chief Judge of the District Court by then-Chief Justice Daniel Wathen. I served as the Chief Judge until March 2002 when I was appointed to the Supreme Judicial Court.

From March 2002 to the present, I have served as an Associate Justice of the Maine Supreme Judicial Court. I was appointed to the position by former Governor Angus S. King, Jr., and was reappointed in July 2009 by former Governor John E. Baldacci. Both my appointment and reappointment were confirmed by the Maine Senate. The Maine Supreme Judicial Court is the sole appellate court in the state, and also has administrative responsibility for the operations of the Maine Judicial Branch.

- a. Approximately how many cases have you presided over that have gone to verdict or judgment?

Approximately 500 of the cases over which I have presided have gone to verdict or judgment.

i. Of these, approximately what percent were:

jury trials:	1%
bench trials:	99%
civil proceedings:	65%
criminal proceedings:	35%

b. Provide citations for all opinions you have written, including concurrences and dissents.

Please see attached list.

c. For each of the 10 most significant cases over which you presided, provide: (1) a capsule summary of the nature the case; (2) the outcome of the case; (3) the name and contact information for counsel who had a significant role in the trial of the case; and (3) the citation of the case (if reported) or the docket number and a copy of the opinion or judgment (if not reported).

1. *Bunnell et al. v. Raeside et al.*, No. 94-CV-82 (Me. Dist. Ct. 1996) (copy supplied).

A married couple brought an action for negligence, negligent infliction of emotional distress, trespass, strict liability, and nuisance against the builder of their home and abutting landowners, based on their contention that effluent leaching from the neighboring septic system contaminated their well and caused the wife to develop giardia lamblia cysts. Following a bench trial, I found the abutting landowners liable for nuisance, and I entered a judgment awarding money damages to the plaintiffs to compensate them for the contamination of their well.

The plaintiffs were represented by Peter Clifford, Esq., Hodsdon and Clifford, LLC, 56 Portland Road, Kennebunk, ME 04043, (207) 985-6184.

The defendants were represented by Robert V. Hoy, Esq., Hoy & Main, PA, P.O. Box 1569, Gray, ME 04039, (207) 657-3511.

2. *State of Maine v. Chapman*, Unknown Docket No. (Me. Dist. Ct. Unknown Date), *aff'd*, *State v. Chapman*, 685 A.2d 423 (Me. 1996).

The defendant was charged with operating a motor vehicle while under the influence of intoxicants. She sought dismissal of the complaint on the ground that the complaint violated the ex post facto and due process constitutional protections because it alleged that she had previously been convicted of the same offense, thus subjecting her to the minimum mandatory sentencing guidelines, which were enacted after her first conviction. I determined that there was no constitutional violation and denied the motion to dismiss. Following the denial of the motion to

dismiss, the defendant entered a conditional guilty plea. The appellate court affirmed my denial of the motion to dismiss, and affirmed the conviction. My best recollection is that the defendant pled guilty subsequent to my denial of the motion to dismiss. Because the court computer records do not extend to 1996, I have been unable to confirm the ultimate disposition of the case.

The State was represented by then-District Attorney for York County, Maine, Michael P. Cantara, who is now a judge. The Honorable Michael P. Cantara may be contacted at Maine District Court, 447 Main Street, Springvale, ME 04083, (207) 459-1400.

The defendant was represented by James S. Hewes, Esq., 269 Ocean Street, South Portland, ME 04106, (207) 773-4000.

3. *In re Jhanya B. et al.*, No. 96-PC-9 (Me. Dist. Ct. June 17, 1996) (copy supplied).

The State of Maine initiated a child protection proceeding against the parents of four children. Although this was an intact family and there were no allegations that the parents had abused the children, the children's home-life was marked by disorder and chaos, and the parents were unable to maintain control over the children, provide for their safety, or follow through with the psychological and medical treatment the children needed. Following a bench trial, I found that the children were in circumstances of jeopardy and awarded custody to the Department of Human Services.

The State of Maine was represented by then-Assistant Attorney General Marilyn E. Stavros. The Honorable Marilyn E. Stavros is now an active retired judge, and may be contacted at Maine District Court, 11 Chases Pond Road, York, ME 03909, (207) 363-1230.

The father was represented by Joseph Wroblewski, Jr., Esq., 112 Ledgewood Drive, Falmouth, ME 04105, (207) 284-1976.

The mother was represented by Glenda Lovell, Esq., who is deceased.

The guardians *ad litem* for the children were Christopher W. Dilworth, Esq., 120 Court Street, Farmington, ME 04938, (207) 779-7273; and Sandra Brunk, Esq., 6 Washington Street, Sanford, ME 04073, (207) 490-0837.

4. *State of Maine v. Mercier et al.*, Nos. 97-746, 97-460, 97-809, 97-462, 97-161, 97-1886, 97-2550, 97-1749. (Me. Dist. Ct. Nov. 19, 1997), *aff'd sub nom.*, *State v. Anderson*, 724 A.2d 1231 (Me. 1999) (copy supplied).

Nine criminal defendants were charged with operating under the influence of intoxicants or with an excessive blood-alcohol level, after being subjected to

blood-alcohol testing with the Intoxilyzer, a machine that measures the concentration of alcohol in a subject's blood, but is not equipped to capture and preserve a breath sample for independent analysis. The defendants filed motions to suppress evidence on the ground that the State's failure to preserve a breath sample in connection with the use of the Intoxilyzer machine denied the defendants due process and their right of confrontation. Based on the evidence and expert testimony, and applying the Supreme Court's decision in *California v. Trombetta*, 467 U.S. 479 (1984), I denied the motion to dismiss, concluding that there was no constitutional violation arising from the State's failure to preserve a breath sample for independent testing, and that the results of the Intoxilyzer were admissible evidence. Following my denial of the defendants' motion to dismiss, the defendants entered conditional guilty pleas. The appellate court affirmed my denial of the defendants' motion to dismiss and their convictions.

The State of Maine was represented by then-Assistant Attorney General Joseph Wannemacher, Esq. Attorney Wannemacher is retired.

The defendants were represented by James P. Loring, Esq., 55 Congress Street, Suite B, Portsmouth, NH 03801, (603) 430-9322; and Thomas G. Van Houten, 469 Main Street, Suite 101, Springvale, ME 04083, (207) 324-4057.

5. *Emery v. AMP Transport, Inc. et al.* No. 95-CV-107 (Me. Dist. Ct. Sept. 4, 1997) (copy supplied).

The plaintiff was a truck driver who had entered into a lease-purchase agreement for a truck belonging to the defendants, the company that employed him and its president. The truck driver fell behind on his payments because he was responsible for the truck's repairs, which far exceeded those anticipated by the parties, and because the law prohibited him from driving a truck the number of hours that would have been required to remain current with the aggressive payment schedule for the truck. Following a bench trial, I determined that the plaintiff was entitled to restitution based on the Restatement (Second) of Contracts § 377 (1981) because the contract had become impossible to perform.

The plaintiff was represented by Robert M. Raftice, Jr., Esq., Ainsworth, Thelin & Raftice, P.A., P.O. Box 2410, South Portland, ME 04106, (207) 767-4824.

The defendants were represented by David L. Brandt, Esq., P.O. Box 837, Windham, ME 04062, (207) 892-3236.

6. *State of Maine v. Sacuzzo*, Nos. 96-JV-007, 96-JV703 (Me. Dist. Ct. June 25, 1997) (copy supplied).

The State initiated this high-profile probation revocation proceeding against a juvenile offender based on new allegations of criminal conduct, including a high-speed motor vehicle chase on Christmas Day that ended with the juvenile's car

colliding head-on with a police cruiser. The case turned largely on expert accident reconstruction testimony. After a hearing, I determined that the juvenile had violated his probation by committing four new criminal acts and ordered his commitment.

The State was represented by then-District Attorney for York County, Maine, Michael P. Cantara, who is now a judge. The Honorable Michael P. Cantara may be contacted at Maine District Court, 447 Main Street, Springvale, ME 04083, (207) 459-1400.

The defendant was represented by Brian Winchester, Esq., 116 State Street, Augusta, ME 04330, (207) 623-1915; and James Boulos, Esq., 75 North Street, P.O. Box 856, Saco, ME 04072, (207) 282-1564.

7. *Bouchard v. Marcotte*, Nos. 94-FM-41, 95-CV-249, 96-FM-048 (Me. Dist. Ct. Apr. 17, 1997) (copy supplied).

The parties were before the court because of a high-conflict child custody dispute in which the mother was accused of sexually abusing her eight-year-old daughter after inappropriate contact while bathing the daughter. After a lengthy bench trial, I entered a judgment that determined that the manner in which the mother had bathed her daughter demonstrated little insight for the child's physical and emotional development and the need for appropriate boundaries. However, I also concluded that the mother's digital penetration of the daughter while she was washing her was not for purposes of sexual gratification. I structured a plan for continuing supervision and oversight of the mother as she was reunified with the daughter.

The plaintiff was represented by Jens-Peter W. Bergen, Esq., 79 Portland Road, Kennebunk, ME 04043, (207) 985-6789.

The defendant was represented by Ronald G. Caron, Esq., who is deceased. The guardian *ad litem* for the child was Anne C. Pomroy, Esq., Park One Eleven, 407 Alfred Street, Unit 104, Biddeford, ME 04005, (207) 284-8499.

8. *State of Maine v. Alexandre*, No. CR-96-4435 (Me. Dist. Ct. Apr. 25, 1997) (copy supplied).

A criminal defendant sought the dismissal of a complaint charging him with disorderly conduct. The complaint asserted that the defendant had derided and taunted the victims because they were gay. The defendant challenged the constitutionality of the statute, asserting that because it was overbroad and vague, it violated his rights to due process and free speech. Applying precedent related to the "fighting words" doctrine, I determined that the statute was facially constitutional and denied the motion to dismiss. To the best of my recollection,

the defendant subsequently pled guilty. Unfortunately, the court did not maintain the file for this case, so I cannot confirm the outcome of the case.

The State of Maine was represented by Assistant District Attorney Tanya L. Pierson, Esq., York County District Attorney's Office, 45 Kennebunk Road, Alfred, ME 04002, (207) 324-8001.

The defendant was represented by Gary H. Reiner, who is no longer a member of the Maine bar. His last known contact information was P.O. Box 159, Kittery, ME 03904, (207) 439-6377, but his whereabouts are unknown.

9. *Altimari v. Smith*, No. 96-FM-74 (Me. Dist. Ct. Nov./Dec. 1997) (copy supplied).

This case was a child custody proceeding regarding a 13-year-old daughter who suffered from polyarticular juvenile rheumatoid arthritis, a rare autoimmune disorder. The mother, who was the primary custodial parent, had refused to have the daughter treated with commonly accepted medicines, treating her instead with diet, acupuncture, physical therapy, and aspirin. The father had had no significant involvement or relationship with the daughter. After a trial, I determined that the mother had subjected the child to jeopardy, and set a process in place that would eventually result in the Maine Department of Human Services receiving the authority to make medical decisions for the child.

The plaintiff was represented by Jeffrey S. Zdunczyk, Esq., P.O. Box 771, Kennebunk, ME 04043, (207) 967-5636.

The defendant was represented by Jens-Peter W. Bergen, Esq., 79 Portland Road, Kennebunk, ME 04043, (207) 985-6789.

10. *Nicholson v. Board of Bar Examiners*, No. BAR-07-01 (Me. Dec. 6, 2007) (copy supplied).

The Board of Bar Examiners denied the plaintiff admission to the Maine bar based on its finding that he lacked sufficient moral character because he was convicted of possession of sexually explicit material (child pornography) in 2003 while he was a licensed medical doctor. The plaintiff appealed, seeking a de novo hearing before a single justice of the Supreme Judicial Court. After a bench trial, I entered a written decision and order that denied the applicant admission to the bar.

The plaintiff was represented by Bruce Merrill, Esq., 225 Commercial Street, Suite 501, Portland, ME 04101, (207) 775-3333.

The Board of Bar Overseers was represented by Assistant Bar Counsel Aria, Eee, Esq., 97 Winthrop Street, P. O. Box 527, Augusta, ME 04332, (207) 623-1121.

- d. For each of the 10 most significant opinions you have written, provide: (1) citations for those decisions that were published; (2) a copy of those decisions that were not published; and (3) the names and contact information for the attorneys who played a significant role in the case.

1. *Forest Ecology Network v. Land Use Regulation Comm'n*, 2012 ME 36, 39 A.3d 74 (Mar. 15, 2012)

Appellant Land Use Regulation Commission was represented by Gerald D. Reid, Office of Attorney General, Six State House Station, Augusta, ME 04333, (207) 626-8545.

Appellants Plum Creek Maine Timberlands, LLC, and Plum Creek Land Company were represented by Scott D. Anderson, Esq., Verrill Dana, LLP, One Portland Square, Portland, ME 04112, (207) 774-4000.

Cross-appellants Forest Ecology Network and RESTORE: The North Woods were represented by Philip Worden, Esq., Worden Law Office, P.O. Box 1009, Northeast Harbor, ME 04662, 207-276-3328.

Cross-appellant Natural Resources Council of Maine was represented by Russell B. Pierce, Jr., Esq., Norman Hanson & DeTroy, LLC, 415 Congress Street, P.O. Box 4600, Portland, ME 04112, (207) 774-7000.

2. *HSBC Mortg. Servs., Inc. v. Murphy*, 2011 ME 59, 19 A.3d 815 (May 19, 2011)

Appellants were represented by John D. Clifford IV, Esq., Clifford & Golden, PA, Five Maple Street, P.O. Box 368, Lisbon Falls, ME 04252, (207) 353-9366.

Appellee HSBC Mortgage Services, Inc. was represented by John A. Doonan, Esq., Doonan, Graves & Longoria, LLC, 100 Cummings Center Suite 225D, Beverly, MA 01915, (978) 921-2670.

3. *State v. Nadeau*, 2010 ME 71, 1 A.3d 445 (July 29, 2010)

Appellant Nadeau was represented by Michael A. Cunniff, Esq., McCloskey, Mina & Cunniff, LLC, 12 City Center, Portland, ME 04101, (207) 772-6805.

Appellee State of Maine was represented by Andrew S. Robinson, Assistant District Attorney, District Attorney's Office, 124 Main Street, Suite 105, Farmington, ME 04938, (207) 778-5890.

4. *Lloyd v. Estate of Robbins*, 2010 ME 59, 997 A.2d 733 (July 8, 2010)

Appellant Estate of Robbins was represented by Barry K. Mills, Esq., Hale & Hamlin, LLC, Four State Street, P.O. Box 729, Ellsworth, ME 04938, (207) 667-2561.

Appellees D. and V. Lloyd were represented by William B. Devoe, Esq., Eaton Peabody, Bank of America Building, 80 Exchange Street, P.O. Box 1210, Bangor, ME 04402, (207) 947-0111.

5. *State v. Murphy*, 2010 ME 28, 991 A.2d 35 (Mar. 25, 2010)

Appellant Murphy was represented by David A. Weyrens, Esq., The Hallett Law Firm, 75 Market Street, Suite 502, P.O. Box 7508, Portland, ME 04112, (207) 775-4255.

Appellee State of Maine was represented by Stephanie Anderson, District Attorney, Cumberland County District Attorney's Office, 142 Federal Street, Portland, ME 04101, (207) 871-8384.

6. *State v. Hutchinson*, 2009 ME 44, 969 A.2d 923 (Apr. 30, 2009)

Appellant Hutchinson was represented by Robert C. Andrews, Esq., P.O. Box 17621, Portland, ME 04112, (207) 879-9850.

Appellee State of Maine was represented by Donald W. Macomber, Assistant Attorney General, Office of the Attorney General, Six State House Station, Augusta, ME 04333, (207) 626-8800.

7. *Watt v. UniFirst Corp.*, 2009 ME 47, 969 A.2d 897 (May 5, 2009)

Appellant Watt was represented by Guy D. Loranger, Nichols & Webb, PA, 110 Main Street, Suite 1520, Saco, ME 04072, (207) 283-6400.

Appellee UniFirst Corporation was represented by Adam S. Taylor, Esq., Taylor, McCormack & Frame, LLC, 30 Milk Street, Fifth Floor, Portland, ME 04101, (207) 828-2005.

8. *In re Adoption of M.A.*, 2007 ME 123, 930 A.2d 1088 (Aug. 30, 2007)

Appellants, A.C. and M.K., were represented by:

Mary L. Bonauto, Esq., Park Square Advocates, Inc., 30 Winter Street, Suite 800, Boston, MA 02108, (617) 426-1350.

Judith M. Berry, Esq., 28 State Street, Gorham, ME 04038, 207-839-7004.

Patricia A. Peard, Esq., Bernstein, Shur, Sawyer & Nelson, P.A., P.O. Box 9729, Portland, ME 04104, (207) 774-1200.

The guardian *ad litem* in the case was:

Donna A. Bailey, Esq., Donna A. Bailey, PA, Seven Scrimshaw Lane, Saco, ME 04072, (207) 284-9962.

9. *Alexandre v. State*, 2007 ME 106, 927 A.2d 1155 (Aug. 9, 2007)

Appellant State of Maine was represented by William R. Stokes, Deputy Attorney General, Office of the Attorney General, Six State House Station, Augusta, ME 04333, (207) 626-8571.

Cross-appellant Alexandre was represented by David J. Van Dyke, Esq., Hornblower, Lynch, Rabasco & Van Dyke, P.A., 261 Ash Street, P.O. Box 116, Lewiston, ME 04243, (207) 786-6641.

10. *Blethen Me. Newspapers, Inc. v. State*, 2005 ME 56, 871 A.2d 523 (Apr. 22, 2005)

Appellant Blethen Maine Newspapers was represented by Sigmund D. Schutz, Esq., Preti Flaherty Beliveau & Pachios, LLP, P.O. Box 9546, Portland, ME 04112-9546, (207) 791-3000.

Appellee State of Maine was represented by Leanne Robbin, Assistant Attorney General, Office of the Attorney General, Six State House Station, Augusta, ME 04333-0006, (207) 626-8581.

e. Provide a list of all cases in which certiorari was requested or granted.

State v. Patton, 2012 ME 101, 50 A.3d 544, *cert. denied*, 133 S. Ct. 667 (U.S. Nov. 26, 2012)

State v. Mitchell, 2010 ME 73, 4 A.3d 478, *cert. denied*, 133 S. Ct. 55 (U.S. June 29, 2012)

State v. Murphy, 2010 ME 28, 991 A.2d 35, *cert. denied*, 131 S. Ct. 515 (U.S. Nov. 1, 2010)

State v. Hutchinson, 2009 ME 44, 969 A.2d 923, *cert. denied*, 130 S. Ct. 510 (U.S. Nov. 2, 2009)

Alexandre v. State, 2007 ME 106, 927 A.2d 1155, *cert. denied*, 128 S. Ct. 1676 (U.S. Mar. 17, 2008)

Anderson v. Town of Durham, 2006 ME 39, 895 A.2d 944 (concurring), *cert. denied*, 127 S. Ct. 661 (U.S. Nov. 27, 2006)

State v. Trusiani, 2004 ME 107, 854 A.2d 860 (dissenting), *cert. denied*, 125 S. Ct. 914 (U.S. Jan. 10, 2005)

State v. Alley, 2004 ME 10, 841 A.2d 803, *cert. denied*, 124 S. Ct. 2425 (U.S. June 1, 2004)

In re Dunleavy, 2003 ME 124; 838 A.2d 338 (concurring), *cert. denied*, 124 S.Ct. 1722 (U.S. Mar. 29, 2004)

- f. Provide a brief summary of and citations for all of your opinions where your decisions were reversed by a reviewing court or where your judgment was affirmed with significant criticism of your substantive or procedural rulings. If any of the opinions listed were not officially reported, provide copies of the opinions.

State v. Gray, 2000 ME 145, 755 A.2d 540. The defendant was indicted on counts of robbery, burglary, burglary of a motor vehicle, eluding a police officer, and four counts of theft. He was found guilty following a jury trial over which I presided. Gray appealed, challenging my denial of his motion for a judgment of acquittal. The Maine Supreme Judicial Court affirmed in part and vacated in part, concluding that the motion was properly denied as to the robbery, burglary, eluding a police officer, and two of the four theft counts, but should have been granted as to the count for burglary of a motor vehicle and two of the four counts of theft.

- g. Provide a description of the number and percentage of your decisions in which you issued an unpublished opinion and the manner in which those unpublished opinions are filed and/or stored.

All of my decisions as a trial judge were unpublished. Each decision would have been filed in the case file maintained by the Clerk of Court.

As a justice of the Supreme Judicial Court, I author two types of decisions. First, my signed decisions are published in the Atlantic (second) and Atlantic (third) of West's national case reports. They are also posted on the website of the Maine Judicial Branch. Second, my unsigned opinions are issued by the Court as memoranda of decision. Memoranda of decision are posted on the website of the Maine Judicial Branch, but they are not otherwise subject to publication, nor do they bear my name as the authoring justice. They are treated as a decision of the Court and have no precedential weight. In 2012, the Maine Supreme Judicial Court issued 143 signed opinions and 167 memoranda of decisions. Based on this

sample from a single year, I estimate that approximately 46% of my opinions are published and 54% of my opinions are unpublished.

- h. Provide citations for significant opinions on federal or state constitutional issues, together with the citation to appellate court rulings on such opinions. If any of the opinions listed were not officially reported, provide copies of the opinions.

Fortin v. Roman Catholic Bishop of Portland, 2005 ME 57, 871 A.2d 1208 (2005)

State v. LaPlante, 2011 ME 85, 26 A.3d 337 (2011)

State v. Mitchell, 2010 ME 73, 4 A.3d 478 (2010), *cert. denied*, 133 S. Ct. 55 (2012)

State v. Murphy, 2010 ME 28, 991 A.2d 35 (2010), *cert. denied*, 131 S. Ct. 515 (2010)

State v. Letalien, 2009 ME 130, 985 A.2d 4 (2009)

In re Dunleavy, 2003 ME 124, 838 A.2d 338 (2003), *cert. denied*, 541 U.S. 960 (2004)

- i. Provide citations to all cases in which you sat by designation on a federal court of appeals, including a brief summary of any opinions you authored, whether majority, dissenting, or concurring, and any dissenting opinions you joined.

None.

14. **Recusal:** If you are or have been a judge, identify the basis by which you have assessed the necessity or propriety of recusal (If your court employs an "automatic" recusal system by which you may be recused without your knowledge, please include a general description of that system.) Provide a list of any cases, motions or matters that have come before you in which a litigant or party has requested that you recuse yourself due to an asserted conflict of interest or in which you have recused yourself sua sponte. Identify each such case, and for each provide the following information:

- a. whether your recusal was requested by a motion or other suggestion by a litigant or a party to the proceeding or by any other person or interested party; or if you recused yourself sua sponte;
- b. a brief description of the asserted conflict of interest or other ground for recusal;
- c. the procedure you followed in determining whether or not to recuse yourself;

- d. your reason for recusing or declining to recuse yourself, including any action taken to remove the real, apparent or asserted conflict of interest or to cure any other ground for recusal.

My court employs an automatic recusal system whereby new case filings are screened, and I am automatically recused from cases involving parties or attorneys whom I have previously designated as requiring my recusal. Although I have modified my list of designated recusals from time to time as circumstances require, I have consistently recused myself from cases involving the hospital for which I previously served as a member of its Board of Trustees, and my former law partner. I base all of my recusal decisions on the applicable provisions of the Maine Code of Judicial Conduct. I have no recollection of having ever recused myself in a case based on the motion or request of a party. I have not maintained a list of cases in which a party has requested my recusal or in which I have recused myself sua sponte. It is not uncommon in prison-related appeals for the appellant to request that all or some of the members of the Court recuse themselves.

15. Public Office, Political Activities and Affiliations:

- a. List chronologically any public offices you have held, other than judicial offices, including the terms of service and whether such positions were elected or appointed. If appointed, please include the name of the individual who appointed you. Also, state chronologically any unsuccessful candidacies you have had for elective office or unsuccessful nominations for appointed office.

I have not held public office other than judicial offices.

- b. List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

I was the chair of the York, Maine, Town Democratic Committee from approximately 1985 to 1990. In that role, I worked primarily on voter enrollment and get-out-the-vote efforts. I did not hold a title in any individual political campaign. As the chair of the Town Democratic Committee, I occasionally attended meetings of the York County Democratic Committee, and I attended the state Democratic convention.

16. Legal Career: Answer each part separately.

- a. Describe chronologically your law practice and legal experience after graduation from law school including:

- i. whether you served as clerk to a judge, and if so, the name of the judge, the court and the dates of the period you were a clerk;

From 1979 to 1981, I served as a law clerk to the Honorable John T. Copenhaver, Jr., District Judge of the Southern District of West Virginia.

From 1981 to 1982, I served as a court monitor working under a special master in a statewide prison conditions class action. *See Ruiz v. Estelle*, 503 F. Supp. 1265 (S.D. Tex. 1980). I was appointed to the position by the Hon. William W. Justice, U.S. District Judge.

- ii. whether you practiced alone, and if so, the addresses and dates;

1986 – 1994
Law Offices of Jon D. Levy
Meadowbrook Office Building
Route One
York, Maine 03909

- iii. the dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been affiliated, and the nature of your affiliation with each.

1983 – 1986
Lowther & Levy, P.A.,
Meadowbrook Plaza
647 U.S. Route One
York, Maine 03909
Partner

1986 – 1994
Law Offices of Jon D. Levy
Meadowbrook Plaza
647 U.S. Route One
York, Maine 03909

1994 –1995
Levy & Bartlett
276 York Street
York, Maine 03909
Partner

- iv. whether you served as a mediator or arbitrator in alternative dispute resolution proceedings and, if so, a description of the 10 most significant matters with which you were involved in that capacity.

In the final years of my law practice, I served as a mediator in several family law and commercial disputes. I do not have records that enable me to identify or describe the cases. I also conducted mediation sessions as part of my work as a court monitor in the Texas prison conditions class action. *See Ruiz v. Estelle*, 503 F. Supp. 1265 (S.D. Tex. 1980). Finally, I conducted judicial settlement conferences as a trial judge.

b. Describe:

- i. the general character of your law practice and indicate by date when its character has changed over the years.

From 1983 to 1995, I engaged in a general law practice, with emphasis in the areas of family law, contracts and small business law, civil litigation, real estate, and zoning. From 1983 to 1990 I also had an active criminal defense practice. Beginning in approximately 1988, family law became my dominant, but not exclusive, area of practice. Beginning in approximately 1990, estate planning and probate also became an area of emphasis.

- ii. your typical clients and the areas at each period of your legal career, if any, in which you have specialized.

I represented a broad range of clients, including individuals, indigent defendants, and a wide variety of businesses ranging from real estate development companies to a manufacturer of trolley-type buses. I never limited myself to a single specialty during my law practice.

- c. Describe the percentage of your practice that has been in litigation and whether you appeared in court frequently, occasionally, or not at all. If the frequency of your appearances in court varied, describe such variance, providing dates.

Approximately 40% of my practice was in litigation, and I appeared in court frequently.

- i. Indicate the percentage of your practice in:

- | | |
|-----------------------------|-----|
| 1. federal courts: | 1% |
| 2. state courts of record: | 89% |
| 3. other courts: | 0% |
| 4. administrative agencies: | 10% |

- ii. Indicate the percentage of your practice in:

- | | |
|--------------------------|-----|
| 1. civil proceedings: | 75% |
| 2. criminal proceedings: | 25% |

- d. State the number of cases in courts of record, including cases before administrative law judges, you tried to verdict, judgment or final decision (rather than settled), indicating whether you were sole counsel, chief counsel, or associate counsel.

I tried approximately 40 to 50 cases to verdict, judgment or final decision.

- i. What percentage of these trials were:
- | | |
|--------------|-----|
| 1. jury: | 15% |
| 2. non-jury: | 85% |

- e. Describe your practice, if any, before the Supreme Court of the United States. Supply four (4) copies of any briefs, amicus or otherwise, and, if applicable, any oral argument transcripts before the Supreme Court in connection with your practice.

I did not practice before the Supreme Court of the United States.

17. **Litigation**: Describe the ten (10) most significant litigated matters which you personally handled, whether or not you were the attorney of record. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the case. Also state as to each case:

- a. the date of representation;
- b. the name of the court and the name of the judge or judges before whom the case was litigated; and
- c. the individual name, addresses, and telephone numbers of co-counsel and of principal counsel for each of the other parties.

I have not litigated since becoming a judge in July 1995. I have relied on my memory to identify cases that I tried because my law practice files have been destroyed. I was able to obtain docket sheets for six of the eight cases that are summarized below, but the court records for those cases have been archived and were not available to me as I prepared my answers.

1. *Rocheleau et al. v. Gerrity, II et al.*, No. CV-91-222 (York Cnty. Super. Court), Justice Roland A. Cole.

This was an action for breach of contract arising out of the sale of a permitted and planned golf course and residential development in York, Maine. From 1991 to 1994, I was the sole attorney of record for the plaintiffs—two builders, Rocheleau and Jacobsen. I eventually withdrew from representation when it became apparent that I would be

required to testify regarding the closing that had occurred as part of the transaction. The representation was then taken over by attorneys Jeffrey Rosenblatt, Esq., and Jack Simmons, Esq. The case was fully tried and taken under advisement by the presiding justice. The case was settled by agreement more than a year after the court took the case under advisement. The financial settlement largely achieved what my clients had sought in bringing the case.

The plaintiff was represented by Jeffrey Rosenblatt, Esq., 112 Wardwell Road, Albany Township, ME 04217, (207) 824-2566; and Jack Simmons, Esq., Berman & Simmons, P.O. Box 961, Lewiston, ME 04240, (207) 784-3576.

The defendants were represented by Jeffrey Bennett, Esq., 12 Mitchellwood Drive, Falmouth, ME 04105, (207) 781-2424; and Thomas F. Monaghan and John J. Wall, III, Esq., Monaghan Leahy, LLP, 95 Exchange Street, Portland, ME 04112, (207) 774-3906. Defendants Frederick H. Baldwin, Jr., and The Harbor Corporation were also represented by Jeffrey J. Clark, Esq., Clark & Howell, P.O. Box 545, York, ME 03909, (207) 363-5208.

2. *State of Maine v. Hamm*, No. CR-84-578 (York Cnty. Super. Ct.), Justice William S. Brodrick.

The defendant was charged with the felony offense of Unlawful Sexual Contact. The alleged victim was the defendant's grandson, who was three-and-a-half at the time of the alleged crime. I was sole trial counsel for the defendant, and the dates of representation were approximately March 1985 to January 1986. The first jury trial ended in a mistrial. The second jury trial ended with a jury verdict of not guilty.

The State of Maine was represented at trial by then-Assistant District Attorney Janmarie Toker, Esq. Attorney Toker may now be contacted at McTeague, Higbee, Case, Cohen, Whitney & Toker, P.A., Four Union Park, Topsham, ME 04086, (207) 725-5581.

3. *State of Maine v. Billadeau*, No. CR-89-1138 (York Cnty. Super. Ct.), Justice Thomas E. Delahanty, II, who is currently the United States Attorney for the District of Maine.

I was sole trial counsel for the defendant, and the dates of the representation were approximately September 1989 to October 1990. The defendant was a real estate broker charged with felony offenses of forgery and theft associated with his treatment of deposits made in connection with three purchase and sale agreements. The State dismissed the forgery count prior to verdict. The jury found the defendant guilty as to the three theft counts. The convictions were affirmed after an appeal in which I was not involved.

The State of Maine was represented at trial by then-Deputy District Attorney Anne Jordan. Attorney Jordan may now be contacted at Administrative Office of the Courts, 171 Statehouse Station, Augusta, ME 04333, (207) 287-5543.

4. *Golden v. Molly Corporation*, No. CV-87-334 (York Cnty. Super. Ct.), Justice Paul A. Fritzsche.

I was the sole trial counsel for the defendant, a national manufacturer of on-the-road trolley/buses. The dates of the representation were approximately June 1987 to May 1990. The plaintiff was a former salesman of the company, alleging breach of contract. The case was tried before a jury, which returned a verdict for the defendant.

The plaintiff was represented by Terrence D. Garmey, Esq., Terry Garmey & Associates, 482 Congress Street, Suite 402, Portland, ME 04101, (207) 899-4644.

5. *Bower et al. v. Bernstein et al.*, No. CV-91-817 (York Cnty. Super. Ct.), Justice Roland A. Cole.

The dates of the representation were approximately November 1991 to February 1994. The plaintiffs and defendants owned abutting residential parcels. The plaintiffs brought a trespass action against the defendants, and the defendants counterclaimed for trespass. I do not have a copy of the complaint available to me, but I believe the defendants may have also counterclaimed for intentional infliction of emotional distress based on harassing actions the plaintiffs had taken against the defendants based on the defendants' sexual orientation. The case was tried before a judge and, after extensive judicial settlement efforts, was ultimately resolved by entry of a stipulated judgment. I was sole trial counsel for the defendants.

Plaintiff's trial counsel was Christopher A. Johnson, Esq., who has retired.

6. *Donn-Griffin v. Donn*, No. 90-DV-83 (Me. Dist. Ct., York Cnty. Super. Ct.), Judge Robert E. Crowley (Dist. Ct.), Justice G. Arthur Brennan (Super. Ct.).

I represented the plaintiff in her effort to obtain an increase in child support, from approximately January 1990 to October 1992. The parties had divorced in Vermont, the plaintiff and children were residing in Maine, and the father was residing in Massachusetts. The trial court denied the defendant's motions seeking the dismissal for lack of jurisdiction, and it awarded the increase in child support sought by my client. I represented the defendant in her appeal to the Maine Superior Court, which affirmed the judgment, and then to the Maine Supreme Judicial Court, which also affirmed the judgment, concluding that the Maine trial court had the authority pursuant to the Uniform Reciprocal Enforcement of Support Act to adopt and then modify the Vermont judgment. The decision is reported at *Donn-Griffin v. Donn*, 615 A.2d 253 (Me. 1992).

The defendant was represented by attorney Dana E. Prescott, Esq., Prescott, Jamieson, Nelson & Murphy, P.O. Box 1190, Saco, ME 04072, (207) 282-5966.

7. *Cardinali v. Cardinali*, No. 93-DV-18 (Me. Dist. Ct.), Judge Edward F. Gaulin.

I represented the plaintiff in this highly contested divorce action. The dates of the representation were approximately January 1993 to August 1994. The case was tried, and the judge awarded the parents shared parental rights and responsibilities, as my client sought.

Plaintiff's trial counsel was Christopher A. Johnson, Esq., who has retired.

8. *Caramihalis v. Caramihalis*, No. 93-DV-84 (Me. Dist. Ct.), Judge Thomas E. Humphrey, currently the Chief Justice of the Maine Superior Court.

I represented the defendant in this highly contested divorce action. The dates of the representation were approximately May 1994 to June 1995. The case was tried, and the court awarded shared parental rights and responsibilities, as my client sought.

Principal counsel for the plaintiff were Ronald D. Bourque, Esq., and Jean L. Walsh, Esq., Bourque & Clegg LLC, 949 Main Street, Sanford, ME 04073, (207) 324-4422.

18. **Legal Activities:** Describe the most significant legal activities you have pursued, including significant litigation which did not progress to trial or legal matters that did not involve litigation. Describe fully the nature of your participation in these activities. List any client(s) or organization(s) for whom you performed lobbying activities and describe the lobbying activities you performed on behalf of such client(s) or organizations(s). (Note: As to any facts requested in this question, please omit any information protected by the attorney-client privilege.)

In recent years, my most significant legal activities not involving litigation have centered on advancing access to civil justice ("ATJ") in Maine. I became the Chair of the Maine Justice Action Group, Maine's Access to Justice Commission, in January 2008. In that role, I have led a variety of initiatives to promote the availability of legal aid and pro bono representation for Maine's elderly and low-income people, and low cost representation for those who do not qualify for free or pro bono services and would otherwise not have access to traditional legal assistance. I also participate in ATJ efforts on a national level and, in August 2013, I was formally appointed to serve as a judicial member of the American Bar Association's Standing Committee on Legal Aid and Indigent Defendants.

19. **Teaching:** What courses have you taught? For each course, state the title, the institution at which you taught the course, the years in which you taught the course, and describe briefly the subject matter of the course and the major topics taught. If you have a syllabus of each course, provide four (4) copies to the committee.

In 1986 I taught a course on civil procedure for the American Institute for Paralegal Studies at Westbrook College in Portland, Maine. I do not have a copy of the syllabus for the course.

20. **Deferred Income/ Future Benefits:** List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients or customers. Describe the arrangements you have made to be compensated in the future for any financial or business interest.

I have a vested deferred compensation savings account with Mass Mutual that is sponsored by the State of Maine for Judicial Branch employees. I also have a vested pension as a result of my employment as a judge that will become available to me, at the earliest, when I turn sixty-two.

I receive royalty payments from Lexis Law Publishing for sales of my book Maine Family Law. I expect to receive a payment in 2014 for sales of the 8th edition of the book issued in 2013.

21. **Outside Commitments During Court Service:** Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service with the court? If so, explain.

If confirmed, I would like to continue to serve on the American Bar Association's Standing Committee on Legal Aid and Indigent Defendants. I also hope to complete my chairmanship of the Maine Justice Action Group to the extent consistent with my judicial duties.

22. **Sources of Income:** List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, licensing fees, honoraria, and other items exceeding \$500 or more (if you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here).

See attached Financial Disclosure Report.

23. **Statement of Net Worth:** Please complete the attached financial net worth statement in detail (add schedules as called for).

See attached Net Worth Statement.

24. **Potential Conflicts of Interest:**

- a. Identify the family members or other persons, parties, categories of litigation, and financial arrangements that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to arise.

I plan to recuse myself from any cases involving my former law partner James B. Bartlett, Esq., and from any cases involving York Hospital.

- b. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.

I will diligently adhere to the requirements of 28 U.S.C. §§ 144 and 455 (2006), Canon 3 of the Code of Conduct for United State Judges, and any and all other laws, rules, and practices governing such circumstances.

25. **Pro Bono Work:** An ethical consideration under Canon 2 of the American Bar Association's Code of Professional Responsibility calls for "every lawyer, regardless of professional prominence or professional workload, to find some time to participate in serving the disadvantaged." Describe what you have done to fulfill these responsibilities, listing specific instances and the amount of time devoted to each.

As an attorney, I regularly represented persons without charge or at reduced fees, and, for two years, served on the Board of Directors of Pine Tree Legal Assistance, Maine's primary legal aid organization. As a judge, I have not been permitted to engage in pro bono representation. I have, however, made promoting pro bono involvement a major focus of my judgeship through my leadership of the Maine Justice Action Group. Recently, and at my initiative, the Maine Supreme Judicial Court launched the "Katahdin Counsel Recognition Program" to recognize lawyers who perform 50 or more hours of qualifying pro bono service each year. I also engage in access to justice improvements on a national level through my participation as a member of the American Bar Association's Standing Committee on Legal Aid and Indigent Defendants.

26. **Selection Process:**

- a. Please describe your experience in the entire judicial selection process, from beginning to end (including the circumstances which led to your nomination and the interviews in which you participated). Is there a selection commission in your jurisdiction to recommend candidates for nomination to the federal courts? If so, please include that process in your description, as well as whether the commission recommended your nomination. List the dates of all interviews or communications you had with the White House staff or the Justice Department regarding this nomination. Do not include any contacts with Federal Bureau of Investigation personnel concerning your nomination.

I learned that Senator Angus King, Congressman Mike Michaud, and Congresswoman Chellie Pingree had formed a judicial screening panel through an email I received from Congressman Michaud's office. I submitted a letter dated February 19, 2013, expressing my interest in being considered for the position and enclosing my resume. On March 15, 2013, I met with the screening panel, consisting of ten or eleven attorneys, in Portland, Maine. Soon thereafter, I received a phone call from Senator King's office informing me that I was one of

three persons recommended by Senator King, Congressman Michaud, and Congresswoman Pingree to the White House for consideration. Since May 2013, I have been in contact with officials from the White House Counsel's Office and officials from the Office of Legal Policy in the Department of Justice. On July 15, 2013, I interviewed with attorneys from the White House Counsel's Office and the Department of Justice in Washington, D.C. On September 19, 2013, the President submitted my nomination to the Senate.

- b. Has anyone involved in the process of selecting you as a judicial nominee discussed with you any currently pending or specific case, legal issue or question in a manner that could reasonably be interpreted as seeking any express or implied assurances concerning your position on such case, issue, or question? If so, explain fully.

No.

**FINANCIAL DISCLOSURE REPORT
NOMINATION FILING**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Levy, Jon D.	2. Court or Organization U.S. District Court, Maine	3. Date of Report 09/22/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge active	5a. Report Type (check appropriate type) ☒ Nomination Date 09/19/2013 ☐ Initial ☐ Annual ☐ Final	6. Reporting Period 01/01/2012 to 08/31/2013
	5b. ☐ Amended Report	
7. Chambers or Office Address Maine Supreme Judicial Court 205 Newbury St. Portland, Maine 04101-4125		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Associate Justice	Maine Supreme Judicial Court
2. Treasurer	William Vaughan House Condominium Association
3. Co-trustee	Trust #1
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Levy, Jon D.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Maine Supreme Judicial Court	\$119,476.00
2. 2011	Matthew Bender & Co., book royalties	\$613.46
3. 2012	Maine Supreme Judicial Court	\$119,476.00
4. 2012	Matthew Bender & Co., book royalties	\$6,011.69
5. 2013	Maine Supreme Judicial Court	\$83,538.79
6. 2013	Matthew Bender & Co., book royalties	\$641.66
7.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	self-employed psychologist, self-employment income
2. 2013	self-employed psychologist, self-employment income
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. exempt				
2.				
3.				
4.				

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Name of Person Reporting

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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Name of Person Reporting	Date of Report
Levy, Jon D.	09/22/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. American Funds Capital Income Builder Fund	D	Dividend	N	T					
2. American Funds Capital World Bond Fund	A	Dividend	L	T					
3. American Funds Capital World Growth & Income Fund	A	Dividend	K	T					
4. American Funds EuroPacific Growth Fund	B	Dividend	L	T					
5. American Funds Global Balanced Fund		None	J	T					
6. American Funds Growth Fund of America		None	L	T					
7. American Funds International Growth & Income Fund	B	Dividend	L	T					
8. American Funds New World Fund	B	Dividend	L	T					
9. American Funds Short Term Bond Fund	A	Interest	L	T					
10. United States Treasury Inflation Index Notes	A	Interest							
11. Bed Bath & Beyond Stock		None	M	T					
12. Norway Savings Bank Checking Account		None	J	T					
13. Fidelity Equity Income Fund	A	Dividend	J	T					
14. Franklin Small-Mid Cap Growth Fund	A	Dividend	J	T					
15. Hartford Capital Appreciation Fund	A	Dividend	K	T					
16. Hartford Total Return Bond HLS Fund	A	Dividend	J	T					
17. Managers AMG Skyline Special Equities Portfolio	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting	Date of Report
Levy, Jon D.	09/22/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Mutual Global Discovery Fund	A	Dividend	K	T					
19. Oppenheimer International Bond Fund		None	J	T					
20. PIMCO All Asset All Authority Fund		None	J	T					
21. PIMCO All Asset Fund	A	Dividend	J	T					
22. PIMCO Low Duration Fund	A	Dividend	K	T					
23. PIMCO Total Return Fund	B	Int./Div.	M	T					
24. PIMCO Global Multi-Asset Fund	B	Dividend							
25. Templeton Global Bond Fund	B	Interest	L	T					
26. Thornberg Investment Trust Limited Term Municipal Fund	B	Dividend	L	T					
27. Wells Fargo Cash Account	A	Interest	N	T					
28. US Government Series E Bonds		None	J	W					
29. US Government Series EE Bonds		None	J	W					
30. Wells Fargo Absolute Return Fund		None	J	T					
31. Pruco Life Insurance Co. of New Jersey Annuity	C	Distribution	K	T					
32. Bank of America Checking Accounts		None	J	T					
33. TD Bank Checking Account		None	J	T					
34. Citibank Cash Account	A	Interest							

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
(See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000
2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
(See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
3. Value Method Codes P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000
(See Column C2) Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
U=Book Value V=Other W=Estimated

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Name of Person Reporting Levy, Jon D.	Date of Report 09/22/2013
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Trust #1 - Wells Fargo Cash Account	A	Interest							
36. American Funds Short Term Tax Exempt Bond Fund	A	Interest	K	T					
37.									
38.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

Levy, Jon D.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Regarding my answers to Part I, § 2, and Part VII, § 12, I am the treasurer of the Wiliam Vaughan House Condominium Association. The Norway Savings Bank checking account is the condominium's operating account from which I pay the condominium's expenses.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Jon D. Levy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		3	497	Notes payable to banks-secured (auto)		25	207
U.S. Government securities – see schedule		1	125	Notes payable to banks-unsecured			
Listed securities – see schedule	1	628	858	Notes payable to relatives			
Unlisted securities – see schedule		31	399	Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable			
Real estate owned – personal residence		450	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		75	000				
Cash value-life insurance							
Other assets itemize:							
				Total liabilities		25	207
				Net Worth	2	164	672
Total Assets	2	189	879	Total liabilities and net worth	2	189	879
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							

FINANCIAL STATEMENT

NET WORTH SCHEDULES

U.S. Government Securities

Series E Bonds	\$ 475
Series EE Bonds	650
Total U.S. Government Securities	\$ 1,125

Listed Securities

American Funds Capital Income Builder Fund	\$ 364,426
American Funds Capital World Bond Fund	52,008
American Funds Capital World Growth & Income Fund	48,090
American Funds EuroPacific Growth Fund	86,838
American Funds Global Balanced Fund	7,947
American Funds Growth Fund of America	55,087
American Funds International Growth & Income Fund	62,441
American Funds New World Fund	76,129
American Funds Short-Term Bond Fund	54,988
American Funds Short-Term Tax Exempt Bond Fund	25,304
Artisan Mid Cap Value Fund	1
Bed Bath & Beyond Inc. stock	117,984
Fidelity Cash Account	122
Fidelity Equity-Income Fund	14,165
Franklin Small-Mid Cap Growth Fund	10,387
Hartford Capital Appreciation HLS Fund	46,630
Hartford Total Return Bond HLS Fund	1,363
Lord Abbott Mid Cap Stock Fund	691
Managers AMG Skyline Special Equities Portfolio	22,471
Mutual Global Discovery Fund	22,189
Oppenheimer International Bond Fund	21,852
PIMCO All Asset All Authority Fund	7,719
PIMCO All Asset Fund	7,734
PIMCO Low Duration Fund	33,296
PIMCO Total Return Fund	118,124
Templeton Global Bond Fund	69,791
Thornburg Investment Trust Limited Term Municipal Fund	50,847
Wells Fargo Absolute Return Fund	14,698
Wells Fargo Cash Account	235,536
Total Listed Securities	\$ 1,628,858

Unlisted Securities

Bank Leumi Bond	\$ 200
Pruco Life Insurance Co. of New Jersey Annuity	31,199
Total Unlisted Securities	\$ 31,399

AFFIDAVIT

I, Jon D. Levy, do
swear that the information provided in this statement is, to the
best of my knowledge, true and accurate.

9/20/13
(DATE)

[Signature]
(NAME)

Christie-Lynn Clifford
(NOTARY)

**Christie-Lynn Clifford
Notary Public of Maine
My Commission Expires
December 14, 2015**