

1

**UNITED STATES SENATE
COMMITTEE ON THE JUDICIARY**

QUESTIONNAIRE FOR NON-JUDICIAL NOMINEES

PUBLIC

1. Name: State full name (include any former names used).

Kevin Wayne Techau

2. Position: State the position for which you have been nominated.

United States Attorney, Northern District of Iowa

3. Address: List current office address. If city and state of residence differs from your place of employment, please list the city and state where you currently reside.

Office: American Equity Investment Life Insurance Company
West Des Moines, Iowa 50266

Residence: Des Moines, Iowa

4. Birthplace: State date and place of birth.

1958, Iowa City, Iowa

5. Education: List in reverse chronological order each college, law school, or any other institution of higher education attended and indicate for each the dates of attendance, whether a degree was received, and the date each degree was received.

University of Iowa, College of Law, 1982-84, J.D. May 1984

Creighton University School of Law, 1981-82, no degree received

University of Iowa, College of Business, 1978-81, Financial Economics, B.B.A.
May 1981

Simpson College, Fall Semester 1977, no degree received

6. Employment Record: List in reverse chronological order all governmental agencies, business or professional corporations, companies, firms, or other enterprises, partnerships, institutions or organizations, non-profit or otherwise, with which you have been affiliated as an officer, director, partner, proprietor, or employee since graduation from college, whether or not you received payment for your services. Include the name

and address of the employer and job title or description.

American Equity Investment Life Ins Co, 6000 Westown Pkwy, West Des Moines, Iowa. Associate General Counsel. (2007-present).

State of Iowa, Commissioner of Public Safety, State Capitol complex, Des Moines, Iowa (2002- 2007).

State of Iowa, Director of Inspections & Appeals, and Commissioner, State Capitol Complex, (1999-2002).

Iowa Federal Public Defender Office, Iowa Assistant Federal Public Defender, 340 Capital Square, Des Moines. (1996-1999).

Grefe & Sidney Law Firm, 500 East Court Avenue, Ste. 200, Des Moines, IA 50309. Associate attorney. (1992-1996).

Iowa Air National Guard, Judge Advocate. (March 1993-December 2011). (Several duty assignments). Please see response to Military Service and Draft Status.)

United States Air Force, Judge Advocate. (July 1985- October 1992)(Several duty assignments. Please see response to Military Service and Draft Status)

City of Janesville, City Attorney Office, Janesville, Wisconsin. Municipal Building, 18 N. Jackson Street, First floor, Janesville Wisconsin. Staff Attorney. (March 1985-July 1985).

Washington County Attorney Office, Washington Iowa. Washington County Courthouse, Basement Floor, PO Box 841, 222 West Main Street, Washington. Iowa Intern Law Student Attorney. (Summer of 1983 and school year 1983-84).

Chicago and North Western Transportation Company, Iowa Division, Boone, Iowa. Trackman Laborer. (Summer of 1981). (Assigned to work in Worthington, Minnesota.)

7. Military Service and Draft Status: Identify any service in the U.S. Military, including dates of service, branch of service, rank or rate, serial number (if different from social security number) and type of discharge received, and whether you have registered for selective service.

I have registered for Selective Service.

United States Air Force, Judge Advocate (1985-1992). Assignments:

(June 1991-September 1992) Circuit Defense Counsel (Captain), Bolling Air Force Base, District of Columbia;

(October 1988 - June 1991), Circuit Defense Counsel (Captain) USAF Trial Judiciary, Rhein-Main AB, Germany;

(July 1987-September 1988), Area Defense Counsel (Captain), RAF Alconbury, England;

(February 1987-May 1987) Squadron Officer School, Air University, Student (Captain), Maxwell Air Force Base, AL;

(1985-1987) Assistant Staff Judge Advocate (First Lt/Captain), RAF Alconbury, England.

Honorable Discharge: 30 September 1992. Rank: Captain.

Iowa Air National Guard, Judge Advocate (1993-2011). Assignments:

(January 2005– December 2011) Joint Forces Headquarters, Staff Judge Advocate (Lt Colonel/Colonel), Camp Dodge, IA;

(January 1999-December 2004) Deputy Staff Judge Advocate (Lt Colonel), 132 Fighter Wing, Des Moines, Iowa;

(March 1993-January 1999) Deputy Staff Judge Advocate (Captain/Major) 185th Fighter Wing, Sioux City, IA.

Honorable Discharge: 31 December 2011. Rank: Colonel.

Current status: USAF Retired Reserve.

8. Honors and Awards: List any scholarships, fellowships, honorary degrees, academic or professional honors, honorary society memberships, military awards, and any other special recognition for outstanding service or achievement.

Military Awards and Decorations:

The Legion of Merit, United States Air Force-Air National Guard.

United States Air Force Meritorious Service Medal with three devices
United States Air Force Commendation Medal

United States Air Force Achievement Medal

United States Air Force Outstanding Unit Award

National Defense Service Medal with one device

Air Force Overseas Ribbon Long with one device

Air Force Longevity Service Award Ribbon with three devices

Armed Forces Reserve Medal with one device

Air Force Training Ribbon

Awards

Safe Kids Iowa Leadership Award, Blank Children's Hospital, 2007

Government Leadership Award, Greater Des Moines Partnership, 2006

9. Bar Associations: List all bar associations or legal or judicial-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices which you have held in such groups.

Iowa State Bar Association

Wisconsin Bar Association

Blackstone Inn of Court

American Bar Association

Federal Bar Association

Polk County Bar Association

10. Bar and Court Admission:

- a. List the date(s) you were admitted to the bar of any state and any lapses in membership. Please explain the reason for any lapse in membership.

State of Wisconsin, September 1984. (No membership lapses, inactive status since 1991).

State of Iowa, January 1985. (No membership lapses).

- b. List all courts in which you have been admitted to practice, including dates of admission and any lapses in membership. Please explain the reason for any lapse in membership. Give the same information for administrative bodies that require

special admission to practice.

Wisconsin Supreme Court, State of Wisconsin, September 1984. (No membership lapses, inactive status since 1991).

Iowa Supreme Court, State of Iowa, January 1985. (No membership lapses).

U.S. Court of Appeals, Eighth Circuit, February 1993. (No membership lapses).

U.S. District Court, Northern District of Iowa, March 1993 (No membership lapses).

U.S. District Court Southern District of Iowa, February 1993. (No membership lapses).

United States Court of Appeals for the Armed Forces, September 1985. (No membership lapses).

11. Memberships:

- a. List all professional, business, fraternal, scholarly, civic, charitable, or other organizations, other than those listed in response to Questions 9 or 10 to which you belong, or to which you have belonged, since graduation from law school. Provide dates of membership or participation, and indicate any office you held. Include clubs, working groups, advisory or editorial boards, panels, committees, conferences, or publications.

Membership with board service:

American Life Insurance Association, Annuity Committee member, 2008-2012.

Association of California Life and Health Insurance Companies (ACLHIC), Board (listed but not active), 2012.

Civic Music Association, Board 2007-08.

Des Moines Public Library, Past-President, Board-current, 1998-present.

Des Moines Area Religious Council, Board, 2011-present.

Grace United Methodist Church, Des Moines, Iowa, Education Committee, 2003-2011.

Iowa National Guard Officers Association, Past President and officer, 1993-present.

Iowa Homeland Security Executive Group, Chair, 2003-2007.

Iowa National Guard Credit Union, Board, 1994-98.

Iowa Peace Officer Retirement Board, Chairman, 2002-2007.

Keep Iowa Beautiful, Chair, Board, 2005-present.

Monument to Iowa Workers, Public Art Advisory Panel, Committee member (The Iowa Arts Council facilitated) 2007-08.

Preservation Iowa, Board, 1996.

Waterbury Neighborhood Association, Board 1998-2000.

University of Iowa, College of Public Health, Upper Midwest Center for Public Health.
Preparedness Strategic Planning Council, Member, 2003-2006.

I have made financial contributions to charitable organizations over the years. I have not included in the list above any organizations to which I gave funds but did not otherwise participate in programmatic activities although the organization may label me as a member by virtue of my contribution.

- b. Indicate whether any of these organizations listed in response to 11a above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies. If so, describe any action you have taken to change these policies and practices.

None to my knowledge.

12. Published Writings and Public Statements:

- a. List the titles, publishers, and dates of books, articles, reports, and letters to the editor, editorial pieces, or other published material you have written or edited, including material published only on the Internet. Supply four (4) copies of all published material to the Committee.

None.

- b. Supply four (4) copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of on behalf of any bar association, committee, conference, or organization of which you were or are a member. If you do not have a copy of a report, memorandum or policy statement, give the name and address of the organization that issued it, the date of the document, and a summary of its subject matter.

None.

- c. Supply four (4) copies of any testimony, official statements or other communications relating, in whole or in part, to matters of public policy or legal interpretation, that you have issued or provided or that others presented on your behalf to public bodies or public officials.

I have done my best to identify all official statements or other communications relating to public policy or legal interpretation, including through a review of my personal files and searches of publicly available electronic databases. Despite my searches, there may be other materials I have been unable to identify, find or remember. I have located the following reports:

1. Iowa Traffic Safety Policy Summit, Iowa Department of Public Safety, Office of the Commissioner. September 21, 2005. (copy supplied).
2. Iowa Keep Young Drivers Safe Initiative Recommendations, (traffic safety initiative to improve the safety of teen drivers), Iowa Department of Public Safety, Office of the Commissioner, December 2005. (copy supplied).
3. Iowa's Highways and Racial Profiling: Community Conversations, Submitted by Iowa Department of Public Safety, Kevin W. Techau, Commissioner, August 2004. (copy supplied).
4. Iowa Comprehensive Highway Safety Plan, Iowa Comprehensive Highway Safety Plan Leadership Team, Iowa Department of Public Safety, Office of the Commissioner. September 2006. (copy supplied).

Testimony given at Iowa Legislative Committees.

To my knowledge none of the committee meetings listed have been transcribed.

January 2000. Joint Administration and Regulation Committee. Topic: Budget presentation of Iowa Department of Inspections and Appeals.

January 2001. Joint Administration and Regulation Committee. Topic: Budget presentation of Iowa Department of Inspections and Appeals

January 2002. Joint Administration and Regulation Committee. Topic: Budget presentation of Iowa Department of Inspections and Appeals.

February 8, 2003. Justice Appropriations Committee. Topic: Budget presentation of Iowa Department of Public Safety.

February 2004. Justice Appropriations Committee. Topic: Budget presentation of Iowa Department of Public Safety.

February 1, 2005. Legislative Oversight Committee. Topic: Iowa Department of Public Safety open record practices and procedures.

February 15, 2005. Justice Appropriations Committee. Topic: Budget presentation of Iowa Department of Public Safety.

January 18, 2006. Justice Appropriations Committee. Topic: Budget presentation of Iowa Department of Public Safety.

January 26, 2006. Transportation Committee. Topic: Iowa Department of Public Safety "Keep Young Driver's Safe" presentation.

February 7, 2006. Justice Appropriations Committee. Topic: Budget presentation of Iowa Department of Public Safety.

- d. Supply four (4) copies, transcripts or recordings of all speeches or talks delivered by you, including commencement speeches, remarks, lectures, panel discussions, conferences, political speeches, and question-and-answer sessions. Include the date and place where they were delivered, and readily available press reports about the speech or talk. If you do not have a copy of the speech or a transcript or recording of your remarks, give the name and address of the group before whom the speech was given, the date of the speech, and a summary of its subject matter. If you did not speak from a prepared text, furnish a copy of any outline or notes from which you spoke.

I have done my best to identify all items called for in this question, including a thorough review of my personal files and searches of publically available electronic data bases. Despite my searches there may be other materials I have been unable to find, identify, or remember. I do not have any notes or texts of the remarks I made at the events listed below. To my knowledge none of the remarks made at these events were transcribed.

June 16, 1999- Speech at Iowa State Bar Association Annual Meeting as Director of the Iowa Department of Inspections and Appeals. *"Iowa Administrative Procedure Act Reforms: Adjudicative Reforms for Administrative Law Judges."* Des Moines, Iowa.

February, 2000 - Made remarks as the President of the Des Moines Public Library Board of Trustees to the Des Moines City Council regarding the report "*The Measure of a City: Its Public Library.*" Des Moines, Iowa.

November 2000 - Made remarks as Director of the Iowa Department of Inspections and Appeals to the Oelwein Iowa Rotary Club describing services provided by the department. Oelwein, Iowa.

May 28, 2001- Made remarks as a member of the Iowa Air National Guard at the Boone Memorial Day ceremony. Boone, Iowa.

January 21, 2001 - Made remarks as the President of the Des Moines Public Library Board of Trustees to the Des Moines City Council requesting implementation of the "*Ten Year Des Moines Public Library Facility Plan.*" Des Moines, Iowa.

March 2002-2006 - Made remarks annually as the Commissioner of the Iowa Department of Public Safety at the Iowa Peace Officer Memorial. Iowa Capitol grounds. Des Moines, Iowa.

May 2002-2006 – Made remarks annually as the Commissioner of the Iowa Department of Public Safety at the Governor's Highway Traffic Safety Conference. Des Moines, Iowa.

May 2002 - Made remarks as the Commissioner of the Iowa Department of Public Safety at the Cedar Rapids Peace Officer Memorial. Cedar Rapids, Iowa.

March 13, 2003- Made remarks as the Commissioner of the Iowa Department of Public Safety along with the Iowa Amber Alert Committee regarding the activation of the Iowa Amber Alert program. Iowa Capitol grounds. Des Moines, Iowa.

May 2003 - Made remarks as the Commissioner of the Iowa Department of Public Safety at the Hudson Iowa Peace Officer Memorial ceremony. Hudson, Iowa.

Oct 5-11, 2003- Made remarks as the Commissioner of the Iowa Department of Public Safety regarding Iowa Fire Prevention week. Oelwein, Independence, Iowa Falls and Altoona, Iowa.

November 2003 - Speech to the Oelwein Rotary Club. Made remarks as Commissioner of the Iowa Department of Public Safety describing services provided by the department. Oelwein, Iowa.

November 12, 2003- Made remarks as the Commissioner of the Iowa Department of Public Safety regarding Iowa seat belt rate. Iowa Capitol grounds. Des Moines, Iowa.

May 31, 2004 - Made remarks as a member of the Iowa Air National Guard at the Oelwein Memorial Day ceremony. Woodlawn Cemetery, Oelwein Iowa.

September 25, 2004 - Made remarks as a guest speaker as the Commissioner of the Iowa Department of Public Safety at the UPS Iowa District "Circle of Honor" Banquet. This event honors safe driving achievements by UPS employees. Des Moines, Iowa.

June 7, 2005- Made remarks as the Commissioner of the Iowa Department of Public Safety at the ribbon cutting ceremony for a new Iowa laboratory facility including the new State of Iowa Crime Lab. Ankeny, Iowa.

June 1, 2005 - Made remarks as the Commissioner of the Iowa Department of Public Safety at the dedication ceremony for the Evansdale Community Response Center. Evansdale, Iowa.

September 21, 2005 - Made remarks as Commissioner of the Iowa Department of Public Safety on the topic of teen traffic safety issues at the Traffic Safety Summit hosted by the Iowa Department of Public Safety. Johnston, Iowa.

October 2005. Made remarks as Commissioner of the Iowa Department of Public Safety on the topic of teen traffic safety issues at a graduate student seminar at the University of Iowa, Injury Prevention Research Center. Iowa City, Iowa.

December 2, 2005 - Made remarks as the Commissioner of the Iowa Department of Public Safety at the Northwestern University Center for Public Safety graduation ceremony for School of Police Staff and Command. Polk City, Iowa.

December 6, 2005 - Made remarks as the Commissioner of the Iowa Department of Public Safety on traffic safety issues at the Auto Pilots Teen Driver grand opening. Urbandale, Iowa.

February, 2006 - Made remarks as the Commissioner of the Iowa Department of Public Safety regarding teen traffic safety issues to Jones County high school students who were members of STAND (Students Together Against Negative Decisions). Anamosa, Iowa.

March 24, 2006 - Made remarks as a guest speaker as the Commissioner of the Iowa Department of Public Safety at the Worth County Parenting Fair. Manly, Iowa.

May 20, 2005, April 26, 2006 and September, 2006 - Made remarks as the Commissioner of the Iowa Department of Public Safety at the "Basic Academy" graduation ceremony. Camp Dodge, Iowa.

April 6, 2006 - Made remarks as President of the Des Moines Public Library Board of Trustees at the dedication ceremony for the new Central Library. Des Moines, Iowa.

June 14, 2006- Made remarks as Commissioner of the Iowa Department of Public Safety at the Des Moines Downtown Rotary Club meeting describing services provided by the department. Des Moines, Iowa.

August 1, 2006 - Made remarks as the Commissioner of the Iowa Department of Public Safety at the Ola Babcock-Miller Memorial. Ola Babcock-Miller was a former Iowa Secretary of State and founder of the Iowa State Patrol. Memorial was held to rededicate her graves site. Washington County, Iowa.

December 8, 2006 - Made remarks as the Commissioner of the Iowa Department of Public Safety at the graduation ceremony for Iowa Law Enforcement Academy. Camp Dodge, Iowa.

June 5, 2010 - Made remarks as the moderator of the Des Moines Public Library author event for Nick Redding, author of "Methland." Des Moines, Iowa.

March 25, 2013 - Made remarks as the Chairman of Keep Iowa Beautiful at the "Don Lamberti Hometown Pride Vision Award Ceremony." Altoona, Iowa.

- e. List all interviews you have given to newspapers, magazines or other publications, or radio or television stations, providing the dates of these interviews and four (4) copies of the clips or transcripts of these interviews where they are available to you.

I have done my best to identify all items called for in this question, including through a review of my personal files and searches of publicly available electronic databases. Despite my searches, there may be other materials I have been unable to identify find or remember, I have located the following: (copies supplied for all articles listed)

2011

1. Carothers-Kay, Anne. May 27, 2011. "Members Know Best-Sellers and Best Technology." Des Moines Register.

2010

2. Kilen, Mike. June 2, 2010. "Series Brings Author of 'Methland'." Des Moines Register.
3. Strong, Jared. June 21, 2010. "DM Kids Get Ready for some Football, League Style." Des Moines Register.
4. Reynaud, Cynthia. July 29, 2010. "Library Restores some Weekend, Evening Hours." Des Moines Register.

2009

5. Pulliam, Jason. December 16, 2009. "Vote: Keep Library Branch on Franklin." Des Moines Register.

2008

6. Martelle, Scott. January 2, 2008. "Campaign '08: Getting Out the Vote; Caucus-Goers Also Friends and Neighbors; The Iowa Competition is Usually Free of the Rancor That Can Often Tinge the Larger Races." Los Angeles Times.

2007

7. January 6, 2007. "Critics: Punishment in Traffic Deaths Doesn't always fit Crime." Des Moines Register.
8. Walker, Melissa. January 6, 2007. "Meyer to Head Public Safety." Des Moines Register.
9. January 30, 2007. "Challenge: Keeping Iowa's Riskiest Drivers Safe on the Road." Des Moines Register.
10. Rood, Lee. September 9, 2007. "Deaths Reignite Talk of Changes to Teen Licenses." Des Moines Register.

2006

11. January 3, 2006. "Young Drivers may See More Limits." Des Moines Register.
12. January 3, 2006. "Tighter Restrictions for Teen Drivers Being Considered." The Associated Press.
13. Johannsen, Angie. January 3, 2006. "New Child Safety Seat Law Goes into Effect." Britt News-Tribune.
14. Trappe, Christoph. January 19, 2006. "Youngest Drivers Kill Others Most Often." The Cedar Rapids Gazette.
15. Roos, Jonathan. January 24, 2006. "Proposals on Teenage Drivers Set to Run into Traffic." Des Moines Register.
16. Gearino, Dan. January 25, 2006. "Rally Promotes Proposed Tightening of Restrictions For Teen Drivers in Iowa." Quad-City Times.
17. Petroski, William. January 28, 2006. "Task Force Outlines Plans for Lottery Machine Study." Des Moines Register.
18. Dorman, Todd. February 8, 2006. "Teen Driving Bill." Waterloo-Cedar Falls Courier.
19. Livermore, Lisa. February 13, 2006. "Keg Rules Don't always Curb." Des Moines Register.
20. February 22, 2006, "Driver Bill Will Have Parts Cut." Des Moines Register.
21. March 17, 2006. "Parenting Fair Presented by North Central and N-K." Globe-Gazette.
22. Crawford, Erin. April 6, 2006. "Downtown's Revival Pivots on New Library." Des Moines Register.
23. April 18, 2006. "Teen Driver Bill Likely to Die in House." Des Moines Register.
24. McCormick, John. May 5, 2006. "Why Iowa Couldn't OK Limits on Young Drivers; Bill's Fate Highlights Pitfalls of Such Efforts." Chicago Tribune.

25. Walker, Melissa. May 10, 2006. "DCI Director Leaving Agency After 38 Years." Des Moines Register.
26. Ahlin, Elizabeth. May 21, 2006. "Iowa Counties Look to Curb Underage Keg Parties." Omaha World Herald.
27. Petroski, William. June 27, 2006. "Businesses File Suit for TouchPlay Losses." Des Moines Register.
28. Roos, Jonathan. June 21, 2006. "State Bonuses Total \$1 Million in 22 Months." Des Moines Register.
29. August 10, 2006. "Traffic Fatalities Down for Year, July Compared to 2005." The Associated Press, Ames, Iowa.

2005

30. Petroski, William. January 1, 2005. "Roadway Fatalities on Decline in Iowa." Des Moines Register.
31. January 1, 2005. "Number of Traffic Deaths Take Sharp Drop." The Associated Press, Des Moines, Iowa.
32. January 27, 2005. "Don't Put a Price on Government Access." Des Moines Register.
33. January 27, 2005. "Donation Suggested in Opening State Records." Des Moines Register.
34. Pitt, David. January 27, 2005. "State Studies Ways to Open Public Safety Records." The Associated Press Des Moines, Iowa.
35. Kauffman, Clark. January 29, 2005. "DPS Chief Denies Suggesting Donation." Des Moines Register.
36. Pitt, David. January 31, 2005. "Public Safety Commissioner Pushes For Steady Funding of State Patrol." The Associated Press, Des Moines, Iowa.
37. January 31, 2005. "Access to Records Gets Another Look." Des Moines Register.
38. Glover, Mike. February 1, 2005. "Panel Seeks Advice on Open Records Shift." The Associated Press, Des Moines, Iowa.
39. Bert, Dalmer. February 1, 2005. "Public Safety Policy Under Scrutiny." Des Moines Register.
40. Higgins, Tim. February 2, 2005. "Techau: Donations Remark was Taken Out of Context." Des Moines Register.
41. Bert, Dalmer. February 2, 2005. "Patrol Report Policy to be Revised." Des Moines Register.
42. Glover, Mike. February 2, 2005. "Panel Seeks Advice on Open Records Shift." The Associated Press, Des Moines, Iowa.
43. February 6, 2005. "More Records Access? Now's Our Chance." Des Moines Register.

44. Brecht, Tory. February 11, 2005. "DPS Reports Under Scrutiny." Quad-City Times.
45. February 18, 2005. "Make Accident Reports Public, Attorney Says." Des Moines Register.
46. Bert, Dalmer. February 22, 2005. "Panel: Techau Donation Remark Wasn't Illegal." Des Moines Register.
47. Higgins, Tim. March 9, 2005. "Bill would Clarify Law on Requests." Des Moines Register.
48. May 7, 2005. "Public Safety Department Moves Toward Openness" Des Moines Register.
49. Simons, Abby. May 24, 2005. "'An Honor . . . to Wear this Badge'." Des Moines Register.
50. Glover, Mike. May 23, 2005. "Vilsack Credits Law Enforcement with Anti-Crime Effort." The Associated Press, Des Moines, Iowa.
51. Glover, Mike. May 31, 2005. "Boswell Pushes Measure on Sex Predator Funding." The Associated Press, Des Moines, Iowa.
52. Higgins, Tim. June 1, 2005. "Measure would Help Track Sex Predators." Des Moines Register.
53. Glover, Mike. June 6, 2005. "Governor Presents Valor Award to Conservation Officer." The Associated Press, Des Moines, Iowa.
54. June 7, 2005. "Governor Presents Valor Award to Conservation Officer." Waterloo-Cedar Falls Courier (AP).
55. Eby, Charlotte. June 8, 2005. "Iowa Opens State-of-the-Art Crime Lab." Sioux City Journal.
56. Glover, Mike. June 14, 2005. "Vilsack Signs Toughened Sex Abuse Measure." The Associated Press, Des Moines, Iowa.
57. Hayworth, Bret. July 1, 2005. "Additional Cars to Patrol Siouxland Roads." Sioux City Journal.
58. August 27, 2005. "State Patrol's Online Reports Exclude Data." Des Moines Register.
59. September 20, 2005. "Summit to be Held to Address #1 Killer of Iowans Under Age 25." US States News, Des Moines, Iowa.
60. Petroski, William. September 22, 2005. "Summit Seeks Ways to Save Young Drivers." Des Moines Register.
61. Dorman, Todd. September 22, 2005. "Safety Official Asks State to Tighten Rules of the Road for Iowa Teens." Quad-City Times.
62. October 11, 2005. "Building Code Commissioner Proposes Adoption of New State Building Code, State Historic Building Code." US States News, Des Moines, Iowa.

63. Glover, Mike. October 24, 2005. "Officials Unveil Coordinated Effort on Internet Youth Protection." The Associated Press, Ankeny, Iowa.
64. Eby, Charlotte. October 25, 2005. "Iowa Task Force Goes After Cyber Crime Against Children." Quad-City Times.
65. Link, Bob. October 28, 2005. "Upcoming Halloween Prompts Warnings for Motorists and Parents." Globe-Gazette.
66. Link, Bob. November 12, 2005. "Forum at MCHS to Focus on Youth Traffic Safety." Globe-Gazette.
67. Link, Bob. November 15, 2005. "Forum Attendees Agree License Changes Could Reduce Teen Accidents." Mason City Globe-Gazette.
68. November 16, 2005. "Newspaper Analysis: Rural Roads More Dangerous for Teen Drivers." The Associated Press, Cedar Rapids, Iowa.
69. November 21, 2005. "Vehicle Fatalities of Youth is Topic." Des Moines Register.
70. Burt, Lauren. November 22, 2005. "Accident Victim Cautions Students." Des Moines Register.
71. Trappe, Christoph. December 12, 2005. "Many Students Admit to Drugged Driving." The Cedar Rapids Gazette.
72. Trappe, Christoph. December 18, 2005. "School Permit Drivers More Likely to Crash." The Cedar Rapids Gazette.
73. Eby, Charlotte. December 22, 2005. "Vilsack Urges Iowans to Stay Safe During Holidays." Quad-City Times

2004

74. Ogdon, Jeff and Techau, Kevin. January 7, 2004. "AAA and the Iowa Department of Public Safety Supports Strengthening Child Restraint Laws." Guest Editorial PR Newswire, Burnsville, Minnesota.
75. Petroski, William. January 17, 2004. "State Patrol, Prisons could Avoid Spending Cuts." Des Moines Register.
76. Eckhoff, Jeff. January 24, 2004. "Lawsuit on Driver's Licenses Thrown Out." Des Moines Register.
77. Link, Bob. February 4, 2004. "Safety First." Globe-Gazette.
78. Roos, Jonathan. February 5, 2004. "Lawmakers Question Troopers' Inspections." Des Moines Register.
79. Pitt, David. February 5, 2004. "Committee Questions Some Trooper Functions." The Associated Press, Des Moines, Iowa.

80. Eby, Charlotte. February 5, 2004. "Iowa Safety Commissioner Badgered by Legislators." Quad-City Times.
81. February 9, 2004. "Strengthen State Child Restraint Laws." Opinion: Globe-Gazette.
82. Lynch, James Q. February 24, 2004. "State Patrol Urged to Consider Priorities." The Cedar Rapids Gazette.
83. March 27, 2004. "Number of Troopers on State Highways Declining." The Associated Press, Des Moines, Iowa.
84. Paluch, Tim. March 27, 2004. "Fewer Troopers on Iowa Highways." Des Moines Register.
85. March 27, 2004. "Officials Suspend 24-Hour Patrols in 92 Iowa Counties." Sioux-City Journal, (AP).
86. Nelson, Cherie. April 21, 2004. "Racial Profiling Topic of Town Hall Meeting." Waterloo-Cedar Falls Courier.
87. Campbell, Lynn. May 7, 2004. "State Security Chief Gordon Leaving July 2." Des Moines Register.
88. Pitt, David. May 11, 2004. "Iowa Honors Fallen Officers." The Associated Press, Des Moines, Iowa.
89. Trappe, Christoph. July 11, 2004. "Public Safety Help Sought." The Cedar Rapids Gazette.
90. Glover, Mike. July 21, 2004. "Court Revives Effort to Block Firing." The Associated Press Des Moines, Iowa.
91. Trappe, Christoph. August 9, 2004. "Marion Native's Defense Has Helped His Offense." The Cedar Rapids Gazette.
92. Gearino, Dan. August 13, 2004. "Q-C Man Receives Lifesaving Award." Quad-City Times.
93. Trappe, Christoph. October 12, 2004. "Marion Lands Honor for Role In Capture." The Cedar Rapids Gazette.
94. Blake, Brianna. November 22, 2004. "Iowa Patrol Aims to End." Des Moines Register.

95. November 29, 2004. "Troopers Spread Thin on Iowa Highways." The Associated Press, Cedar Rapids, Iowa.
96. Rogahn, Kurt. November 29, 2004. "More Cars, Fewer Troops." Cedar Rapids Gazette.

2005

97. Young, Jeff. January 19, 2003. "Sheriff's Office Receives Funds in Connection With Death Probe." Muscatine Journal.
98. Roos, Jonathan. January 21, 2003. "Security Spending Called 'Overkill'." Des Moines Register.
99. Petroski, William. March 14, 2003. "Amber Alert System is Now Ready." Des Moines Register
100. Deering, Tara. March 30, 2003. "Meeting in D.M. Covers War." Des Moines Register.
101. Roos, Jonathan. April 2, 2003. "Supporters, Opponents Speak Out on .08 Bill." Des Moines Register.
102. Eby, Charlotte. April 29, 2003. "Study: Searches Are More Likely For Minority Drivers." Quad-City Times.
103. Petroski, William. April 30, 2003. "Statistics on Traffic Stops Mirror." Des Moines Register.
104. Kauffman, Clark. May 9, 2003. "Headline: Two Say Fire Office Reports Altered." Des Moines Register.
105. May 9, 2003. "Former Employees Say Fire Inspection Reports Altered." The Associated Press, Des Moines, Iowa.
106. Reknits, Jeff. May 16, 2003. "Officers Honor Those Who Died In The Line of Duty." Waterloo-Cedar Falls Courier.
107. May 21, 2003. "Criminal Investigation Director to Retire." The Associated Press, Des Moines, Iowa.
108. Ballard, Larry. May 22, 2003. "In the News." Des Moines Register.
109. Link, Bob. May 23, 2003. "Amber Alert 'Protects Kids' Safety." Globe-Gazette.
110. Glover, Mike. June 30, 2003. "Officials Launch Awareness Campaign on New Drunken Driving Law." The Associated Press, Des Moines, Iowa.
111. Owens, Liz. July 1, 2003. "New Alcohol Limit Begins Today." Quad-City Times.
112. Okamoto, Lynn. July 1, 2003. "Know Your Limit: It's .08 in Iowa." Des Moines Register.
113. Pitt, David. July 9, 2003. "Police Net \$1.25 Million in Stolen Property, Drugs." The Associated Press, Des Moines, Iowa.

114. Paluch, Tim. July 10, 2003. "\$1.2 Million in Drugs, Property." Des Moines Register.
115. July 12, 2003. "New Library: Making a Statement." Des Moines Register.
116. August 6, 2003. "New Criminal Investigation Director Appointed." Waterloo-Cedar Falls Courier, (AP).
117. Dorman, Todd. August 8, 2003. "State Fair Ceremony Honors DeWitt Hero." Quad-City Times.
118. Dorman, Todd and Owens, Liz. August 9, 2003. "State Honors Lifesavers." Globe-Gazette.
119. Higgins, Tim. August 27, 2003. "Life's Ambition." Des Moines Register.
120. Ogden, Jeff and Techau, Kevin. September 17, 2003. "Department of Public Safety, AAA: 'Don't Veer for Deer!'" PR Newswire Burnsville, MN.
121. Pitt, David. October 8, 2003. "Rewards Offered to Encourage Public Help in Arson Fire." The Associated Press, CUMMING, Iowa.
122. Reiter, Bill. October 9, 2003. "Arson Prompts County to Offer Reward." Des Moines Register.
123. Dorman, Todd. October 14, 2005. "Iowa Public Safety Commissioner Tries to Keep Agency Running Smoothly." Quad-City Times.
124. Techau, Kevin and Ogden, Jeff. November 5, 2003. "Surviving the Roads During Another Midwestern Winter." Guest editorial, PR Newswire, Burnsville, Minn.
125. Trappe, Christoph. November 6, 2003. "State Budget Cuts Will Not Effect Trooper Numbers." The Cedar Rapids Gazette.
126. November 6, 2003. "Governor to Replace Money Cut From Public Safety Budget." The Associated Press, Des Moines, Iowa.
127. Roos, Jonathan. November 6, 2003. "Public Safety Budget Restored." Des Moines Register.
128. Link, Bob. November 7, 2003. "Grant to Assist DCI in Gun Crimes." Globe-Gazette.
129. November 7, 2003. "Iowa DCI Received Grant to Help Identify Weapons." The Associated Press, Mason City, Iowa.
130. Eckhoff, Jeff. November 20, 2003. "Give Illegal Immigrants Licenses, Suit Asks." Des Moines Register.
131. Jenna, Buzzacco. November 26, 2003. "Thanksgiving Forecast: Travel Up, Weather Mild." Des Moines Register.
132. December 10, 2003. "AAA Applauds Commissioner Techau's Support For Strengthening Child Passenger Safety." PR Newswire, Burnsville, Minnesota.
133. December 15, 2003. "State Administrators Offered Bonuses For Meeting Goals." The Associated Press, Des Moines, Iowa.

134. Roos, Jonathan. December 14, 2003. "State Director Bonuses Linked to Goals." Des Moines Register.
135. December 19, 2003. "Troopers Hit the Roads This Weekend." Waterloo-Cedar Falls Courier.

2002

136. Kauffman, Clark. January 10, 2002. "State Regulators Miss Some Appeal Hearings." Des Moines Register.
137. February 2, 2002. "Vilsack Shuffles State Government Executives." The Associated Press, Des Moines, Iowa.
138. Roepke, Dave. February 3, 2002. "Law Chief Appointed to Job with Lower Pay." Des Moines Register.
139. Deering, Tara. March 6, 2002. "Patrol Says Men's Race Irrelevant." Des Moines Register.
140. May 15, 2002. "Solving Computer Crime To Get Harder In Iowa." The Associated Press, Des Moines, Iowa.
141. Eckhoff, Jeff. May 15, 2002. "State's Top Computer Criminalist to Depart in July; The Resignation Means Iowa's Crime Lab Has No One Certified to Recover Evidence From Computer Hard Drives." Des Moines Register.
142. Link, Bob. May 21, 2002. "Law Enforcement Efforts Stepping Up This Weekend." Globe-Gazette.
143. Techau, Kevin. May 25, 2002. "Don't Be Foolish: Buckle Up." The Cedar Rapids Gazette, Kevin Techau, Guest Column.
144. Roos, Jonathan. May 28, 2002. "Lawmakers Return to Plug Budget Holes." Des Moines Register
145. July 4, 2002. "Vilsack, Gross Spar Over Letter, Layoffs." Des Moines Register.
146. Okamoto, Lynn. July 30, 2002. "State Breaks Ground for Laboratory Project; The Ankeny Facility for the Medical Examiner, The DCI and Others is 'an Appropriate Investment,' the Governor Says." Des Moines Register.
147. Rood, Lee. August 3, 2002. "Iowa Officials Interested in Amber Alert Plan." Des Moines Register.
148. August 3, 2002. "Iowa Officials Interested in Emergency Notification System." The Associated Press, Des Moines, Iowa.
149. Lundstrom, Marjie. August 8, 2002. "California's Child Kidnapping-Alert System Transcends Politics." Sacramento Bee.
150. Techau, Kevin W. August 9, 2002. "Library Had Public Input." Des Moines Register.
151. Vess, Mitev. August 10, 2002. "Little Heroes Helped Make Big Rescues." Des Moines Register.
152. Dorman, Todd. September 30, 2002. "Vilsack Pushes For 0.08 Blood-Alcohol Limit." Quad-City Times.

153. October 2, 2002. "Task Force Calls For Quick Start of Child-Abduction Alert." The Associated Press, Des Moines, Iowa.
154. Boehart, Rod. October 2, 2002. "Iowa on Task to Set Up Statewide Alert for Child Abductions." The Cedar Rapids Gazette.
155. October 18, 2002. "Fire Marshal Inspectors Under Investigation." The Associated Press, Des Moines, Iowa.
156. Kauffman, Clark. October 18, 2002. "Alleged Altering of Reports; Officials are Looking at Possible Irregularities in Records of Fire Inspections at Iowa Nursing Homes." Des Moines Register.
157. Kauffman Clark, Petroski William. October 19, 2002. "Allegations within Fire Marshal's Office Grow; an Official Says in Court Papers that Records were Altered in Retaliation for Her Blowing the Whistle on a 'Romantic Affair'." Des Moines Register.
158. December 16, 2002. "Public Safety Department Appoints New Assistant Fire Marshal." The Associated Press, Des Moines, Iowa.
159. Leys, Tony. December 17, 2002. "In the News." Des Moines Register.

2001

160. Eckhoff, Jeff. January 10, 2001. "Davenport Librarian to Take Helm." Des Moines Register.
161. Bert, Dalmer. February 25, 2001. "Food Inspections in Iowa Fall Short; Lack of Staff, Money Means Fewer County Visits." Des Moines Register.
162. Lee, Jennifer Dukes. March 13, 2001. "State Library Will Stay Put, Officials Say; Legislative Leaders had Proposed Moving the Library to make Space for Staff Members." Des Moines Register.
163. Eckhoff Jeff, Bolten Kathy, A. March 20, 2001. "District Hunts for Other Sites; if Not the Temple, Leaders Still Want another School Downtown." Des Moines Register.
164. Glover, Mike. April 16, 2001. "Vilsack Pushes Food Inspection Measure." The Associated Press, Des Moines, Iowa.
165. Obradovich, Katie. April 17, 2001. "Iowa Lags in Restaurant Inspections." Quad-City Times.
166. Lee, Jennifer Dukes. April 17, 2001. "Vilsack Asks Higher Fees for Food-Safety Checks; the Chief Executive Says Iowa Fails to Meet Inspection Standards." Des Moines Register.
167. Eckhoff, Jeff. May 30, 2001. "Library Fund Raising Starts Strongly." Des Moines Register.
168. Kauffman, Clark. July 1, 2001. "State Praises, Penalizes Care Sites; Awards Come from Same Agency that Inspects." Des Moines Register.

169. "Positive Reinforcement; it Seems Strange that Nursing Homes with Violations are Honored by Inspectors." July 5, 2001. Des Moines Register.
170. Kauffman, Clark. July 20, 2001. "Nursing Home Awards Modified." Des Moines Register.
171. Glover, Mike. October 31, 2001. "Report Optimistic About New Inspection System." The Associated Press, Des Moines, Iowa.
172. November 1, 2001. "Can Inspectors be Friends? No, But it's OK for the State to Help Educate Nursing-Home Operators." Des Moines Register.
173. Pieper, Mary. November 27, 2001. "Nursing Home Recognized For Innovations." Globe-Gazette.
174. December 26, 2001. "Sunrise Cottages In Waterloo Forced By State to Close." The Associated Press, Waterloo, Iowa.

2000

175. O'Donnell, Thomas, R. February 25, 2000. "Science Advisory Panel to Examine Medical Errors." Des Moines Register.
176. March 7, 2000. "Eighteen Arrested For Welfare Fraud; More Arrests Expected." Associated Press, Des Moines, Iowa.
177. Okamoto, Lynn. March 15, 2000. "Vilsack: Budget Bill Threatens Inspections." Des Moines Register.
178. March 18, 2000. "Official Says Food Inspections Are Underfunded." Associated Press, Council Bluffs, Iowa.
179. Norman, Jane. March 26, 2000. "Medical Mistakes Get Study; One Estimate that Errors Kill Up to 98,000 Americans Yearly is 'an Absolute Shock,' Tom Harkin Says." Des Moines Register.
180. Eckhoff, Jeff. March 29, 2000. "Library Loses Director to San Antonio." Des Moines Register.
181. March 29, 2000. "Agency Faces Proposed Budget Cuts, Threats From Counties." Associated Press, Carroll, Iowa.
182. Yepsen, David. April 17, 2000. "As Welfare Declines, Fraud Rises; as Welfare Rolls Drop, Fraud Swells in Iowa; Iowa's Aid Rolls have Dropped the Past Three Years, but Illegal Claims have Doubled." Des Moines Register.
183. Rood, Lee. June 20, 2000. "Lax Oversight Leads to Fraud." Des Moines Register.
184. Okamoto, Lynn. August 11, 2000. "Inspectors Work to make Fair Safe and Secure; they Find Games with Quirks and Check Food Stands for Cleanliness." Des Moines Register.
185. September 8, 2000. "Public Gets Chance to Help Choose Library Director." Des Moines Register.
186. Eckhoff, Jeff. September 16, 2000. "D.M. Library Rejects Five Finalists, Starts Anew." Des Moines Register.

187. Blair, Claflin. November 13, 2000. "Vilsack Hears Ideas for New Budget Moves; Spending and Efficiency are Discussed at a West Burlington Hearing." Des Moines Register.
 188. November 13, 2000. "Vilsack Hears Accountable Government Ideas." Associated Press, West Burlington, Iowa.
 189. November 29, 2000. "Hearing in Sioux City For Health Care Budgets." Associated Press, Sioux City, Iowa.
 190. Thompson, Kate. November 29, 2000. "Hearing Focuses on Health Care." Kate Thompson.
 191. December 14, 2000. "Officials Interview Librarians." Des Moines Register.
- 1999**
192. Zeleny, Jeff. January 8, 1999. "Vilsack OKs Kautzky, Eisenhower." Des Moines Register.
 193. Eckhoff, Jeff. January 8, 1999. "Moulder Responds to Complaint." Des Moines Register.
 194. March 2, 1999. "Senate Confirms Three Vilsack Appointments." Associated Press, Des Moines, Iowa.
 195. Shirley, Salemy. March 18, 1999. "Inspections Unit's New Leader Wants Better Communications; Director of the Department of Inspections and Appeals." Des Moines Register.
 196. March 18, 1999. "Iowans Say Nursing Homes Not Regulated, or Overregulated." Associated Press, Des Moines, Iowa.
 197. Neubauer, Mary. March 22, 1999. "Vilsack Warns Senate Proposal Could Hurt Access to Nursing Home Records." The Associated Press Writer, Des Moines, Iowa.
 198. Thaman, Katie. April 6, 1999. "Inspections Director Settles In." Cedar Rapids Gazette.
 199. October 14, 1999. "Iowa Department Gets \$43,000 Food-Safety Grant For Website." Associated Press, Des Moines, Iowa.
 200. Okamoto, Lynn. November 6, 1999. "Iowa Puts Nursing Homes on Web; 'Report Cards' on the Homes are Now Listed on the State's Internet Site." Des Moines Register.
 201. Petroski, William. December 9, 1999. "Tribe: We Decide Age of Gamblers; Winnebago Leaders Say the State Cannot Regulate the Age of its Casino Patrons." Des Moines Register.

202. December 10, 1999. "Tribe Says Iowa Can't Regulate Gambling In Indian Casinos." Associated Press, Sloan, Iowa.

1998

203. Boshart, Rod. March 11, 1998. "Alien-Transport Trial Approved." Cedar Rapids Gazette.

13. Public Office, Political Activities and Affiliations:

- a. List chronologically any public offices you have held, other than judicial offices, including the terms of service and whether such positions were elected or appointed. If appointed, please include the name of the individual who appointed you. Also, state chronologically any unsuccessful candidacies you have had for elective office or unsuccessful nominations for appointed office.

I have served in the following appointed public positions:

Commissioner, Iowa Department of Public Safety, Des Moines, Iowa (2002-2007). Appointment by Iowa Governor Tom Vilsack and confirmed by Iowa Senate in 2002 and 2006.

Director, Iowa Department of Inspections and Appeals, Des Moines, Iowa (1999-2002). Appointment by Iowa Governor Tom Vilsack and confirmed by Iowa Senate 1999.

Des Moines Public Library Board of Trustees (1998-present). Appointed by Des Moines Mayor Preston Daniels and reappointed by his successor, Des Moines Mayor Frank Cownie. Confirmed by Des Moines City Council for each appointment.

- b. List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

I have belonged to the following political parties.

Iowa Democratic Party. I have never held an office. Since April 2012, I have been a member of the Iowa Democrats Veteran's Caucus.

Polk County Democratic Party. I have never held office.

Get out the vote volunteer for Iowa Democratic Party state and federal candidates: 1980, 1984, 1992, 1996, 1998, 2000, 2008 and 2012.

Precinct Captain for Iowa Caucus, Presidential Campaign of Al Gore, 2000.

Precinct Captain for Iowa Caucus, Presidential Campaign of Hilary Clinton, 2008.

Voter Protection Volunteer, Presidential Campaign of Barack Obama, 2012.

Campaign volunteer and hosted a fundraiser, Iowa State House campaign of Susan Judkins, 2012.

14. Legal Career: Answer each part separately.

a. Describe chronologically your law practice and legal experience after graduation from law school including:

i. whether you served as clerk to a judge, and if so, the name of the judge, the court and the dates of the period you were a clerk;

I have not served as a judicial law clerk.

ii. whether you practiced alone, and if so, the addresses and dates;

I have not practiced alone.

iii. the dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been affiliated, and the nature of your affiliation with each.

American Equity Investment Life Insurance Company,
6000 Westown Parkway
West Des Moines, Iowa 50266
Associate General Counsel-2007-present.

Roberts, Stevens, Prendergast & Guthrie, P.L.L.C.
321 E. 4th Street
Waterloo, Iowa
Contract Attorney- August 2009- August 2012.

State of Iowa, Commissioner of Public Safety, State Capitol complex, Des Moines, Iowa (2002- 2007).

State of Iowa, Director of Inspections & Appeals, and Commissioner, State Capitol Complex, (1999-2002)

Iowa Federal Public Defender Office, 340 Capital Square, Des Moines, Iowa Assistant Federal Public Defender. (1996-1999).

Grefe & Sidney Law Firm, 500 East Court Avenue, Ste. 200, Des Moines, IA 50309. Associate attorney. (1992-1996).

United States Air Force, Judge Advocate (1985-1992). Assignments:

(June 1991-September 1992) Circuit Defense Counsel (Captain), Bolling Air Force Base, District of Columbia;

(October 1988 - June 1991), Circuit Defense Counsel (Captain) USAF Trial Judiciary, Rhein-Main AB, Germany;

(July 1987-September 1988), Area Defense Counsel (Captain), RAF Alconbury, England;

(February 1987-May 1987) Squadron Officer School, Air University, Student (Captain), Maxwell Air Force Base, AL;

(1985-1987) Assistant Staff Judge Advocate (First Lt/Captain), RAF Alconbury, England.

Honorable Discharge: 30 September 1992. Rank: Captain.

Iowa Air National Guard, Judge Advocate (1993-2011). Assignments:

(January 2005– December 2011) Joint Forces Headquarters, Staff Judge Advocate (Lt Colonel/Colonel), Camp Dodge, IA;

(January 1999-December 2004) Deputy Staff Judge Advocate (Lt Colonel), 132 Fighter Wing, Des Moines, Iowa;

(March 1993-January 1999) Deputy Staff Judge Advocate (Captain/Major) 185th Fighter Wing, Sioux City, IA.

City of Janesville, City Attorney Office, Janesville, Wisconsin. Municipal Building, 18 N. Jackson Street, First floor, Janesville Wisconsin. Staff Attorney. (March 1985-July 1985).

Washington County Attorney Office, Washington Iowa. Washington County Courthouse, Basement Floor, PO Box 841, 222 West Main Street, Washington. Iowa Intern Law Student Attorney. (Summer of 1983 and school year 1983-84).

- iv. whether you served as a mediator or arbitrator in alternative dispute resolution proceedings and, if so, a description of the 10 most significant matters with which you were involved in that capacity.

I have never served as a mediator or arbitrator.

b. Describe:

- i. the general character of your law practice and indicate by date when its character has changed over the years.
- ii. your typical clients and the areas at each period of your legal career, if any, in which you have specialized.

While serving in the United States Air Force as a Judge Advocate from 1985 until 1992, I provided legal counsel on broad array of issues including federal laws, regulations, directives, employment law, medical malpractice claims, and criminal prosecutions while serving in the base legal office. While serving as a circuit defense counsel, I was lead attorney in major felony cases in European and Eastern USA Circuits representing USAF Airmen in courts-martial cases involving charges brought under the U.C.M.J or administrative actions. As an associate attorney at Grefe & Sidney, P.L.C. in Des Moines, Iowa, I worked in the trial division from 1992 until 1996. The primary emphasis of my practice during this time was civil litigation including contract disputes, insurance coverage, civil rights, employment, personal injury, and products liability. I conducted all phases of litigation and appeals in state and federal courts.

As an Assistant Federal Public Defender (1996-1999), my practice was as trial and appellate counsel for indigent persons charged with criminal offenses in U.S. Federal Courts for the Northern and Southern Districts of Iowa and Eighth Circuit Court of Appeals. I conducted all phases of litigation including trial, sentencing, and appeal.

As an Associate General Counsel at American Equity Investment Life Insurance Company (2002-present), my primary responsibility is litigation management for the company. I supervise and manage company litigation, outside counsel, expenses, strategy and tactics. I am also involved with regulatory compliance. I assist the General Counsel in supervising the company's market conduct, sales practices and compliance with federal and state life insurance laws and regulations. I have also assisted the CEO and General Counsel in governmental affairs at the federal and state level.

I was engaged on a limited contract basis (August 1999-August 2012) with the Roberts, Stevens, Prendergast & Guthrie, P.L.C., law firm 321 E. 4th St., Waterloo, IA. I assisted with a wrongful death traffic death case.

- c. Describe the percentage of your practice that has been in litigation and whether you appeared in court frequently, occasionally, or not at all. If the frequency of your appearances in court varied, describe such variance, providing dates.
 - i. Indicate the percentage of your practice in:
 - 1. federal courts (50%);
 - 2. state courts of record (35%);
 - 3. other courts (N/A);
 - 4. administrative agencies (15%).
 - ii. Indicate the percentage of your practice in:
 - 1. 50% civil proceedings (25% federal; 25% state court);
 - 2. 50% criminal proceedings (all in federal court).
- d. State the number of cases in courts of record, including cases before administrative law judges, you tried to verdict, judgment or final decision (rather than settled), indicating whether you were sole counsel, chief counsel, or associate counsel.

During my active duty Air Force career as a Judge Advocate, I was lead defense counsel in more than one hundred cases, including approximately thirty-five fully-litigated jury trials. Additionally, I was lead counsel in approximately twenty administrative discharge hearings which were heard before a jury/board.

At Grefe & Sidney my practice was primarily in civil litigation in federal and states court. I was lead counsel in approximately ten fully-litigated jury trials and was second chair in four fully-litigated jury trials.

While I was at the Iowa Federal Defenders Office I was lead counsel in six fully-litigated jury trials.

Since leaving the Federal Defender Office in 1999, my only court appearances were as my part of duties as a Judge Advocate serving in the Iowa Air National Guard. I participated in an estimated 10 cases that went to an administrative hearing involving Airmen facing administrative discharge.

- i. What percentage of these trials were:
 - 1. jury 95%;
 - 2. non-jury 5%;
- e. Describe your practice, if any, before the Supreme Court of the United States. Supply four (4) copies of any briefs, amicus or otherwise, and, if applicable, any oral argument transcripts before the Supreme Court in connection with your

practice.

I have not practiced before the Supreme Court of the United States.

15. Litigation: Describe the ten (10) most significant litigated matters which you personally handled, whether or not you were the attorney of record. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the case. Also state as to each case:

- a. the date of representation;
- b. the name of the court and the name of the judge or judges before whom the case was litigated; and
- c. the individual name, addresses, and telephone numbers of co-counsel and of principal counsel for each of the other parties.

JURY TRIALS WHILE SERVING AS ASSISTANT
FEDERAL PUBLIC DEFENDER 1996-1999

1. U.S. v. Wheat

278 F.3d 722, Iowa (8th. Cir. 2001)

Date of Representation: 1997

Judge: U.S. District Court Judge Mark Bennett

Opposing Counsel: Tim Jarman
Assistant United States Attorney
Mr. Jarman is now a State of Iowa District Associate Judge
assigned to the Third Judicial District,
Woodbury County, Sioux City, Iowa.
Phone: 712-279-6611

I represented the defendant, Mr. Wheat. Mr. Wheat was named in a one-count indictment alleging a violation of 21 U.S.C. § 841(a)(1) and 841(b)(1)(A), conspiracy to deliver 65 grams of cocaine base. U.S. District Court Judge Mark Bennett, Mr. Wheat was found guilty of the lesser-

included offense of possession of cocaine base after a three-day jury trial. There was a suppression hearing and Mr. Wheat appealed from judge's decision to permit certain evidence to be admitted. I did not handle the appeal.

2. U.S. v. Saborit

967 F.Supp. 1136 (N.D. IA 1997)

Date of Representation: 1997

Judge: U.S. District Court Judge Mark Bennett

Opposing Counsel: Tim Jarman
Assistant United States Attorney
Mr. Jarman is now a State of Iowa District Associate Judge
assigned to the Third Judicial District,
Woodbury County, Sioux City, Iowa.
Phone: 712-279-6611

I represented the defendant, Mr. Saborit. Mr. Saborit was named in a one-count indictment alleging a violation of 21 U.S.C. § 841(a)(1) and 841(b)(1)(A), conspiracy to deliver 25 grams of cocaine base. After a three-day jury trial, Mr. Saborit was found guilty. I filed a motion for a new trial arguing the evidence supporting conviction was too weak to support the verdict and I argued the motion. Judge Bennett granted the motion for a new trial on the basis that the evidence weighed heavily enough against the verdict that a miscarriage of justice may have occurred in the case. The Government subsequently dismissed the charge against Mr. Saborit and chose not to retry him.

3. U.S. v. Franklin, et. al.

Date of Representation: 1997

Judge: U.S. District Court Judge Donald O'Brien

Opposing Counsel: Tim Jarman
Assistant United States Attorney
Mr. Jarman is now a State of Iowa District Associate Judge
assigned to the Third Judicial District,
Woodbury County, Sioux City, Iowa.
Phone: 712-279-6611

I represented Henry Franklin, along with four others, who was named in a three-count indictment alleging a violation of 21 U.S.C. §841(a)(1) and 846, conspiracy to deliver cocaine base. After a three-day jury trial, Mr. Franklin was found guilty. I filed a motion for a new trial, I believe, on

the basis of an item of evidence coming in that the Judge believed should not have been submitted. Judge O'Brien granted Mr. Franklin's motion for a new trial. A second three-day jury trial was held before Judge O'Brien and Mr. Franklin was again found guilty.

4. U.S. v. Aldrich,

169 F.3d 526 Iowa (8th. Cir.1999)

Date of Representation: 1998

Judge: U.S. District Court Judge Ronald Longstaff

Opposing Counsel: Robert Dopf
Assistant United States Attorney
Southern District of Iowa
U.S. Courthouse Annex
110 East Court Avenue, Suite # 286
Des Moines, Iowa 50309-2053
Phone: Mr. Dopf is deceased. Contact information for his former office is provided. Phone: (515) 473-9300.

I represented the defendant, Mr. Aldrich. Mr. Aldrich was named in a three-count indictment charging him with being a felon in possession of a firearm in violation of U.S.C. §922(g); a felon in possession of ammunition in violation of 18 U.S.C. §922(g), and of being in possession of an unregistered illegal shotgun in violation of 26 U.S.C. §§ 5861 and 5871. After a three-day jury trial, Mr. Aldrich was found guilty. I filed a motion for a new trial on all counts and Judge Longstaff granted Mr. Aldrich's post-trial motion on the felon in possession counts, but not the possession of an unregistered firearm count. I filed an appeal from this ruling and argued the appeal before the Eighth Circuit Court of Appeals, Judges Frank McMillian, John R. Gibson, and Theodore McGill. The Court of Appeals found that it would be manifestly unfair to allow the sawed-off shotgun conviction to stand even though the felon in possession convictions had been vacated, and remanded the case for a new trial. I did not handle the re-trial because I had left the Federal Defender's Office by the time of the re-trial.

JURY TRIALS WHILE WITH
GREFE & SIDNEY, P.L.C., 1992-1996

1. Jones v. Gabes Construction

Polk County District Court
500 Mulberry Street,
Des Moines, Iowa 50309

Phone: (515) 286-3754

Date of Representation: 1993

Judge: Iowa State District Court Judge Linda Reade

Opposing Counsel: Fredrick James
James Law Firm,
Equitable Building,
604 Locust St. Suite 630,
Des Moines, Iowa 50309.
Phone: (515) 246-8484

I represented Gabes Construction. A Gabes Construction employee, while driving a company truck, ran a red light and struck Jones who was driving her car through the intersection. Gabe's admitted liability and litigated damages. There was a three-day jury trial on the damages issue. , Plaintiff requested more than \$191,000 in damages from the jury. The jury returned a verdict for the plaintiff of \$24,435.52.

2. Baier v. Welden and Stoen
Polk County District Court
500 Mulberry Street,
Des Moines, Iowa 50309
Phone: (515) 286-3754

Date of Representation: 1994

Judge: Iowa State District Court Judge Michael Streit.

Opposing Counsel: James McGuire
McGuire Law PLC,
101 South Delaware Avenue
Mason City, IA 50401.
Phone (800) 827-517

I represented Welden and Stoen. Bridge sued two Welden and Stoen employees, who were his coworkers, under Iowa Code § 85.20 for injuries he sustained as a result of an excavation cave-in. Plaintiff alleged that his supervisors' decision not to use the "safety box" during excavation was grossly negligent. I represented plaintiff's supervisors. Plaintiff requested damages of \$250,000 to \$500,000. After a three-day jury trial

involving testimony from six witnesses and two experts (OSHA regulation experts), the jury returned a defense verdict.

3. Transport Insurance Company, Thompson Brothers, Inc., and William Wetering, as Special Administrator of the Estate of Robert Sudenga, Deceased v. Chrysler Corporation and Travel Equipment Corporation.

71 F.3d 720 (8th. Cir. 1995)

Date of Representation: 1994

Judge: U.S. District Court Southern District of Iowa, Judge Harold Vietor

Co-Counsel: Mark Thomas,
Grefe & Sidney P.L.C,
500 East Court Avenue, Suite 200,
Des Moines, Iowa 50309.
Phone (515) 245-4300.

Opposing Counsel: James K. Horstman,
Suite 2200,
303 W. Madison,
Chicago, Illinois 60606.
Phone: (312) 332-8494.

I represented defendant Chrysler Corporation. Mr. Sudenga was operating a semi-trailer truck on I-29 in the scope of his employment with Thompson Brothers, Inc. The truck struck the rear of a recreational vehicle occupied by James Kershaw, Margaret Kershaw, Erick Wright and Dorothy Wright. James Kershaw and Dorothy Wright were killed. Robert Sudenga was also killed in the accident.

The Estate of James Kershaw, the Estate of Dorothy Wright, Margaret Kershaw, and Eric Wright all brought civil suits against Thompson Brothers and the Estate of Sudenga, alleging that the injuries and deaths were proximately caused by the negligence of Sudenga and Thompson Brothers. The four cases were consolidated for trial. Just prior to trial, Transport Insurance Company, the insurer of Thompson Brothers and Sudenga, settled with the two Estates and Mrs. Kershaw and Mrs. Wright for a total of \$1.7 million.

Chrysler Corporation had manufactured the chassis of the RV, and Travel Equipment Corporation had purchased the chassis and built the RV on it. After settlement of the underlying cases, Transport Insurance, Thompson Brothers, and Watering, as administrator for the Estate of Sudenga, brought suit against Chrysler Corporation and

Travel Equipment for contribution. Plaintiffs claimed the products manufactured by Chrysler and T.E.C. were defectively designed and unreasonably dangerous and that the defects had proximately caused "enhanced injuries." After a seven-day jury trial involving fifteen witnesses, including design experts and accident reconstruction experts, the jury returned a defense verdict for Chrysler. Plaintiffs filed an appeal. I did not handle the appeal because I had departed Grefe & Sidney for the Federal Defender's Office.

USAF CRIMINAL TRIAL EXPERIENCE

4. U.S. v. Short:

33 M.J. 49 (CMA 1991).

Date of Representation: 1990-92

Military Judge: Col. Jeremiah Mahoney

Soesterberg Air Base, The Netherlands.

Opposing Counsel: P. Michael Cunningham.

Current contact: P. Michael Cunningham
Assistant United States Attorney,
United States Attorney's Office for the District of Maryland,
36 S. Charles Street 4th Floor,
Baltimore, Maryland 21201.
Phone: 410-209-4800.

I represented Sgt. Short, an Air Force Sergeant, in connection with the death of his wife in March of 1988 in The Netherlands. Sgt. Short was convicted of manslaughter and desecration of a corpse by Dutch authorities, but the conviction was overturned on appeal to the Dutch High Court for lack of jurisdiction. During these proceedings, Sgt. Short was examined by the Dutch authorities and found to be suffering from a severe mental defect under the Dutch Standard.

After almost two years of international negotiations related to his extradition to the United States, Sgt. Short was returned to the custody of the United States, and the local command began the steps to court-martial him for the alleged murder of his wife. At this point, I was assigned as Sgt. Short's defense attorney. While these preliminaries were under way, Sgt. Short was examined by a sanity board which opined that he lacked the mental capacity to understand the proceedings against him and to participate meaningfully in his own defense. The case was referred to trial in October of 1990. During a preliminary session of the court-martial, the military judge ruled that Sgt. Short was incompetent and suspended the trial.

The Government placed Sgt. Short at the United States Bureau of Prisons (BOP) Federal Correctional Institute (FCI) Butner, N.C. facility where he was to be examined by a psychiatrist by the BOP. This was a significant legal issue because it was the first time a military member was to be held in a BOP facility under these conditions. I challenged this procedure with a Petition for Extraordinary Relief in the nature of a Writ of Mandamus. I argued the merits of the Petition at the Court of Military Appeals, Washington, D.C. The Court overruled the petition. A hearing was conducted at FCI Butner, NC, during which an expert testified on behalf of the government that Sgt. Short had the capacity to stand trial. Following a determination by the military judge, he was returned to Germany. Thereafter, I negotiated a plea bargain in which Sgt. Short received credit for the time served in the Dutch jail, and plead guilty to second degree murder with a 45-year sentence cap.

5. U.S. v. Gill,

37 MJ 501 (AFCMR 1993).
Bitburg Air Base, Germany

Date of Representation: 1990-91

Military Judge: Col. J. Mahoney

Opposing Counsel: P. Michael Cunningham
Assistant United States Attorney,
United States Attorney's Office for the District of Maryland,
36 S. Charles Street 4th Floor,
Baltimore, Maryland 21201.
Phone: 410-209-4800.

I represented defendant Sgt. Gill who was charged with assault and the premeditated murder of his four-month-old infant daughter. Prior to my assignment to the case, he had made two separate signed statements indicating his culpability, and a forensic pathologist had written a report listing the cause and manner of death asphyxiation/homicide.

This was a fully-litigated jury trial involving expert testimony from two forensic pathologists, psychologists, and more than fifteen fact witnesses. I challenged the admissibility and reliability of Gill's statements through the use of a forensic psychologist.

I also disputed the issue of cause and manner of death by challenging the Armed Forces Institute of Pathology opinion of asphyxiation/homicide through the use of a forensic pathologist. After a thirteen-day trial, Sgt. Gill was found not guilty of murder, but was convicted of assault with a means likely to cause grievous bodily harm.

6. U.S. v. St. Jean:

45 M.J. 435 (C.A.A.F 1996)
Wright-Patterson AFB, Dayton, Ohio.

Date of Representation: 1991

Military Judge: Donald Weir (Deceased)

Opposing Counsel: Dwight Keller.
Current Contact:
Assistant United States Attorney
Southern District of Ohio
United States Attorney's Office Building, 602
200 W. Second Street
Dayton, OH 45402
Office: 937-225-2910.

I represented defendant First Lt. St. Jean, who was charged with the first degree murder of his wife. She was found on the floor of their bathroom in Huber Heights, Ohio, with a gunshot wound to the head.

This case involved forensic testing by a forensic chemist, a forensic pathologist, a firearms expert, a forensic psychiatrist, and crime scene investigators. This was a fully-litigated trial involving eight expert witnesses (four prosecution and four defense), and fifteen days of trial. First Lt. St. Jean was found guilty.

16. Legal Activities: Describe the most significant legal activities you have pursued, including significant litigation which did not progress to trial or legal matters that did not involve litigation. Describe fully the nature of your participation in these activities. List any client(s) or organization(s) for whom you performed lobbying activities and describe the lobbying activities you performed on behalf of such client(s) or organizations(s). (Note: As to any facts requested in this question, please omit any information protected by the attorney-client privilege.)

Securities and Exchange Commission proposed Rule 151A (2008-2010)

On June 26, 2008, the Securities and Exchange Commission published proposed Rule 151A under the Securities Act of 1933. The proposed rule sought to expand the SEC's regulatory authority to include "indexed annuity" products. Under the proposal, annuities would have been regulated as securities if the annuity makes reference to securities or a securities index and is "likely to generate performance greater than the guaranteed amount under the contract." The SEC sought to have agents or producers who sell equity indexed annuities brought under the supervision of the Financial Industry Regulatory Authority (FINRA). While employed at American Equity as Associate General Counsel, I worked under the direction of the General Counsel to generate industry comments during the comment period, and to help challenge the implementation of the Securities and Exchange Commission's proposed rule. After the rule was adopted, I helped with the appeal to the U.S. Court of Appeals for the District of Columbia Circuit.

On July 12, 2010, the U.S. Court of Appeals for the District of Columbia Circuit, in *American Equity Investment Life Insurance Co. v. SEC*, 572 F.3d 923 (2009) vacated the Securities and Exchange Commission's Rule 151A due to flaws in the SEC's cost-benefit analysis.

I have never been a lobbyist.

17. Teaching: What courses have you taught? For each course, state the title, the institution at which you taught the course, the years in which you taught the course, and describe briefly the subject matter of the course and the major topics taught. If you have a syllabus of each course, provide four (4) copies to the committee.

None.

18. Deferred Income/ Future Benefits: List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients or customers. Describe the arrangements you have made to be compensated in the future for any financial or business interest.

As an American Equity employee, I participate in the company 401K plan. This plan includes a contribution by American Equity that becomes fully vested on the 6 year anniversary date from the start of employment. My plan became vested on June 1, 2013. I am also included in the American Equity Investment Employee Stock Ownership Plan. In addition to the benefits offered by my current employer, I continue to participate in a defined benefit plan and deferred compensation plan from my previous employment with the State of Iowa. All contributions between parties ended at the time of my departure. As a retired member of the Iowa Air National Guard, I continue to participate in the Federal Thrift Savings Plan and will be eligible to receive military pension. I have a second Federal Thrift Savings Plan from my work as an Assistant Federal Public Defender.

19. Outside Commitments During Service: Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service with the court? If so, explain.

None.

20. Sources of Income: List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, licensing fees, honoraria, and other items exceeding \$500 or more (if you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here).

See attached SF-278.

22. Potential Conflicts of Interest:

- a. Identify the family members or other persons, parties, affiliations, pending and categories of litigation, financial arrangements or other factors that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to arise.

In connection with the nomination process, I have consulted with the Office of Government Ethics and the Department of Justice's designated agency ethics official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered with the Department's designated agency ethics officials.

- b. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.

In the event of a potential conflict of interest, I will consult with the ethics officials in the Executive Office for United States Attorney, Department of Justice.

23. Pro Bono Work: An ethical consideration under Canon 2 of the American Bar Association's Code of Professional Responsibility calls for "every lawyer, regardless of professional

prominence or professional workload, to find some time to participate in serving the disadvantaged.” Describe what you have done to fulfill these responsibilities, listing specific instances and the amount of time devoted to each. If you are not an attorney, please use this opportunity to report significant charitable and volunteer work you may have done.

My wife and I make financial contributions to the Iowa Legal Aid Foundation.

In addition to my service for 27 years in the USAF and National Guard and 11 years in state and federal government service since leaving active duty USAF in 1992, my community service includes the following:

1. Des Moines Public Library Board of Trustees (1998-present).
2. Keep Iowa Beautiful Board (2005-present), Chairman of Board of Directors. Promotes anti-litter/dumping programs and beautification efforts with Iowa businesses and citizens.
3. Des Moines Area Religious Council Board (2011-present). Interfaith organization that responds to basic human needs in the greater Des Moines community including operating the Food Pantry Network that supports 12 pantry sites.

AFFIDAVIT

I, Kevin W. Techau, do swear that the information provided in this statement is, to the best of my knowledge, true and accurate.

October 3, 2013
(DATE)

Kevin W. Techau
(NAME)



Sandra Lockhart
(NOTARY)