

UNITED STATES SENATE
COMMITTEE ON THE JUDICIARY

QUESTIONNAIRE FOR NON-JUDICIAL NOMINEES

PUBLIC

1. **Name**: State full name (include any former names used).

Karol Virginia Mason

2. **Position**: State the position for which you have been nominated.

Assistant Attorney General for the Office of Justice Programs

3. **Address**: List current office address. If city and state of residence differs from your place of employment, please list the city and state where you currently reside.

Alston & Bird LLP
1201 W. Peachtree Street
Atlanta, Georgia 30309-3424

4. **Birthplace**: State date and place of birth.

1957; Copaigue, New York

5. **Education**: List in reverse chronological order each college, law school, or any other institution of higher education attended and indicate for each the dates of attendance, whether a degree was received, and the date each degree was received.

University of Michigan Law School, J.D., 1982
Attended from August 1979 to May 1982

University of North Carolina at Chapel Hill, A. B. Mathematics, 1979
Attended from August 1975 to May 1979

6. **Employment Record**: List in reverse chronological order all governmental agencies, business or professional corporations, companies, firms, or other enterprises, partnerships, institutions or organizations, non-profit or otherwise, with which you have been affiliated as an officer, director, partner, proprietor, or employee since graduation from college, whether or not you received payment for your services. Include the name and address of the employer and job title or description.

Alston & Bird LLP
1201 W. Peachtree Street
Atlanta, Georgia 30309-3424
Partner
February 2012 – Present

U.S. Department of Justice
950 Pennsylvania Avenue
Washington, D.C. 20530
Deputy Associate Attorney General
April 2009 – January 2012

Alston & Bird LLP
1201 W. Peachtree Street
Atlanta, Georgia 30309-3424
Partner, January 1990 - April 2009
Associate, November 1983 – December 1989

Judge John F. Grady
U.S. District Court for the Northern District of Illinois
219 South Dearborn Street
Chicago, Illinois 60604
Judicial Law Clerk
October 1982 to November 1983

King & Spalding
1180 Peachtree Street, N.W.
Atlanta, Georgia 30309
Summer Associate
July 1982 – August 1982

Alston, Miller & Gaines (now Alston & Bird LLP)
1201 W. Peachtree Street
Atlanta, Georgia 30309-3424
Summer Associate
May 1982 – July 1982

Hogan Lovells (f/k/a Hogan & Hartson)
555 13th St NW # 800E
Washington, DC 20004
May 1981 – August 1981

Summer of 1980, I worked for a very small law firm in Houston, Texas that no longer exists. The primary lawyer I worked for at the time was Jim Plummer. His current contact information is:

Plummer Law Group
4203 Montrose Blvd.
Suite 270
Houston, Texas 77006
Summer Associate

N.A.A.C.P. Legal Defense and Educational Fund
1444 I Street, N.W.
Washington, D.C. 20005
Summer of 1979, primarily for Elaine Jones
Summer Intern

7. **Military Service and Draft Status:** Identify any service in the U.S. Military, including dates of service, branch of service, rank or rate, serial number (if different from social security number) and type of discharge received, and whether you have registered for selective service.

I have not served in the U.S. Military.

8. **Honors and Awards:** List any scholarships, fellowships, honorary degrees, academic or professional honors, honorary society memberships, military awards, and any other special recognition for outstanding service or achievement.

U.S. Attorney General's Distinguished Service Award, 2011
Distinguished Service Medal, University of North Carolina at Chapel Hill, 2010
Breaking the Glass Ceiling Award, Leadership Institute for Women of Color Attorneys in Law and Business, 2009
Diversity Leadership Award, Alston & Bird, 2008
Chair of Partners Committee (firm management committee), Alston & Bird, 2007
Elected Member of Partners Committee (firm management committee), Alston & Bird 2004 through 2007
Harvey Beach Award, University of North Carolina at Chapel Hill, 2004
Leadership Georgia, Class of 1999
Leadership Atlanta, Class of 1993
Distinguished Young Alumna Award, The University of North Carolina at Chapel Hill, 1991
Keynote Speaker, The University of North Carolina at Chapel Hill Cavalcade of Scholars, Recognition Ceremony of Academic Performance, 1991

9. **Bar Associations:** List all bar associations or legal or judicial-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices which you have held in such groups.

State Bar of North Carolina 2002 - Present
State Bar of Georgia - 1983 - Present
Atlanta Bar Association 1983 - 2009
National Association of Bond Lawyers 1983 - 2009, 2012 - Present
National Association of College and University Attorneys 2012 - Present
Gate City Bar Association 1983 - 2009
Georgia Association of Black Women Attorneys 1983 - 2009

10. **Bar and Court Admission:**

- a. List the date(s) you were admitted to the bar of any state and any lapses in membership. Please explain the reason for any lapse in membership.

State Bar of Georgia: November 1983 – Present
State Bar of North Carolina: Fall 2002 – Present

There have been no lapses in my bar memberships.

- b. List all courts in which you have been admitted to practice, including dates of admission and any lapses in membership. Please explain the reason for any lapse in membership. Give the same information for administrative bodies that require special admission to practice.

Superior Court of Fulton County, Georgia, 1983
State Court of Fulton County, Georgia, 1984
Georgia Supreme Court, 1984
Georgia Court of Appeals, 1984
U.S. District Court for the Northern District of Georgia, 1984

There have been no lapses in my memberships.

11. Memberships:

- a. List all professional, business, fraternal, scholarly, civic, charitable, or other organizations, other than those listed in response to Questions 9 or 10 to which you belong, or to which you have belonged, since graduation from law school. Provide dates of membership or participation, and indicate any office you held. Include clubs, working groups, advisory or editorial boards, panels, committees, conferences, or publications.

Woodruff Arts Center, Member of the Board of Trustees, 2012 – Present
Vineyard Square Homeowners Association for my townhouse community in
Chapel Hill, North Carolina, 2005 - Present
Children's HealthCare of Atlanta, Inc., Member of the Board of Directors, 2003 – 2009, Chair of
Audit Committee
University of North Carolina at Chapel Hill, Member of the Board of Trustees, 2001 – 2009,
Vice Chair (2007 – 2009), Chair of Audit and Finance Committee (2005 – 2007)
High Museum of Art, Member of the Board of Directors, 1997 – 2002 and 2004 – 2009, Chair of
Community Relations Committee
National Black Arts Festival, Member of the Board of Directors, 1995 - 2000
The University of North Carolina at Chapel Hill, Member of the Board of Visitors, 1995 - 1999
The University of North Carolina Arts and Sciences Foundation, Member of the Board of
Directors, 1993 – 1999
Wesley Homes, Inc., Chairperson and Member of the Board of Directors; Wesley Woods, Inc.
and Wesley Woods Geriatric Hospital, Inc., Member of the Board of Directors, 1992 –
2008/2009
City of Atlanta-Fulton County Recreation Authority, Member of the Board, 1991 - 1998

I have made financial contributions to charitable organizations over the years. I have not included in the list above any organizations to which I gave funds and did not otherwise participate in programmatic activities, although the organization may have labeled me as a member.

- b. Indicate whether any of these organizations listed in response to 11a above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies. If so, describe any action you have taken to change these policies and practices.

None to my knowledge.

12. Published Writings and Public Statements:

- a. List the titles, publishers, and dates of books, articles, reports, letters to the editor, editorial pieces, or other published material you have written or edited, including material published only on the Internet. Supply four (4) copies of all published material to the Committee.

I have done my best to identify published materials including thorough a review of my personal files and searches of publicly available electronic databases. Despite my searches, there may be other materials that I have been unable to identify, find or remember. I have located the following:

Employment Discrimination Against the Overweight, University of Michigan Journal of Law Reform, Winter 1982. Copy supplied.

Solving Race Problems (Op. Ed. Piece), Atlanta Business Chronicle, April 9 – 15, 1999. Copy supplied.

- b. Supply four (4) copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of on behalf of any bar association, committee, conference, or organization of which you were or are a member. If you do not have a copy of a report, memorandum or policy statement, give the name and address of the organization that issued it, the date of the document, and a summary of its subject matter.

I have done my best to identify any reports, memoranda or policy statements I prepared or contributed in the preparation of, including a thorough review of my personal files and searches of publicly available electronic databases. Despite my searches, I have been unable to identify, find or remember any materials.

- c. Supply four (4) copies of any testimony, official statements or other communications relating, in whole or in part, to matters of public policy or legal interpretation, that you have issued or provided or that others presented on your behalf to public bodies or public officials.

I have done my best to identify all official statements or other communications relating to public policy or legal interpretation, including a thorough review of my personal files and searches on publicly available electronic databases. Despite my searches, I have been unable to identify, find or remember any materials.

- d. Supply four (4) copies, transcripts or recordings of all speeches or talks delivered by you, including commencement speeches, remarks, lectures, panel discussions, conferences, political speeches, and question-and-answer sessions. Include the

date and place where they were delivered, and readily available press reports about the speech or talk. If you do not have a copy of the speech or a transcript or recording of your remarks, give the name and address of the group before whom the speech was given, the date of the speech, and a summary of its subject matter. If you did not speak from a prepared text, furnish a copy of any outline or notes from which you spoke.

I have done my best to identify transcripts or recordings of all speeches or talks delivered, including a thorough review of personal files and searches of publicly available electronic databases. Despite my searches, there may be other materials I have been unable to identify, find or remember. I have located the following:

For the following, I was a seminar leader or participant in seminars for lawyers, explaining the various requirements for the issuance of tax-exempt bonds to finance capital projects for local governments, non-profit organizations and small manufacturing facilities. All of the Bond Attorneys Workshops were held in Chicago, Illinois. The Georgia related seminars all occurred in Georgia, most likely in Atlanta or Savannah, but I don't have records to confirm. I believe that the Southern One-Day Regional Conference took place in Little Rock, Arkansas, but I do not have records to confirm.

- Spring 1986 - Seminar participant - Effect of H.R. 3838 on Tax Exempt Financing, Annual Meeting of the Georgia State Bar Association.
- Summer 1988 - Seminar participant - Local Government Financing: An Update in the Wake of the Tax Reform Act of 1986.
- Fall 1988 - Speaker, National Association of Bond Lawyers' Thirteenth Annual Bond Attorneys' Workshop
- Fall 1989, Speaker, National Association of Bond Lawyers' Fourteenth Annual Bond Attorneys' Workshop
- Fall 1991, Speaker, National Association of Bond Lawyers' Sixteenth Annual Bond Attorneys' Workshop
- Fall 1993, Speaker, National Association of Bond Lawyers' Eighteenth Annual Bond Attorneys' Workshop
- Winter 1997, Speaker, Georgia School Boards Association E. Freeman Leveret Law School Seminar – Special Purpose Local Option Sales Tax
- Fall 2000, Speaker, Economic Development in Georgia Seminar, Institute of Continuing Legal Education in Georgia
- Fall 2002, Speaker, Economic Development in Georgia Seminar, Institute of Continuing Legal Education in Georgia
- Fall 2003, Speaker, Downtown Development Authority Seminar, Institute of Continuing Legal Education in Georgia
- Spring 2004, Presenter, Society for College and University Planning, Southern One-Day Regional Conference, “New Partnerships for Campuses: Planning Partnerships to Deliver Facilities Solutions”

I have not been able to locate any notes or outlines relating to these seminars.

May 14, 2005, Ph.D. Hooding Ceremony, University of North Carolina at Chapel Hill, Remarks as a Member of the Board of Trustees. Copy supplied.

May 15, 2005, Commencement Address, University of North Carolina School of Dentistry. Copy supplied.

June 6, 2007, Speaker, Introduction of Michelle Obama, Atlanta Women for Obama Luncheon. Copy Supplied.

December 2007, Commencement Remarks, University of North Carolina at Chapel Hill, as Vice Chair of the Board of Trustees. Copy supplied.

June 29, 2009, Remarks at the Attorney General's Section 904 Violence Against Women in Indian Country Task Force Meeting, Washington, D.C., as Deputy Associate Attorney General. Copy supplied.

December 18 and 23, 2009, Tribal Consultation Script for Remarks on the Grants Consolidation Proposal Calls, as Deputy Associate Attorney General. Copy supplied.

February 1, 2010, Remarks at the U. S. Department of Justice's Office on Violence Against Women's STOP Conference, San Francisco, California, as the U.S. Department of Justice leadership representative. Copy supplied.

April 16, 2010, Talking Points, Quarterly Meeting of the Attorney General's Coordinating Council on Juvenile Justice and Delinquency Prevention, as Deputy Associate Attorney General. Copy supplied.

June 16 -18, 2010, Panelist, Department of Justice, "Tribal Justice, Safety and Wellness Session" in South Dakota, Rushmore Plaza Civic Center, 444 N. Mount Rushmore Road, Rapid City, SD 57701-1197. I do not have any notes or outlines. Press release supplied.

July 12, 2010, Remarks at the International Family Violence and Child Victimization Research Conference, Portsmouth, New Hampshire, as Deputy Associate Attorney General. Copy supplied.

July 19, 2010, Panelist, "Listening to the Voices of Tribal Youth Circle," Tribal Youth Summit, Institute of American Indian Arts, Santa Fe, New Mexico. I do not have any notes or outlines. Press release supplied.

September 28, 2010, Remarks at the OVW Culturally and Linguistically Specific Services. Program and Sexual Assault Services Culturally Specific Program conference and training, Santa Fe, New Mexico as Deputy Associate Attorney General. I do not have a copy of my remarks, notes or outlines. Press Release supplied.

October 5, 2010, Remarks at the Coordinated Tribal Assistance Solicitation Consultation Session, Spokane, Washington, as Deputy Associate Attorney General. Copy supplied.

November 23, 2010, Speaker, remarks at the Department of Justice Council on Native American Affairs as Deputy Associate Attorney General. Copy Supplied.

November 29, 2010, Speaker, FY2011 CTAS Kick-off Meeting Remarks as Deputy Associate Attorney General. Copy Supplied.

January 12, 2011, CTAS Presentation at Indian Country SORNA Implementation Session, Washington, D.C., as Deputy Associate Attorney General. Copy supplied.

March 29, 2011, CTAS Presentation at MAST Impact Week, Washington, D.C., as Deputy Associate Attorney General, explaining coordinated tribal grant application process. Copy supplied.

July 11, 2011, Strong Cities, Strong Communities Rollout in Memphis, Tennessee, Remarks as Administration Team Leader for Memphis, as Representative of the U.S. Department of Justice. Copy supplied.

October 13, 2011, Suggested Remarks for Associate Attorney General Thomas J. Perrelli, delivered by Karol Mason as his Deputy, Office of Juvenile Justice and Delinquency Prevention National Conference, "Children's Justice & Safety: Unite, Build, Lead," Gaylord National Hotel and Convention Center, National Harbor, Maryland. Copy supplied.

December 14, 2011, Remarks at the Attorney General's Re-chartered Section 904 Violence Against Women in Indian Country Task Force Meeting, Washington, D.C., as Deputy Associate Attorney General. Copy supplied.

December 16, 2011, Remarks at the Coordinated Tribal Assistance Solicitation Consultation Session, Santa Ana Pueblo, New Mexico, as Deputy Associate Attorney General. Copy supplied.

2010 or 2011, Talking Points, Tribal Crime Data Uniform Crime Reporting Training for Tribal Partners, Washington, D.C. Copy supplied.

March 14, 2012, Featured Guest, Question and Answer Session, UNC Alumni Association, National Press Club, Washington, D.C. I do not have any notes or outlines related to this event.

- e. List all interviews you have given to newspapers, magazines or other publications, or radio or television stations, providing the dates of these interviews and four (4) copies of the clips or transcripts of these interviews where they are available to you.

I have done my best to identify all interviews given, including a thorough review of personal files and searches of publicly available electronic databases. Despite my searches, there may be other materials I have been unable to identify, find or remember. I have located the following:

1990, Atlanta Journal and Constitution, "Law firm's first black female partner not annoyed by role as 'milestone.'" Copy supplied.

October 15 – 31, 1997, The Atlanta Tribune, “Legal Eagles, From complex litigation and international banking to criminal defense and white-collar crimes, Atlanta’s African-American attorneys make a formidable ‘Dream Team.’” Copy supplied.

May 2004, The Atlanta Tribune, “Legal Eagle, Atlanta’s first black female partner soars as example of leadership. Copy supplied.

Atlanta Woman, “Legal Eagles, Profile on Karol Mason, Partner, Alston & Bird LLP. Copy supplied.

April 19, 2007, Cox News Service, “Democrats, Obama Win Race For Georgia Campaign Dollars.” Copy supplied.

2009, Fulton County Daily Report, “Alston partner takes DOJ post.” Copy supplied.

Fall 2009, University of Michigan Law School, Law Quadrangle, Feature, Karol V. Mason, Deputy Associate Attorney General. Copy supplied.

April 2, 2011, The Commercial Appeal, Memphis, Tennessee, “Federal officials look at Memphis’ crime-prevention efforts.” Copy supplied.

13. Public Office, Political Activities and Affiliations:

- a. List chronologically any public offices you have held, other than judicial offices, including the terms of service and whether such positions were elected or appointed. If appointed, please include the name of the individual who appointed you. Also, state chronologically any unsuccessful candidacies you have had for elective office or unsuccessful nominations for appointed office.

I served as an appointed member of the Atlanta-Fulton County Recreation Authority from 1991 to 1998. I was appointed by the Fulton County Board of Commissioners. This was a volunteer public service position.

I served as a member of the University of North Carolina at Chapel Hill Board of Trustees from July 2001 to June 2009. I was appointed by the Board of Governors of the University of North Carolina System. This was a volunteer public service position.

I served as the Deputy Associate Attorney General from April 2009 through January 2012.

I have never run for elective office.

- b. List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

I served as a member of President Obama's National Finance Committee from 2007 to 2008, and beginning in February 2012 through December 2012, which was the campaign's fundraising component.

I served as a member of President Obama's Voter Protection Team in 2008 and in 2012. I provided legal assistance to address voting issues in Georgia, North Carolina and Texas during Presidential primaries and elections.

I served as a member of the Democratic National Convention's Credentials Committee as a Georgia Delegate in 2008 and 2012.

I served as a member of the fundraising team and voter protection team for Mayor Shirley Franklin's campaign in 2001.

14. **Legal Career:** Answer each part separately.

- a. Describe chronologically your law practice and legal experience after graduation from law school including:

- i. whether you served as clerk to a judge, and if so, the name of the judge, the court and the dates of the period you were a clerk;

I served as a judicial law clerk for Judge John F. Grady, U.S. District Court for the Northern District of Illinois, October 1982 to October 1983.

- ii. whether you practiced alone, and if so, the addresses and dates;

I have not practiced alone.

- iii. the dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been affiliated, and the nature of your affiliation with each.

Alston & Bird LLP
1201 W. Peachtree Street
Atlanta, Georgia 30309-3424
Partner
February 2012 – Present

U.S. Department of Justice
950 Pennsylvania Avenue
Washington, D.C. 20530
Deputy Associate Attorney General
April 2009 – January 2012

Alston & Bird LLP
1201 W. Peachtree Street
Atlanta, Georgia 30309-3424
Partner, January 1990 - April 2009
Associate, November 1983 – December 1989

Judge John F. Grady
U.S. District Court for the Northern District of Illinois
219 South Dearborn Street
Chicago, Illinois 60604
Judicial Law Clerk
October 1982 to November 1983

King & Spalding
1180 Peachtree Street, N.W.
Atlanta, Georgia 30309
Summer Associate
July 1982 – August 1982

Alston, Miller & Gaines (now Alston & Bird LLP)
1201 W. Peachtree Street
Atlanta, Georgia 30309-3424
Summer Associate
May 1982 – July 1982

Hogan & Hartson (now Hogan Lovells)
555 13th St NW # 800E
Washington, DC 20004
Summer Associate
May 1981 – August 1981

Plummer Law Group
4203 Montrose Blvd.
Suite 270
Houston, Texas 77006
Summer Associate
Summer 1980

N.A.A.C.P. Legal Defense and Educational Fund
1444 I Street, N.W.
Washington, D.C. 20005
Summer Intern
Summer 1979

- iv. whether you served as a mediator or arbitrator in alternative dispute resolution proceedings and, if so, a description of the 10 most significant matters with which you were involved in that capacity.

I have never served as a mediator or arbitrator.

b. Describe:

- i. the general character of your law practice and indicate by date when its character has changed over the years.

From November 1983 through 2009, and February 2012 to the present, I served as bond counsel, underwriter's counsel, issuer's counsel and borrower's counsel in tax-exempt financings for cities, counties, school districts, universities, hospitals and other non-profits and state-wide issuers, including the States of Georgia and North Carolina. In my first few years of practice, I also worked on general corporate matters, such as 10K filings and mergers and acquisitions for firm clients, and represented healthcare clients in general matters.

At the Department of Justice, my primary responsibilities were to oversee the Tax Division and the Grant making components at the Department of Justice, including the Office of Justice Programs, the Office on Violence Against Women and the COPS Office.

- ii. your typical clients and the areas at each period of your legal career, if any, in which you have specialized.

During my time at the Department of Justice, I oversaw the Office of Justice Programs, the Office on Violence Against Women and the Community Oriented Policing Services Office ("COPS", and collectively the "grant components"), Community Relations Services and the Tax Division.

I led a cross-Department initiative to address criminal justice issues in New Orleans, and convened and led a team comprised of representatives from each of the Department's grant components, the Civil Rights Division, the U.S. Attorney's Office, the FBI, DEA and Community Relations Services. The New Orleans model we established serves as the model for other cross-Department initiatives.

I led the Attorney General's initiative, known as Defending Childhood, to address children exposed to violence. I led the identification and implementation of six demonstration sites, two of which are in Indian Country. I was also the driving force behind the creation of the Attorney General's Task Force on Children Exposed to Violence, which is Co-Chaired by Joe Torre and Robert Listenbee. I also brought the Department of Health and Human Services and the Department of Education in as partners in this initiative.

I led the Department's consolidation of all Tribal grants under a single solicitation. In previous years, Tribes were required to respond to more than 20 separate grant solicitations. I also developed a partnership with the U.S. Attorneys in Indian Country and convinced them to be session leaders at the annual Tribal Youth Summit hosted by the Department.

I was the leader of the Memphis Team for the White House's Strong Cities, Strong Communities initiative. Our team brought together the Departments of Justice, Education, Health and Human Services, Labor, Transportation and Treasury to develop a plan for the federal government to help Memphis address labor preparedness and access, education and criminal justice issues.

In private practice at Alston & Bird, my typical clients are governmental entities and not-for-profit organizations, such as local school districts and municipalities. My clients are, among others, Fulton County, Georgia, the States of Georgia and North Carolina, the Georgia Higher Education Facilities Authority, the Private Colleges and Universities Authority and Grady Memorial Hospital. I also represent investment banks, such as Wells Fargo, as underwriters of local school bond issues or university bond issues.

- c. Describe the percentage of your practice that has been in litigation and whether you appeared in court frequently, occasionally, or not at all. If the frequency of your appearances in court varied, describe such variance, providing dates.

- i. Indicate the percentage of your practice in:

1. federal courts: 0%

I have not practiced in federal courts.

2. state courts of record;

During my time at the Department of Justice, I did not practice in state courts. In Georgia, my court practice was limited to validation proceedings in County Superior Courts, which is a constitutionally required prerequisite to issuing bonds in Georgia. Validation is a very perfunctory process. You are required to place a notice in the county's legal organ for two consecutive weeks and then appear before the Superior Court of the County the following week to present an order to the Judge. None of my validations ever involved an intervention, so the orders were signed as a matter of routine by the presiding Judge.

I served as bond counsel for a bond issue in Clark County, Georgia where a resident objected to the school district demolishing a school as provided in the bond documents. I participated in the hearing before the Superior Court and in the final argument before the Georgia Supreme Court.

3. other courts;

I have not been involved in proceedings in any other courts

4. administrative agencies

Neither my work at the Department of Justice nor my private practice involved appearing before administrative agencies

- ii. Indicate the percentage of your practice in:

1. civil proceedings:

Less than 5%

2. criminal proceedings:

0%.

- d. State the number of cases in courts of record, including cases before administrative law judges, you tried to verdict, judgment or final decision (rather than settled), indicating whether you were sole counsel, chief counsel, or associate counsel.

I have never tried a case to verdict, judgment or final decision.

- i. What percentage of these trials were:

1. Jury: 0%;
2. non-jury: 0%.

- e. Describe your practice, if any, before the Supreme Court of the United States. Supply four (4) copies of any briefs, amicus or otherwise, and, if applicable, any oral argument transcripts before the Supreme Court in connection with your practice.

I have not practiced before the Supreme Court of the United States.

15. **Litigation:** Describe the ten (10) most significant litigated matters which you personally handled, whether or not you were the attorney of record. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the case. Also state as to each case:

I served as bond counsel for a bond issue in Clark County, Georgia where a resident objected to the school district demolishing a school as provided in the bond documents. I participated in the hearing before the Superior Court and in the final argument before the Georgia Supreme Court.

Below is the requested information relating to this case:

- a. the date of representation;

July 13, 1998, James F. Thornton; James Moses and Carl P. Jordan, individually and on behalf of Similarly Situated Taxpayers, Residents and Voters of Athens-Clarke County, Georgia, filed a Complaint and Petition for Judicial Review; Writ of Mandamus; and Temporary and Permanent Injunctive Relief, against Clarke County School District, Individually and as a "Local Unit of Administration" for the State Board of Education and as Political Subdivision of the State of Georgia, Civil Action No. SU-98-CV-1145-S, July 13, 1998, in the Superior Court of Athens-Clarke County, Georgia.

- b. the name of the court and the name of the judge or judges before whom the case was litigated; and

The Complaint and Petition was filed in the Superior Court of Athens-Clarke County, Georgia. The Case was assigned to the Honorable Lawton E. Stephens, Judge of said Court.

- c. the individual name, addresses, and telephone numbers of co-counsel and of principal counsel for each of the other parties.

Defendant, Clarke County School District, was defended by the Law Firm of McLeod, Benton, Begnaud and Marshall, L.L.P. The pleadings were signed by Terrell W. Benton, Jr., Malcolm C. McArthur, and William C. Berryman, Jr., whose address at that time was The Franklin House, 480 East Broad Street, P. O. Box 8108, Athens, Georgia 30603.

Presently, the address of Terrell W. Benton, Jr., and Malcolm C. McArthur is:

Hall Booth Smith, P.C.
440 College Avenue North
Suite 120
Athens, Georgia 30601-2773
Telephone: 706-316-0231

The Plaintiffs were represented by the following Attorney, whose address at that time is stated below:

Alan M. Alexander, Jr.
485 Huntington Road
Suite 195
Athens, Georgia 30606

The present mailing address of Alan M. Alexander, Jr. is:

Alan M. Alexander, Jr.
1091 Founders Boulevard
Suite C
Athens, Georgia 30606

I was not listed as Attorney of Record in the Case in the lower Court; however, I signed the Brief of Appellee in Case No. S98A1977 in the Supreme Court, State of Georgia, which was filed on or about October 21, 1998.

Oral Argument was heard in the Case of Thornton et al v. Clarke County School District et al, Case No. S98A1977 on Tuesday, November 10, 1998. I was present for Oral Argument; I was listed as Counsel for the Defendant, Clarke County School District. The Decision in Thornton et al v. Clarke County School District et al, Case No. S98A1977 was rendered March 8, 1999. I was listed in the Decision as Counsel for Appellee.

I am also providing the following legal references:

Thomas J. Perrelli
Former Associate Attorney General
Jenner & Block
1099 New York Avenue, NW
Suite 900
Washington, DC 20001-4412
202-639-6000

Larry Thompson
Former Deputy Attorney General
Executive Vice President, Government Affairs, General Counsel and Corporate Secretary
PepsiCo, Inc.
700 Anderson Hill Road
Purchase, NY 10577
914-253-3633

Richard Deane
Former U.S. Attorney for the Northern District of Georgia
Jones Day
1420 Peachtree Street, NE
Suite 800
Atlanta GA 30309-3053
404-581-8502

Judge John F. Grady
U.S. District Court for the Northern District of Illinois
Everett McKinley Dirksen U.S. Courthouse
219 South Dearborn Street
#2286
Chicago, Illinois 60604
312-435-5670

Steven M. Collins
Alston & Bird LLP
1201 West Peachtree Street
Atlanta, Georgia 30309
404-881-7149

Patricia Timmons-Goodson
Retired Justice, North Carolina Supreme Court
(910) 308-3677

Willis Whichard
Retired Justice, North Carolina Supreme Court
Moore & Van Allen
430 Davis Drive
Suite 500
Morrisville, NC 27560
(919) 286-8054

Robert D. McCallum Jr.
Former Associate Attorney General
1327 Peachtree Road
Apt #904
Atlanta, GA 30309-3255
404-228-3737

Wright Banks
Deputy Georgia Attorney General
40 Capitol Square, SW
Atlanta, GA 30334
404- 651-6247

Patricia Ferrari
Senior Advisor
MBIA Insurance Corporation
113 King Street
Armonk, NY 10504
914-765-3153

Michael D. Smith
Synovus Financial Corp.
Synovus Centre
Suite 501
1111 Bay Avenue
Columbus, GA 31909
706-649-2007

Lance P. Dunning
General Counsel
Clark Atlanta University
223 James P. Brawley Drive, SW
Harkness Hall
Room 312
Atlanta GA 30314
404- 880-8051

16. **Legal Activities:** Describe the most significant legal activities you have pursued, including significant litigation which did not progress to trial or legal matters that did not involve litigation. Describe fully the nature of your participation in these activities. List any client(s) or organization(s) for whom you performed lobbying activities and describe the lobbying activities you performed on behalf of such client(s) or organizations(s). (Note: As to any facts requested in this question, please omit any information protected by the attorney-client privilege.)

As a Deputy Associate Attorney General at the U.S. Department of Justice from the end of April 2009 to the end of January 2012, I oversaw the Office of Justice Programs, the Office on Violence Against Women and the Community Oriented Policing Services Office ("COPS", and collectively the "grant components"), Community Relations Services and the Tax Division.

I created a cross-Department initiative to address criminal justice issues in New Orleans, and convened and led a team comprised of representatives from each of the Department's grant components, the Civil Rights Division, the U.S. Attorney's Office, the FBI, DEA and Community Relations Services. The New Orleans model she established serves as the model for other cross-Department initiatives, including the work in Puerto Rico.

I led the Attorney General's initiative to address children exposed to violence, known as Defending Childhood. I led the identification and implementation of six demonstration sites, two of which are in Indian Country. I was also the driving force behind the creation of the Attorney General's Task Force on Children Exposed to Violence, which is Co-Chaired by Joe Torre and Robert Listenbee. I remained involved in the work of the task force, through the release of their report in December 2012. I also brought the Department of Health and Human Services and the Department of Education in as partners in this initiative.

I led the Department's consolidation of all Tribal grants under a single solicitation. In previous years, Tribes were required to respond to more than 20 separate grant solicitations. She also developed a partnership with the U.S. Attorneys in Indian Country and convinced them to be session leaders at the annual Tribal Youth Summit hosted by the Department.

I was the leader of the Memphis Team for the White House's Strong Cities, Strong Communities initiative. Our team brought together the Departments of Justice, Education, Health and Human Services, Labor, Transportation and Treasury to develop a plan for the federal government to help Memphis address labor preparedness and access, education and criminal justice issues.

I demonstrated an ability to create collaborations and partnerships across the Department of Justice and across the Administration. I believe that the time I spent in the leadership offices of the Department of Justice will enable me to identify opportunities for collaboration across the Department. My role in overseeing all of the Department's grant components also equips me to implement stronger collaboration and efficiencies across the grant components. My work with the COPS office and the initiatives that I developed with the U.S. Attorneys' Offices will give me a foundation to help build relationships with the larger law enforcement community.

I was the first African American woman to become a partner at a major law firm in the City of Atlanta, and the first African American women to be elected to its management committee. I also was elected to serve as chair of the management committee, one of the first African American women to hold this position at a national law firm.

In addition to my work at Alston & Bird, I served as Vice Chair of the Board of Trustees at The University of North Carolina at Chapel Hill and served as a member of the Board for eight years. I was the first African American woman elected to serve as Vice Chair of the Board of Trustees, and the first woman to chair the Audit and Finance Committee at the University.

My practice centers on providing financing for governmental bodies, non-profits and hospitals to finance capital projects. I served as primary finance counsel for Grady Memorial Hospital, the largest public hospital in the State of Georgia and one of the largest in the southeast, for over twenty years. In this capacity, I advised Grady as it embarked on a major expansion and renovation program. I was also key in helping to develop the financing strategy to rehabilitate several inner city neighborhoods in the City of Atlanta that are now thriving examples of public private partnerships, such as the Summerhill and East Lake Communities. I also developed the financing structure to assist the Universities of Tennessee Knoxville and Chattanooga in implementing their student housing master plan.

Through my work as bond counsel in Georgia, North Carolina, Tennessee and Alabama, I have broad experience in working with local governments and assisting local governments and private developers in finding creative solutions to help convert depressed neighborhoods into thriving economically successful communities.

17. **Teaching**: What courses have you taught? For each course, state the title, the institution at which you taught the course, the years in which you taught the course, and describe briefly the subject matter of the course and the major topics taught. If you have a syllabus of each course, provide four (4) copies to the committee.

Other than teaching classes for Teach for America and Junior Achievement as a volunteer professional, I have not had served as a teacher. I no longer have any notes or materials for these classes.

18. **Deferred Income/ Future Benefits**: List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients or customers. Describe the arrangements you have made to be compensated in the future for any financial or business interest.

I expect to receive an annual payment of approximately \$126,200 from Alston & Bird upon my retirement if I am confirmed for this position. This is a five year supplemental retirement benefit that I earned as a partner at the firm for over 25 years. A portion of the benefit will be a return on capital and the remainder will be a taxable benefit. The benefit will be paid on a monthly basis for five years.

19. **Outside Commitments During Service**: Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service? If so, explain.

I have no such plans.

20. **Sources of Income**: List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, licensing fees, honoraria, and other items exceeding \$500 or more (if you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here).

See attached SF278.

21. **Statement of Net Worth**: Please complete the attached financial net worth statement in detail (add schedules as called for).

See attached net worth statement.

22. **Potential Conflicts of Interest**:

- a. Identify the family members or other persons, parties, affiliations, pending and categories of litigation, financial arrangements or other factors that are likely to

present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to arise.

In connection with the nomination process, I have consulted with the Office of Government Ethics and the Department of Justice's designated agency ethics official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered into with the Department's designated agency ethics official.

- b. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.

In connection with the nomination process, I have consulted with the Office of Government Ethics and the Department of Justice's designated agency ethics official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered into with the Department's designated agency ethics official.

23. **Pro Bono Work**: An ethical consideration under Canon 2 of the American Bar Association's Code of Professional Responsibility calls for "every lawyer, regardless of professional prominence or professional workload, to find some time to participate in serving the disadvantaged." Describe what you have done to fulfill these responsibilities, listing specific instances and the amount of time devoted to each. If you are not an attorney, please use this opportunity to report significant charitable and volunteer work you may have done.

As a member of the Board of Trustees of the University of North Carolina at Chapel Hill, I focused on providing access to higher education for disadvantaged youth and worked with the University leadership to make sure that the University is accessible to students with limited or no financial resources.

I have mentored many young students, college and law school, over the years, and I have served as a mentor for minority and women lawyers across the City of Atlanta and the State of North Carolina, not simply Alston & Bird.

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS			LIABILITIES		
Cash on hand and in banks	5,000		Notes payable to banks-secured	0	
U.S. Government securities-add schedule	0		Notes payable to banks-unsecured	122,133	
Listed securities-add schedule	1,955,286		Notes payable to relatives	0	
Unlisted securities--add schedule	0		Notes payable to others	25,000	
Accounts and notes receivable:	0		Accounts and bills due	6,000	
Due from relatives and friends	0		Unpaid income tax	0	
Due from others	0		Other unpaid income and interest	0	
Doubtful	0		Real estate mortgages payable-add schedule	501,507	
Real estate owned-add schedule	850,000		Chattel mortgages and other liens payable	0	
Real estate mortgages receivable	0		Other debts-itemize:	0	
Autos and other personal property	102,000			0	
Cash value-life insurance				0	
Other assets itemize:				0	
Cash Balance Plan	314,083			0	
Monthly Rent on Chapel Hill, NC Townhouse	800 per month			0	
			Total liabilities	654,640	
			Net Worth	2,571,729	
Total Assets	3,226,369		Total liabilities and net worth	3,226,369	
CONTINGENT LIABILITIES			GENERAL INFORMATION		
As endorser, comaker or guarantor	0		Are any assets pledged? (Add schedule)	Only real estate mortgages	
On leases or contracts	4,500 per		Are you defendant in any suits	No	

	month			or legal actions?		
Legal Claims	None			Have you ever taken bankruptcy?	No	
Provision for Federal Income Tax	None					
Other special debt	None					

AFFIDAVIT

I, Karol V. Mason, do swear that the information provided in this statement is, to the best of my knowledge, true and accurate.

(DATE)

Karol V. Mason
(NAME)

Miriam Brioso
(NOTARY) MIRIAM BRIOSO
NOTARY PUBLIC DISTRICT OF COLUMBIA
My Commission Expires February 14, 2014