

**UNITED STATES SENATE
COMMITTEE ON THE JUDICIARY**

QUESTIONNAIRE FOR NON-JUDICIAL NOMINEES

PUBLIC

1. **Name**: State full name (include any former names used).

James B. Comey, Jr.

2. **Position**: State the position for which you have been nominated.

Director, Federal Bureau of Investigation

3. **Address**: List current office address. If city and state of residence differs from your place of employment, please list the city and state where you currently reside.

Columbia University Law School
435 West 116th St.
New York, New York 10027

Residence: Westport, Connecticut

4. **Birthplace**: State date and place of birth.

1960; Yonkers, New York

5. **Education**: List in reverse chronological order each college, law school, or any other institution of higher education attended and indicate for each the dates of attendance, whether a degree was received, and the date each degree was received.

University of Chicago Law School; October 1982 - June 1985; J.D. 1985

College of William and Mary; August 1978 - May 1982; B.S. 1982

6. **Employment Record**: List in reverse chronological order all governmental agencies, business or professional corporations, companies, firms, or other enterprises, partnerships, institutions or organizations, non-profit or otherwise, with which you have been affiliated as an officer, director, partner, proprietor, or employee since graduation from college, whether or not you received payment for your services. Include the name and address of the employer and job title or description.

Senior Research Scholar and Hertog Fellow on National Security Law (2/13 to date)
Columbia University Law School
435 West 116th St.
New York, New York 10027

Director (3/13 to date)
HSBC Group plc
London E14 5HQ, United Kingdom

Member (9/12 to date) (unpaid)
Defense Legal Policy Board
United States Department of Defense (Pentagon)
875 North Randolph Street
Arlington, Virginia 22203

General Counsel (9/10-1/13)
Bridgewater Associates, LP
One Glendinning Place
Westport, Connecticut 06880

Board Member (3/08-3/12) (unpaid)
Alumni Association
One Alumni Drive
P.O. Box 2100
College of William and Mary
Williamsburg, Virginia 23187

University of Chicago Law School (all unpaid)
1111 E. 60th Street
Chicago, Illinois 60637
Visiting Committee Member (est. 9/07-9/10)
Business Advisory Council (2011 – date)
Public Service Advisory Council (2013 – date)

Board Member and Chair (10/05-9/10) (unpaid)
U.S. Chamber of Commerce
National Chamber Litigation Center
1615 H Street NW
Washington, DC 20062

Senior Vice President and General Counsel (9/05-9/10)
Lockheed Martin
6801 Rockledge Drive
Bethesda, Maryland 20817

Deputy Attorney General (12/03-8/05)
United States Department of Justice
950 Pennsylvania Avenue, NW
Washington, DC 20530
Chair, President's Corporate Fraud Task Force (est. 2003-2005)
Chair, Presidential Board on Safeguarding Americans' Civil Liberties (est. 2003-2005)

United States Attorney (1/02-12/03)
Southern District of New York
One St. Andrew's Plaza
New York, New York 10007

Managing Assistant United States Attorney (9/96-1/02)
United States Attorney's Office, Eastern District of Virginia
600 East Main Street
Richmond, Virginia 23219

Acting Chairman, Weed & Seed of Richmond, Inc. (1998-1/02) (non-profit) (unpaid)
c/o United States Attorney's Office
600 East Main Street
Richmond, Virginia 23219

Board Member
The Healing Place, Inc. (2000-1/02) (non-profit addressing homelessness) (unpaid)
c/o The United Way
200 East Broad Street
Richmond, Virginia 23219

Adjunct Professor (1994-1/02)
University of Richmond Law School
28 Westhampton Way
Richmond, Virginia 23173

Associate & Partner (8/93-9/96)
McGuireWoods, LLP
901 East Cary Street
Richmond, Virginia 23219

Deputy Special Counsel (6/95-7/95)
U.S. Senate Special Committee to Investigate Whitewater
and Related Matters
United States Senate
Washington, DC 20510
(Held position while an associate at McGuire Woods and the firm billed the U.S. Senate
for my services.)

Assistant United States Attorney (est. 10/87-8/93)
United States Attorney's Office, Southern District of New York
One St. Andrew's Plaza
New York, New York 10007

Associate (est. 9/86-10/87)
Gibson, Dunn & Crutcher, LLP
200 Park Avenue
New York, New York 10166

Law Clerk (9/85-9/86)
Hon. John M. Walker, Jr.
United States Courthouse
Foley Square
New York, New York 10007

Summer Associate (6/84-9/84)
Cahill, Gordon & Reindel
80 Pine Street
New York, New York 10005

Summer Associate (7/83-9/83)
McCarter & English
4 Gateway Center
100 Mulberry Street
Newark, New Jersey 07102

Law Clerk (6/82-8/82)
Thomas H. Bruinooge, Esq.
85 Orient Way
Rutherford, New Jersey 07070

7. **Military Service and Draft Status:** Identify any service in the U.S. Military, including dates of service, branch of service, rank or rate, serial number (if different from social security number) and type of discharge received, and whether you have registered for selective service.

I have not served in the U.S. Military. I have registered for selective service.

8. **Honors and Awards:** List any scholarships, fellowships, honorary degrees, academic or professional honors, honorary society memberships, military awards, and any other special recognition for outstanding service or achievement.

2011 Carter O. Lowance Fellowship, William and Mary Law School

2008 Honorary Doctor of Laws, College of William and Mary

1994 Director's Award for Superior Performance, Department of Justice

1993 Henry L. Stimson Medal from New York City Bar Associate as outstanding Assistant U.S. Attorney in the Southern District of New York

1992 Director's Award for Superior Performance, Department of Justice

1982 James Frederick Carr Cup for Character, Scholarship & Leadership, College of William and Mary

9. **Bar Associations:** List all bar associations or legal or judicial-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices which you have held in such groups.

Virginia State Bar – Professionalism Faculty (2000-1/02)

Virginia Bar Association – Task Force on Professionalism (1998-1/02)

Virginia Bar Association – Special Committee on Issues of National and State Importance (2000-1/02)

Association of General Counsel, Member (10/05-9/10)

10. **Bar and Court Admission:**

- a. List the date(s) you were admitted to the bar of any state and any lapses in membership. Please explain the reason for any lapse in membership.

New York (1986)

Virginia (1994)

Connecticut Authorized In-house Counsel (2011-13)

There have been no lapses in membership.

- b. List all courts in which you have been admitted to practice, including dates of admission and any lapses in membership. Please explain the reason for any lapse in membership. Give the same information for administrative bodies that require special admission to practice.

U.S. District Courts for the Southern and Eastern Districts of New York (1987)

U.S. Court of Appeals for the Second Circuit (1987)

U.S. District Court for the Eastern District of Virginia (1993)

U.S. Court of Appeals for the Fourth Circuit (1996)

United States Supreme Court (2004)

There have been no lapses in membership.

11. **Memberships:**

- a. List all professional, business, fraternal, scholarly, civic, charitable, or other organizations, other than those listed in response to Questions 9 or 10 to which you belong, or to which you have belonged, since graduation from law school. Provide dates of membership or participation, and indicate any office you held. Include clubs, working groups, advisory or editorial boards, panels, committees, conferences, or publications.

Colonies Wilde Lake Association, Richmond, Virginia (1993-5/02)

Colonies Swim & Tennis, Richmond, Virginia (1993-5/02)

Board member, The Healing Place, Inc., Richmond, Virginia (2000-1/02)

Acting Chairman, Weed & Seed of Richmond, Inc., Richmond, Virginia (1998-1/02)

Sultana Ridge Pool, Yorktown Heights, New York (2002-04)

PTA, Richmond, Virginia (1993-2001); Somers, New York (2002-03); McLean, Virginia (2004-10)

Somers High School Booster Club, Somers, Connecticut (2002-03)

Highlands Swim Club, McLean, Virginia (2004-08)

Chesterbrook Swim Club, McLean, Virginia (2008-10)

Morrow United Methodist Church, Maplewood, New Jersey (1988-93)

Reveille United Methodist Church, Richmond, Virginia (1993-2002)

Yorktown Heights United Methodist Church, Yorktown Heights, New York (2002-04)

Mt. Olivet United Methodist Church, Arlington, Virginia (2004-07)

Metropolitan United Methodist Church, Washington, DC (2007-10)

Southport Congregational Church, Southport, Connecticut (2010-present)

TPC Potomac at Avenel Farm Golf Club, Potomac, Maryland (2008-present)

Westport Weston YMCA, Weston, Connecticut (2010-present)

Aspetuck Valley Country Club, Weston, Connecticut (2011-present)

I have made financial contributions to charitable organizations over the years. I have not included in the list above any organizations to which I gave funds and did not otherwise participate in organization activities, although the organization may label me as a member by virtue of my financial contributions.

- b. Indicate whether any of these organizations listed in response to 11a above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies. If so, describe any action you have taken to change these policies and practices.

None to my knowledge.

12. Published Writings and Public Statements:

- a. List the titles, publishers, and dates of books, articles, reports, letters to the editor, editorial pieces, or other published material you have written or edited, including material published only on the Internet. Supply four (4) copies of all published material to the Committee.

I have done my best to identify all titles, publishers and dates of books, articles, reports, letters to the editor, editorials or other published material, including through a review of my personal files and searches of publicly available electronic databases. Despite my searches, there may be other materials I have been unable to identify, find or remember. I have located the following:

James B. Comey, Letter to the Editor, "Leave Emotion out of the Abortion Debate," The New York Times, May 2, 1982.

James B. Comey, "Wide-eyed Visitor to Africa Discovers Blessings of America," The Wall Street Journal, September 12, 1983 opinion/editorial page.

James B. Comey, Letter to the Editor, "High Hurdle to Boosting New York Wine," The New York Times, April 7, 1984 (responding to criticism of New York Times editorial opposing proposal to permit New York supermarkets to sell only New York wines).

James B. Comey, Letter to the Editor, "How Far Should Society Butt in on Smokers," The Wall Street Journal, May 18, 1984 (responding to editorial about cigarette smokers' rights).

Deputy Attorney General James B. Comey, Letter to the Editor, "In Defense of Civil Liberties," The New York Times, September 27, 2004 (responding to criticism of Civil Liberties board).

Deputy Attorney General James B. Comey, Letter to the Editor, “Re: Politics and the Patriot Act,” The New York Times, April 28, 2004 (responding to editorial about delayed notification search).

“Intelligence Under The Law,” 10 GREEN BAG 2D 439 (2007) (Deputy AG speech at NSA Law Day, May 2005).

“Holder’s Reasonable Decision,” The Washington Post, opinion/editorial page (November 20, 2009) (with Jack Goldsmith).

“The Role of General Counsel in a Crisis,” book chapter in “Defending Corporations and Individuals in Government Investigations” (West 2011).

- b. Supply four (4) copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of on behalf of any bar association, committee, conference, or organization of which you were or are a member. If you do not have a copy of a report, memorandum or policy statement, give the name and address of the organization that issued it, the date of the document, and a summary of its subject matter.

None.

- c. Supply four (4) copies of any testimony, official statements or other communications relating, in whole or in part, to matters of public policy or legal interpretation, that you have issued or provided or that others presented on your behalf to public bodies or public officials.

I have done my best to identify any testimony, official statements or other communications relating to public policy or legal interpretation, including through a review of my personal files and searches on publicly available electronic databases. Despite my searches, there may be other items I have been unable to identify, find or remember. I have located the following:

June 19, 2002, testimony before the Senate Judiciary Committee Subcommittee on Crime and Drugs concerning “Penalties for White Collar Criminal Offenses.”

November 14, 2002, testimony before the United States Sentencing Commission Ad Hoc Advisory Group on Organizational Sentencing Guidelines.

October 29, 2003, testimony before the Senate Judiciary Committee, Confirmation hearing on the Nomination of James B. Comey to be Deputy Attorney General, Department of Justice.

March 24, 2004, testimony before the House Appropriations Subcommittee on Commerce, Justice, State, the Judiciary and Related Agencies, hearing on the FY 2005 Budget.

April 14, 2004, testimony before the Senate Judiciary Committee hearing on “Preventing and Responding to Acts of Terrorism: A Review of Current Law.”

September 22, 2004, testimony before the Senate Judiciary Committee hearing on “A Review of Counter-Terrorism Legislation and Proposals.”

June 8, 2005, testimony before the House Judiciary Committee on the Reauthorization of the PATRIOT ACT.

June 16, 2005, Advisory Committee on Federal Rules, remarks on Proposal to Amend Rule 16, Boston, MA.

July 20, 2005, testimony before the Senate Judiciary Committee hearing on “Reporters’ Privilege Legislation: Issues and Implications of S. 340 and H.R. 581, the Free Flow of Information Act of 2005.”

May 3, 2007, testimony before the House Judiciary Committee Subcommittee on Commercial and Administrative Law hearing on “The Continuing Investigation into the U.S. Attorney Controversy.”

May 15, 2007, testimony before the Senate Judiciary Committee hearing on “Preserving Prosecutorial Independence: Is the Department of Justice Politicizing the Hiring and Firing of U.S. Attorneys: Part IV.”

June 14, 2007, testimony before the House Permanent Select Committee on Intelligence closed hearing on “NSA Terrorist Surveillance Program and the FISA system.”

June 27, 2007, testimony before the Senate Intelligence Committee closed hearing on Terrorist Surveillance Program and FISA.

June 8, 2011, Senate Judiciary Committee hearing on “The President’s Request to Extend the Service of Director Robert Mueller of the FBI until 2013.”

- d. Supply four (4) copies, transcripts or recordings of all speeches or talks delivered by you, including commencement speeches, remarks, lectures, panel discussions, conferences, political speeches, and question-and-answer sessions. Include the date and place where they were delivered, and readily available press reports about the speech or talk. If you do not have a copy of the speech or a transcript or recording of your remarks, give the name and address of the group before whom the speech was given, the date of the speech, and a summary of its subject matter. If you did not speak from a prepared text, furnish a copy of any outline or notes from which you spoke.

I have done my best to identify transcripts or recordings of all speeches or talks delivered, including through a review of personal files and searches of publicly available electronic databases. Despite my searches, there may be other material I have been unable to identify, find or remember. The records of my speeches that I have located are set forth below.

In addition, during my service in the United States Attorney's Office for the Southern District of New York and as the Deputy Attorney General, I gave essentially the same or very similar speeches on some topics on multiple occasions. They are described below to the best of my ability, but I cannot reconstruct the specific dates and other details of each such speech. I have supplied documents relevant to these speeches whenever possible.

From 1997-02, I gave a number of speeches about Project Exile and the importance of prosecuting gun possession crimes (notes only or no notes).

April 1998, keynote address about importance of reducing demand for drugs, Metro Richmond Coalition Against Drugs, Richmond, VA (notes only).

From 1/02 to 8/05, I gave many talks within and outside the Department of Justice about white collar crime, gun crime (including the Violent Crime Impact initiative I led as Deputy Attorney General), terrorism, the PATRIOT ACT, and/or the work of federal prosecutors (usually without notes or from notes only).

September 25, 2002, Corporate Fraud Conference, Washington, D.C. (notes only).

June 15, 2003, Connecticut Bar Association Panel on Counterterrorism/Civil Rights (notes only).

June 17, 2003, Law Library of Congress, "The Holmes Debates" (notes only).

August 29, 2003, Convocation Address, College of William and Mary, Williamsburg, VA.

December 11, 2003, DEA 30th Anniversary Commemoration, Alexandria, VA.

January 29, 2004, "Trends in White Collar Criminal Enforcement," Federalist Society Luncheon, Washington, D.C. (notes only).

February 5, 2004, "Mitigating the Impact of Intellectual Property Theft and Counterfeiting," United States Chamber of Commerce Strategic Leadership Forum.

February 20, 2004, Installation as Deputy Attorney General, Great Hall, Department of Justice, Washington, D.C.

March 16, 2004, Judicial Conference of the United States on issues of concern to judiciary, Supreme Court Building, Washington, D.C.

March 22, 2004, "The Meaning of Valor," Medal of Valor Awards ceremony, Great Hall, Department of Justice, Washington, D.C.

March 29, 2004, "Fighting Terrorism and Preserving Civil Liberties," Fox Lecture, New York Crime Commission, New York, New York.

March 30, 2004, U.S. Marshals Director's Awards, Alexandria, VA.

April 23, 2004, DEA Graduation Ceremony, Quantico, Virginia (notes only).

May 5, 2004, Civil Division Office of Immigration Litigation Annual Conference, Washington, D.C.

May 5, 2004, National Network of Youth Ministries National Mentoring Recruitment Campaign, Washington, D.C.

May 10, 2004, Portland Community Forum on Counter-Terror Challenges (notes only).

May 13, 2004, American Bar Association, Institute on Health Care Fraud, New Orleans, Louisiana.

May 19, 2004, Fighting Terrorism and Preserving Civil Liberties," American Law Institute, Washington, D.C.

June 2004, Richmond Police Department Graduation, Richmond, VA.

June 1, 2004, Deputy Attorney General Comey Remarks Regarding Jose Padilla.

June 14, 2004, "Trends in White Collar Criminal Enforcement," American Corporate Counsel Association, Alexandria, Virginia (copies not located).

June 16, 2004, National Project Safe Neighborhoods Conference, Kansas City, Missouri (notes only).

July 20, 2004, OCEDTF National Leadership Conference, Washington, D.C. (first page of text unavailable).

July 21, 2004 "The State of the Department," 9th Circuit Judicial Conference, Monterrey, California (notes only).

August 30, 2004, Crimestoppers International conference, Cincinnati, Ohio (notes only).

September 17, 2004, Federal Prison Industries 70th anniversary conference, Washington, D.C.

September 30, 2004, PATRIOT Act, Americas Conference, Miami, Florida.

October 1, 2004, "The Meaning of Valor," Medal of Valor Awards ceremony, Richmond, Virginia.

October 18, 2004, "Lessons Learned from Recent Corporate Debacles," National Association of Corporate Directors," Washington, D.C. (notes only).

October 20, 2004, Intellectual Property Student Summit, Great Hall, Department of Justice, Washington, D.C.

October 22, 2004, "The Meaning of Valor," Law Enforcement Awards Ceremony, Pittsburgh, Pennsylvania.

October 22, 2004, Pittsburgh Community Forum on Counter-Terror Challenges (notes only; please see notes from May 10, 2004 Portland Community Forum).

November 9, 2004, "Why Project Safe Neighborhoods Matters," American Prosecutors Research Institute Conference, Alexandria, Virginia.

November 17, 2004, "Lessons Learned From Recent Corporate Debacles," CNBC Conference, Washington, D.C. (please see notes from 10/18/04 speech).

December 6, 2004, Conference on Partnering to Prevent Truancy, Washington, D.C.

February 11, 2005, "Fighting Terrorism and Preserving Civil Liberties," Virginia Bar Criminal Law Section, Williamsburg, Virginia (please see notes from March 23, 2005 and April 15, 2005).

March 7, 2005, Symposium on Victims of Federal Crime, Atlanta, Georgia (notes only).

March 23, 2005, "Fighting Terrorism and Preserving Civil Liberties," Duke Law School, Durham, North Carolina (notes only).

April 15, 2005, "Fighting Terrorism and Preserving Civil Liberties," Emroch Lecture, University of Richmond Law School, Richmond, Virginia.

April 15, 2005, "Lessons Learned From Recent Corporate Debacles," Leadership Metro Richmond, Richmond, Virginia (notes only).

May 6, 2005, International Fugitive Conference, Toronto, Canada (notes only).

May 16, 2005, National Law Enforcement Memorial Remembrance, Washington, D.C.

May 20, 2005, "Intelligence Under the Law," NSA Law Day, Ft. Meade, Maryland.

May 23, 2005, "Relationship with the Judiciary," 7th Circuit Judicial Conference, Washington, D.C. (notes only).

May 24, 2005, National Gathering of Tribal Justice Officials, Washington, D.C.

May 26, 2005, Iraq Regime Crimes Liaison Office Awards Ceremony, Department of Justice, Washington, D.C. (copies not located).

July 11, 2005, “Do the Write Thing” awards ceremony, Washington, D.C. (notes only).

July 12, 2005, National Sentencing Policy Institute, Washington, D.C. (notes only).

July 27, 2005, National Advocacy Center First AUSA conference (by video) (notes only).

August 15, 2005, Farewell Remarks, Great Hall, Department of Justice, Washington, D.C.

May 17, 2006, Commencement Address, Cleveland State Law School, Cleveland, Ohio.

June 25, 2006, Khobar Towers Remembrance, Arlington National Cemetery.

July 19, 2006, “Becoming a Star,” Summer Associate Class, Kirkland & Ellis, Washington, D.C. (notes only).

August 2006, “Lessons of the Enron Era,” Directors’ Roundtable, Washington, D.C.

October 20, 2006, Induction of Charles Rosenberg as U.S. Attorney for Eastern District of Virginia, U.S. Courthouse, Alexandria, Virginia (notes only).

May 20, 2007, “Lesson of the Enron Era,” Commencement Address at William Mitchell School of Law, St. Paul, Minnesota (notes only).

June 14, 2007, Defense Industry Initiative on Business Ethics and Conduct, J.W. Marriott Hotel, Washington D.C. (copies not located).

October 10, 2007, Brookings Institution Conference on Prosecutorial Misconduct (notes only).

November 15, 2007, “Can We Talk? Seeking Common Ground in Fighting Terrorism,” ABA Standing Committee on National Security, Washington, D.C.

December 4, 2007, “Meaning of AUSA/Meaning of Life,” EDVA District Conference, Alexandria, Virginia.

February 9, 2008, Charter Day, College of William and Mary, Williamsburg, VA.

February 21, 2008, “Lessons from the Enron Era,” University of North Carolina Law School, Chapel Hill, NC (please see notes from August 2006 and October 2, 2009).

March 4, 2008, “Integrity, Public Trust, and Prosecution,” Vera Institute Justice Address, Harvard Club, New York, New York.

March 14, 2008, “Lessons from the Enron Era,” Barristers Conference, Maui, Hawaii.

April 16, 2008, Green Award Luncheon, University of Richmond Law School, Richmond, Virginia.

June 3, 2008, “Integrity and Prosecution,” UJA Criminal Justice Committee, Harmonie Club, New York, New York.

September 10, 2008, Practicing Law Institute, New York, New York (notes only).

September 15, 2008, District of Oregon U.S. Attorney Conference, Bend, Oregon (notes only).

September 16, 2008, “Seeking Common Ground on National Security,” Seattle University Law School, Seattle, Washington (notes only)

October 2, 2008, Mandel Legal Aid Clinic, University of Chicago Law School, Chicago, Illinois.

October 28, 2008, “To be an AUSA,” National Advocacy Center, Columbia, South Carolina (notes only)

February 23, 2009, “Why Public Service,” Public Service Forum, Dickenson College, Carlisle, Pennsylvania.

March 31, 2009, “Can We Talk? Seeking Common Ground in Fighting Terrorism,” Hubert H. Humphrey Institute of Public Affairs, University of Minnesota, Minneapolis, Minnesota.

May 8, 2009, NYU Center on Administration of Criminal Law, New York, New York (notes only)

June 4, 2009, Chief Legal Officer Forum, New York, New York (notes only)

August 28, 2009, Convocation Address, College of William and Mary, Williamsburg, Virginia.

September 10, 2009, “Leading as a GC,” General Counsel Roundtable, Arlington, Virginia.

September 25, 2009, George Wythe Lecture, William and Mary Law School, Williamsburg, Virginia.

October 2, 2009, “Lessons from the Enron Era,” District Conference, Northern District of Mississippi, Oxford, Mississippi (notes only).

October 15, 2009, Richmond Crimestoppers 25th Anniversary, Richmond, Virginia.

March 23, 2010, All-U.S. Attorney Conference, Tempe, Arizona (notes only).

April 14, 2010, “Making a Profit,” Board of Contract Appeals Judges Association, Alexandria, Virginia.

May 6, 2010, “Can We Talk? Seeking Common Ground in Fighting Terrorism,” National Security Law Committee of the D.C. Bar Association, Washington, D.C.

March 14, 2011, William and Mary Law School Lowance Fellowship Lunch.

November 13, 2011, DNI Inspector General staff (notes only).

March 9, 2012, dinner remarks about charity and character to Friendly Sons of St. Patrick, Westchester Dinner, Tarrytown, New York.

April 12, 2013, Columbia Law's Center for Constitutional Governance Conference on "The Next Four Years: Major Issues In Constitutional Governance."

April 17, 2013, "How to be a Star," Columbia Law Students (notes only).

May 21, 2013, "Institutional Culture and Leadership," Conference of Inspectors General, Patent and Trademark Office Conference Center, Alexandria, Virginia (notes only).

- e. List all interviews you have given to newspapers, magazines or other publications, or radio or television stations, providing the dates of these interviews and four (4) copies of the clips or transcripts of these interviews where they are available to you.

I have done my best to identify all interviews given, including through a review of personal files and searches of publicly available electronic databases. Despite my searches, there may be other materials I have been unable to identify, find or remember. I have located the following:

Date	News Source	Title
1. 08/08/05	<i>Washington Post</i>	"Lockheed Puts Faith In Tough Lawyer; Compliance Is Focus Of New Legal Chief"
2. 04/27/05	<i>Buffalo News (New York)</i>	"Rigases Called 'Crooks' Who Corrupted Firm; Head of Fraud Squad Said Rigas Case Had 'Everything You See In Corporate Crime'"
3. 03/03/05	<i>Las Vegas Review Journal (Nevada)</i>	"Authorities Tout Success of Anti-Crime Initiative"
4. 10/02/04	<i>Richmond Times Dispatch (VA)</i>	"Federal Officials See Progress In Fighting Richmond Gangs"
5. 07/21/04	<i>CNBC News Transcripts</i>	"James Comey Discusses Corporate Fraud Cases"
6. 07/12/04	<i>AP</i>	"Corporate Crackdown Reaches High Point"
7. 06/27/04	<i>CBS News Transcripts</i>	"Dr. Sam Waksal; Bad business decisions that have put the former ImClone CEO in prison"

			for seven years”
8.	06/02/04	<i>CNN.com</i>	“U.S.: Suspected 'dirty bomb' plot included plan to blow up apartments”
9.	06/02/04	<i>The Washington Post</i>	“U.S. Details Case Against Terror Suspect”
10.	06/01/04	<i>CNN Live Event/Special</i>	“Justice Department Briefing on Jose Padilla Justice Department Briefing on Jose Padilla”
11.	04/06/04	<i>AP</i>	“Even adversaries have good things to say about new deputy attorney general”
12.	04/06/04	<i>AP State & Local Wire</i>	“New deputy attorney general has admirers among friends, foes”
13.	09/15/03	<i>Los Angeles Times</i>	“Taking Center Stage; Prosecutor James Comey Moves to the Forefront in Wall Street Cases
14.	06/30/03	<i>Today</i>	“US Attorney James Comey Talks About Prosecuting Martha Stewart and Other White Collar Crimes”
15.	06/30/03	<i>Virginia Lawyers Weekly</i>	“Corporate Scandals Keep U.S. Attorney James B. Comey Busy”
16.	06/16/03	<i>New York Observer</i>	“Meet Martha’s Prosecutor”
17.	06/13/03	<i>The Evening Standard (London)</i>	“America’s Celebrity Prosecutors; Chasing the White Collar Crooks Puts Top Lawyers on the Glory Trail”
18.	06/05/03	<i>Chicago Tribune</i>	“Steward Indicted for Stock Fraud, Quits as CEO”
19.	06/05/03	<i>New York Daily News</i>	“Martha hit, the Quits, Pleads Guilty and Steps Down from Jobs
20.	03/05/03	<i>Newsday</i>	“James Comey, U.S. Attorney from Southern District of New York, and Some High Profile Corporate Crime Cases He is Investigating”
21.	01/30/03	<i>The Journal News</i>	“U.S. Attorney Tackles White Collar Crime”
22.	12/29/02	<i>New York Daily News</i>	“At War with Terror: U.S.

			Attorney James Comey's Mission"
23.	12/26/02	<i>The Journal News</i>	"U.S. Attorney Shares Spotlight"
24.	12/21/02	<i>New York Times</i>	"Empathy Shapes a Prosecutor, a Past Victim of Violence and Greed"
25.	11/26/02	<i>The Osgood File (CBS)</i>	"Federal Authorities Break Identity Theft Ring"
26.	09/14/02	<i>The Economist</i>	"Out to Catch the Big Fish"
27.	08/06/02	<i>Business Center (CNBC)</i>	"James Comey, U.S. Attorney for the Southern District of New York, Discusses Corporate Fraud Lawsuits and Penalties"
28.	08/04/02	<i>New York Post</i>	"Wall Street Prosecutor Bullish on His New Job"
29.	11/25/01	<i>Cleveland Plain Dealer</i>	"Richmond, Va., Persuades Bad Guys to Drop Their Guns"
30.	02/04/01	<i>Richmond Times Dispatch</i>	"Pardon of Rich Stunned Prosecutor; Comey was Once Assigned to Case"
31.	09/28/00	<i>The Baltimore Sun</i>	"Md. Crimes Effort Debated. City Should Follow 'Exile' Rprogram in Va., Ehrlich Says. Battaglia Defends 'Disarm'"
32.	04/12/00	<i>Christian Science Monitor</i>	"A Gun Control Plan that Even the NRA Can Love"
33.	04/01/00	<i>Calgary Herald</i>	"Free Ride Out of State; Gun Means Exile"
34.	01/20/00	<i>Los Angeles Times</i>	"Making A Federal Case Out of Guns"
35.	10/10/99	<i>Morning Call</i>	"Feds Help Easton Fight Crime"
36.	10/12/99	<i>Milwaukee Journal Sentinel</i>	"In Virginia, A Tough Stance on Gun Crime Appears to Hit the Target"
37.	09/11/99	<i>Washington Post</i>	"Federal-Local Gun Control Venture Stymied by Success"
38.	09/05/99	<i>The Houston Chronicle</i>	"Tougher Firearms Laws Put Convicted Felon Under Gun"
39.	07/05/99	<i>Richmond Times Dispatch</i>	"Morrissey is Jailed on

		Contempt Charge”
40.	06/10/99	<i>USA Today</i>
41.	05/14/99	<i>Richmond Times Dispatch</i>
42.	04/12/98	<i>Richmond Times Dispatch</i>
43.	02/22/97	<i>Richmond Times Dispatch</i>

13. Public Office, Political Activities and Affiliations:

- a. List chronologically any public offices you have held, other than judicial offices, including the terms of service and whether such positions were elected or appointed. If appointed, please include the name of the individual who appointed you. Also, state chronologically any unsuccessful candidacies you have had for elective office or unsuccessful nominations for appointed office.

United States Attorney, Southern District of New York; appointed by President George W. Bush (1/02 to 12/03)

Deputy Attorney General of the United States; appointed by President George W. Bush (12/03-8/05)

- b. List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

None.

14. Legal Career: Answer each part separately.

- a. Describe chronologically your law practice and legal experience after graduation from law school including:

- i. whether you served as clerk to a judge, and if so, the name of the judge, the court and the dates of the period you were a clerk;

Law Clerk, Hon. John M. Walker, Jr., Southern District of New York (1985-86)

- ii. whether you practiced alone, and if so, the addresses and dates;

I have not practiced alone.

- iii. the dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been affiliated, and the nature of your affiliation with each.

Senior Research Scholar and Hertog Fellow on National Security Law
(2/13 to date)
Columbia University Law School
435 West 116th St.
New York, New York 10027

Director (3/13 to date)
HSBC Group plc
London E14 5HQ, United Kingdom

Member (9/12 to date) (unpaid)
Defense Legal Policy Board
United States Department of Defense (Pentagon)
875 North Randolph Street
Arlington, Virginia 22203

General Counsel (9/10-1/13)
Bridgewater Associates, LP
One Glendinning Place
Westport, Connecticut 06880

Board Member and Chair (10/05-9/10) (unpaid)
U.S. Chamber of Commerce
National Chamber Litigation Center
1615 H Street NW
Washington, DC 20062

Senior Vice President and General Counsel (9/05-9/10)
Lockheed Martin
6801 Rockledge Drive
Bethesda, Maryland 20817

Deputy Attorney General (12/03-8/05)
United States Department of Justice
950 Pennsylvania Avenue, NW
Washington, DC 20530
Chair, President's Corporate Fraud Task Force (est. 2003-2005)
Chair, Presidential Board on Safeguarding Americans' Civil Liberties (est. 2003-2005)

United States Attorney (1/02-12/03)

Southern District of New York
One St. Andrew's Plaza
New York, New York 10007

Managing Assistant United States Attorney (9/96-1/02)
United States Attorney's Office, Eastern District of Virginia
600 East Main Street
Richmond, Virginia 23219

Acting Chairman, Weed & Seed of Richmond, Inc. (1998-1/02) (non-profit) (unpaid)
c/o United States Attorney's Office
600 East Main Street
Richmond, Virginia 23219

Adjunct Professor (1994-1/02)
University of Richmond Law School
28 Westhampton Way
Richmond, Virginia 23173

Associate & Partner (8/93-9/96)
McGuireWoods, LLP
901 East Cary Street
Richmond, Virginia 23219

Deputy Special Counsel (6/95-7/95)
U.S. Senate Special Committee to Investigate Watergate
and Related Matters
United States Senate
Washington, DC 20510
(Held position while an associate at McGuire Woods and the firm billed the U.S. Senate for my services.)

Assistant United States Attorney (est. 10/87-8/93)
United States Attorney's Office, Southern District of New York
One St. Andrew's Plaza
New York, New York 10007

Associate (est. 9/86-10/87)
Gibson, Dunn & Crutcher, LLP
200 Park Avenue
New York, New York 10166

Law Clerk (9/85-9/86)
Hon. John M. Walker, Jr.
United States Courthouse

Foley Square
New York, New York 10007

- iv. whether you served as a mediator or arbitrator in alternative dispute resolution proceedings and, if so, a description of the 10 most significant matters with which you were involved in that capacity.

I have not served as a mediator or arbitrator.

b. Describe:

- i. the general character of your law practice and indicate by date when its character has changed over the years.

I have spent much of my career as an attorney with the Department of Justice, first as an AUSA investigating and prosecuting a wide range of matters in New York and Virginia, then as the United States Attorney in Manhattan, and finally as the Deputy Attorney General. My time with the Department of Justice was preceded by a year as an associate at a big law firm focused on civil litigation and then broken up by a three-year stint with a large law firm in Richmond, where I did mostly civil trial work. Since leaving government service in 2005, I have been general counsel of a prominent public company and a well-known private investment manager. The focus in both those general counsel roles was on managing lawyers, both inside and outside the companies, supervising complex matters, and advising the senior leadership of the firm on a wide variety of matters.

1986-87: commercial litigation
1987-93: criminal prosecution
1993-96: commercial litigation and criminal defense
1996-2001: criminal prosecution
2001-05: supervising government lawyers/agents/employees
2005-13: general counsel to companies
2013: academic

- ii. your typical clients and the areas at each period of your legal career, if any, in which you have specialized.

For much of my career, my client was the United States. Outside of government service, I have represented mostly institutions, both as outside counsel and as in-house general counsel. I also did a small amount of criminal defense work representing individuals during my time at a Richmond law firm.

1986-87: large accounting firm, accountant's liability defense
1987-93: federal criminal prosecution

1993-96: manufacturing company, toxic tort defense; railroad, FELA defense; various corporate clients, internal investigations and representation during government investigations; criminal defense trial
1996 to 2001: federal criminal prosecution
2001-05: United States government
2005-13: the companies where I was employed

- c. Describe the percentage of your practice that has been in litigation and whether you appeared in court frequently, occasionally, or not at all. If the frequency of your appearances in court varied, describe such variance, providing dates.

1986-2001: all litigation, 100%
2002-13: supervising litigation as well as many other areas, est. 75%

Court appearance frequency:

1986-87: not at all
1987-93: frequently as prosecutor
1993-96: occasionally
1996-2003: occasionally
2003-05: once
2005-13: not at all

- i. Indicate the percentage of your practice in:

For the periods during which I litigated:

1. federal courts: 100% when with the government; 20% when in private practice);
2. state courts of record: 0% when with the government; 80% when in private practice;
3. other courts;
4. administrative agencies.

- ii. Indicate the percentage of your practice in:

For the periods during which I litigated:

1. civil proceedings: 0% when with the government; 80% when in private practice;
2. criminal proceedings: 100% when with the government; 20% when in private practice.

- d. State the number of cases in courts of record, including cases before administrative law judges, you tried to verdict, judgment or final decision (rather than settled), indicating whether you were sole counsel, chief counsel, or associate counsel.

25 (sole counsel in 18; chief counsel in 4; co-counsel in 3)

- i. What percentage of these trials were:
 - 1. Jury, 95%; and
 - 2. non-jury, 5%.
- e. Describe your practice, if any, before the Supreme Court of the United States. Supply four (4) copies of any briefs, amicus or otherwise, and, if applicable, any oral argument transcripts before the Supreme Court in connection with your practice.

Represented the United States at Oral Argument in Devenpeck v. Alford, November 2004.

Brief of Amici Curiae Kenneth B. Mehlman et al. as Amici Curiae Supporting Respondents, *Hollingsworth v. Perry*, No. 12-144 (2012). Copies attached.

15. **Litigation:** Describe the ten (10) most significant litigated matters which you personally handled, whether or not you were the attorney of record. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the case. Also state as to each case:

- a. the date of representation;
- b. the name of the court and the name of the judge or judges before whom the case was litigated; and
- c. the individual name, addresses, and telephone numbers of co-counsel and of principal counsel for each of the other parties.

(1) *United States v. John Gambino, et al.*, 88 CR 919 (Judge Leisure, Southern District of New York) (1991-93). Served as lead prosecutor in racketeering, murder, and drug trafficking case against members of La Cosa Nostra in New York. Case was tried during first six months of 1993. Lead defendants John and Joe Gambino were convicted of bail jumping, but jury hung on remaining charges. They and one other defendant (Mannino) then pled guilty before retrial and the fourth defendant (Romano) was convicted at a trial after I left the Southern District of New York for Richmond.

Co-counsel:

Patrick J. Fitzgerald (then Assistant U.S. Attorney in Southern District of New York)
Skadden Arps
155 N. Wacker Drive
Chicago, Illinois 60606
(312) 407-0700

Defense counsel:

George Santangelo (John Gambino)
Suite 2100
225 Broadway
New York, New York 10007-3001
(212) 267-4488

Bruce Cutler (Joe Gambino)
41 Madison Avenue
New York, New York 10010
(212) 233-6100

Charles Carnesi (Lorenzo Mannino)
34 Daniel Rd N
North Massapequa, New York 11758-1915
(718) 855-6646

Howard Leader (Matteo Romano)
111 Broadway 12th Fl
New York, New York 10006-1901
(212) 753-3794

(2) United States v. Paul Tinnirello, et al., 90CR428 (Judge Cedarbaum, Southern District of New York) (1989-93). Served as sole prosecutor of racketeering, robbery, and theft case against group of robbers and fences operating out of Manhattan's 47th Street jewelry district. After two leaders pleaded guilty, seven defendants were tried during April and May 1991. Four were convicted, three acquitted. Affirmed, United States v. Tinnirello, 998 F.2d 53 (2d Cir. 1993).

Defense counsel:

Lawrence V. Carra (Paul Tinnirello)
114 Old Country Road
Mineola, New York 11501
(516) 742-1135

Harriet B. Rosen (Lorenzo Gregory)
240 W 23rd St
New York, New York 10011-2305

(212) 366-6166

Alfred F. Brown (Frank Mucchiello)
655 Mosswood Ave
Orange, New Jersey 07050-3024
(973) 643-8098

Jacob R. Evseroff (Joseph Disomma)
186 Joralemon St
Brooklyn, New York 11201-4326
(718) 875-0903

Anthony L. Ricco (Frank Tinnirello)
361 Broadway
New York, New York
(212) 629-4995

Jo Ann Harris (Michael Pugliese)
Scholar in Residence
Pace University School of Law
78 N. Broadway
White Plains, New York 10603
(914) 422-4401

Howard Mulholland (Charles Lachterman)
83-74 Talbot Avenue, #1E
Kew Gardens, New York 11415
(Last known address)

(3) United States v. Joel Walker Harris , 3:97CR141 (Judge Spencer, Eastern District of Virginia) (1996-98). Served as lead prosecutor in racketeering and fraud case against Richmond businessman, who was former political aide in Richmond, and his wife. Harris had his wife pose as wealthy heiress to obtain bank financing for their corporate schemes. Both pled guilty before trial.

Co-counsel:

Robert E. Trono (then Assistant U.S. Attorney, EDVA)
Lockheed Martin Corporation
6801 Rockledge Drive
Bethesda, Maryland 20817
(301) 897-6000

Defense counsel:

Craig Cooley

3000 Idlewood Avenue
Richmond, Virginia 23221
(804) 358-2328

Edward E. Scher
316 W. Broad Street
Richmond, Virginia 23219
(804) 644-0711

(4) United States v. Leonidas Young , 3:98CR302 (Judge Williams, Eastern District of Virginia) (1998-2000). Served as lead prosecutor in racketeering, fraud, and corruption case against Richmond's former mayor. Investigation and indictment exposed kickbacks to Mayor Young, as well as his efforts to obstruct our investigation. Case resolved by guilty plea to racketeering, fraud, and obstruction on eve of trial.

Co-counsel:
Robert E. Trono (then Assistant U.S. Attorney, EDVA)
Lockheed Martin Corporation
6801 Rockledge Drive
Bethesda, Maryland 20817
(301) 897-6000

Defense counsel:

Michael Morchower
9 East Franklin Street
Richmond, Virginia 23219
(804) 643-0147

(5) United States v. Thomas Wilkinson and Edward Conk , 3:95CR68-01 (Judge Merhige, Eastern District of Virginia) (1995-96). This was a federal criminal case in which I served as defense counsel for Thomas Wilkinson, a businessman accused of fraud and money laundering. Wilkinson and his partner, Edward Conk, were accused of bilking millions from an investor in their medical management companies. Case tried in federal court in Richmond for two weeks in December 1995. My client was convicted on all charges and went to federal prison. Affirmed , United States v. Wilkinson, 137 F.3d 214 (4th Cir. 1998).

Co-counsel:

Richard Cullen (co-counsel for Wilkinson)
McGuireWoods, LLP
901 East Cary Street
Richmond, Virginia 23219
(804) 775-1000

Dennis W. Dohnal (Conk)

The McCammon Group
6641 West Broad Street, Suite 400
Richmond, Virginia 23230
804-343-0922

Prosecutor:

Assistant U.S. Attorney David Maguire
U.S. Attorney's Office
600 East Main, Suite 1800
Richmond, Virginia 23219
(804) 819-5400

(6) United States v. Anthony Dawkins, 88 CR 526 (Judge Edelstein, Southern District of New York) (1988-89). Served as sole prosecutor of conspiracy and interstate theft charges against leader of ring involved in theft of American Express travelers checks. Case involved Dawkins's use of figures in the New York art world to travel abroad and falsely report large amounts of travelers checks stolen. Tried in April 1989 in U.S. District Court in Manhattan. Dawkins was convicted.

Defense counsel:

Howard Mulholland
83-74 Talbot Avenue, #1E
Kew Gardens, New York 11415
(Last known address)

(7) United States v. Herbert Smith and Joseph Peeples, 85 CR 434 (Judge Keenan, Southern District of New York) (1989-90). Served as sole prosecutor of arms export case based on the defendants' effort to export military helicopters to Iran. Case was tried in November 1989 in U.S. District Court in Manhattan and both defendants were convicted. Affirmed, United States v. Smith, 918 F.2d 1082 (2d Cir. 1990).

Defense counsel:

Michael Sporn (Smith)
Suite 2199
225 Broadway
New York, New York 10013-2909
(212) 791-1200

Martin Fogelson (Peeples)
South Tower 12th Floor
470 Park Ave South
New York, New York 10016-6819
(212) 679-4262

(8) United States v. Osumau Kwakye , 87 CR 962 (Judge Keenan, Southern District of New York) (1988-89). Served as sole prosecutor of drug importation case stemming from investigation of West African heroin trade. Case involved cooperating witnesses and undercover taping. It was tried in 1989 in U.S. District Court in Manhattan and Kwakye was convicted.

Defense counsel:

Barry Weinstein
888 Grand Concourse
Bronx, New York 10451-2802
(718) 665-9000

(9) United States v. Khoroush Bakhtiari , 88 CR 395 (Judge Sand, Southern District of New York) (1988-90). Served as sole prosecutor of weapons and prison escape case against Iranian national. Bakhtiari was an aspiring terrorist who was arrested with a frightening array of weapons as he tried to rent a high-floor apartment near the United Nations. While in federal custody, he escaped by sliding down a rope made of dental floss from 7th floor of federal jail, but was apprehended. Case was tried in August 1989 in U.S. District Court in Manhattan and Bakhtiari was convicted. Affirmed in part, vacated in part (for resentencing), United States v. Bakhtiari, 913 F.2d 1053 (2d Cir. 1990).

Defense counsel:

John P. Curley
Federal Defender Services
52 Duane Street
New York, New York 10007
(212) 417-8700

(10) United States v. Ramon Coates, 3:97CR73 (Judge Williams, Eastern District of Virginia) (1997-98). Served as sole prosecutor in 1997 of firearms case brought under Project Exile.

Coates was a felon connected to a firearm by Richmond police. Case was fairly simple, but very significant because it was one of the early Exile cases and I tried it before a hostile federal judge in an effort to show management support for Project Exile. Coates was convicted after a jury trial. Affirmed, United States v. Coates, 1998 WL 454793 (4th Cir. 1998) (unpublished).

Defense counsel:

JeRoyd Green
2809 North Avenue
Richmond, Virginia 23222
(804) 321-1728

16. **Legal Activities:** Describe the most significant legal activities you have pursued, including significant litigation which did not progress to trial or legal matters that did not

involve litigation. Describe fully the nature of your participation in these activities. List any client(s) or organization(s) for whom you performed lobbying activities and describe the lobbying activities you performed on behalf of such client(s) or organizations(s). (Note: As to any facts requested in this question, please omit any information protected by the attorney-client privilege.)

As an attorney, I have participated in a wide range of legal activities, from the trials listed above, to internal investigations, to counseling boards and senior executives, to overseeing mergers, acquisitions, and equity investments. I have been fortunate to touch nearly every area of the law in and out of government. As a government leader, I participated in analysis and decision-making on a host of subjects, from national security to criminal investigative and policy matters. My exposure to legal issues after my government service was similarly broad and also involved leading complex organizations and advising the senior leadership of enterprises. Describing matters in any detail from the periods in which I served as General Counsel would risk breaching my duty of confidentiality to my clients. I have not engaged in lobbying activities.

17. **Teaching:** What courses have you taught? For each course, state the title, the institution at which you taught the course, the years in which you taught the course, and describe briefly the subject matter of the course and the major topics taught. If you have a syllabus of each course, provide four (4) copies to the committee.

I taught trial practice for seven years (1995-2001) at the University of Richmond Law School and appellate advocacy for about three of those years. Copies attached.

18. **Deferred Income/ Future Benefits:** List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients or customers. Describe the arrangements you have made to be compensated in the future for any financial or business interest.

Upon reaching the age of 55, in December 2015, I expect to receive my lump-sum pension payment from Lockheed Martin in the amount of \$400,000.

I expect to receive from Bridgewater Associates, LP, \$163,000 on June 30, 2013, and, if I am confirmed, I will also receive a full payout of my interests in its profit sharing plan, in the amount of \$3,072,654, prior to the date that I assume the position of the Director of the FBI.

19. **Outside Commitments During Service:** Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service? If so, explain.

No.

20. **Sources of Income:** List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, licensing fees, honoraria, and other items exceeding \$500 or more (if you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here).

See attached SF 278.

21. **Statement of Net Worth:** Please complete the attached financial net worth statement in detail (add schedules as called for).

See attached Net Worth Statement.

22. **Potential Conflicts of Interest:**

- a. Identify the family members or other persons, parties, affiliations, pending and categories of litigation, financial arrangements or other factors that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to arise.

In connection with the nomination process, I have consulted with the Office of Government Ethics and the Department of Justice's designated agency ethics official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered into with the Department's designated agency ethics official. I am not aware of any other potential conflicts of interest.

- b. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.

In connection with the nomination process, I have consulted with the Office of Government Ethics and the Department of Justice's designated agency ethics official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered into with the Department's designated agency ethics official. I am not aware of any other potential conflicts of interest.

23. **Pro Bono Work:** An ethical consideration under Canon 2 of the American Bar Association's Code of Professional Responsibility calls for "every lawyer, regardless of professional prominence or professional workload, to find some time to participate in serving the disadvantaged." Describe what you have done to fulfill these responsibilities, listing specific instances and the amount of time devoted to each. If you are not an attorney, please use this opportunity to report significant charitable and volunteer work you may have done.

I have tried to help the disadvantaged in many ways during my life, including using my skills as a lawyer. Much of my career was devoted to working long hours to protect the disadvantaged from the ravages of violent crime, work that is among the most meaningful I have ever done. I started with the legal aid clinic in law school, have done tutoring, mentoring, and pro bono projects, but I think it is fair to say the work I have tried to do for others in non-legal roles has been more extensive than any pro bono work I have done. For example, my wife and I are licensed foster parents and care for infants and toddlers placed with us by the Connecticut Department of Children and Families. As part of that commitment, in late 2011, we took into our home a newborn boy born prematurely with cocaine in his blood stream and cared for him for six months until he could be placed with a foster/adoptive mother. Because of our interest in foster care, we donated money to create a charitable foundation devoted to supporting children who "age out" of foster care, but struggle to survive. In Richmond, I served on the Board of The Healing Place, a non-profit devoted to reducing drug addiction among the homeless population, and as Acting Chairman of Weed & Seed of Richmond Inc., a non-profit dedicated to reviving crime-ridden neighborhoods. In nearly all the communities in which we have lived, I have taught Sunday school and done volunteer work through our churches.

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks	1	755	309	Notes payable to banks-secured	0		
U.S. Government securities-add schedule				Notes payable to banks-unsecured	0		
Listed securities-add schedule	4	938	260	Notes payable to relatives	0		
Unlisted securities--add schedule				Notes payable to others	0		
Accounts and notes receivable:				Accounts and bills due		20	000
Due from relatives and friends				Unpaid income tax	0		
Due from others				Other unpaid income and interest	0		
Doubtful				Real estate mortgages payable-add schedule	0		
Real estate owned-add schedule	3	000	000	Chattel mortgages and other liens payable	0		
Real estate mortgages receivable	1	749	940	Other debts-itemize:			
Autos and other personal property		76	560				
Cash value-life insurance	0						
Other assets itemize:							
				Total liabilities		20	000
				Net Worth	11	500	069
Total Assets	11	520	069	Total liabilities and net worth	11	520	069
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor	0			Are any assets pledged? (Add schedule)	NO		
On leases or contracts	0			Are you defendant in any suits or legal actions?	NO		

Legal Claims	0			Have you ever taken bankruptcy?	NO		
Provision for Federal Income Tax	0						
Other special debt	0						

Schedule of Listed Securities

James and Patrice Comey – Holdings as of 6/17/2013 Market Value
Joint Account and James Comey IRA – combined
Sorted by Highest Value to Lowest

Symbol	Description	Current Value
SWGXX	Schwab Government Money Fund	561,352
DBLEX	Doubleline Emerging Mkt Bond Fund	150,885
PELBX	Pimco Emerging Mkt Bond Fund	148,733
ITW	Illinois Tool Works	145,016
XOM	Exxon Mobil Corporation	143,214
JNJ	Johnson & Johnson	126,801
CVX	Chevron Corp.	126,585
PEP	Pepsico	124,661
D	Dominion Resources	118,058
WTR	Aqua America	116,453
NSRGY	Nestle S A Reg B ADR	114,954
BRKB	Berkshire Hathaway Cl B	114,920
NSC	Norfolk Southern Corp	110,425
CYB	Wisdomtree Chinese Yuan	104,280
VZ	Verizon Communications	103,606
TOT	Total S A Adr	101,940
AGN	Allergan Inc	101,576
PTTRX	Pimco Total Return Fund	98,616
INTC	Intel Corp	91,920
TGP	Teekay Lng Partners MLP	91,504
EPD	Enterprise Prd Partners MLP	90,814
EMR	Emerson Electric	89,142
CMP	Compass Minerals Intl	88,200
KMP	Kinder Morgan Energy Partners MLP	86,576
PG	Proctor & Gamble Co	83,841
WAB	Westinghouse Air Brake Technologies	83,266
UNP	Union Pacific Corp	80,020
CME	CMEGroup Inc Cl A	76,949
KO	Coca Cola Company	76,939
GAS	AGL Resources	74,670
MSFT	Microsoft	72,594
BTE	Baytex Energy Corp	67,536
MUR	Murphy Oil	64,262
BHP	Bhp Billiton Ltd Adr	63,270
QCOM	Qualcomm	62,303
HRL	Hormel Foods Corp	61,805

POT	Potash Corp Sask Inc	60,840
CLB	Core Labs	59,912
T	AT&T	58,015
AAPL	Apple Inc	57,093
DE	Deere & Co	55,444
CAT	Caterpillar Inc.	54,868
RIO	Rio Tinto Plc Spon Adr	54,324
KR	Kroger Company	53,271
WDFC	WD-40 Company	52,926
GLD	Spdr Gold TRUST - ETF	50,164
PPL	PPL Corporation	46,306
SO	Southern Company	45,509
DD+A	Du Pont E I \$3.50 Preferred	44,335
MOS	The Mosaic Company	43,845
CSX	CSX Corp	37,705
704549A	Peabody Energy Ind. Bond	33,900
915217U	University Va Muni Bond	28,415
428236B	Hewlett Packard Ind. Bond	24,757
MT	Arcelor Mittal	24,260
02076XA	Alpha Natural Res Ind. Bond	21,625
SLV	Ishares Silver Trust - ETF	21,100
61747WA	Morgan Stanley Ind. Bond	20,250
JOE	St Joe Company	21,000
529772A	LEXMARK INTL INC NOTE - Ind. Bond	20,587
DEM	Wisdomtree Emerging Mkts - ETF	20,572
055299A	Bbva US Senior Ind. Bond	20,184
165167C	Chesapeake Energy - Ind. Bond	20,050
852634F	Stamford Conn - Muni Bond	19,761
941383N	Waterford Conn - Muni Bond	17,661
237266A	Darling Intl Inc - Ind. Bond	16,688
19416QD	Colgate Palmoliv - Ind. Bond	14,908
716495A	Petrohawk Energ - Ind. Bond	14,298
CG	Carlyle Group LP	13,340
570535A	Markel - Ind. Bond	12,214
093851S	Bloomfield Conn - Muni Bond	10,022
013817A	Alcoa - Ind. Bond	9,567
177474A	Citrus Cnty Fla - Muni Bond	5,059

5,204,904

Schedule of Real Estate Owned

Real Estate Owned	Current Approximate Value
Personal Residence in Westport, Connecticut	3,000,000

AFFIDAVIT

I, James B. Comey, Jr., do swear
that the information provided in this statement is, to the best
of my knowledge, true and accurate.

June 26, 2013
(DATE)

James B. Comey
(NAME)

Rhodora N. Woolner
(NOTARY)

Rhodora N. Woolner
Notary Public, District of Columbia
My Commission Expires May 14, 2015