

LIST OF CRIMINAL ACTIONS¹

Name of Defendant	Position	Charges	Date of Filing	Disposition	Date of Disposition
Abrams, Patricia Byrne	Ace Underwriter/AVP	GBL §§ 340 & 341; PL § 110 (Attempted Combination in Restraint of Trade and Competition). <u>People v. Abrams</u> , Supreme Court Information No. 2004NY5444. New York State Supreme Court, New York County.	10/15/04	GBL §§ 340 & 341; PL § 110 (Attempted Combination in Restraint of Trade and Competition).	10/15/04
Andersen, Peter	Marsh Broking Team Leader/SVP	PL § 190.65 (Scheme to Defraud in First Degree); GBL §§ 340 & 341 (Combination in Restraint of Trade and Competition); PL § 155.42 (Grand Larceny in the First Degree); PL § 155.40 (Grand Larceny in the Second Degree). <u>People v. Andersen</u> , Indictment No. 2005NY4815. New York State Supreme Court, New York County.	09/23/05	PL § 190.65 (Scheme to Defraud in First Degree).	09/23/05

¹

All entries in “Disposition” column reflect guilty pleas, except for matters still pending. “GBL” refers to NY General Business Law; “PL” refers to NY Penal Law.

Name of Defendant	Position	Charges	Date of Filing	Disposition	Date of Disposition
Bewlay, Joshua	Marsh Broking Manager/MD	PL § 190.65 (Scheme to Defraud in First Degree). <u>People v. Bewlay</u> , Supreme Court Information No. 2005NY628. New York State Supreme Court, New York County. http://www.oag.state.ny.us/press/2005/feb/feb15a_05.html	02/15/05	PL § 190.65 (Scheme to Defraud in First Degree).	2/15/05
Bott, Kevin	Liberty Underwriter	GBL §§ 340 & 341 (Combination in Restraint of Trade and Competition). <u>People v. Bott</u> , Supreme Court Information No. 2005NY53898. New York State Supreme Court, New York County.	08/08/05	GBL §§ 340 & 341; PL § 110 (Attempted Combination in Restraint of Trade and Competition)	8/8/05
Brainard-Baret, Maryann	Marsh Marketing Manager/SVP	GBL §§ 340 & 341 (Combination in Restraint of Trade and Competition). <u>People v. Brainard-Baret</u> , Supreme Court Information No. 2006NY21152. New York State Supreme Court, New York County.	03/28/06	GBL §§ 340 & 341; PL § 110 (Attempted Combination in Restraint of Trade and Competition).	03/28/06
Coello, Carlos	AIG Underwriter	PL § 190.65 (Scheme to Defraud in First Degree). <u>People v. Coello</u> , Supreme Court Information No. 2005NY01594. New York State Supreme Court, New York County. http://www.oag.state.ny.us/press/2005/feb/feb15a_05.html	02/15/05	PL § 190.60 (Scheme to Defraud in Second Degree).	02/15/05

Name of Defendant	Position	Charges	Date of Filing	Disposition	Date of Disposition
Coughlin, Edward	Zurich Underwriting Specialist	GBL §§ 340 & 341 (Combination in Restraint of Trade and Competition). <u>People v. Coughlin</u> , Supreme Court Information No. 2004NY6133. New York State Supreme Court, New York County. http://www.oag.state.ny.us/press/2004/nov/nov16a_04.html	11/16/04	GBL §§ 340 & 341; PL § 110 (Attempted Combination in Restraint of Trade and Competition).	11/16/04
Doherty, Greg	Marsh Broking Team Leader/SVP	PL § 190.65 (Scheme to Defraud in First Degree); GBL §§ 340 & 341 (Combination in Restraint of Trade and Competition); PL § 155.42 (Grand Larceny in the First Degree); PL § 155.40 (Grand Larceny in the Second Degree). <u>People v. Doherty, et al.</u> , Indictment No. 2005NY4800. New York State Supreme Court, New York County.	09/15/05	Case Pending.	

Name of Defendant	Position	Charges	Date of Filing	Disposition	Date of Disposition
Drake, Kathleen	Marsh Broking Team Leader/MD	PL § 190.65 (Scheme to Defraud in First Degree); GBL §§ 340 & 341 (Combination in Restraint of Trade and Competition); PL § 155.40 (Grand Larceny in the Second Degree); PL § 155.35 (Grand Larceny in the Third Degree). <u>People v. Doherty, et al.</u> , Indictment No. 2005NY4800. New York State Supreme Court, New York County.	09/15/05	Case Pending.	
Gilman, William	Marsh Business Leader/MD	PL § 190.65 (Scheme to Defraud in First Degree); GBL §§ 340 & 341 (Combination in Restraint of Trade and Competition); PL § 155.42 (Grand Larceny in the First Degree); PL § 155.40 (Grand Larceny in the Second Degree); PL § 155.35 (Grand Larceny in the Third Degree). <u>People v. Doherty, et al.</u> , Indictment No. 2005NY4800. New York State Supreme Court, New York County.	09/15/05	Case Pending.	

Name of Defendant	Position	Charges	Date of Filing	Disposition	Date of Disposition
Green, Thomas	Marsh Broker/SVP	PL § 190.65 (Scheme to Defraud in First Degree); GBL §§ 340 & 341 (Combination in Restraint of Trade and Competition); PL § 155.42 (Grand Larceny in the First Degree). <u>People v. Doherty, et al.</u> , Indictment No. 2005NY4800. New York State Supreme Court, New York County.	09/15/05	Case Pending.	
Hatton, Regina	Marsh Broking Team Leader/SVP	PL § 190.65 (Scheme to Defraud in First Degree). <u>People v. Hatton</u> , Supreme Court Information No. 2005NY52623. New York State Supreme Court, New York County.	08/30/05	PL § 190.65 (Scheme to Defraud in First Degree).	08/03/05
Keane, Edward	Marsh Broker/AVP	PL § 190.65 (Scheme to Defraud in First Degree); GBL §§ 340 & 341 (Combination in Restraint of Trade and Competition); PL § 155.40 (Grand Larceny in the Second Degree). <u>People v. Doherty, et al.</u> , Indictment No. 2005NY4800. New York State Supreme Court, New York County.	09/15/05	PL § 190.65 (Scheme to Defraud in First Degree).	09/21/05

Name of Defendant	Position	Charges	Date of Filing	Disposition	Date of Disposition
Keenan, John	Zurich Senior Underwriter	GBL §§ 340 & 341 (Combination in Restraint of Trade and Competition). <u>People v. Keenan</u> , Supreme Court Information No. 2004NY6132. New York State Supreme Court, New York County. http://www.oag.state.ny.us/press/2004/nov/nov16a_04.html	11/16/04	GBL §§ 340 & 341; PL § 110 (Attempted Combination in Restraint of Trade and Competition).	11/16/04
Manzi, Mark	Marsh Specialties Broker/MD	PL § 190.65 (Scheme to Defraud in First Degree). <u>People v. Manzi</u> , Supreme Court Information No. 2005NY3138. New York State Supreme Court, New York County.	06/24/05	PL § 190.65 (Scheme to Defraud in First Degree).	06/24/05
McBurnie, William	Marsh Senior Broker/SVP	PL § 190.65 (Scheme to Defraud in First Degree); GBL §§ 340 & 341 (Combination in Restraint of Trade and Competition); PL § 155.40 (Grand Larceny in the Second Degree). <u>People v. Doherty, et al.</u> , Indictment No. 2005NY4800. New York State Supreme Court, New York County.	09/15/05	Case Pending.	

Name of Defendant	Position	Charges	Date of Filing	Disposition	Date of Disposition
McNenney, Edward	Marsh Business Leader/MD	PL § 190.65 (Scheme to Defraud in First Degree); GBL §§ 340 & 341 (Combination in Restraint of Trade and Competition); PL § 155.42 (Grand Larceny in the First Degree); PL § 155.40 (Grand Larceny in the Second Degree); PL § 155.35 (Grand Larceny in the Third Degree). <u>People v. Doherty, et al.</u> , Indictment No. 2005NY4800. New York State Supreme Court, New York County.	09/15/05	Case Pending.	
Michaels, Nicole	Marsh Broker/VP	GBL §§ 340 & 341 (Combination in Restraint of Trade and Competition). <u>People v. Michaels</u> , Supreme Court Information No. 2005NY3775. New York State Supreme Court, New York County.	08/03/05	GBL §§ 340 & 341; PL § 110 (Attempted Combination in Restraint of Trade and Competition).	8/03/05
Mohs, John	AIG Underwriting Specialist	PL § 190.65 (Scheme to Defraud in First Degree). <u>People v. Mohs</u> , Supreme Court Information No. 2005NY627. New York State Supreme Court, New York County. http://www.oag.state.ny.us/press/2005/feb/feb15a_05.html	02/15/05	PL § 190.65 (Scheme to Defraud in First Degree).	02/15/05

Name of Defendant	Position	Charges	Date of Filing	Disposition	Date of Disposition
Monteforte, Jason	Marsh Senior Broker/SVP	GBL §§ 340 & 341 (Combination in Restraint of Trade and Competition). <u>People v. Monteforte</u> , Supreme Court Information No. 2005NY3914. New York State Supreme Court, New York County.	08/05/05	GBL §§ 340 & 341; PL § 110 (Attempted Combination in Restraint of Trade and Competition).	08/05/05
Murphy, Todd	Marsh Senior Broker/SVP	PL § 190.65 (Scheme to Defraud in First Degree). <u>People v. Murphy</u> , Supreme Court Information No. 2005NY3743. New York State Supreme Court, New York County.	08/02/05	PL § 190.60 (Scheme to Defraud in Second Degree).	08/02/05
Niccolai, George	Marsh Broker/SVP	PL § 190.65 (Scheme to Defraud in First Degree). <u>People v. Niccolai</u> , Supreme Court Information No. 2005NY5468. New York State Supreme Court, New York County.	10/26/05	PL § 190.60 (Scheme to Defraud in Second Degree).	10/26/05

Name of Defendant	Position	Charges	Date of Filing	Disposition	Date of Disposition
Peiser, Joseph	Marsh National Practice Head/MD	PL § 190.65 (Scheme to Defraud in First Degree); GBL §§ 340 & 341 (Combination in Restraint of Trade and Competition); PL § 155.42 (Grand Larceny in the First Degree); PL § 155.40 (Grand Larceny in the Second Degree); PL § 155.35 (Grand Larceny in the Third Degree). <u>People v. Doherty, et al.</u> , Indictment No. 2005NY4800. New York State Supreme Court, New York County.	09/15/05	Case Pending.	
Radke, Karen	AIG Unit Manager	PL § 190.65 (Scheme to Defraud in First Degree). <u>People v. Radke</u> , Supreme Court Information No. 2004NY5430. New York State Supreme Court, New York County.	10/14/04	PL § 190.65 (Scheme to Defraud in First Degree).	10/14/04
Spiegel, James	Zurich Underwriting Team Leader	PL § 190.65 (Scheme to Defraud in First Degree). <u>People v. Spiegel</u> , Supreme Court Information No. 2005NY3776. New York State Supreme Court, New York County.	08/03/05	PL § 190.65 (Scheme to Defraud in First Degree).	08/03/05

Name of Defendant	Position	Charges	Date of Filing	Disposition	Date of Disposition
Stearns, Robert	Marsh Broking Center Manager/MD	PL § 190.65 (Scheme to Defraud in First Degree). <u>People v. Stearns</u> , Supreme Court Information No. 2005NY101. New York State Supreme Court, New York County. http://www.oag.state.ny.us/press/2005/jan/jan06a_05.html	01/06/05	PL § 190.65 (Scheme to Defraud in First Degree).	01/06/05
Tateossian, Jean-Baptist	AIG Unit Manager	PL § 190.65 (Scheme to Defraud in First Degree). <u>People v. Tateossian</u> , Supreme Court Information No. 2004NY5431. New York State Supreme Court, New York County.	10/14/04	PL § 190.65 (Scheme to Defraud in First Degree).	10/14/04
Winter, Kathryn	Marsh Broking Center Manager/MD	PL § 190.65 (Scheme to Defraud in First Degree). <u>People v. Winter</u> , Supreme Court Information No. 2005NY769. New York State Supreme Court, New York County. http://www.oag.state.ny.us/press/2005/feb/feb24b_05.html	02/24/05	PL § 190.65 (Scheme to Defraud in First Degree).	02/24/05