

UNITED STATES SENATE  
COMMITTEE ON THE JUDICIARY

QUESTIONNAIRE FOR JUDICIAL NOMINEES

PUBLIC

1. **Name:** State full name (include any former names used).

James Patrick ("J.P.") Hanlon

2. **Position:** State the position for which you have been nominated.

United States District Judge for the Southern District of Indiana

3. **Address:** List current office address. If city and state of residence differs from your place of employment, please list the city and state where you currently reside.

Faegre Baker Daniels LLP  
300 North Meridian Street, Suite 2700  
Indianapolis, Indiana 46204

Residence: Zionsville, Indiana

4. **Birthplace:** State year and place of birth.

1970; Evanston, Illinois

5. **Education:** List in reverse chronological order each college, law school, or any other institution of higher education attended and indicate for each the dates of attendance, whether a degree was received, and the date each degree was received.

1993 – 1996, Valparaiso University School of Law; J.D. (*magna cum laude*), 1996

1988 – 1992, DePauw University; B.A., 1992

6. **Employment Record:** List in reverse chronological order all governmental agencies, business or professional corporations, companies, firms, or other enterprises, partnerships, institutions or organizations, non-profit or otherwise, with which you have been affiliated as an officer, director, partner, proprietor, or employee since graduation from college, whether or not you received payment for your services. Include the name and address of the employer and job title or description.

2006 – present  
Faegre Baker Daniels LLP  
300 North Meridian Street, Suite 2700  
Indianapolis, Indiana 46204  
Partner  
*Formerly Baker & Daniels LLP*

2010 – 2011  
Indiana University McKinney School of Law  
530 West New York Street  
Indianapolis, Indiana 46202  
Adjunct Professor

2001 – 2006  
Office of the United States Attorney, Southern District of Indiana  
10 West Market Street, Suite 2100  
Indianapolis, Indiana 46204  
Assistant United States Attorney

1998 – 2001  
Seyfarth Shaw LLP  
233 South Wacker Drive, Suite 8000  
Chicago, Illinois 60606  
Associate

1997 – 1998  
United States District Court for the Northern District of Indiana  
204 South Main Street  
South Bend, Indiana 46601  
Law Clerk to Hon. Robert L. Miller, Jr.

1996 – 1997; Summer 1995  
Hinshaw & Culbertson LLP  
222 North LaSalle Street, Suite 300  
Chicago, Illinois 60601  
Associate (1996 – 1997)  
Summer Associate (Summer 1995)

Summer 1994; 1993  
Cook County State's Attorney's Office  
69 West Washington Street, Suite 3200  
Chicago, Illinois 60602  
Law Clerk (Summer 1994)  
Administrative Aide (1992 – 1993)

1994 – 1995  
Valparaiso University School of Law  
656 South Greenwich Street  
Valparaiso, Indiana 46383  
Teaching Assistant

August 1992 – November 1992  
Citizens for Jack O'Malley  
180 North LaSalle Street, Suite 2805  
Chicago, Illinois 60601  
Campaign Staffer

1991 – 1994 (intermittent)  
Butch McGuire's  
20 West Division Street  
Chicago, Illinois 60610  
Waiter/Doorman

Other Affiliations (uncompensated)

2007 – 2010; 2012 – present  
Providence Cristo Rey High School  
75 North Bellevue Place  
Indianapolis, Indiana 46222  
Director

2014 – present  
Hussey-Mayfield Memorial Public Library Foundation  
250 North 5th Street  
Zionsville, Indiana 46077  
Director

2012 (approximately) – present  
Greater Indianapolis Progress Committee  
200 East Washington Street, Suite 1901  
Indianapolis, Indiana 46204  
Director

2012 – 2015 (approximately)  
St. Alphonsus Liguori Church  
1870 West Oak Street  
Zionsville, Indiana 46077  
Parish Council

1995 – 1996  
United States District Court for the Northern District of Indiana

204 South Main Street  
South Bend, Indiana 46601  
Judicial Extern

7. **Military Service and Draft Status:** Identify any service in the U.S. Military, including dates of service, branch of service, rank or rate, serial number (if different from social security number) and type of discharge received, and whether you have registered for selective service.

I did not serve in the military. I registered for the selective service upon turning 18.

8. **Honors and Awards:** List any scholarships, fellowships, honorary degrees, academic or professional honors, honorary society memberships, military awards, and any other special recognition for outstanding service or achievement.

*The Best Lawyers in America* – White Collar Defense (2012 – 2018)

*Indiana Business Journal* – Forty Under 40 Award (2010)

*Indiana Super Lawyers* – Rising Star, White Collar Criminal Defense (2009 – 2010)

Indy's Best and Brightest Award, Law Category (2008)

Commendation from the Federal Bureau of Investigation for work on the Kerry E. Silvers Fugitive Task Force (2005)

Indianapolis Bar Association Bar Leader Series (2005)

Graduated *magna cum laude* from Valparaiso University School of law (1996)

Editor, *Valparaiso Law Review* (1994 – 1996)

9. **Bar Associations:** List all bar associations or legal or judicial-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices which you have held in such groups.

American Bar Association (2006 – present).

Criminal Justice Section, White Collar Crime Committee (2006 (approximately) – present)

Chair, Indianapolis Regional Subcommittee (2015 – present)

Co-Chair, Sentencing Subcommittee (2008 – 2012)

Illinois State Bar Association (1999 – 2001)

Indiana State Bar Association (1999 – 2001)

Indiana University Robert H. McKinney School of Law, Wrongful Convictions Clinic Advisory Committee (2009 – 2010)

Indianapolis Bar Association (2002 – present (intermittent))  
Legal Services Advisory Committee (2008)

Judicial Merit Selection Panel for Magistrate Judge, Southern District of Indiana (2007, 2010)

National Association of Criminal Defense Lawyers (2006 – present)

Seventh Circuit Bar Association (2005 – 2013 (approximately); 2014 – present)

Working Committee on Drafting Local ECF Rules for Criminal Cases, U.S. District Court for the Southern District of Indiana (2007)

10. **Bar and Court Admission:**

- a. List the date(s) you were admitted to the bar of any state and any lapses in membership. Please explain the reason for any lapse in membership.

Illinois (1996)  
Indiana (1999)

There have been no lapses in membership.

- b. List all courts in which you have been admitted to practice, including dates of admission and any lapses in membership. Please explain the reason for any lapse in membership. Give the same information for administrative bodies that require special admission to practice.

United States Court of Appeals for the Seventh Circuit, 2002  
United States District Court for the Central District of Illinois, 1999  
United States District Court for the Northern District of Illinois, 1996  
United States District Court for the Northern District of Indiana, 1998  
United States District Court for the Southern District of Indiana, 2006

There have been no lapses in membership.

11. **Memberships:**

- a. List all professional, business, fraternal, scholarly, civic, charitable, or other organizations, other than those listed in response to Questions 9 or 10 to which you belong, or to which you have belonged, since graduation from law school. Provide dates of membership or participation, and indicate any office you held. Include clubs, working groups, advisory or editorial boards, panels, committees, conferences, or publications.

Federalist Society for Law and Public Policy Studies (2008 – present (intermittently))

Greater Indianapolis Progress Committee (2012 – present)  
Board of Directors

Hussey-Mayfield Memorial Library Foundation (2014 – present)  
Board of Directors

Knights of Columbus —Our Lady of Perpetual Help Council 12510 (2006 – present)

Law360 Editorial Board, White Collar (2015)

Providence Cristo Rey High School (2007 – 2010; 2012 –present)  
Board of Directors

St. Alphonsus Liguori Catholic Church (2013 – 2016)  
Parish Council

Union League Club of Chicago (1996 – 2001; 2006 – present)

- b. The American Bar Association's Commentary to its Code of Judicial Conduct states that it is inappropriate for a judge to hold membership in any organization that invidiously discriminates on the basis of race, sex, or religion, or national origin. Indicate whether any of these organizations listed in response to 11a above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies. If so, describe any action you have taken to change these policies and practices.

The Union League Club of Chicago did not admit women as members until approximately 1987, many years prior to my membership. The Knights of Columbus is a Catholic fraternal service organization. While membership is limited to men, there are corresponding organizations with membership limited to women. Otherwise, to the best of my knowledge, none of the organizations listed in response to 11a above currently discriminates or formerly discriminated on the basis of race, sex, or religion, or national origin, either through formal membership requirements or the practical implementation of membership policies.

**12. Published Writings and Public Statements:**

- a. List the titles, publishers, and dates of books, articles, reports, letters to the editor, editorial pieces, or other published material you have written or edited, including material published only on the Internet. Supply four (4) copies of all published material to the Committee.

Client Alert: *DOJ Unveils New Policy Directing Federal Prosecutors to Hold Individuals Accountable for Corporate Misconduct*, Sept. 10, 2015. Copy supplied.

Client Alert: *Appeals Court Decision Protects Attorney-Client Privilege in Internal Investigation*, Aug. 13, 2015. Copy supplied.

With Kevin Kimmerling and Michael MacPhail, *Keeping a Watchful Eye: The FBI's Crackdown on Insider Trading*, INCOMPLIANCE, Spring 2013. Copy supplied.

Client Alert: *From Whistleblowers to Bounty Hunters*, May 31, 2011. Copy supplied.

With Jackie M. Bennett Jr., *United Water Objects to Indictment Coverage*, MERRILLVILLE POST-TRIB., Jan. 11, 2011. Copy supplied.

With Sean Hecker and David Gopstein, *Expanding the Zones: A Modest Proposal to Increase the Use of Alternatives to Incarceration in Federal Sentencing*, CRIMINAL JUSTICE, Winter 2010, at 26. Copy supplied.

Client Alert: *Baker & Daniels Attorneys Collaborate with Indiana Law Students and Faculty to Develop Pro Bono Fellowship Programs*, Aug. 1, 2009. Copy supplied.

With James T. O'Reilly, Ralph F. Hall, Steven L. Jackson, and Erin Reilly Lewis, *PUNISHING CORPORATE CRIME: LEGAL PENALTIES FOR CRIMINAL AND REGULATORY VIOLATIONS* (Oxford Univ. Press 2009). Copy supplied.

With Erin Reilly Lewis and Ralph Hall, *Rethinking How to Respond to Government Investigations*, UPDATE, Nov.–Dec. 2008. Copy supplied.

Client Alert: *Key Changes to Guidelines for Investigating and Charging Corporations*, Sept. 4, 2008. Copy supplied.

*Meeting with a New Client: The Initial Stages of Defense*, in *WHITE COLLAR LAW DEFENSE STRATEGIES: LEADING LAWYERS ON PREPARING FOR TRIAL, OFFERING EVIDENCE AND OVERCOMING THE CHALLENGES OF A WHITE COLLAR CRIME CASE*, June 2007, at 89. Copy supplied.

Client Alert: *Department of Justice Announces Changes to Thompson Memorandum Guidelines for Corporate Prosecutions*, Dec. 14, 2006. Copy supplied.

Client Alert: *The Thompson Memorandum: Why You Should Know What It Is and How It May Impact Your Business' Potential Future Criminal Liability*, Nov. 2, 2006. Copy supplied.

*SC Rules on Employment Challenges*, IND. LAW., Apr. 24, 2002. Copy supplied.

*Supreme Court Rejects Use of More Rigorous Pleading Standard for Employment Discrimination Cases*, IND. LAW., Mar. 19, 2002. The only copy that I have been able to locate is what I believe to be the final version I saved as a word processing document. Copy supplied.

*Environment Claim Recognized*, IND. LAW., Apr. 24, 2002. Copy supplied.

*Scope of Americans with Disabilities Act Limited*, IND. LAW., Jan. 30, 2002. Copy supplied.

*Harassment Allegations Require Timeliness in Filing*, IND. LAW., Oct. 24, 2001. Copy supplied.

With James J. Powers, *States as Defendants in Employment Litigation: Beyond Alden v. Maine*, 88 ILL. B.J. 280 (2000). Copy supplied.

*Apology Offered for Monon Banner*, THE DEPAUW, Nov. 30, 1990. Copy supplied.

There are other client alerts that appear on the Faegre Baker Daniels LLP website (not listed here) that include me as a point of contact. I did not author these client alerts.

- b. Supply four (4) copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of on behalf of any bar association, committee, conference, or organization of which you were or are a member. If you do not have a copy of a report, memorandum or policy statement, give the name and address of the organization that issued it, the date of the document, and a summary of its subject matter.

To the best of my knowledge, I have not prepared any such materials.

- c. Supply four (4) copies of any testimony, official statements or other communications relating, in whole or in part, to matters of public policy or legal interpretation, that you have issued or provided or that others presented on your behalf to public bodies or public officials.

To the best of my knowledge, I have not prepared any such materials.

- d. Supply four (4) copies, transcripts or recordings of all speeches or talks delivered by you, including commencement speeches, remarks, lectures, panel discussions, conferences, political speeches, and question-and-answer sessions. Include the date and place where they were delivered, and readily available press reports about the speech or talk. If you do not have a copy of the speech or a transcript or recording of your remarks, give the name and address of the group before whom



the speech was given, the date of the speech, and a summary of its subject matter. If you did not speak from a prepared text, furnish a copy of any outline or notes from which you spoke.

February 23, 2018: Speaker, Reception after Investiture of U.S. Attorney for the Southern District of Indiana. I congratulated the new U.S. Attorney and highlighted his experience and dedication to public service. I have no notes, transcript, or recording. The address for the U.S. Attorney's Office is 10 West Market Street, Indianapolis, Indiana 46204.

November 14, 2017: Interviewer and Moderator, "Meet the New U.S. Attorney for the Southern District of Indiana," American Bar Association Criminal Justice Section Town Hall Meeting, Indiana University Robert H. McKinney School of Law, Indianapolis, Indiana. Notes supplied.

August 16, 2017: Guest Commentator, "The Latest at DOJ and OSHA," Notice & Comment: Faegre Baker Daniels' Environmental Podcast, Indianapolis, Indiana. A recording is available at <https://www.faegrebd.com/notice-comment-the-latest-at-doj-and-osha>. Notes supplied.

May 1, 2017: Panelist, "DOJ Enforcement Priorities Under the Trump Administration," Seventh Circuit Bar Association Annual Meeting, Indianapolis, Indiana. Notes supplied.

June 9, 2016: Panelist, "Crisis Management and the Legal Responses to a Government Investigation," Association of Corporate Counsel and Faegre Baker Daniels, Indianapolis, Indiana. Slides supplied.

May 11, 2016: Participant, "Cyberattacks, Data Breaches and Malicious Insiders: Know the Threats, Prepare to Respond," American Bar Association's Criminal Justice Section, Faegre Baker Daniels LLP, Indianapolis, Indiana. Notes supplied.

December 3, 2015: Moderator, "How the DOJ's Yates Memo Impacts Corporate Liability and Internal Investigations," American Bar Association Criminal Justice Section Roundtable, Indianapolis, Indiana. Notes supplied.

April 17, 2014: Panel Chair and Moderator, "Controlling Access to Proprietary Information," *Chicago Law Bulletin's* 7th Annual White Collar Crime/Corporate Governance Conference, Chicago, Illinois. Notes and slides supplied.

June 6, 2013: Speaker, "Discovery and Motions: Strategy and Tactics," Indiana Continuing Legal Education Forum, ICLEF White Collar Defense and Investigations, Indianapolis, Indiana. Slides supplied.

November 11, 2010: Speaker, "A White Collar Crime Re-enactment," Illinois CPA Society 2010 Fraud Conference, Chicago, Illinois. I have no notes,

transcript, or recording. The address of the Illinois CPA Society is 550 W. Jackson, Suite 900, Chicago, IL 60661.

August 25, 2010: Speaker, "Lessons Learned From Representing the Industry," Federal Bar Association, Indianapolis, Indiana. Slides supplied.

June 26, 2009: Speaker, "Immigration Law for Businesses and Employees: Raids and Enforcement," Indiana Continuing Legal Education Foundation, Indianapolis, Indiana. Slides supplied.

December 30, 2008: Panelist, "White Collar Law Defense Strategies," West LegalEdcenter Webcast, Quinlan Publishing (online presentation). Notes supplied.

November 18, 2008: Speaker, "Internal Investigations," Institute of Internal Auditors, Ft. Wayne Chapter, Ft. Wayne, Indiana. Slides supplied.

October 15, 2008: Speaker, "Rethinking Responding to Government Investigations," Association of Corporate Counsel and Baker & Daniels LLP Enforcement Seminar, Indianapolis, Indiana. Slides supplied.

July 14, 2008: Speaker, "Internal Investigations," Institute of Internal Auditors, Indianapolis Chapter, Indianapolis, Indiana. The slides were substantially similar to the slides used for the November 18, 2008, presentation provided above.

February 20, 2008: Speaker, "I-9s, No Match Letter and Raids: How to Avoid Making the News," Indiana Manufacturers Association Seminar, Indianapolis, Indiana. Summary and slides supplied.

January 15, 2008: Speaker, "Internal Investigations," Institute of Internal Auditors, Cincinnati Chapter, Cincinnati, Ohio. The slides were substantially similar to the slides used for the November 18, 2008, presentation provided above.

February 20, 2007: Speaker, "High Tech and Trade Secret Crime," TechPoint Tech Tuesday, Baker & Daniels LLP. The presentation was on recent enforcement actions involving trade secret theft and best practices for protecting trade secrets. I have no notes, transcript, or recording. The address for Faegre Baker Daniels LLP is 600 East 96th Street, Suite 600, Indianapolis, Indiana 46240.

February 8, 2007: Speaker, "IP Crime: Know the Warning Signs," Baker & Daniels LLP, Indianapolis, Indiana. Notes and summary supplied.

November 15, 2006: Speaker, "Managing Information in Government Investigations: A Primer on Compliance and Consequences," Indiana Medical Device Manufacturers Council, Indianapolis, Indiana. Slides and summary supplied.

January 2006: Speaker, Judge Miller's 30th Anniversary Celebration, United States District Court for the Northern District of Indiana, South Bend, Indiana. The presentation was part of a celebration of Judge Robert Miller's 30 years on the bench. I have been unable to locate a precise date for this speech, and I have no notes, transcript, or recording. The address for the United States District Court for the Northern District of Indiana is 204 South Main Street, South Bend, Indiana 46601. Press report supplied.

2006: Speaker, "Hot Topics in White Collar Investigations and Prosecutions," Indiana Medical Device Manufacturers Council. The presentation reviewed and analyzed recent prosecutions and enforcement cases relevant to medical device manufacturers. I have been unable to locate the precise date or location for this speech. I have no notes, transcript, or recording. The address of the Indiana Medical Device Manufacturers Council is P.O. Box 441385, Indianapolis, Indiana 46244.

December 5, 2005: Speaker, Federal Criminal Procedure and Practice Training, Office of the U.S. Attorney for the Southern District of Indiana. I spoke about federal criminal procedure and practice to federal task force officers. I have no notes, transcript, or recording. The address of the Office of the U.S. Attorney for the Southern District of Indiana is 10 West Market Street, Suite 2100, Indianapolis, Indiana 46204.

November 8, 2005: Speaker, Federal Prosecutions Training, Indianapolis Police Department's Detective Training Academy and Office of the U.S. Attorney for the Southern District of Indiana. The presentation was on federal prosecution. I have no notes, transcript, or recording. The address for the Indianapolis Police Department is 50 North Alabama Street, Indianapolis, Indiana 46204. The address of the Office of the U.S. Attorney for the Southern District of Indiana is 10 West Market Street, Suite 2100, Indianapolis, Indiana 46204.

October 11, 2005: Speaker, Gun and Gang Prosecutions Training, U.S. Attorney's Office Fall Law Enforcement Conference, Office of the U.S. Attorney for the Southern District of Indiana. The presentation was on best practices for investigating gun and gang crimes from a federal evidentiary perspective. I have no notes, transcript, or recording. The address of the Office of the U.S. Attorney for the Southern District of Indiana is 10 West Market Street, Suite 2100, Indianapolis, Indiana 46204.

April 14, 2005: Speaker, Project Safe Neighborhoods Training, Indianapolis Police Department's Narcotics Detective's Training Academy, Office of the U.S. Attorney for the Southern District of Indiana. The presentation was on best practices for investigating gun and gang crimes from a federal evidentiary perspective. I have no notes, transcript, or recording. The address of the Office of the U.S. Attorney for the Southern District of Indiana is 10 West Market Street, Suite 2100, Indianapolis, Indiana 46204. The address for the Indianapolis Police Department is 50 North Alabama Street, Indianapolis, Indiana 46204.

November 3, 2004: Speaker, Project Safe Neighborhoods Training, Indianapolis Police Academy Detective's School, Office of the U.S. Attorney for the Southern District of Indiana. The presentation was on federal prosecution. I have no notes, transcript, or recording. The address for the Indianapolis Police Department is 50 North Alabama Street, Indianapolis, Indiana 46204. The address of the Office of the U.S. Attorney for the Southern District of Indiana is 10 West Market Street, Suite 2100, Indianapolis, Indiana 46204.

April 19, 2004: Speaker, Project Safe Neighborhoods Training, Indiana School Safety Academy, Office of the U.S. Attorney for the Southern District of Indiana. The presentation was on active shooter planning and preparation. I have no notes, transcript, or recording. The address for Project Safe Neighborhoods is Bureau of Justice Assistance, U.S. Department of Justice, 810 Seventh Street N.W., Washington, District of Columbia 20531. The address of the Office of the U.S. Attorney for the Southern District of Indiana is 10 West Market Street, Suite 2100, Indianapolis, Indiana 46204.

March 26, 2004: Speaker, Project Safe Neighborhoods Training, Office of the U.S. Attorney for the Southern District of Indiana. The presentation was on best practices for investigating gun and gang crimes from a federal evidentiary perspective. I have no notes, transcript, or recording. The address for Project Safe Neighborhoods is Bureau of Justice Assistance, U.S. Department of Justice, 810 Seventh Street N.W., Washington, District of Columbia 20531. The address of the Office of the U.S. Attorney for the Southern District of Indiana is 10 West Market Street, Suite 2100, Indianapolis, Indiana 46204.

February 23, 2004: Speaker, Project Safe Neighborhoods Training, Office of the U.S. Attorney for the Southern District of Indiana. The presentation was on best practices for investigating gun and gang crimes from a federal evidentiary perspective. I have no notes, transcript, or recording. The address for Project Safe Neighborhoods is Bureau of Justice Assistance, U.S. Department of Justice, 810 Seventh Street N.W., Washington, District of Columbia 20531. The address of the Office of the U.S. Attorney for the Southern District of Indiana is 10 West Market Street, Suite 2100, Indianapolis, Indiana 46204.

January 26, 2004: Speaker, Project Safe Neighborhoods Training, Office of the U.S. Attorney for the Southern District of Indiana. The presentation was on best practices for investigating gun and gang crimes from a federal evidentiary perspective. I have no notes, transcript, or recording. The address for Project Safe Neighborhoods is Bureau of Justice Assistance, U.S. Department of Justice, 810 Seventh Street N.W., Washington, District of Columbia 20531. The address of the Office of the U.S. Attorney for the Southern District of Indiana is 10 West Market Street, Suite 2100, Indianapolis, Indiana 46204.

- e. List all interviews you have given to newspapers, magazines or other publications, or radio or television stations, providing the dates of these interviews and four (4) copies of the clips or transcripts of these interviews where they are available to you.

Hannah Meisel, *Former Fed Prosecutor Joins Faegre's White Collar Group*, LAW360, Nov. 1, 2016. Copy supplied.

Client Alert: *FaegreBD Welcomes Joel Hammerman to Lead Firm's White Collar Defense and Investigations Practice in Chicago*, Oct. 29, 2016. Copy supplied.

Client Alert: *Former U.S. Attorney Nick Klinefeldt Joins FaegreBD's White Collar Practice*, Nov. 18, 2015. Copy supplied.

Christin Nance Lazerus, *Jury Gets United Water Case*, MERRILLVILLE POST-TRIB., Nov. 9, 2012. Copy supplied.

Tim Evans, *Durham Asks for Dismissal*, INDIANAPOLIS STAR, Dec. 22, 2011. Copy supplied.

Client Alert: *Durham's Motion to Dismiss Charges Faces Challenges, Says J.P. Hanlon*, Dec. 22, 2011. Copy supplied.

*Convictions a Likelihood in Fair Finance Case*, IND. LAW., Mar. 30, 2011. Copy supplied.

*Convictions a Likelihood in Fair Case*, INDIANAPOLIS BUS. J., Mar. 21, 2011. Copy supplied.

Client Alert: *Jury Faces Challenging Job in Corporate Fraud Case Says J.P. Hanlon*, Mar. 19, 2011. Copy supplied.

Sarah Tompkins, *Two Who Oversaw Gary Plant Samples Plead Not Guilty to Fraud*, TIMES (Munster, Ind.), Dec. 31, 2010. Copy supplied.

*Q&A With Baker & Daniels' J.P. Hanlon*, LAW360, Nov. 10, 2010. Copy supplied.

Client Alert: *J.P. Hanlon Discusses Importance of What's at Stake in White Collar Cases*, Nov. 10, 2010. Copy supplied.

Client Alert: *J.P. Hanlon Named to Forty Under 40 by Indianapolis Business Journal*, Feb. 2, 2010. Copy supplied.

*Forty Under 40*, INDIANAPOLIS BUS. J., February 1, 2010. Copy supplied.

Brian Baxter, *Defense Lawyers: Insider-Trading Charges Should Worry Companies, Hedge Funds*, AMLAW DAILY, Oct. 20, 2009. Copy supplied.

Client Alert: *J.P. Hanlon Says Learning Curve Pretty Steep on Insider-Trading Charges*, Oct. 20, 2009. Copy supplied.

*Should Corporate Defendants Prefer Criminal over Civil Cases?*, CORPORATE CRIME REPORTER, Oct. 19, 2009. Copy supplied.

Client Alert: *J.P. Hanlon Says Goal in Criminal Investigation Is to Avoid Indictment*, Oct. 17, 2009. Copy supplied.

*J.P. Hanlon '92 Co-authors Punishing Corporate Crime*, DEPAUW U., Sept. 11, 2009. Copy supplied.

*Zionsville Resident Co-authors Book on Corporate Crime*, ZIONSVILLE TIMES SENTINEL, Sept. 2, 2009. Copy supplied.

Troy Kehoe and Tom Moor, *Gabriele Attorney Validates Documents*, S. BEND TRIB., June 18, 2009. Copy supplied.

Tom Coyne, *Indicted Eye Doctor, Wife Both Found Dead*, FT. WAYNE J. GAZETTE, June 16, 2009. Copy supplied. Reprinted in multiple outlets.

Emily Monacelli, *Ophthalmologist Had No Record of Disciplinary Action on His Record*, ELKHART TRUTH (Elkhart, Ind.), June 16, 2009. Copy supplied.

Robert Borrelli, *Grand Jury Indicts Optometrist*, ELKHART TRUTH (Elkhart, Ind.), June 13, 2009. Copy supplied.

Jeff Parrott, *Granger Eye Doctor Charged with Fraud*, S. BEND TRIB., June 13, 2009. Copy supplied.

Mark Peterson, *Eye Doctor Philip Gabriele Faces Criminal Indictment*, WNDU, June 12, 2009. Copy supplied.

CORPORATE CRIME REPORTER, Feb. 23, 2009. Copy supplied.

*J.P. Hanlon '92 Among 'Indy's Best and Brightest,'* DEPAUW U., Sept. 28, 2008. Copy supplied.

Rebecca Berfanger, *Students Work at Firms: Partnership Between Companies and High School a Success After First Year*, IND. LAW., May 28, 2008. Copy supplied.

Greg Andrews, *How a Smart Businessman Invested His Way into Prison*, INDIANAPOLIS BUS. J., Feb. 25, 2008. Copy supplied.

Jeff Swiatek, *3 To Repay \$2.9M in Fraud Scheme*, INDIANAPOLIS STAR, Sept. 25, 2007. Copy supplied.

Jennifer Mehalik, *Education Goes Beyond Regular Classrooms: Law Firms Provide Opportunities for Students to Gain Professional Skills*, IND. LAW., Sept. 5, 2007. Copy supplied.

*Courts: What Is Money Laundering?*, IND. LAW., Dec. 13, 2006. Copy supplied.

*Surprise Guilty Plea Surfaces in Library Probe*, INDIANAPOLIS STAR, Sept. 20, 2006. Copy supplied.

*J.P. Hanlon '92 Joins Baker & Daniels LLP*, DEPAUW U., Sept. 13, 2006. Copy supplied.

*City Man Charged in 9 Bank Heists*, INDIANAPOLIS STAR, Dec. 19, 2002. Copy supplied.

13. **Judicial Office:** State (chronologically) any judicial offices you have held, including positions as an administrative law judge, whether such position was elected or appointed, and a description of the jurisdiction of each such court.

I have not held judicial office.

- a. Approximately how many cases have you presided over that have gone to verdict or judgment? \_\_\_\_\_
- i. Of these, approximately what percent were:
- |                       |                      |
|-----------------------|----------------------|
| jury trials:          | _____ %              |
| bench trials:         | _____ % [total 100%] |
| civil proceedings:    | _____ %              |
| criminal proceedings: | _____ % [total 100%] |
- b. Provide citations for all opinions you have written, including concurrences and dissents.
- c. For each of the 10 most significant cases over which you presided, provide: (1) a capsule summary of the nature the case; (2) the outcome of the case; (3) the name and contact information for counsel who had a significant role in the trial of the case; and (3) the citation of the case (if reported) or the docket number and a copy of the opinion or judgment (if not reported).
- d. For each of the 10 most significant opinions you have written, provide: (1) citations for those decisions that were published; (2) a copy of those decisions that were not published; and (3) the names and contact information for the attorneys who played a significant role in the case.
- e. Provide a list of all cases in which certiorari was requested or granted.

- f. Provide a brief summary of and citations for all of your opinions where your decisions were reversed by a reviewing court or where your judgment was affirmed with significant criticism of your substantive or procedural rulings. If any of the opinions listed were not officially reported, provide copies of the opinions.
  - g. Provide a description of the number and percentage of your decisions in which you issued an unpublished opinion and the manner in which those unpublished opinions are filed and/or stored.
  - h. Provide citations for significant opinions on federal or state constitutional issues, together with the citation to appellate court rulings on such opinions. If any of the opinions listed were not officially reported, provide copies of the opinions.
  - i. Provide citations to all cases in which you sat by designation on a federal court of appeals, including a brief summary of any opinions you authored, whether majority, dissenting, or concurring, and any dissenting opinions you joined.
14. **Recusal:** If you are or have been a judge, identify the basis by which you have assessed the necessity or propriety of recusal (If your court employs an “automatic” recusal system by which you may be recused without your knowledge, please include a general description of that system.) Provide a list of any cases, motions or matters that have come before you in which a litigant or party has requested that you recuse yourself due to an asserted conflict of interest or in which you have recused yourself sua sponte. Identify each such case, and for each provide the following information:

I have not held judicial office.

- a. whether your recusal was requested by a motion or other suggestion by a litigant or a party to the proceeding or by any other person or interested party; or if you recused yourself sua sponte;
- b. a brief description of the asserted conflict of interest or other ground for recusal;
- c. the procedure you followed in determining whether or not to recuse yourself;
- d. your reason for recusing or declining to recuse yourself, including any action taken to remove the real, apparent or asserted conflict of interest or to cure any other ground for recusal.

15. **Public Office, Political Activities and Affiliations:**

- a. List chronologically any public offices you have held, other than judicial offices, including the terms of service and whether such positions were elected or appointed. If appointed, please include the name of the individual who appointed you. Also, state chronologically any unsuccessful candidacies you have had for



elective office or unsuccessful nominations for appointed office.

I have never held public office nor been a candidate for or held an elective public office.

- b. List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

I was a paid member of the campaign staff of Citizens for Jack O'Malley, the election committee for Jack O'Malley, candidate for Cook County (Illinois) State's Attorney's Office, in 1992. Since then, I have not received compensation for any activities related to political parties or election committees. I have engaged in some volunteer work, such as making phone calls and distributing yard signs for Mitch Daniels' 2008 gubernatorial campaign, and preparing a position paper on overcriminalization in the federal criminal justice system for the Holcomb for Indiana (U.S. Senate) campaign. I have also been a dues-paying member of the National Republican Congressional Committee since 2014.

16. **Legal Career:** Answer each part separately.

- a. Describe chronologically your law practice and legal experience after graduation from law school including:

- i. whether you served as clerk to a judge, and if so, the name of the judge, the court and the dates of the period you were a clerk;

I served as a law clerk to the Honorable Robert L. Miller, Jr.,  
United States District Judge, United States District Court for the  
Northern District of Indiana from 1997 to 1998.

- ii. whether you practiced alone, and if so, the addresses and dates;

I have never practiced alone.

- iii. the dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been affiliated, and the nature of your affiliation with each.

1996 – 1997  
Hinshaw & Culbertson LLP  
222 North LaSalle Street, Suite 300  
Chicago, Illinois 60601

Associate

1998 – 2001

Seyfarth Shaw LLP

233 South Wacker Drive, Suite 8000

Chicago, Illinois 60606

Associate

2001 – 2006

Office of the United States Attorney, Southern District of Indiana

10 West Market Street, Suite 2100

Indianapolis, Indiana 46204

Assistant United States Attorney

2006 – present

Faegre Baker Daniels LLP

300 North Meridian Street, Suite 2700

Indianapolis, Indiana 46204

Partner

*Formerly Baker & Daniels LLP*

- iv. whether you served as a mediator or arbitrator in alternative dispute resolution proceedings and, if so, a description of the 10 most significant matters with which you were involved in that capacity.

I have not served as a mediator or arbitrator in alternative dispute resolution proceedings.

b. Describe:

- i. the general character of your law practice and indicate by date when its character has changed over the years.

Since becoming a lawyer in 1996, I have maintained an active federal litigation practice. For the first several years of my career in private practice, I primarily served in a second-chair role handling discovery and other pre-trial aspects of civil litigation, such as motion practice. As an Assistant United States Attorney, I represented the United States as both prosecutor in criminal cases and defense counsel in civil cases. During that time, in addition to participating in many different types of hearings concerning a wide variety of evidentiary and legal issues, I tried 14 cases—12 jury trials and two bench trials—to verdict or judgment in federal district court, and presented argument on numerous occasions at the federal appellate level. I also served in leadership roles relating to combating gang activity and violent crime, and providing training to law enforcement officers. Over the last nearly 12 years of private practice I

have represented clients ranging from individuals to large companies in civil and criminal cases, and before various federal agencies. In recent years my practice has focused more on internal and government investigations involving federal criminal statutes, compliance, and regulatory issues. From these diverse experiences, I appreciate the legal and procedural issues from different perspectives.

- ii. your typical clients and the areas at each period of your legal career, if any, in which you have specialized.

Over the last 11 years, I have represented individuals and entities, including closely-held businesses, public companies, board committees, and non-profit entities. As an Assistant United States Attorney, I represented the United States in criminal prosecutions and defended federal agencies in civil litigation.

As an associate with various law firms, I represented corporate entities from various industries and individuals.

- c. Describe the percentage of your practice that has been in litigation and whether you appeared in court frequently, occasionally, or not at all. If the frequency of your appearances in court varied, describe such variance, providing dates.

As an associate with law firms, most of the cases I was assigned to work on were litigation, either in federal courts or before agencies such as the EEOC and NLRB. I occasionally appeared in court for motion practice. As an Assistant United States Attorney, I frequently appeared in court. Most of my work as a partner with Faegre Baker Daniels LLP involves corporate internal or government investigations, but I still occasionally appear in court for litigation matters.

- i. Indicate the percentage of your practice in:

- |                             |     |
|-----------------------------|-----|
| 1. federal courts:          | 80% |
| 2. state courts of record:  | 10% |
| 3. other courts:            | 0%  |
| 4. administrative agencies: | 10% |

- ii. Indicate the percentage of your practice in:

- |                          |     |
|--------------------------|-----|
| 1. civil proceedings:    | 30% |
| 2. criminal proceedings: | 70% |

- d. State the number of cases in courts of record, including cases before administrative law judges, you tried to verdict, judgment or final decision (rather than settled), indicating whether you were sole counsel, chief counsel, or associate counsel.

I have tried at least 17 cases to verdict, judgment, or final decision. I was chief counsel in three, sole counsel in twelve, and associate counsel in two. Fifteen of these trials were in United States District Court and 13 were jury trials.

i. What percentage of these trials were:

- |              |     |
|--------------|-----|
| 1. jury:     | 78% |
| 2. non-jury: | 22% |

e. Describe your practice, if any, before the Supreme Court of the United States. Supply four (4) copies of any briefs, amicus or otherwise, and, if applicable, any oral argument transcripts before the Supreme Court in connection with your practice.

I have been supporting counsel for one matter before the Supreme Court of the United States at the certiorari stage:

*Svec v. Moriarty*, No. 00-1679 (May 3, 2001). Brief available at 2001 WL 34124986.

17. **Litigation:** Describe the ten (10) most significant litigated matters which you personally handled, whether or not you were the attorney of record. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the case. Also state as to each case:

- a. the date of representation;
- b. the name of the court and the name of the judge or judges before whom the case was litigated; and
- c. the individual name, addresses, and telephone numbers of co-counsel and of principal counsel for each of the other parties.

1. *United States v. United Water, et al.*, No. 2:10-cr-00217 (N.D. Ind.).

This was a federal criminal case where three defendants were charged: United Water Services, Inc., Dwayne Bowie, and Greg Ciaccio. United Water was the contract operator at the Gary Sanitary District Wastewater Treatment Plant ("the GSD Plant"). Mr. Bowie was the project manager while Mr. Ciaccio was the plant superintendent. I was lead counsel for Mr. Ciaccio. My client was charged with 25 felony counts of conspiracy and violations of the Clean Water Act. Following extensive pre-trial motions and preparation, the case went to trial. The evidence presented at trial for the defense included complex scientific expert witness testimony regarding the efficacy of the method of wastewater treatment the defendants utilized at the GSD Plant. Following a two-week jury trial before Judge Philip Simon, the jury returned not guilty verdicts for Mr. Ciaccio

and the co-defendants on all counts on November 9, 2012.

Co-Counsel:

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Opposing Counsel:

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David P. Mucha  
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2. *Koehlinger v. Lottery Commission of Ind.*, No. 49D07-0701-CT-730 (Ind. Marion Super. Ct., Civil Div.); 933 N.E.2d 534 (Ind. Ct. App. 2010).

This was a class action against the State Lottery Commission of Indiana d/b/a the Hoosier Lottery alleging multiple claims, including fraud, deceptive sales practices, breach of contract, and negligence, and seeking recovery of \$20,000,000 in damages. I served as lead counsel for the Hoosier Lottery in this matter. Following substantial discovery and contested motions, each party filed a motion for summary judgment. I presented oral argument on the Lottery's motion for summary judgment. The court (Judge Gerald Zore) granted full summary judgment to the Hoosier Lottery and denied the plaintiff's motion for summary judgment. Plaintiff appealed the court's ruling, resulting in briefing and argument before the Indiana Court of Appeals. The Indiana Court of Appeals (in an opinion by Judge Bradford and joined by Judge Mathias in full, and joined in part and dissented from in part by Judge Riley) affirmed on the most important issues but remanded the case for further proceedings regarding limited issues.

Co-Counsel:

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*Formerly at Faegre Baker Daniels LLP*

Opposing Counsel:

Richard A. Waples  
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Indianapolis, Indiana 46219  
(317) 357-0903

3. *United States v. Bryant*, No. 1:04-cr-013 (S.D. Ind.); 420 F.3d 652 (7th Cir. 2005).

This case involved a prosecution of federal firearms related offenses and was resolved by a plea agreement at the district court level. I served as sole counsel for the United States in this matter. The contested sentencing hearing was unique in that this was one of the first sentencing hearings to be conducted in the Southern District of Indiana after the issuance of groundbreaking decisions, *Blakely v. Washington* and *United States v. Booker*, finding the United States Sentencing Guidelines unconstitutional. At the sentencing hearing before Judge Sarah Evans Barker, I presented argument regarding the position of the United States on these issues. On appeal, the defendant contended that the district court had erroneously deprived him of his constitutional right to a jury trial when it made factual findings on contested issues, and that the methodology the district court used to fashion his sentence violated his due process rights in light of *Blakely* and *Booker*. I wrote the briefs for the appeal and presented oral argument on these issues before the Seventh Circuit. The district court's decision was affirmed in an opinion by Judge Kanne, joined by Judges Wood and Sykes.

Opposing Counsel:

William Marsh  
*Retired*

4. *United States v. Burts*, No. 1:03-cr-0089 (S.D. Ind.); 108 F. App'x 389 (7th Cir. 2004).

This was a federal criminal case involving the prosecution of a defendant for illegal possession of a gun. I served as sole counsel for the United States in this matter. Because the defendant had three prior convictions involving violent crimes, he qualified for enhanced sentencing as an Armed Career Criminal. The case culminated with a jury trial before Judge John D. Tinder. While the fact pattern was not complicated – the police pulled over a vehicle with three people in it and found a loaded handgun on the floor – it was a difficult case to make because of the circumstantial nature of the admissible evidence. The jury found the defendant guilty and the court sentenced him to over 20 years in prison. I briefed and argued the appeal before the Seventh Circuit, which affirmed the conviction and sentence in a *per curiam* decision joined by Judges Cudahy, Coffey, and Rovner.

Opposing Counsel:

William Marsh  
*Retired*

5. *United States v. White*, No. 1:02-cr-00165 (S.D. Ind.); 368 F.3d 911 (7th Cir. 2004), *cert. granted sub nom. White v. United States*, 543 U.S. 1105 (2005), *on remand*, 213 F. App'x 488 (7th Cir. 2007).

This was a federal criminal case where the defendant was charged with federal firearms violations. I served as sole counsel for the United States in this matter. The case was challenging from an evidentiary perspective in that the government's admissible evidence of the defendant's guilt was entirely circumstantial, requiring the jury to draw multiple inferences in order to convict. The case became more challenging when multiple defense witnesses testified to facts that, if true, would have established the defendant's innocence. During the trial before Judge John D. Tinder, we located recordings of telephone conversations the defendant had with the witnesses from jail wherein the defendant instructed the witnesses how to testify. When confronted with these recordings, the witnesses recanted their prior testimony. The jury convicted Mr. White and the court increased his sentence for having attempted to obstruct justice by instructing the witnesses how to testify. The defendant appealed multiple issues relating to the trial and sentence imposed. I prepared the appellate brief and presented oral argument to the Seventh Circuit, which affirmed the verdict and sentence in an opinion by Judge Kanne, joined by Judges Posner and Easterbrook. The Supreme Court later granted, vacated, and remanded the case in light of *Booker*, and, upon remand, the Seventh Circuit affirmed in a *per curiam* decision joined by Judges Easterbrook, Posner, and Kanne.

Lead Opposing Counsel:

William H. Dazey, Jr.



Indiana Federal Community Defenders, Inc.  
111 Monument Circle, Suite 3200  
Indianapolis, Indiana 46204  
(317) 383-3520

6. *United States v. Bryson, et al.*, No. 1:02-cr-093 (S.D. Ind.); 94 F. App'x 389 (7th Cir. 2004).

This was a federal criminal case where multiple defendants were charged in a 34-count indictment with various criminal offenses, including mail and wire fraud, conspiracy, and money laundering, as part of a mortgage fraud scheme. I served as co-counsel for the United States with a more senior Assistant U.S. Attorney, sharing the pre-trial and trial responsibilities. Seven defendants entered into plea agreements before the indictment was returned against seven other individuals. Two individuals, Deb Bryson and Melvin Girton, proceeded to trial. Following a week-long jury trial before Judge Larry McKinney, both defendants were convicted on all counts on February 20, 2003. This was a challenging white-collar case involving complex expert witness testimony and evidentiary issues. The case was affirmed on appeal in a *per curiam* decision joined by Judges Coffey, Easterbrook, and Evans.

Co-Counsel:

Donna Eide  
*Retired*

Lead Opposing Counsel:

Kevin McShane  
Attorney at Law  
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Thomas A. Brodnik  
Donigner Tuohy & Bailey LLP  
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Indianapolis, Indiana 46204  
(317) 429-0954  
*Formerly with Stark Doninger & Smith*

7. *United States v. Swanson*, No. 1:01-cr-0083 (S.D. Ind.); 394 F.3d 520 (7th Cir. 2005) (first appeal); 483 F.3d 509 (7th Cir. 2007) (second appeal).

This was a federal criminal case where the defendant was charged with multiple counts of wire fraud, money laundering, interstate transportation of converted funds, and tax evasion. I served as associate counsel for the United States with a more senior

Assistant United States Attorney. The charges stemmed from a complex scheme of financial manipulations the defendant orchestrated through various roles, including as CEO of Countrymark Cooperative. The case culminated with a four-week jury trial before Judge Sarah Evans Barker, where the defendant was found guilty on October 10, 2002. This case presented complex sentencing issues, such as the method of calculating the appropriate amount of loss, restitution, and forfeiture, and the novel application of United States Supreme Court decisions that fundamentally altered certain aspects of sentencing in federal criminal cases. The Seventh Circuit remanded for a new sentencing, in a decision by Judge Rovner, joined in full by Judge Bauer and concurred in part and dissented from in part by Judge Ripple. In a later appeal, that same panel affirmed.

Co-Counsel:

Charles Goodloe, Jr.  
*Retired*

Lead Opposing Counsel:

James H. Voyles  
Voyles Vaiana Lukemeyer Baldwin & Webb  
141 East Washington Street, Suite 300  
Indianapolis, Indiana 46204  
(317) 632-4463  
*Formerly with Voyles Zahn & Paul*

8. *Hunt v. Chao, Secretary of the United States Department of Labor*, No. 1:99-cv-0785 (S.D. Ind.)

This was a civil employment discrimination case in federal court where the plaintiff alleged that her employer, the United States Department of Labor, discriminated against her on the basis of a disability and retaliated against her by terminating her employment. I served as lead counsel for the United States and the Department of Labor in this matter. This case culminated with a jury trial before Judge David Hamilton. The jury rejected plaintiff's claims and returned a verdict in favor of the Department of Labor on February 28, 2002.

Co-Counsel:

Jill Z. Julian  
Office of the United States Attorney  
10 West Market Street, Suite 2100  
Indianapolis, Indiana 46204  
(317) 226-6333

Lead Opposing Counsel:

Denise K. LaRue  
*Deceased*

9. *Barney v. White*, No.1:99-cv-1192, 2001 WL 1776885 (S.D. Ind. Dec. 11, 2001).

This was a complex civil employment discrimination case in federal court where the plaintiff alleged that her employer, the Department of the Army, discriminated against her on the basis of her age. I served as lead counsel for the United States and the Department of the Army in this matter. The case was complex because it involved intricacies of the federal employment system in the context of the drawdown of Ft. Benjamin Harrison. This case culminated with a bench trial before Judge David Hamilton. The court issued an opinion rejecting plaintiff's claims and entering judgment in favor of the Department of the Army.

Co-Counsel:

Jill Z. Julian  
Office of the United States Attorney  
10 West Market Street, Suite 2100  
Indianapolis, Indiana 46204  
(317) 226-6333

Lead Opposing Counsel:

Paul R. Rauch III  
Drewry Simmons Vornehm, LLP  
736 Hanover Place, Suite 200  
Carmel, Indiana 46032  
(317) 580-4848

10. *In re Manukian Alliev, Armen, Emma, Samir & Cara*, Nos. A 77-659-244, 245, 246, and 247 (U.S. Dep't of Justice, Executive Office for Immigration Review Aug. 14, 2001).

This case involved the Manukian Alliev family (father, mother, daughter and son), refugees from Armenia who were seeking political asylum in the United States. As sole counsel, I represented all four family members on a *pro bono* basis in a consolidated case before the Immigration Court. Armen, the father, is an ethnic Azerbaijani while Emma, the mother, is Armenian. Armen had been persecuted in Armenia because of his ethnicity; Emma was persecuted because she was married to an ethnic Azerbaijani; and Samir and Cara were persecuted because as children of an Azerbaijani-Armenia couple, they are of mixed ethnicity. The case culminated with a bench trial before immigration judge James R. Fujimoto. The court found in favor of the Manukian Alliev family, granting their petitions for asylum and giving them the ability to remain together and stay in the United States.

Lead Opposing Counsel:

I have been unable to locate the name or contact information for opposing counsel, who was with the Immigration and Naturalization Service.

18. **Legal Activities:** Describe the most significant legal activities you have pursued, including significant litigation which did not progress to trial or legal matters that did not involve litigation. Describe fully the nature of your participation in these activities. List any client(s) or organization(s) for whom you performed lobbying activities and describe the lobbying activities you performed on behalf of such client(s) or organizations(s). (Note: As to any facts requested in this question, please omit any information protected by the attorney-client privilege.)

Many of the most significant matters I have handled in recent years were litigation that did not proceed to trial, government investigations that did not result in charges being brought against the client, and corporate internal investigations. Recent civil litigation has included representing clients in complex litigation involving claims of fraud with concurrent investigations conducted by federal law enforcement agencies. I have recently represented multiple clients in investigations conducted by the Department of Justice where my client had been identified as a target of the investigation, but ultimately not charged. In the matters I have handled, the identity of the client and the facts were not publicly disclosed and remain confidential. I have recently represented clients in internal investigations involving potential violations of internal codes of conduct, federal statutes, and federal regulations. Some of these investigations involved interactions with the DOJ and/or other government agencies, while others did not. As none of these matters have resulted in public prosecutions or enforcement proceedings, the identity of the clients and the facts remain confidential. I have also recently represented companies that have been victimized by criminal conduct, such as financial fraud, theft, and misuse of intellectual property, and counterfeiting.

I have not performed any lobbying activities on behalf of any clients.

19. **Teaching:** What courses have you taught? For each course, state the title, the institution at which you taught the course, the years in which you taught the course, and describe briefly the subject matter of the course and the major topics taught. If you have a syllabus of each course, provide four (4) copies to the committee.

Spring 2011: White Collar Crime, Indiana University Robert H. McKinney School of Law. This course focused on the prosecution and defense of individuals and entities for non-violent crimes typically committed by deception.. Syllabus supplied.

Spring 2010: Seminar in Criminal Procedure, White Collar Crime, Indiana University Robert H. McKinney School of Law. This course examined legal and socio-legal issues unique to white-collar crime. Syllabus supplied.

20. **Deferred Income/ Future Benefits:** List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients or customers. Describe the arrangements you have made to be compensated in the future for any financial or business interest.

None other than compensation I would receive upon departing from the partnership of Faegre Baker Daniels LLP.

21. **Outside Commitments During Court Service:** Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service with the court? If so, explain.

I do not have any plans, commitments, or agreements to pursue outside employment with compensation during service with the court.

22. **Sources of Income:** List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, licensing fees, honoraria, and other items exceeding \$500 or more (if you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here).

See attached Financial Disclosure Report.

23. **Statement of Net Worth:** Please complete the attached financial net worth statement in detail (add schedules as called for).

See attached Net Worth Statement.

24. **Potential Conflicts of Interest:**

- a. Identify the family members or other persons, parties, categories of litigation, and financial arrangements that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to arise.

If confirmed, I will recuse myself in any litigation where I have ever played a role. For a period of time, I anticipate recusing myself in all cases where my

current firm, Faegre Baker Daniels LLP, represents a party. I will evaluate any other real or potential conflict, or relationship that could give rise to appearance of conflict, on a case by case basis and determine appropriate action with the advice of parties and their counsel including recusal where necessary.

- b. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.

If confirmed, I will carefully review and address any real or potential conflicts by reference to 28 U.S.C. § 455, Canon 3 of the Code of Conduct for United States Judges, and any and all other laws, rules, and practices governing such circumstances.

25. **Pro Bono Work:** An ethical consideration under Canon 2 of the American Bar Association's Code of Professional Responsibility calls for "every lawyer, regardless of professional prominence or professional workload, to find some time to participate in serving the disadvantaged." Describe what you have done to fulfill these responsibilities, listing specific instances and the amount of time devoted to each.

As appointed counsel at the United States Court of Appeals for the Seventh Circuit under the Criminal Justice Act, I have represented indigent individuals in appealing federal criminal convictions and sentences. For example, I represented an individual appealing a life sentence for drug-related offenses.

I have dedicated a very substantial amount of volunteer time to serving on the Board of Providence Cristo Rey High School since 2007. I was a founding board member involved with starting and opening the school. The mission of Providence Cristo Rey is to transform lives by providing college preparatory education and corporate work experience to students of limited economic means. Almost all of the students who graduate from Providence Cristo Rey are first-generation college students, and many of the students are first-generation high school graduates.

As appointed counsel at the United States District Court for the Southern District of Indiana under the Criminal Justice Act, I have represented indigent individuals charged with federal criminal offenses. For example, I represented an individual in a federal criminal case where over a dozen defendants were charged with conspiracy to distribute methamphetamine. My client was 27 years old, six months pregnant, and a single mother to a young child.

As a volunteer attorney with the Midwest Immigration and Human Rights Center, I represented refugees seeking political asylum in the United States in proceedings before the United States Department of Justice, Executive Office for Immigration Review. I served as sole counsel in two bench trials before administrative law judges, prevailing in each trial and obtaining orders granting asylum for my clients. In one case, my client, Mr. Moyo, was a refugee from Angola seeking political asylum in the

United States. In another case, my clients were four members of a family who were refugees from Armenia seeking political asylum in the United States.

Further, as a volunteer attorney with Pro Bono Advocates, I represented indigent victims of domestic violence in obtaining orders of protection. Separately, I represented an indigent individual in seeking enforcement of alimony order.

**26. Selection Process:**

- a. Please describe your experience in the entire judicial selection process, from beginning to end (including the circumstances which led to your nomination and the interviews in which you participated). Is there a selection commission in your jurisdiction to recommend candidates for nomination to the federal courts? If so, please include that process in your description, as well as whether the commission recommended your nomination. List the dates of all interviews or communications you had with the White House staff or the Justice Department regarding this nomination. Do not include any contacts with Federal Bureau of Investigation personnel concerning your nomination.

In response to a public announcement seeking applications, on or about March 13, 2017, I submitted an application to be considered for the position of United States District Judge, Southern District of Indiana, to the office of Senator Todd Young. On March 28, 2017, I interviewed with members of Senator Young's staff. On April 6, 2017, I interviewed with Senator Young. On or about December 8, 2017, I was contacted by officials from the White House Counsel's Office to schedule an interview. On December 14, 2017, I interviewed with attorneys from the White House Counsel's Office and the Office of Legal Policy at the Department of Justice. Since that time I have been in touch with attorneys from the White House and Office of Legal Policy regarding my candidacy. I have been in contact with Senator Joe Donnelly's staff since January 16, 2018. The President submitted my nomination to the Senate on April 12, 2018.

- b. Has anyone involved in the process of selecting you as a judicial nominee discussed with you any currently pending or specific case, legal issue or question in a manner that could reasonably be interpreted as seeking any express or implied assurances concerning your position on such case, issue, or question? If so, explain fully.

No.