

UNITED STATES SENATE
COMMITTEE ON THE JUDICIARY

QUESTIONNAIRE FOR JUDICIAL NOMINEES

PUBLIC

1. **Name**: State full name (include any former names used).

Stephanie Ann Finley

2. **Position**: State the position for which you have been nominated.

United States District Court Judge for the Western District of Louisiana

3. **Address**: List current office address. If city and state of residence differs from your place of employment, please list the city and state where you currently reside.

United States Attorney's Office for the Western District of Louisiana
800 Lafayette Street
Lafayette, Louisiana 70501

4. **Birthplace**: State year and place of birth.

1966; Pineville, Louisiana

5. **Education**: List in reverse chronological order each college, law school, or any other institution of higher education attended and indicate for each the dates of attendance, whether a degree was received, and the date each degree was received.

Civilian Education:

1988 – 1991, Southern University Law Center; J.D. (*cum laude*), 1991
1984 – 1988, Grambling State University; B.A. (*magna cum laude*), 1988

Military Education:

Annual Survey of Law (Reserve), Air Force, 2014
Air War College, Maxwell Air Force Base; Certificate of Completion, 2013
Cyber Law Course, Air Force, 2013
Air Reserve Component Annual Survey of the Law, Air Force, 2012
Annual Survey of Law (Reserve), Air Force, 2009
Air Command and Staff College, Maxwell Air Force Base; Certificate of Completion, 2007
Reserve Forces Judge Advocates Course, Air Force, 2007
Reserve Forces Judge Advocates Course, Air Force, 2003

Squadron Officer School, Maxwell Air Force Base; Certificate of Completion, 1998
Environmental Law Symposium, Air Force, 1994
Environmental Law Course, Air Force, 1993
Contract Law Course, Army, 1992
Claims and Tort Litigation Course, Air Force, 1992

6. **Employment Record:** List in reverse chronological order all governmental agencies, business or professional corporations, companies, firms, or other enterprises, partnerships, institutions or organizations, non-profit or otherwise, with which you have been affiliated as an officer, director, partner, proprietor, or employee since graduation from college, whether or not you received payment for your services. Include the name and address of the employer and job title or description.

1995 – present

United States Attorney's Office for the Western District of Louisiana
800 Lafayette Street
Lafayette, Louisiana 70501

United States Attorney (2010 – present)
Senior Litigation Counsel (2007 – 2010)

Assistant United States Attorney (1995 – 1999 in Shreveport office, 1999 – 2010 in Lafayette office)

Acting Deputy Criminal Chief (2008 – 2009)

1995 – present

United States Air Force – Reserves
Second Bomb Wing, Judge Advocate Office
334 Davis Avenue
Eighth Air Force, Judge Advocate Office
345 Davis Avenue West
Headquarters Air Force Global Strike Command, Judge Advocate Office
245 Davis Avenue
Barksdale Air Force Base, Louisiana 71110
Assistant Staff Judge Advocate

1991 – 1995

United States Air Force – Active Duty
20th Fighter Wing, Judge Advocate Office
504 Shaw Drive
Shaw Air Force Base, South Carolina 29150
23rd Tactical Fighter Wing, Judge Advocate Office
England Air Force Base, Louisiana (installation closed)
Assistant Staff Judge Advocate

1993 – 1995

St. Leo Community College

398 Shaw Drive
Room 116, Building 501
Shaw Air Force Base, South Carolina 29152
Adjunct Professor

1989 – 1991
Louisiana Department of Labor
1001 North 23rd Street
Baton Rouge, Louisiana 70802
Law Clerk Intern

Summer 1990
Brook, Morial, Cassibry, Fraiche and Pizza
(now defunct)
New Orleans, Louisiana
Summer Law Clerk

Summer 1990
United States Air Force
England Air Force Base, Louisiana (installation closed)
Reserve Officer Training Corps (ROTC) Legal Summer Intern

Summer 1989
Super One Food Stores
1253 Florida Boulevard
Baton Rouge, Louisiana 70802
Cashier

Summer 1988
Rapides Parish Sheriff Department
700 Murray Street
Alexandria, Louisiana 71301
Summer Records Clerk

Other Affiliations (uncompensated):

2010 – present
Gulf Coast High Intensity Drug Trafficking Area (HIDTA)
3891 Highway 468 West
Pearl, Mississippi 39208
Board Member

2004 – 2006
Lafayette Bar Foundation
2607 Johnston Street
Lafayette, Louisiana 70503

Board of Directors

2002 – 2006

Alpha Kappa Alpha Sorority Incorporated, Eta Chi Omega Chapter

No physical address

Lafayette, Louisiana

Secretary (approximately 2002)

President (2002 – 2006)

7. **Military Service and Draft Status:** Identify any service in the U.S. Military, including dates of service, branch of service, rank or rate, serial number (if different from social security number) and type of discharge received, and whether you have registered for selective service.

May 15, 1988

Air Force Reserve Officer Training Corps (AFROTC), Regular Commission as a Second Lieutenant, Distinguished Graduate (placed on inactive status to complete law school)

1991 – 1995

United States Air Force – Active Duty

Assistant Staff Judge Advocate

England Air Force Base, Louisiana

Shaw Air Force Base, South Carolina

Rank: January 24, 1990 – First Lieutenant

July 24, 1992 – Captain

Discharge – Honorable

1995 – present

United States Air Force – Reserves

Assistant Staff Judge Advocate

Rank: October 1, 1999 – Major

October 1, 2007 – Lieutenant Colonel

Previous: Second Bomb Wing

Eighth Air Force

Current: Headquarters Global Strike Command

Barksdale Air Force Base, Louisiana

8. **Honors and Awards:** List any scholarships, fellowships, honorary degrees, academic or professional honors, honorary society memberships, military awards, and any other special recognition for outstanding service or achievement.

Meritorious Service Medal (2004, 2008, 2015)

Alpha Kappa Alpha Sorority Incorporated Regional Conference Public Service Award (2013)

United States Environmental Protection Agency, Office of Enforcement and Compliance Assurance Bronze Medal for Commendable Service (2012)

Certificate of Commendation from Environment and Natural Resources Division (2012)
 Beacon Award Recipient for Public Service (2012)
 Southern University Law Center Alumni Hall of Fame (2011)
 National Coalition of 100 Black Women Torchbearer's Awards (2011)
 National Association of University Women Person of Excellence Award (2010)
 Top 20 Under 40, The Daily Advertiser (2006)
 Women Who Mean Business (2006)
 Alpha Kappa Alpha Sorority Incorporated Member of the Year, Lafayette Chapter (2005)
 Letter of Appreciation from Attorney General Ashcroft for participation in Operation
 Fastlink (2004)
 Air Force Longevity Service Award (1999)
 Air Force Commendation Medal (1992, 1995, 1999)
 Air Force Training Ribbon
 Armed Forces Reserve Medal
 Global War on Terrorism Service Medal
 National Defense Service Medal
 Air Force Outstanding Unit Award
 Editor-in-Chief, Law Review (1991)
 President's List (1988 – 1991)
 Freshman Scholarship Southern University Law Center (1988)
 Distinguished Graduate of Air Force Reserve Officer Training Corps (1988)
 Corps Commander Detachment 311 (recipient of various awards as a cadet)
 Reserve Officer Training Corps Scholarship (1986 – 1988)
 Grambling State University Academic Scholarship (1988)
 I DARE You Award (1984)

9. **Bar Associations:** List all bar associations or legal or judicial-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices which you have held in such groups.

American Bar Association (1991)
 Blacks in Government (2013)
 Federal Bar Association (2012 – present)
 Inns of Court, Shreveport (1997 – 1999)
 Lafayette Bar Association (2002 – present)
 Lafayette Bar Foundation (2004 – 2006)
 Board of Directors (2004 – 2006)
 Louis A. Martinet Legal Society, Inc. (2002 – 2009)
 Co-Chair, Constitution and By-Laws Committee (2008)
 Constitution and By-Laws Committee (2009)
 Louisiana State Bar Association (1991 – present)
 Assistant Bar Examiner (2001 – 2008)
 Bar Examiner (2009 – 2010)
 Magistrate Selection Panel for the Western District of Louisiana (2012, 2014 & 2015)
 National Black Prosecutors Association (1999 & 2009)
 Shreveport Bar Association (2014 – present)

Sumter Bar Association (1992 – 1995)
United States Attorney General Advisory Committee (2011 – 2013)
Office and Management and Budget Subcommittee Member, Vice-Chair, Chair
and Co-Chair (2013 – present)
Civil Rights Subcommittee (2012 – present)
Indian Country Subcommittee (2010 – present)
Veterans Working Group (2012 – present)
Child Exploitation Working Group (2010 – present)

10. **Bar and Court Admission:**

- a. List the date(s) you were admitted to the bar of any state and any lapses in membership. Please explain the reason for any lapse in membership.

Louisiana, 1991

There has been no lapse in membership.

- b. List all courts in which you have been admitted to practice, including dates of admission and any lapses in membership. Please explain the reason for any lapse in membership. Give the same information for administrative bodies that require special admission to practice.

United States District Court for the Western District Louisiana, 1995
United States Court of Military Appeals, 1991
United States Court of Military Review, 1991

There have been no lapses in membership.

11. **Memberships:**

- a. List all professional, business, fraternal, scholarly, civic, charitable, or other organizations, other than those listed in response to Questions 9 or 10 to which you belong, or to which you have belonged, since graduation from law school. Provide dates of membership or participation, and indicate any office you held. Include clubs, working groups, advisory or editorial boards, panels, committees, conferences, or publications.

Alpha Kappa Alpha Sorority Incorporated (1991 – present)
Alpha Kappa Alpha Sorority Incorporated, Eta Chi Omega Chapter (2000 – present)
President (2002 – 2006)
Secretary (approximately 2002)
Community Service Chair (approximately 2001)
Chairman of Constitution and By-Laws Committee (approximately 2002)
Fundraising Chair (2001)

Cluster Steering Committee (2003)
National Heritage Committee (2007 – 2010)
South Central Region Vendor/Corporate Sponsor Chair and Elections
Committee Member (2007)
Grambling State University Alumni Association, Life Member (2005)
Gulf Coast High Intensity Drug Trafficking Area (HIDTA)
Board Member (2010 – present)
Kings Cove Homeowners' Association (1999 – present)
United Way of Acadiana (2010)

- b. The American Bar Association's Commentary to its Code of Judicial Conduct states that it is inappropriate for a judge to hold membership in any organization that invidiously discriminates on the basis of race, sex, or religion, or national origin. Indicate whether any of these organizations listed in response to 11a above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies. If so, describe any action you have taken to change these policies and practices.

I believe that Alpha Kappa Alpha Sorority Incorporated limits membership to women. Otherwise, to the best of my knowledge, none of the organizations listed above currently discriminates or formerly discriminated on the basis of race, sex, religion or national origin, either through formal membership requirements or the practical implementation of membership policies.

12. Published Writings and Public Statements:

- a. List the titles, publishers, and dates of books, articles, reports, letters to the editor, editorial pieces, or other published material you have written or edited, including material published only on the Internet. Supply four (4) copies of all published material to the Committee.

Welcoming Section to the Western District of Louisiana Website. Copy supplied.

Eradicating Dual Education Systems through Desegregation: Missouri v Jenkins, 17 S.U. L. Rev. 119 (1991). Copy supplied.

- b. Supply four (4) copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of on behalf of any bar association, committee, conference, or organization of which you were or are a member. If you do not have a copy of a report, memorandum or policy statement, give the name and address of the organization that issued it, the date of the document, and a summary of its subject matter.

None.

- c. Supply four (4) copies of any testimony, official statements or other communications relating, in whole or in part, to matters of public policy or legal interpretation, that you have issued or provided or that others presented on your behalf to public bodies or public officials.

Letter from United States Attorneys to Senators Leahy and Grassley – Re: Nomination of the Honorable B. Todd Jones as the Director of Bureau of Alcohol, Tobacco, Firearms and Explosives, June 10, 2013. Copy supplied.

- d. Supply four (4) copies, transcripts or recordings of all speeches or talks delivered by you, including commencement speeches, remarks, lectures, panel discussions, conferences, political speeches, and question-and-answer sessions. Include the date and place where they were delivered, and readily available press reports about the speech or talk. If you do not have a copy of the speech or a transcript or recording of your remarks, give the name and address of the group before whom the speech was given, the date of the speech, and a summary of its subject matter. If you did not speak from a prepared text, furnish a copy of any outline or notes from which you spoke.

I have not kept a comprehensive list of all speeches, talks and presentations given. Below is my best effort to recreate my history of speaking engagements, drawing from my available files, calendars and the Internet. It is possible that I have omitted presentations for which I did not retain records.

February 18, 2016: Panelist, Women in the Law Seminar, Defense Research Institute, Scottsdale, Arizona. Handout supplied.

January 18, 2016: Keynote Speaker, Dr. Martin Luther King Day Program, King Day Celebration Committee, Albany, Georgia. Notes supplied.

October 30, 2015: CLE Presenter, “Eat, Drink and Be Scary” CLE Seminar, Louis A. Martinet Legal Society, Inc. and Lafayette Bar Association, Lafayette, Louisiana. Handout supplied.

October 29, 2015: Speaker, Greetings/Comments at Retirement Ceremony of Receptionist Melba Vincent, United States Attorney’s Office for the Western District of Louisiana, Lafayette, Louisiana. I greeted the gathering and made comments relating to the employee’s career. I have no notes, transcript or recording. The address of the United States Attorney’s Office for the Western District of Louisiana is 800 Lafayette Street, Suite 2200, Lafayette, Louisiana 70501.

October 21, 2015: Panelist, “Federal Capital Punishment Overview,” Louisiana State University Law School Capital Punishment Class, Baton Rouge, Louisiana. Handout supplied.

October 9, 2015: Keynote Speaker, 12th Annual National Black Catholic Men's Conference, Lafayette, Louisiana. Notes supplied.

August 27, 2015: Speaker, Retirement Ceremony of U.S. Magistrate Judge C. Michael Hill, United States Attorney's Office for the Western District of Louisiana, Lafayette, Louisiana. I thanked the Magistrate Judge for his years of service. I have no notes, transcript or recording. The address of the United States Attorney's Office for the Western District of Louisiana is 800 Lafayette Street, Suite 2200, Lafayette, Louisiana 70501.

June 29, 2015: Panelist, "Civil Rights at the University of Lafayette LITE Center," FBI/New Orleans Division and Lafayette Police Department, Lafayette, Louisiana. Handout supplied.

May 20, 2015: Guest Speaker, Weekly Luncheon, Beaver Club, Lafayette, Louisiana. Handout supplied.

May 8, 2015: Presenter, "Running in Heels" CLE Event, Lafayette Bar Association, Lafayette, Louisiana. I spoke about the Western District of Louisiana, kinds of cases that the office handles, need for continued excellence in the legal community, and the importance of legal education events. I have no notes, transcript or recording. The address of the Lafayette Bar Association is 2607 Johnston Street, Lafayette, Louisiana 70503.

April 18, 2015: Keynote Speaker, "Protecting Your Identity from Theft, Understanding Elder Abuse, Social Media Guidelines for Kids/Teens, Cyberbullying," 2015 State Convention, General Federation of Women's Clubs of Louisiana, Lafayette, Louisiana. Handout supplied.

April 8, 2015: Moderator, Career Panel Discussion, "How To Become Practice Ready," Southern University Law Center, Baton Rouge, Louisiana. Handout supplied.

April 2, 2015: Panelist, "Federal Capital Punishment Overview," Capital Punishment Class, Louisiana State University Law School, Baton Rouge, Louisiana. Handout supplied.

March 31, 2015: Speaker, Women's History Month, Shreveport Tuesday Morning Breakfast Group, Shreveport, Louisiana. The question/answer session focused on attendees' concerns about federal jurisdiction, human trafficking issues, and child exploitation and pornography. I have no notes, transcript or recording. The Shreveport Tuesday Morning Breakfast Group has no mailing address.

March 30, 2015: Guest Speaker, "Civil Rights Act of 1964 and Voting Rights Act of 1965," American History Classes, Caddo Parish Magnet High School, Shreveport, Louisiana. Notes supplied.

March 24, 2015: Speaker, "Careers as Attorneys with Department of Justice," Law Office Practice Class, Southern University Law Center, Baton Rouge, Louisiana. Handout supplied.

March 23, 2015: Speaker, "Federal Practice: Criminal & Civil Overview, Federal Criminal Process," Introduction to Criminal Justice Class, Louisiana State University at Eunice, Eunice, Louisiana. Handout supplied.

February 19, 2015: Panelist, "Federal Civil and Criminal Civil Rights in Louisiana," United States Attorneys of the Middle and Eastern Districts of Louisiana, Louisiana State Bar Association and the Diversity Committee of the Young Lawyers Division, Baton Rouge, Louisiana. Handout supplied.

February 5, 2015: Keynote Speaker, Fourth Annual Martin Luther King, Jr. Distinguished Leadership Awards Luncheon, Grambling State University, Grambling, Louisiana. Notes supplied.

Approximately 2010 – 2014: Opening Remarks, Training Programs, Gulf Coast High Intensity Drug Trafficking Area, various cities, Louisiana. The United States Attorney's Office sponsored these trainings, spearheaded by the Western District of Louisiana Law Enforcement Community Coordinator, a couple of times per year on topics such as how to investigate social networking sites or how to conduct interviews and provide court testimony. I welcomed the officers, thanked them for the work they do to keep our community safe, and discussed the work and priorities of the District. I have no notes, transcripts or recordings. The address of the Gulf Coast High Intensity Drug Trafficking Area is 3891 Highway 468 West, Pearl, Mississippi 39208.

December 11, 2014: Speaker, "Below 100," Law Enforcement Community Coordinator/Western District of Louisiana Training, United States Attorney's Office for the Western District of Louisiana, Lafayette, Louisiana. I welcomed the officers, thanked them for the work they do to keep our community safe, and discussed the work and priorities of the District. I have no notes, transcript or recording. The address of the United States Attorney's Office for the Western District of Louisiana is 800 Lafayette Street, Suite 2200, Lafayette, Louisiana 70501.

November 8, 2014: Keynote Speaker, Annual Banquet for Freemasonry Organization of Opelousas, Opelousas, Louisiana. Notes supplied.

November 6, 2014: Speaker, Law Enforcement Community Coordinator Gun Safety Demonstration, Werner Park Elementary School, Shreveport, Louisiana. I welcomed the students, spoke to them about the importance of gun safety, and talked to them about how important it was for them to stay in school and study

hard. I have no notes, transcript or recording. The address for Werner Park Elementary School is 2715 Corbitt Street, Shreveport, Louisiana 71108.

October 30, 2014: Keynote Speaker, "Education the New Civil Rights," National Bar Association Wiley A. Branton Issues Symposium, Southern University Law Center, Baton Rouge, Louisiana. Notes supplied.

October 28, 2014: Speaker, Retirement Ceremony of Assistant United States Attorneys Brett Grayson & Jim McManus, United States Attorney's Office for the Western District of Louisiana, Lafayette, Louisiana. I spoke about the attorneys' careers and contributions to the United States Attorney's Office, thanked their families for their support, and wished them the best in the next chapter of their lives. I have no notes, transcript or recording. The address of the United States Attorney's Office for the Western District of Louisiana is 800 Lafayette Street, Suite 2200, Lafayette, Louisiana 70501.

October 7, 2014: Speaker, National Night Out, National Association of Town Watch, Caddo Parish and Bossier Parish, Louisiana. I made brief comments about the importance of community and local law enforcement efforts throughout Caddo and Bossier parishes to combat crime together and continue their efforts. I have no notes, transcript or recording. The address of the National Association of Town Watch is 308 East Lancaster Avenue, Suite 115, Wynnewood, Pennsylvania 19096.

September 3, 2014: Speaker, 50th Anniversary of the Civil Rights Act and the Criminal Justice Act Celebration, Lafayette-Acadiana Chapter of the Federal Bar Association, Lafayette, Louisiana. Notes supplied.

August 31, 2014: Speaker, "Federal Criminal Process Overview," Private Investigator Class, South Louisiana Community College, Lafayette, Louisiana. Handout supplied.

August 19, 2014: Keynote Speaker, Awards Luncheon, Volunteer Instructors Teaching Adults (VITA), Lafayette, Louisiana. I spoke about the importance of literacy and the work that is done by the volunteers. I have no notes, transcript or recording. The address of Volunteer Instructors Teaching Adults (VITA) is 905 Jefferson Street, Suite 404, Lafayette, Louisiana 70501.

August 5, 2014: Speaker, National Night Out, National Association of Town Watch, Lafayette Parish, Louisiana. Alongside officials from the Lafayette Police Department, Lafayette Parish Sheriff's Office, Lafayette Fire Department, and other community leaders, I addressed the Lafayette Parish Council about the importance of community involvement as it relates to crime reduction and keeping the community safe. I have no notes, transcript or recording. The address of the National Association of Town Watch is 308 East Lancaster Avenue, Suite 115, Wynnewood, Pennsylvania 19096.

July 31, 2014: Speaker, Retirement Ceremony of Willie Leday, Chief Probation Officer for the Western District of Louisiana, United States Attorney's Office for the Western District of Louisiana, Lafayette, Louisiana. I thanked Mr. Leday for his years of service and spoke about his work with the United States Attorney's Office. I have no notes, transcript or recording. The address of the United States Attorney's Office for the Western District of Louisiana is 800 Lafayette Street, Suite 2200, Lafayette, Louisiana 70501.

July 5, 2014: Keynote Speaker, 50th High School Class Reunion Banquet, Peabody High School, Alexandria, Louisiana. Notes supplied.

May 28, 2014: Speaker, "The United States Attorney's Role in the Western District of Louisiana," Weekly Meeting, Abbeville Rotary Club, Abbeville, Louisiana. Handout supplied.

May 24, 2014: Keynote Speaker, Scholarship Presentations Luncheon, Grambling University National Alumni Association, Shreveport Alumni Chapter, Shreveport, Louisiana. I spoke on the importance of a quality education and continued work of the organization. I have no notes, transcript or recording. The address of the Grambling University National Alumni Association, Shreveport Alumni Chapter is 386 RWE Jones Drive East, Grambling, Louisiana 71245.

April 30, 2014: Keynote Speaker, "American Democracy and the Rule of Law: Why Every Vote Matters," Law Day Luncheon, Shreveport Bar Association, Shreveport, Louisiana. Notes supplied.

April 19, 2014: Speaker, "Experience as a Prosecutor," Criminal Justice Survey Class, Northern Virginia Community College, Lafayette, Louisiana. Handout supplied.

April 13, 2014: Keynote Speaker, "Academic Excellence – How Doing Well in College Helps You Achieve Your Dreams," First Annual Honors Convocation Ceremony, Central Louisiana Technical Community College, Alexandria, Louisiana. Notes supplied.

April 10, 2014: Panelist, "Federal Capital Punishment Overview," Capital Punishment Class, Louisiana State University Law School, Baton Rouge, Louisiana. Handout supplied.

April 7, 2014: Speaker, Crime Victims' Rights Week – Annual Proclamation Ceremony and Crime Victims' Rights Awareness Luncheon, Caddo Parish Sheriff's Office, Shreveport, Louisiana. My remarks related to the importance of the work done on behalf of crime victims. I have no notes, transcript or recording. The address of the Caddo Parish Sheriff's Office is 501 Texas Street, Room 101, Shreveport, Louisiana 71101.

March 29, 2014: Speaker, "Staying Safe Online" and "A Parent's Guide to Internet Safety," Eta Chi Omega Chapter, Alpha Kappa Alpha Sorority Incorporated Teen Summit, St. Martinville, Louisiana. Handout supplied.

March 13, 2014: Speaker, Women's History Month Celebration, Center for Disease Control, Atlanta, Georgia. Notes supplied.

March 8, 2014: Speaker, "Access to Justice," 16th Louisiana Judicial Council/National Bar Association Annual Meeting and CLE Seminar 2014, Louisiana Judicial Council for the National Bar Association, Lafayette, Louisiana. Notes supplied.

February 28, 2014: Keynote Speaker, "Celebrating the Golden Jubilee of the Civil Rights Act," Black History Program, Sunset Middle School, Sunset, Louisiana. I spoke to students, parents and teachers about the importance of students' continued hard work in school and the importance of parent and teacher involvement. I have no notes, transcript or recording. The address of Sunset Middle School is 236 Church Hill Street, Sunset, Louisiana 70584.

February 26, 2014: Keynote Speaker, "Civil Rights in America," 28th Annual Observance of Black History Month Program, Federal Energy Regulatory Commission (FERC), Washington, District of Columbia. Notes supplied.

January 23, 2014: Speaker, "Federal Procedure," American Legion Post 279 and Vermilion Parish School Board, Abbeville, Louisiana. Handout supplied.

January 20, 2014: Keynote Speaker, "Celebrating and Honoring Martin Luther King's Contributions," Martin Luther King Day Hopes & Dreams Breakfast, Krewe of Harambee, Shreveport, Louisiana. Notes supplied.

January 7, 2014: Speaker, Retirement Ceremony of Kathy Penfield, United States Attorney's Office for the Western District of Louisiana, Shreveport, Louisiana. I spoke about the employee's career and contributions to the United States Attorney's Office. I have no notes, transcript or recording. The address of the United States Attorney's Office for the Western District of Louisiana is 300 Fannin Street, Suite 3201, Shreveport, Louisiana 71101.

December 29, 2013: Guest Speaker, New Member's Program, Gamma Eta Omega Chapter, Baton Rouge, Louisiana. Notes supplied.

December 17, 2013: Speaker, Presentation of Assistant United States Attorney Director's Award for Superior Performance as an Assistant United States Attorney, United States Attorney's Office for the Western District of Louisiana, Lafayette, Louisiana. I introduced former Director of the Executive Office of United States Attorney's Marshall Jarrett and gave brief remarks about the

attorney, her case and work. I have no notes, transcript or recording. The address of the United States Attorney's Office for the Western District of Louisiana is 800 Lafayette Street, Suite 2200, Lafayette, Louisiana 70501.

December 12, 2013: Speaker, "Role of United States Attorney's Office in the Federal Judicial System," Presentation to Turkish Delegation, Open World Leadership Center, United States Attorney's Office, Shreveport, Louisiana. PowerPoint supplied.

November 21, 2013: Speaker, Retirement Ceremony of Assistant United States Attorneys Josette Cassiere and Bob Thrall, United States Attorney's Office for the Western District of Louisiana, Shreveport, Louisiana. Notes supplied.

October 28, 2013: Opening Remarks, 22nd Annual Homicide Conference, Regional Organized Crime Information Center, Shreveport, Louisiana. Handout supplied.

September 14, 2013: Keynote Speaker, "Work Hard – You Can Be What You Want to Be," Career Day, Eta Chi Omega Chapter, Alpha Kappa Alpha Sorority Incorporated, Lafayette, Louisiana. Handout supplied.

August 27, 2013: Speaker, "Hitting the Mark," Law Enforcement Community Coordinator PSN Training, United States Attorney's Office for the Western, Eastern & Middle Districts, Lafayette Police Department & Regional Organized Crime Information Center, Lafayette, Louisiana. I welcomed the law enforcement agencies, discussed various aspects of the District, encouraged them to continue participating in professional training, and shared with them how much we appreciate the work that they do. I have no notes, transcript or recording. The address of the Regional Organized Crime Information Center is 545 Marriott Drive, Nashville, Tennessee 37214.

August 19, 2013: Keynote Speaker, Opening Plenary Session, "Strategizing and Strengthening Government Leadership In The Global Economy," Blacks in Government 35th Annual National Training Institute, Dallas, Texas. Notes supplied.

May 30, 2013: Speaker, "Federal Criminal & Civil Practice Overview," CLE Presentation, John M. Duhe, Jr. American Inn of Court, Lafayette, Louisiana. Handout supplied.

May 23, 2013: Speaker, "Girls with Purpose" Event, Arthur F. Smith Middle School, Alexandria, Louisiana. Handout Supplied.

May 11, 2013: Speaker, Commencement, Southern University Law Center, Baton Rouge, Louisiana. Notes supplied.

May 10, 2013: Speaker, "Federal Practice: Criminal & Civil Overview," Alexandria Bar Association Young Lawyers CLE Seminar, Woodworth, Louisiana. Handout supplied.

May 2, 2013: Speaker, "Superwomen: Female Attorneys Can Run the World," Louisiana State Bar Association, New Orleans, Louisiana. I spoke about career paths, the role of litigators, and the importance of continuing to improve in the practice of law. I have no notes, transcript or recording. The address of the Louisiana State Bar Association is 601 St. Charles Avenue, New Orleans, Louisiana 70130.

April 18, 2013: Speaker, "Juvenile Delinquency Overview in the Federal System, Along With Statistics," Juvenile Delinquency Class, McNeese State University, Lake Charles, Louisiana. Handout supplied.

April 17, 2013: Speaker, "Honoring Women Veterans," American Legion Vermilion Post 29, Abbeville, Louisiana. Notes and handout supplied.

April 16, 2013: Speaker, "Federal Practice Tips: Criminal & Civil Overview," Criminal Law Class, Pineville, Louisiana. Handout supplied.

April 11, 2013: Panelist, "Presenting Your Case: Tactics & Techniques" CLE, 2013 Alumni Round-Up, Baton Rouge, Louisiana. Handout supplied.

April 11, 2013: Panelist, "Federal Capital Punishment Overview," Capital Punishment Class, Louisiana State University Law School, Baton Rouge, Louisiana. Handout supplied.

April 9, 2013: Speaker, Criminal Justice Society, University of Louisiana at Lafayette, Lafayette, Louisiana. Handout supplied.

March 15, 2013: Speaker, "Federal Practice Tips" CLE, 2013 Bench Bar Conference, Southwest Louisiana State Bar Association, Houston, Texas. Handout supplied.

March 8, 2013: Facilitator, Conference Session, 2013 Diversity Conclave, Louisiana State Bar Association, Baton Rouge, Louisiana. I facilitated a discussion on diversity. I have no notes, transcript or recording. The address of the Louisiana State Bar Association is 601 St. Charles Avenue, New Orleans, Louisiana 70130.

February 24, 2013: Keynote Speaker, African-American Black History Month Celebration, Redeemer Lutheran Church, Alexandria, Louisiana. Notes supplied.

February 2, 2013: Keynote Speaker, Scholarship Luncheon Presentation, Grambling University National Alumni Association, Lafayette-St. Martin Alumni

Chapter, Lafayette, Louisiana. I spoke on the importance of education, good role models and the importance of community involvement to assist in Department efforts. I have no notes, transcript or recording. The address of the Grambling University National Alumni Association, Lafayette-St. Martin Alumni Chapter is 108 Margi Drive, Scott, Louisiana 70583.

December 18, 2012: Speaker, Ninth Annual Judge John Shaw "Country Gentleman Civility & Ethics Seminar, Recent Developments in Criminal Law and Offshore Incidents," Federal Bar Association, Lafayette, Louisiana. Handout supplied.

November 30, 2012: Opening Remarks, Project Safe Childhood Prosecution One-Day Training Conference, United States Attorney's Office for the Western District of Louisiana, Barksdale Air Force Base, Louisiana. Handout supplied.

November 16, 2012: Panelist, "Developing Your Professional Strategic Plan," Louisiana State Bar Super Women CLE Program, Baton Rouge, Louisiana. I spoke about best practices for aspiring federal practitioners. I have no notes, transcript or recording. The address of the Louisiana State Bar Association is 601 St. Charles Avenue, New Orleans, Louisiana 70130.

October 30, 2012: Reader, Boys & Girls Club of Shreveport, Shreveport, Louisiana. I read stories to the children and spoke to them about the importance of being a good student. I have no notes, transcript or recording. The address of the Boys & Girls Club of Shreveport is 2821 Greenwood Road, Shreveport, Louisiana 71109.

September 2012: Speaker, Celebration Honoring Special Assistant United States Attorney Colonel John Odom for 30 Years of Service, United States Attorney's Office for the Western District of Louisiana, Shreveport, Louisiana. I spoke briefly about the attorney's service to the District and the community. I have no notes, transcript or recording. The address of the United States Attorney's Office for the Western District of Louisiana is 300 Fannin Street, Shreveport, Louisiana 71101.

August 31, 2012: Acceptance Remarks, Beacon Award for Public Service Award Ceremony, Grambling State University, Grambling, Louisiana. I thanked the University President for the recognition, addressed the importance of community involvement and educational excellence, and reminded the audience of the need to focus and teach high ethical standards and philanthropy. I have no notes, transcript or recording. The address of Grambling State University is 403 Main Street, Grambling, Louisiana 71245.

August 15, 2012: Keynote Speaker, Awards Luncheon, 18th Annual Training Conference, National Association of Housing Counselors & Agencies, Inc., New Orleans, Louisiana. Notes supplied.

August 7, 2012: Speaker, "Emotional Survival for Law Enforcement" Law Enforcement Coordinating Committee Training, United States Attorney's Office for the Western District of Louisiana, Alexandria, Louisiana. I welcomed the law enforcement agencies, discussed various aspects of the District, encouraged them to continue participating in professional training, and shared with them how much we appreciate the work that they do. I have no notes, transcript or recording. The address of the United States Attorney's Office for the Western District of Louisiana is 800 Lafayette Street, Suite 2200, Lafayette, Louisiana 70501.

August 2 – 3, 2012: Speaker, "Lessons from Jonesboro, Littleton & Vietnam: How Kids Are Learning to Kill and Learning to Like It" Law Enforcement Coordinating Committee Training, United States Attorney's Office for the Western District of Louisiana, Lafayette and Shreveport, Louisiana. I gave opening remarks welcoming the law enforcement agencies, discussed various aspects of the District, encouraged them to continue participating in professional training, and shared with them how much we appreciate the work that they do. I have no notes, transcript or recording. The address of the United States Attorney's Office for the Western District of Louisiana is 800 Lafayette Street, Suite 2200, Lafayette, Louisiana 70501.

June 29, 2012: Speaker, "Federal Criminal & Civil Practice" CLE Presentation, Annual Law Day, Young Lawyers Section of the Alexandria Bar Association, Alexandria, Louisiana. Handout supplied.

June 21, 2012: Speaker, "Federal Practice – Criminal & Civil Overview," United States Attorney's Office for the Western District of Louisiana, Lafayette, Louisiana. Handout supplied.

June 8 and June 14, 2012: Speaker, Leadership & Resiliency Summer Camp for Middle School Students, Lafayette Parish Sheriff's Office, Lafayette, Louisiana. I spoke to middle school students attending the summer camp about drug trafficking, the importance of staying in school, and the work of the Western District. I have no notes, transcripts or recordings. The address of the Lafayette Parish Sheriff's Office is 100 Poydras Street, Lafayette, Louisiana 70501.

June 5, 2012: Speaker, VALOR Training, Caddo Parish Sheriff's Office Regional Training Academy, Shreveport, Louisiana. I welcomed the officers, spoke to them about the importance of continued training, and thanked them for the work that they do. I have no notes, transcript or recording. The address of the Caddo Parish Sheriff's Office is 501 Texas Street Room 101, Shreveport, Louisiana 71101.

May 11, 2012: Presenter, 17th Annual CJA Panel Training Seminar: "The United States Attorney's Role in the Western District of Louisiana," Western District of Louisiana Federal Public Defender's Office, Lafayette, Louisiana. I spoke about

the structure of the Western District of Louisiana, criminal cases, priorities, and the importance of a strong defense bar, and answered questions related to District policies. I have no notes, transcript or recording. The address of the Western District of Louisiana Federal Public Defender's Office is 102 Versailles Boulevard, Suite 816, Lafayette, Louisiana 70501.

March 22, 2012: Award Presenter, Project Safe Neighborhood Certificates of Appreciation to Lake Charles Police Officers, Lake Charles Police Department, Lake Charles, Louisiana. I spoke about the Western District of Louisiana and thanked law enforcement for their work on various cases and what they do every day in keeping the community safe. I have no notes, transcript or recording. The address of the Lake Charles Police Department is 830 Enterprise Boulevard, Lake Charles, Louisiana 70601.

March 10, 2012: Keynote Speaker, Scholarship Luncheon Presentation, Grambling University National Alumni Association, San Antonio, Texas. Notes supplied.

February 26, 2012: Guest Speaker, Black History Month, Second Union Baptist Church, Alexandria, Louisiana. Notes supplied.

February 24, 2012: Guest Speaker, Retirement Ceremony of Joseph Ritmo, Barksdale Air Force Base, Louisiana. Notes supplied.

February 23, 2012: Panelist, 2012 Women's Forum in Celebration of Black History Month, Barksdale Air Force Base, Louisiana. I spoke about my mentors in the Air Force, how important it is to be a good role model, and the accomplishments of those who are celebrated during Black History Month. I have no notes, transcript or recording. The address of the Barksdale Air Force Base is Barksdale Air Force Base, Louisiana 71110.

February 22, 2012: Award Presenter, Project Safe Neighbored Certificates of Appreciation for Alexandria Police Officers, United States Attorney's Office for the Western District of Louisiana, Alexandria, Louisiana. I spoke about the Western District of Louisiana and thanked law enforcement for their work on various cases and what they do every day in keeping the community safe. I have no notes, transcript or recording. The address of the United States Attorney's Office for the Western District of Louisiana is 515 Murray Street, Room 320, Alexandria, Louisiana 71301.

February 19, 2012: Keynote Speaker, Black History Month Recognition, Allen Chapel African Methodist Episcopal Church, Thibodaux, Louisiana. Notes supplied.

February 8, 2012: Speaker, Law Enforcement Coordinating Committee Lunch Training, Carencro Police Department, Carencro, Louisiana. I spoke about the

Western District, thanked the attendees for coming, discussed the importance of training, and shared with the attendees the importance of the role they play in the safety of the community and the cases that we prosecute federally. I have no notes, transcript or recording. The address of the Carencro Police Department is 110 Centennial Drive, Carencro, Louisiana 70520.

January 16, 2012: Keynote Speaker, Martin Luther King, Jr. Celebration, Alexandria Riverfront Center, Alexandria, Louisiana. Notes supplied.

January 13, 2012: Speaker, "Overview of Project Safe Childhood" Presentation to Teachers, Broadmoor Elementary School, Lafayette, Louisiana. Handout supplied.

December 16, 2011: Speaker, Fall Commencement Address, Grambling State University, Grambling, Louisiana. Notes supplied.

December 1, 2011: Award Presenter, Project Safe Neighborhood Certificates of Appreciation for Lafayette Police Officers, Lafayette Consolidated Government, Lafayette, Louisiana. I spoke about the Western District of Louisiana and thanked law enforcement for their work on various cases and what they do every day in keeping the community safe. I have no notes, transcript or recording. The address of the Lafayette Consolidated Government is 705 West University Avenue, Lafayette, Louisiana 70506.

December 2011: Speaker, Torchbearer's Award Recipient, National Coalition of 100 Black Women, New Orleans, Louisiana. I thanked the organization for the award. I have no notes, transcript or recording. The address of the National Coalition of 100 Black Women is Capitol Hill Business Center, 300 New Jersey Avenue Northwest, Suite 900, Washington, District of Columbia 20001.

November 25, 2011: Speaker, "Pitfalls of Public Corruption," Bayou Classic CLE Seminar with the United States Attorney for the Eastern District of Louisiana, New Orleans, Louisiana. Handout supplied.

November 17, 2011: Speaker, "Federal Practice – Criminal & Civil Overview," 22nd Annual Fort Polk CLE Seminar, Leesville, Louisiana. Handout supplied.

October 21, 2011: Keynote Speaker, 60th Alumnae Celebration, Grambling State University, Grambling, Louisiana. I spoke about the work of the United States Attorney's Office, the importance of mentoring and alumni involvement, and thanked them for their service to the community. I have no notes, transcripts or recordings. The address of Grambling State University is 403 Main Street, Grambling, Louisiana 71245.

September 20, 2011: Speaker, Law Review Induction Ceremony, Southern University Law Center, Baton Rouge, Louisiana. Notes supplied.

September 20, 2011: Speaker, In-House CLE Seminar, Louisiana Attorney General, Baton Rouge, Louisiana. Handout supplied.

July 25, 2011: Panelist, Chief Prosecutors Roundtable, 28th Annual Conference, National Black Prosecutors Association, Minneapolis, Minnesota. I spoke about the role of a prosecutor. I have no notes, transcripts or recordings. The address of the National Black Prosecutors Association is 1507 East 53rd Street, Suite 108, Chicago, Illinois 60615.

July 20, 2011: Speaker, Tribal Meeting, Training, and Planning Session, Indian Tribes of the Western District of Louisiana, Marksville, Louisiana. Handout supplied.

July 14, 2011: Keynote Speaker, 34th Annual Training Conference, National Association of Black Narcotic Agents, New Orleans, Louisiana. Notes supplied.

July 8, 2011: Panelist, "Secrets of Federal Court" CLE, Monroe Division of the United States Attorney's Office for the Western District of Louisiana, Monroe, Louisiana. Handout supplied.

June 17, 2011: Keynote Speaker, Graduation Ceremony for the 65th Basic Recruit Class, Shreveport Regional Police Academy, Shreveport, Louisiana. Notes supplied.

June 9, 2011: Speaker, FBI Citizens' Academy, University of Louisiana at Lafayette, Lafayette, Louisiana. Handout supplied.

June 9, 2011: Keynote Speaker, Annual Conference Awards Luncheon, Louisiana Federation of Housing Counselors, Lafayette, Louisiana. Handout supplied.

June 7, 2011: Keynote Speaker, Opening Ceremony for the 66th Annual Educational Conference, Louisiana Division of International Association for Identification, Lafayette, Louisiana. I discussed the importance of forensic case work for federal and state jurisdictions. I have no notes, transcript or recording. The address of the International Association for Identification is 2131 Hollywood Boulevard, Suite 403, Hollywood, Florida 33020.

May 24, 2011: Speaker, Western District of Louisiana Project Safe Childhood Prosecution Training Conference for Assistant District Attorneys and JAGs, United States Attorney's Office for the Western District of Louisiana, Shreveport, Louisiana. Handout supplied.

May 13, 2011: Speaker, Western District of Louisiana Project Safe Childhood Prosecution Training Conference for Assistant District Attorneys, United States Attorney's Office for the Western District of Louisiana, Lafayette, Louisiana. I

used the same handout supplied for the speech on May 24, 2011.

April 19, 2011: Award Presenter, Western District of Louisiana Project Safe Neighborhood Certificates of Appreciation for Monroe Police Officers, United States Attorney's Office for the Western District of Louisiana, Monroe, Louisiana. I spoke about the Western District of Louisiana and thanked the law enforcement officers for their work on various cases and what they do every day in keeping the community safe. I have no notes, transcripts or recording, but press coverage is supplied. The address of the United States Attorney's Office for the Western District of Louisiana is 201 Jackson Street, Room B-107, Monroe, Louisiana 71201.

April 14, 2011: Panelist, "Federal Capital Punishment Overview," Capital Punishment Class, Louisiana State University Law School, Baton Rouge, Louisiana. Handout supplied.

April 8, 2011: Speaker, Hall of Fame Induction, Southern University Law Center, Baton Rouge, Louisiana. I thanked the organization for the award. I have no notes, transcript or recording. The address of the Southern University Law Center is Two Roosevelt Steptoe Street, Baton Rouge, Louisiana 70813.

April 5, 2011: Speaker, Honor Society Induction Ceremony, Progressive Baptist Church, Lafayette, Louisiana. Notes supplied.

March 31, 2011: Keynote Speaker, "Juvenile Crime: Is It a Problem or Symptom?" 55th Annual Banquet, Louisiana Juvenile Officers Association, Opelousas, Louisiana. I spoke about juvenile crime. I have no notes, transcript or recording. The address of the Louisiana Juvenile Officers Association is 309 Ocelot Drive, Arabi, Louisiana 70032.

March 26, 2011: Keynote Speaker, "Confident Women of Faith in Battle," 2011 Women's Conference, St. Martin de Porres Catholic Church, Scott, Louisiana. I spoke about the role that women play in our community, the importance of being confident leaders and need for mentoring. I have no notes, transcript or recording. The address of the St. Martin de Porres Catholic Church is 1100 Chiasson Street, Scott, Louisiana 70583.

March 24, 2011: Guest Speaker, "Celebrate the Achievements of the Students, Their Academic Excellence, Goal Setting, Belief in Themselves and What They Can Accomplish Through Hard Work and Dedication," Black Faculty & Staff Caucus Achievement Awards Program (Undergraduate and Graduate Students), University of Louisiana at Lafayette, Lafayette, Louisiana. I spoke about the importance of making good grades, studying, and being a positive influence. I thanked the teachers and faculty for all of their hard work. I have no notes, transcript or recording. The address of the University of Louisiana at Lafayette is 104 East University Avenue, Lafayette, Louisiana 70504.

March 22, 2011: Speaker, National Women's History Month, Tuesday Morning Breakfast Group, Shreveport, Louisiana. I spoke about the Western District of Louisiana. I have no notes, transcript or recording. The address of the Tuesday Morning Breakfast Group is 102 Lake Street, Shreveport, Louisiana 71101.

March 12, 2011: Keynote Speaker, Alpha Kappa Alpha 103rd Founder's Day Program, "Global Leadership Through Timeless Service," Phi Gamma Omega Chapter, Alpha Kappa Alpha Sorority Incorporated, Pineville, Louisiana. I used the same notes supplied for the December 29, 2013 speech.

March 9, 2011: Keynote Speaker, "Navigating the Waters and Mastering the Currents," Southern University Founders' Day Convocation, Southern University, Baton Rouge, Louisiana. Notes supplied.

February 27, 2011: Award Acceptance Comments, Black History Month Recognition, Rose of Sharon Baptist Church, Alexandria, Louisiana. I thanked the organization for all the work that they do and shared how important it is to be active in the community. I have no notes, transcript or recording. The address of the Rose of Sharon Baptist Church is 1401 Tenth Street, Alexandria, Louisiana 71301.

February 25, 2011: Speaker, Plantation Elementary School, Lafayette, Louisiana. I spoke to students about the importance of making good grades and listening to teachers, and the role of the United States Attorney. I have no notes, transcript or recording. The address of Plantation Elementary School is 1801 Kaliste Saloom Road, Lafayette, Louisiana 70508.

February 20, 2011: Guest Speaker, Black History Month Youth Presentation, Friendship Community Missionary Baptist Church, Kenner, Louisiana. I spoke about the work of the Western District and the importance of parental and community involvement with young people, and highlighted the accomplishments of various individuals who have made significant contributions to our nation. I have no notes, transcripts or recording, but press coverage is supplied. The address of Friendship Community Missionary Baptist Church is 2717 Aberdeen Street, Kenner, Louisiana 70062.

February 19, 2011: Guest Speaker, Founder's Day Program, Omicron Lambda Omega Chapter, Alpha Kappa Alpha Sorority Incorporated, New Orleans, Louisiana. I used the same notes supplied for the December 29, 2013 speech.

February 13, 2011: Guest Speaker, "Timeless Service to Our Global Community," Founder's Day Program, Zeta Phi Omega Chapter, Alpha Kappa Alpha Sorority Incorporated, Monroe, Louisiana. I used the same notes supplied for the December 29, 2013 speech.

February 7, 2011: Speaker, Law Enforcement Coordinating Committee Event, Louisiana Sheriffs' Association Mid-Winter Conference, Vidalia, Louisiana. I thanked the officers for the work that they do every day, discussed the importance of collaboration and partnership, and shared with them the priorities of the Western District of Louisiana. I have no notes, transcript or recording. The address of the Louisiana Sheriffs' Association is 1175 Nicholson Drive, Baton Rouge, Louisiana 70802.

February 3, 2011: Panelist, "A View from the Bench and Bar: How Batson Impacts Diversity," Shreveport Mini Diversity Conclave, Louisiana State Bar Association, Shreveport, Louisiana. I spoke about the importance of voir dire. I have no notes, transcript or recording. The address of the Louisiana State Bar Association is 601 St. Charles Avenue, New Orleans, Louisiana 70130.

February 2, 2011: Reader, Ms. Muffet's Headstart Center, Alexandria, Louisiana. I read to the students and spoke to them about the importance of learning and doing the right thing. I have no notes, transcript or recording. The address of Ms. Muffet's Headstart Center is 1225 Windsor Place, Alexandria, Louisiana 71303.

January 27, 2011: Speaker, Annual Dinner, Association of Women Attorneys, New Orleans, Louisiana. I spoke about my path to the United States Attorney position, the importance of women in the law, and the need to continue to work for excellence in all areas of the law. I have no notes, transcript or recording. The address of the Association of Women Attorneys is P.O. Box 52076, New Orleans, Louisiana 70152.

January 17, 2011: Speaker, Martin Luther King, Jr. Day, Martin Luther King, Jr. Holiday Committee of Lafayette, Lafayette, Louisiana. I called on the community to honor Dr. King's legacy with service and encouraged students to focus on achieving in school. I have no notes, transcript or recording, but press coverage is supplied. The Martin Luther King, Jr. Holiday Committee does not have a mailing address.

January 14, 2011: Speaker, 2011 Graduation Ceremony, Southern University Law Center, Baton Rouge, Louisiana. Notes supplied.

November 22, 2010: Speaker, United States Attorney Investiture Ceremony, United States Attorney's Office for the Western District of Louisiana, Lafayette, Louisiana. Notes supplied.

November 12, 2010: Keynote Speaker, Annual Symposium & Retreat, Louisiana Association of Black Women Attorneys, Marksville, Louisiana. I spoke about my path to becoming the United States Attorney, balancing career and life, and the importance of continuing to improve as a lawyer and leader. I have no notes, transcript or recording. The address of the Louisiana Association of Black Women Attorneys is 601 St. Charles Avenue, New Orleans, Louisiana 70130.

November 11, 2010: Speaker, 11th Annual Veterans Day Program, Grambling State University ROTC and American Legion Post 593, Grambling, Louisiana. Notes supplied.

October 5, 2010: Speaker, Criminal Justice Society Meeting, University of Louisiana at Lafayette, Lafayette, Louisiana. I spoke about the work of the Western District of Louisiana and how important it is for students to stay on the straight and narrow, study hard and make good grades. I have no notes, transcript or recording. The address of the University of Louisiana at Lafayette is 104 East University Avenue, Mouton Hall, Lafayette, Louisiana 70504.

September 30, 2010: Speaker, Western District of Louisiana Ukrainian Judges Visit, "Role of United States Attorney's Office in the Federal Judicial System," United States Attorney's Office for the Western District of Louisiana, Shreveport, Louisiana. PowerPoint supplied.

September 28, 2010: Speaker, Lieutenant Colonel Dave Grossman Training Seminar, "The Bullet Proof Mind," Western District of Louisiana Law Enforcement Coordinating Committee, Lafayette, Louisiana. I thanked the attendees for their commitment to keeping neighborhoods and communities safe, discussed the importance of taking time for themselves and continuing to enhance their careers with training, and let them know how much we, at the United States Attorney's Office, appreciate their work and partnership. I have no notes, transcript or recording. The address of the Western District of Louisiana Law Enforcement Coordinating Committee is 300 Fannin Street, Shreveport, Louisiana 71101.

August 19, 2010: Speaker, Criminal Defense Bar Luncheon, Shreveport Bar Association, Shreveport, Louisiana. I shared my priorities for the District, discussed the importance of having a strong defense bar, and listened to the concerns of the bar. I have no notes, transcript or recording. The address of the Shreveport Bar Association is 625 Texas Street, Shreveport, Louisiana 71101.

June 8, 2010: Speaker, Alpha Kappa Alpha Day at the Capitol Luncheon, Alpha Kappa Alpha Sorority Incorporated, Baton Rouge, Louisiana. Notes supplied.

March 14, 2010: Speaker, Founder's Day Celebration, Zeta Psi Omega Chapter, Lake Charles, Louisiana. I used the same notes supplied for the December 29, 2013 speech.

2006 – 2009 (various times): Speaker, Our Lady of Fatima Career Day, Our Lady of Fatima, Lafayette, Louisiana. I gave lectures on the perils of drug use and trafficking. I have no notes, transcripts or recordings. The address of Our Lady of Fatima is 2315 Johnston Street, Lafayette, Louisiana 70503.

March 12, 2008: Panelist, "Federal Criminal Child Support Referrals," Louisiana Support Enforcement Association Annual Training Conference, Marksville, Louisiana. I spoke about federal child support prosecutions. I have no notes, transcript or recording. The address of the Louisiana Support Enforcement Association is 1645 Nicholson Drive, Baton Rouge, Louisiana 70802.

2003 – 2007: Speaker, Introductory Remarks at Eta Chi Omega Winter Cotillion, Alpha Kappa Alpha Sorority Incorporated, Lafayette, Louisiana. I welcomed guests and gave them information about the chapter. I have no notes, transcript or recording. The address of the Eta Chi Omega Chapter is P.O. Box 90815, Lafayette, Louisiana 70509.

July 6, 2006: Speaker, Identity Theft, South Central Region Regional Conference, Alpha Kappa Alpha Sorority Incorporated, Dallas, Texas. PowerPoint supplied.

April 29, 2006: Speaker, "A Box of Raisins," Louisiana Youth Prevention Services, Baton Rouge, Louisiana. I spoke about the perils of getting involved with drugs and discussed drug trafficking and penalties. I have no notes, transcript or recording. The address of Louisiana Youth Prevention Services is 1408 Mohon Street, Alexandria, Louisiana 71301.

April 6, 2006: Speaker, Annual Kiwanis Career Day, Kiwanis Club of Southwest Louisiana, Lafayette, Louisiana. I gave a lecture on the perils of drug use and trafficking. I have no notes, transcript or recording. The address of the Kiwanis Club of Southwest Louisiana is P.O. Box 5228, Lafayette, Louisiana 70502.

April 4, 2006: Speaker, Save Our Youth Meeting, Clifton Chenier Center, Lafayette, Louisiana. I spoke about the pitfalls of using narcotics and prescription drugs. I have no notes, transcript or recording. The address of the Clifton Chenier Center is 220 West Willow Street, Lafayette, Louisiana 70501.

2006: Speaker, "Identity Theft," 2006 Alpha Day at the Legislature, Alpha Kappa Alpha Sorority Incorporated, Baton Rouge, Louisiana. I have no notes, transcript or recording. I spoke about importance of safeguarding one's identity and trends in identity theft. The address for Alpha Kappa Alpha Sorority Incorporated is 5656 South Stony Avenue, Chicago, Illinois 60637.

2003 – 2006: Speaker, Introductory Remarks at Eta Chi Omega Winter Cotillion, Alpha Kappa Alpha Sorority Incorporated, Lafayette, Louisiana. Handout supplied.

August 21, 2005: Speaker, "Finding Your Vision," Phi Gamma Omega Chapter, Alpha Kappa Alpha Sorority Incorporated, Lake Charles, Louisiana. PowerPoint supplied.

August 3 – 7, 2005: Speaker, Identity Theft Seminar, National Leadership

Conference, Alpha Kappa Alpha Sorority Incorporated, Paradise Island, Bahamas. Handout supplied.

April 2005: Speaker, Annual Louisiana Youth Prevention Conference, Louisiana Youth Prevention Services, Alexandria, Louisiana. I gave a lecture on the perils of drug use and trafficking. I have no notes, transcript or recording. The address of the Louisiana Youth Prevention Services is 1408 Mohon Street, Alexandria, Louisiana 71301.

April 2004: Speaker, Annual Louisiana Youth Prevention Conference, Louisiana Youth Prevention Services, Alexandria, Louisiana. I gave a lecture on the perils of drug use and trafficking. I have no notes, transcript or recording. The address of the Louisiana Youth Prevention Services is 1408 Mohon Street, Alexandria, Louisiana 71301.

December 14, 2001: Speaker, CLE Training "Federal Prosecution and Investigations of Child Support Cases," Louisiana District Attorneys Association, Baton Rouge, Louisiana. Handout supplied.

August 5, 2001: Speaker, Federal Prosecution and Investigations of Child Support Cases, Louisiana District Attorneys Association, 27th Annual Conference, Destin, Florida. I have no notes, transcript or recording, but the handout was substantially similar to the one supplied for the December 14, 2001 presentation. The address of the Louisiana District Attorneys Association is 1645 Nicholson Drive, Baton Rouge, Louisiana 70802.

- e. List all interviews you have given to newspapers, magazines or other publications, or radio or television stations, providing the dates of these interviews and four (4) copies of the clips or transcripts of these interviews where they are available to you.

I have done my best to identify all items called for in this question, including a thorough review of my personal files and searches on publicly-available electronic databases. In my official capacity as United States Attorney for the Western District of Louisiana, I occasionally give interviews to local radio, television and print media reporters. I do not recall all the interviews I have given or the dates on which I gave those interviews. The interviews have centered on particular cases my office has handled during my term, law enforcement initiatives, community and law enforcement outreach initiatives, and Department initiatives as they have related to the Western District of Louisiana. I have located the following interviews, press conferences, and press releases listed below.

Interviews and Related News Articles:

Zane Hill, *One Year Later, No Answer*, Daily Iberian (New Iberia, Louisiana),

September 6, 2015. Copy supplied.

Assistant US Attorney Broadwell Dies at 57, Shreveport Times, September 4, 2015. Copy supplied.

Angela Thomas, *United States Attorney's Office Mourns Death of John Broadwell*, KEEL News 710, September 3, 2015. Copy supplied.

Jazmin Thibodeaux, *Women's History Month – United States Attorney Stephanie Finley*, KLFY News 10, March 27, 2015. Video is available at <http://klfy.com/2015/03/27/womens-history-month-u-s-attorney-stephanie-finley/>.

Nikki Henderson and Marquel Sennet, *National Night Out Celebrations Kick Off Around the ArkLaTex*, KTAL News (ArkLaTex), October 7, 2014. Video is available at http://www.clipsyndicate.com/video/play/5378109/national_night_out_celebrations_kick_off_around_the_arklatex?wpid=4578.

Adult Literacy Program Volunteers Honored, KLFY News 10, August 20, 2014. Copy supplied.

Hope Ford, *Community and Police Engage in Awareness Efforts*, KLFY News 10, August 6, 2014. Copy supplied.

Babs Zimmerman, *Tax Identity Theft*, KLAX TV Alexandria, April 10, 2014. Video is available at <https://www.youtube.com/watch?v=jrgaGJkvmRE>.

Debra Cassens Weiss, *Lawyer on Trial in Synthetic Pot Case Shoots Himself; Suicide Note Could Have Case Information*, ABA Journal, April 3, 2014. Copy supplied.

Leslie Turk, *'Secret Cajun Man' Too Ill for Trial?*, The Independent (Lafayette, Louisiana), December 10, 2013. Copy supplied.

Richard Burgess, *Ex-Deputy Pleads Guilty to Misusing FBI Database*, Baton Rouge Advocate, December 5, 2013. Copy supplied.

Mike Mitchell, *Sentencing of Gregory Guillot*, KADN TV Lafayette, August 1, 2013. No copies available.

Claire Taylor, *Opelousas Housing Worker Charged*, Shreveport Times, June 29, 2013. Copy supplied.

Gilmore Guilty of Racketeering, The News-Star (Monroe, Louisiana), May 17, 2013. Copy supplied.

Babs Zimmerman, *First Female United States Attorney*, KLAX TV Alexandria, April 16, 2013. No copies available.

Leslie Turk, *Fit Execs*, ABiz Acadiana Business, January 17 – February 19, 2013. Copy supplied.

Evan Moore, *DA's Secretary Pleads Guilty to Accepting Bribes*, Daily World (Opelousas, Louisiana), January 15, 2013. Copy supplied.

Mike Mitchell, *Curious Goods and Charbel Tannous/L'Auberge Casino Fraud Interview*, KADN TV Lafayette, October 4, 2012. No copies available.

Eric Cormier, *Two Lake Charles Brothers Get Jail Time for Food Stamp Scheme*, American Press, August 17, 2012. Copy supplied.

Mike Mitchell, *Alexander Sentencing*, KADN TV Lafayette, April 18, 2012. No copies available.

Needham Sentenced for Federal Weapons Charge, WBRC Fox 6 News, March 21, 2012. Video is available at <http://www.wbrc.com/story/17215520/needham-sentenced-for-federal-weapons>.

Jeff Palermo, *Sentencing of Stephen Hurst*, Louisiana Radio Network, September 28, 2011. Audio supplied.

Kathryn Marchocki, *Funeral Today for Daughter, Mother*, New Hampshire Union Leader (Manchester, New Hampshire), July 27, 2011. Copy supplied.

Theresa Schmidt, *Six More Plead Guilty in Federal Steroid Case*, KPLC TV, June 28, 2011. Copy supplied.

Leslie Turk, *Floyd Johnson's Cash Cow*, The Independent (Lafayette, Louisiana), June 8, 2011. Copy supplied.

Stacy Temple, *Former State Sen. Charles Jones Sentenced to 27 Months in Prison for Income Tax Evasion*, The News-Star (Monroe, Louisiana), January 5, 2011. Copy supplied.

Billy Gunn, *Louisiana's First Female US Attorney*, Alexandria Daily Town Talk, December 23, 2010. Copy supplied.

Lauren Gardner, *Stephanie A. Finley*, The Promulgator (Lafayette Parish Bar Association), September 27, 2010. Copy supplied.

Jay Hancock, *Officials Slow to Probe Extent of Unneeded Stents*, The Baltimore Sun, September 26, 2010. Copy supplied.

John Andrew Price, *Federal Jury Finds Former Senator Guilty*, Shreveport Times, August 24, 2010. Copy supplied.

Psychiatrist Allison Hargrave Accused of Sexual Misconduct, The Daily Advertiser, date unknown (approximately June 2010). Copy supplied.

Press Release, *Senate Confirms Finley as United States Attorney in Western District*, Office of U.S. Senator Mary Landrieu, May 28, 2010. Copy supplied (reprinted in multiple outlets).

Johnny Gunter, *Landrieu Recommends Two Prosecutors to Be U.S. Attorney*, The News-Star (Monroe, Louisiana), July 31, 2009. Copy supplied.

Judy Johnson, *Women Who Mean Business 2006*, The Independent Weekly, September 6, 2006. Copy supplied.

Lisa Blackburn and Barbara McConnel, *Top 20 Under 40*, The Daily Advertiser, 2006. Copy supplied.

Kayla Gagnet, *Police Juror Resigns, Pleads Guilty*, The Daily Advertiser, August 12, 2005. Copy supplied (reprinted in multiple outlets).

Richard Burgess, *Local Businessman Pleads Guilty in Bankruptcy Case; Roland J. Maturin*, The Daily Advertiser, March 17, 2005. Copy supplied.

Off the Beaten Path, The Promulgator (Lafayette Parish Bar Association), 2004. Copy supplied.

Hector San Miguel, *D.A. Not After Darnsteadt*, American Press, September 28, 2002. Copy supplied.

Richard Burgess, *Woman Admits She Stole Bosses' Identities*, The Daily Advertiser, March 29, 2002. Copy supplied (reprinted in multiple outlets).

Billy Gunn, *Chambers to Plead Guilty, Official Says*, Alexandria Daily Town Talk, December 18, 2001. Copy supplied.

Billy Gunn, *Investigator Looks Into Bayou Robert*, Alexandria Daily Town Talk, July 14, 2001. Copy supplied.

Edward J. Walters, Jr., *An Introduction to the Paperless Courtroom ... By the Lawyers Who Have Been There*, Louisiana Bar Journal, February 2000. Copy supplied.

Indictment Accuses VA Employee, Associated Press, June 24, 1999. Copy

supplied.

Don Walker, *Man Accused of Filing False Tax Returns*, Shreveport Times, February 26, 1999. Copy supplied.

Andrew Standard, *Reas Convicted on Tax Charges*, The News Star, October 1998. Copy supplied.

Press Conferences:

July 24, 2014: Press conference to announce the indictments of seven individuals responsible for cocaine distribution in northern Louisiana. Comments and representative press coverage supplied (reprinted in multiple outlets).

August 26, 2013: Press conference to announce the guilty pleas of three Shreveport business owners for money laundering, wire fraud and tax evasion. Comments and representative press coverage supplied (reprinted in multiple outlets).

August 20, 2013: Press conference to announce the distribution of \$119,000 to the 26th Judicial District for forfeiture related to drug prosecution. Comments and representative press coverage supplied (reprinted in multiple outlets).

July 24, 2013: Press conference to announce a Lafayette businessman's guilty plea to synthetic marijuana sales and multi-million dollar investment fraud. Comments and representative press coverage supplied (reprinted in multiple outlets).

May 16, 2013: Press conference to announce the re-trial verdict of a former Monroe city council member's trial. Video excerpt is available at <https://www.youtube.com/watch?v=1L-wBytuplg>.

February 28, 2013: Press conference to announce the indictment of private investigator Williamson in the OWI case. Comments and representative press coverage supplied (reprinted in multiple outlets).

February 1, 2013: Press conference to announce the indictment of 15 defendants for distributing cocaine and methamphetamine in OCDETF Operation "Limpiar Casa." Comments supplied.

January 14, 2013: Press conference to announce the guilty plea of Lafayette District Attorney secretary Barna Haynes in the OWI case. Video, comments, and representative press coverage supplied (reprinted in multiple outlets).

February 6, 2012: Press conference to announce the distribution of \$2 million in community service payments as a result of the Pelican Refining Company case.

Comments supplied.

August 3, 2011: Press conference in Washington, District of Columbia with Attorney General Eric Holder, Criminal Division Assistant Attorney General Lanny Breuer, and Department of Homeland Security Secretary Janet Napolitano to announce the international criminal network indictment for child exploitation and sexual abuse. Transcript and representative video and press coverage supplied (reprinted in multiple outlets).

January 5, 2011: Press conference to announce the sentencing of former Louisiana State Senator Charles Jones of Monroe for income tax evasion. Representative press coverage supplied.

June 24, 2010: Press conference to announce the indictment of Monroe city council members Robert Stevens and Arthur Gilmore for violations of the Racketeer Influenced and Corrupt Organizations Act. Comments and representative press coverage is supplied (reprinted in multiple outlets). Video excerpt is available at <https://www.youtube.com/watch?v=PFydhyc10p4>.

Press Releases:

In an effort to keep the public informed of the work done by the United States Attorney's Office, the office routinely issues press releases on matters. I have supplied what I believe to be a complete list of press releases that have been released during my tenure as United States Attorney, as well as copies of those releases.

13. **Judicial Office:** State (chronologically) any judicial offices you have held, including positions as an administrative law judge, whether such position was elected or appointed, and a description of the jurisdiction of each such court.

I have never held a judicial office, but as a Judge Advocate General's Corps (JAG) officer, I have acted as a summary court martial hearing officer and an Article 32 hearing officer throughout my JAG career. I have presided over one summary court martial and numerous Article 32 hearings.

- a. Approximately how many cases have you presided over that have gone to verdict or judgment? _____

- i. Of these, approximately what percent were:

jury trials:	_____%
bench trials:	_____% [total 100%]
civil proceedings:	_____%
criminal proceedings:	_____% [total 100%]

- b. Provide citations for all opinions you have written, including concurrences and dissents.

None.

- c. For each of the 10 most significant cases over which you presided, provide: (1) a capsule summary of the nature the case; (2) the outcome of the case; (3) the name and contact information for counsel who had a significant role in the trial of the case; and (3) the citation of the case (if reported) or the docket number and a copy of the opinion or judgment (if not reported).

None.

- d. For each of the 10 most significant opinions you have written, provide: (1) citations for those decisions that were published; (2) a copy of those decisions that were not published; and (3) the names and contact information for the attorneys who played a significant role in the case.

None.

- e. Provide a list of all cases in which certiorari was requested or granted.

None.

- f. Provide a brief summary of and citations for all of your opinions where your decisions were reversed by a reviewing court or where your judgment was affirmed with significant criticism of your substantive or procedural rulings. If any of the opinions listed were not officially reported, provide copies of the opinions.

None.

- g. Provide a description of the number and percentage of your decisions in which you issued an unpublished opinion and the manner in which those unpublished opinions are filed and/or stored.

None.

- h. Provide citations for significant opinions on federal or state constitutional issues, together with the citation to appellate court rulings on such opinions. If any of the opinions listed were not officially reported, provide copies of the opinions.

None.

- i. Provide citations to all cases in which you sat by designation on a federal

court of appeals, including a brief summary of any opinions you authored, whether majority, dissenting, or concurring, and any dissenting opinions you joined.

None.

14. **Recusal:** If you are or have been a judge, identify the basis by which you have assessed the necessity or propriety of recusal (If your court employs an “automatic” recusal system by which you may be recused without your knowledge, please include a general description of that system.) Provide a list of any cases, motions or matters that have come before you in which a litigant or party has requested that you recuse yourself due to an asserted conflict of interest or in which you have recused yourself sua sponte. Identify each such case, and for each provide the following information:

I have not been a judge.

- a. whether your recusal was requested by a motion or other suggestion by a litigant or a party to the proceeding or by any other person or interested party; or if you recused yourself sua sponte;
- b. a brief description of the asserted conflict of interest or other ground for recusal;
- c. the procedure you followed in determining whether or not to recuse yourself;
- d. your reason for recusing or declining to recuse yourself, including any action taken to remove the real, apparent or asserted conflict of interest or to cure any other ground for recusal.

15. **Public Office, Political Activities and Affiliations:**

- a. List chronologically any public offices you have held, other than judicial offices, including the terms of service and whether such positions were elected or appointed. If appointed, please include the name of the individual who appointed you. Also, state chronologically any unsuccessful candidacies you have had for elective office or unsuccessful nominations for appointed office.

I was appointed as the United States Attorney for the Western District of Louisiana on June 2, 2010, by President Barack Obama and confirmed by the Senate. I have not been a candidate for any other elected or appointed non-judicial office.

- b. List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign,

your title and responsibilities.

I have never been a member of, held office in, nor rendered services to any political party or election committee. I have never held a position nor played a role in a political campaign.

16. Legal Career: Answer each part separately.

a. Describe chronologically your law practice and legal experience after graduation from law school including:

- i. whether you served as clerk to a judge, and if so, the name of the judge, the court and the dates of the period you were a clerk;

I have not served as a judicial law clerk.

- ii. whether you practiced alone, and if so, the addresses and dates;

I have never practiced alone.

- iii. the dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been affiliated, and the nature of your affiliation with each.

1991 – 1995

United States Air Force – Active Duty

20th Fighter Wing, Judge Advocate Office

504 Shaw Drive

Shaw Air Force Base, South Carolina 29150

23rd Tactical Fighter Wing, Judge Advocate Office

England Air Force Base, Louisiana (installation closed)

Assistant Staff Judge Advocate

1995 – present

United States Air Force – Reserves

2d Bomb Wing, Judge Advocate Office

334 Davis Avenue

Eighth Air Force, Judge Advocate Office

345 Davis Avenue West

Headquarters Air Force Global Strike Command, Judge Advocate Office

245 Davis Avenue

Barksdale Air Force Base, Louisiana 71110

Assistant Staff Judge Advocate

1995 – present

United States Attorney's Office for the Western District of Louisiana
800 Lafayette Street
Lafayette, Louisiana 70501
Assistant United States Attorney (1995 – 2010) (first four years in
Shreveport office)
Senior Litigation Counsel (2007 – 2010)
Acting Deputy Criminal Chief (2008 – 2009)
United States Attorney (2010 – present)

- iv. whether you served as a mediator or arbitrator in alternative dispute resolution proceedings and, if so, a description of the 10 most significant matters with which you were involved in that capacity.

I have never served as a mediator or arbitrator.

b. Describe:

- i. the general character of your law practice and indicate by date when its character has changed over the years.

In June 2010, I became the United States Attorney for the Western District of Louisiana, serving as the chief law enforcement officer for 42 of Louisiana's 64 parishes and five of its divisions (Shreveport, Monroe, Alexandria, Lafayette, and Lake Charles). Since then, the nature of my work has been multifaceted. As United States Attorney, I manage all aspects of the office, including the legal practice (both civil and criminal) and administrative matters, specifically all aspects of federal criminal investigations, prosecutions, appeals, affirmative and defensive civil litigation in which the United States is a party, administrative, personnel, and operational activity of the United States Attorney's Office, federal, state and local law enforcement coordination, community and media outreach, and all coordination with the Department of Justice and Executive Office. I maintain a relationship with the bench and the defense bar. My responsibilities as United States Attorney include co-chairing the Office of Management and Budget Subcommittee and working with the 92 other United States Attorneys, the Attorney General's Advisory Committee, and various subcommittees to develop strategies that effectively address the priorities of the Department and the Western District of Louisiana.

I joined the office in October 1995 in the Criminal Division in Shreveport and was immediately assigned to prosecute criminal cases. During my tenure as an Assistant United States Attorney, my sole focus was on representing the United States in criminal cases in federal court. I conducted grand jury investigations and was the lead trial counsel on a wide range of federal prosecutions, including, but

not limited to, drug trafficking, organized crime, identity theft, murder, social security fraud, public corruption, bank fraud, financial fraud, firearms, civil rights, immigration, money laundering, tax evasion, counterfeiting, and environmental crime. I have tried cases in all five divisions of the district. I was involved in all phases of litigation from opening of a matter through indictment, pretrial, plea bargain or trial, and sentencing.

In 1999, I moved to the Lafayette office to continue prosecuting criminal cases. In 2007, I was appointed the Senior Litigation Counsel for the District. In that capacity, I was responsible for the training and mentorship programs for new attorneys, and annual office conference for the District. I remained in the position until I was appointed United States Attorney.

In 2008, I was appointed as the Acting Deputy Criminal Chief in the Lafayette Office. My duties included supervising, overseeing and managing the day-to-day activities of nine attorneys and the support staff of the criminal branch, along with coordinating related criminal matters with federal and state agencies. My position terminated upon the return of the criminal chief from an overseas tour of duty in July 2009.

As an Assistant Staff Judge Advocate on active duty, I handled a number of courts-martial proceedings and administrative discharge boards, many of which were contested. I held the position of Deputy Staff Judge Advocate and Chief of Administrative Actions at England Air Force Base, and the position of Chief of Environmental and Contracts at Shaw Air Force Base, as well as prosecuting criminal cases at each installation. I have also been a claims officer, handled non-judicial punishment actions and performed legal assistance. As a reservist, I have been utilized as a Summary Courts Officer, Administrative Discharge Hearing Officer, an Article 32 Hearing Officer, and acted on matters related to military justice, legal assistances, civil issues, and training.

- ii. your typical clients and the areas at each period of your legal career, if any, in which you have specialized.

As the United States Attorney, an Assistant United States Attorney, and an Assistant Staff Judge Advocate, my only client has been the United States government. Currently, I have responsibility over all federal criminal and investigations and prosecutions, as well as the responsibility to represent the United States in all civil cases in the Western District of Louisiana. As an Assistant United States Attorney, I practiced in criminal litigation. As an Assistant Staff Judge

Advocate, I practiced in both civil, affirmative and defensive cases, and criminal litigation.

- c. Describe the percentage of your practice that has been in litigation and whether you appeared in court frequently, occasionally, or not at all. If the frequency of your appearances in court varied, describe such variance, providing dates.

As United States Attorney, I have been directly involved in a number of cases and made direct appearances in approximately five cases, three of which were criminal, and two of which were civil. I am involved in many matters in a managerial and supervisory capacity. I am regularly involved in strategy, disposition and general case-related discussions. My current responsibilities are divided approximately 30% on civil matters and 40% on criminal matters, with the remainder of my time focused on the administrative functions of the office.

My practice as an Assistant United States Attorney was 100% criminal litigation, and I appeared in court frequently. As an Assistant Staff Judge Advocate, I primarily worked on criminal cases. My duties included civil matters, both affirmative and defensive, and administrative cases. I appeared regularly before military administrative discharge boards.

- i. Indicate the percentage of your practice in:

1. federal courts:	99%
2. state courts of record:	0%
3. other courts:	0%
4. administrative agencies:	1%

- ii. Indicate the percentage of your practice in:

1. civil proceedings:	3%
2. criminal proceedings:	97%

- d. State the number of cases in courts of record, including cases before administrative law judges, you tried to verdict, judgment or final decision (rather than settled), indicating whether you were sole counsel, chief counsel, or associate counsel.

I have tried approximately 50 cases to verdict as a member of the United States Attorney's Office and the United States Air Force. I was second chair on two cases as an Assistant United States Attorney. I was lead or sole counsel in the others. I tried a number of administrative discharge boards to final disposition when I was on active duty and appeared in a sentencing hearing as a reservist.

- i. What percentage of these trials were:

- | | |
|--------------|------|
| 1. jury: | 100% |
| 2. non-jury: | 0% |

- e. Describe your practice, if any, before the Supreme Court of the United States. Supply four (4) copies of any briefs, amicus or otherwise, and, if applicable, any oral argument transcripts before the Supreme Court in connection with your practice.

I have never appeared before the Supreme Court of the United States.

17. **Litigation:** Describe the ten (10) most significant litigated matters which you personally handled, whether or not you were the attorney of record. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the case. Also state as to each case:

- a. the date of representation;
- b. the name of the court and the name of the judge or judges before whom the case was litigated; and
- c. the individual name, addresses, and telephone numbers of co-counsel and of principal counsel for each of the other parties.

(1) *United States v. Kingsley, et al.*, No. 07-50079 (W.D. La.) (Judge Donald Walter)

I represented the United States in these drug cases. The defendants were part of a local drug group and were distributing crack cocaine in the Shreveport, Louisiana area from 1994 through 1997. Some of the members were known members of the "Crips." The defendants were known in the area to be violent, and one defendant was the primary suspect in an execution-style killing of another drug dealer. The defendants were charged with conspiracy and distribution and possession with the intent to distribute cocaine. All of the defendants pleaded guilty except for Mr. Rodgers, who went to trial and was convicted. The convictions of the defendants ranged from 48 to 286 months of incarceration. I was the sole counsel in all of the cases and handled all guilty pleas, all phases of the trial preparation, trial, and sentencings.

Counsel for Defendants:

Richard C. Goorley (for defendant Rodgers)
 Attorney at Law
 820 Jordan Street, Suite 470
 Shreveport, Louisiana 71101
 318-222-3778

William C. Monroe (for defendant Kingsley)
Solo Practitioner
3304 Lakeshore Drive
Shreveport, Louisiana 71109
318-636-1700

Patricia A. Gilley (for defendant Hill)
Gilley & Gilley
Post Office Box 52011
Shreveport, Louisiana 71135
318-798-1605

Mary E. Winchell (for defendant Smith)
Malone Law Firm
330 Marshall Street, Suite 1010
Shreveport, Louisiana 71101
318-550-0346

Peter R. Flowers (for defendant A. June)
Flowers & Long LLP
101 Milam Street, Suite 100
Shreveport, Louisiana 71101
318-734-8985

Richard B. King, Jr. (for defendant D. June)
(deceased)

(2) *United States v. J. and S. Rea*, No. 98-30007 (W.D. La.) (Judge Donald Walter)

The defendant J. Rea was the owner of a multi-million dollar real estate agency in Monroe, Louisiana. He failed to report \$22,000 on his jointly-filed 1991 income tax return and \$81,264 on his 2002 tax return. His spouse, S. Rea, was the secretary of the company and handled all of the processing of the real estate transactions, including the receipt of funds. Both defendants went to trial. The first trial ended in a hung jury; the second trial ended in a mistrial after the government was successful in its *Batson* challenge against the defense's removal of minorities from the jury; and the third trial ended in the conviction of both defendants. J. Rea was sentenced to ten months of incarceration, including five months at a halfway house, and a \$15,000 fine, and S. Rea was sentenced to one year of probation, with seven months of home confinement, and a \$10,000 fine. The case was one of the first paperless trials in federal court in the state of Louisiana. I was the sole counsel on this case and handled all prosecutorial duties during the investigation, pre-trial, trial, post-trial and sentencing phases.

Counsel for Defendants:

Richard M. Campbell
Richard M. Campbell Law Office
1216 Stubbs Avenue
Monroe, Louisiana 71201
318-388-4176

Thomas Davenport
Davenport, Files, & Kelly
1509 Lamy Lane
Monroe, Louisiana 71201
318-387-6453

(3) *United States v. Darnstadt*, No. 02-20042 (W.D. La.) (Judge James Trimble)

The defendant was the Calcasieu Parish tax assessor. He created a company in his son's name and authorized payments for work to a third company, which in turn paid a majority of the funds to the "son's" company. The investigation revealed that the son never worked at the company, and that it was in fact Mr. Darnstadt that made a deal with a Parish contractor to have the money funneled back to him. The contractor agreed to pay the dummy company 75-80% of all of the payments paid to him by the Parish. The defendant paid the contractor approximately \$563,000 during the years investigated. The defendant pleaded guilty to attempted tax evasion and was sentenced to 36 months of incarceration, 200 hours of community service, and restitution in the amount of \$192,613. I was the sole counsel on this case and handled all prosecutorial duties during the investigation, guilty-plea and sentencing phases.

Counsel for Defendant:

Thomas Lorenzi
Lorenzi & Barnatt
518 Pujo Street
Lake Charles, Louisiana 70601
337-436-8401

(4) *United States v. Ayesh*, No. 02-10005 (W.D. La.) (Judge F. A. Little, Jr.)

Shortly after 9/11, the defendant obtained a commercial driver's license and then returned to a DMV to demand a hazardous material (HAZMAT) endorsement on his commercial license. The defendant attempted to get the endorsement on three occasions and became verbally abusive when he did not pass the required examination. The defendant made comments, alluding to "blowing" up something. An investigation revealed that the defendant was using a fraudulent name, birth date and social security number. The defendant had been traveling back and forth from the Middle East to the United States under the name to avoid detection of his previous arrest. The defendant pleaded guilty to misuse of a social security number and was

sentenced to six months of incarceration to be followed by deportation action. I was the sole counsel on this case and handled all prosecutorial duties during the investigation, guilty-plea and sentencing phases.

Counsel for Defendant:

Dmitre Burnes
Burnes Burnes & Talley
Post Office Box 650
Alexandria, Louisiana 71309
318-442-4300

(5) *United States v. Ardoin, Jr., et al.*, No. 00-60009 (W.D. La.) (Judge Rebecca Doherty)

This case was the second part of an Organized Crime Drug Task Force case named "Doomed Legions." The FBI, along with the Louisiana State Police and Abbeville Police Department, investigated several crack cocaine and marijuana dealers in the Abbeville, Louisiana area. The leader of the organization was a major narcotics trafficker in Houston, Texas. He was indicted along with the 14 other defendants for distributing approximately 25 to 50 kilograms of crack cocaine per month in Abbeville and adjoining towns. The defendants were charged in a 14-count indictment with conspiracy to distribute cocaine base, distribution of cocaine base and possession with intent to distribute. All except one defendant pleaded guilty. The final defendant was deemed incompetent to stand trial and cooperated with the government. The sentences ranged from time served to 210 months of imprisonment. I was the sole counsel on this case and handled all prosecutorial duties during the investigation, pre-trial, trial, post-trial and sentencing phases.

Counsel for Defendants:

Alfred F. Boustany, II (for defendant Ardoin)
Boustany Law Firm
Post Office Box 4626
Lafayette, Louisiana 70502
337-261-0225

Steven A. Chandler (for defendant Ardoin)
Solo Practitioner
201 Heymann Boulevard, Room 36
Lafayette, Louisiana 70503
337-234-8010

John R. Whaley (for defendant Bibbins, Jr.)
Whaley Law Firm
3112 Valley Creek Drive, Suite D

Baton Rouge, Louisiana 70808
225-302-8810

Franklin White Dawkins (for defendant Landry)
Solo Practitioner
Post Office Box 80944
Lafayette, Louisiana 70598
337-234-0584

Kevin Stockstill (for defendant Boudreaux)
Attorney at Law LLC
412 West University Street, Suite 205
Lafayette, Louisiana 70501
337-262-0203

William Dauphin (for defendant B. Ruffins)
Solo Practitioner
317 Madeline Avenue
Lafayette, Louisiana 70501
337-291-2748

James E Boren (for defendant Foster, Jr.)
The Law Office of James E. Boren
830 Main Street
Baton Rouge, Louisiana 70802
225-387-5786

Harold Register (for defendants Willis and W. Ruffins)
Harold D. Register Jr. Law Office
216 Rue Louis XIV
Lafayette, Louisiana 70508
337-981-6644

Jack E. Nickel (for defendant Dora)
Jack Nickel LLC
319 Parkway Circle
Crowley, Louisiana 70526
337-788-1693

John V. Ghio (for defendant Forchion)
Solo Practitioner
104 South Michot Road
Lafayette, Louisiana 70508
337-981-1780

Edward Marquet (for defendant Breaux)

Edward J. Marquet PLC
405 West Main Street #104
Lafayette, Louisiana 70501
337-237-6841

Timothy Meche (for defendant Castleberry)
Solo Practitioner
700 Camp Street
New Orleans, Louisiana 70130
504-528-9500

Tommy Guilbeau (for defendant Davidson)
Solo Practitioner
106 West Congress Street
Lafayette, Louisiana 70501
337-232-7240

Michael Piccione (for defendant Broussard)
Piccione Law Firm
405 West Main Street, Suite 103
Lafayette, Louisiana 70501
337- 261-1124

(6) *United States v. Self*, No. 04-60031 (W.D. La.) (Judge Richard Haik)

This was a civil rights case regarding an alleged assault on a Cuban detainee at the Pine Prairie Correctional Center. The investigation revealed that on July 26, 2003, Captain Self physically assaulted a detainee after the detainee had been handcuffed and restrained. The officer kicked and repeatedly struck the detainee in the head and other parts of his body. Three officers working with the Captain were instructed to falsify reports and provide false statements regarding what they witnessed. The defendant was charged with one count of deprivation of civil rights and three counts of tampering with a witness. He was convicted at trial of all four counts of the indictment and was sentenced to 32 months of incarceration. I was assisted by co-counsel from the Civil Rights Division on this case. I handled all aspects of prosecutorial duties during the investigation, pre-trial, trial, post-trial and sentencing phases.

Co-counsel:

Michael Khoury
U.S. Department of Justice
Civil Rights Division
1800 G Street NW
Washington, District of Columbia 20006
202-616-3948

Counsel for Defendant:

Donald G. Kelly
Thomas Taylor Townsend
Kelly & Townsend
Post Office Box 756
Natchitoches, Louisiana 71458
318-352-2353

(7) *United States v. Callier*, No. 05-60076 (W.D. La.) (Judge Richard Haik)

The defendant was the chief of police at the Opelousas Police Department. An audit of the police department revealed that he directed payments to himself and one of his captains under a U.S. Department of Housing and Urban Development contract for bike patrols that were not performed. The defendant stepped down from his duties, pleaded guilty to one count of filing a false claim and was sentenced on October 30, 2006, to five years of probation and ten months of home confinement. He was also ordered to pay restitution in the amount of \$26,300 and held liable *in solido* with Captain Trahan (who pleaded guilty in a separate case, No. 06-60068, and was sentenced to five years of probation and restitution in the amount of \$36,955) for \$63,255 total of the false claim. I was the sole counsel on this case and handled all prosecutorial duties during the investigation, guilty-plea and sentencing phases.

Counsel for Defendant:

Elbert Guillory
Solo Practitioner
633 East Landry Street
Opelousas, Louisiana 70571
337-942-3981

Edward Lopez
Solo Practitioner
125 West Landry Street
Opelousas, Louisiana 70570
337-948-6836

(8) *United States v. Molette*, No. 03-10027 (W.D. La.) (Judge Dee Drell), *aff'd*, 201 F. App'x 253 (5th Cir. 2006) (Judges DeMoss, Steward, and Prado), *cert. denied*, 549 U.S. 1234 (2007)

The defendant was the brother of an Alexandria resident who was killed in a gun shootout with the local police department. Three of the police officers were killed while attempting to arrest the brother for drug violations. The defendant was also a major drug dealer in the area who had been dealing crack cocaine for years. He

continued his drug trade after his brother was killed and prepared himself for confrontation with police, making it clear that he would not go down without a fight. In 2005, he was tried and convicted of distribution of crack cocaine, possession of ammunition by a convicted felon, and possession with intent to distribute. He was sentenced to 240 months of imprisonment. The Fifth Circuit affirmed the conviction per curiam and the United States Supreme Court denied certiorari. I was the sole counsel on this case and handled all prosecutorial duties during the investigation, guilty-plea and sentencing phases. I did not handle the appeal of the case.

Counsel for Defendant:

Gerry Block
Solo Practitioner
321 West Main Street, Suite 2E
Lafayette, Louisiana 70501
337-239-9296

(9) *United States v. Citgo Petroleum*, No. 08-00077 (W.D. La.) (Judge Patricia Minaldi)

CITGO, a Delaware corporation, pleaded guilty and was sentenced to pay a \$13 million fine for the negligent discharge of pollutants into two rivers in Louisiana in violation of the Clean Water Act. The \$13 million fine was the largest ever for a criminal misdemeanor violation of the Clean Water Act. The company failed to maintain storm water tanks and adequate storm water storage capacity at its petroleum refinery in Sulphur, Louisiana. As a result of these failures, approximately 53,000 barrels of oil were discharged into the Indian Marais and Calcasieu Rivers following a heavy rain storm. In 1994, CITGO converted its lagoon waste water system into a tank system for handling excess waste water and storm water. In order to trim costs, only two storm water tanks were constructed, but as early as 1998, employees and outside contractors advised that an additional tank was necessary. Despite being advised of the inadequate storage capacity, CITGO did not approve construction of a third tank until 2005. In addition, the company failed to follow standard procedures for maintaining the tanks. During its operations, CITGO failed to remove oil, sludge, and solids from the tanks and failed to repair the skimming equipment. Failing to follow these procedures allowed for the build-up of a significant amount of oil in the storm water tanks, which contributed significantly to the overflow. Along with the fine, CITGO implemented an Environmental Compliance Plan outlining the measures it would take to ensure a spill of this type will not occur in the future. I had co-counsel on this case from the Environmental Crime Section. I handled and assisted with all prosecutorial duties during the investigation, guilty-plea and sentencing phases.

Co-counsel:

Rocky Piaggione

Eric Heimann
U.S. Department of Justice
Environmental Crimes Section
601 D Street NW
Washington, District of Columbia 20530
202-304-4682

Counsel for Defendant:

Dick DeGuerin
DeGuerin & Dickson
1018 Preston Street, Seventh Floor
Houston, Texas 77002
713-223-5959

Nathan P. Eimer
Eimer Stahl Law Firm
224 South Michigan Avenue, Suite 1100
Chicago, IL 60604
312-660-7600

William B Swift
Michael Kevin Powell
Swift Law Firm
Post Office Box 1810
Lake Charles, Louisiana 70602
337-433-0707

(10) *United States v Pelican Refining Co.*, No. 2:11-CR-00227 (W.D. La.); *United States v. Hamilton*, No. 2:11-CR-00130 (W.D. La.) & *United States v. LeBleu*, No. 2:11-CR-00266-0 (W.D. La.) (Judge Richard Haik)

A three-count bill of information was filed against Pelican Refining Company (PRC), charging it with two violations of the Clean Air Act and one obstruction charge (42 U.S.C. §§ 7661a(a), 7413(c)(1); 18 U.S.C. § 1519). The charges arose from PRC's operation of the Pelican Refinery in Lake Charles, Louisiana, from August 2005 to March 2007. PRC knowingly operated the refinery without proper pollution-prevention equipment, in contravention of its Title V permit. As a result, pollutants were illegally released into the atmosphere. The obstruction charge stemmed from false statements made in a 2006 report PRC submitted to the Louisiana Department of Environmental Quality. PRC was sentenced to pay a \$12 million penalty, which includes a \$10 million criminal fine and \$2 million in community service payments, five years of organizational probation and a \$1,200 special assessment. The criminal fine was the largest ever in Louisiana for violations of the Clean Air Act.

In two related cases, individual defendants were charged and sentenced. Mr. LeBleu, the former asphalt facilities manager of Pelican Refining, facilitated the purchase of a load of asphalt that had extremely high levels of emissions, which carry serious health risks. The emissions were so high that the barge carrying the load was previously denied entry into the Port of Houston. Because of the high emissions levels, Mr. LeBleu was able to negotiate a substantial discount. The refinery would have to spend almost \$25,000 on treatments and chemicals in order to bring the emissions down to safe levels for road construction, but even with the cost of treatment, the savings to the Pelican Refinery amounted to more than \$140,000. Mr. LeBleu pleaded guilty to negligently discharging hazardous air pollutants and admitted that he was fully aware of the risks associated with processing asphalt with such high emissions. In 2012, he was sentenced to a term of one day in prison, 90 days of home confinement, one year of supervised release, and a \$4,000 fine. The company vice president and general manager, Mr. Hamilton, pleaded guilty to two Clean Air Act violations for negligent endangerment and was sentenced to two one-day imprisonment terms, to run concurrently; one year of supervised release, and a \$5,000 fine. I had co-counsel during the investigation, pre-guilty phase, guilt and sentencing phases of these cases. I handled all matters related to all phases of the case.

Co-counsel:

Richard Udell
Christopher Hale
U.S. Department of Justice
Environmental Crime Section
601 D Street NW
Washington, District of Columbia 20530
202-305-0361

Counsel for Defendants:

Carl A. Parker (for defendant Pelican Refining Co.)
Parker Law Firm
One Plaza Square
Port Arthur, Texas 77642
409-209-4255

J. Michael Small (for defendant Hamilton)
J. Michael Small Law Office
1412 Centre Court, Suite 406
Alexandria, Louisiana 71301
318-487-8963

William G. Rosch, III (for defendant Hamilton)
(current contact information unavailable)

F. Barry Marionneaux (for defendant LeBleu)
Marionneaux & Marionneaux
23615 Railroad Avenue
Plaquemine, Louisiana 70764
225-687-6884

18. **Legal Activities:** Describe the most significant legal activities you have pursued, including significant litigation which did not progress to trial or legal matters that did not involve litigation. Describe fully the nature of your participation in these activities. List any client(s) or organization(s) for whom you performed lobbying activities and describe the lobbying activities you performed on behalf of such client(s) or organizations(s). (Note: As to any facts requested in this question, please omit any information protected by the attorney-client privilege.)

As United States Attorney, I am involved in the most significant cases in our district, and participate directly as a supervisor in criminal and civil cases. I have worked on complex criminal cases involving national security, civil rights, health care fraud, organized crime, and public corruption. I have also been involved in complex civil litigation in both affirmative and defensive cases, including negotiating the final settlement in a civil health care fraud suit.

In addition, I have developed several important priority initiatives. I worked to improve the status of relationships between federal, state, tribal, military and local law enforcement in the Western District of Louisiana. We organized and sponsored law enforcement meetings and training conferences in all divisions of the Western District and made other efforts to improve communication with our office and our partner agencies, discuss issues and share information on Western District activities and priorities. I dedicated one Assistant United States Attorney position to focus on collections work and hired three part-time contractors to assist in the collection of debts owed to the United States, which led to a dramatic increase in collections. The office also initiated several community outreach programs, including recognition for our veterans and those who have served our Nation in the Armed Forces.

I have never been a lobbyist.

19. **Teaching:** What courses have you taught? For each course, state the title, the institution at which you taught the course, the years in which you taught the course, and describe briefly the subject matter of the course and the major topics taught. If you have a syllabus of each course, provide four (4) copies to the committee.

From 1993 to 1995, I taught courses on Business Law, Criminal Law, Criminal Procedure, Law and Society, and Prosecution and Adjudication at St. Leo Community College. I do not have syllabi for the courses.

20. **Deferred Income/ Future Benefits:** List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted

contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients or customers. Describe the arrangements you have made to be compensated in the future for any financial or business interest.

None. The only future benefits that I expect to receive are from my federal government retirement plan. I have no calculations as it relates to a potential military retirement.

21. **Outside Commitments During Court Service:** Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service with the court? If so, explain.

I do not have any plans, commitments, or agreement to pursue outside employment if confirmed.

22. **Sources of Income:** List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, licensing fees, honoraria, and other items exceeding \$500 or more (if you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here).

See attached Financial Disclosure Form.

23. **Statement of Net Worth:** Please complete the attached financial net worth statement in detail (add schedules as called for).

See attached Net Worth Statement.

24. **Potential Conflicts of Interest:**

- a. Identify the family members or other persons, parties, categories of litigation, and financial arrangements that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to arise.

Should I be appointed, I initially would have a conflict with cases and matters that were pending in the United States Attorney's office while I was United States Attorney. I would address any such conflict by working with the Clerk of the Court to ensure that I was not assigned any such cases. If any matter were to arise that involved an actual or potential conflict of interest, I would handle it by careful and diligent application of the Code of Conduct for United States Judges, as well as other relevant canons and statutory provisions.

- b. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.

If confirmed, I will carefully review any real or potential conflicts by reference to 28 U.S.C. § 455, Canon 3 of the Code of Conduct for United States Judges, and any and all other laws, rules and practices governing such circumstances.

25. **Pro Bono Work:** An ethical consideration under Canon 2 of the American Bar Association's Code of Professional Responsibility calls for "every lawyer, regardless of professional prominence or professional workload, to find some time to participate in serving the disadvantaged." Describe what you have done to fulfill these responsibilities, listing specific instances and the amount of time devoted to each.

During my years as a government lawyer, I have contributed to the community as much as is permissible. I have volunteered with bar associations, various organizations and schools. As an Assistant United States Attorney and the United States Attorney, I annually attend schools to discuss the perils of drug use and encourage students to stay in school and work hard. Since becoming the United States Attorney, I have devoted a significant portion of my administrative time to expanding the community outreach effort of the United States Attorney's Office, to include the creation of a diversity committee. I also participate in crime and exploitation prevention programs, specifically those focused on children, youth and the elderly.

The Western District's yearly outreach efforts include Veterans' recognitions, reading sessions for young students, and clothing, food, and school supply drives, as well as speaking engagements for various community organizations. We occasionally serve food at St. Joseph's Diner.

In conjunction with my sorority, I have participated in visiting nursing homes, mentoring college women, school facility clean ups, book drives, health fairs, and holiday food basket giveaways. While in the military, I also participated in community outreach initiatives.

26. **Selection Process:**

- a. Please describe your experience in the entire judicial selection process, from beginning to end (including the circumstances which led to your nomination and the interviews in which you participated). Is there a selection commission in your jurisdiction to recommend candidates for nomination to the federal courts? If so, please include that process in your description, as well as whether the commission recommended your nomination. List the dates of all interviews or communications you had with the White House staff or the Justice Department regarding this nomination. Do not include any contacts with Federal Bureau of Investigation personnel concerning your nomination.

I am not aware of a selection commission in this jurisdiction. I submitted a letter of interest and resume to United States Senators David Vitter and William Cassidy and United States Representative Cedric Richmond. I met with Senator Cassidy and one member of his staff in Lafayette, Louisiana on August 21, 2015.

I was contacted by a member of the White House staff on November 25, 2015. Since that time, I have been in contact with staff members from the Office of Legal Policy at the Department of Justice. On February 2, 2016, I interviewed with attorneys from the White House Counsel's Office and the Department of Justice in Washington, D.C. On February 4, 2016, the President submitted my nomination to the Senate.

- b. Has anyone involved in the process of selecting you as a judicial nominee discussed with you any currently pending or specific case, legal issue or question in a manner that could reasonably be interpreted as seeking any express or implied assurances concerning your position on such case, issue, or question? If so, explain fully.

No.

**FINANCIAL DISCLOSURE REPORT
NOMINATION FILING**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) FINLEY, STEPHANIE A.	2. Court or Organization U.S. DISTRICT COURT, WESTERN DISTRICT OF LOUISIANA	3. Date of Report 02/08/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT COURT JUDGE	5a. Report Type (check appropriate type) ☒ Nomination Date 02/04/2016 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 02/08/2016
7. Chambers or Office Address U.S. COURTHOUSE, 4TH FLOOR 800 LAFAYETTE STREET LAFAYETTE, LOUISIANA 70501		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

FINLEY, STEPHANIE A.

Date of Report

02/08/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. EXEMPT				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

FINLEY, STEPHANIE A.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. EXEMPT		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. PHH	Mortgage on Rental Property #1	K
2. USAA	Mortgage on Rental Property #3	L
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

FINLEY, STEPHANIE A.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Wells Fargo Money Market -A (STGXX)	A	Dividend	J	T	Exempt				
2.	Wells Fargo Capital Growth-A (WFCGX)	A	Dividend	J	T					
3.	Wells Fargo Government Securities-A (STVSK)	A	Dividend	J	T					
4.	Residential Rental #1 Alexandria, LA	D	Rent	L	W					
5.	Residential Rental #2 Houston, TX	D	Rent	L	W					
6.	Residential Rental #3 Marksville, LA	C	Rent	L	W					
7.	Residential Rental #4 Marksville, LA	C	Rent	L	W					
8.	Residential Rental #5 Marksville, LA	A	Rent	L	W					
9.	Residential Rental #6 Marksville, LA	A	Rent	L	W					
10.	Residential Rental #7 Hessmer, LA	A	Rent	L	W					
11.	Coach (COH)	A	Dividend	J	T					
12.	Starbucks (SBUX)	A	Dividend	J	T					
13.	Sirius XM (SIRI)	A	Dividend	J	T					
14.	Pfizer (PFE)	A	Dividend	J	T					
15.	Boeing (BA)	A	Dividend	J	T					
16.	Ford (F)	A	Dividend	J	T					
17.	Facebook (FB)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

FINLEY, STEPHANIE A.

Date of Report

02/08/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Under Armor Inc (UA)	A	Dividend	J	T					
19. Wal-Mart (WMT)	A	Dividend	J	T					
20. Apple (AAPL)	A	Dividend	K	T					
21. Nike (NKE)	A	Dividend	J	T					
22. Coca Cola Co (KO)	A	Dividend	J	T					
23. Section 705 FCU	A	Interest	K	T					
24.									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

FINLEY, STEPHANIE A.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

FINLEY, STEPHANIE A.

Date of Report

02/08/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ STEPHANIE A. FINLEY

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		41	101	Notes payable to banks-secured			
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities – see schedule		66	538	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due		17	024
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable – see schedule		781	436
Real estate owned – see schedule	1	350	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		34	500				
Cash value-life insurance							
Other assets itemize:							
Thrift Savings Plan		561	796				
				Total Liabilities		798	460
				Net Worth	1	254	875
Total Assets	2	053	335	Total liabilities and net worth			
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							

FINANCIAL STATEMENT

NET WORTH SCHEDULES

Listed Securities

Apple Inc.	\$ 25,821
Boeing Co.	1,563
Bank of America Corp	220
Coach Inc.	2,227
Ericson Telephone	822
Ford Motor Company	1,090
Facebook Inc. CL A	2,981
Freeport-McMoran Copper & Gold	207
Mosaic Co. New Com	94
Marathon Oil Corp.	115
Nike Inc. CL B	1,008
Netsol Technologies Inc.	255
Precision Drilling Corp. Com 20	361
Potash Corp of Sask Inc.	342
Starbucks Corp.	4,246
Sirius XM Holdings Inc.	2,648
Silver Wheaton Corp.	409
Under Armour Inc.	1,802
Walgreen Boots Alliance Inc.	308
Wal-Mart Stores Inc.	863
Weight Watchers Int'l. Inc.	107
Wynn Resorts Ltd.	109
Capital Growth – A (Wells Fargo)	12,581
Government Securities (Wells Fargo)	6,359
Total Securities Owned	\$ 66,538

Real Estate Owned

Personal Residence	\$ 583,000
Residence (For Sale)	239,000
Residence	68,000
Undeveloped Land #1	10,000
Rental Property #1	95,000
Rental Property #2	85,000
Rental Property #3	50,000
Rental Property #4	50,000
Rental Property #5	50,000
Rental Property #6	55,000
Rental Property #7	65,000
Total Real Estate Owned	\$ 1,350,000

Real Estate Mortgages Payable

Personal Residence	\$ 533,818
Residence (For Sale)	93,879
Equity Loan (Home For Sale)	41,515
Residence	24,451
Rental Property #1	54,272
Rental Property#2	33,501
Total Real Estate Mortgages Payable	\$ 781,436

AFFIDAVIT

I, STEPHANIE A. FINLEY, do swear that the information provided in this statement is, to the best of my knowledge, true and accurate.

2/25/16
(DATE)

[Signature]
(NAME)

[Signature]
(NOTARY)

DONNA E. DROST
NOTARY PUBLIC #65729
STATE OF LOUISIANA
MY COMMISSION IS ISSUED FOR LIFE