

UNITED STATES SENATE
COMMITTEE ON THE JUDICIARY

QUESTIONNAIRE FOR JUDICIAL NOMINEES

PUBLIC

1. **Name**: State full name (include any former names used).

Jennifer Anna Dorsey
(f/k/a Jennifer Cole Dorsey, Jennifer Cole Popick, Jennifer Anna Popick, Jennifer Anna Cole)

2. **Position**: State the position for which you have been nominated.

United States District Judge for the District of Nevada

3. **Address**: List current office address. If city and state of residence differs from your place of employment, please list the city and state where you currently reside.

Kemp, Jones & Coulthard, LLP
3800 Howard Hughes Parkway, 17th Floor
Las Vegas, Nevada 89169

Residence: Henderson, Nevada

4. **Birthplace**: State year and place of birth.

1971; Las Vegas, Nevada

5. **Education**: List in reverse chronological order each college, law school, or any other institution of higher education attended and indicate for each the dates of attendance, whether a degree was received, and the date each degree was received.

1994 – 1997, Pepperdine University School of Law; J.D. (*cum laude*), 1997
1989 – 1994, University of Nevada at Las Vegas; B.A. (*cum laude*), 1994

6. **Employment Record**: List in reverse chronological order all governmental agencies, business or professional corporations, companies, firms, or other enterprises, partnerships, institutions or organizations, non-profit or otherwise, with which you have been affiliated as an officer, director, partner, proprietor, or employee since graduation from college, whether or not you received payment for your services. Include the name and address of the employer and job title or description.

1997 – Present
Kemp, Jones & Coulthard, LLP
3800 Howard Hughes Parkway, 17th Floor
Las Vegas, Nevada 89169
Equity Partner (2004 – Present)
Associate Attorney (1997 – 2004)

Spring 1997
Law firm (I do not recall the name)
Woodland Hills, California
Law clerk

1996 – 1997
Totaro & Shanahan, Attorneys at Law
518 East Second Street
Santa Ana, California 92701
Law Clerk

Fall 1996
Ninth Circuit Court of Appeals
213 North Spring Street
Los Angeles, California 90012
Judicial Extern for Judge Stephen Reinhardt

1995 – 1996
Pepperdine University School of Law
24255 Pacific Coast Highway
Malibu, California 90263
Research Assistant for Constitutional Law Professor Bernard James

Summer 1995
Ventura County District Attorney
800 South Victoria Avenue
Ventura, California 93009
Summer Law Clerk

Summer 1994
Nevada State Bank
1000 North Green Valley Parkway
Henderson, Nevada 89074
Teller

Other Affiliations (uncompensated):

2004 – 2006
Easter Seals of Southern Nevada

6200 West Oakey Boulevard
Las Vegas, Nevada 89146
Member, Board of Directors (2004 – 2006)
Secretary (2005 – 2006)

7. **Military Service and Draft Status:** Identify any service in the U.S. Military, including dates of service, branch of service, rank or rate, serial number (if different from social security number) and type of discharge received, and whether you have registered for selective service.

I have not served in the military. I was not required to register for selective service.

8. **Honors and Awards:** List any scholarships, fellowships, honorary degrees, academic or professional honors, honorary society memberships, military awards, and any other special recognition for outstanding service or achievement.

AV Rated by Martindale-Hubbell in Litigation, Commercial Law, and Appellate Practice

Nevada Business Magazine's Legal Elite (2012)

Benchmark Litigation's Top 250 Women in Litigation (2012)

Co-recipient of the Legal Aid of Southern Nevada's Pro Bono Project's Vince Consul Memorial Pro Bono Award (2011)

Legal Aid Center of Southern Nevada Pro Bono Project 50 Hours Club (2011)

Benchmark Litigation's Future Stars (2011)

Legal Aid Center of Southern Nevada's Pro Bono Project 100 Hours Club (2010)

Legal Aid Center of Southern Nevada's Pro Bono Project 50 Hours Club (2009)

Super Lawyers Mountain States Rising Star (2009)

American Jurisprudence Award for Constitutional Law (1997)

American Jurisprudence Award for Wills & Trusts (1997)

Best Petitioner's Brief Award and Second Place Team, Vincent S. Dalsimer Moot Court Tournament (1997)

Best Petitioner's Brief Award and Quarterfinalist, Vincent S. Dalsimer Moot Court Tournament (1996)

Pepperdine's Di Loretto-McConnell Scholarship Recipient (1996 – 1997)

Staff Member, Pepperdine Law Review (1995 – 1997)

UNLV Orientation Leader (1993)

UNLV Merit Scholarship (1989)

UNLV Dean's Honor List (1989 – 1994)

9. **Bar Associations:** List all bar associations or legal or judicial-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices which you have held in such groups.

American Bar Association (2011 – Present)

Litigation Section (2011 – Present)

Clark County Bar Association (1997 – Present)

10. **Bar and Court Admission:**

- a. List the date(s) you were admitted to the bar of any state and any lapses in membership. Please explain the reason for any lapse in membership.

Nevada, 1997

There have been no lapses in membership.

- b. List all courts in which you have been admitted to practice, including dates of admission and any lapses in membership. Please explain the reason for any lapse in membership. Give the same information for administrative bodies that require special admission to practice.

Supreme Court of the United States, 2004

United States Court of Appeals for the Ninth Circuit, 2006

United States District Court for the District of Nevada, 1997

Nevada Supreme Court, 1997

There have been no lapses in membership.

11. **Memberships:**

- a. List all professional, business, fraternal, scholarly, civic, charitable, or other organizations, other than those listed in response to Questions 9 or 10 to which you belong, or to which you have belonged, since graduation from law school. Provide dates of membership or participation, and indicate any office you held.

Include clubs, working groups, advisory or editorial boards, panels, committees, conferences, or publications.

Easter Seals of Southern Nevada (2004 – 2006)

Board of Directors (2004 – 2006)

Secretary (2005 – 2006)

Nevada Justice Association (1998 – Present)

Nevada Supreme Court's Committee on Professionalism (2009 – Present)

Pepperdine School of Law's Board of Visitors (2011 – Present)

Scribes – The American Society of Legal Writers (2009 – Present) (Lifetime Member)

Spanish Trail Country Club (2006 – 2008)

University of Nevada at Las Vegas Foundation Advisory Board (2012 – Present)

- b. The American Bar Association's Commentary to its Code of Judicial Conduct states that it is inappropriate for a judge to hold membership in any organization that invidiously discriminates on the basis of race, sex, or religion, or national origin. Indicate whether any of these organizations listed in response to 11a above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies. If so, describe any action you have taken to change these policies and practices.

To the best of my knowledge, none of the organizations listed in response to 11(a) above currently discriminates or formerly discriminated on the basis of race, sex, religion, or national origin either through formal membership requirements or the practical implementation of membership policies.

12. Published Writings and Public Statements:

- a. List the titles, publishers, and dates of books, articles, reports, letters to the editor, editorial pieces, or other published material you have written or edited, including material published only on the Internet. Supply four (4) copies of all published material to the Committee.

With J. Randall Jones, *Decisions Interpreting Rule 23 of the Nevada Rules of Civil Procedure*, SURVEY OF STATE CLASS ACTION LAW 366 (2011). I have co-authored this chapter every year since 1999. Copy supplied.

NRS 40.689(1)(a): A Courthouse Line Pass for Chapter 40 Cases, COMMUNIQUÉ, Sept. 1999, at 18. Copy supplied.

A Time to Die?: Deciding the Legality of Physician-Assisted Suicide, 24 PEPP. L. REV. 1327 (1997). Copy supplied.

The Sufficiency of an Uncorroborated, Out-of-Court Identification to Support a Conviction Should be Measured by the Substantial Evidence Test: People v. Cuevas, 24 PEPP. L. REV. 749 (1997). Copy supplied.

Accretion of Deposits along Shorelines is Characterized as Artificial and Thus Belongs to the State Only when it is Directly Caused by Human Activities Occurring in the Immediate Vicinity of the Accreted Land: State ex rel. State Lands Commission v. Superior Court (Lovelace), 24 PEPP. L. REV. 364 (1996). Copy supplied.

The Voter Initiative Power Is Broader than the Referendum Power and Includes the Ability to Prospectively Repeal a Tax Ordinance: Rossi v. Brown, 23 PEPP. L. REV. 1419 (1996). Copy supplied.

Contributor, *California Supreme Court Survey – Summaries*, 23 PEPP. L. REV. 1087 (1996). Copy supplied.

A Trial Court's Failure to Give Jury Instructions on Elements of Sentence Enhancement for Use of a Deadly or Dangerous Weapon Under California Penal Code Section 12022(B) Warrants a Reversal Only Where It Is Reasonably Probable that Without the Error, the Jury Would Have Decided More Favorably for the Defendant: People v. Wims, 23 PEPP. L. REV. 1057 (1996). Copy supplied.

Contributor, *California Supreme Court Survey – Summaries*, 23 PEPP. L. REV. 778 (1996). Copy supplied.

- b. Supply four (4) copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of on behalf of any bar association, committee, conference, or organization of which you were or are a member. If you do not have a copy of a report, memorandum or policy statement, give the name and address of the organization that issued it, the date of the document, and a summary of its subject matter.

None.

- c. Supply four (4) copies of any testimony, official statements or other communications relating, in whole or in part, to matters of public policy or legal interpretation, that you have issued or provided or that others presented on your behalf to public bodies or public officials.

None.

- d. Supply four (4) copies, transcripts or recordings of all speeches or talks delivered by you, including commencement speeches, remarks, lectures, panel discussions, conferences, political speeches, and question-and-answer sessions. Include the date and place where they were delivered, and readily available press reports about the speech or talk. If you do not have a copy of the speech or a transcript or recording of your remarks, give the name and address of the group before whom the speech was given, the date of the speech, and a summary of its subject matter. If you did not speak from a prepared text, furnish a copy of any outline or notes from which you spoke.

August 31, 2010: Alumni speaker at UNLV's 2010 Sophomore Experience. The program was designed to encourage college sophomores to become more involved and mitigate drop outs. The subject matter of my speech was the different types of jobs, activities, and experiences that a college student may take advantage of to enhance his/her resume and become a more attractive candidate to a prospective employer. A copy of my notes is supplied.

I have not delivered any other speeches or talks.

- e. List all interviews you have given to newspapers, magazines or other publications, or radio or television stations, providing the dates of these interviews and four (4) copies of the clips or transcripts of these interviews where they are available to you.

None.

13. **Judicial Office:** State (chronologically) any judicial offices you have held, including positions as an administrative law judge, whether such position was elected or appointed, and a description of the jurisdiction of each such court.

I have not served as a judge.

- a. Approximately how many cases have you presided over that have gone to verdict or judgment? _____

- i. Of these, approximately what percent were:

jury trials:	_____ %
bench trials:	_____ % [total 100%]
civil proceedings:	_____ %
criminal proceedings:	_____ % [total 100%]

- b. Provide citations for all opinions you have written, including concurrences and dissents.

- c. For each of the 10 most significant cases over which you presided, provide: (1) a capsule summary of the nature the case; (2) the outcome of the case; (3) the name and contact information for counsel who had a significant role in the trial of the case; and (3) the citation of the case (if reported) or the docket number and a copy of the opinion or judgment (if not reported).
 - d. For each of the 10 most significant opinions you have written, provide: (1) citations for those decisions that were published; (2) a copy of those decisions that were not published; and (3) the names and contact information for the attorneys who played a significant role in the case.
 - e. Provide a list of all cases in which certiorari was requested or granted.
 - f. Provide a brief summary of and citations for all of your opinions where your decisions were reversed by a reviewing court or where your judgment was affirmed with significant criticism of your substantive or procedural rulings. If any of the opinions listed were not officially reported, provide copies of the opinions.
 - g. Provide a description of the number and percentage of your decisions in which you issued an unpublished opinion and the manner in which those unpublished opinions are filed and/or stored.
 - h. Provide citations for significant opinions on federal or state constitutional issues, together with the citation to appellate court rulings on such opinions. If any of the opinions listed were not officially reported, provide copies of the opinions.
 - i. Provide citations to all cases in which you sat by designation on a federal court of appeals, including a brief summary of any opinions you authored, whether majority, dissenting, or concurring, and any dissenting opinions you joined.
14. **Recusal:** If you are or have been a judge, identify the basis by which you have assessed the necessity or propriety of recusal (If your court employs an "automatic" recusal system by which you may be recused without your knowledge, please include a general description of that system.) Provide a list of any cases, motions or matters that have come before you in which a litigant or party has requested that you recuse yourself due to an asserted conflict of interest or in which you have recused yourself sua sponte. Identify each such case, and for each provide the following information:
- a. whether your recusal was requested by a motion or other suggestion by a litigant or a party to the proceeding or by any other person or interested party; or if you recused yourself sua sponte;
 - b. a brief description of the asserted conflict of interest or other ground for recusal;
 - c. the procedure you followed in determining whether or not to recuse yourself;

- d. your reason for recusing or declining to recuse yourself, including any action taken to remove the real, apparent or asserted conflict of interest or to cure any other ground for recusal.

I have not served as a judge.

15. Public Office, Political Activities and Affiliations:

- a. List chronologically any public offices you have held, other than judicial offices, including the terms of service and whether such positions were elected or appointed. If appointed, please include the name of the individual who appointed you. Also, state chronologically any unsuccessful candidacies you have had for elective office or unsuccessful nominations for appointed office.

I have not held any public offices. I have not had any unsuccessful candidacies for elective office or unsuccessful nominations for appointed office.

- b. List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

I have not held office in or rendered services to any political party or election committee. I have not held a position or played a role in a political campaign.

16. Legal Career: Answer each part separately.

- a. Describe chronologically your law practice and legal experience after graduation from law school including:

- i. whether you served as clerk to a judge, and if so, the name of the judge, the court and the dates of the period you were a clerk;

I have not served as a clerk to a judge.

- ii. whether you practiced alone, and if so, the addresses and dates;

I have not practiced law alone.

- iii. the dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been affiliated, and the nature of your affiliation with each.

1997 – Present
Kemp, Jones & Coulthard, LLP
3800 Howard Hughes Parkway 17th Floor
Las Vegas, Nevada 89169
Associate (1997 – 2004)
Equity Partner (2004 – present)

- iv. whether you served as a mediator or arbitrator in alternative dispute resolution proceedings and, if so, a description of the 10 most significant matters with which you were involved in that capacity.

I have not served as a mediator or arbitrator.

b. Describe:

- i. the general character of your law practice and indicate by date when its character has changed over the years.

I joined my firm, then known as Harrison, Kemp & Jones, Chtd., as an associate attorney in May 1997 immediately upon graduation from law school. As this firm is a civil litigation boutique, my primary area of practice is, and has always been, civil litigation in both the state (Eighth Judicial District Court, Clark County, Nevada) and federal (U.S. District Court for the District of Nevada) courts, from pre-litigation preparation and research, through discovery, motion practice, and trial or other resolution.

The nature of my practice is, and has always been, widely varied. I have represented both plaintiffs and defendants in large and small scale personal injury matters, simple and complex commercial and business litigation, construction and lien litigation, real estate contract and transaction disputes, employment matters, insurance fraud and bad faith claims, and shareholder derivative suits. My firm was – and continues to be – a pioneer in Nevada's construction defect litigation, and throughout my career I have always worked on complex construction defect actions and participated in the evolution of this specialized field from multiple-defect claims to single-defect/hybrid products liability actions, a transformation that has been influenced by the enactment of the Class Action Fairness Act and the increased use of Multidistrict Litigation. During my first year of practice I was part of the trial team on the very first construction-defect class action tried in this district, and more recently I was part of the trial team for the largest construction-defect class action in this district – a defective-plumbing case on behalf of 32,000 Southern Nevada homeowners. I have also represented landowners and developers in zoning and land use matters before municipal bodies and challenged those decisions with mandamus or judicial review actions in

the district courts. And I have worked on numerous inverse condemnation cases, the most recent of which resulted in an award of more than \$6 million after our successful bench trial against the City of North Las Vegas.

Before I joined my firm, I had drafted dozens of appellate briefs in criminal appeals pending before California's intermediate appellate courts while employed as a law clerk for Totaro & Shanahan. That experience caused my (now) partners to entrust me with the job of drafting the Respondents' Brief on the Merits in *Humana, Inc. et al. v. Forsyth* – an 84,000-member, health-care-fraud class action – after the United States Supreme Court granted certiorari in 1998. After our success in *Humana*, I became my firm's primary appellate attorney and was tasked with the responsibility of personally handling or overseeing nearly all of the appeals originating in our office, and I have also been retained on several occasions post-trial or other disposition to handle appeals in cases originating elsewhere. As a result, I have argued several cases before the Nevada Supreme Court and the United States Court of Appeals for the Ninth Circuit and drafted the briefs in those and many other appellate matters.

My work on the *Humana* case gave me immediate experience in class action litigation. I began co-drafting the Nevada section of the ABA's *Survey of State Class Action Law* in 1999, and my practice became heavily concentrated in class actions by approximately 2006, when we successfully moved for class certification in the *In re Kitec Litigation*. I have since worked on a number of class actions and continue to work on matters moving toward class certification or transfer into the MDL.

I was named an equity partner in my firm in October 2004. The transition from associate to partner gave me a new role as a business owner and manager. I was named Hiring Partner, and I am the partner primarily responsible for the hiring of all new associates at my firm.

- ii. your typical clients and the areas at each period of your legal career, if any, in which you have specialized.

My typical clients are individuals or businesses with complex litigation claims to assert or defend against, or to preserve or challenge by appeal. They have included home builders and developers, car dealerships, casino properties, corporations, small business owners, receivers, and individuals or other entities pursuing claims (often as a class representative in a class action) against these very same types of organizations and also against lenders and municipalities.

- c. Describe the percentage of your practice that has been in litigation and whether you appeared in court frequently, occasionally, or not at all. If the frequency of your appearances in court varied, describe such variance, providing dates.

Approximately 99% of my practice involves litigation and civil litigation appeals. Leading up to a trial, I appear in court frequently to argue motions and attend court-ordered conferences or other hearings; during trials, I appear in court daily. In general, I appear in court more than occasionally to participate in hearings or conferences.

My current caseload is approximately 50/50 state and federal, as I have numerous putative class actions for construction/product defect, a patent infringement case, and a mandamus/judicial review/due process violation action pending in the United States District Court for the District of Nevada.

- i. Indicate the percentage of your practice in:

- | | |
|-----------------------------|-----|
| 1. federal courts: | 20% |
| 2. state courts of record: | 79% |
| 3. other courts: | 0% |
| 4. administrative agencies: | 1% |

- ii. Indicate the percentage of your practice in:

- | | |
|--------------------------|------|
| 1. civil proceedings: | 100% |
| 2. criminal proceedings: | 0% |

- d. State the number of cases in courts of record, including cases before administrative law judges, you tried to verdict, judgment or final decision (rather than settled), indicating whether you were sole counsel, chief counsel, or associate counsel.

I was sole counsel in one trial, first chair in one trial, and second chair in four trials. I have also been sole counsel in three private arbitration trials and second chair in a complex commercial arbitration trial. Finally, I have also been part of the trial team in nine trials (preparing jury instructions, reviewing and evaluating jury questionnaires and assisting in the jury selection process, and drafting briefs for mid- and post-trial motions).

- i. What percentage of these trials were:

- | | |
|--------------|-----|
| 1. jury: | 58% |
| 2. non-jury: | 42% |

- e. Describe your practice, if any, before the Supreme Court of the United States. Supply four (4) copies of any briefs, amicus or otherwise, and, if applicable, any oral argument transcripts before the Supreme Court in connection with your practice.

In 1998, I was the primary drafter of the Respondents' Brief on the Merits in *Humana, Inc. v. Forsyth*, Case No. 97-303. Copy supplied.

17. **Litigation:** Describe the ten (10) most significant litigated matters which you personally handled, whether or not you were the attorney of record. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the case. Also state as to each case:

- a. the date of representation;
- b. the name of the court and the name of the judge or judges before whom the case was litigated; and
- c. the individual name, addresses, and telephone numbers of co-counsel and of principal counsel for each of the other parties.

1. *Chittum v. Circus-Circus Casinos, Inc.*, Case No. A395450, jury trial before the Honorable Judge Redmon (deceased) of the Eighth Judicial District Court. I successfully defended Circus-Circus Casinos, Inc. in a personal injury case brought by a patron who sustained injuries after tripping and falling in a marked construction zone. I was first chair at the jury trial, which resulted in a full defense verdict in May 2000.

Opposing Counsel: Leonard Stone, Esq.
John Shook, Esq.
Shook & Stone, Chtd.
710 South Fourth Street
Las Vegas, Nevada 89101
(702) 385-2220

2. *Gary Ellis Enterprises, Inc. and Fame Operating Co., Inc. v. Heers, et al.*, Case No. A531452, jury trial before the Honorable Judge Valerie Vega of the Eighth Judicial District Court. Along with my partner J. Randall Jones, Esq., I represented Gary Ellis Enterprises, Inc. and Fame Operating Company, Inc. in a complex commercial litigation matter between two rival casinos in which Ellis sought to enforce Heers's promise to merge the neighboring properties. I handled the discovery and was the primary person responsible for drafting pleadings, motions, jury instructions, and the jury questionnaire. I argued motions, sat second chair at trial, conducted voir dire, prepared witnesses and evidence, drafted the opening statement, and questioned witnesses. This matter settled several days into the jury trial in October 2007.

Opposing Counsel: Eric R. Olsen, Esq.
Matt Zirzow, Esq.
Gordon & Silver, Ltd.

3960 Howard Hughes Parkway, 9th Floor
Las Vegas, Nevada 89169
(702) 796-5555

3. *Baron, et al. v. Gerson*, Case No. A519742, jury trial before the Honorable Judge Herndon of the Eighth Judicial District Court. I along with J. Randall Jones, Esq. and my associate Matthew S. Carter, Esq. represented BAM GP, LLC; BCG, LLC; Diagnostic Imaging of Southern Nevada, LP; Michael A. Baron, M.D., Ltd.; and Michael A. Baron, M.D. in a complex commercial litigation matter arising from a physician's separation from Baron's practice group in violation of a non-compete agreement and having misappropriated trade secrets. I handled the discovery and was the primary person responsible for drafting pleadings, motions, jury instructions, and the jury questionnaire. I argued motions, sat second chair at the jury trial and assisted with voir dire, prepared witnesses and evidence, and drafted the judgment and post-trial motions. The jury returned a verdict in favor of my client in October 2008.

Opposing Counsel: Douglas M. Cohen, Esq.
Fennemore Craig Jones Vargas
300 South Fourth Street, #1400
Las Vegas, Nevada 89101
(702) 692-8026

4. *In Re: Kitec Fitting Litigation*, Case No. A493302, complex litigation with two jury trials before the Honorable Judge Timothy Williams of the Eighth Judicial District Court. Along with my partners J. Randall Jones, Esq. and William L. Coulthard, Esq., I represented the certified class (with dozens of subclasses) on behalf of more than 32,000 owners of homes plumbed with defective Kitec-brand plumbing systems. Years of aggressive litigation against dozens of defendants including the manufacturer, suppliers, plumbers, and builders; complex law and motion work; two successful jury trials in 2009; and multiple appeals resulted in more than \$250 million in good faith settlements and full replumbs for nearly all class members.

I was a key member of the class counsel trial team, with the primary responsibility of managing (and drafting the most critical aspects of) the highly complex law and motion written work (including motions for class and subclass certification, summary judgment motions and oppositions, motions in limine and oppositions, motions for good faith settlement and to enforce good faith settlements, motions for attorney's fees and costs, and virtually every other type of motion imaginable), drafting jury instructions and jury questionnaires, and settlement agreements. I also argued various motions to the trial court. Additionally, I was the primary counsel handling all appeals and original writ proceedings arising from this case (approximately eight total), and I argued before the en banc Nevada Supreme Court in March 2008. The two jury trials, both against plumbers responsible for installing the defective plumbing systems, resulted in verdicts of \$475,000 and \$8,749,400, followed by appeals and ultimately court-approved settlements.

Co-counsel:

Francis I. Lynch, Esq.
Charles "Dee" Hopper, Esq.
Sergio Salzano, Esq.
Lynch, Hopper & Salzano, LLP
1640 Alta Drive #11
Las Vegas, Nevada 89106
(702) 868-1115

Opposing Counsel:

James D. Carraway, Esq.
Carraway & Associates, LLC
7674 West Lake Mead Boulevard #215
Las Vegas, Nevada 89128
(702) 632-1580

Joseph S. Kistler, Esq.
Hutchison & Steffen, LLC
10080 West Alta Drive, Suite 200
Las Vegas, Nevada 89145
(702) 385-2500

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Pengilly Robbins Slater
1755 Village Center Circle
Las Vegas, Nevada 89134
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Backus, Carranza & Burden
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Las Vegas, Nevada 89117
(702) 872-5555

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Koeller, Nebeker, Carlson & Haluck, LLP
300 South Fourth Street #500
Las Vegas, Nevada 89101
(702) 853-5500

Raymond Babaian, Esq.
Wood, Smith, Henning & Berman, LLP

10535 Foothill Boulevard #200
Rancho Cucamonga, California 91730
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Jan Lauver, Esq.
9127 West Russell Road #220
Las Vegas, Nevada 89148
(702) 492-7646

Tod R. Dubow, Esq.
Joel D. Odou, Esq.
Christina M. Gilbertson, Esq.
Wood, Smith, Henning & Berman, LLP
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(702) 222-0265

Teddy Parker, Esq.
Stephanie Lee, Esq.
Parker Nelson & Associates
2460 Professional Court #200
Las Vegas, Nevada 89128
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Beau Sterling, Esq.
228 South Fourth Street, 1st Floor
Las Vegas, Nevada 89101
(702) 583-3333

Peter C. Brown, Esq.
Bremer, Whyte, Brown & O'Meara, LLP
7670 West Lake Mead Boulevard #225
Las Vegas, Nevada 89128
(702) 258-6665

Kevin A. Brown, Esq.
Brown, Bonn & Friedman, LLP
5528 South Fort Apache Road

Las Vegas, Nevada 89148
(702) 942-3900

5. *Hanson Aggregates Las Vegas, Inc., Bonanza Materials, Inc. v. Gerald Stuart Concrete, Inc., et al.*, Case No. A415383, bench trial before the Honorable Judge James Mahan of the Eighth Judicial District Court (before elevated to the Federal bench). Along with my partner J. Randall Jones, Esq., I represented owner/developer Stephanie Apartments, LLC in a construction contract dispute that was filed on February 23, 2000. I was second chair at the bench trial in July 2001. I drafted pleadings and motions, argued various motions, examined witnesses, drafted the bench brief, and developed strategy. We succeeded in reducing the amount of damages the Plaintiff was seeking.

Opposing Counsel: Brian K. Berman, Esq.
721 Gass Avenue
Las Vegas, Nevada 89101
(702) 382-0702

6. *Hartco Construction Company v. Sunset Ridge Association, et al.*, Case No. A398702, bench trial before the Honorable Judge Porter of the Eighth Judicial District Court. I represented Sunset Ridge Company and Sunset Ridge Association in a construction contract breach/mechanic's lien foreclosure matter, filed on January 28, 1999. The allegations generally included failure to tender payment and poorly performed or incomplete work. I was the sole trial attorney in a bench trial in October 2002 and succeeded in reducing the amount of damages the Plaintiff was seeking by approximately 80%.

Opposing Counsel: Diana M. Antuna, Esq.
Dotson & Qualey
2320 Paseo Del Prado #B-205
Las Vegas, Nevada 89102
(702) 474-6677

7. *Lizmar Corporation dba Lizmar Medical Management v. R.D. Prabhu-Late K. Shete, M.D.'s Ltd. dba Red Rock Medical Group*, Case No. A562610, bench trial before the Honorable Judge Douglas Smith of the Eighth Judicial District Court. With my partner J. Randall Jones, Esq., I represented the Defendant in this breach of contract case for medical billing services, filed on May 2, 2008. I was second chair at the bench trial in February 2010 that resulted in a complete defense of the Plaintiffs' claims and a judgment in favor of our client. I drafted pleadings and motions; argued various motions, examined witnesses; developed strategy; and drafted the bench brief, the Findings of Facts and Conclusions of Law, the Judgment in favor of the Defendant, and post-judgment motions.

Opposing Counsel: David J. Winterton, Esq.
211 North Buffalo Drive #A

Las Vegas, Nevada 89145
(702) 363-0317

8. *5th & Centennial, LLC v. City of North Las Vegas, et al.*, Case No. A609283, bench trial before the Honorable Judge Mark Denton of the Eighth Judicial District Court. Along with my partner William L. Coulthard, Esq., I represent the Plaintiffs in this takings/pre-condemnation damages matter against the City of North Las Vegas filed on January 29, 2010. The eight-day bench trial resulted in a pre-condemnation judgment damages award for our clients of \$4,250,000 plus more than \$1,500,000 in post-judgment awards of fees, costs, and interest. I was part of the trial team and assisted in drafting motions, the trial brief, the findings of fact and conclusions of law, and the judgment. The City appealed, we cross-appealed, and I drafted the Respondents'/Cross Appellants' Briefs on appeal. Appellate briefing is still underway.

Co-counsel: John Peter Lee, Esq.
830 Las Vegas Boulevard
Las Vegas, Nevada 89101
(702) 382-4044

Opposing Counsel: Brian Hardy, Esq.
Micah Echols, Esq.
Marquis, Aurbach & Coffing
10001 Park Run Drive
Las Vegas, Nevada 89145
(702) 942-2147

9. *VNU Business Media v. B.L. International, Inc., et al.*, Arbitration No. 79 133 00064 05 krli, complex commercial arbitration trial before Arbitrator Jay Earl Smith (deceased). Along with my partner J. Randall Jones, Esq., I represented B.L. International, Inc. and Brand Acquisitions, LLC in this complex commercial arbitration surrounding the rights to the Billboard Magazine trade name, which was filed on March 10, 2006. At the six-day commercial arbitration trial, I developed strategy, drafted the arbitration briefs, attended all days of the hearing, and examined witnesses. Post-arbitration issues and claims not resolved by the arbitration were assigned to the Eighth Judicial District Court's Business Court with a "complex" case designation. I had primary responsibility for nationwide discovery during both the arbitration and district court proceedings, all motion work (including numerous original writ proceedings to the Nevada Supreme Court), and drafting and negotiating an ultimate settlement in September 2010.

Opposing Counsel: Allan J. Arffa, Esq.
Steve Herzog, Esq.
Paul, Weiss, Rifkind, Wharton & Garrison, LLP
1285 Avenue of the Americas

New York, New York 10019
(212) 373-3000

Rex Garner, Esq.
Morris Peterson
300 South Fourth Street, Suite 900
Las Vegas, Nevada 89101
(702) 474-9400

Glenn A. Mitchell, Esq.
Ari Casper, Esq.
Stein, Mitchell & Mezines
1100 Connecticut Avenue, N.W., Suite 1100
Washington, DC 20036
(202) 737-7777

Kirk Lenhard, Esq.
Adam Bult, Esq.
Brownstein Hyatt Farber Schreck, LLP
100 City Parkway #1600
Las Vegas, Nevada 89106
(702) 464-7045

10. *Mattes v. Park Place, Inc., et al.*, U.S. Dist. Ct. (Nev.), CV-S-00-1090-JCM (LRL).

My firm was hired to represent Park Place (the parent company of several resort properties) after a federal jury returned an \$8 million verdict in favor of a high-roller patron of the Paris Casino. We successfully moved for new trial, got the verdict set aside, and ultimately turned the entire case around by obtaining summary judgment in favor of Park Place, a decision that was affirmed on appeal to the Ninth Circuit in *Mattes v. Bally's Las Vegas*, 227 Fed. Appx. 567 (9th Cir. 2007). I drafted all the post-trial briefs and participated in the oral arguments before the district court, and I exclusively handled the Ninth Circuit appeal and argued the case before the panel.

Opposing Counsel: Kevin Mirch, Esq.
Marie Mirch, Esq.
Mirch Law Offices
701 B Street, Suite 1310
San Diego, California 92101
(619) 501-6220

18. **Legal Activities:** Describe the most significant legal activities you have pursued, including significant litigation which did not progress to trial or legal matters that did not involve litigation. Describe fully the nature of your participation in these activities. List any client(s) or organization(s) for whom you performed lobbying activities and describe the lobbying activities you performed on behalf of such client(s) or organizations(s).

(Note: As to any facts requested in this question, please omit any information protected by the attorney-client privilege.)

1. *Forsyth, et al. v. Humana, Inc.*, U.S. Dist. Ct. (Nev.) CV-S-89-249-DWH (LRL). This was an 84,000 member, health-care-fraud class action that was resolved after successful U.S. Supreme Court appeal (525 U.S. 299 (1999)). Although this matter commenced nearly a decade before I became licensed, had been dismissed by the district court and reversed by the Ninth Circuit, I entered the case in time to draft Respondents' Brief on the Merits to the Supreme Court of the United States after certiorari was granted in 1998. I assisted in the preparation for, and attended, the oral argument on November 30, 1998. I continued to work on the case after it was remanded to the district court and until its ultimate resolution by settlement.
2. *Slaughter, et al. v. Uponor, et al.*, U.S. Dist. Ct. (Nev.) CV-S-1223-RCJ-GWF, Judge Robert Jones. These were consolidated construction defect actions against the manufacturer, suppliers, and installers of allegedly defective plumbing products. Originally pled as a class action, this case involved (and continues to involve) complex motion work. The case was dismissed with prejudice, but then reinstated by the Ninth Circuit, 2012 WL 1201645 (Apr. 11, 2012). Numerous other putative class actions arising from the same construction defect have been consolidated into this matter and continue to be prosecuted. This is a complex, multiple plaintiff/defendant matter, and the plaintiffs are represented by a team of five law firms, including mine. I prepare most of the more substantial motion work (written product) in the district court, drafted the Ninth Circuit briefs, and prepared my partner J. Randall Jones to argue the appeal before the panel.
3. *Notable Criminal Experience*. During law school (summer of 1995), I worked as an extern in the Ventura County District Attorney's Office. I was given the responsibility of drafting or responding to various pretrial motions, and I watched several criminal trials that the office was working on, including a high-profile attempted murder trial.

Additionally, during my last two years of law school, I was employed by Totaro & Shanahan, a criminal defense appellate practice, whose work came from the California Appellate Project. I drafted dozens of appellate briefs on behalf of the Project's pro bono clients, for which I was required to review the trial transcript and all motions filed in the case to find and argue appealable issues. This experience gave me great familiarity with the criminal process and related evidentiary issues.

4. *Judge, Pepperdine's Moot Court Tournaments*. Nearly every year since graduation, I have served as a volunteer judge for Pepperdine's intraschool moot court tournament, the Vincent Dalsimer Moot Court competition. I have judged the competition briefs and the semi-final round of the competition. I am also part of the annual team of brief judges for Pepperdine's other moot court tournaments, including its interschool entertainment law moot court competition, and I have previously helped coach Pepperdine's interschool moot court teams.

19. **Teaching:** What courses have you taught? For each course, state the title, the institution at which you taught the course, the years in which you taught the course, and describe briefly the subject matter of the course and the major topics taught. If you have a syllabus of each course, provide four (4) copies to the committee.

I have not taught any courses.

20. **Deferred Income/ Future Benefits:** List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients or customers. Describe the arrangements you have made to be compensated in the future for any financial or business interest.

I am an equity/owner/member in Kemp, Jones & Coulthard, LLP, which entitles me to share in the firm's profits as they are earned; I am also entitled to certain profit sharing under the firm's compensation and 401K plan.

21. **Outside Commitments During Court Service:** Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service with the court? If so, explain.

If I am confirmed, I have no plans, commitments, or agreements to pursue outside employment, with or without compensation, during my service with the court.

22. **Sources of Income:** List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, licensing fees, honoraria, and other items exceeding \$500 or more (if you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here).

See attached Financial Disclosure Report.

23. **Statement of Net Worth:** Please complete the attached financial net worth statement in detail (add schedules as called for).

See attached Net Worth Statement.

24. **Potential Conflicts of Interest:**

- a. Identify the family members or other persons, parties, categories of litigation, and financial arrangements that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to arise.

If confirmed, I will carefully assess all actual or potential conflicts of interest in accordance with Canon 3 of the Code of Conduct for United States Judges and any other applicable rules, statutes, and practices. I expect to recuse myself from all cases involving my law firm for a significant period of time, and to recuse myself from all cases involving my husband's law firm (Snell & Wilmer). I also anticipate recusing myself from all cases involving clients I have served during my tenure at Kemp, Jones & Coulthard for a significant period of time following confirmation. I would also take great care to recuse myself from any cases involving Morgan Stanley, which manages my investment portfolio, and any other company related to my finances. In all instances, I will be diligent to avoid any conflict or appearance of conflict of interest.

- b. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.

If confirmed, I will employ all applicable guidelines, including Canon 3 of the Code of Conduct for United States Judges and other applicable rules, statutes, and practices, always mindful of the judiciary's responsibility to avoid actual conflicts and the appearance of them. I also anticipate seeking advice from my learned colleagues as appropriate.

25. **Pro Bono Work:** An ethical consideration under Canon 2 of the American Bar Association's Code of Professional Responsibility calls for "every lawyer, regardless of professional prominence or professional workload, to find some time to participate in serving the disadvantaged." Describe what you have done to fulfill these responsibilities, listing specific instances and the amount of time devoted to each.

I provided free legal services to Easter Seals of Southern Nevada from approximately 2004 to 2009; I have also served as lead or co-counsel on three class actions that I filed along with co-counsel at Legal Aid of Southern Nevada. All three class actions alleged misconduct by payday lenders – the first two matters alleged violations of Nevada's statutes regarding usurious fees and penalties; the third case seeks to set aside thousands of default judgments obtained against payday loan customers through acts alleged as a widespread scheme of fraud on the court.

Since 2009, I have been recognized by Legal Aid of Southern Nevada's Pro Bono Project for devoting at least 50 hours to pro bono matters annually. To date this year, I have spent in excess of 70 hours on pro bono cases, primarily the *Rapid Cash* matters. I was also the 2011 co-recipient of the Vince Consul Memorial Pro Bono Award.

26. **Selection Process:**

- a. Please describe your experience in the entire judicial selection process, from beginning to end (including the circumstances which led to your nomination and the interviews in which you participated). Is there a selection commission in your jurisdiction to recommend candidates for nomination to the federal courts? If so,

please include that process in your description, as well as whether the commission recommended your nomination. List the dates of all interviews or communications you had with the White House staff or the Justice Department regarding this nomination. Do not include any contacts with Federal Bureau of Investigation personnel concerning your nomination.

In March 2012, I spoke to Senator Harry Reid about my interest in a federal judgeship. On May 21, 2012, I received a telephone call from Senator Reid's staff, asking for additional details regarding my legal experience. I received a call from Senator Reid on June 11, 2012, during which we spoke again of my interest and Senator Reid asked additional questions about my practice and experience. On June 12, 2012, I received a call from Senator Reid's staff, who informed me that my name was being submitted to the White House for consideration.

Since June 13, 2012, I have been in contact with officials from the Office of Legal Policy at the Department of Justice. On July 17, 2012, I met with officials from the White House Counsel's Office and the Department of Justice in Washington, D.C. On September 19, 2012, the President submitted my nomination to the Senate.

- b. Has anyone involved in the process of selecting you as a judicial nominee discussed with you any currently pending or specific case, legal issue or question in a manner that could reasonably be interpreted as seeking any express or implied assurances concerning your position on such case, issue, or question? If so, explain fully.

No.

FINANCIAL DISCLOSURE REPORT NOMINATION FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dorsey, Jennifer A.	2. Court or Organization US District Court - Nevada	3. Date of Report 09/19/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☒ Nomination Date 09/19/2012 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2011 to 9/1/2012
7. Chambers or Office Address 3800 Howard Hughes Parkway, 17th Floor Las Vegas, Nevada 89169		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Equity Partner/Equity Shareholder	Kemp Jones & Coulthard, L.L.P.
2. Trustee	Trust #1
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 10/01/2004	Kemp Jones & Coulthard, LLP Limited Liability Partnership Agreement - Equity partner in law firm partnership
2.	
3.	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Kemp Jones & Coulthard, L.L.P./ salary, guaranteed payments, and distributions	\$1,901,222.00
2. 2011	Kemp Jones & Coulthard, L.L.P./salary, guaranteed payments, and distributions	\$1,538,784.36
3. 2010	Kemp Jones & Coulthard, L.L.P./salary, guaranteed payments, and distributions	\$718,581.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Snell & Wilmer L.L.P. - Salary
2. 2011	Board of Regents (UNLV) - Salary
3. 2010	Snell & Wilmer L.L.P. - Salary
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

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Name of Person Reporting

Dorsey, Jennifer A.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting Dorsey, Jennifer A.	Date of Report 09/19/2012
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Kemp Jones & Coulthard, LLP Profit Sharing Plan	E	Distribution	N	T	Exempt				
2. Snell & Wilmer Profit Sharing Plan	E	Interest	K	T					
3. Horizon Ridge Professional Park, L.P.	B	Interest	K	W					
4. US Series EE Savings Bonds	A	Interest	J	T					
5. American Funds Bond Fund of America 529	A	Interest	K	T					
6. American Funds Growth Fund of America 529	A	Interest	K	T					
7. American Funds Capital World Bond Fund 529	A	Interest	K	T					
8. American Funds EuroPacific Growth Fund 529	A	Interest	K	T					
9. American Funds New World Fund 529	A	Interest	K	T					
10. American Funds US Gov't Sec Fund 529	A	Interest	K	T					
11. American Funds Fund of America 529	A	Interest	K	T					
12. Trust #1									
13. - Wells Fargo Cash Accounts	A	Interest	K	T					
14. - Greenhaven Continuous Comm. Index Fund	A	Interest							
15. - US Commodity Index Fund	A	Interest							
16. - Powershares DB US Dollar Index Fund	A	Interest							
17. - JP Morgan Chase Reverse Convertible Option	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	
3. Value Method Codes: (See Column C2)					

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Name of Person Reporting

Dorsey, Jennifer A.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - Morgan Stanley Cash Accounts	A	Interest	N	T					
19. - NAA 2006-AP1 bond	A	Interest	K	T					
20. - Chaseflex Trust 2005-1-A5 bond	A	Interest	K	T					
21. - Banc America FDG 2005-6 bond	A	Interest	J	T					
22. - Morgan Stanley Step Up Note	A	Interest	K	T					
23. - Legg Mason WA Short Duration Municipal Income Fund	A	Dividend	O	T					
24. - Halliburton stock	A	Dividend	J	T					
25. - Enterprise Partners stock	A	Interest	K	T					
26. - iShares Barclays 3-7 Year Treasury Fund	A	Dividend	K	T					
27. - ProShares Ultra 7-10 Year Treasury ETF	A	Dividend	K	T					
28. - ProShares Ultra S&P 500 ETF	A	Dividend	K	T					
29. - S&P 500 Index Fund	A	Dividend	K	T					
30. - 3M Company stock	A	Dividend	J	T					
31. - Agrium, Inc stock	A	Dividend	J	T					
32. - Altria Group, Inc. stock	A	Dividend	J	T					
33. - American Capital Agency stock	A	Dividend	J	T					
34. - AmeriGas Partners stock	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - Amphenol Corp. stock	A	Dividend	J	T					
36. - Apple, Inc. stock	A	Dividend	J	T					
37. - Berkshire Hathaway Class B stock	A	Dividend	J	T					
38. - Briggs & Stratton stock	A	Dividend	J	T					
39. - Bristol Myers Squibb stock	A	Dividend	J	T					
40. - Casey's General Stores stock	A	Dividend	J	T					
41. - Cenovus Energy stock	A	Dividend	J	T					
42. - CenturyLink stock	A	Dividend	J	T					
43. - Chevron Corp. stock	A	Dividend	J	T					
44. - Cisco Systems stock	A	Dividend	J	T					
45. - Coca Cola stock	A	Dividend	J	T					
46. - Cognizant Technology Solutions stock	A	Dividend	J	T					
47. - Cohen & Steers Limited Duration stock	A	Dividend	J	T					
48. - ConocoPhillips stock	A	Dividend	J	T					
49. - CVS Caremark Corp stock	A	Dividend	J	T					
50. - Devon Energy stock	A	Dividend	J	T					
51. - Diamond Offshore Drilling stock	A	Dividend	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. - Dorman Products stock	A	Dividend	J	T					
53. - DuPont El De Nemours stock	A	Dividend	J	T					
54. - Ecolab, Inc. stock	A	Dividend	J	T					
55. - El Paso Pipeline Partners stock	A	Dividend	J	T					
56. - Enbridge Energy Partners stock	A	Dividend	J	T					
57. - Energy Select SPDR stock	A	Dividend	J	T					
58. - SPDR - Consumer Discretionary stock	A	Dividend	J	T					
59. - Energy Transfer Equity LP stock	A	Dividend	J	T					
60. - Energy Transfer Partners LP stock	A	Dividend	J	T					
61. - EV Energy Partners stock	A	Dividend	J	T					
62. - Express Scripts Holding Co. stock	A	Dividend	J	T					
63. - First Trust Tech AlphaDEX ETF	A	Dividend	J	T					
64. - General Electric stock	A	Dividend	J	T					
65. - General Mills stock	A	Dividend	J	T					
66. - Genesis Energy, LP stock	A	Dividend	J	T					
67. - Google, Inc. stock	A	Dividend	J	T					
68. - Guggenheim Enhanced Short Duration ETF	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 I=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000 Q=Appraisal U=Book Value	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000 R=Cost (Real Estate Only) V=Other	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000 S=Assessment W=Estimated	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000 T=Cash Market	E=\$15,001 - \$50,000
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Name of Person Reporting

Dorsey, Jennifer A.

Date of Report

09/19/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. - Guggenheim S&P 500 Equal Weight ETF	A	Dividend	J	T					
70. - Ingersoll Rand PLC stock	A	Dividend	J	T					
71. - Intel Corp stock	A	Dividend	J	T					
72. - Intuit Corp stock	A	Dividend	J	T					
73. - iShares Barclays 1-3 Year Treasury Fund stock	A	Dividend	J	T					
74. - iShares Barclays Aggregate Bond Fund	A	Dividend	J	T					
75. - iShares Dow Jones US Real Estate Index Fund	A	Dividend	J	T					
76. - iShares High Dividend Equity Fund	A	Dividend	J	T					
77. - iShares IBoxx High Yield Corporate Fund	A	Dividend	J	T					
78. - iShares IBoxx Investment Grade Corporate Bond Fund	A	Dividend	J	T					
79. - Johnson & Johnson stock	A	Dividend	J	T					
80. - JP Morgan Chase & Co. stock	A	Dividend	J	T					
81. - JP Morgan Chase Capital XVI Alerian MLP Index ETN	A	Dividend	J	T					
82. - Kellogg Co. stock	A	Dividend	J	T					
83. - Kimberly Clark Corp stock	A	Dividend	J	T					
84. - Kinder Morgan Energy Partners, LP stock	A	Dividend	J	T					
85. - Kinder Morgan Management, LLC stock	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Marker

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
Dorsey, Jennifer A.	09/19/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. - Kraft Foods stock	A	Dividend	J	T					
87. - Life Technologies Corp. stock	A	Dividend	J	T					
88. - Lincoln Electric Holdings, Inc. stock	A	Dividend	J	T					
89. - Linn Energy LLC stock	A	Dividend	J	T					
90. - LKQ Corp. stock	A	Dividend	J	T					
91. - Magellan Midstream Partners, LP stock	A	Dividend	J	T					
92. - Markwest Energy Partners	A	Dividend	J	T					
93. - Mastercard, Inc. stock	A	Dividend	J	T					
94. - Materion Corp. stock	A	Dividend	J	T					
95. - McDonald's Corp. stock	A	Dividend	J	T					
96. - Merck & Co. stock	A	Dividend	J	T					
97. - Middleby Corp. stock	A	Dividend	J	T					
98. - Monro Muffler & Brake stock	A	Dividend	J	T					
99. - NCR Corp. stock	A	Dividend	J	T					
100. - Nike, Inc. stock	A	Dividend	J	T					
101. - Novo Nordisk A/S stock	A	Dividend	J	T					
102. - Nucor Corp. stock	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes:
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting	Date of Report
Dorsey, Jennifer A.	09/19/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. - Nustar Energy LP stock	A	Dividend	J	T					
104. - Oneok Partners, LP stock	A	Dividend	J	T					
105. - Oracle Corp. stock	A	Dividend	J	T					
106. - Pall Corp. stock	A	Dividend	J	T					
107. - Pepsico, Inc. stock	A	Dividend	J	T					
108. - Perrigo Co. stock	A	Dividend	J	T					
109. - Phillip Morris International, Inc. stock	A	Dividend	J	T					
110. - Phillips 66 stock	A	Dividend	J	T					
111. - Plains All American Pipeline, LP stock	A	Dividend	J	T					
112. - Powershares DB Commodity Index Fund	A	Dividend	J	T					
113. - Priceline.com stock	A	Dividend	J	T					
114. - Proctor & Gamble stock	A	Dividend	J	T					
115. - Powershares DB US Dollar Index Bullish & Bearish Fund	A	Dividend	J	T					
116. - Powershares DB Precious Metals Fund	A	Dividend	J	T					
117. - Regency Energy Partners, LP stock	A	Dividend	J	T					
118. - Royal Dutch Shell PLC	A	Dividend	J	T					
119. - Schlumberger, Ltd. stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Dorsey, Jennifer A.

Date of Report

09/19/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. - Seadrill, Ltd. stock	A	Dividend	J	T					
121. - Siemens Aktiengesellschaft stock	A	Dividend	J	T					
122. - Southern Copper Corp. stock	A	Dividend	J	T					
123. - SPDR S&P Dividend ETF	A	Dividend	J	T					
124. - SPDR S&P Pharmaceuticals ETF	A	Dividend	J	T					
125. - Suncor Energy, Inc. stock	A	Dividend	J	T					
126. - Terex Corp. stock	A	Dividend	J	T					
127. - Teva Pharmaceuticals stock	A	Dividend	J	T					
128. - Texas Instruments stock	A	Dividend	J	T					
129. - The Scotts Miracle-Gro Company stock	A	Dividend	J	T					
130. - TJX Companies stock	A	Dividend	J	T					
131. - Tupperware Brands Corp. stock	A	Dividend	J	T					
132. - United Technologies Corp. stock	A	Dividend	J	T					
133. - Utilities Select SPDR ETF	A	Dividend	J	T					
134. - Valmont Industries stock	A	Dividend	J	T					
135. - Wells Fargo & Co. stock	A	Dividend	J	T					
136. - Williams Partners, Ltd. stock	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Dorsey, Jennifer A.

Date of Report

09/19/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - WMS Industries stock		None	J	T					
138. - Xylem, Inc. stock	A	Dividend	J	T					
139. - AES Corporation bond	A	Interest	J	T					
140. - Alabama Power Co. bond	A	Interest	J	T					
141. - Alliant Techsystems, Inc. bond	A	Interest	J	T					
142. - American West Airlines SER 00-G bond	A	Interest	J	T					
143. - Amerigas Partners, LP bond	A	Interest	J	T					
144. - Apache Corp. bond	A	Interest	J	T					
145. - Arch Coal, Inc. bond	A	Interest	J	T					
146. - AT&T Inc. bond	A	Interest	J	T					
147. - Avis Budget Car Rental, LLC bond	A	Interest	J	T					
148. - Bank of America Corp. bond	A	Interest	J	T					
149. - BE Aerospace, Inc.	A	Interest	J	T					
150. - Beckton Dickinson bond	A	Interest	J	T					
151. - Caterpillar, Inc. bond	A	Interest	J	T					
152. - CCO Holdings, LLC bond	A	Interest	J	T					
153. - Centurylink, Inc. bond	A	Interest	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)
2. Value Codes
(See Columns C1 and D3)
3. Value Method Codes:
(See Column C2)
- A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value
- B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other
- C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated
- D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market
- E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Dorsey, Jennifer A.

Date of Report

09/19/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. - Chesapeake Energy Corp. bond	A	Interest	J	T					
155. - Cisco Systems, Inc. bond	A	Interest	J	T					
156. - Citigroup, Inc. bond	A	Interest	J	T					
157. - Continental Airlines 2010-A bond	A	Interest	J	T					
158. - Cott Beverages, Inc. bond	A	Interest	J	T					
159. - Danaher Corp. bond	A	Interest	J	T					
160. - Davita, Inc. bond	A	Interest	J	T					
161. - Delta Airlines 2010 bond	A	Interest	J	T					
162. - Dover Corp. bond	A	Interest	J	T					
163. - Duke Energy Carolinas bond	A	Interest	J	T					
164. - Ferrell Gas, LP bond	A	Interest	J	T					
165. - Ford Motor Credit bond	A	Interest	J	T					
166. - Forest Oil Corp. bond	A	Interest	J	T					
167. - Frontier Communications Corp. bond	A	Interest	J	T					
168. - General Dynamics Corp. bond	A	Interest	J	T					
169. - General Electric Cap Corp. bond	A	Interest	J	T					
170. - Graphic Packaging International bond	A	Interest	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes:
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes:
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting Dorsey, Jennifer A.	Date of Report 09/19/2012
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. - HCA Holdings, Inc. bond	A	Interest	J	T					
172. - Healthsouth Corporation bond	A	Interest	J	T					
173. - Hertz Corporation bond	A	Interest	J	T					
174. - Hewlett Packard Co. bond	A	Interest	J	T					
175. - Huntsman International, LLC bond	A	Interest	J	T					
176. - International Lease Finance Corp. bond	A	Interest	J	T					
177. - Iron Mountain, Inc. bond	A	Interest	J	T					
178. - JP Morgan Chase & Co. bond	A	Interest	J	T					
179. - L-3 Communications bond	A	Interest	J	T					
180. - Lamar Media Corp. bond	A	Interest	J	T					
181. - Mediacom LLC bond	A	Interest	J	T					
182. - Medtronic, Inc bond	A	Interest	J	T					
183. - Merck & Co. bond	A	Interest	J	T					
184. - Morgan Stanley corporate bond	A	Interest	J	T					
185. - Peabody Energy Corporation bond	A	Interest	J	T					
186. - Pepsico, Inc. bond	A	Interest	J	T					
187. - PerkinElmer, Inc. bond	A	Interest	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)
2. Value Codes
(See Columns C1 and D3)
3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Dorsey, Jennifer A.

Date of Report

09/19/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. - PNC Funding Corp. bond	A	Interest	J	T					
189. - Polymer Group, Inc. bond	A	Interest	J	T					
190. - Praxair, Inc. bond	A	Interest	J	T					
191. - Public Service Company of Colorado bond	A	Interest	J	T					
192. - Range Resources Corp. bond	A	Interest	J	T					
193. - Raytheon Company bond	A	Interest	J	T					
194. - RR Donnelly & Sons Company bond	A	Interest	J	T					
195. - SPX Corporation bond	A	Interest	J	T					
196. - Suburban Propane Partners bond	A	Interest	J	T					
197. - Teekay Corp. bond	A	Interest	J	T					
198. - Tenneco, Inc. bond	A	Interest	J	T					
199. - ThermoFisher Scientific bond	A	Interest	J	T					
200. - Time Warner Cable, Inc. bond	A	Interest	J	T					
201. - Transdigm, Inc. bond	A	Interest	J	T					
202. - United Rentals bond	A	Interest	J	T					
203. - US Bancorp bond	A	Interest	J	T					
204. - Verizon Communications bond	A	Interest	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes:
(See Columns C1 and D3)

3. Value Method Codes:
(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
Dorsey, Jennifer A.	09/19/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. - Wal-Mart Stores bond	A	Interest	J	T					
206. - Weatherford International bond	A	Interest	J	T					
207. - Wells Fargo & Co. bond	A	Interest	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Dorsey, Jennifer A.

Date of Report

09/19/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 1: income was from both interest and distribution.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
Dorsey, Jennifer A.	09/19/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: *s/ Jennifer A. Dorsey*

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		475	281	Notes payable to banks-secured			
U.S. Government securities			846	Notes payable to banks-unsecured			
Listed securities – see schedule	1	741	294	Notes payable to relatives			
Unlisted securities – see schedule		30	000	Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable – see schedule		478	000
Real estate owned – see schedule	1	350	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		70	000				
Cash value-life insurance							
Other assets itemize:							
Municipal bonds		48	874				
Law Firm Profit Sharing Plans		357	341				
				Total liabilities		478	000
				Net Worth	3	595	636
Total Assets	4	073	636	Total liabilities and net worth	4	073	636
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							

FINANCIAL STATEMENT

NET WORTH SCHEDULES

Listed Securities

3M Company stock	\$ 11,112
Agrium, Inc. stock	8,362
Altria Group Inc. stock	3,396
American Capita Agency stock	1,394
AmeriGas Partners stock	2,312
Amphenol Corp. stock	5,783
Apple, Inc. stock	9,979
Berkshire Hathaway Class B stock	4,639
Briggs & Stratton Corp. stock	4,330
Bristol Myers Squibb Co. stock	2,476
Casey's General Stores, Inc. stock	3,676
Cenovus Energy, Inc. stock	7,194
CenturyLink Inc. stock	1,690
Chevron Corp. stock	4,486
Cisco Systems, Inc. stock	7,823
Coca Cola Co. stock	2,992
Cognizant Technology Solutions Corp. stock	3,535
Cohen & Steers Limited Duration closed-end stock	10,009
ConocoPhillips stock	8,802
Consumer Discretionary SPDR (ETF)	2,915
CVS Caremark Corp. stock	5,694
Devon Energy Corp. stock	3,759
Diamond Offshore Drilling, Inc. stock	4,691
Dorman Products, Inc. stock	5,306
Du Pont El De Nemours & Co. stock	11,194
Ecolab, Inc. stock	3,202
El Paso Pipeline Partners, LP stock	5,501
Enbridge Energy Partners, LP stock	2,799
Energy Select Sector SPDR (ETF)	4,435
Energy Transfer Equity LP stock	10,328
Energy Transfer Partners, LP stock	5,852
Enterprise Products Partners, LP stock	35,885
EV Energy Partners LP stock	1,758
Express Scripts Holding Co. stock	3,444
First Trust Tech AlphaDEX Fund (ETF)	5,358
General Electric Co. stock	2,071
General Mills Inc. stock	787
Genesis Energy, LP stock	6,529
Google, Inc. stock	6,851
Guggenheim Enhanced Short Duration Bond ETF	2,500
Guggenheim S&P 500 Equal Weight ETF	5,212

Halliburton Co. stock	3,276
Ingersoll-Rand PLC stock	8,183
Intel Corp. stock	4,345
Intuit, Inc. stock	3,512
iShares Barclays 1-3 Year Treasury Bond Fund	7,437
iShares Barclays 3-7 Year Treasury Bond Fund	35,165
iShares Barclays Aggregate Bond Fund	14,608
iShares Dow Jones U.S. Real Estate Index Fund	4,266
iShares High Dividend Equity Fund	10,608
iShares IBoxx \$ High Yield Corporate Bond Fund	4,986
iShares IBoxx Investment Grade Corporate Bond Fund	8,096
Johnson & Johnson stock	7,417
JPMorgan Chase Capital XVI Alerian MLP Index ETN	3,964
JPMorgan Chase & Co. stock	3,714
Kellogg Co. stock	2,026
Kimberly Clark Corp. stock	1,672
Kinder Morgan Energy Partners, LP stock	13,243
Kinder Morgan Management, LLC stock	6,152
Kraft Foods, Inc. stock	9,132
Life Technologies Corp. stock	5,964
Lincoln Electric Holdings Inc. stock	2,888
Linn Energy LLC stock	9,185
LKQ Corp. stock	4,529
Magellan Midstream Partners, LP stock	7,882
Markwest Energy Partners, LP stock	10,567
Mastercard, Inc. stock	5,075
Materion Corp. stock	956
McDonalds Corp. stock	3,580
Merck & Co., Inc. stock	3,229
Middleby Corp. stock	6,333
Monro Muffler & Brake stock	4,062
NCR Corp. stock	5,821
Nike, Inc. stock	2,434
Novo Nordisk A/S (ADR)	7,384
Nucor Corp. stock	4,330
Nustar Energy LP stock	3,500
Oneok Partners, LP stock	6,421
Oracle Corp. stock	12,344
Pall Corp. stock	4,441
Pepsico, Inc. stock	6,519
Perrigo Co. stock	6,598
Philip Morris International, Inc. stock	1,786
Phillips 66 stock	1,680
Plains All American Pipeline, LP stock	12,114
Powershares DB Commodity Index Tracking Fund	3,368
Priceline.com Inc new stock	4,232

Proctor & Gamble stock	4,031
ProShares Ultra 7-10 Year Treasury ETF	24,259
ProShares Ultra S&P 500 ETF	19,419
PowerShares DB US Dollar Index Bullish & Bearish Fund	7,225
PowerShares DB Precious Metals Fund	2,043
Regency Energy Partners, LP stock	5,137
Royal Dutch Shell plc (ADR)	2,527
Schlumberger, Ltd. stock	3,619
Seadrill, Ltd. stock	2,061
Siemens Aktiengesellschaft stock	7,542
Southern Copper Corp. stock	3,400
S&P 500 Index Fund stock	42,138
SPDR S&P Dividend (ETF)	2,572
SPDR S&P Pharmaceuticals (ETF)	5,470
Suncor Energy, Inc. stock	6,100
Terex Corp. stock	4,524
Teva Pharmaceuticals stock	4,156
Texas Instruments stock	6,824
The Scotts Miracle-Gro Company stock	3,749
TJX Companies, Inc. stock	4,121
Tupperware Brands Corp. stock	3,744
United Technologies Corp. stock	4,791
Utilities Select SPDR (ETF)	5,380
Valmont Industries stock	2,535
Wells Fargo & Co. stock	4,764
Williams Partners, Ltd stock	5,519
WMS Industries Inc. stock	2,549
Xylem, Inc. stock	6,680
American Funds Bond Fund of America -529C	17,770
American Funds Capital World Bond Fund -529C	9,360
American Funds EuroPacific Growth Fund -529C	9,509
American Funds Growth Fund of America -529C	34,970
American Funds New World Fund -529C	8,965
American Funds US Gov't Sec Fund -529C	10,028
American Funds Fund of America -529C	13,529
Legg Mason WA Short Duration Municipal Income Fund	500,962
AES Corporation corporate bond	3,495
Alabama Power Co. corporate bond	5,620
Alliant Techsystems, Inc. corporate bond	3,218
American West Airlines SER 00-G corporate bond	2,352
Amerigas Partners, LP corporate bond	3,120
Apache Corp. corporate bond	5,516
Arch Coal, Inc. corporate bond	2,715
AT&T Inc. corporate bonds	11,442
Avis Budget Car Rental, LLC corporate bond	3,330
Banc America Large Loan Trust FDG 2005-6 2-A-9	5,031

Bank of America Corp. corporate bond	5,326
BE Aerospace, Inc. corporate bond	3,315
Beckton Dickinson corporate bond	5,415
Caterpillar, Inc. corporate bond	5,701
CCO Holdings, LLC/CAP Corp. corporate bond	3,270
Centurylink, Inc. corporate bond	3,199
Chaseflex Trust 2005-1 1-A5 corporate bond	26,068
Chesapeake Energy Corp. corporate bond	1,025
Cisco Systems, Inc. corporate bond	5,835
Citigroup, Inc. corporate bond	5,545
Continental Airlines 2010-A corporate bond	5,034
Cott Beverages, Inc. corporate bond	3,308
Danaher Corp. corporate bond	5,651
Davita, Inc. corporate bond	3,203
Delta Airlines 2010-2A corporate bond	4,913
Dover Corp. corporate bond	5,780
Duke Energy Carolinas corporate bond	5,645
Ferrell Gas, LP/Ferrellgas Finance corporate bond	3,218
Ford Motor Credit corporate bond	3,702
Forest Oil Corp. corporate bond	2,936
Frontier Communications Corp. s corporate bond	3,345
General Dynamics Corp. corporate bond	5,654
General Electric Cap Corp. corporate bond	5,525
Graphic Packaging International, Inc. corporate bond	3,345
HCA Holdings, Inc. corporate bond	3,341
Healthsouth Corporation corporate bond	3,278
Hertz Corporation corporate bond	3,263
Hewlett Packard Co. corporate bond	5,000
Huntsman International, LLC corporate bond	3,435
International Lease Finance Corp. corporate bonds	8,783
Iron Mountain, Inc. corporate bond	3,206
JP Morgan Chase & Co. corporate bond	5,543
JPMorgan Chase & Co. Reverse Convertible Note	10,031
L-3 Communications Corp. corporate bond	5,484
Lamar Media Corp. corporate bond	3,315
Mediacom LLC/Mediacom Capital Corp. corporate bond	3,330
Medtronic, Inc. corporate bond	5,674
Merck & Co., Inc. corporate bond	5,669
Morgan Stanley corporate bond	5,122
Morgan Stanley Step Up Note	24,836
NAA 2006-AP1 A2 corporate bond	16,814
Peabody Energy Corporation corporate bond	3,203
Pepsico, Inc. corporate bond	5,295
Perkinelmer, Inc. corporate bond	11,046
PNC Funding Corp. corporate bond	5,667
Polymer Group, Inc. corporate bond	3,218

Praxair, Inc. corporate bond	5,584
Public Service Company of Colorado corporate bond	5,483
Range Resources Corp. corporate bond	1,041
Raytheon Company corporate bond	5,789
RR Donnelley & Sons Company corporate bond	2,030
SPX Corporation corporate bond	3,330
Suburban Propane Partners corporate bond	3,195
Teekay Corp. corporate bond	3,113
Tenneco, Inc. corporate bond	3,270
Thermo Fisher Scientific corporate bond	5,630
Time Warner Cable, Inc. corporate bond	5,471
Transdigm, Inc. corporate bond	3,330
United Rentals corporate bond	3,375
US Bancorp corporate bond	10,662
Verizon Communications corporate bond	5,894
Wal-Mart Stores corporate bond	5,602
Weatherford International corporate bond	5,361
Wells Fargo & Co. corporate bond	5,727
Total Listed Securities	<u>\$ 1,741,294</u>

Unlisted Securities

Horizon Ridge Professional Park LP	\$ 30,000
Total Unlisted Securities	<u>\$ 30,000</u>

Real Estate Owned

Personal residence	\$ 600,000
Vacation home	750,000
Total Real Estate Owned	<u>\$ 1,350,000</u>

Real Estate Mortgages Payable

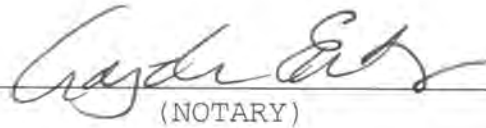
Personal residence	\$ 395,000
Family residence	83,000
Total Real Estate Mortgages Payable	<u>\$ 478,000</u>

AFFIDAVIT

I, Jennifer Anna Dorsey, do swear that the information provided in this statement is, to the best of my knowledge, true and accurate.

August 31, 2012
(DATE)


(NAME)


(NOTARY)

