

**UNITED STATES SENATE
COMMITTEE ON THE JUDICIARY**

QUESTIONNAIRE FOR JUDICIAL NOMINEES

PUBLIC

1. **Name**: State full name (include any former names used).

John Wheadon deGravelles

2. **Position**: State the position for which you have been nominated.

United States District Judge for the Middle District of Louisiana

3. **Address**: List current office address. If city and state of residence differs from your place of employment, please list the city and state where you currently reside.

John W. deGravelles Law, L.L.C.
618 Main Street
Baton Rouge, Louisiana 70801

4. **Birthplace**: State year and place of birth.

1949; Lafayette, Louisiana

5. **Education**: List in reverse chronological order each college, law school, or any other institution of higher education attended and indicate for each the dates of attendance, whether a degree was received, and the date each degree was received.

1971 – 1974, Louisiana State University Paul M. Hebert Law Center; J.D. (Order of the Coif), 1974

1969 – 1971, Louisiana State University, B.A., 1971

1967 – 1969, University of Oklahoma, no degree

6. **Employment Record**: List in reverse chronological order all governmental agencies, business or professional corporations, companies, firms, or other enterprises, partnerships, institutions or organizations, non-profit or otherwise, with which you have been affiliated as an officer, director, partner, proprietor, or employee since graduation from college, whether or not you received payment for your services. Include the name and address of the employer and job title or description.

2010 – present
DDW Joint Litigation Group (Deepwater Horizon Litigation)

618 Main Street
Baton Rouge, Louisiana 70801
Attorney

1995 – present
Louisiana State University
Paul M. Hebert Law Center
202 Law Center
Baton Rouge, Louisiana 70803
Adjunct Faculty

1993 – present
Tulane University Law School
Summer Session, Rhodes, Greece
6329 Freret Street
New Orleans, Louisiana 70118
Instructor (unpaid)

1987 – present
deGravelles, Palmintier, Holthaus & Frugé L.L.P.
(formerly known as deGravelles, Palmintier & Holthaus (1989 – 2000); deGravelles & Palmintier (1987 – 1989))
618 Main Street
Baton Rouge, Louisiana 70801
Partner

1984 – present
John W. deGravelles Law, L.L.C.
(formerly John W. deGravelles, PLC (1984 – 2004))
618 Main Street
Baton Rouge, Louisiana 70801
Attorney (1984 – 1986, 1987 – present)
Solo Practitioner (1986 – 1987)

1973 – 1986
Dué, Dodson, deGravelles, Robinson & Caskey
(formerly known as Dué, Dodson, deGravelles & Robinson (1980 – 1981); Dué, Dodson & deGravelles (1976 – 1980); Dué & Dodson (1973 – 1976))
One Maritime Plaza
Baton Rouge, Louisiana 70802
Partner (1980 – 1986)
Junior Partner (1976 – 1980)
Associate (1974 – 1976)
Law Clerk (1973 – 1974)

1972 – 1973

Neesom, Mellon, Cavanaugh and Dué (no longer exists)
519 Florida Avenue Southwest
Denham Springs, Louisiana 70726
Law Clerk

1972 – 1973

East Baton Rouge Parish District Attorney's Office
Baton Rouge, Louisiana
Law Clerk (unpaid intern)

Summer 1971

YMCA
350 South Foster Drive
Baton Rouge, Louisiana 70806
Swim coach

Other affiliations (uncompensated unless otherwise indicated):

January 2014 – present

deGravelles Family III, LLC
(family LLC owning/managing property)
From my private residence
Owner/Manager

2012 – present

deGravelles Enterprises, LLC
(family LLC owning/managing property)
From my private residence
Owner/Manager

2012 – present

deGravelles Family II, LLC
(family LLC owning/managing property)
From my private residence
Owner/Manager

2000 – present

DPHF Company, LLC
(entity which owns the law office building)
618 Main Street
Baton Rouge, Louisiana 70801
Member

1998 – present

The deGravelles Inter Vivos Trust

(An irrevocable trust for life insurance)
From my private residence
Settlor (creator)

1989 – present
Louisiana Litigation Services, Inc.
(Focus groups for trial preparation)
618 Main Street
Baton Rouge, LA 70801
Director and Owner

1984 – present
Louisiana Association for Justice
(formerly Louisiana Association of Trial Lawyers of America)
442 Europe Street
Baton Rouge, Louisiana 70802
President (1992 – 1993)
President-Elect (1991 – 1992)
Board of Governors (1984 – present)

2011 – present, 1993 – 1996
American Association for Justice
(formerly Association of Trial Lawyers of America)
777 Sixth Street, NW, Suite 200
Washington, DC 20001
Board of Governors

1999 – 2001
Louisiana State University Paul M. Hebert Law Center National Alumni Board
Paul M. Hebert Law Center
Office of Alumni Relations
One East Campus Drive, Suite 400
Baton Rouge, Louisiana 70803-1000
President

1996 – 2001
American Board of Trial Advocates
2001 Bryan Street Suite 3000
Dallas, Texas 75201
National Board of Directors (1999 – 2001)
President, Louisiana Chapter (1998)
President-Elect, Louisiana Chapter (1997)
Secretary-Treasurer, Louisiana Chapter (1996)

1992 – 1994
Common Cause of Louisiana

9431 Common Street
Baton Rouge, Louisiana 70809
Governing Board, At Large Member

1984 – 1992
Citizens for a Clean Environment
(now defunct)
Baton Rouge, Louisiana
President (1984 – 1987)
Board of Directors (1984 – 1992)

1976 – 1986
Southern Townhouses, Inc.
(construction company)
One Maritime Plaza, Third Floor
Baton Rouge, Louisiana 70802
Record Owner

1984 – 1986
Dué Dodson & Fausts-Nicholson & Brightside Properties, LA
442 Europe Street
Baton Rouge, Louisiana 70802
Record Owner

1983 – 1986
Catfish Town II, A Partnership In Commendam, LA
One Maritime Plaza
Baton Rouge, Louisiana 70802
Record Owner

1982 – 1986
Catfish Town Properties, A Louisiana Partnership In Commendam
One Maritime Plaza, Third Floor
Baton Rouge, Louisiana 70802
Record Owner

1982 – 1986
Dué Dodson and deGravelles D/B/A Sharlo Terrace II
442 Europe Street
Baton Rouge, Louisiana 70802
Record Owner

1981 – 1986
Southern Mining Company
442 Europe Street

Baton Rouge, Louisiana 70802
Record Owner

1975 – 1985
Sleepy Lake, Incorporated
442 Europe Street
Baton Rouge, Louisiana 70802
Record Owner

1980 – 1984
Sharlo Apartments, A Partnership In Commendam
One Maritime Plaza, Third Floor
Baton Rouge, Louisiana 70801
Record Owner

1982 – 1984
Elm Street Limited Partnership, A Louisiana Partnership in Commendam
One Maritime Plaza, Third Floor
Baton Rouge, Louisiana 70802
Record Owner

7. **Military Service and Draft Status:** Identify any service in the U.S. Military, including dates of service, branch of service, rank or rate, serial number (if different from social security number) and type of discharge received, and whether you have registered for selective service.

I timely registered for selective service and was given a 4F deferment.

8. **Honors and Awards:** List any scholarships, fellowships, honorary degrees, academic or professional honors, honorary society memberships, military awards, and any other special recognition for outstanding service or achievement.

Board Certified in Civil Pretrial Practice Advocacy by the National Board of Civil Pretrial Practice Advocacy, a Division of the National Board of Legal Specialty Certification, An American Bar Association Accredited Organization (2013 – present)

Board Certified in Civil Trial Litigation by National Board of Legal Specialty Certification (NBLSC), An American Bar Association Accredited Organization (1995 – present)

Martindale-Hubbell Rating: “AV Preeminent” (1988 – present)

Super Lawyer, Louisiana Super Lawyer (2007 – 2013)

Super Lawyer, Top 50 Lawyers in Louisiana (2008 – 2013)

Super Lawyer, Plaintiff Personal Injury, General (2007 – 2013)

Super Lawyer, Top 10 Lawyers in Louisiana (2007)

Fulbright Scholar (2001 – 2002)

Louisiana Trial Lawyers Association President's Award (1991, 2000)

Council for a Better Louisiana Better Louisiana Award (1988)

Inductee, Lafayette High School Athletic Hall of Fame (Track) (1983)

Louisiana State University Law School Moot Court Board (1974)

Winner, Flory Trial Competition, LSU Law School (Fall 1973)

9. **Bar Associations:** List all bar associations or legal or judicial-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices which you have held in such groups.

American Association for Justice, formerly Association of Trial Lawyers of America (1975 – present)

Board of Governors (2011 – 2014, 1993 – 1996)

Vice Chairman Admiralty Section (2002 – 2003)

Chairman-Elect Admiralty Section (2003 – 2004)

Chairman Admiralty Section (2004 – 2005)

Immediate Past Chairman of the Admiralty Section (2005 – 2006)

American Bar Association (1974 – present)

Tort Trial and Insurance Practice Section (1974 – present)

American Board of Trial Advocates (ABOTA) (1992 – present)

Secretary-Treasurer, Louisiana Chapter (1996)

President-Elect, Louisiana Chapter (1997)

President, Louisiana Chapter (1998)

National Board of Directors (1999 – 2001)

Baton Rouge Bar Association (1974 – present)

Dean Henry George McMahon American Inn of Court No. 71 (1990 – present)

The Environmental Lawyers Association (2009 – present)

Federal Bar Association (2002 – present)

International Academy of Trial Lawyers (2006 – present)

Litigation Counsel of America (2009 – present)

Louisiana Association for Justice (formerly Louisiana Trial Lawyers Association) (1974 – present)

President (1992 – 1993)

President-Elect (1991 – 1992)

Board of Governors (1984 – present)

Chairman, Legislative Committee (1989 – 1993)

Section Chairman, Medical Malpractice Section (1997 – 1998)

Committee Chairman, Standing Ethics Committee (1999 – 2014)

Chairman, Maritime Section (2008 – 2009)

Louisiana Bar Foundation (1991 – present)

Louisiana State Bar Association (1974 – present)

Committee on Code of Lawyer and Judicial Conduct (2002 – 2003)

Rules of Professional Conduct Committee-New Rules Subcommittee (2004 – 2009)

Louisiana State Law Institute, Continuous Committee for the Revision for the Louisiana Code of Civil Procedure (1998 – present)

Louisiana State University Paul M. Hebert Law Center Board of Trustees (formerly The National Alumni Board (1999 – 2001, 2013 – present)

Louisiana State University Paul M. Hebert Law Center National Alumni Board
President (1999 – 2000)

Maritime Law Association (1996 – present)

The National Trial Lawyers Association (formerly The American Trial Lawyers Association) (2009 – present)

Supreme Court Committee to Study Issue of Financial Assistance to Clients (2001 – 2005)

10. **Bar and Court Admission:**

- a. List the date(s) you were admitted to the bar of any state and any lapses in membership. Please explain the reason for any lapse in membership.

Louisiana, 1974

Texas, 1995

Colorado, 1996

There have been no lapses in membership.

- b. List all courts in which you have been admitted to practice, including dates of admission and any lapses in membership. Please explain the reason for any lapse in membership. Give the same information for administrative bodies that require special admission to practice.

Supreme Court of the United States, 1981

United States Court of Appeals for the Fifth Circuit, 1981

United States Court of Appeals for the Sixth Circuit, 1985

United States Court of Appeals for the Eleventh Circuit, 1981

United States Court of Appeals for the Ninth Circuit, 1979

United States District Court for the Western District of Louisiana, 1985

United States District Court for the Eastern District of Louisiana, 1976

United States District Court for the Middle District of Louisiana, 1974

United States District Court for the Southern District of Texas, 1985

United States District Court for the Eastern District of Texas, 1984

I am no longer admitted before the United States Ninth Circuit Court of Appeals or United States District Court for the Eastern District of Texas. I have had no cases before these courts in many years and therefore have not renewed my membership. I have had no lapses in the other court memberships listed above.

11. Memberships:

- a. List all professional, business, fraternal, scholarly, civic, charitable, or other organizations, other than those listed in response to Questions 9 or 10 to which you belong, or to which you have belonged, since graduation from law school. Provide dates of membership or participation, and indicate any office you held. Include clubs, working groups, advisory or editorial boards, panels, committees, conferences, or publications.

Baton Rouge Mayor's Council on Environmental Quality (1984 – 1985)
Chairman

Citizens for a Clean Environment (1984 – 1992)
President (1984 – 1987)
Board of Directors (1984 – 1992)

Common Cause of Louisiana (1992 – 1994)
Governing Board, At Large Member

Louisiana Environmental Action Network (1988 – present)

Louisiana State University Law Center Chancellor's Council (1999 – present)

Louisiana State University Law Center, Judge Alvin B. Rubin Conference on Maritime Law, Chair of the Planning Committee (2006 – present)

Louisiana State University Law Center Litigation and Liability in the Work Place Seminar, Planning Committee (2011)

Louisiana State University Law Center, Medical Malpractice Seminar, Planning Committee (2010)

Louisiana State University Law Center, Tradition of Excellence Campaign, Campaign Steering Committee (2012)

Louisiana Trial Lawyers Association Hurricane Katrina/Rita Relief Committee (2005 – 2006)
Chairman

South Baton Rouge Legal Association (formerly Tuesday Afternoon Philanthropic Society) (1975 – present)

- b. The American Bar Association's Commentary to its Code of Judicial Conduct states that it is inappropriate for a judge to hold membership in any organization that invidiously discriminates on the basis of race, sex, or religion, or national origin. Indicate whether any of these organizations listed in response to 11a above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership requirements or the practical implementation of membership policies.

To my knowledge, I have never been a member of any organization or club, which, through its formal membership requirements or the practical implementation of its membership policies, currently discriminates or formerly discriminated on the basis of race, sex, religion or national origin.

12. Published Writings and Public Statements:

- a. List the titles, publishers, and dates of books, articles, reports, letters to the editor, editorial pieces, or other published material you have written or edited, including material published only on the Internet. Supply four (4) copies of all published material to the Committee.

LOUISIANA CIVIL TRIAL PROCEDURE (John W. deGravelles, Honorable Billie C. Woodard and David R. Frohn eds., West Group 1998-1999, 2008-2009, 2009-2010, 2010-2011, 2011-2012, 2012-2013, 2013-2014). Hard copy of 2013-2014 edition supplied.

Just When We Thought We Knew What a Vessel Was..., LOUISIANA ADVOCATES, at 8 (March 2013). Copy supplied.

Washington Supreme Court Decides Important Case on Maritime Punitive Damages, LOUISIANA ADVOCATES, (May 2012). Copy supplied.

The Deepwater Horizon Rig Disaster: Issues of Personal Injury and Death, 85 TUL. L. REV. 1075 (2011) (with J. Neale deGravelles). Copy supplied.

The British Petroleum Oil Spill, Punitive Damages, and the Oil Pollution Act, AAJ Admiralty Law Newsletter (Fall 2010). Copy supplied.

Supreme Court Charts Course on Maritime Punitive Damages, 22 U.S.F. MAR. L.J. 123 (May 2010). Copy supplied.

Louisiana Punitive Damages — A Conflict of Traditions, 70 LA. L. REV. 579 (February 2010) (with J. Neale deGravelles). Copy supplied.

Reminder: Non-Attorney Should Not Sign on Your Trust Account, LOUISIANA ADVOCATES, (August 2009). Copy supplied.

Atlantic Sounding Co., Inc. v. Townsend: Punitive Damages Available in Maintenance and Cure Case — But Might There Be More?, LOUISIANA ADVOCATES, (August 2009). Copy supplied.

Warning! The Legal Advice You Get May Come From India, LOUISIANA ADVOCATES, (February 2009). Copy supplied.

Help Needed; Writs Granted In Maintenance, Cure Punitive Damages Case, LOUISIANA ADVOCATES, (December 2008). Copy supplied.

Supreme Court Preserves But Limits Maritime Punitive Damages, LOUISIANA ADVOCATES, (August 2008). Copy supplied.

The Erosion of Seamen's Damage Rights under American Maritime Law, JUSTICE IN PARTICULAR, (Ant. N. Sakkoulas Publishers 2007). Copy supplied.

The Ebb and Flow of the Rights of American Seamen, HELLENIC REVIEW OF EUROPEAN LAW INTERNATIONAL EDITION 2006-2007. Copy supplied.

Committee Completes Work Proposed Rule Changes on Financial Assistance To Clients, LOUISIANA ADVOCATES, (January 2006). Copy supplied.

Liberia in SEAFARERS' RIGHTS (with Philip Banks, III) (Deirdre Fitzpatrick & Michael Anderson eds., Oxford University Press 2005). Copy supplied.

Notes from the Wheelhouse - the Supreme Court's "Vessel," ASSOCIATION OF TRIAL LAWYERS NEWSLETTER, (Spring 2005). Copy supplied.

Stewart v. Dutra Construction Co. - From the Seaman's Perspective, BENEDICT'S MARITIME BULLETIN, (Spring Issue 2005). Copy supplied.

LTLA Representative and Louisiana Supreme Court Committee on Financial Assistance to Clients Meet, LOUISIANA ADVOCATES, (May 2004). Copy supplied.

New Rules of Professional Conduct: Emphasis on Trial Lawyers' Issues and Concerns, LOUISIANA ADVOCATES, (March 2004). Copy supplied.

Uncertain Seas for Maritime Punitive Damages, TRIAL, (January 2004). Copy supplied.

Participating in Conduct Rules Hearings Important; Broussard's Comments on Some Proposed Rules Changes, LOUISIANA ADVOCATES, (August 2002). Copy supplied.

Advancing Money to Clients: the Edwins Rule Currently Prevails, LOUISIANA ADVOCATES, (August 2002). Copy supplied.

A Primer on Effective Appellate Oral Advocacy, AROUND THE BAR, (May 2002). Copy supplied.

Strategies for Avoiding and Overcoming a Negative Medical Review Panel, LOUISIANA ADVOCATES, (November 2000). Copy supplied.

Examining Rule 1.15(b) of the Rules of Professional Conduct, LOUISIANA ADVOCATES, (October 2000). Copy supplied.

The Lawyer Becomes a Judge (For A While), AROUND THE BAR, (March 2000). Copy supplied.

Louisiana Supreme Court Examines Seaman's Status, LOUISIANA ADVOCATES, (April 1999). Copy supplied.

Who is a Jones Act Seaman?, LEGAL INVESTIGATOR, (April 1999) (with Jimmie Murvin). I have been unable to obtain a copy of the article.

MEDICAL MALPRACTICE SECTION FORM BOOK (John W. deGravelles ed., Louisiana Trial Lawyers Association 1998). I have been unable to obtain a copy of this book.

Harbor Tug & Barge Co. v. Papai: Another Turn in the Labyrinth?, 10 U.S.F. MAR. L.J. 209 (Summer 1998). Copy supplied.

One Team Approach in a Plaintiff's Personal Injury Practice, AROUND THE BAR, (October 1996) (with Jimmie W. Murvin). Copy supplied.

Toxic Tort Litigation in LOUISIANA ENVIRONMENTAL HANDBOOK (1992) (with John R. Tharpe) (Roger A. Stetter and Joseph E. LeBlanc, Jr. eds., West Group 2000). Copy supplied.

Who Is A Jones Act Seaman? For the Maritime Investigator, LEGAL INVESTIGATOR, (August 1982). I have been unable to obtain a copy of this article.

The Dive, LOUISIANA RENAISSANCE, at 14 (1980). Copy supplied.

- b. Supply four (4) copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of on behalf of any bar association, committee, conference, or organization of which you were or are a member. If you do not have a copy of a report, memorandum or policy statement, give the name and address of the organization that issued it, the date of the document, and a summary of its subject matter.

In 2001, I was appointed by the Louisiana Supreme Court to serve on a committee to study the issue of financial assistance to clients. The final report of that committee, which was issued in 2005, is supplied.

I was involved in the leadership of the Louisiana Trial Lawyers' Association (LTLA) (now the Louisiana Association for Justice) from the late 1980s until the end of my presidency in 1993. During this time, LTLA issued policy statements from time to time. While I only recall preparing those statements listed below, I also would have been involved in the discussion of and, in some instances, voted on additional statements that likely would have addressed state legislation being considered at the time, such as no fault insurance, the broadening of statutory employer immunity, the repeal of strict liability, the repeal of the direct action statute, the appointment of judges, the right of appellate courts to review issues of fact, and the elimination of joint and several liability. I have listed the materials that I was able to identify after searching my records and consulting with LTLA:

Sometime in 1993, I circulated a proposed legislative package to the LTLA members of those bills that LTLA would support during the legislative session. I do not recall the specific bills that were in that package, although it may have included a proposed repeal of statutory employer immunity. I have been unable to obtain a copy.

Let's Fight the Image Problem, LOUISIANA ADVOCATES, (May/June 1993). Copy supplied.

Member Involvement Crucial for Fierce Legislative Battles, LOUISIANA ADVOCATES, (March/April 1993). Copy supplied.

Trial Lawyers Face Challenging Times, LOUISIANA ADVOCATES, (October/ November 1992). Copy supplied.

deGravelles Blasts Forbes Magazine Ads, LOUISIANA ADVOCATES, (August 1990). Copy of article discussing letter to editor is supplied; however, I have been unable to obtain a copy of the actual Forbes Magazine letter to the editor.

- c. Supply four (4) copies of any testimony, official statements or other communications relating, in whole or in part, to matters of public policy or legal interpretation, that you have issued or provided or that others presented on your behalf to public bodies or public officials.

I recall testifying on a few occasions before legislative committees of the Louisiana Legislature as a representative of the Louisiana Trial Lawyers' Association (LTLA) in approximately the late 1980s and/or early 1990s. I have carefully checked my own records—and LTLA has checked its records—but have not found any notes, transcripts, or recording of my testimony. My best recollection is that I likely testified against no-fault insurance and in support of the repeal of statutory employer tort immunity. I also may have testified on the repeal of strict liability, the repeal of the direct action statute, the appointment of judges, the right of appellate courts to review issues of fact, and the elimination of joint and several liability—but this is a list of general topics on which LTLA was engaged at the time; I have no specific recollection of actually testifying with respect to any of these areas.

- d. Supply four (4) copies, transcripts or recordings of all speeches or talks delivered by you, including commencement speeches, remarks, lectures, panel discussions, conferences, political speeches, and question-and-answer sessions. Include the date and place where they were delivered, and readily available press reports about the speech or talk. If you do not have a copy of the speech or a transcript or recording of your remarks, give the name and address of the group before whom the speech was given, the date of the speech, and a summary of its subject matter. If you did not speak from a prepared text, furnish a copy of any outline or notes from which you spoke.

February 12, 2014: Guest Speaker, “Advanced Torts Litigation Seminar,” Paul M. Hebert Law Center, Baton Rouge, LA. I discussed the technical and strategic aspects of jury selection and how to identify issues in a given case that should be explored in *voir dire*. I have no notes, transcript or recording. The address of the sponsoring organization is LSU Law School, One East Campus Drive, Baton Rouge, LA 70803.

February 4, 2014: Speaker, "BP and the Sinking of the Deepwater Horizon: Litigating and Resolving the World's Largest Environmental Disaster," Tulane University Law School Seminar Class on Civil Law, Tulane University Law School, New Orleans, LA. I used the same PowerPoint that was provided for the event on April 9, 2012.

December 13, 2013: Panelist, "Litigating in Class: the Current State of Class Action Law," Louisiana Judicial College, 2013 Torts Seminar, New Orleans, LA. I used the same paper that was provided for the other event on December 13, 2013.

December 13, 2013: Panelist, "Litigating In Class: The Current State of Class Action Law," Louisiana Association of Defense Counsel, 2013 Defense Lawyers' Seminar, New Orleans, LA. Paper on which presentation was based supplied.

December 10, 2013: Panelist, "Judges Are People, Too!," Motions Practice, Louisiana State Bar Association, New Orleans, LA. PowerPoint supplied.

November 22, 2013: Faculty, "Demonstration of Closing Argument" (as part of Mock Trial), American Board of Trial Advocates Masters in Trial Seminar, New Orleans, LA. PowerPoint supplied.

November 14, 2013: Speaker, "The Deepwater Horizon Rig Disaster Litigation: Litigating the World's Largest Environmental Disaster," Southern Law School Maritime Society, Southern University Law School, Baton Rouge, LA. I used the same PowerPoint that was provided for the event on April 9, 2012.

October 17, 2013: Speaker, "The Deepwater Horizon Rig Disaster Litigation: Litigating the World's Largest Environmental Disaster," Tulane University Law School, New Orleans, LA. I used the same PowerPoint that was provided for the event on April 9, 2012.

October 9, 2013: Speaker: "Effective Design and Use of Demonstratives/ Exhibits," AAJ's Trial Advocacy College: Essentials of Civil Litigation, Tulane University Law School, New Orleans, LA. Outline and notes supplied.

October 7, 2013: Speaker and Faculty, "Voir Dire: De-Selecting Jurors," AAJ's Trial Advocacy College: Essentials of Civil Litigation, Tulane University Law School, New Orleans, LA. Paper on which presentation was based and PowerPoint supplied.

August 23, 2013: Speaker, "Just When We Thought We Understood Vessel Status: Uncertainty After *Lozman v. Riviera Beach, Florida*," Louisiana Association for Justice, High Stakes on the High Seas, A Maritime Law Conference, New Orleans, LA. Paper on which presentation was based and PowerPoint supplied.

May 17, 2013: Speaker, "Capping Maritime Punitive Damages – Balancing Competing Goals," 11th Annual Alvin B. Rubin Maritime Personal Injury Seminar, Paul M. Hebert Law Center, Baton Rouge, LA. I used the same paper that was provided for the event on July 29, 2012.

November 14, 2012: Speaker, "Don't Miss the Deadlines: What You Need to Know About Presentment and Filing of Non-Settled BP/Deepwater Horizon Cases," Louisiana Association for Justice, Webinar. Paper on which presentation was based supplied.

September 28, 2012: Speaker, "Trying the Difficult Medical Malpractice Case – From the Plaintiff's Perspective," Louisiana State Bar Association; New Orleans, LA. Paper on which presentation was based supplied.

August 10, 2012: Speaker, "Maritime Punitive Damages: Is the Sky the Limit?," Louisiana Association for Justice, High Stakes on the High Seas – A Maritime Law Conference, New Orleans, LA. PowerPoint supplied.

July 29, 2012: Speaker, "Capping Maritime Punitive Damages – Balancing Fairness, Effectiveness and Predictability," American Association of Justice, Chicago, IL. Paper on which presentation was based supplied.

May 25, 2012: Speaker, "The Deepwater Horizon Rig Disaster: Litigating and Resolving the World's Largest Environmental Disaster," Second International Congress of Maritime and Admiralty Law, Tulane University Law School, Istanbul, Turkey. I used the same PowerPoint that was provided for the event on April 9, 2012.

April 17, 2012: Speaker, "BP and the Sinking of the Deepwater Horizon: Litigating and Resolving the World's Largest Environmental Disaster," LSU Law School Seminar Class on BP/Deepwater Horizon Spill, Paul M. Hebert Law Center, Baton Rouge, LA. I used the same PowerPoint that was provided for the event on April 9, 2012.

April 9, 2012: Speaker, "BP and the Sinking of the Deepwater Horizon: Litigating and Resolving the World's Largest Environmental Disaster," Tulane University Law School Seminar Class on Civil Law, Tulane University Law School, New Orleans, LA. PowerPoint supplied.

March 8, 2012: Moderator, Tenth Annual Alvin B. Rubin Maritime Personal Injury Seminar, Paul M. Hebert Law Center, Baton Rouge, LA. I acted as the moderator and introduced the speakers. I have no notes, transcript or recording. The address of the sponsoring organization is Paul M. Hebert Law Center, One East Campus Drive, Baton Rouge, LA 70803.

September 23, 2011: Speaker, "Understanding Statutory Employer Defense," Litigation & Liability in the Workplace, Paul M. Hebert Law Center, Baton Rouge, LA. Paper on which presentation was based supplied.

August 12, 2011: Speaker, "Maritime Punitive Damages, The Cutting Edge," Louisiana Association for Justice, High Stakes on the High Seas, A Maritime Law Conference, New Orleans, LA. Paper on which presentation was based and PowerPoint supplied.

May 13, 2011: Speaker, "Personal Injury and Death Issues Arising Out of BP Spill," Ninth Annual Alvin B. Rubin Maritime Personal Injury Seminar, Paul M. Hebert Law Center, Baton Rouge, LA. Paper on which presentation was based previously supplied in response to 12a.

October 9, 2010: Speaker, "The Deepwater Horizon Rig Disaster: The Law of Personal Injury and Death," International Congress of Maritime and Admiralty Law, Tulane University Law School, Istanbul, Turkey. PowerPoint supplied.

August 13, 2010: Speaker, "Maritime Punitive Damages: Catch a Rising Star," 2010 High Stakes on the High Seas: A Maritime Law Conference, Louisiana Association for Justice, New Orleans, LA. I used the same paper that was provided for the event on June 2, 2010.

July 11, 2010: Speaker, "The British Petroleum Oil Spill, Punitive Damages, & the Oil Pollution Act," 2010 Annual Convention, American Association for Justice, Vancouver, Canada. I used the same paper that was provided for the event on June 2, 2010.

June 23, 2010: Speaker, "The British Petroleum Oil Spill, Punitive Damages, and the Oil Pollution Act," HarrisMartin's Oil Spill Litigation Conference, New Orleans, LA. I used the same paper that was provided for the event on June 2, 2010.

June 15, 2010: Speaker, "British Petroleum Oil Rig Disaster – Controlling The Legal Fallout," Tulane University Law School, Summer Session, Rhodes, Greece. I discussed the unfolding facts of the Deepwater Horizon blowout and oil spill, and the implications for the application of maritime law, as well as issues of how the litigation would be handled procedurally. I have no notes, transcript or recording. The address of the sponsoring organization is Tulane University Law School, 6329 Freret Street, New Orleans, LA 70118.

June 2, 2010: Speaker, "Punitive Damages and the Deepwater Horizon Disaster," Gulf Coast Oil Spill Litigation National Teleseminar, American Association for Justice Education, New Orleans, LA. Paper on which presentation was based supplied.

May 14, 2010: Panelist, “Dealing with the PCF: Making it a Win/Win for All Sides,” Paul M. Hebert Law Center, Baton Rouge, LA. The panel discussed strategies for successfully dealing with the Louisiana Patient’s Compensation Fund Board in a malpractice case. I have no notes, transcript or recording. The address of the sponsoring organization is Paul M. Hebert Law Center, One East Campus Drive, Baton Rouge, LA 70803.

March 5, 2010: Moderator, Eighth Annual Alvin B. Rubin Conference on Maritime Personal Injury, Paul M. Hebert Law Center, Baton Rouge, LA. I acted as the moderator and introduced the speakers. I have no notes, transcript or recording. The address of the sponsoring organization is Paul M. Hebert Law Center, One East Campus Drive, Baton Rouge, LA 70803.

December 11, 2009: Speaker, “Louisiana Punitive Damages: A Conflict of Traditions,” Louisiana Association for Justice, Last Chance Seminar, New Orleans, LA. Paper on which presentation was based previously supplied in response to 12a.

November 5-7, 2009: Speaker, “Practical Aspects of the Mediation Process,” The Mediation Process presented to Bar Association of Thessaloniki and Bar Association of Piraseus, Thessaloniki, Greece. Paper on which presentation was based supplied.

August 14, 2009: Moderator, “High Stakes on the High Seas Maritime Law Conference,” Windsor Court Hotel, New Orleans, Louisiana. I acted as moderator and introduced the speakers. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Association for Justice, 442 Europe Street, Baton Rouge, LA 70802.

April 17, 2009: Speaker, “Louisiana Punitive Damages – A Conflict of Traditions,” Symposium on Punitive Damages, Paul M. Hebert Law Center Baton Rouge, LA. Paper on which presentation was based previously supplied in response to 12a.

April 14, 2009: Lecturer, “An Overview of the Maritime Personal Injury Practice,” Louisiana State University Law School Seminar on Admiralty Law, Paul M. Hebert Law Center, Baton Rouge, LA. I discussed the practical side of a typical plaintiff’s maritime personal injury practice. I have no notes, transcript or recording. The address of the sponsoring organization is Paul M. Hebert Law Center, One East Campus Drive, Baton Rouge, LA 70803.

March 6, 2009: Moderator, Seventh Annual Judge Alvin B. Rubin Conference, LSU Maritime Personal Injury Conference, Paul M. Hebert Law Center, Baton Rouge, LA. I acted as the moderator and introduced the speakers. I have no notes, transcript or recording. The address of the sponsoring organization is Paul M. Hebert Law Center, One East Campus Drive, Baton Rouge, LA 70803.

March 4, 2009: Speaker, "*Exxon Shipping Co. v. Baker*: Maritime Punitive Damages and the Search for a Silver Lining," Internet Live Presentation by SeminarWeb Live, Louisiana Association for Justice, Baton Rouge, LA. I used the same paper that was provided for the event on September 26, 2008.

February 26, 2009: Participant in CLE Program: "Perspectives of the Insurance Defense Lawyer," Inns of Court, Baton Rouge, LA. I participated in a workshop environment in which a scene from a typical car accident was acted, and I played the role of the police officer. I have no notes, transcript or recording. The address of the sponsoring organization is American Inns of Court, 225 Reinekers Lane, Suite 770, Alexandria, VA 22314.

December 5, 2008: Introductory Speaker, Masters in Trial, American Board of Trial Advocates, New Orleans, LA. I assisted in introducing the participants. I have no notes, transcript or recording. The address of the sponsoring organization is American Board of Trial Advocates, 2001 Bryan Street, Suite 3000, Dallas, TX 75201.

November 14, 2008: Co-Presenter, "Losing the Battle But Winning the War: Strategies for Avoiding and Overcoming a Negative Medical Review Panel," Louisiana State Bar Association, New Orleans, LA. Paper on which presentation was based supplied.

September 26, 2008: Speaker, "Punitive Damages in Maritime Law – *In Re Exxon Valdez*," Louisiana Association For Justice, New Orleans, LA. Paper on which presentation was based supplied.

August 15, 2008: Speaker, "*Exxon Valdez* Decision," Louisiana Association for Justice, High Stakes on the High Seas, New Orleans, LA. I discussed the landmark case of *Exxon Shipping Co. v. Baker* and the implications for maritime punitive damages. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Association for Justice, 442 Europe Street, Baton Rouge, LA 70802.

May 27, 2008: Lecturer, "The Rights of Maritime Plaintiffs Under American Admiralty Law," American Maritime Law at the Russian Academy of Liberal Arts and Education, St. Petersburg, Russia. I gave an overview of the law related to personal injury and death, and rights and remedies of plaintiffs under the American maritime law, focusing on the rights of seamen. I have no notes, transcript or recording. The address of the sponsoring organization is Tulane University Law School, 6329 Freret Street, New Orleans, LA 70118.

March 7, 2008: Speaker, "*Norfolk Southern Railway v. Sorrell*," Sixth Annual Judge Alvin B. Rubin Conference, LSU Maritime Personal Injury Seminar. I discussed the United States Supreme Court decision of *Norfolk Southern Railway*

v. Sorrell, its holding and implications for the issue of proving causation in a Jones Act personal injury case. I have no notes, transcript or recording. The address of the sponsoring organization is Paul M. Hebert Law Center, One East Campus Drive, Baton Rouge, LA 70803.

October 29, 2007: Presenter, “Demonstrating Cross Examination of the Defendant,” Masters In Trial Seminar, American Board of Trial Advocates, New Orleans, LA. I cross-examined the defendant in a mock trial presentation of a personal injury case. I have no notes, transcript or recording. The address of the sponsoring organization is American Board of Trial Advocates, 2001 Bryan Street, Suite 3000, Dallas, TX 75201.

August 17, 2007: Speaker, “Post-*Stewart* Vessel Status in the Offshore and Inland Water Oil Patch,” High Stakes on the High Seas, A Maritime Law Conference, Louisiana Association for Justice, New Orleans, LA. Paper on which presentation was based supplied.

July 26, 2007: Participant in Debate, “*Jordan v. Shell Offshore* and Vessel Status of a Tension Leg Platform,” New Orleans Bar Association, New Orleans, LA. I debated the future of vessel status following the landmark decision of *Stewart v. Dutra* and specifically, as it pertained to various kinds of drilling and production craft. I have no notes, transcript or recording. The address of the sponsoring organization is New Orleans Bar Association, 650 Poydras Street, Suite 1505, New Orleans, LA 70130.

May 24, 2007: Speaker, “Overview of American Maritime Tort Law,” International Seminar on Maritime Law and the Law of the Sea, Odessa, Ukraine. I provided an overview of the rights and remedies of personal injury and wrongful death plaintiffs under American maritime law. I have no notes, transcript or recording. The address of the sponsoring organization is Aegean Institute of the Law of the Sea and Maritime Law, Ippoton Str., Rhodes, Dodecanese, Greece 85100.

April 2007: Speaker, “Tips and Traps in Prosecuting and Defending Tort Claims,” LSU Law School Advanced Torts Seminar, Paul M. Hebert Law Center, Baton Rouge, LA. I discussed practical strategies in handling tort claims from the view of the plaintiff. I have no notes, transcript or recording. The address of the sponsoring organization is Paul M. Hebert Law Center, One East Campus Drive, Baton Rouge, LA 70803.

March 30, 2007: Speaker, LSU Paul M. Hebert Law Center Chancellor's Council and Alumni Board of Trustees, Baton Rouge, LA. I made remarks commemorating the chancellorship of Chancellor John J. Costonis. I have no notes, transcript or recording. The address of the sponsoring organization is Paul M. Hebert Law Center, One East Campus Drive, Baton Rouge, LA 70803.

March 23, 2007: Speaker, "Ethical Considerations in the Context of a Maritime Litigation Practice," Louisiana Tort Law: Where We Are and Where We Are Going," Center for Continuing Professional Development: Ethics, Paul M. Hebert Law Center, Baton Rouge, LA. Paper on which presentation was based supplied.

March 2, 2007: Moderator, Fifth Judge Alvin B. Rubin Conference on Maritime Personal Injury Law, Paul M. Hebert Law Center, Baton Rouge, LA. I acted as the moderator and introduced the speakers. I have no notes, transcript or recording. The address of the sponsoring organization is Paul M. Hebert Law Center, One East Campus Drive, Baton Rouge, LA 70803.

December 14, 2006: Speaker, "Recent Developments in Maritime Law," Review of Louisiana Law, Louisiana Association of Justice, New Orleans, LA. Paper on which presentation was based supplied.

September 28, 2006: Speaker, "Seaman Status After *Stewart v. Dutra*," SeminarWeb Live, Louisiana Trial Lawyers Association, Baton Rouge, LA. PowerPoint supplied.

September 8, 2006: Speaker, "Complete Detailed Explanation of Post-*Chittenden* and Exact Definition of Expenses of Litigation (Ethics)," 2006 High Stakes on The High Seas: A Maritime Law Conference, Louisiana Trial Lawyers Association, New Orleans, LA. Paper on which presentation was based and PowerPoint supplied.

September 1, 2006: Speaker, "Uncertain Seas For Maritime Punitive Damages (Revisited)," 13th Annual Admiralty Symposium, Louisiana State Bar Association, New Orleans, LA. I used the same paper that was provided for the event on September 2, 2005.

May 26, 2006: Speaker, "Introduction to Labor Relations and Accidents in the American Maritime Law," International Conference on Greek and American Maritime Law, Center of International and European Economic Law and Aristotle University of Thessaloniki Law School, Thessaloniki, Greece. I gave an overview of the law related to personal injury and death, and the rights and remedies of plaintiffs under the American maritime law, focusing on the rights of seamen. I have no notes, transcript or recording. The address of the sponsoring organization is Centre of International and European Economic Law, Post Office Box 14, 551 02, Thessaloniki, Greece.

April 21, 2006: Speaker, "Motion Practice – the Do's and the Don't's," Civil Litigation in the 21st Century: Tips, Traps & Trends, Paul M. Hebert Law Center, Baton Rouge, LA. Paper on which presentation was based supplied.

February 17, 2006: Moderator, the Fourth Judge Alvin B. Rubin Conference on

Maritime Personal Injury Law, Paul M. Hebert Law Center, Baton Rouge, LA. I acted as the moderator and introduced the speakers. I have no notes, transcript or recording. The address of the sponsoring organization is Paul M. Hebert Law Center, One East Campus Drive, Baton Rouge, LA 70803.

November 15, 2005: Speaker, "From Law School to the School of Hard Knocks: Practicing in the Real World," Paul M. Hebert Law Center, Baton Rouge, LA. I provided a description of a personal injury practice and answered questions regarding how to begin and maintain a personal injury practice. I have no notes, transcript or recording. The address of the sponsoring organization is Paul M. Hebert Law Center, One East Campus Drive, Baton Rouge, LA 70803.

September 2, 2005: Speaker, "Uncertain Seas for Maritime Punitive Damages (Revisited)," Louisiana State Bar Association Maritime Seminar, Baton Rouge, LA. Paper on which presentation was based supplied.

August 12, 2005: Speaker, "Update on Maritime Damages: What Hath *Miles* Wrought?," Louisiana Trial Lawyers Association High Stakes on the High Seas – Maritime Conference, New Orleans, LA. Paper on which presentation was based supplied.

March 4, 2005: Speaker, "The Ethics of Getting and Hanging on to the Client – From the Plaintiff," the Third Judge Alvin B. Rubin Conference on Maritime Personal Injury Law, Paul M. Hebert Law Center, Baton Rouge, LA. Paper on which presentation was based supplied.

February 18, 2005: Speaker, "Dealing with the Patient's Compensation Fund: Getting to the End Zone Without Fumbling the Ball," Louisiana Trial Lawyers Association 2005 Medical Malpractice Section Workshop, Baton Rouge, LA. I used the same paper that was provided for the event on November 12, 2004.

November 12, 2004: Speaker, "Dealing with the Patient's Compensation Fund: Getting to the End Zone Without Fumbling the Ball," Louisiana State Bar Association Seminar on Medical Malpractice, New Orleans, LA. Paper on which presentation was based supplied.

August 13, 2004: Speaker, "Maritime Practice in the Balance: The Supreme Court Determines the Test for Vessel Status," Louisiana Trial Lawyers Association High Stakes on the High Seas – A Maritime Law Conference, New Orleans, LA. Paper on which presentation was based supplied.

July 5, 2004: Moderator, "Maritime Law in a Changing World," 2004 American Trial Lawyers Association Annual Convention, Boston, MA. I made introductory remarks and introduced the speakers at the program. I have no notes, transcript or recording. The address of the sponsoring organization is American Trial Lawyers Association, 777 Sixth Street, NW, Suite 200, Washington, DC 20001.

May 25, 2004: Speaker, "Perspectives in Protecting the American Mariner," Odessa Maritime University, Odessa Ukraine. Outline supplied.

March 26, 2004: Speaker, "Focus Groups 'On the Cheap': an Inexpensive Way to Utilize a Valuable Pretrial Tool," Solo & Small Firm Law Practice Seminar, Louisiana Trial Lawyers Association, Baton Rouge, LA. Paper on which presentation was based supplied.

December 11, 2003: Speaker, "Recent Developments in Maritime Law," Louisiana Trial Lawyers Association Last Chance Seminar, New Orleans, LA. Paper on which presentation was based supplied.

November 14, 2003: Speaker, "Uncertain Seas for Maritime Punitive Damages," the Second Judge Alvin B. Rubin Conference on Maritime Personal Injury Law, Paul M. Hebert Law Center, Baton Rouge, LA. Paper on which presentation was based supplied.

August 15, 2003: Speaker, "Seaman Status: Emerging Issues and Recent Developments," Louisiana Trial Louisiana Association 2003 High Stakes on the High Seas – A Maritime Law Conference, New Orleans, LA. Paper on which presentation was based supplied.

August 15, 2003: Moderator, Panel Discussion on Recent Jurisprudence, Louisiana Trial Lawyers Association 2003 Maritime Law Conference, New Orleans, LA. I made introductory remarks, introduced the speakers and moderated the discussion on recent cases affecting maritime personal injury law. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Trial Lawyers Association, 442 Europe Street, Baton Rouge, LA 70802.

April 4, 2003: Speaker, "*Voir Dire*," Baton Rouge Bar Association, Bench Bar Conference, Point Clear, AL. Paper on which presentation was based supplied.

March 12, 2003: Debater, Merit Selection of Judges, Inns of Court-Florida Parishes, Hammond, LA. I participated in a debate regarding the advantages and disadvantages of the merit selection process, rather than judicial elections. I have no notes, transcript or recording. The address of the sponsoring organization is American Inns of Court, 225 Reinekers Lane, Suite 770, Alexandria, VA 22314.

February 14, 2003: Speaker, "The Shortest Distance Between Two Points (A Suggestion for Straightening the Line and Shortening the Distance)," Louisiana Trial Lawyers Association Auto Torts 2003 Seminar, Baton Rouge, LA. I used the same paper that was provided for the event on August 9, 2002.

December 13, 2002: Speaker, "Ethics: Upcoming Proposed Changes to the

Louisiana Code of Professional Responsibility,” Louisiana Trial Lawyers Association Last Chance Seminar, New Orleans, LA. Along with my co-speaker, I reviewed the adopted, but not yet instituted, changes to the Louisiana Code of Professional Conduct. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Trial Lawyers Association, 442 Europe Street, Baton Rouge, LA 70802.

December 13, 2002: Speaker, “Recent Developments in Maritime Law,” Louisiana Trial Lawyers Association Last Chance Seminar, New Orleans, LA. Along with my co-speaker, I reviewed recent cases affecting maritime law and, especially, maritime personal injury law. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Trial Lawyers Association, 442 Europe Street, Baton Rouge, LA 70802.

November 15, 2002: Speaker, “Processing Maritime Claims: Practical Suggestions for Getting to the End Zone Without Fumbling the Ball,” First Judge Alvin B. Rubin Conference on Maritime Personal Injury Law, Paul M. Hebert Law Center, Baton Rouge, LA (Thomas G. Galligan, Jr.). Paper on which presentation was based supplied.

November 13, 2002: Speaker, “Possible Changes to the Louisiana Rules of Professional Conduct,” Louisiana Trial Lawyers Association Luncheon, Baton Rouge, LA. I discussed the adopted, but not yet instituted, changes to the Louisiana Code of Professional Conduct. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Trial Lawyers Association, 442 Europe Street, Baton Rouge, LA 70802.

October 17, 2002: Panelist, “Computer Generated Visual Evidence: Opportunities and Pitfalls,” Evidence and Cyberspace, 2002 Tulane University Law School Maritime Seminar, New Orleans, LA. Paper on which presentation was based supplied.

September 20, 2002: Speaker, “Toxic Mold and Mildew Litigation – What’s All the Fuss About?,” Louisiana Trial Lawyers Association Annual Convention Seminar, New Orleans, LA. Paper on which presentation was based supplied.

August 16, 2002: Speaker, “Seamen's Remedies: Is The Luster Fading? Is The Thrill Gone?,” Louisiana Trial Lawyers Association High Stakes on the High Seas Maritime Seminar, New Orleans, LA. Paper on which presentation was based supplied.

August 9, 2002: Speaker, “The Shortest Distance Between Two Points (A Suggestion for Straightening The Line And Shortening The Distance),” Louisiana Association of Defense Counsel Attorney/Client Seminar, Negotiations and Settlements, New Orleans, LA. Paper on which presentation was based supplied.

July 14, 2001: Speaker, "Seaman Status and the Evolving Requirement of 'Identifiable Fleet,'" 2001 American Trial Lawyers Association Convention, Montreal, Canada. Paper on which presentation was based supplied.

April 6, 2001: Speaker, "The Ethics of Funding Litigation: *Edwins, Chittenden* and The Like," Money 201 for Lawyers Liens, Loans & Liability, Louisiana Trial Lawyers Association, Baton Rouge, LA. Paper on which presentation was based supplied.

December 13, 2000: Speaker, "The Ethics of Trial Practice," Last Chance Seminar, Louisiana Trial Lawyers Association, New Orleans, LA. Paper on which presentation was based supplied.

October 13, 2000: Speaker, "The Ethics of Funding Litigation: *Edwins, Chittenden* and The Like," Money 101 for Lawyers Liens, Loans & Liability, Louisiana Trial Lawyers Association Seminar, New Orleans, LA. Paper on which presentation was based supplied.

September 8, 2000: Speaker, "Seaman Status: Emerging Issues and Recent Developments," Eighth Annual Maritime Symposium, Louisiana State Bar Association, New Orleans, LA. Paper on which presentation was based supplied.

April 14, 2000: Speaker, "Strategies for avoiding and Overcoming a Negative Medical Review Panel," 2000 Start to Finish, Louisiana Trial Lawyers Association Seminar, New Orleans, LA. I used the same paper that was provided for the event on December 17, 1999.

December 17, 1999: Speaker, "Strategies at Trial for Dealing With a Negative Medical Review Panel Opinion," Last Chance, Louisiana Trial Lawyers Association Seminar, New Orleans, LA. Paper on which presentation based supplied.

November 19, 1999: Participant, Direct Examination of Police Officer, Trial Demonstration in a Truck/Pedestrian Collision Case from Opening Statements to Jury Deliberations, American Board of Trial Advocates Masters in Trial Seminar, New Orleans, LA. In a seminar sponsored, mock trial demonstration, I conducted the direct examination of a police officer in a personal injury case. I have no notes, transcript or recording. The address of the sponsoring organization is American Board of Trial Advocates, 2001 Bryan Street, Suite 3000, Dallas, TX 75201.

September 24, 1999: Speaker, "Seaman Status: Emerging Issues," Fall 1999 Maritime Law Program, Tulane University Law School, New Orleans, LA. I discussed recent developments in the law of seaman status and implications of those developments for future cases. I have no notes, transcript or recording. The

address of the sponsoring organization is Tulane University Law School, 6329 Freret Street, New Orleans, LA 70118.

July 19, 1999: Speaker, "The Paralegal's Role in Initial Pleadings," 1999 Association of Trial Lawyers of America Convention and Seminar, Association of Trial lawyers of America, San Francisco, CA. Paper on which presentation was based supplied.

March 12, 1999: Speaker, "*Harbor Tug & Barge Company v. Papai*, Another Turn in the Labyrinth?," Louisiana Trial Lawyers Association 1999 Admiralty Law Seminar, Louisiana Trial Lawyers Association, Point Clear, AL. Paper on which presentation was based previously supplied in response to 12a.

December 1998: Speaker, "Justice by the People, An Introduction to Interactive Learning About Our Jury system," Acadiana Teachers, Lafayette, LA. I discussed instituting an interactive teaching program introducing students to the law and practice of jury trials. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Chapter of the Board of Trial Advocates through American Board of Trial Advocates, 2001 Bryan Street, Suite 3000, Dallas, TX 75201.

October 30, 1998: Speaker, "Opening Statement, The Big Picture of Your Case," Louisiana Trial Lawyers Association Seminar, New Orleans, LA. I discussed the importance of the opening statement in the trial of a personal injury case and provided tips on maximizing the effectiveness of the opening statement. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Trial Lawyers Association, 442 Europe Street, Baton Rouge, LA 70802.

October 16, 1998: Moderator, "The Big Bucks Disaster: A Case Study of Maritime Personal Injury Claims," LSU Maritime Personal Injury Seminar, Paul M. Hebert Law Center, Baton Rouge, LA. I provided introductory remarks, introduced the participants and led a discussion regarding the various issues arising from a hypothetical mass disaster case. I have no notes, transcript or recording. The address of the sponsoring organization is Paul M. Hebert Law Center, One East Campus Drive, Baton Rouge, LA 70803.

October 9, 1998: Participant in Mock Trial, "Direct Examination of Plaintiff," Masters in Trial, American Board of Trial Advocates, New Orleans, LA. Paper on which presentation was based supplied.

October 7, 1998: Speaker, LSU Law School Course on Legal Negotiations and Professionalism, Paul M. Hebert Law Center, Baton Rouge, LA. I discussed with students practical advice as it pertains to negotiating a personal injury on behalf of a plaintiff. I have no notes, transcript or recording. The address of the sponsoring

organization is Paul M. Hebert Law Center, One East Campus Drive, Baton Rouge, LA 70803.

September 11, 1998: Speaker, "*Harbor Tug and Barge Company v. Papai*; Another Turn in the Labyrinth?," Sixth Annual Admiralty Symposium Seminar, Louisiana State Bar Association, New Orleans, LA. Paper on which presentation was based previously supplied in response to 12a.

May 1, 1998: Participant, Opening Statement for Plaintiff in Mock Trial, Masters in Trial, American Board of Trial Advocates, New Orleans, LA. In a mock trial demonstration of a jury trial, I gave the opening statement for the plaintiff. I have no notes, transcript or recording. The address of the sponsoring organization is American Board of Trial Advocates, 2001 Bryan Street, Suite 3000, Dallas, TX 75201.

March 6, 1998: Moderator, 1998 Medical Malpractice Section Workshop, Louisiana Trial Lawyers of America, Baton Rouge, LA. I acted as the moderator and introduced the speakers. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Trial Lawyers Association, 442 Europe Street, Baton Rouge, LA 70802.

January 22, 1998: Panelist, Longshore and Harborworkers' Compensation Act, Tulane University Law School, New Orleans, LA. Along with the other panelists, I discussed recent developments in the area of Longshore and Harborworkers' Compensation Act. I have no notes, transcript or recording. The address of the sponsoring organization is Tulane University Law School, 6329 Freret Street, New Orleans, LA 70118.

December 30, 1997: Speaker, "Recent Developments in Maritime Law," Louisiana Trial Lawyers Association Seminar, Baton Rouge, LA. I discussed recent cases involving maritime personal injury law. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Trial Lawyers Association, 442 Europe Street, Baton Rouge, LA 70802.

November 19, 1997: Speaker, "Maritime Personal Injury and Riverboat Gaming," Maritime Law Association Seminar, Loyola University New Orleans College of Law, New Orleans, LA. I discussed issues of maritime law as they pertained to the ownership and operation of a riverboat casino. I have no notes, transcript or recording. The address of the sponsoring organization is Loyola University New Orleans College of Law, 7214 St. Charles Avenue, Box 901, New Orleans, LA 70118.

October 17, 1997: Speaker, "Showing Bias in Medical Expert Witness Testimony," Louisiana State Bar Association Seminar, New Orleans, LA. I discussed techniques for cross-examining the defendant and defendant's expert in a medical malpractice case, focusing on techniques in proving bias. I have no

notes, transcript or recording. The address of the sponsoring organization is Louisiana State Bar Association, 601 St. Charles Avenue, New Orleans, LA 70130.

September 20, 1997: Speaker, "Preparing Clients/Witnesses and Expert Witnesses to Testify," Louisiana State Paralegal Association Seminar, Baton Rouge, LA. I discussed ways in which to prepare witnesses to give truthful and effective testimony in discovery depositions and at trial. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana State Paralegal Association, Post Office Box 51690, Lafayette, LA 70505.

December 12, 1996: Speaker, "Updates in Maritime Personal Injury," Last Chance Seminar, Louisiana Trial Lawyers Association Seminar, New Orleans, LA. I discussed recent cases in maritime personal injury law. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Trial Lawyers Association, 442 Europe Street, Baton Rouge, LA 70802.

October 18, 1996: Speaker, "Processing Seafarers' Claims, Problems, Possibilities and Promises," Seminar on Maritime Personal Injury, Paul M. Hebert Law Center, Baton Rouge, LA. Along with Professor Galligan, I discussed both legal and practical issues in the handling of a seaman's personal injury case. I have no notes, transcript or recording. The address of the sponsoring organization is Paul M. Hebert Law Center, One East Campus Drive, Baton Rouge, LA 70803.

April 19, 1996: Faculty, "Trial by Fire," Civil Trial Short Course, Louisiana Trial Lawyers Association, New Orleans, LA. Along with other faculty members, I discussed the proper way to handle various aspects of a typical personal injury trial. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Trial Lawyers Association, 442 Europe Street, Baton Rouge, LA 70802.

January 26, 1996: Speaker, "The Paralegal's Role in Auto Accident Cases," Professional Education Systems, Baton Rouge, LA. I discussed the team approach in handling an automobile accident case and, specifically, the role of a paralegal in assisting the attorney in the handling of such a case. I have no notes, transcript or recording. The sponsoring organization was Professional Education Systems and was in Baton Rouge, LA, but the company is now defunct.

October 13, 1995: Faculty, Masters in Trial Seminar, American Board of Trial Advocates, New Orleans, LA. In a mock trial demonstration of a jury trial, I believe I gave the opening statement for the plaintiff. I have no notes, transcript or recording. The address of the sponsoring organization is American Board of Trial Advocates, 2001 Bryan Street, Suite 3000, Dallas, TX 75201.

August 14-16, 1995: Faculty member, National Institute of Trial Advocacy Program, Paul M. Hebert Law Center, Baton Rouge, LA. In a mock trial

demonstration of a jury trial, I believe I gave the opening statement for the plaintiff. I have no notes, transcript or recording. The address of the sponsoring organization is National Institute of Trial Advocacy, 1685 38th Street, Suite 200, Boulder, CO 80301.

January 10, 1995: Speaker, "Premises Liability," Baton Rouge Bar Association Seminar, Baton Rouge, LA. I discussed the law of premises liability in Louisiana and gave practical advice in the handling of such a case. I have no notes, transcript or recording. The address of the sponsoring organization is Baton Rouge Bar Association, 544 Main Street, Baton Rouge, LA 70802.

October 21, 1994: Panelist, Ethics Panel Discussion, Louisiana State University Paralegal Studies Program Seminar, Louisiana State University, Baton Rouge, LA. The panel discussed ethical issues that can arise from the use of paralegals in handling personal injury cases. I have no notes, transcript or recording. The address of the sponsoring organization is LSU Continuing Education, 1225 Pleasant Hall, Baton Rouge, LA 70803.

August 27, 1994: Speaker, "Premises Liability," Louisiana Private Investigators Association Seminar, New Orleans, LA. I discussed the law of premises liability in Louisiana for an audience of legal investigators. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Private Investigators Association, Post Office Box 53889, Lafayette, LA 70505.

August 17, 1994: Faculty, Demonstration of Closing Argument, Advanced Trial Practice Seminar, Paul M. Hebert Law Center, Baton Rouge, LA. I gave a demonstration of a closing argument in a mock trial setting. I have no notes, transcript or recording. The address of the sponsoring organization is Paul M. Hebert Law Center, One East Campus Drive, Baton Rouge, LA 70803.

July 27, 1994: Speaker, "Premises Liability," National Business Institute Seminar, Baton Rouge, LA. I discussed the law of premises liability in Louisiana and gave practical advice in handling such a case. I have no notes, transcript or recording. The address of the sponsoring organization is National Business Institute, 1218 McCann Drive, Altoona, WI 54720.

July 11, 1994: Speaker, "Preparing for Trial and the Trial Notebook," 17th Post-Graduate Summer School for Lawyers, Paul M. Hebert Law Center, Baton Rouge, LA. I discussed pretrial preparation in a personal injury case and the use of a trial notebook during preparation and trial. I have no notes, transcript or recording. The address of the sponsoring organization is Paul M. Hebert Law Center, One East Campus Drive, Baton Rouge, LA 70803.

March 8, 1994: Speaker, "Preparing for Trial," Louisiana State University Law School Advanced Tort Litigation Course, Paul M. Hebert Law Center, Baton Rouge, LA. I discussed the importance of adequate preparation for trial and

techniques for such preparation. I have no notes, transcript or recording. The address of the sponsoring organization is Paul M. Hebert Law Center, One East Campus Drive, Baton Rouge, LA 70803.

April 3, 1993: Speaker, "Proposed Amendments to the Federal Rules of Civil Procedure and Federal Rules of Evidence," Baton Rouge Bar Association Bench Bar Conference, Orange Beach, LA. I discussed proposed changes in the Federal Rules of Civil Procedure and Federal Rules of Evidence. I have no notes, transcript or recording. The address of the sponsoring organization is Baton Rouge Bar Association, 544 Main Street, Baton Rouge, LA 70802.

March 18, 1993: Speaker, "Ethics of Trial Practice," Trial By Fire, Louisiana Trial Lawyers Association Seminar, New Orleans, LA. I discussed ethical issues rising from a typical plaintiff's personal injury practice and ensuring compliance with ethical rules. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Trial Lawyers Association, 442 Europe Street, Baton Rouge, LA 70802.

February 5, 1993: Presenter, Bring Your Own Files Medical Malpractice Workshop, Louisiana Trial Lawyers Association, New Orleans, LA. I gave practical advice to participants in the program regarding how to handle pending medical malpractice cases. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Trial Lawyers Association, 442 Europe Street, Baton Rouge, LA 70802.

August 11, 1992: Speaker, "Medical Products Liability," Louisiana Trial Lawyers Association 1992 Post-Legislative Retreat, Jackson Hole, WY. I discussed the law of products liability under Louisiana law, focusing on medical products liability. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Trial Lawyers Association, 442 Europe Street, Baton Rouge, LA 70802.

May 4-7, 1992: Faculty, Trial Advocacy Training Program, Paul M. Hebert Law Center, Baton Rouge, LA. Along with other faculty members, I provided practical advice in handling various aspects of trial to students in a workshop environment. I have no notes, transcript or recording. The address of the sponsoring organization is Paul M. Hebert Law Center, One East Campus Drive, Baton Rouge, LA 70803.

March 20, 1992: Speaker. "Opening Statements," Trial By Fire, Louisiana Trial Lawyers Association Seminar, Metairie, LA. I discussed the importance of the opening statement in the trial of a personal injury case and provided tips on maximizing the effectiveness of the opening statement. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Trial Lawyers Association, 442 Europe Street, Baton Rouge, LA 70802.

January 30, 1992: Speaker, "Use of Mock Trials in Preparation for Trial," Pre-trial Preparation, Dean Henry George McMahon American Inn of Court, Baton Rouge, LA. I discussed the importance of mock trials and focus groups in preparing for trial and various techniques in performing these mock trials and focus groups. I have no notes, transcript or recording. The address of the sponsoring organization is American Inns of Court, 225 Reinekers Lane, Suite 770, Alexandria, VA 22314.

December 27, 1991: Speaker, "Use of Mock Trials in Preparation for Trial," Last Chance, Louisiana Trial Lawyers Association, Baton Rouge, LA. I discussed the importance of mock trials and focus groups in preparing for trial and various techniques in performing these mock trials and focus groups. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Trial Lawyers Association, 442 Europe Street, Baton Rouge, LA 70802.

December 6, 1991: Speaker. "Use of Mock Trials in Preparation for Trial," Innovative Trial Techniques, Louisiana Trial Lawyers Association, Houston, TX. I discussed the importance of mock trials and focus groups in preparing for trial and various techniques in performing these mock trials and focus groups. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Trial Lawyers Association, 442 Europe Street, Baton Rouge, LA 70802.

September 13-14, 1991: Speaker, "The Use of a Paralegal in a Civil Trial Practice - One Approach," Legal Education Annual Meeting Seminar, Louisiana State Paralegal Association, Baton Rouge, LA. I discussed the importance of a team approach in handling personal injury cases and, specifically, the role of a paralegal. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana State Paralegal Association, Post Office Box 51690, Lafayette, LA 70505.

November 16, 1990: Speaker, "Civil Jury Practice, the Art of Demanding, Selecting and Persuading the Jury," Closing Argument-Plaintiff and Defendant Continuing Legal Education Seminar, Paul M. Hebert Law Center, Baton Rouge, LA. I provided an overview of effective advocacy from pretrial preparation to closing argument. I have no notes, transcript or recording. The address of the sponsoring organization is Paul M. Hebert Law Center, One East Campus Drive, Baton Rouge, LA 70803.

October 19, 1990: Speaker, "Civil Practice and Procedure," Updates in Jurisprudence and Legislation, Louisiana Trial Lawyers Association, New Orleans, LA. I discussed recent jurisprudential and legislative developments in civil procedure as it pertained to trial practice. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Trial Lawyers Association, 442 Europe Street, Baton Rouge, LA 70802.

April 7, 1989: Faculty, "Trial by Fire," Civil Trial Short Course, Louisiana Trial Lawyers Association, New Orleans, LA. Along with other faculty members, I discussed the proper way to handle various aspects of a typical personal injury trial. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Trial Lawyers Association, 442 Europe Street, Baton Rouge, LA 70802.

November 11, 1988: Speaker, "Handling a Case With Complex Document Production," Your Case from Start to Finish, Louisiana Trial Lawyers Association, Baton Rouge, LA. I discussed issues arising in cases involving complex document production and provided practical advice in handling such cases. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Trial Lawyers Association, 442 Europe Street, Baton Rouge, LA 70802.

October 28-29, 1988: Speaker, "Recovering Damages for Psychological Injuries," Proof of Damages Seminar, Louisiana Trial Lawyers Association and Mississippi Trial Lawyers Association, Baton Rouge, LA. I discussed techniques for handling brain injury cases. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Trial Lawyers Association, 442 Europe Street, Baton Rouge, LA 70802.

September 23, 1988: Speaker, "Update on Joint and Several Liability," Recent Developments in the Law, Louisiana Trial Lawyers Association, Monroe, LA. I discussed recent jurisprudential and legislative developments in the area of joint and several liability. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Trial Lawyers Association, 442 Europe Street, Baton Rouge, LA 70802.

June 11, 1988: Speaker, "Discovery Strategies and Techniques," Louisiana State University Paralegal Studies Program Seminar, Louisiana State University, Baton Rouge, LA. I discussed ways in which to maximize effective discovery in civil litigation. I have no notes, transcript or recording. The address of the sponsoring organization is LSU Continuing Education, 1225 Pleasant Hall, Baton Rouge, LA 70803.

June 11, 1988: Speaker, "The Law and Civic Activism," Louisiana State University Short Courses, Baton Rouge, LA. I discussed the importance of the attorney's involvement in community involvement and outreach. I have no notes, transcript or recording. The address of the sponsoring organization is LSU Continuing Education, 1225 Pleasant Hall, Baton Rouge, LA 70803.

February 2, 1988: Speaker, "Settlement Strategies," Baton Rouge Bar Association Seminar, Baton Rouge, LA. I discussed techniques for successful negotiations in a personal injury case. I have no notes, transcript or recording. The

address of the sponsoring organization is Baton Rouge Bar Association, 544 Main Street, Baton Rouge, LA 70802.

February 28, 1986: Speaker, "Maritime Law," Annual Meeting Seminar, National Association of Legal Assistants, St. Louis, MO. I provided a broad overview of American maritime law. I have no notes, transcript or recording. The address of the sponsoring organization is National Association of Legal Assistants, 1516 South Boston, Suite 200, Tulsa, OK 74119.

November 21, 1985: Speaker, "Use of Paralegals in Plaintiff's Litigation Firm," Baton Rouge Paralegal Association Seminar, Baton Rouge, LA. I discussed the importance of a team approach in handling personal injury cases and, specifically, the role of a paralegal. I have no notes, transcript or recording. The address of the sponsoring organization is Baton Rouge Paralegal Association, Post Office Box 306, Baton Rouge, LA 70821.

November 8, 1985: Panel member, Personal Injury Case Settlement Evaluation, Tangipahoa Parish Bar Association, Hammond, LA. I discussed techniques for accurately and effectively predicting the settlement value of a contested personal injury case. I have no notes, transcript or recording. The sponsoring organization is Tangipahoa Parish Bar Association, but it appears this association is now defunct.

October 24, 1985: Speaker, "Closing Argument," Louisiana Trial Lawyers Association, New Orleans, LA. I discussed the importance of the closing argument in the trial of a personal injury case and provided tips on maximizing the effectiveness of the closing argument. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Trial Lawyers Association, 442 Europe Street, Baton Rouge, LA 70802.

January 19, 1985: Moderator, "The Courtroom," Specialized Litigation Techniques, Louisiana State Bar Association, New Orleans, LA. I acted as the moderator and introduced the speakers. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana State Bar Association, 601 St. Charles Avenue, New Orleans, LA 70130.

December 8, 1984: Speaker, "Recent Developments in the Law: Industrial Products Litigation," Products Liability, Louisiana Trial Lawyers Association, Dallas, TX. I discussed the law of products liability under Louisiana law, focusing on industrial products liability. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana State Bar Association, 601 St. Charles Avenue, New Orleans, LA 70130.

October 9, 1984: Speaker, "The Plaintiff's Practice: The Good, The Bad and The Ugly," Southern University Law School, Baton Rouge, LA. I provided a broad and practical overview of a plaintiff's personal injury practice. I have no notes,

transcript or recording. The address of the sponsoring organization is Southern University Law School, Two Roosevelt Steptoe Drive, Baton Rouge, LA 70813.

January 13, 1984: Speaker, "The Louisiana Medical Malpractice Act 1975-1984: The View of Plaintiffs' Counsel," Law and Medicine, Louisiana Trial Lawyers Association, New Orleans, LA. I provided a critical analysis of the Louisiana Medical Malpractice Act from the viewpoint of the plaintiff's practitioner. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Trial Lawyers Association, 442 Europe Street, Baton Rouge, LA 70802.

October 7, 1982: Speaker, "Depositions, Negotiations and Settlement," 22nd Annual Bridging the Gap Seminar, Young Lawyers Section of the Louisiana State Bar Association, New Orleans, LA. I provided an overview and practical advice regarding depositions, negotiations and settlement. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana State Bar Association, 601 St. Charles Avenue, New Orleans, LA 70130.

June 16, 1982: Speaker, "Maritime Investigation: The Law," Annual Convention, National Association of Legal Investigators, Lake of Ozarks, MO. I provided an overview of maritime law for an audience of legal investigators. I have no notes, transcript or recording. The address of the sponsoring organization is National Association of Legal Investigators, 235 North Pine Street, Lansing, MI 48933.

April 26, 1982: Speaker, "Negligence and Insurance," Peoples Law School, Baton Rouge, LA. I provided a broad overview of our civil justice system as it pertained to personal injury and death. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Trial Lawyers Association, 442 Europe Street, Baton Rouge, LA 70802.

April 20, 1981: Speaker, "Negligence and Insurance," Peoples Law School, Baton Rouge, LA. I provided a broad overview of our civil justice system as it pertained to personal injury and death. I have no notes, transcript or recording. The address of the sponsoring organization is Louisiana Trial Lawyers Association, 442 Europe Street, Baton Rouge, LA 70802.

January 23, 1981: Speaker, "Admiralty," Mid-Winter Seminar, National Association of Legal Investigators, Orlando, FL. I provided an overview of maritime law for an audience of legal investigators. I have no notes, transcript or recording. The address of the sponsoring organization is National Association of Legal Investigators, 235 North Pine Street, Lansing, MI 48933.

April 26, 1980: Speaker, "Investigation and Trial Preparation in Offshore Cases," Regional Seminar, National Association of Legal Investigators, New Orleans, LA. I discussed trial litigation in offshore cases and the role and importance of the legal investigator. I have no notes, transcript or recording. The address of the

sponsoring organization is National Association of Legal Investigators, 235 North Pine Street, Lansing, MI 48933.

- e. List all interviews you have given to newspapers, magazines or other publications, or radio or television stations, providing the dates of these interviews and four (4) copies of the clips or transcripts of these interviews where they are available to you.

Through online database searches and a review of my own files, I have found records of the following instances in which I have been quoted from an interview in a publication.

Office of Senator Mary L. Landrieu: "Landrieu Recommends deGravelles for Middle District Court Judge," Press release from Senator Mary L. Landrieu's office, December 5, 2013. Copy supplied. (Reprinted in multiple outlets).

I was interviewed by Louisiana State Senator Daniel A. Claitor and Roy Fletcher, regarding BP settlement on the Fletcher-Claitor Hour on Talk 107.3 FM, Baton Rouge, LA, July 19, 2013. I do not have a transcript or clip of the interview.

Allen Johnson, Jr., Margaret Cronin Fisk and Jef Feeley, "Transocean Witness Says 2010 Gulf Rig Was Well-Maintained.," Bloomberg, March 26, 2013. Copy supplied.

"NBLSC Member Juggles America Practice With Life and Work in Greece," National Board of Legal Specialty Certification, Spring 2012. Copy supplied.

Joe Gyan Jr., "Minimart Denies Potpourri Allegations," The Advocate, Baton Rouge, LA, January 16, 2012. Copy supplied.

Joe Gyan Jr., "Man Files Suit Over Incense," The Advocate, Baton Rouge, LA., October 30, 2011. Copy supplied.

Penny Font, "The Blame Game," Baton Rouge Business Report, May 16, 2010. Copy supplied.

Louis Sahagun, "Gulf Oil Spill; Widow Swept Away with Grief," Los Angeles Times, May 6, 2010. Copy supplied.

Terry Carter, "Judge Says Firm Must Explain 'Fraudulent' Removals Or Pony Up \$25K," ABA Journal, February 10, 2009. Copy Supplied.

Karin Beuerlein, "Tongue of Fire," Louisiana Super Lawyers Magazine, January 2009. Copy supplied.

Adrian Angelette, "Death-Row Inmate Still Making Appeals," The Advocate, Baton Rouge, LA, September 5, 2006. Copy supplied.

Lane Nelson, "Price of Admission? 25 Years and Counting," The Angolite, July/August, 2006. Copy supplied.

Virginia deGravelles, "LSU Law School: A Family Legacy for Three Generations," Around the Bar, Baton Rouge, LA, October 2005. Copy supplied.

Susan Finch and John Pope, "Dental Suit Could Cost State Millions," New Orleans Times Picayune, January 18, 2004. Copy supplied.

Amy Alexander, "Louisiana Supreme Court Considers Issue of Financial Assistance to Clients," LoanCompanies.net, 2003. Copy supplied.

"State Paid Out \$1 Million to Settlement Malpractice Suit," Associated Press State & Local Wire, September 18, 2003. Copy supplied.

Susan Finch, "Bad Implants Cost \$1 Million," New Orleans Times Picayune, September 18, 2003. Copy supplied.

Laura Stafford and Samantha Sanchez, "Campaign Contributions and the Louisiana Supreme Court," July 14, 2003. Copy supplied.

Adrian Angelette, "EBR Jury Indicts Man in Assisted Suicide," The Advocate, Baton Rouge, LA, February 28, 2003. Copy supplied.

"State Supreme Court Asked to Curb Lawyers' High Interest Loans," Associated Press State & Local Wire, December 29, 2002. Copy supplied.

"Parents of Strangled Patient File Suit Against State Hospital," Associated Press State & Local Wire, December 7, 2002. Copy supplied.

Adrian Angelette, "EBR May Pay Award in Installments," The Advocate, Baton Rouge, LA, September 8, 2002. Copy supplied.

"deGravelles Completes Fulbright Scholarship," Louisiana Advocates, February 2002. Copy supplied.

"deGravelles Named Fulbright Scholar," Louisiana Advocates, May 2001. Copy supplied.

"deGravelles to Chair Hebert Law Center's National Alumni Board," Louisiana Advocates, January 2000. Copy supplied.

“deGravelles Appointed Ad Hoc Judge,” Louisiana Advocates, September 1999. Copy supplied.

Christopher Baughman, “Hotel Where Clerk Killed Dismissed From Lawsuit,” The Advocate, Baton Rouge, LA, February 23, 1999. Copy supplied.

“Worker Injured in a Chemical Cleanup Awarded \$8.2 Million,” Associated Press State & Local Wire, January 20, 1999. Copy supplied.

“Fatal Crash Brings \$3.7 Million Judgment,” Associated Press State & Local Wire, January 14, 1999. Copy supplied.

Christopher Baughman, “Judge Awards \$3.7 Million in Wreck,” The Advocate, Baton Rouge, LA, January 14, 1999. Copy supplied.

Christopher Baughman, “Judge Sets Aside Jury Verdict,” The Advocate, Baton Rouge, LA, November 26, 1997. Copy supplied.

“Ethical Issues in Toxic Tort Litigation,” Louisiana Environmental Lawyer, Vol. II, No. 11 (Section on Environmental Law), Winter 1996. I have been unable to locate this article.

Mukul Verma, “Health Care Costs Worry Voters in Poll,” The Advocate, Baton Rouge, LA, January 13, 1994. Copy supplied.

Sheila Grissett, “House Panel Rejects No-Fault Insurance Bill,” New Orleans Times Picayune, May 13, 1993. Copy supplied.

Sheila Grissett, “Group Seeks to Shift Insurance to No-Fault, No-Lawyers Plan,” New Orleans Times Picayune, May 11, 1993. Copy supplied.

Ed Anderson, “No-Fault Has a Chance, Proponents Say,” New Orleans Times Picayune, April 14, 1993. Copy supplied.

Tim Talley, “La. Supreme Court Run-Off Grabs Statewide Attention,” The Advocate, Baton Rouge, LA, October 19, 1992. Copy supplied.

“Inmates File Suit in Car Crash,” New Orleans Times Picayune, February 17, 1991. Copy supplied.

“deGravelles Blasts Forbes Magazine Ads,” Louisiana Advocates, August 1990. Copy supplied.

“LTLA Members Wind down with Music,” Louisiana Advocates, February 1989. Copy supplied.

Dallas Morning News, "Environmental Groups Assail Louisiana Session," The Dallas Morning News Company, July 11, 1987. Copy supplied.

"Battle Over Pollution Stirs the Air Above Louisiana's Capital City," The Christian Science Monitor, 1986. Copy supplied.

13. **Judicial Office:** State (chronologically) any judicial offices you have held, including positions as an administrative law judge, whether such position was elected or appointed, and a description of the jurisdiction of each such court.

I was appointed by the Louisiana Supreme Court to fill the unexpired term of Judge Darrell White on the Baton Rouge City Court from August 1, 1999, to November 30, 1999. The jurisdictional limit for the Baton Rouge City Court is \$35,000 for personal injury, contracts and landlord/tenant disputes and \$5,000 for small claims. The jurisdictional limit for criminal cases is a term of six months and/or a fine of \$1,000.

- a. Approximately how many cases have you presided over that have gone to verdict or judgment?

I am uncertain of the number of trials that I presided over during this four-month period. I estimate that I presided over approximately 15 to 20 trials.

- i. Of these, approximately what percent were:

jury trials:	0%
bench trials:	100%
civil proceedings:	25%
criminal proceedings:	75%

- b. Provide citations for all opinions you have written, including concurrences and dissents.

I have been unable to obtain copies of any opinions that I wrote during this four-month period. To my knowledge, none of these were recorded decisions.

- c. For each of the 10 most significant cases over which you presided, provide: (1) a capsule summary of the nature the case; (2) the outcome of the case; (3) the name and contact information for counsel who had a significant role in the trial of the case; and (3) the citation of the case (if reported) or the docket number and a copy of the opinion or judgment (if not reported).

I cannot recall the particulars of the specific cases over which I presided, and I have been unable to obtain any copies. To my knowledge, none of the opinions were reported decisions. I handled a wide variety of cases, both civil and criminal, including, for example, moving traffic violations, misdemeanor criminal

cases, civil damage cases for personal injury and property damage, civil cases for battery, eviction proceedings and restraining orders.

- d. For each of the 10 most significant opinions you have written, provide: (1) citations for those decisions that were published; (2) a copy of those decisions that were not published; and (3) the names and contact information for the attorneys who played a significant role in the case.

I have been unable to obtain copies of any opinions that I wrote during this four-month period. The Clerk of the City Court has confirmed that there are no opinions available in the files.

- e. Provide a list of all cases in which certiorari was requested or granted.

None.

- f. Provide a brief summary of and citations for all of your opinions where your decisions were reversed by a reviewing court or where your judgment was affirmed with significant criticism of your substantive or procedural rulings. If any of the opinions listed were not officially reported, provide copies of the opinions.

None.

- g. Provide a description of the number and percentage of your decisions in which you issued an unpublished opinion and the manner in which those unpublished opinions are filed and/or stored.

Given the nature of my caseload, I generally issued oral rulings from the bench although I do recall occasionally issuing written opinions and orders, which were all unpublished. The Clerk of Court of the City Court has advised that none of the records associated with my cases reflect any published opinions, which means that either there were no opinions or that the files have been destroyed in accordance with the court's retention policies.

- h. Provide citations for significant opinions on federal or state constitutional issues, together with the citation to appellate court rulings on such opinions. If any of the opinions listed were not officially reported, provide copies of the opinions.

None.

- i. Provide citations to all cases in which you sat by designation on a federal court of appeals, including a brief summary of any opinions you authored, whether majority, dissenting, or concurring, and any dissenting opinions you joined.

None.

14. **Recusal:** If you are or have been a judge, identify the basis by which you have assessed the necessity or propriety of recusal (If your court employs an "automatic" recusal system by which you may be recused without your knowledge, please include a general description of that system.) Provide a list of any cases, motions or matters that have come before you in which a litigant or party has requested that you recuse yourself due to an asserted conflict of interest or in which you have recused yourself sua sponte. Identify each such case, and for each provide the following information:

- a. whether your recusal was requested by a motion or other suggestion by a litigant or a party to the proceeding or by any other person or interested party; or if you recused yourself sua sponte;
- b. a brief description of the asserted conflict of interest or other ground for recusal;
- c. the procedure you followed in determining whether or not to recuse yourself;
- d. your reason for recusing or declining to recuse yourself, including any action taken to remove the real, apparent or asserted conflict of interest or to cure any other ground for recusal.

The court did not have an automatic recusal system. Rather, at the beginning of my involvement in each case, I would review the parties and the attorneys to determine whether my relationship with either was such that a possible recusal should be brought to the attention of the parties, considered or required. During the four months that I was a judge, to my knowledge and recollection, there was never any motion for recusal or any recusal order issued sua sponte or any reason for recusal.

15. **Public Office, Political Activities and Affiliations:**

- a. List chronologically any public offices you have held, other than judicial offices, including the terms of service and whether such positions were elected or appointed. If appointed, please include the name of the individual who appointed you. Also, state chronologically any unsuccessful candidacies you have had for elective office or unsuccessful nominations for appointed office.

I have not held any public offices other than judicial office. In 1971, I ran for the Louisiana House of Representatives, District 45, but was unsuccessful.

- b. List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

Over the years, I have been on the election and fundraising committees of various candidates, mostly judicial candidates. Other than to lend my name to campaign

literature as a member of a campaign committee or a fundraising committee, or to make campaign contributions, I do not recall playing an active role in any of these campaigns and have no records regarding these campaigns. I do not recall all of the campaigns in which I may have been so involved but, based on my recollection, I believe I was on the election or fundraising campaign committees for the following candidates: Dan Claitor for Louisiana Senate (2012); John Michael Guidry for Louisiana Court of Appeal (2010); Jeff Hughes for Louisiana Supreme Court (2012); Tim Kelley for Louisiana Court of Appeal (2010) and for Louisiana District Court (2002); Kitty Kimball for Louisiana Supreme Court (2002); Jimmy Kuhn for Louisiana Supreme Court (2008) and for Louisiana Court of Appeal (2005); and Duke Welch for Louisiana Supreme Court (2012) and for Louisiana Court of Appeal (2005).

In 1968, I was a page at the Republican National Convention in Miami, Florida.

16. **Legal Career:** Answer each part separately.

- a. Describe chronologically your law practice and legal experience after graduation from law school including:

- i. whether you served as clerk to a judge, and if so, the name of the judge, the court and the dates of the period you were a clerk;

I did not clerk for a judge.

- ii. whether you practiced alone, and if so, the addresses and dates;

1986 – 1987
John W. deGravelles, PLC
One Maritime Plaza
Baton Rouge, Louisiana 70802
Solo Practitioner

- iii. the dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been affiliated, and the nature of your affiliation with each.

1974 – 1986
Dué, Dodson, deGravelles, Robinson & Caskey
(formerly known as Dué, Dodson, deGravelles & Robinson (1980 – 1981);
Dué, Dodson & deGravelles (1976 – 1980); Dué & Dodson (1973 – 1976))
One Maritime Plaza
Baton Rouge, Louisiana 70802
Partner (1980 – 1986)

Junior Partner (1976 – 1980)
Associate (1974 – 1976)

1984 – present
John W. deGravelles Law, L.L.C.
(formerly John W. deGravelles, PLC (1984 – 2004))
618 Main Street
Baton Rouge, Louisiana 70801
Attorney (1984 – 1986, 1987 – present)
Solo Practitioner (1986 – 1987)

1987 – present
deGravelles, Palmintier, Holthaus & Frugé L.L.P.
(formerly known as deGravelles, Palmintier & Holthaus (1989 – 2000);
deGravelles & Palmintier (1987 – 1989))
618 Main Street
Baton Rouge, Louisiana 70801
Partner

2010 – present
DDW Joint Litigation Group (Deepwater Horizon Litigation)
618 Main Street
Baton Rouge, Louisiana 70801
Attorney

- iv. whether you served as a mediator or arbitrator in alternative dispute resolution proceedings and, if so, a description of the 10 most significant matters with which you were involved in that capacity.

I have never served as a mediator or arbitrator.

b. Describe:

- i. the general character of your law practice and indicate by date when its character has changed over the years.

During the first five or so years of my practice, I had a general practice in which I did criminal law, primarily being appointed by the court to represent indigent defendants, as well as family law, civil tort litigation, successions and wills. The general nature of my practice currently is civil litigation with a primary emphasis in maritime personal injury, products liability, medical malpractice, business litigation and general tort litigation.

- ii. your typical clients and the areas at each period of your legal career, if any, in which you have specialized.

Over the first five or so years of my practice, I had a general civil and criminal practice that included family law, personal injury and death cases, and criminal law. Typically, I represented indigent defendants, plaintiffs in personal injury and death cases, and individuals seeking advice in family law matters, including separation and divorce proceedings, custody trials and community property partitions. In addition, I drafted wills and handled successions. Since I began to focus more on civil tort and business litigation, I have represented primarily individuals and businesses as plaintiffs. For instance, I represented a company whose offshore drilling vessel was lost because of its insurance company's refusal to insure it for a return voyage from Suriname to its home port in South Louisiana. I have also represented businesses in their bad faith insurance claims against their insurers for the insurers' failure to defend and cover the business.

- c. Describe the percentage of your practice that has been in litigation and whether you appeared in court frequently, occasionally, or not at all. If the frequency of your appearances in court varied, describe such variance, providing dates.

Over the course of my entire career, I estimate that 90% of my practice has been in litigation and I have appeared in court frequently. In the first five years of my career, I appeared in court frequently. During that time, approximately 50% of my practice would have involved litigation and 50% involved general counseling. After the first five years of my practice, I have practiced almost exclusively in civil litigation and have appeared in court frequently. During that time, almost 100% of my practice involved civil litigation. While there have been fluctuations in percentages over the course of my career, I would estimate that the percentage of my practice in various courts has been as follows:

- i. Indicate the percentage of your practice in:

- | | |
|-----------------------------|-----|
| 1. federal courts: | 30% |
| 2. state courts of record: | 69% |
| 3. other courts: | 0% |
| 4. administrative agencies: | 1% |

- ii. Indicate the percentage of your practice in:

- | | |
|--------------------------|------|
| 1. civil proceedings: | 100% |
| 2. criminal proceedings: | 0% |

- d. State the number of cases in courts of record, including cases before administrative law judges, you tried to verdict, judgment or final decision (rather than settled), indicating whether you were sole counsel, chief counsel, or associate counsel.

I have not kept a record of the number of bench trials I have tried to judgment over the course of my career, but I would estimate the total number to be several hundred. In almost all of these I have been sole or lead counsel. My best estimate as to the number of jury trials I have tried to verdict as lead counsel is approximately 35. I estimate another 10 jury trials or so in which I assisted in the trial.

i. What percentage of these trials were:

- | | |
|--------------|-----|
| 1. jury: | 20% |
| 2. non-jury: | 80% |

e. Describe your practice, if any, before the Supreme Court of the United States. Supply four (4) copies of any briefs, amicus or otherwise, and, if applicable, any oral argument transcripts before the Supreme Court in connection with your practice.

CSX Transportation v. McBride, 131 S. Ct. 2630 (2011) (amicus curiae brief on behalf of Cheryl Campagno and others, 2011 WL 757406 (June 23, 2011))

Lozman v. The City of Riviera Beach, Florida, 133 S. Ct. 735 (2013) (brief on behalf of Thirty-Six Admiralty and Maritime Law Professors as Amici Curiae in Support of Respondent, 2012 WL 3027159 (July 19, 2012))

Stewart v. Dutra Construction Co., 125 S. Ct. 1118 (2005) (amicus curiae brief on behalf of the Association of Trial Lawyers of America (ATLA), 2004 U.S. S. Ct. briefs LEXIS 365, 2004 WL 1174101 (May 21, 2004))

Symeonides v. Cosmar Compania Naviera, S.A., 433 So.2d 281 (La. App. 1st Cir. 1983), *writ denied*, 440 So.2d 731 (Mem), (La. 1983), *cert. denied*, 465 U.S. 1079 (1984) (brief and opposition to application for certiorari). I have been unable to locate a copy because I did not maintain any personal records, the business records no longer exist, and they are not available from public sources.

17. **Litigation**: Describe the ten (10) most significant litigated matters which you personally handled, whether or not you were the attorney of record. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the case. Also state as to each case:

- the date of representation;
- the name of the court and the name of the judge or judges before whom the case was litigated; and

- c. the individual name, addresses, and telephone numbers of co-counsel and of principal counsel for each of the other parties.

(1) *In Re: Oil Spill by the Oil Rig Deepwater Horizon in the Gulf of Mexico, on April 20, 2010*, Case 2:10-md-02179-CJB-SS, United States District Court, Eastern District of Louisiana — Honorable Carl Barbier presiding — Dates of Representation: Summer 2010 to present

This case arose from the explosions and fire aboard the MODU Deepwater Horizon on April 20, 2010, which was the biggest environmental disaster in the history of the United States and caused the death of 11 people. The case involves complex issues of jurisdictional, environmental and maritime law. The Phase I trial dealt with how and why the explosions and fire occurred and apportionment of fault. This trial was one of the largest trials in the history of American law. Defendants included BP, Transocean, Halliburton and Cameron. Other phases of the trial remain. I was a member of trial team for over 100,000 plaintiffs. In the pretrial phase, I was active in discovery, deposing among others, BP's second highest management official, and in the brief-writing. I was also active in the Phase 1 trial, which lasted from February 25, 2013 to April 17, 2013. I argued on behalf of plaintiffs before the United States Court of Appeals for the Fifth Circuit in a Petition for Mandamus challenging the trial court's trial plan. I also argued on the issue of preemption/displacement of general maritime punitive damages *vel non* before Judge Barbier on the F.R.C.P. 12(b) Motions to Dismiss. I am currently on the trial team for OPA test cases, which are currently being scheduled for trial. I have continued to participate in legal and trial issues as the case progresses toward final resolution.

Co-Counsel: Other members of the plaintiffs' trial team included:

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Kerry J. Miller
Frilot L.L.C.
1100 Poydras Street, Suite 3700
New Orleans, Louisiana 70163
(504) 599-8000

Halliburton's Phase I trial team included, among others:

Donald E. Godwin
Godwin Lewis PC
1201 Elm, Suite 1700
Dallas, Texas 75270
(214) 939-4400

Cameron's Phase I trial team included, among others:

David J. Beck
Beck Redden LLP
1221 McKinney Street, Suite 4500
Houston, Texas 77010
(713) 951-3700

M-I SWACO's Phase I trial team included, among others:

Hugh E. Tanner
Morgan, Lewis & Bockius LLP
1000 Louisiana, Suite 4000
Houston, Texas 77002
(713) 890-5000

(2) *In Re: Oil Spill by the Oil Rig Deepwater Horizon in the Gulf of Mexico, on April 20, 2010*, Case 2:10-md-02179-CJB-SS related to 02:10-cv-1196-CJB-SS, United States District Court, Eastern District of Louisiana — Honorable Carl Barbier presiding — Dates of representation: April 26, 2010 to June 2, 2011

This case arose from the explosions and fire aboard the MODU Deepwater Horizon. I was lead counsel for the family members of Mr. Jones, who was one of the 11 men killed on the Deepwater Horizon on April 20, 2010. It was alleged that his death was caused by the gross negligence, willful and wanton misconduct of those involved in the operations aboard the Deepwater Horizon including BP, Transocean, Halliburton, Sperry Sun and Cameron. It was also alleged that the vessel Deepwater Horizon was unseaworthy. Based on written discovery in this case, along with depositions that I took in the related cases described, this case was settled for a confidential amount.

Co-Counsel and client:

Keith D. Jones
Attorney at Law
8480 Bluebonnet Boulevard, Suite F
Baton Rouge, Louisiana 70810
(225) 763-6900

Opposing Counsel:

M-I SWACO, Jones' employer:
Hugh E. Tanner
Morgan, Lewis & Bockius LLP
1000 Louisiana, Suite 4000
Houston, Texas 77002
(713) 890-5000

(3) *Rivere, et ux. v. NPC Services, Inc., et al.*, Number 336,561, Division A, 19th Judicial District Court, Parish of East Baton Rouge, State of Louisiana — Honorable Bob Downing, presiding — Dates of representation: 1997 to 1999

I was lead counsel in a jury trial in East Baton Rouge Parish where the jury awarded \$8.2 million to my clients, Mr. and Mrs. Rivere. The award included compensatory and punitive damages. Mr. Rivere suffered permanent lung injury as a result of his exposure to toxic and hazardous waste located at a federal Superfund site. This was one of the largest awards of its kind in the history of jury cases in East Baton Rouge Parish. The defendant, NPC Services, Inc., was a corporation made up of major petrochemical

companies including Exxon Corporation; the Dow Chemical Company; Ethyl Corporation; Allied Chemical Corporation; ICI Americas, Inc. (formerly Rubicon Chemicals, Inc.); Celanese Corporation (formerly American Hoechst Corporation, formerly Foster Grant Company, Inc.); Uniroyal, Inc.; Copolymer Rubber and Chemical Corporation; and Shell Chemical, Inc., formed to carry out a court order to clean up the site. The case was settled after trial and before appeal.

Co-Counsel:

Locke Meredith
Locke Meredith, Sean Fagan & Corey Orgeron
1300 Millerville Road
Baton Rouge, Louisiana 70816
(225) 272-5555

Opposing Counsel:

John Schwab, Retired

Gerald L. Walter, Jr.
Taylor, Porter, Brooks & Phillips, L.L.P.
Chase Tower South, Eighth Floor
451 Florida Street
Baton Rouge, Louisiana 70821
(225) 387-3221

(4) *McClendon, et al. v. Jane Doe, M.D., et al.*, Number 592,209, Section 26, 19th Judicial District Court, Parish of East Baton Rouge, State of Louisiana — Honorable Kay Bates, presiding — Dates of representation: 2009 to 2013

I was lead counsel for plaintiffs, Mrs. McClendon and her family, in a jury trial in East Baton Rouge Parish where the jury awarded the McClendon family \$2,261,507, subject to a reduction for 35% comparative fault and the medical malpractice cap on damages. This was a medical malpractice case involving standard of care and credibility issues regarding the diagnosis and treatment of inflammatory breast cancer. The jury found the defendant, the plaintiff's treating obstetrician/gynecologist, to have been negligent in failing to timely diagnose and initiate treatment of the inflammatory breast cancer in the plaintiff.

Co-Counsel:

Frank Breese, III
Breese Law Office, PLLC
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Ridgeland, Mississippi 39157
(601) 351-3339

Stephanie B. Hulett
deGravelles, Palmintier, Holthaus & Frugé, L.L.P.

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Baton Rouge, Louisiana 70801-1910
(225) 344-3735

Opposing Counsel:
Janie Languirand Coles
Mang, Bourgeois, LLC
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Baton Rouge, Louisiana 70808
(225) 932-9312

(5) *Beilenson, et al. v. Jefferson Parish Hospital Service District No. 2 d/b/a East Jefferson General Hospital, et al.*, Number 561-418, Division A, 24th Judicial District Court, Parish of Jefferson — Honorable Joan S. Bengé, presiding — Dates of representation: 2000 to 2007

I was lead counsel representing the Beilensons, the sons of Ms. Ostrolenk, who died at East Jefferson General Hospital as a result of alleged medical negligence on the part of the hospital and her treating physicians. The case involved standard of care issues regarding a patient with an obstructed bowel, ileus and acute abdomen. The case was tried to a jury and to the bench. Judgment was rendered in favor of the Bielensons and against Jefferson Parish Hospital Service District No. 2, d/b/a East Jefferson General Hospital, in the sum of \$521,245, which was reduced to the malpractice cap. The case was appealed, and I represented the plaintiffs in opposing the appeal. The judgment of the lower court was affirmed. *Beilenson v. Jefferson Parish Hospital Service District No. 2, d/b/a East Jefferson General Hospital*, 891 So.2d 74, 04-814 (La. App. 5 Cir. 2004).

Co-Counsel:
Joseph J. LePow
Attorney at Law
One Galleria Boulevard, Suite 1822
Metairie, Louisiana 70001
(504) 849-2600

Opposing counsel for defendants Drs. Levy and Khalaf:
C. William Bradley, Jr.
Bradley Murchison Kelly and Shea
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New Orleans, Louisiana 70163
(504) 596-6302

J. Don Kelly, Jr.
650 Poydras Street, Suite 2700
New Orleans, Louisiana 70130
(504) 585-6368

Opposing Counsel for East Jefferson General Hospital:
Jacqueline H. Blankenship
Attorney at Law
One Galleria Boulevard
Metairie, Louisiana 70001
(504) 833-5600

(6) *Turner Industries Group, L.L.C. v. The Travelers Companies, Inc., et al.*, Case 3:09-cv-00834-BAJ -DLD, United States District Court, Middle District of Louisiana — Honorable Brian A. Jackson, presiding — Dates of representation: 2009 to 2011

I was lead counsel for plaintiff Turner Industries Group, LLC in a suit against its insurers. The case involved issues of establishing coverage in cases where, because of the lapse of many years, direct evidence of coverage was difficult to establish, and also issues of the obligations of an insurer to defend and/or pay insurance asbestos litigation claims made against Turner and the insurers' bad faith in failing to do so. After considerable discovery and negotiations, I was able to obtain a settlement for Turner Industries for confidential terms.

Co-Counsel:
Thomas Balhoff
Roedel, Parsons, Koch, Blanche, Balhoff & McCollister
8440 Jefferson Highway, Suite 301
Baton Rouge, Louisiana 70809
(225) 929-7033

Opposing Counsel:
Ralph S. Hubbard III
Lugenbuhl, Wheaton, Peck, Rankin & Hubbard
601 Poydras Street, Suite 2775
New Orleans, Louisiana 70130
(504) 568-1990

(7) *Saxon, et ux. v. Timothy Barton Ehrlich, M.D.*, Number 2003-12902, Division D, 22nd Judicial District Court, Parish of St. Tammany, State of Louisiana — Honorable Peter J. Garcia, presiding — Dates of representation: 2001 to 2007

I was lead counsel for plaintiffs Saxon, et ux., in a medical malpractice alleging medical negligence on the part of her treating physician. The case involved issues of standard of care in timely diagnosing and treating a patient in a clinical office and hospital setting. The resulting injuries included necrotizing fasciitis. After a bench trial on November 30, 2006, plaintiffs were awarded over \$3.2 million subject to the medical malpractice cap on damages.

Co-Counsel:
Edward A. Shamis, Jr.

Attorney at Law
486 Brown Switch Road
Slidell, Louisiana 70458
(985) 641-5570

Opposing Counsel:
Daniel A. Reed
Seale Smith Zuber & Barnette
8550 United Plaza Boulevard, Suite 200
Baton Rouge, Louisiana 70809
(225) 924-1600

(8) *Citron v. Rollins Environmental Services, Inc.*, Civ. A. Nos. 85-489LON, 85-501LON, United States District Court, D. Delaware [644 F.Supp. 733 (1986)] — Honorable Joseph J. Longobardi, presiding — Dates of representation: 1985 to 1986

I was appointed special counsel for the Louisiana Attorney General to represent the then-Secretary of the Louisiana Department of Environmental Quality Norton, in a third party claim brought by Rollins Environmental Services, Inc. (“Rollins”). Secretary Norton had suspended operations at Rollins’ hazardous waste facility in Baton Rouge. Rollins’ shareholders brought a shareholders’ derivative action against Rollins. Rollins, in turn, filed a third party claim against Secretary Norton claiming that her suspension of operations was unjustified. After considerable discovery, I and my co-counsel succeeded in having Secretary Norton dismissed on preliminary motions.

Co-Counsel:
Phillip W. Preis
Preis Gordon, A.P.L.C.
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(225) 387-0707

Stephen P. Lamb
Paul, Weiss, Rifkind, Wharton & Garrison LLP
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Wilmington, Delaware 19899
(302) 655-4411

Opposing Counsel:
For Citron and Fisher:
Joseph Rosenthal
Rosenthal, Monhait & Goddess, P.A.
919 N. Market Street, Suite 1401
Wilmington, Delaware 19899
(302) 656-4433

For third-party plaintiffs:
Edmund N. Carpenter, II (deceased)
Jesse A. Finkelstein
Gregory V. Varallo
Richards, Layton & Finger
One Rodney Square, 920 North King Street
Wilmington, Delaware 19801
(302) 651-7700

(9) *Sandbom, et al. v. BASF Wyandotte Corporation*, 674 So.2d 349 (La. App. 1st Cir. 1996), *writs denied*, 625 So.2d 1042 (La. 1993) – Honorable Glynn Long, presiding (deceased) — Dates of representation: 1992 to 1999

I was sole counsel for plaintiff Sandbom, who suffered a toxic exposure when he was required, as a matter of his employment, to enter and clean out a pit that contained methylene diphenyl isocyanate (MDI) and other dangerous chemicals, without adequate protection. The defendant was BASF Wyandotte Corp. The court found that the defendant was negligent in violating its own safety rules and procedures with the result that Sandbom was exposed to and damaged by these harmful chemicals. After trial, the court awarded \$1.4 million in damages. The defendant appealed. The judgment in favor of the plaintiff was sustained in the First Circuit Court of Appeal.

Opposing Counsel:
For BASF Wyandotte Corporation:
Gary A. Bezet
Kean Miller, LLP
400 Convention Street, Suite 700
Baton Rouge, Louisiana 70802
(225) 387-0999

For Hartford Insurance Company, Twin City Fire Insurance Company and Aetna Casualty & Surety:
Judith R. Atkinson
Roedel Parsons Koch Blache Balhoff & McCollister
8440 Jefferson Highway, Suite 301
Baton Rouge, Louisiana 70809
(225) 929-7033

For Louisiana Environmental Sales and Service, Inc.:
John L. Dardenne (who is now Lieutenant Governor)
1051 North Third Street
Baton Rouge, Louisiana 70802
(225) 342-7009

(10) *Pence v. Dewey Kelley Ketchum, et al.*, 326 So.2d 831 (La. 1976) — Honorable Steve A. Alford, Jr., presiding (deceased) — Dates of representation: 1974 to 1976 (estimate)

I was lead counsel for plaintiff Pence in a personal injury case in which the Ms. Pence was struck by a car after leaving a bar where she had been served excessive amounts of alcohol. The district court granted defendants' exception of no cause of action in which defendants argued that Louisiana Law did not recognize a dram shop cause of action against the commercial purveyor of alcohol. The Court of Appeal affirmed, holding that Louisiana did not recognize a dram shop cause of action against the commercial purveyor of alcohol, *Pence v. Ketchum*, 314 So.2d 550 (La.App. 1st Cir. 1975). Following briefing and oral argument, the Louisiana Supreme Court, for the first time, established a cause of action for civil dram shop liability. The case was settled after remand to the district court.

Co-Counsel:

Paul H. Dué

Dué, Price, Guidry, Piedrahita & Andrews

8201 Jefferson Highway

Baton Rouge, Louisiana 70809

(225) 929-7481

Opposing Counsel:

For Victor J. Silvio and Anthony J. Silvio:

Joseph W. Cole, Jr., believed to be deceased

For Dewey Ketchum

Robert J. Vandaworker, deceased

18. **Legal Activities:** Describe the most significant legal activities you have pursued, including significant litigation which did not progress to trial or legal matters that did not involve litigation. Describe fully the nature of your participation in these activities. List any client(s) or organization(s) for whom you performed lobbying activities and describe the lobbying activities you performed on behalf of such client(s) or organizations(s). (Note: As to any facts requested in this question, please omit any information protected by the attorney-client privilege.)

In addition to my litigation practice, I have served on numerous boards and committees involved in the development of court and professional rules. For example, I was appointed by the Louisiana Supreme Court to serve on the Committee to Study the Issue of Financial Assistance to Clients and served on that Committee from 2001 to 2005. The present Rule of Professional Conduct, Rule 1.8(e) is the product of the Committee's work. Similarly, I have been a permanent member of the Louisiana Law Institute's Continuous Committee for the Revision of the Louisiana Code of Civil Procedure since 1998. The Louisiana Law Institute was formed to promote and encourage the clarification and simplification of the law of Louisiana, to secure the better administration of justice and to carry on scholarly legal research and scientific legal work. The work of

the Committee on the Louisiana Code of Civil Procedure, of which I am a member, considers the repeal of obsolete articles in the Code of Civil Procedure and suggests needed amendments and additions.

Similarly, I have served on committees of the Louisiana State Bar Association that considered new rules applicable to the bar. For example, I served as a member of the Louisiana State Bar Association Rules of Professional Conduct Committee, New Rules Subcommittee from 2004 to 2009. This subcommittee was charged with considering new rules of professional responsibility and, among other issues considered, the committee studied issues regarding disclosure of legal malpractice coverage, mandatory fee arbitration and code of judicial conduct reform. I also served as a member of the Louisiana State Bar Association Committee on Lawyer and Judicial Conduct from 2002 to 2003. This committee studied ethical issues, such as unauthorized practice of law, mandatory fee arbitration, financial assistance to clients, multi-jurisdictional practice issues, judicial campaigns and interim suspensions. I was appointed to chair a subcommittee on financial assistance to clients. This subcommittee worked with a similar committee appointed by the Supreme Court of Louisiana on which I also serve.

Over the course of my career, I have lobbied on various civil justice issues for the Louisiana Association for Justice and its predecessor organization, the Louisiana Trial Lawyers' Association (LTLA). These lobbying efforts occurred primarily in the late 1980s and early 1990s, particularly during the year of my presidency of LTLA, 1992-1993. I cannot recall the specific bills that I lobbied but they likely would have involved issues of access to the civil justice system and the substantive rights of those involved in same. These may have included bills regarding no fault insurance, the broadening of statutory employer immunity, the repeal of strict liability, the repeal of the direct action statute, the appointment of judges, the right of appellate courts to review issues of fact, and the elimination of joint and several liability. Because I was not being paid as a lobbyist, I was not required to register.

Primarily from 1984 until 1992, I also lobbied on various environmental issues on behalf of Citizens for a Clean Environment, a citizens' environmental group I helped form that is now defunct. I cannot recall the specific issues on which I lobbied, but issues that were raised by the organization and in which I may have participated included the development of standards for the prevention of chemical accidents, community notification of chemical accidents, and improvement of ozone and air quality standards in our area. Because I was not being paid as a lobbyist, I was not required to register.

19. **Teaching:** What courses have you taught? For each course, state the title, the institution at which you taught the course, the years in which you taught the course, and describe briefly the subject matter of the course and the major topics taught. If you have a syllabus of each course, provide four (4) copies to the committee.

Maritime Personal Injury for Tulane University Law School's Summer Session in Rhodes, Greece, 1993 – present. This course provides instruction in the law of American maritime personal injury and death. Summer 2013 syllabus supplied.

Advanced Litigation, Louisiana State University Paul M. Hebert Law Center, 1999 - 2000, 2002 – 2013. This course provided instruction in the law and mechanics of trying a civil and criminal jury trial, as well as the applicable professionalism and ethics canons. Fall 2013 syllabus supplied.

Short Course: Introduction to the American Judicial System; Lugansk State University of Internal Affairs Law School, Lugansk Ukraine; Sponsored by the Center For International Legal Studies, Salzburg Austria, (March 14-24, 2011). The course provided an overview of America's civil and criminal justice system. March 2011 syllabus supplied.

Fulbright Scholar (International American Maritime Private International Law: Aristotle University of Thessaloniki Law School, Thessaloniki, Greece) Fall Semester, September 2001 – January 2002. The course provided an overview of the jurisdiction of American maritime courts. Fall 2001 syllabus supplied.

Pretrial Litigation, Louisiana State University Paul M. Hebert Law Center, 1995, 1998, 1999. This course provided instruction in the law and mechanics of handling a civil case in litigation prior to trial, as well as the applicable professionalism and ethics canons. Fall 1998 syllabus supplied.

Maritime Personal Injury, Louisiana State University Paul M. Hebert Law Center, 1996 – 1997. This course provided instruction in the law of American maritime personal injury and death. No syllabus is available.

20. **Deferred Income/ Future Benefits:** List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients or customers. Describe the arrangements you have made to be compensated in the future for any financial or business interest.

If confirmed, I anticipate receiving undetermined income from the sale of the Law Practice of John W. deGravelles, L.L.C. In addition, I anticipate receiving contingency fee income in pending cases in which I have fee interest.

21. **Outside Commitments During Court Service:** Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service with the court? If so, explain.

I have agreed to teach a course in Maritime Personal Injury for Tulane University Law School's Summer Session in Rhodes Greece (May 23, 2014 - June 16, 2014), as I have since 1993. I have also agreed to make a presentation at the Third International Congress of Maritime and Admiralty Law Istanbul, Turkey, October 16-17, 2014. I have agreed to

teach a course on Federal Courts for the spring semester of 2015 for Louisiana State University, Paul M. Hebert Law Center.

22. **Sources of Income:** List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, licensing fees, honoraria, and other items exceeding \$500 or more (if you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here).

Please see attached Financial Disclosure Report.

23. **Statement of Net Worth:** Please complete the attached financial net worth statement in detail (add schedules as called for).

Please see attached Net Worth Statement.

24. **Potential Conflicts of Interest:**

- a. Identify the family members or other persons, parties, categories of litigation, and financial arrangements that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to arise.

I would recuse from any case in which any member of my family or law firm appeared. I would carefully review each case for any conflict or appearance of a conflict.

- b. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.

I would handle all matters involving actual or potential conflicts of interest through careful application of the Code of Conduct for United States Judges and pertinent advisory opinions.

25. **Pro Bono Work:** An ethical consideration under Canon 2 of the American Bar Association's Code of Professional Responsibility calls for "every lawyer, regardless of professional prominence or professional workload, to find some time to participate in serving the disadvantaged." Describe what you have done to fulfill these responsibilities, listing specific instances and the amount of time devoted to each.

As an attorney, I have engaged in pro bono activities. For example, pursuant to La. Rev. Stat. 15:149.1 and 15:151.2(E)(2) from October 23, 2000 to September 29, 2011, I was appointed by the Louisiana Supreme Court to be a part of a team of lawyers representing death row inmate Allen Robertson, Jr. in his pursuit of post-conviction remedies. In addition to assisting in drafting the petition for post-conviction relief, I participated in the investigation, conducted legal research and writing, and argued motions of various kinds.

In addition, when Robertson's case was consolidated with that of Jimmy Ray Williams (*State ex rel. Allen Robertson, Jr. v. Cain*, 2003-2747 (La. 5/7/04); 872 So. 2d 1073, c/w *State ex rel. Jimmy Ray Williams v. State*, 2004-0575 (La. 5/7/04); 872 So. 2d 1073, I argued before the Louisiana Supreme Court on the issue of an alleged violation of due process and equal protection created by unequal funding policies of the state public defender. The Court ruled that remand was required so that the post-conviction trial court could consider statutory issues that might allow due process and equal protection issues to be avoided. *State ex rel. Jimmy Ray Williams v. State*, 2004-0575 (La. 12/1/04); 888 So. 2d 792 (2004). The case was held in abeyance pending the evidentiary hearing ordered to be held on capital defendants post-conviction proceedings funding requests, *id.* Ultimately, the case was remanded to allow Robertson to seek funds from the state indigent defender board. *State ex rel. Allen Robertson, Jr. v. Cain*, 2003-KP-2747 (La. 1/31/07); 947 So.2d 709 (La. 2007). On September 29, 2011, my co-counsel and I were substituted as counsel of record by the Capital Post Conviction Project of Louisiana. This case is still pending.

I also have served the community through my bar membership activities. For example, I served as Chairman of the Louisiana Association for Justice's Hurricane Katrina/Rita Relief Committee in the fall of 2005. Following the devastating back-to-back hurricanes Rita and Katrina, this committee coordinated efforts to assist member attorneys who were displaced or had otherwise suffered injury to their offices and practices as a result of the two hurricanes. In 1998, as a part of my presidency of the Louisiana Chapter of the American Board of Trial Advocates, I spearheaded a project to introduce an interactive teaching program about the jury system to Louisiana school children, grades 5-9. I encouraged the active involvement of the Louisiana Bar Association's Center for Education and the Louisiana Trial Lawyers' Association. I obtained the approval and endorsement of then-Louisiana Superintendent of Education, Cecil Picard.

26. **Selection Process:**

- a. Please describe your experience in the entire judicial selection process, from beginning to end (including the circumstances which led to your nomination and the interviews in which you participated). Is there a selection commission in your jurisdiction to recommend candidates for nomination to the federal courts? If so, please include that process in your description, as well as whether the commission recommended your nomination. List the dates of all interviews or communications you had with the White House staff or the Justice Department regarding this nomination. Do not include any contacts with Federal Bureau of Investigation personnel concerning your nomination.

On September 20, 2013, I submitted my resume to Senator Mary Landrieu and expressed my interest in being considered to serve on the United States District Court for the Middle District of Louisiana. On September 30, 2013, I interviewed with the vetting committee appointed by Senator Landrieu to make recommendations regarding federal appointments in the Middle District in Baton Rouge, Louisiana. On October 28, 2013, I interviewed with Senator Landrieu in

Baton Rouge, Louisiana. On December 4, 2013, Senator Landrieu called me to tell me that she was recommending me to the President. Since December 10, 2013, I have been in contact with officials from the Office of Legal Policy at the Department of Justice. On February 7, 2014, I interviewed with attorneys from the White House Counsel's Office and the Department of Justice in Washington, D.C. On March 13, 2014, the President submitted my nomination to the Senate.

- b. Has anyone involved in the process of selecting you as a judicial nominee discussed with you any currently pending or specific case, legal issue or question in a manner that could reasonably be interpreted as seeking any express or implied assurances concerning your position on such case, issue, or question? If so, explain fully.

No.

FINANCIAL DISCLOSURE REPORT NOMINATION FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) deGravelles, John W.	2. Court or Organization US District Court, Louisiana, Middle District	3. Date of Report 03/13/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge	5a. Report Type (check appropriate type) ☒ Nomination Date 03/13/2014 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 02/20/2014
7. Chambers or Office Address John W. deGravelles Law, LLC 618 Main Street Baton Rouge, LA 70801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Shareholder	John W. deGravelles Law, LLC
2. Partner	deGravelles, Palmintier, Holthaus, Fruge'
3. Member	deGravelles Enterprises, LLC
4. Member	deGravelles Family II, LLC
5. Member	deGravelles Family III, LLC
6. Partner	DPHF Company
7. Shareholder	Louisiana Litigation Services, Inc.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1/1/2014	Louisiana State University - Lecturer - Course on Federal Courts
2. 11/26/2013	Tulane University Law School - Lecturer - Course on Maritime Personal Injury
3.	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

deGravelles, John W.

Date of Report

03/13/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	John W. deGravelles Law, LLC - Wages	\$11,410.00
2. 2014	John W. deGravelles Law, LLC - S-corp income (NET)	\$116,111.30
3. 2014	West Services, Inc. - Book Royalties	\$459.73
4. 2013	John W. deGravelles Law, LLC - Wages	\$257,575.87
5. 2013	John W. deGravelles Law, LLC - S-corp income (NET)	\$1,654,766.83
6. 2013	Louisiana State University - Wages	\$2,656.52
7. 2013	West Services, Inc. - Book Royalties	\$2,417.39
8. 2012	John W. deGravelles Law, LLC - Wages	\$300,711.00
9. 2012	John W. deGravelles Law, LLC - S-corp income (NET)	\$3,547,885.00
10. 2012	Louisiana State University - Wages	\$2,656.52
11. 2012	West Services, Inc. - Book Royalties	\$1,851.53

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
deGravelles, John W.	03/13/2014

IV. REIMBURSEMENTS – transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Exempt				
2.					
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

deGravelles, John W.

Date of Report

03/13/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1. Exempt

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

deGravelles, John W.

Date of Report

03/13/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. deGravelles Enterprises, LLC		None	N	W	Exempt				
2. deGravelles Family II, LLC - Baton Rouge, LA	A	Rent	M	W					
3. deGravelles Family III, LLC		None	O	W					
4. John W. deGravelles Law, LLC		None	P1	U					
5. Chase Cash Account		None	J	T					
6. Citibank Cash Account	A	Interest	M	T					
7. IRA #1	C	Int./Div.	M	T					
8. -Morgan Stanley Cash Account									
9. -Consulting Group Govt MMKT									
10. -Consulting Group Emrg Market Equity Invest									
11. -Consulting Group International Equity Inv									
12. -Consulting Group Large Cap Value Eq									
13. -Consulting Group Large Cap Growth Inv									
14. -Consulting Group Small Cap Growth Inv									
15. -Consulting Group Small Cap Value Equity Inv									
16. Allianz Valuemark III Annuity		None	O	T					
17. Lincoln American Legacy Design 2 Annuity		None	O	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

deGravelles, John W.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Prudential Annuity		None	M	T					
19. Transamerica Retirement Plus Annuity		None	P1	T					
20. Eaton Vance Tax Managed Growth Fund	A	Dividend	L	T					
21. Unit Quality Municipal National Long Term 109 MPS		None	K	T					
22. Morgan Stanley Managed Bond Account #1									
23. -Morgan Stanley Money Market Account	A	Interest	K	T					
24. -Arizona Trans Board	D	Interest	M	T					
25. -California St Econ Recovery	D	Interest	M	T					
26. -California St Pub Wks Brd	B	Interest	L	T					
27. -California St	C	Interest	M	T					
28. -Chicago IL Transit Auth	C	Interest	M	T					
29. -Curators Univ Mo Sys	D	Interest	M	T					
30. -Eanes Tex Indpt Sch Dist Sch	D	Interest	M	T					
31. -Energy Northwest Wash Elec	D	Interest	M	T					
32. -Indiana St Fin Auth	B	Interest	M	T					
33. -Iowa St	C	Interest	M	T					
34. -Jea Fla St Johns Riv Pwr Pk	D	Interest	M	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

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U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

deGravelles, John W.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Los Angeles Ca Dept Arpts	C	Interest	L	T					
36. -Louisiana Pub Facs Auth Rev	B	Interest	K	T					
37. -Louisiana St Gasoline and Fuels Tax Revenue	D	Interest	M	T					
38. -Louisiana St	C	Interest	L	T					
39. -Louisiana St	C	Interest	M	T					
40. -Louisiana St Unclaimed Property Spl Rev		None	L	T					
41. -Massachusetts Wtr Resources	C	Interest	L	T					
42. -Metropolitan Transportation Auth NY Trans Rev Ref	C	Interest	M	T					
43. -Metropolitan Transportation Auth NY Trans Rev Series B	C	Interest	M	T					
44. -Michigan St Comprehensive Transn Rev Ref	C	Interest	M	T					
45. -Michigan St Hosp Fin Auth		None	L	T					
46. -Minnesota St Genl Fund Appropriation	C	Interest	M	T					
47. -New Hampshire St Tpk Sys Rev	D	Interest	M	T					
48. -New Jersey Econ Dev Auth	C	Interest	M	T					
49. -New Jersey Turnpike Authority	D	Interest	M	T					
50. -New York NY	A	Interest	J	T					
51. -New York NY City Trnstnl Fin Auth		None	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A =\$1,000 or less
F =\$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

3. Value Method Codes
(See Column C2)

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

deGravelles, John W.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -New York NY City Trnstl Fin Auth		None	M	T					
53. -New York St Dorm Auth Revs	C	Interest	L	T					
54. -Reedy Creek FL Impt Dist Utilities Rev Ref-1	A	Interest	L	T					
55. -Snohomish Cnty Wash Pub Util Dist No 001	C	Interest	M	T					
56. -University Minn	D	Interest	M	T					
57. -Washington Hlth Care Facs	B	Interest	L	T					
58. -Pennsylvania E BE	D	Interest							
59. -Allegheny Hosp Be	B	Interest							
60. -FL St BOE-D	D	Interest							
61. -Harris Co Toll	C	Interest							
62. -IA Fin Auth	D	Interest							
63. -LI PW SPCFP	A	Interest							
64. -New York NY	A	Interest							
65. -VA Clge Bldg	B	Interest							
66. -Ascension MI-C	B	Interest							
67. -Indiana St Fin	C	Interest							
68. -L I Pwr Auth-A	C	Interest							

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -NYC Transn-B	D	Interest							
70. Morgan Stanley Managed Bond Account #2									
71. -Morgan Stanley Cash Account	A	Interest	J	T					
72. -Arizona Trans Brd	A	Interest	J	T					
73. -California St	A	Interest	J	T					
74. -District Columbia Income Tax Rev-F	A	Interest	J	T					
75. -Fulton Cnty GA Dev Auth Rev-A	A	Interest	J	T					
76. -Illinois St Sales Tax Rev	A	Interest	J	T					
77. -Jea Fla St Johns Riv Pwr Pk Sys	A	Interest	J	T					
78. -Kentucky St	A	Interest	J	T					
79. -Louisiana St Gasoline and Fuels Tax Revenue Ref Series A		None	J	T					
80. -Louisiana St	A	Interest	J	T					
81. -Louisiana St	A	Interest	K	T					
82. -Lower Colorado River Auth Tx Trans	A	Interest	J	T					
83. -Massachusetts St Health & Edl		None	J	T					
84. -Massachusetts Wtr Resources Auth	A	Interest	J	T					
85. -Michigan St Comprehensive Transn Rev Ref	A	Interest	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A =\$1,000 or less
F =\$50,001 - \$100,000

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000

D =\$5,001 - \$15,000
H2 =More than \$5,000,000

E =\$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000

K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000

L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000

M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q =Appraisal
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R =Cost (Real Estate Only)
V =Other

S =Assessment
W =Estimated

T =Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. -Minnesota Pub Facs Auth	A	Interest	J	T					
87. -New Jersey Environmental Infrastructure Tr Rev-B	A	Interest	J	T					
88. -New York NY City Trnstnl Fin Auth Ftr Tx		None	J	T					
89. -New York St Urban Dev Corp Contract	A	Interest	J	T					
90. -Ohio State Cap FACS Lease Appropriation Ser-B		None	J	T					
91. -Pennsylvania Econ Dev Fing AU Unemployment Compensation	A	Interest	J	T					
92. -Phoenix Ariz Civic Impt Corp Wtr Sys Rev	A	Interest	J	T					
93. -Rhode Island Clean Wtr Fin AGYWTR Pollu	A	Interest	J	T					
94. -San Diego Cnty Calif Wtr Auth	A	Interest	J	T					
95. -San Francisco CA Arpt Commn	A	Interest	J	T					
96. -University Minn	A	Interest	J	T					
97. -Virginia Comwlth Transn Brd	A	Interest	J	T					
98. -Allegheny Hosp Be	A	Interest							
99. -CA St ECON-A	A	Interest							
100. -KY St PPTY	A	Interest							
101. -LI PW SPC	A	Interest							
102. -Virginia Colle	A	Interest							

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
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B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. -L I Pwr Auth-A	A	Interest							
104. -New Jersey Env	A	Interest							
105. -NYC Transn-B	A	Interest							
106. Morgan Stanley Managed Stock Account									
107. -Citibank Cash Mgmt Account	A	Interest	L	T					
108. -AFLAC INCORPORATED	A	Dividend	J	T					
109. -AT&T INC	A	Dividend	J	T					
110. -ABBOTT LABORATORIES	A	Dividend	J	T					
111. -ADVANCE AUTO PARTS	A	Dividend	J	T					
112. -AEGON NV ADR	A	Dividend	J	T					
113. -ADVENT SOFTWARE INC	B	Dividend	J	T					
114. -AGEAS SPONSORED ADR	A	Dividend	J	T					
115. -AGRIUM INC	A	Dividend	J	T					
116. -AKBANK TURK ANONIM SIRKETI ADR	A	Dividend	J	T					
117. -ALBEMARLE CORPORATION	A	Dividend	J	T					
118. -ALEXANDER & BALDWIN INC	A	Dividend	J	T					
119. -ALLIANCE DATA SYSTEMS CORP		None	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

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B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000

D =\$5,001 - \$15,000
H2 =More than \$5,000,000

E =\$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

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3. Value Method Codes
(See Column C2)

Q =Appraisal
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Name of Person Reporting

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -ALLIANT TECHSYSTEM	A	Dividend	J	T					
121. -ALLSTATE CORP		None	J	T					
122. -ALSTOM ADR	A	Dividend	J	T					
123. -AMAZON COM INC		None	J	T					
124. -AMBEV S A SPONSORED ADR	A	Dividend	J	T					
125. -AMERICAN EAGLE OUTFITTERS NEW	A	Dividend	J	T					
126. -AMERICAN EXPRESS CO	A	Dividend	J	T					
127. -AMERICAN TOWER REIT COM		None	J	T					
128. -ANADARKO PETE	A	Dividend	J	T					
129. -ANSYS INC		None	J	T					
130. -APPLE INC	A	Dividend	K	T					
131. -ATWOOD OCEANICS INC		None	J	T					
132. -BAIDU INC ADS		None	J	T					
133. -BANCO DO BRASIL SA SPON ADR	A	Dividend	J	T					
134. -BANCO MACRO S.A. SPONS ADR		None	J	T					
135. -BANCO SANTANDER BRASIL SA ADS	A	Dividend	J	T					
136. -BANK OF AMERICA CORP	A	Dividend	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

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H2 = More than \$5,000,000

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2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
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K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
S = Assessment
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T = Cash Market

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -BARRICK GOLD CORP	A	Dividend	J	T					
138. -BIDVEST GROUP LTD SPONS ADR	A	Dividend	J	T					
139. -BIOMARIN PHARMAC SE		None	J	T					
140. -BOEING CO	A	Dividend	K	T					
141. -BRISTOL MYERS SQUIBB CO	A	Dividend	J	T					
142. -CF INDUSTRIES HOLDINGS,INC	A	Dividend	J	T					
143. -CIT GROUP INC NEW	A	Dividend	J	T					
144. -CNOOC LTD ADS	A	Dividend	J	T					
145. -CVS CAREMARK CORP	A	Dividend	K	T					
146. -CABELA'S INC		None	J	T					
147. -CAMECO CORP	A	Dividend	J	T					
148. -CAMERON INTNL CORP		None	J	T					
149. -CARREFOUR SA SPONSORED ADR	A	Dividend	J	T					
150. -CATERPILLAR INC	A	Dividend	J	T					
151. -CATLIN GRP LTD SPONS ADR		None	J	T					
152. -CELGENE CORP		None	J	T					
153. -CENTRAIS ELEC BRAS ADR PREF	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A =\$1,000 or less
F =\$50,001 - \$100,000

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000

D =\$5,001 - \$15,000
H2 =More than \$5,000,000

E =\$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000

K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000

L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000

M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q =Appraisal
U =Book Value

R =Cost (Real Estate Only)
V =Other

S =Assessment
W =Estimated

T =Cash Market

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Name of Person Reporting

deGravelles, John W.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -CENTRAIS ELEC BRAS SP ADR CM	A	Dividend	J	T					
155. -CHEVRON CORP	A	Dividend	K	T					
156. -CHINA CONSTRUCTION BANK CORP	A	Dividend	J	T					
157. -CHINA MOBILE LTD	A	Dividend	J	T					
158. -CHURCH & DWIGHT CO INC	A	Dividend	J	T					
159. -CIELO SA SPONSORED ADR NEW	A	Dividend	J	T					
160. -CITIGROUP INC NEW	A	Dividend	J	T					
161. -CLARCOR INC	A	Dividend	J	T					
162. -CLICKS GROUP LTD SPONS ADR	A	Dividend	J	T					
163. -COACH INC	A	Dividend	J	T					
164. -COCA COLA CO	A	Dividend	J	T					
165. -COHEN & STEERS INC	A	Dividend	J	T					
166. -COLUMBIA SPORTSWEAR CO	A	Dividend	J	T					
167. -COMCAST CORP (NEW) CLASS A	A	Dividend	K	T					
168. -COMERICA INC		None	J	T					
169. -COMMERCIAL INTL BNK LTD SP ADR	A	Dividend	J	T					
170. -COMPANHIA ENERGY DE MIN SP ADR	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A =\$1,000 or less
F =\$50,001 - \$100,000

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000

D =\$5,001 - \$15,000
H2 =More than \$5,000,000

E =\$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000

K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000

L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000

M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q =Appraisal
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Name of Person Reporting

deGravelles, John W.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -CONOCOPHILLIPS	A	Dividend	J	T					
172. -CONVERSANT INC		None	J	T					
173. -COPART INC		None	J	T					
174. -CORNING INC	A	Dividend	J	T					
175. -CORRECTIONS CORP OF AMER NEW	A	Dividend	J	T					
176. -COSTCO WHOLESALE CORP NEW		None	J	T					
177. -CROWN CASTLE INTL		None	J	T					
178. -DAI NIPPN PRGT LTD JAPAN	A	Dividend	J	T					
179. -DAIICHI SANKYO CO LTD SPON ADR	A	Dividend	J	T					
180. -DANAHER CORPORATION	A	Dividend	J	T					
181. -DECKER OUTDOOR CORPORATION		None	J	T					
182. -DELTA AIR LINES INC NEW	A	Dividend	J	T					
183. -WALT DISNEY CO HLDG CO	A	Dividend	J	T					
184. -DISCOVER FINCL SVCS	A	Dividend	J	T					
185. -DOLLAR GEN CORP NEW COM		None	J	T					
186. -DOMINION RES INC (NEW)	A	Dividend	J	T					
187. -DONALDSON CO INC	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A =\$1,000 or less
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G =\$100,001 - \$1,000,000

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000

D =\$5,001 - \$15,000
H2 =More than \$5,000,000

E =\$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000

K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000

L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000

M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

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Name of Person Reporting

deGravelles, John W.

Date of Report

03/13/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -DRESSER-RAND GROUP INC		None	J	T					
189. -EMC CORP MASS	A	Dividend	J	T					
190. -EATON VANCE CP	A	Dividend	K	T					
191. -EDISON INTERNATIONAL	A	Dividend	J	T					
192. -ELECTRICITE DE FRANCE ADR	A	Dividend	J	T					
193. -EQUIFAX INC	A	Dividend	J	T					
194. -ERICSSON LM TEL ADR CL B NEW	A	Dividend	J	T					
195. -EXPEDITORS INTL WASH INC	A	Dividend	J	T					
196. -EXXON MOBIL CORP	A	Dividend	J	T					
197. -FACTSET RESEARCH SYSTEMS INC	A	Dividend	J	T					
198. -FAMILY DOLLAR STORES	A	Dividend	J	T					
199. -FEDEX CORP	A	Dividend	J	T					
200. -FIRST CASH FINL SVCS INC		None	J	T					
201. -FIRST INDUST REALTY TR INC	A	Dividend	J	T					
202. -FREEPORT MCMORAN CP&GLD	A	Dividend	J	T					
203. -FUJIFILM HLDGS CORP ADR	A	Dividend	J	T					
204. -GAZPROM O A O SPON ADR	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A =\$1,000 or less
F =\$50,001 - \$100,000

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000

D =\$5,001 - \$15,000
H2 =More than \$5,000,000

E =\$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000

K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000

L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000

M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

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Name of Person Reporting

deGravelles, John W.

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03/13/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -GENERAL ELECTRIC CO	A	Dividend	J	T					
206. -GENERAL MTRS CO		None	J	T					
207. -GILEAD SCIENCE		None	J	T					
208. -GLAXOSMITHKLINE PLC ADS	A	Dividend	J	T					
209. -GOLDMAN SACHS GRP INC	A	Dividend	J	T					
210. -GOOGLE INC-CL A		None	K	T					
211. -HSBC HOLDINGS PLC SPON ADR NEW		None	J	T					
212. -JACK HENRY & ASSOC INC	A	Dividend	J	T					
213. -HITTITE MICROWAVE CORP COM		None	J	T					
214. -HOME DEPOT INC	A	Dividend	J	T					
215. -HOME RETAIL GROUP ADR	A	Dividend	J	T					
216. -HONEYWELL INTERNATIONAL INC	A	Dividend	J	T					
217. -IMPERIAL HLDGS LTD ADR	A	Dividend	J	T					
218. -ING GROEP NV ADR		None	J	T					
219. -INTEL CORP	A	Dividend	J	T					
220. -INTUIT INC	A	Dividend	J	T					
221. -JPMORGAN CHASE & CO	A	Dividend	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A =\$1,000 or less
F =\$50,001 - \$100,000

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000

D =\$5,001 - \$15,000
H2 =More than \$5,000,000

E =\$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000

K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000

L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000

M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q =Appraisal
U =Book Value

R =Cost (Real Estate Only)
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T =Cash Market

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Name of Person Reporting

deGravelles, John W.

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03/13/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -JOHNSON & JOHNSON	A	Dividend	J	T					
223. -KB FINANCIAL GRP INC SONS ADR	A	Dividend	J	T					
224. -KAMAN CORP CL A	A	Dividend	J	T					
225. -KIMBERLY CLARK SPON ADR	A	Dividend	J	T					
226. -KINDER MORGAN INCORP	A	Dividend	J	T					
227. -KINROSS GOLD CORP NEW	A	Dividend	J	T					
228. -KOC HLDG AS UNSPON ADR	A	Dividend	J	T					
229. -KRAFT FOODS GROUP INC COM	A	Dividend	J	T					
230. -LANDSTAR SYSTEMS INC	A	Dividend	J	T					
231. -LOCALIZA RENT A CAR SA SPON		None	J	T					
232. -MBIA INC		None	J	T					
233. -MRC GLOBAL INC COM		None	J	T					
234. -MS&AD INS GROUP HLDGS ADR	A	Dividend	J	T					
235. -MSC INDL DIRECT CO CLASS A	A	Dividend	J	T					
236. -MSCI INC COM		None	J	T					
237. -MACY'S INC	A	Dividend	J	T					
238. -MANPOWERGROUP INC COM	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

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N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

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G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

deGravelles, John W.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. -MARATHON OIL CO	A	Dividend	J	T					
240. -MARTIN MARIETTA MATERIALS	A	Dividend	J	T					
241. -MATSON INC COM	A	Dividend	J	T					
242. -MERCK & CO INC NEW COM	A	Dividend	J	T					
243. -METLIFE INCORPORATED	A	Dividend	J	T					
244. -MICREL INC	A	Dividend	J	T					
245. -MICROS SYST		None	J	T					
246. -MICROSOFT CORP	A	Dividend	J	T					
247. -MITSUBISHI TANBE PHRM CP ADR	A	Dividend	J	T					
248. -MOBILE TELESYSTEMS OJSC	A	Dividend	J	T					
249. -MOHAWK INDUSTRIES INC		None	J	T					
250. -MONOTYPE IMAGING HLDGS INC	A	Dividend	J	T					
251. -MONSANTO CO/NEW	A	Dividend	J	T					
252. -MORGAN STANLEY	A	Dividend	J	T					
253. -NEDBANK GRP LTD SPON ADR	A	Dividend	J	T					
254. -NESTLE SPON ADR REP REG SHR	A	Dividend	J	T					
255. -NETEASE.COM INC ADS	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
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E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
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P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

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Name of Person Reporting

deGravelles, John W.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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256. -NEWMARKET CORP (HLDG CO)	A	Dividend	J	T					
257. -NEXTERA ENERGY INC COM	A	Dividend	K	T					
258. -NIPPON TELEGRAPH&TELEPHONE ADS	A	Dividend	J	T					
259. -NOBLE ENERGY INC	A	Dividend	J	T					
260. -NORDSON CP	A	Dividend	J	T					
261. -O'REILLY AUTOMOTIVE INC NEW		None	J	T					
262. -OCCIDENTAL PETROLEUM CORP DE	A	Dividend	J	T					
263. -OIL CO LUKOIL SPN ADR	A	Dividend	J	T					
264. -OLD DOMINION FREIGHT LINE		None	J	T					
265. -OLIN CORPORATION		None	J	T					
266. -ORACLE CORP	A	Dividend	K	T					
267. -ORASCOM CONSTR INDS SPON		None	J	T					
268. -ORIFLAME COSMETICS SA SPON ADR	A	Dividend	J	T					
269. -PNC FINL SVCS GP	A	Dividend	J	T					
270. -PPG INDUSTRIES INC	A	Dividend	J	T					
271. -PPC LIMITED UNSPON ADR	A	Dividend	J	T					
272. -PTT EXPLO & PRODTN SPONS ADR	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A =\$1,000 or less
F =\$50,001 - \$100,000

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000

D =\$5,001 - \$15,000
H2 =More than \$5,000,000

E =\$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000

K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000

L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000

M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000

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(See Column C2)

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Name of Person Reporting

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273. -PT SEMEN GRESIK PERSERO ADR	A	Dividend	J	T					
274. -PT UNITED TRACTORS ADR	A	Dividend	J	T					
275. -PT BK MANDIRI PERSERO TBK UNSP	A	Dividend	J	T					
276. -PT ASTRA INTERNATIONAL TBK ADR	A	Dividend	J	T					
277. -PANASONIC CORP - SPON ADR	A	Dividend	J	T					
278. -PEPSICO INC NC	A	Dividend	J	T					
279. -PT TELEKOMUNIKASI INDONESIA	A	Dividend	K	T					
280. -PFIZER INC	A	Dividend	J	T					
281. -PHILIP MORRIS INTL INC	A	Dividend	J	T					
282. -PHILIPPINE LG DIST TEL SPN ADR	A	Dividend	J	T					
283. -PHILLIPS 66 COM	A	Dividend	J	T					
284. -PRECISION CASTPARTS CORP	A	Dividend	K	T					
285. -PRICESMART INC	A	Dividend	J	T					
286. -PROCTER & GAMBLE	A	Dividend	J	T					
287. -PUBLIC STORAGE	A	Dividend	J	T					
288. -QUALCOMM INC	A	Dividend	J	T					
289. -RANGE RESOURCES CORP	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A =\$1,000 or less
F =\$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

deGravelles, John W.

Date of Report

03/13/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. -RBC BEARINGS INC		None	J	T					
291. -REGIONS FINANCIAL CORP NEW	A	Dividend	J	T					
292. -REYNOLDS AMERICAN INC	A	Dividend	J	T					
293. -RITCHIE BROTHERS AUCTIONEERS	A	Dividend	J	T					
294. -ROCHE HOLDINGS ADR	A	Dividend	J	T					
295. -ROHM CO LTD UNSPONS ADR	A	Dividend	J	T					
296. -ROSS STORES INC	A	Dividend	J	T					
297. -ROYAL DSM NV SPONSORED ADR	A	Dividend	J	T					
298. -ROYAL DUTCH SHELL PLC CL B	A	Dividend	J	T					
299. -SK TELECOM CO LTD	A	Dividend	J	T					
300. -SANLAM LTD ADR	A	Dividend	J	T					
301. -SANOFI ADR	A	Dividend	J	T					
302. -SBERBANK RUSSIA SPONSORED ADR	A	Dividend	J	T					
303. -SEKISUI HOUSE LTD ADR	A	Dividend	J	T					
304. -SEMPRA ENERGY	A	Dividend	J	T					
305. -SERVICE CORP INTL	A	Dividend	J	T					
306. -SEVEN & I HLDGS CO LTD ADR	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. -SHERWIN WILLIAMS COMPANY OHIO	A	Dividend	J	T					
308. -SHINHAN FINANCIAL GROUP CO LTD	A	Dividend	J	T					
309. -SHIRE PLC ADR	A	Dividend	J	T					
310. -SHISEIDO LTD SPON ADR	A	Dividend	J	T					
311. -SHOPRITE HLDGS LTD SPONSORED A	A	Dividend	J	T					
312. -SIEMENS AKTIENGESELLSCHAFT	A	Dividend	J	T					
313. -SIMON PPTY GROUP INC	A	Dividend	J	T					
314. -SIRONA DENTAL SYSTEMS INC		None	J	T					
315. -SNAP-ON INC	A	Dividend	J	T					
316. -STANDARD BANK GROUP LTD SPON	A	Dividend	J	T					
317. -STARBUCKS CORP WASHINGTON	A	Dividend	J	T					
318. -STRYKER CORP	A	Dividend	J	T					
319. -STURM RUGER & CO	A	Dividend	J	T					
320. -SUMITOMO MITSUI TR HLDGS INC	A	Dividend	J	T					
321. -SUNTRUST BKS	A	Dividend	J	T					
322. -SWISSCOM AG ADR	A	Dividend	J	T					
323. -TNT EXPRESS NV		None	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

deGravelles, John W.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. -TAIWAN SMCNDCTR MFG CO LTD ADR	A	Dividend	J	T					
325. -TALISMAN ENERGY INC	A	Dividend	J	T					
326. -TECHNE CP	A	Dividend	J	T					
327. -TEJON RANCH CO		None	J	T					
328. -TEJON RANCH CO WT EXP		None	J	T					
329. -TELECOM ITALIA SPA(NEW)SVGS SH	A	Dividend	J	T					
330. -TEMPUR-PEDIC INT'L INC		None	J	T					
331. -TENET HEALTHCARE CORP COM NEW		None	J	T					
332. -TESCO PLC SPONSORED ADR	A	Dividend	J	T					
333. -TEVA PHARMACEUTICALS ADR	A	Dividend	J	T					
334. -THERMO FISHER SCIENTIFIC INC	A	Dividend	J	T					
335. -TIGER BRANDS LTD SPON ADR NEW	A	Dividend	J	T					
336. -TOWERS WATSON & CO CL A		None	J	T					
337. -TOYOTA MOTOR CP ADR NEW	A	Dividend	J	T					
338. -TREDEGAR CORPORATION	A	Dividend	J	T					
339. -TURKCELL ILETISM HIZM AS NEW		None	J	T					
340. -TWENTY-FIRST CENTURY FOX CL A	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A =\$1,000 or less
F =\$50,001 - \$100,000

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000

D =\$5,001 - \$15,000
H2 =More than \$5,000,000

E =\$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000

K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000

L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000

M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q =Appraisal
U =Book Value

R =Cost (Real Estate Only)
V =Other

S =Assessment
W =Estimated

T =Cash Market

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Name of Person Reporting

deGravelles, John W.

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03/13/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. -UNILEVER NV NY SH NEW	A	Dividend	J	T					
342. -UNION PACIFIC CORP	A	Dividend	K	T					
343. -UNITED CONTINENTAL HLDGS INC		None	J	T					
344. -UNITED TECHNOLOGIES CORP	A	Dividend	J	T					
345. -VALE S.A. SP	A	Dividend	J	T					
346. -VALLOUREC SA NEW SPON ADR	A	Dividend	J	T					
347. -VERIZON COMMUNICATIONS	B	Dividend	K	T					
348. -VIVENDI SA UNSPON ADR	A	Dividend	J	T					
349. -VMWARE INC CLASS A		None	J	T					
350. -VODAFONE GROUP PLC	A	Dividend	J	T					
351. -VODACOM GROUP LIMITED	A	Dividend	J	T					
352. -VOLKSWAGEN AG SPON ADR		None	J	T					
353. -WABCO HLDGS INC		None	J	T					
354. -WACOAL CP ADR	A	Dividend	J	T					
355. -WAL MART STORES INC	A	Dividend	J	T					
356. -WEICHAI PWR CO LTD UNSPON ADR	A	Dividend	J	T					
357. -WELLS FARGO & CO NEW	A	Dividend	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

deGravelles, John W.

Date of Report

03/13/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. -WHOLE FOODS MARKETS INC	A	Dividend	J	T					
359. -WOLTERS KLUWER NV SPON ADR	A	Dividend	J	T					
360. -WOLVERINE WORLD WIDE	A	Dividend	J	T					
361. -WOOLWORTHS HLDGS LTD	A	Dividend	J	T					
362. -WORLD FUEL SERVICES CORP	A	Dividend	J	T					
363. -WYNN MACAU LTD UNSPON ADR	A	Dividend	J	T					
364. -YPF SOCIEDAD ADS REP 1 CL-D SH	A	Dividend	J	T					
365. -AON PLC SHS CL-A	A	Dividend	J	T					
366. -AXIS CAPITAL HOLDINGS LTD	A	Dividend	J	T					
367. -COVIDIEN PLC	A	Dividend	J	T					
368. -EATON CORP PLC SHS	A	Dividend	J	T					
369. -INVESCO LTD	A	Dividend	J	T					
370. -MICHAEL KORS HOLDINGS LTD		None	J	T					
371. -MONTPELIER RE HLDGS LTD	A	Dividend	J	T					
372. -WHITE MOUNTAIN GRP BERMUDA	A	Dividend	J	T					
373. -ACE LTD	A	Dividend	J	T					
374. -WEATHERFORD INTERNATIONAL LTD		None	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A =\$1,000 or less
F =\$50,001 - \$100,000

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000

D =\$5,001 - \$15,000
H2 =More than \$5,000,000

E =\$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J =\$15,000 or less
N =\$250,001 - \$500,000

K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000

L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000

M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q =Appraisal
U =Book Value

R =Cost (Real Estate Only)
V =Other

S =Assessment
W =Estimated

T =Cash Market

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. -UBS AG NEW	A	Dividend	J	T					
376. -CHECK POINT SOFTWARE TECH LTD		None	J	T					
377. -ASML HOLDING NV NY REG NEW	A	Dividend	J	T					
378. -CORE LABORATORIES N V	A	Dividend	J	T					
379. -LYONDELLBASELL NV CL-A	A	Dividend	K	T					
380. -NIELSEN HOLDINGS NV		None	J	T					
381. -INVESCO PREMIER INST	A	Dividend	K	T					
382. -FRANKLIN GLD & PREC METALS ADV		None	M	T					
383. -ING GLOBAL REAL ESTATE FD I	B	Dividend	M	T					
384. -ADR BANCO SANTANDER	A	Dividend							
385. -ALLERGAN INC	A	Dividend							
386. -ALLIANZ SE ADS	A	Dividend							
387. -AMER INTL GP INC NEW	A	Dividend							
388. -AMERICA MOVIL SA DE CV ADR	A	Dividend							
389. -AMERIPRISE FINCL INC	A	Dividend							
390. -AMERISOURCEBERGEN CORP	A	Dividend							
391. -ANGLOGOLD ASHANTI LIMITED	A	Dividend							

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

deGravelles, John W.

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03/13/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. -ANHEUSER BUSCH INBEV SA SPON	A	Dividend							
393. -AUTOMATIC DATA PROCESSING INC	A	Dividend							
394. -AVALONBAY COMM INC	A	Dividend							
395. -BLACKBAUD INC	A	Dividend							
396. -BOSTON PROPERTIES INC	A	Dividend							
397. -CAPITAL ONE FINANCIAL CORP	A	Dividend							
398. -CENTURYLINK INC	A	Dividend							
399. -CISCO SYS INC	A	Dividend							
400. -COHEN & STEERS INTL REALTY I	B	Dividend							
401. -COMP DE BEB AMBEV SP ADR	A	Dividend							
402. -DEERE & CO	A	Dividend							
403. -DU PONT EI DE NEMOURS & CO	A	Dividend							
404. -EAST JAPAN RY CO ADR	A	Dividend							
405. -ENERGIZER HLDGS INC	A	Dividend							
406. -EOG RESOURCES INC	A	Dividend							
407. -FIFTH 3RD BANCORP OHIO	A	Dividend							
408. -GOLD FIELDS LTD. SP. ADR	A	Dividend							

1. Income Gain Codes:
(See Columns B1 and D4)A =\$1,000 or less
F =\$50,001 - \$100,000B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000D =\$5,001 - \$15,000
H2 =More than \$5,000,000

E =\$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,0003. Value Method Codes
(See Column C2)Q =Appraisal
U =Book ValueR =Cost (Real Estate Only)
V =OtherP4 =More than \$50,000,000
S =Assessment
W =Estimated

T =Cash Market

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Name of Person Reporting

deGravelles, John W.

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03/13/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
409. -GRUPO TELEVISIA S.A.GLOBAL DEP	A	Dividend							
410. -HATTERAS FINL CORP COM	A	Dividend							
411. -HEICO CORP CLASS A	A	Dividend							
412. -HILLENBRAND INC	A	Dividend							
413. -INTL BUSINESS MACHINES CORP	A	Dividend							
414. -JOY GLOBAL INC	A	Dividend							
415. -KEYCORP NEW	A	Dividend							
416. -LOWES COMPANIES INC	A	Dividend							
417. -MONDELEZ INTL INC COM	A	Dividend							
418. -NATIONAL OILWELL VARCO INC	A	Dividend							
419. -NATL GRID TRANSCO PLC ADS	A	Dividend							
420. -NATL INSTRUMS CP	A	Dividend							
421. -NEWCREST MINING LTD SPONS ADR	A	Dividend							
422. -NINTENDO CO LTD ADR NEW	A	Dividend							
423. -NU SKIN ENTERPRISE INC A	A	Dividend							
424. -NUCOR CORPORATION	A	Dividend							
425. -PACCAR INC	A	Dividend							

1. Income Gain Codes:
(See Columns B1 and D4)

A =\$1,000 or less
F =\$50,001 - \$100,000

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000

D =\$5,001 - \$15,000
H2 =More than \$5,000,000

E =\$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000

K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000

L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000

M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q =Appraisal
U =Book Value

R =Cost (Real Estate Only)
V =Other

S =Assessment
W =Estimated

T =Cash Market

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

deGravelles, John W.

Date of Report

03/13/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. -ROGERS COMM INC CL B (BC)	A	Dividend							
427. -SANDISK CORP	A	Dividend							
428. -SCHLUMBERGER LTD	A	Dividend							
429. -SILGAN HLDGS INC	A	Dividend							
430. -STATE STREET CORP	A	Dividend							
431. -TARGET CORPORATION	A	Dividend							
432. -THE ADT CORPORATION COM	A	Dividend							
433. -TRAVELERS COMPANIES INC COM	A	Dividend							
434. -U S BANCORP COM NEW	A	Dividend							
435. -WILEY JOHN & SON CL A	A	Dividend							

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The asset listed on Line 4 of Part VII is a Subchapter S Corporation. The income from this S Corporation has been reported in Part III. Apart from the income reported in Part III, this asset has produced no other income.

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ John W. deGravelles

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		166	001	Notes payable to banks-secured (auto)		38	229
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities – see schedule	9	776	332	Notes payable to relatives			
Unlisted securities – see schedule	3	279	379	Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends		382	327	Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable			
Real estate owned – personal residence		700	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		105	000				
Cash value-life insurance							
Other assets itemize:							
Prepaid state and federal taxes		843	131				
				Total liabilities		38	229
				Net Worth	15	213	941
Total Assets	15	252	170	Total liabilities and net worth	15	252	170
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor	1	010	475	Are any assets pledged? (Add schedule)	Yes		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal and State Income Tax		595	500				
Other special debt							

FINANCIAL STATEMENT

NET WORTH SCHEDULES

Listed Securities

Allianz Valuemark III annuity	\$ 754,220
Consulting Group Emerging Market	26,087
Consulting Group Government MMKT	4,474
Consulting Group International Equity Fund	26,654
Consulting Group Large Cap Growth Fund	56,787
Consulting Group Large Cap Value Equity Fund	55,716
Consulting Group Small Cap Growth Fund	26,744
Consulting Group Small Cap Value Equity Fund	26,416
Eaton Vance Tax-Managed Growth 1.1 Fund	59,458
Lincoln American Legacy Design 2 Annuity	599,881
Morgan Stanley Managed Bond Account #1	3,619,300
Morgan Stanley Managed Bond Account #2	313,957
Morgan Stanley Managed Stock Account	2,495,794
Prudential Annuity	232,226
Transamerica Retirement Plus Annuity	1,457,846
Unit Quality Municipal National LT 109 MPS (UIT)	20,772
Total Listed Securities	<u>\$ 9,776,332</u>

Unlisted Securities

deGravelles Enterprises, LLC	\$ 470,087
deGravelles Family II, LLC	145,600
deGravelles Family III, LLC	688,000
John W. deGravelles Law, LLC	1,975,692
Total Unlisted Securities	<u>\$ 3,279,379</u>

Pledged Assets

The Morgan Stanley Managed Bond Account #2 is pledged against my law practice's line of credit, which is listed as a contingent liability above.

AFFIDAVIT

I, John Wheadon de Gravelles, do swear
that the information provided in this statement is, to the best
of my knowledge, true and accurate.

3/13/2014

(DATE)

[Signature]

(NAME)

[Signature]

(NOTARY)