

**Responses of Denny Chin**  
**Nominee to the U.S. Court of Appeals for the Second Circuit**  
**to the Written Questions of Senator Jeff Sessions**

1. At your hearing, I asked you to expound upon your comments in the December 17, 2007 issue of the *New York Law Journal*. You answered:

**“I think that the quality of justice is not as good if the bench is dominated by one group of the same background or persuasion. I think with a more diverse group on the bench, the judges will learn from each other. I do not suggest for a moment that an Asian-American judge is more likely to reach a wise result than a white judge, but I think the two together can learn from each other and perhaps come up with a better answer.”**

- a. Please explain what you mean by (i) “the quality of justice” and (ii) how it “is not as good if the bench is dominated by one group of the same background or persuasion.”

Response: By "quality of justice," I mean not the substantive law but the process of administering justice. A more diverse judiciary would help provide role models for lawyers and students; it would help dispel stereotypes and notions that certain groups are not capable of being judges; it would help send a message of inclusion to historically underrepresented groups; it would help improve access to justice; and it would enable individuals from different walks of life to learn from each other. As I said in that same speech, I will not rule differently because of race or ethnicity or cultural background. I do not believe that race or ethnicity or gender or cultural background should affect a judge's interpretation of the law. Sometimes, however, race and ethnicity have an improper impact. For example, the Second Circuit has vacated sentences where there was at least an appearance that a defendant's race or ethnicity played an adverse role in the sentencing decision. See, e.g., *U.S. v. Kaba*, 480 F.3d 152 (2d Cir. 2007) (holding that district court's stated intent to send a message to defendant's ethnic community or native country was improper); *U.S. v. Leung*, 40 F.3d 577 (2d Cir. 1994) (same). Increased diversity on the bench would help make judges more sensitive to these kinds of issues, so that a defendant's race and ethnicity would not subject him to a different sentence.

- b. Do you think that a court that “is dominated by one group of the same background or persuasion” is somehow less legitimate than one “with a more diverse group on the bench”? Please explain your answer.

Response: I do not believe a court that is dominated by one group of the same background or persuasion is "less legitimate" than one that is more diverse. But for the reasons stated I believe it is important to have a more diverse bench.

- c. **In my opinion, a judge's role is to adhere to the rule of law, which consists of applying a predetermined set of rules to the specific facts of a case. Given that definition of the rule of law, I am unclear what you are implying when you stated that "a more diverse group on the bench . . . can learn from each other and perhaps come up with a better answer." Please explain what you meant by this statement and how it comports with a judge's role to adhere to the rule of law.**

Response: I agree that a judge's role is to adhere to the rule of law, and a judge's race, ethnicity, or gender should not affect his or her interpretation of the law. I do believe that we can learn from each other. Just as there is value to having diversity in the work place, in our universities, and in the military, for example, as the Supreme Court and other institutions have recognized, there is value in having diversity on the bench.

2. **At your hearing, I asked you about your decisions invalidating portions of New York's Megan's Law statute. The Second Circuit never upheld your rulings and twice reversed. You found three different reasons to invalidate portions of the Megan's Law – an *ex post facto* rationale, a due process rationale, and a novel rationale that forbade the legislature from even passing amendments to its Megan's Law statute. I am concerned that you may have an extreme view of the law that places greater weight in favor of the perpetrators of sexual assault rather than their victims and the communities in which they may live.**

- a. **Do you believe that Megan's Laws violate the Constitutional rights of sex offenders? Please explain your answer.**

Response: No, to the extent this question has been answered by the Supreme Court and the Second Circuit. To the extent there are issues that have not yet been ruled upon, it would be inappropriate for me to opine now, as those issues could arise in the future. As for my past rulings, I did not rule on Megan's Law as a whole and I did not opine on whether it was a good or bad idea or whether it was sound or unsound as a matter of policy. I addressed specific issues raised by the litigants before me. I held that the New York statute violated the *ex post facto* clause with respect to sex offenders convicted before the statute's enactment because community notification constituted punishment. The Second Circuit and Supreme Court held otherwise and I accept their decisions. I held that certain aspects of the New York Megan's Law did not comport with the requirements of the Due Process Clause.

The State did not appeal my decision and the New York State legislature in fact amended the statute to address these Due Process concerns. The amended statute does not violate the Due Process Clause. I held that other amendments to Megan's Laws passed after the parties had entered into a consent decree could not be applied to sex offenders covered by the consent decree because the State was in essence unilaterally re-writing the consent decree. The Second Circuit reversed, in a two-to-one decision, and I accept its decision.

- b. **In 2003, the United States Supreme Court twice upheld one state's Megan's Law against Constitutional challenges. Does the fact that the Supreme Court has upheld a Megan's Law cause you to regret any of your opinions in these cases? Please explain your answer.**

Response: I do not regret my decisions. The Supreme Court and Second Circuit have ruled, and I accept their decisions. These were difficult issues, and although it reversed me, the Second Circuit commented that I had written a "thoughtful decision," *Doe v. Pataki*, 120 F.3d 1263, 1271 (2d Cir. 1997), and that the issue of whether notification constituted "punishment" was "not free from doubt." 120 F.3d at 1265.

- c. **I am particularly concerned with your third ruling in these cases, in which you held that the New York legislature could not amend the Megan's Law statute because a settlement arising out of the second Megan's Law suit referenced the old law.**

- i. **Do you recognize and respect the authority and prerogative of the legislature and executive to pass laws regarding issues such as Megan's Laws?**

Response: I recognize and respect the authority of the legislature and executive to pass laws. Indeed, I did not hold that the New York legislature could not amend the Megan's Law statute. I held only that the amendment could not be applied to the sex offenders covered by the consent decree that the State of New York had entered into, because the consent decree was a binding contract and the State could not unilaterally re-write the consent decree. I held that a contract was a contract, even when the State was involved. The Second Circuit reversed. I accept the Second Circuit's decision.

- ii. **Do you believe that a settlement can prevent a future legislature and executive from passing valid legislation?**

Response: No.

3. **In *U.S. v. Perez*, you suppressed evidence seized from an individual's home as part of a child pornography investigation. In remarks before the University**

of Virginia School of Law, you referred to this case, saying, “it may be disgusting, but it’s protected activity.”

**a. What did you believe was protected activity?**

Response: I held that the website offered the following "protected and legal activities": text-based messaging; answering survey questions; posting links to other sites; and chatting (engaging in real-time conversations), as long as these activities did not involve the transmitting or receiving of child pornography.

**b. Do you believe that chatting online about exploiting children is protected activity? Please explain your answer.**

Response: As long as the individuals are merely chatting and not, for example, entering into a conspiracy to engage in criminal conduct, they are not breaking the law. As the Second Circuit explicitly held in another *Candyman* case, "Many of the activities Candyman facilitated, such as members' chatting with each other, are protected by the First Amendment." *U.S. v. Coreas*, 419 F.3d 151, 156-57 (2d Cir. 2005).

**4. In a 2003 speech at the Conference on Law and Public Policy at Harvard Law School, you discussed the idea of “judicial activism,” stating:**

**“And so, yes, I am a judicial activist. Not in my opinions or in my decisions, but in my effort to get out there, to be seen and heard, to speak in a manner that is appropriate for a sitting judge, and to try and provide some guidance for students and others.”**

**a. How do you define the term “judicial activism”?**

Response: In the speech in question, I specifically stated that I was not a judicial activist in terms of my judging or decision-making. By that I meant I was not a "judicial activist" in the sense of a judge who is trying to make or write law. To the contrary, I believe in judicial restraint. The legislature writes the law. In the speech I did say I was a "judicial activist" in the narrow sense of someone who was active in speaking to students and others to try to provide guidance and encouragement.

**b. Do you think it is ever proper for judges to indulge their own policy preferences in determining what the law means?**

Response: No.

**i. If so, under what circumstances?**

Response: I do not.

**ii. Please identify any cases in which you have done so.**

Response: None.

**iii. If not, please discuss an example of a case where you have had to set aside your own policy preferences and rule based solely on the law.**

Response: As a judge, I have not considered my own policy preferences in determining what the law means, and I do not recall any such case.

**c. Do you think it is ever proper for judges to indulge their own values in determining what the law means?**

Response: No.

**i. If so, under what circumstances?**

Response: I do not.

**ii. Please identify any cases in which you have done so.**

Response: None.

**iii. If not, please discuss an example of a case where you have had to set aside your own values and rule based solely on the law.**

Response: As a judge, I have not considered my own values in determining what the law means, and I do not recall any such case.

**d. Have you ever ruled in a case based on your desire to obtain a certain outcome? If so, please describe that case or those cases.**

Response: No.

**e. Have you ever ruled in a case based on anything other than the law before you and applicable precedents? If so, please describe that case or those cases.**

Response: No.

**5. Some people refer to the Constitution as a “living” document that is constantly evolving as society interprets it. Do you agree with this perspective of constitutional interpretation? Please explain your answer.**

Response: I do not believe that the Constitution constantly evolves as society sees fit. Absent a constitutional amendment, the words of the

Constitution do not change. The words of the Constitution should be given their plain meaning, and where there is ambiguity, the intent of the framers should be given great weight. The framers, however, did not envision all the issues that confront us today, particularly, for example, issues presented by developments in technology. In these situations, I believe a judge should apply the words of the Constitution and constitutional principles, as construed by applicable Supreme Court case law.

**6. As you may know, President Obama has described the types of judges that he will nominate to the federal bench as follows:**

**“We need somebody who’s got the heart, the empathy, to recognize what it’s like to be a young teenage mom. The empathy to understand what it’s like to be poor, or African-American, or gay, or disabled, or old. And that’s the criteria by which I’m going to be selecting my judges.”**

- a. Without commenting on what President Obama may or may not have meant by this statement, what is your opinion with respect to President Obama’s criteria for federal judges, as described in his quote?**

Response: My opinion is that it is the President's prerogative to nominate federal judges of his choosing, subject to the advice and consent of the Senate, and to employ the criteria he deems appropriate.

- b. In your opinion, do you fit President Obama’s criteria for federal judges, as described in the quote?**

Response: I have not experienced all of the situations covered by the President in the quotation, but I have had a broad range of experiences and I believe I have the ability to understand and empathize with different people.

- c. During her confirmation hearings, Justice Sotomayor rejected President Obama’s so-called “empathy standard” stating, “We apply the law to facts. We don’t apply feelings to facts.” Do you agree with Justice Sotomayor?**

Response: I agree with Justice Sotomayor's statement.

- d. What role do you believe that empathy should play in a judge’s consideration of a case?**

Response: Empathy should play no role in a judge's determination of what the law is. There is, however, a role for empathy, as empathy will help a judge treat all litigants and witnesses with dignity and respect.

- e. Do you think that it's ever proper for judges to indulge their own subjective sense of empathy in determining what the law means?**

Response: No.

- i. If so, under what circumstances?**

Response: I do not believe so.

- ii. Please identify any cases in which you've done so.**

Response: None.

- iii. If not, please discuss an example of a case where you have had to set aside your own subjective sense of empathy and rule based solely on the law.**

Response: As a judge, I have not considered my own subjective sense of empathy in determining what the law means, and I do not recall any such case.

- 7. Please describe with particularity the process by which these questions were answered.**

Response: I drafted the answers. They were reviewed by the Department of Justice, which provided some feedback. The answers are mine.

- 8. Do these answers reflect your true and personal views?**

Response: Yes.