

**UNITED STATES SENATE
COMMITTEE ON THE JUDICIARY**

QUESTIONNAIRE FOR NON-JUDICIAL NOMINEES

PUBLIC

1. **Name**: State full name (include any former names used).

Kenneth Charles Canterbury Jr.
Chuck Canterbury

2. **Position**: State the position for which you have been nominated.

Director, Bureau of Alcohol, Tobacco, Firearms & Explosives

3. **Address**: List current office address. If city and state of residence differs from your place of employment, please list the city and state where you currently reside.

328 Massachusetts Ave NE, Washington DC 20002

Residence: Conway, South Carolina

4. **Birthplace**: State date and place of birth.

1958
Landstuhl, Germany

5. **Education**: List in reverse chronological order each college, law school, or any other institution of higher education attended and indicate for each the dates of attendance, whether a degree was received, and the date each degree was received.

Coastal Carolina University, 1995 – 1999, B.A., Interdisciplinary Studies, received 1999

6. **Employment Record**: List in reverse chronological order all governmental agencies, business or professional corporations, companies, firms, or other enterprises, partnerships, institutions or organizations, non-profit or otherwise, with which you have been affiliated as an officer, director, partner, proprietor, or employee since graduation from college, whether or not you received payment for your services. Include the name and address of the employer and job title or description.

January 2003 – Present
National Fraternal Order of Police
328 Massachusetts Avenue NE
Washington, DC 20002
President, January 2003 – Present

February 1985 – January 2004
Horry County Police Department
2560 Main Street, Suite 7
Conway, SC 29526
Major, October 1997 – January 2004
Captain, March 1997 – October 1997
Lieutenant, November 1990 – March 1997
Sergeant, May 1986 – November 1990
Patrolman, February 1985 – May 1986

March 1979 – February 1985
Myrtle Beach Police Department
1101 North Oak Street
Myrtle Beach, SC 29577
Patrol Officer, March 1979 – February 1985

Uncompensated Affiliations:

2018 – Present
International Council of Police Representative Associations
701 Marriott Drive
Nashville, TN 37214
Treasurer, 2018 – Present

2004 – Present
Homeland Security Advisory Board
U.S. Department of Homeland Security
3801 Nebraska Avenue NW
Washington, DC 20016

2003 – Present
National Law Enforcement Memorial Foundation
444 E Street NW
Washington, DC 20002

7. **Military Service and Draft Status:** Identify any service in the U.S. Military, including dates of service, branch of service, rank or rate, serial number (if different from social security number) and type of discharge received, and whether you have registered for selective service.

I have not served in the U.S. military. I was born in 1958 and therefore was not subject to the selective service registration requirement, which requires all male U.S. citizens born after December 31, 1959, to register.

8. **Honors and Awards:** List any scholarships, fellowships, honorary degrees, academic or

professional honors, honorary society memberships, military awards, and any other special recognition for outstanding service or achievement.

Inaugural Class of the South Carolina Law Enforcement Officer Hall of Fame, 2017

Member of the Year, South Carolina Fraternal Order of Police, 2002

Alumni of the Year, Coastal Carolina University School of Interdisciplinary Studies, 2001

9. **Bar Associations:** List all bar associations or legal or judicial-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices which you have held in such groups.

I am not an attorney.

10. **Bar and Court Admission:**

- a. List the date(s) you were admitted to the bar of any state and any lapses in membership. Please explain the reason for any lapse in membership.

I am not an attorney.

- b. List all courts in which you have been admitted to practice, including dates of admission and any lapses in membership. Please explain the reason for any lapse in membership. Give the same information for administrative bodies that require special admission to practice.

I am not an attorney.

11. **Memberships:**

- a. List all professional, business, fraternal, scholarly, civic, charitable, or other organizations, other than those listed in response to Questions 9 or 10 to which you belong, or to which you have belonged, since graduation from law school. Provide dates of membership or participation, and indicate any office you held. Include clubs, working groups, advisory or editorial boards, panels, committees, conferences, or publications.

National Fraternal Order of Police (1984 – Present)

President (2003 – Present)

Vice President (2001 – 2003)

Second Vice President (1998 – 2001)

State Lodge President (1990 – 1998)

Homeland Security Advisory Board (2004 – Present)

Medal of Valor Review Board (2004 – 2012)

Coastal Carolina Fraternal Order of Police
Local Lodge President (1984 – 1997)

In addition, I have made financial contributions to charitable organizations over the years. Such organizations may list me as a member by virtue of my financial contribution. I have not listed above any organization to which I gave funds and did not otherwise participate in programmatic activities.

- b. Indicate whether any of these organizations listed in response to 11a above currently discriminate or formerly discriminate on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies. If so, describe any action you have taken to change these policies and practices.

To my knowledge, none of these organizations discriminates or formerly discriminated on the basis of race, sex, religion, or national origin either through formal membership requirements or the practical implementation of membership policies.

12. Published Writings and Public Statements:

- a. List the titles, publishers, and dates of books, articles, reports, letters to the editor, editorial pieces, or other published material you have written or edited, including material published only on the Internet. Supply four (4) copies of all published material to the Committee.

See Appendix 12a.

- b. Supply four (4) copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of on behalf of any bar association, committee, conference, or organization of which you were or are a member. If you do not have a copy of a report, memorandum or policy statement, give the name and address of the organization that issued it, the date of the document, and a summary of its subject matter.

I have done my best to identify any reports, memoranda, or policy statements I have prepared or contributed to, including through a review of my personal files and searches of publicly available electronic databases. Despite my searches, I have not identified any responsive material.

- c. Supply four (4) copies of any testimony, official statements or other communications relating, in whole or in part, to matters of public policy or legal interpretation, that you have issued or provided or that others presented on your

behalf to public bodies or public officials.

See Appendix 12c.

- d. Supply four (4) copies, transcripts or recordings of all speeches or talks delivered by you, including commencement speeches, remarks, lectures, panel discussions, conferences, political speeches, and question-and-answer sessions. Include the date and place where they were delivered, and readily available press reports about the speech or talk. If you do not have a copy of the speech or a transcript or recording of your remarks, give the name and address of the group before whom the speech was given, the date of the speech, and a summary of its subject matter. If you did not speak from a prepared text, furnish a copy of any outline or notes from which you spoke.

See Appendix 12d.

- e. List all interviews you have given to newspapers, magazines or other publications, or radio or television stations, providing the dates of these interviews and four (4) copies of the clips or transcripts of these interviews where they are available to you.

See Appendix 12e.

13. Public Office, Political Activities and Affiliations:

- a. List chronologically any public offices you have held, other than judicial offices, including the terms of service and whether such positions were elected or appointed. If appointed, please include the name of the individual who appointed you. Also, state chronologically any unsuccessful candidacies you have had for elective office or unsuccessful nominations for appointed office.

I have not run for public office. I have held the following appointed positions:

Homeland Security Advisory Board – first appointed in 2004 by the Honorable Tom Ridge, U.S. Secretary of Homeland Security

Medal of Valor Review Board – first appointed in 2004 by President George W. Bush

- b. List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

I have not held a position or rendered services to any political party, election

committee, or political campaign.

14. **Legal Career:** Answer each part separately.

- a. Describe chronologically your law practice and legal experience after graduation from law school including:
 - i. whether you served as clerk to a judge, and if so, the name of the judge, the court and the dates of the period you were a clerk;
 - ii. whether you practiced alone, and if so, the addresses and dates;
 - iii. the dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been affiliated, and the nature of your affiliation with each.
 - iv. Whether you served as a mediator or arbitrator in alternative dispute resolution proceedings and, if so, a description of the 10 most significant matters with which you were involved in that capacity.

I am not an attorney.

- b. Describe:
 - i. the general character of your law practice and indicate by date when its character has changed over the years.
 - ii. your typical clients and the areas at each period of your legal career, if any, in which you have specialized.

I am not an attorney.

- c. Describe the percentage of your practice that has been in litigation and whether you appeared in court frequently, occasionally, or not at all. If the frequency of your appearances in court varied, describe such variance, providing dates.
 - i. Indicate the percentage of your practice in:
 1. federal courts: _____%
 2. state courts of record: _____%
 3. other courts: _____%
 4. administrative agencies: _____%
 - ii. Indicate the percentage of your practice in:
 1. civil proceedings: _____%
 2. criminal proceedings: _____%

I am not an attorney.

- d. State the number of cases in courts of record, including cases before administrative law judges, you tried to verdict, judgment or final decision (rather than settled), indicating whether you were sole counsel, chief counsel, or associate counsel.
- i. What percentage of these trials were:
- | | |
|--------------|------|
| 1. jury: | ___% |
| 2. non-jury: | ___% |

I am not an attorney.

- e. Describe your practice, if any, before the Supreme Court of the United States. Supply four (4) copies of any briefs, amicus or otherwise, and, if applicable, any oral argument transcripts before the Supreme Court in connection with your practice.

I am not an attorney.

15. **Litigation**: Describe the ten (10) most significant litigated matters which you personally handled, whether or not you were the attorney of record. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the case. Also state as to each case:

- a. the date of representation;
- b. the name of the court and the name of the judge or judges before whom the case was litigated; and
- c. the individual name, addresses, and telephone numbers of co-counsel and of principal counsel for each of the other parties.

As I am not an attorney, I am providing references in lieu of litigated matters:

Saundra Rhodes
Chief of Police, Retired
Horry County Police Department
2451 Farmwood Circle
Conway, SC 29527
843-251-3640

Jerry Wright
Chief Deputy, Retired

Newberry County Sheriff's Office
1970 Cresswind Boulevard
Myrtle Beach, SC 29577
803-768-2020

David Beaty
Coordinator of School Safety and Security
Horry County Schools
335 Four Mile Road
Conway, SC 29528
843-222-7214

William H. Pickle
Senate Sergeant at Arms, Retired
Presently President of Cornerstone Associates, LLC
721 McKenzie Avenue
Alexandria, VA 22301
202-679-6789

James Pasco
Executive Director
Fraternal Order of Police
328 Massachusetts Avenue NE
Washington, DC 20002
202-505-7300

Phillip Thompson
Sheriff
Horry County Sheriff's Office
1301 2nd Avenue
Conway, SC 29526
843-602-0922

Paul Goward
Police Chief, Retired
Horry County Police Department
1217 Southwest 18th Street
Cape Coral, FL 33991
425-283-3411

Victor Neal
Major, Retired
Florida Alcohol Enforcement Unit
15026 Shaw Road
Tampa, FL 33625
850-445-9959

Michael C. Stenger
Sergeant at Arms
United States Senate
S-151, the Capitol
Washington, DC 20510
202-224-2341

James Fiocca
Retired
Cape May NJ Police Department
37 River Bend Drive
Murrells Inlet, SC 29576
609-425-0682

16. **Legal Activities:** Describe the most significant legal activities you have pursued, including significant litigation which did not progress to trial or legal matters that did not involve litigation. Describe fully the nature of your participation in these activities. List any client(s) or organization(s) for whom you performed lobbying activities and describe the lobbying activities you performed on behalf of such client(s) or organizations(s). (Note: As to any facts requested in this question, please omit any information protected by the attorney-client privilege.)

From 1979 to 1985, I served as a Patrol Officer for the Myrtle Beach Police Department in Myrtle Beach, South Carolina. In this role, my primary responsibilities were to patrol a designated area of the city, respond to police calls for service, investigate crimes, make apprehensions, prepare case files, and write reports. Additionally, I occasionally testified and/or provided courtroom presentations in criminal courts.

In February 1985, I joined the Horry County Police Department in Conway, South Carolina. From February 1985 to May 1986, I served as a Patrolman with Horry County PD. In May 1986, I was promoted to Sergeant, a position that I held until November 1990. As Sergeant, I supervised the crime prevention unit, investigated crimes, performed crime scene duties, and prepared criminal cases for prosecution.

In November 1990, I was promoted again to Lieutenant, a position that I held until March 1997. As Lieutenant, I was responsible for supervising the training division of the police department and supervising the airport division of the County Police. I also prepared the agency budgets and prepared all reports presented to the county administrator and County Council.

I served as Captain of the Horry County Police Department from March 1997 to October 1997. As Captain, I managed the Support Services Division of the agency, which included the Records Division, IT Division, Airport Division, the Special Emergency Response Team (SWAT), and the Warrants Division. In addition to managing the Support Services Division, I also supervised the Drug Lab and the Crime Scene Division.

I then served as Major with the Horry County PD from October 1997 to January 2004, at which time I retired from the Department. As Major, I served as second in command of the agency. In this role, I managed the Operations Bureau of the Police Department. The Operations Bureau conducts criminal investigations, including Major Crimes, Property Crimes, Narcotics, and Vice.

I have also served as President of the National Fraternal Order of Police since January 2003. In this position, I am the Chief Executive Officer of the organization and oversee the day-to-day operations of the National FOP. This includes entering into all contracts and employment agreements, and managing all offices within the organization, including the Legislative Advocacy Center and the National Headquarters.

17. **Teaching:** What courses have you taught? For each course, state the title, the institution at which you taught the course, the years in which you taught the course, and describe briefly the subject matter of the course and the major topics taught. If you have a syllabus of each course, provide four (4) copies to the committee.

I have never taught an academic course.

18. **Deferred Income/ Future Benefits:** List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients or customers. Describe the arrangements you have made to be compensated in the future for any financial or business interest.

I have no such arrangements except the retirement benefits that are identified on my financial disclosure report.

19. **Outside Commitments During Service:** Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service? If so, explain.

None.

20. **Sources of Income:** List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, licensing fees, honoraria, and other items exceeding \$500 or more (if you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here).

Please see my 278-E as provided by the Office of Government Ethics.

21. **Statement of Net Worth:** Please complete the attached financial net worth statement in detail (add schedules as called for).

Please see the attached Net Worth Statement.

22. **Potential Conflicts of Interest:**

- a. Identify the family members or other persons, parties, affiliations, pending and categories of litigation, financial arrangements or other factors that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to arise.

During the nomination process, I consulted with the Department of Justice's ethics office and designated ethics official to identify any potential conflicts. If I am confirmed, I will continue to consult with that office and will recuse myself from any matter in which recusal is required.

- b. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.

If I am confirmed, any potential conflict of interest will be resolved in accordance with the terms of an ethics agreement that I have entered with the Department's designated ethics official. If confirmed, I will continue to consult with the Department of Justice's ethics office and will recuse myself from any matter in which recusal is required.

23. **Pro Bono Work:** An ethical consideration under Canon 2 of the American Bar Association's Code of Professional Responsibility calls for "every lawyer, regardless of professional prominence or professional work load, to find some time to participate in serving the disadvantaged." Describe what you have done to fulfill these responsibilities, listing specific instances and the amount of time devoted to each. If you are not an attorney, please use this opportunity to report significant charitable and volunteer work you may have done.

I have devoted my entire career to public service. I have served on a number of non-profit boards in non-compensated positions. I have also been an active member of my church as well as my Masonic Lodge.

NET WORTH STATEMENT

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings), all liabilities (including debts, mortgages, loans and other financial obligations) of yourself, your spouse and other immediate members of your household.

ASSETS		LIABILITIES	
Cash on hand and in banks	285,897.00	Notes payable to banks-secured	0.00
U.S. Government securities-add schedule	0.00	Notes payable to banks-unsecured	6,000.00
Listed securities-add schedule	1,682,936.00	Notes payable to relatives	0.00
Unlisted securities--add schedule	50,000.00	Notes payable to others	0.00
Accounts and notes receivable:		Accounts and bills due	300.00
Due from relatives and friends	0.00	Unpaid income tax	0.00
Due from others	0.00	Other unpaid income and interest	0.00
Doubtful	0.00	Real estate mortgages payable-add schedule	0.00
Real estate owned-add schedule	600,000.00	Chattel mortgages and other liens payable	0.00
Real estate mortgages receivable	0.00	Other debts-itemize:	
Autos and other personal property	50,000.00		
Cash value-life insurance	0.00		
Other assets itemize:			
		Total liabilities	6,300.00
		Net Worth	2,662,533.00
Total Assets	2,668,833.00	Total liabilities and net worth	2,668,833.00
CONTINGENT LIABILITIES		GENERAL INFORMATION	
As endorser, comaker or guarantor	0	Are any assets pledged? (Add schedule)	NO
On leases or contracts	0	Are you defendant in any suits or legal actions?	NO
Legal Claims	0	Have you ever taken bankruptcy?	NO
Provision for Federal Income Tax	0		
Other special debt	0		

NET WORTH SCHEDULES

Schedule 1: Securities & Investments

Securities	Value
Vanguard Growth	\$ 213,356.88
DFA US Large Cap Value	\$ 188,344.90
iShares Russell 1000 Value	\$ 154,485.99
iShares Exponential Technologies	\$ 138,663.50
DFA Five Year Global Fixed Income	\$ 88,909.07
iShares iBoxx Investment Grade Corporate Bond	\$ 71,078.82
DFA US Small Cap Value	\$ 73,075.62
DFA Intermediate Govt Bond	\$ 82,216.28
iShares 3-7 Year Treasury Bond	\$ 59,628.80
Vanguard Short-Term Treasury Admiral	\$ 65,163.48
iShares S&P Mid-Cap 400 Value	\$ 58,549.81
iShares S&P Mid-Cap 400 Growth	\$ 45,572.80
iShares Russell 2000 Growth	\$ 44,445.16
DFA Short Term Extended Quality	\$ 49,356.00
S&P North American Natural Resources	\$ 48,526.51
DFA International Value Portfolio I	\$ 46,362.88
iShares MSCI EAFE	\$ 41,899.56
DFA US Micro Cap	\$ 40,279.30
DFA Emerging Markets Core Equity	\$ 32,640.35
Federated Treasury Obligations	\$ 36,200.67
DFA Two Year Global Fixed Income	\$ 28,985.26
DFA Intl Small Cap Value	\$ 28,952.69
Vanguard REIT Index	\$ 20,076.21
DFA Intl Real Estate Secs	\$ 14,680.93
TD Ameritrade Cash Fund	\$ 1,740.12
iShares Core S&P Mid-Cap	\$ 5,682.00
DFA Large Cap Intl	\$ 4,513.96
DFA World Ex-US Core Equity I	\$ 6,351.12
Vanguard Total Stock Market ETF	\$ 1,736.52
Vanguard Total Bond Market	\$ 1,461.24
TOTAL	\$ 1,692,936.43

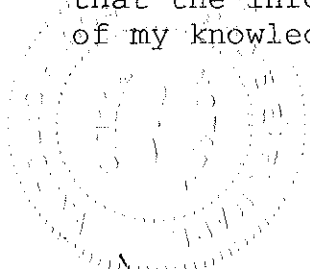
Investments in APEX Energy LLC	Value
APEX Drilling Partners 2014-A	\$ 25,000.00
APEX Drilling Partners 2013-A	\$ 25,000.00
TOTAL	\$ 50,000.00

Schedule 2: Real Estate Owned

Personal Residence 6094 Flossie Rd. Conway, SC 29527	\$ 450,000.00
Riviera Beach RV Park LLC 991 East FM 771 Riviera, TX 78379-3615	\$ 150,000.00
Total Real Estate Owned	\$ 600,000.00

AFFIDAVIT

I, KENNETH CHARLES CARTERBURY JR, do swear
that the information provided in this statement is, to the best
of my knowledge, true and accurate.



Kenneth Carterbury Jr

JUNE 5, 2019

(DATE)

Eddie Mark

(NAME)

Eddie Mark

(NOTARY)

My Commission Expires December 2, 2021