

UNITED STATES SENATE  
COMMITTEE ON THE JUDICIARY

QUESTIONNAIRE FOR NON-JUDICIAL NOMINEES

PUBLIC

1. **Name**: State full name (include any former names used).

Michael Patrick Botticelli

2. **Position**: State the position for which you have been nominated.

Director, Office of National Drug Control Policy

3. **Address**: List current office address. If city and state of residence differs from your place of employment, please list the city and state where you currently reside.

**Work Address**

Office of National Drug Control Policy  
750 17<sup>th</sup> Street NW  
Washington, DC 20503

**Home Address**

Washington, DC

4. **Birthplace**: State date and place of birth.

January 2, 1958  
Troy, NY

5. **Education**: List in reverse chronological order each college, law school, or any other institution of higher education attended and indicate for each the dates of attendance, whether a degree was received, and the date each degree was received.

Cornell University  
Ithaca, NY  
September 1981-82  
Non-degree Coursework

St. Lawrence University  
Canton, NY  
September 1980 – May 1981  
Masters in Education

Siena College  
Loudonville, NY  
September 1976 – May 1980  
Bachelors of Arts

6. **Employment Record**: List in reverse chronological order all governmental agencies, business or professional corporations, companies, firms, or other enterprises, partnerships, institutions or organizations, non-profit or otherwise, with which you have

been affiliated as an officer, director, partner, proprietor, or employee since graduation from college, whether or not you received payment for your services. Include the name and address of the employer and job title or description.

Acting Director  
Office of National Drug Control Policy  
March 2014 – present

Deputy Director  
Office of National Drug Control Policy  
November 2012 – March 2014

Senior Associate  
Altarum Institute  
August 2012 – November 2012

Director  
Bureau of Substance Abuse Services  
Massachusetts Department of Public Health  
August 2003 – July 2012

Special Assistant to the Commissioner  
Massachusetts Department of Public Health  
February 2003 – August 2003

Chief of Staff  
Massachusetts Department of Public Health  
May 2000 – February 2003

Assistant Director, Policy and Planning  
HIV/AIDS Bureau  
Massachusetts Department of Public Health  
July 1996 – May 2000

Contract Manager  
HIV/AIDS Bureau  
Massachusetts Department of Public Health  
August 1995 – July 1996

Program Coordinator  
Bureau of Substance Abuse Services  
Massachusetts Department of Public Health  
February 1994 – August 1995

Various part-time positions, including Supervisor  
Pottery Barn  
March 1993 – May 2000 (est)

Community Relations Representative  
Brookside Hospital  
August 1992 – February 1993

Community Relations Representative  
HighPoint Treatment Center  
March 1991 – August 1992

Assistant Director of Residence Life  
Brandeis University  
August 1985 – March 1991

Assistant Director of Housing  
Roger Williams University  
August 1983 – August 1985

Residence Hall Director  
Cornell University  
August 1981 – August 1983

7. **Military Service and Draft Status:** Identify any service in the U.S. Military, including dates of service, branch of service, rank or rate, serial number (if different from social security number) and type of discharge received, and whether you have registered for selective service.

I have not served in the military and was not required to register with the Selective Service.

8. **Honors and Awards:** List any scholarships, fellowships, honorary degrees, academic or professional honors, honorary society memberships, military awards, and any other special recognition for outstanding service or achievement.

First Recipient of Annual Ramstad/Kennedy National Award for Outstanding Leadership in Promoting Addiction Recovery, August 2008.

Commonwealth Citation for Outstanding Performance. MA Executive Office of Health and Human Services, October 2005 and November 2009

First Recipient of the Massachusetts Consumer Advisory Board Annual Recognition Award, May 2008

Hector Reyes Award from the Latin American Health Alliance in Support of Culturally Sensitive Care, May 2010

President's Award, National Association of State Alcohol and Drug Abuse Directors, June 2010

National Treatment Network Champion's Award, National Association of State Alcohol and Drug Abuse Directors, June 2011

Human Needs Service Award, Massachusetts Nurses Association, July 2011

9. **Bar Associations:** List all bar associations or legal or judicial-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices which you have held in such groups.

I am not an attorney.

10. **Bar and Court Admission:**

I am not an attorney.

- a. List the date(s) you were admitted to the bar of any state and any lapses in membership. Please explain the reason for any lapse in membership.
- b. List all courts in which you have been admitted to practice, including dates of admission and any lapses in membership. Please explain the reason for any lapse in membership. Give the same information for administrative bodies that require special admission to practice.

11. **Memberships:**

- a. List all professional, business, fraternal, scholarly, civic, charitable, or other organizations, other than those listed in response to Questions 9 or 10 to which you belong, or to which you have belonged, since graduation from law school. Provide dates of membership or participation, and indicate any office you held. Include clubs, working groups, advisory or editorial boards, panels, committees, conferences, or publications.

National Association of Student Personnel Administrators  
1982 - 1992 (est)

American College and University Housing Officers  
1982 - 1992 (est)

Arlington Street Church  
1990 - 1993 (est)

National Association of State Alcohol and Drug Abuse Directors  
2003 - 2012, Secretary, Board of Directors

American Public Health Association  
2008 - 2012

National Action Alliance for Suicide Prevention  
2010 - 2012, Executive Committee

USDHHS Substance Abuse and Mental Health Services Administration, Center  
for Substance Abuse Prevention, National Advisory Council  
2010 - 2012

- b. Indicate whether any of these organizations listed in response to 11a above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies. If so, describe any action you have taken to change these policies and practices.

None

## **12. Published Writings and Public Statements:**

I have done my best to identify all published writings and public statements applicable to Question 12 (a.) - (e.), including through a review of my personal files and searches of publicly available electronic databases. Despite my searches, there may be other items I have been unable to identify, find, or remember. I have located the following as listed below.

- a. List the titles, publishers, and dates of books, articles, reports, letters to the editor, editorial pieces, or other published material you have written or edited, including material published only on the Internet. Supply four (4) copies of all published material to the Committee.

See Attached

- b. Supply four (4) copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of on behalf of any bar association, committee, conference, or organization of which you were or are a member. If you do not have a copy of a report, memorandum or policy statement, give the name and address of the organization that issued it, the date of the document, and a summary of its subject matter.

See Attached

- c. Supply four (4) copies of any testimony, official statements or other communications relating, in whole or in part, to matters of public policy or legal interpretation, that you have issued or provided or that others presented on your behalf to public bodies or public officials.

See Attached

- d. Supply four (4) copies, transcripts or recordings of all speeches or talks delivered by you, including commencement speeches, remarks, lectures, panel discussions, conferences, political speeches, and question-and-answer sessions. Include the date and place where they were delivered, and readily available press reports about the speech or talk. If you do not have a copy of the speech or a transcript or recording of your remarks, give the name and address of the group before whom the speech was given, the date of the speech, and a summary of its subject matter. If you did not speak from a prepared text, furnish a copy of any outline or notes from which you spoke.

See Attached

- e. List all interviews you have given to newspapers, magazines or other publications, or radio or television stations, providing the dates of these interviews and four (4) copies of the clips or transcripts of these interviews where they are available to you.

See Attached

**13. Public Office, Political Activities and Affiliations:**

- a. List chronologically any public offices you have held, other than judicial offices, including the terms of service and whether such positions were elected or appointed. If appointed, please include the name of the individual who appointed you. Also, state chronologically any unsuccessful candidacies you have had for elective office or unsuccessful nominations for appointed office.

Deputy Director  
Office of National Drug Control Policy  
November 2012 – March 2014  
Appointed by President Barack Obama

- b. List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

None

**14. Legal Career: Answer each part separately.**

I am not an attorney.

- a. Describe chronologically your law practice and legal experience after graduation from law school including:
- i. whether you served as clerk to a judge, and if so, the name of the judge, the court and the dates of the period you were a clerk;
  - ii. whether you practiced alone, and if so, the addresses and dates;
  - iii. the dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been affiliated, and the nature of your affiliation with each.
  - iv. whether you served as a mediator or arbitrator in alternative dispute resolution proceedings and, if so, a description of the 10 most significant matters with which you were involved in that capacity.
- b. Describe:
- i. the general character of your law practice and indicate by date when its character has changed over the years.
  - ii. your typical clients and the areas at each period of your legal career, if any, in which you have specialized.
- c. Describe the percentage of your practice that has been in litigation and whether you appeared in court frequently, occasionally, or not at all. If the frequency of your appearances in court varied, describe such variance, providing dates.

- i. Indicate the percentage of your practice in:
  1. federal courts;
  2. state courts of record;
  3. other courts;
  4. administrative agencies
  
- ii. Indicate the percentage of your practice in:
  1. civil proceedings;
  2. criminal proceedings.
  
- d. State the number of cases in courts of record, including cases before administrative law judges, you tried to verdict, judgment or final decision (rather than settled), indicating whether you were sole counsel, chief counsel, or associate counsel.
  - i. What percentage of these trials were:
    1. jury;
    2. non-jury.
  
- e. Describe your practice, if any, before the Supreme Court of the United States. Supply four (4) copies of any briefs, amicus or otherwise, and, if applicable, any oral argument transcripts before the Supreme Court in connection with your practice.

15. **Litigation:** Describe the ten (10) most significant litigated matters which you personally handled, whether or not you were the attorney of record. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the case. Also state as to each case:

I am not an attorney.

a. References

1. R. Gil Kerlikowske  
Commissioner  
U.S. Customs and Border Protection  
1300 Pennsylvania Avenue, NW  
Washington, DC 20229  
(202) 368-8405
  
2. Howard Koh, MD, MPH  
Professor of the Practice of Public Health Leadership  
Harvard School of Public Health  
677 Huntington Avenue  
Boston, MA 02115  
(617) 495-1000
  
3. Ambassador William Brownfield  
Assistant Secretary of State  
Bureau of International Narcotics and Law  
Enforcement Affairs

U.S. Department of State  
2201 C Street, NW  
Washington, DC 20520  
(202) 647-8464

4. Ambassador Paul Simons  
Executive Secretary  
Inter-American Drug Abuse Control Commission (CICAD)  
Organization of American States  
17<sup>th</sup> Street and Constitution Avenue, NW  
Washington, DC 20006  
(202) 370-5069
  
5. John Auerbach  
Distinguished Professor of Practice and Director  
Institute on Urban Health Research  
Northeastern University  
360 Huntington Avenue  
Boston, MA 02115  
(617) 373-5668
  
6. Robert Morrison  
Executive Director  
National Association of State Alcohol and Drug Abuse Directors  
1025 Connecticut Avenue, NW  
Suite 605  
Washington, DC 20006  
(202) 293-0090 x106

16. **Legal Activities:** Describe the most significant legal activities you have pursued, including significant litigation which did not progress to trial or legal matters that did not involve litigation. Describe fully the nature of your participation in these activities. List any client(s) or organization(s) for whom you performed lobbying activities and describe the lobbying activities you performed on behalf of such client(s) or organizations(s). (Note: As to any facts requested in this question, please omit any information protected by the attorney-client privilege.)

While I am not an attorney, as a former Board Member of the National Association of State Alcohol and Drug Abuse Directors (NASADAD), I have testified before Congress and performed advocacy activities on Capitol Hill on behalf of the organization. I have never been a registered lobbyist.

17. **Teaching:** What courses have you taught? For each course, state the title, the institution at which you taught the course, the years in which you taught the course, and describe briefly the subject matter of the course and the major topics taught. If you have a syllabus of each course, provide four (4) copies to the committee.

None

18. **Deferred Income/ Future Benefits:** List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients or



customers. Describe the arrangements you have made to be compensated in the future for any financial or business interest.

Commonwealth of Massachusetts Deferred Compensation Plan – employer sponsored retirement plan  
\$155,368  
Payment Upon Retirement

19. **Outside Commitments During Service:** Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service? If so, explain.

I do not intend to pursue outside employment.

20. **Sources of Income:** List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, licensing fees, honoraria, and other items exceeding \$500 or more (if you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here).

See attached schedule

21. **Statement of Net Worth:** Please complete the attached financial net worth statement in detail (add schedules as called for).

See attached Net Worth Statement.

22. **Potential Conflicts of Interest:**

- a. Identify the family members or other persons, parties, affiliations, pending and categories of litigation, financial arrangements or other factors that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to arise.

In connection with the nomination process, I have consulted with the Office of Governmental Ethics and the Office of National Drug Control Policy's designated agency ethics official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered into with ONDCP's designated agency ethics official and that has been provided to the Committee. I am unaware of any other conflicts of interest.

- b. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.

In connection with the nomination process, I have consulted with the Office of Governmental Ethics and the Office of National Drug Control Policy's designated agency ethics official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered into with ONDCP's designated agency ethics official and that has been provided to the Committee. I am unaware of any other conflicts of interest.

23. **Pro Bono Work**: An ethical consideration under Canon 2 of the American Bar Association's Code of Professional Responsibility calls for "every lawyer, regardless of professional prominence or professional workload, to find some time to participate in serving the disadvantaged." Describe what you have done to fulfill these responsibilities, listing specific instances and the amount of time devoted to each. If you are not an attorney, please use this opportunity to report significant charitable and volunteer work you may have done.

While I am not a lawyer, I have done considerable volunteer work. Over the years, I have volunteered on a helpline to assist callers with questions regarding substance abuse, HIV and other related health issues. I have also provided guidance and mentoring for those in early recovery and their families.