

Circuit Court of St. Louis County

DIVISION 15

7900 CARONDELET AVENUE

CLAYTON, MISSOURI 63105

JOHN A. ROSS
CIRCUIT JUDGE
(314) 615-1515

January 5, 2011

The Honorable Patrick J. Leahy
Chairman
Committee on the Judiciary
United States Senate
Washington, DC 20510

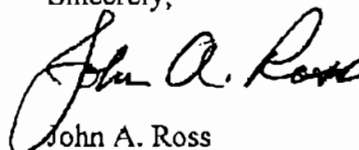
Dear Mr. Chairman:

I have reviewed the Senate Questionnaire I previously filed in connection with my nomination on December 1, 2010, to be United States District Judge for the Eastern District of Missouri. Incorporating the additional information below, I certify that the information contained in that document is, to the best of my knowledge, true and accurate.

- On December 16, 2010, I was a Speaker at the St. Louis County Bar Association's Annual State of the Bench and Bar Meeting. I have no notes, transcript or recording. The address of the association is 7905 Forsyth, Clayton, Missouri 63105
(Question 12d)
- I presided over one additional case that has gone to verdict, therefore the total number of cases presided over that have gone to verdict or judgment is approximately 327.
(Question 13a)

I also am forwarding an updated Net Worth Statement and Financial Disclosure Report as requested in the Questionnaire. I thank the Committee for its consideration of my nomination.

Sincerely,



John A. Ross

cc: Senator Charles Grassley
Ranking Member
Committee on the Judiciary
United States Senate
Washington, DC 20510

FINANCIAL DISCLOSURE REPORT NOMINATION FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ross, John A.	2. Court or Organization District Court for the Eastern District of Missouri	3. Date of Report 01/05/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☒ Nomination, Date 01/05/2011 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/23/2010
7. Chambers or Office Address Division 15, St. Louis County Courthouse 7900 Carondelet Clayton, Missouri 63105	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Advisory Board Member	Commercial Bank of Westport
2. President	Buzz Westfall Charitable Foundation
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2001	Missouri State Employees Retirement Fund, pension upon retirement
2. 1979	St. Louis County Employee Retirement Fund, no control
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 6

Name of Person Reporting

Ross, John A.

Date of Report

01/05/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2010	State of Missouri	\$115,463.91
2. 2010	Commercial Bank of Westport	\$1,000.00
3. 2009	State of Missouri	\$120,484.00
4. 2009	Commercial Bank of Westport	\$800.00
5.		
6.		

B. Spouse's Non-Investment Income – *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	Self-employed sales consultant
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 6

Name of Person Reporting

Ross, John A.

Date of Report

01/05/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. Exempt		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 6

Name of Person Reporting

Ross, John A.

Date of Report

01/05/2011

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing Instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Pitney Bowes common stock	A	Dividend	J	T					
2. Lee Enterprises common stock		None	J	T					
3. Commercial Bank of Westport, common stock		None	J	T					
4. Spine Partners L.L.C.	E	Distribution	J	T					
5. M & I Bank money market account	A	Interest	M	T					
6. PNC Bank checking/money market	A	Interest	K	T					
7. IRA #1	A	Int./Div.	L	T					
8. -Wells Fargo money market account									
9. -Comerica Inc. common stock									
10. IRA #2	A	Dividend	J	T					
11. -Van Kampen Govt. Securities Fund									
12. J P Morgan Smart Retire 2030 retirement account	A	Dividend	K	T					
13. Missouri 2020 Fund deferred compensation fund Templeton Dev	A	Dividend	J	T					
14.									
15.									
16.									
17.									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

I11 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

I12 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 5 of 6

Name of Person Reporting

Ross, John A.

Date of Report

01/05/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

Page 6 of 6

Name of Person Reporting

Ross, John A.

Date of Report

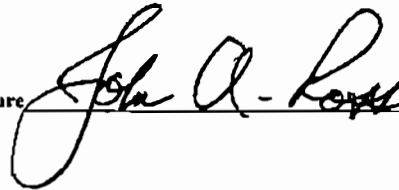
01/05/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		177	404	Notes payable to banks-secured			
U.S. Government securities-add schedule				Notes payable to banks-unsecured			
Listed securities – see schedule		6	718	Notes payable to relatives			
Unlisted securities – see schedule		15	375	Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable – personal residence		97	600
Real estate owned – see schedule		542	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		58	000				
Cash value-life insurance							
Other assets itemize:							
- Retirement Accounts – see schedule		26	923				
- IRA Accounts – see schedule		78	254				
- College Savings Account – see schedule		3	722	Total liabilities		97	600
				Net Worth		810	796
Total Assets		908	396	Total liabilities and net worth		908	396
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	NO		
On leases or contracts				Are you defendant in any suits or legal actions?	NO		
Legal Claims				Have you ever taken bankruptcy?	NO		
Provision for Federal Income Tax							
Other special debt							

FINANCIAL STATEMENT

NET WORTH SCHEDULES

Listed Securities

Pitney Bowes (PBI)	\$ 4,953
Lee Enterprises (LEE)	1,765
Total Listed Securities	<u>\$ 6,718</u>

Unlisted Securities

Commercial Bank of Westport	\$ 375
Spine Partners LLC	15,000
Total Real Estate Owned	<u>\$ 15,375</u>

Real Estate Owned

Personal residence	\$ 492,000
Family residence (25% interest)	50,000
Total Real Estate Owned	<u>\$ 542,000</u>

Retirement Accounts

JP Morgan Smart Retire 2030	\$ 22,163
Missouri 2020 Fund	\$ 4,760
	<u>\$ 26,923</u>

IRA Accounts

Wells Fargo Money Market Account	\$ 63,456
Comerica Inc. shares	\$ 8,824
Van Kampen Govt. Securities Fund	\$ 5,969
	<u>\$ 78,254</u>

College Savings Account

American Century International Growth Portfolio	\$ 3,722
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