MARK R. HORNAK

[redacted]

January 5, 2011

The Honorable Patrick J. Leahy
Chairman
Committee on the Judiciary
United States Senate
Washington, DC 20510

Dear Mr. Chairman:

I have reviewed the Senate Questionnaire I previously filed in connection with my nomination on December 1, 2010 to be a United States District Judge for the Western District of Pennsylvania. With the following exception, I certify that the information contained in those documents is, to the best of my knowledge, true and correct.

Under the listing, Other Affiliations (uncompensated except as noted), on page 3, I have learned that the address of an organization with which I am affiliated has changed. The offices of the Pennsylvania Economy League of Southwestern Pennsylvania, LLC have now moved, and the new address is: 11 Stanwix Street, 17th Floor, Pittsburgh, PA 15222.

I have also enclosed an updated Net Worth Statement and Financial Disclosure Form.

I thank the Committee for its consideration of my nomination.

Respectfully,

Mark R. Hornak

cc: The Honorable Charles Grassley
Ranking Member
Committee on the Judiciary
United States Senate
Washington, DC 20510

AO 10 Rev. 1/2010	**FINANCIAL DISCLOSURE REPORT** **NOMINATION FILING**	*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Hornak, Mark R.	**2. Court or Organization** United States District Court W D PA	**3. Date of Report** 01/05/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	**5a. Report Type** (check appropriate type) ☑ Nomination, Date 01/05/2011 ☐ Initial ☐ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2010 to 12/15/2010
7. Chambers or Office Address Buchanan Ingersoll & Rooney PC 20th Floor 301 Grant Street Pittsburgh PA 15219	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** **Reviewing Officer**__________ **Date**________	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Executive Shareholder and Vice President	Buchanan Ingersoll & Rooney PC
2.	General Partner	Buchanan Ingersoll & Rooney LLP
3.	Director	The Pittsburgh Foundation
4.	Director	WQED Multimedia
5.	Director	The Pennsylvania Economy League, Southwest PA Division
6.	Director	Leadership Pittsburgh
7.	Executor	Estate #1
8.	Governor	Academy of Trial Lawyers of Allegheny County, PA
9.	Assistant Secretary	Sports & Exhibition Authority of Pittsburgh and Allegheny County, PA

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2010	Buchanan Ingersoll & Rooney PC--salary	$447,597.68
2. 2010	Buchanan Ingersoll & Rooney LLP--distribution	$6,048.68
3. 2009	Buchanan Ingersoll & Rooney PC--salary	$589,333.00
4. 2009	Buchanan Ingersoll & Rooney LLP--distribution	$8,504.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. EXEMPT				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Hornak, Mark R.	01/05/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	EXEMPT		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	PNC Bank	Business Loan #1	K
2.	PNC Bank	Business Loan #2	K
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Hornak, Mark R.	01/05/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	PNC Bank Checking Acct #1	A	Interest	J	T	Exempt				
2.	PNC Bank Checking Acct #2		None	J	T					
3.	PNC Bank Checking Acct #3	A	Interest	J	T					
4.	PNC Bank Checking Acct #4	A	Interest	J	T					
5.	PNC Bank Checking Acct #5	A	Interest	J	T					
6.	PNC Bank Checking Acct #6	A	Interest	J	T					
7.	PNC Bank Statement Savings Acct #1	A	Interest	J	T					
8.	American Century Select Investors Fund	A	Int./Div.	J	T					
9.	Pittsburgh Firefighters Federal Credit Union Cash Account	A	Interest	J	T					
10.	Columbia Strategic Investors Fund Class Z	A	Dividend	J	T					
11.	H J Heinz Common Stock	A	Dividend	J	T					
12.	General Electric Common Stock	A	Dividend	J	T					
13.	US Series EE Savings Bonds	C	Interest	K	T					
14.	US Series I Savings Bonds	B	Interest	L	T					
15.	Buchanan Ingersoll & Rooney PC Capital Stock		None	K	U					
16.	Buchanan Ingersoll & Rooney PC Capital Units	B	Dividend	K	U					
17.	Buchanan Ingersoll & Rooney LLP Capital Units	D	Dividend	M	U					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Hornak, Mark R.	01/05/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Buchanan Ingersoll & Rooney PC Pension Plan		None	O	U					
19. Buchanan Ingersoll & Rooney PC Profit Sharing Plan		None	N	U					
20. Buchanan Ingersoll & Rooney PC Cash Balance Pension Plan	B	Interest	M	U					
21. Estate #1		None							
22. American Century Prime Money Market Fund	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VI, Lines 1 and 2--these business loans were formerly held by National City Bank prior to its acquisition by PNC Bank.
Part VII, Line 21--Estate #1--Estate #1 has zero assets, other than its status as the plaintiff/claimant in civil action/claims proceedings related to the decedent's death
Part VII, Lines 18 through 20--I retain no control over the investments held by any of the Buchanan Ingersoll & Rooney Pension or Profit Sharing Plans

Name of Person Reporting	Date of Report
Hornak, Mark R.	01/05/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

Mark Hornak

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		41	710	Notes payable to banks-secured		42	500
U.S. Government securities – see schedule		166	658	Notes payable to banks-unsecured			
Listed securities – see schedule		11	347	Notes payable to relatives			
Unlisted securities – see schedule		184	365	Notes payable to others			
Accounts and notes receivable:				Accounts and bills due (auto loans)		39	400
Due from relatives and friends				Unpaid income tax			
Due from others		45	000	Other unpaid income and interest			
Doubtful				Real estate mortgages payable – personal residence		194	267
Real estate owned – personal residence		315	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		174	160				
Cash value-life insurance							
Other assets itemize:							
- Retirement Acct. Holdings – see schedule	1	128	927				
				Total liabilities		276	167
				Net Worth	1	791	000
Total Assets	2	067	167	Total liabilities and net worth	2	067	167
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor on leases or contracts		17	990	Are any assets pledged? (Add schedule)	NO		
Legal Claims				Are you defendant in any suits or legal actions?	NO		
Provision for Federal Income Tax				Have you ever taken bankruptcy?	NO		
Other special debt							

FINANCIAL STATEMENT

NET WORTH SCHEDULES

U.S. Government Securities	
Series EE Bonds	$ 37,909
Ser ies I Bonds	128,730
Total U.S. Government Securities	$166,639

Listed Securities	
Heinz Common Stock	$ 771
GE Common Stock	1,625
American Century Prime Money Market Fund	1,148
American Century Select Investors Fund	5,018
Columbia Strategic Investors Fund Z	2,785
Total Listed Securities	$11,347

Unlisted Securities	
Buchanan Ingersoll & Rooney PC Stock	$ 49,365
Buchanan Ingersoll & Rooney PC Capital Units	28,000
Buchanan Ingersoll & Rooney LLP Capital Units	107,000
Total Unlisted Securities	$184,365

Retirement Account Holdings	
Buchanan Ingersoll & Rooney PC Pension Plan	$ 571,587
Buchanan Ingersoll & Rooney PC Profit Sharing Plan	448,988
Buchanan Ingersoll & Rooney PC Cash Balance Pension Plan	108,352
Total Retirement Account Holdings	$1,128,927