UNITED STATES SENATE
COMMITTEE ON THE JUDICIARY

QUESTIONNAIRE FOR JUDICIAL NOMINEES

PUBLIC

1. **Name**: State full name (include any former names used).

   John Arnold Kronstadt

2. **Position**: State the position for which you have been nominated.

   United States District Judge for the Central District of California

3. **Address**: List current office address. If city and state of residence differs from your place of employment, please list the city and state where you currently reside.

   Office: Los Angeles County Superior Court
   111 North Hill Street
   Department 30, Room 400
   Los Angeles, California 90012

   [redacted]

4. **Birthplace**: State year and place of birth.

   1951; Washington, D.C.

5. **Education**: List in reverse chronological order each college, law school, or any other institution of higher education attended and indicate for each the dates of attendance, whether a degree was received, and the date each degree was received.

   1974 – 1976, Yale Law School; J.D., 1976
   1973 – 1974, George Washington University Law School; no degree
   1969 – 1972, Cornell University; B.A., 1973

6. **Employment Record**: List in reverse chronological order all governmental agencies, business or professional corporations, companies, firms, or other enterprises, partnerships, institutions or organizations, non-profit or otherwise, with which you have been affiliated as an officer, director, partner, proprietor, or employee since graduation from college, whether or not you received payment for your services. Include the name and address of the employer and job title or description.

2002 – Present
Los Angeles County Superior Court
111 North Hill Street
Los Angeles, California 90012
Judge

2000 – 2002
Arnold & Porter LLP
777 South Figueroa Street, 44th Floor
Los Angeles, California 90017
Partner

1991 – 2000
Blanc Williams Johnston & Kronstadt
1900 Avenue of the Stars, Suite 1900
Los Angeles, California 90067
Partner

1985 – 1991
Blanc Gilburne Williams & Johnston
1900 Avenue of the Stars
Los Angeles, California 90067
Partner

1978 – 1985
Arnold & Porter
555 Twelfth Street, NW
Washington, D.C. 20004
Partner (1984 – 1985)
Associate (1978 – 1983)

1976 – 1977
United States District Court for the Central District of California
312 North Spring Street
Los Angeles, California 90012
Law Clerk to the Honorable William P. Gray

1975 – 1976
Yale University
127 Wall Street
New Haven, Connecticut 06511
Undergraduate Teaching Assistant to Professor Charles Black (spring 1976)
Research Assistant to Professor James William Moore (1975 – 1976)
Graduate Resident Fellow of Pierson College (1975 – 1976)

Summer 1975
Arnold & Porter LLP
555 Twelfth Street, NW
Washington, D.C. 20004
Summer Associate

Summer 1974
Sellers Conner & Cuneo
1625 K Street, NW
Washington, D.C. 20006
Summer Associate

Spring 1973
Matthews Phillips Builders
Columbia, Maryland 21044
Finishing Superintendent

Other Affiliations (uncompensated)

2002 – Present
Constitutional Rights Foundation
601 South Kingsley Drive
Los Angeles, California 90005
Executive Committee and Board of Directors (2004 – present)
President (2006 – 2007)

1999 – 2002
Los Angeles County Bar Association
1055 West Seventh Street
Los Angeles, California 90017
Assistant Vice President, Executive Committee and Trustee (1999 – 2002)

7. **Military Service and Draft Status**: Identify any service in the U.S. Military, including dates of service, branch of service, rank or rate, serial number (if different from social security number) and type of discharge received, and whether you have registered for selective service.

   I have not served in the military. I have registered for selective service.

8. **Honors and Awards**: List any scholarships, fellowships, honorary degrees, academic or professional honors, honorary society memberships, military awards, and any other special recognition for outstanding service or achievement.

   Constitutional Rights Foundation, Presidential Service (2007)
   Los Angeles County Bar Association, Patricia D. Phillips Award, Outstanding LACBA Committee Chair (1998)

9. **Bar Associations**: List all bar associations or legal or judicial-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices which you have held in such groups.

Constitutional Rights Foundation
  Board of Directors, Member of Executive Committee (2004 – present)
  President (2006 – 2007)

Los Angeles County Bar Association
  State Courts Coordinating Committee (2000 – 2002)
  Federal Courts Coordinating Committee (2000 – 2002)
  Trustee, Assistant Vice President and Executive Committee (1999 – 2002)
  Amicus Briefs Committee (1997 – 2002)
    Chair (1997 – 1999)
  Chair, New Lawyer Training Committee (1998 – 2000)
  Ad Hoc Committee, Proposed Disciplinary Rules (C.D. Cal.) (1998)
  Ad Hoc Committee on Ninth Circuit Restructuring (1998)

Los Angeles County Superior Court
  Chair, Community Outreach Committee
  Chair, Outreach Governance Committee

10. **Bar and Court Admission**:

a. List the date(s) you were admitted to the bar of any state and any lapses in membership. Please explain the reason for any lapse in membership.

California, 1976
District of Columbia, 1978

In California, a person serving as a judge is not considered a member of the State Bar. I am aware of no other lapses in membership.

b. List all courts in which you have been admitted to practice, including dates of admission and any lapses in membership. Please explain the reason for any lapse in membership. Give the same information for administrative bodies that require special admission to practice.

United States Court of Appeals for the Ninth Circuit, 1989
United States Court of Appeals for the D.C. Circuit, 1981
United States District Court for the District of Arizona, 1998
United States District Court for the Central District of California, 1980
United States District Court for the District of Columbia, 1980
Supreme Court of California, 1976
District of Columbia Court of Appeals, 1978

In California, a person serving as a judge is not considered a member of the State Bar. I am aware of no other lapses in membership.

11. **Memberships**:

a. List all professional, business, fraternal, scholarly, civic, charitable, or other organizations, other than those listed in response to Questions 9 or 10 to which you belong, or to which you have belonged, since graduation from law school. Provide dates of membership or participation, and indicate any office you held. Include clubs, working groups, advisory or editorial boards, panels, committees, conferences, or publications.

   Hawkeye Conservationists (neighborhood organization in Hawkeye, N.Y.) (approximately 2005 – present)

   Adirondack 46ers (2000 – present)

   National Park Conservation Association (2000 – present)

   Chancery Club (1999 – present)

   Jonathan Club (1999 – present)

   Da Camera Society (1985 – present)

   Adirondack Mountain Club (approximately 1980 – present)

   Cornell University Alumni organizations (1978 – present)

   Quill & Dagger (Cornell University Honorary Society) (1972 – present)

b. The American Bar Association's Commentary to its Code of Judicial Conduct states that it is inappropriate for a judge to hold membership in any organization that invidiously discriminates on the basis of race, sex, or religion, or national origin. Indicate whether any of these organizations listed in response to 11a above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies. If so, describe any action you have taken to change these policies and practices.

   None of the organizations listed above currently discriminates on the basis of race, sex, religion or national origin. The Jonathan Club at one time did not admit women or certain minorities as members; those policies ended more than 10 years before I became a member. The Quill & Dagger honorary society at Cornell, to which I was elected in 1972, had no female members from its founding in 1893 until 1974, when women were first admitted. It has remained open to all candidates since that time.

12. **Published Writings and Public Statements**:

a. List the titles, publishers, and dates of books, articles, reports, letters to the editor, editorial pieces, or other published material you have written or edited, including material published only on the Internet. Supply four (4) copies of all published material to the Committee.

   This list represents the published material I have identified through searches of my files and Internet databases. I have tried my best to list all of them here, although there may be some that I have not been able to identify or locate.

   Tribute to Justice Paul Boland, which appeared in the following article: *Court of Appeal Justice Paul Boland Dies at 65*, METROPOLITAN NEWS ENTERPRISE, Sept. 7, 2007. Copy supplied.

   Calling All Attorneys: The Students of Los Angeles Need You!, ADVOCATE, Oct. 2006. Copy supplied.

   Forum Column, DAILY JOURNAL, July 2003. Copy supplied.

   John A. Kronstadt, Charles Ivie & Gary Roberts, *"Clearly Shown," An Exacting Standard for Scientific Determinations Under Prop. 65: Part II*, PROP 65 NEWS, Aug. 1, 1997. Copy supplied.

   John A. Kronstadt, Charles Ivie & Gary Roberts, *"Clearly Shown," An Exacting Standard for Scientific Determination Under Prop. 65, Part I: What the Words Mean*, PROP 65 NEWS, July 1, 1997. Copy supplied.

   John A. Kronstadt & Allen R. Grogan, *Impact of Antitrust Law on Licensing in the Information Technology Sector*, PRACTICING LAW INSTITUTE, Order No. G4-4003 (June and July 1997). Copy supplied.

   Innovation and Antitrust, PRACTICING LAW INSTITUTE, Order No. G4-3885 (June and July 1992). Copy supplied.

   Innovation and Antitrust, PRACTICING LAW INSTITUTE, Order No. G4-3830 (Apr. 1, 1989). Copy supplied.

b. Supply four (4) copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of on behalf of any bar association, committee, conference, or organization of which you were or are a member. If you do not have a copy of a report, memorandum or policy statement, give the name and address of the organization that issued it, the date of the document, and a summary of its subject matter.

Brief of the LACBA, *et al.* as *amici curiae* in support of State Bar of California, *Warden v. State Bar of California*, 21 Cal. 4th 628 (1999) (No. S060702). Copy supplied.

Comments of the Los Angeles County Bar Association on the Tentative Draft Report of the Commission on Structural Alternatives for the Federal Courts of Appeals (Oct. 30, 1998). Copy supplied.

In addition, the Constitutional Rights Foundation has issued annual reports every year since I joined the Board of Directors and the Executive Committee in 2004. I did not participate in the writing or editing of these reports; they were prepared by CRF Staff members and were not presented to the Board for consideration or approval. Copies of these reports are available at http://www.crf-usa.org/about-crf.html.

Other than these items, I do not recall any other writings that are responsive to this question.

c. Supply four (4) copies of any testimony, official statements or other communications relating, in whole or in part, to matters of public policy or legal interpretation, that you have issued or provided or that others presented on your behalf to public bodies or public officials.

   None that I recall or have been able to identify.

d. Supply four (4) copies, transcripts or recordings of all speeches or talks delivered by you, including commencement speeches, remarks, lectures, panel discussions, conferences, political speeches, and question-and-answer sessions. Include the date and place where they were delivered, and readily available press reports about the speech or talk. If you do not have a copy of the speech or a transcript or recording of your remarks, give the name and address of the group before whom the speech was given, the date of the speech, and a summary of its subject matter. If you did not speak from a prepared text, furnish a copy of any outline or notes from which you spoke.

   The following is a list of speaking engagements of which I have a record. To create this list, I searched my personal files, appointment books and the Internet. I have, however, spoken frequently over the years, and did not retain a record of many of those appearances. Notwithstanding my best efforts to create a list responding to this question that is as complete as possible, there may be other presentations I have given that I have been unable to identify or recall.

   August 13, 2010: California Administrative Office of Courts, co-presenter of "Judicial Outreach: Outreach Increases Trust & Confidence in the Courts. And, It Rocks!" The PowerPoint from this presentation is supplied.

September 29, 2009: Los Angeles Superior Court, Judicial Education System, co-presenter on issues related to arbitration. The written materials from this presentation are supplied.

September 22, 2009: Los Angeles Superior Court, Bench-Bar Committee, co-presenter of "Community Outreach, Bench-Bar-School Partnerships." The PowerPoint from this presentation is supplied.

July 1, 2009: California Administrative Office of Courts, California on My Honor Program, "Partnership Opportunities, Schools and Courts," presenter to primary, middle school and high school teachers to provide information about civic education. The PowerPoint for this presentation is supplied.

April 28, 2009: California Administrative Office of Courts, Regional Meeting, co-presenter of "Community Outreach." The PowerPoint from this presentation is supplied.

October 2008: California Administrative Office of Courts, CJER Continuing Judicial Studies Program, co-presenter of "Statements of Decision and Bench Trial Practices." The PowerPoint from this presentation is supplied.

July 2008: California Administrative Office of Courts, California on My Honor Program, "Judicial Impartiality," co-presenter to primary, middle school and high school teachers concerning judicial impartiality. The PowerPoint from this presentation is supplied.

August 27, 2007: Los Angeles County Family Law Bar Association, panelist for a program entitled, "Family Law Trial Institute 101: Trial Wrap." Although I believe a recording may have been made of this presentation, I have not been able to obtain a copy of it. If I am able to do so in the future, I will supply it. The address of the Association is 1055 West Seventh Street, Suite 2700, Los Angeles, CA 90017.

April 2007: Los Angeles Superior Court, Judicial Education System, presenter on "Statements of Decision and Bench Trial Practices." I used the same PowerPoint as that supplied for the October 2008 Continuing Judicial Studies Program.

February 24, 2007: Los Angeles County Family Law Bar Association, panelist on trial practices for program entitled, "Family Law Trial Institute Part II." Materials supplied.

January 2007: Los Angeles Superior Court, Family Law Seminar, co-presenter to other Family Law Judges on legal issues in family law cases that are related to issues in civil actions. I have been unable to obtain any materials from my presentation at this event.

July 2006: California Administrative Office of Courts, CJER 2006 Summer Continuing Judicial Studies Program, co-presenter of "Statements of Decision." The PowerPoint from this presentation is supplied.

January 2006: Los Angeles Superior Court, Family Law Seminar, presenter of "Statements of Decision Process under California Civil Procedure." I used the same PowerPoint as that supplied for the July 2006 Continuing Judicial Studies Program.

In addition, since 2005, I have participated in the Constitutional Rights Foundation program, "Courtroom to Classroom." In this program, two lawyers and a judge visit 8th and 11th grade classrooms to talk about an area of our system of government and to oversee a mock trial about an actual U.S. Supreme Court case. I have visited classrooms approximately 20 times as part of this program. While serving as president of CRF, I gave introductory remarks about the program in a video for the organization's web site. My remarks and additional materials about this program are available on the CRF website: http://www.crf-usa.org/courtroom-to-classroom/courtroom-to-classroom.html.

On a few occasions between 2004 and 2010, I made presentations at events sponsored by the Los Angeles Superior Court about the value of community outreach by bench officers. I have been unable to determine the dates of each of these talks. A copy of a PowerPoint I used for one these presentations is supplied.

Between 2004 and 2007, I presented at several events of the Los Angeles County Family Law Bar Association in addition to the particular events listed above. To the best of my recollection, the subjects of those talks included best trial practices and aspects of certain valuations with respect to real estate. A copy of my presentation notes for one session (January 7, 2004) is supplied. I have been unable to determine the dates of any other talks or locate any other notes, transcripts, recordings or other materials.

Between 2000 and 2002, I visited inner-city schools to teach Constitutional principles as part of the First Impressions Project organized by then Superior Court Judge Veronica McBeth. In my work, I generated my own classroom materials for elementary school students that addressed the basic principles of our Constitutional system. I also provided copies of the Constitution and all of its amendments to each student in each class that I visited. I no longer have copies of these materials.

I have also appeared as a guest lecturer on three occasions at law schools. I did so at Washington University in St. Louis in 2008, where I addressed family law issues to a group of law students interested in that field. In 2009, I was a co-presenter to a small seminar at Southwestern Law School about practical issues in litigation. Approximately 30 years ago, I appeared as a guest lecturer, speaking about summary judgment, in a civil procedure class at UCLA Law School. I have no notes, transcripts or recordings of these lectures.

e. List all interviews you have given to newspapers, magazines or other publications, or radio or television stations, providing the dates of these interviews and four (4) copies of the clips or transcripts of these interviews where they are available to you.

I have searched my files and Internet databases in an effort to produce as complete a list of interviews as I could, but it is still possible there are some I was not able to locate.

Steven M. Ellis, *L.A. Superior Court Judge Dzintra Janavs to Retire*, METROPOLITAN NEWS ENTERPRISE, Dec. 31, 2007, at 1. Copy supplied.

Governor Says He Will Re-Appoint Janavs to Superior Court, METROPOLITAN NEWS ENTERPRISE, June 12, 2006, at 1. Copy supplied.

Sarah Garvey, *Judge Considers Dealing with Jury Best Part of Job*, DAILY JOURNAL, Oct. 1, 2003. Copy supplied.

Lorelei Laird, *Davis Appoints Two to Los Angeles Superior Court Bench*, METROPOLITAN NEWS ENTERPRISE, Oct. 17, 2002, at 1. Copy supplied.

Robert Greene, *LACBA Amicus Brief in MCLE Case to Back Exemptions*, METROPOLITAN NEWS ENTERPRISE, Sept. 26, 1997, at 1. Copy supplied.

Peter Larsen, *Electronics Firm Files Antitrust Suit*, DAILY NEWS, Apr. 18, 1989, at N5. Copy supplied.

Gail Gregg, *Putting Kids First*, NEW YORK TIMES, Apr. 13, 1986. Copy supplied.

Deborah Jeon, *ABA Considers Academic Credit for Clerkships*, LEGAL TIMES, July 18, 1983, at 2. Copy supplied.

Leslie Goodman-Malamuth, *Local Firms Tout Merits of Summer Associate Programs*, LEGAL TIMES, Oct. 1, 1982, at A2. Copy supplied.

Ruth Marcus, *The Lavish Wooing of Legal Whiz Kids*, WASHINGTON POST, Aug. 29, 1982, at C1. Copy supplied.

13. **Judicial Office**: State (chronologically) any judicial offices you have held, including positions as an administrative law judge, whether such position was elected or appointed, and a description of the jurisdiction of each such court.

On November 14, 2002, I was appointed by Governor Gray Davis as a judge of the Los Angeles County Superior Court. This is a general trial court. My term of office was then extended for a six-year term, effective January 2005, and will be extended for a second six-year term, effective January 2011. My January 2011 term will expire on December 31, 2016, if not extended. Each of the six-year extensions resulted from the automatic renewal that occurred when I was not challenged in an election.

a. Approximately how many cases have you presided over that have gone to verdict or judgment?

   I have presided over approximately 250 trials that have gone to verdict or judgment.

   i. Of these, approximately what percent were:

jury trials:	15%
bench trials:	85%
civil proceedings:	88%
criminal proceedings:	12%

b. Provide citations for all opinions you have written, including concurrences and dissents.

   None of the opinions that I have written has been published.

c. For each of the 10 most significant cases over which you presided, provide: (1) a capsule summary of the nature the case; (2) the outcome of the case; (3) the name and contact information for counsel who had a significant role in the trial of the case; and (3) the citation of the case (if reported) or the docket number and a copy of the opinion or judgment (if not reported).

(1) *MCP Metalspecialties, Inc. v. P. Kay Metal, Inc.* (LASC Case No. BC 389014)

This matter involved claims and cross-claims with respect to international sales transactions between merchants that involved a substantial quantity of goods. The action was filed on April 14, 2008; I oversaw the matter from January 2009 through the October 2009 jury trial, which resulted in a judgment in which plaintiff was the prevailing party. I handled many motions and presided at the trial. I also worked with the parties at settlement conferences.

Counsel for plaintiffs: Michael Stewart, Sheppard Mullin Richter & Hampton, LLP, 650 Town Center Drive, 4th Floor, Costa Mesa, CA 92626, (714) 513-5100.

Counsel for defendant: Mark D. Campbell, Loeb & Loeb, 10100 Santa Monica Blvd., Suite 2200, Los Angeles, CA 90067, (310) 282-2000; and Lewis Anten, 16830 Ventura Blvd., Suite 236, Encino, CA 91436, (818) 501-3535.

(2) *Robinson v. City of Lynwood* (LASC Case No. BC 384862)

This matter involved claims of racial and whistle-blower discrimination in a public employment workplace. The action was filed on February 1, 2008. I oversaw the matter from January 2009 through its conclusion in August 2009. I decided many motions, presided at a jury trial that resulted in a hung jury, and oversaw the subsequent settlement conference in which the parties reached agreement.

Counsel for the plaintiff: Helena S. Wise, 3111 West Burbank Blvd. #101, Burbank, CA 91505, (818) 843-8086.

Counsel for defendants: Mark Meyerhoff, Liebert Cassidy Whitmore, 6033 West Century Blvd. #500, Los Angeles, CA 90045, (310) 981-2026.

(3) *IRMO Foerster and Bohbot* (LASC Case No. D 215047)

Upon remand from the Court of Appeal, this long-cause family law matter presented a series of unusual and extremely complex post-judgment issues. The two principal issues were to determine the date of valuation of certain assets, including intellectual property, and the valuation of those assets. Other issues presented included the relationship between these matters and a pending federal action with respect to the sale of a business to a public company. The action was first filed on April 25, 1988. I oversaw the matter from May 2006 through September 2008. The matter resulted in a multi-part bench trial during which I issued written decisions, copies of which are supplied. My decisions were affirmed by the Court of Appeal.

Counsel for petitioner: Steven Knowles, Knowles Collum LLP, 9100 Wilshire Blvd., #250W, Beverly Hills, CA 90212, (310) 461-0600; and David Felsenthal, Novian & Novian LLP, 1801 Century Park East, #1201, Los Angeles, CA 90067, (310) 553-1222.

Counsel for respondent: Tom P. Lallas, Levy Small & Lallas, 815 Moraga Drive, Los Angeles, CA 90049, (310) 471-3000; and Neal R. Hersh, Hersh Mannis & Bogen, 9150 Wilshire Blvd., Suite 209, Beverly Hills, CA 90212, (310) 786-1910.

(4) *People v. Hunt* (LASC Case No. 2 MT 03979)

This criminal matter concerned a manslaughter charge (Cal. Penal Code § 192(c)(2)) brought against an MTA bus driver as a result of a bus-pedestrian collision that resulted in the death of the pedestrian. The matter was filed in 2002. I oversaw the jury trial of the matter in May 2003, which resulted in a defense verdict. Prior to the commencement of the trial, I ruled on several motions. I also made several substantive rulings during the trial itself. Complex evidentiary questions were presented along with other legal issues.

Counsel for the People: Jeffery C. Gallagher, Office of the City Attorney, 1945 South Hill St., Los Angeles, CA 90012, (213) 978-2400.

Counsel for defendant: John E. Sweeney, 315 South Beverly Dr. #305, Beverly Hills, CA 90212, (310) 277-9595.

(5) *IRMO Valli* (LASC Case No. BD 414038)

This long-cause, family law matter presented complex issues of valuation and ownership. The case was filed on September 24, 2004. I oversaw the trial aspects of these issues between June 2006 and December 2008. Other judges handled other aspects of the matter during this time period. My decisions determined the extent and value of certain community property assets. A copy of my Statement of Decision is supplied.

Counsel for the petitioner: Peter Walzer and Christopher Melcher, Walzer & Melcher LLP, 21700 Oxnard St., Suite 2080, Woodland Hills, CA 91367, (818) 591-3700.

Counsel for respondent: William S. Ryden, Jaffe & Clemens, 433 N. Camden Dr., #1000, Beverly Hills, CA 90272, (310) 550-7477.

(6) *Hunter v. Masterburger* (LASC Case No. BC 378380)

This matter involved claims for premises liability with respect to injuries that plaintiff sustained at a commercial property when a large pane of glass collapsed. The action was filed on October 1, 2007; I oversaw the matter from January 2009 through October 2009. I handled many motions and presided at the jury trial, which resulted in a defense verdict.

Counsel for plaintiff: Thris Van Taylor, 110 South La Brea Ave., Suite 475, Inglewood, CA 90301, (323) 678-3009.

Counsel for defendants: Christopher E. Faenza and Mary Childs, Yoka & Smith, 777 South Figueroa St., Suite 4200, Los Angeles, CA 90017, (213) 427-2300.

(7) *IRMO Sheiner and Gelber* (LASC Case No. LD 028469)

This post-judgment family law matter involved complex issues of valuation, income and assets. It also presented certain custody-related issues. The matter was filed on April 22, 1999. I oversaw the matter from October 2003 through August 2004, when I rendered a decision on numerous issues, which concluded the matter. A copy of my decision is supplied.

Counsel for petitioner: Robert N. Kipper, 345 Maple Drive, #395, Beverly Hills, CA 90210, (310) 275-1130.

Counsel for the respondent: Leon Bennett, 6320 Canoga Ave., #1400, Woodland Hills, CA 91367, (818) 888-7731.

(8) *Simons v. Moser* (LASC Case No. BC 394615)

This breach of contract and fraud matter was filed on July 18, 2008. The claims arose from a contract pursuant to which the parties agreed that the plaintiff would invest in, and co-manage, a business that the defendant had established. I oversaw the matter from January 2009 through October 2009. During that time period, I decided several motions with respect to the complaint and cross-complaint, the last of which granted summary judgment for the defendant on the complaint. A copy of that decision is supplied. Thereafter, the matter settled.

Counsel for plaintiff: Steven A. Simons, 15315 Magnolia Blvd., Suite 308, Sherman Oaks, CA 91403, (818) 368-9642.

Counsel for defendant: Jeffrey Erdman, Bennett & Erdman, 5670 Wilshire Blvd., Suite 1400, Los Angeles, CA 90036, (323) 935-0041.

(9) *Axis Surplus Insurance Co. v. Reinoso* (LASC Case No. BC 377072)

This insurance coverage case involved a dispute that arose from an underlying action brought against the insureds by tenants in residential apartments that the insureds owned and managed. I oversaw the matter from 2009 to 2010. During that time period, I decided cross-motions for summary judgment through an extensive, written decision and then presided at the bench trial of the matter, in which plaintiff prevailed. A copy of that lengthy decision is supplied.

Counsel for plaintiff: Allan E. Anderson and Kim Karelis, Ropers, Majeski, Kohn and Bentley, 515 South Flower Street, Suite 1100, Los Angeles, CA 90071, (213) 312-2000.

Counsel for defendants: Jay Coggan, 1925 Century Park East, Suite 2320, Los Angeles, CA 90067, (310) 407-0922; and David Tarlow, Ervin Cohen & Jessup LLP, 9401 Wilshire Blvd., 9th Floor, Beverly Hills, CA 90212, (310) 273-6333.

(10) *Marie Music Group, LLC v. Chris Lord Alge* (LASC Case No. BC 381801)
This case involved a dispute between two award-winning music "mixers" and a party that represented them for many years in various business transactions related to their work as mixers. The mixers challenged the right of their former agent to have received substantial fees for her work because she was not licensed under California's Talent Agencies Act. The case presented novel legal issues

under that statute. I decided the core issues in the case through cross-motions brought by the parties. A copy of my decision is supplied. I determined that, although the mixers are "artists," as defined by the Act, the representation at issue concerned "recording contracts," which are exempt from coverage under the Act. Further proceedings were pending when the parties reached a settlement on November 12, 2010.

Counsel for petitioners/defendants: Peter J. Anderson, 100 Wilshire Blvd #2010, Santa Monica, California 90401, (310) 260-6030.

Counsel for respondent/plaintiff: Jeffrey Huron, 1875 Century Park East, Suite 1000, Los Angeles, California 90067, (310) 284-3400.

d. For each of the 10 most significant opinions you have written, provide: (1) citations for those decisions that were published; (2) a copy of those decisions that were not published; and (3) the names and contact information for the attorneys who played a significant role in the case.

None of my opinions has been published. Ten significant opinions that I have written, copies of which are supplied, are the following:

1. *IRMO Foerster and Bohbot* (LASC Case No. D 215047).

Counsel for petitioner: Steven Knowles, Knowles Collum LLP, 9100 Wilshire Blvd., #250W, Beverly Hills, CA 90212, (310) 461-0600; and David Felsenthal, Novian & Novian LLP, 1801 Century Park East, #1201, Los Angeles, CA 90067, (310) 553-1222.

Counsel for respondent: Tom P. Lallas, Levy Small & Lallas, 815 Moraga Drive, Los Angeles, CA 90049, (310) 471-3000; and Neal R. Hersh, Hersh Mannis & Bogen, 9150 Wilshire Blvd., Suite 209, Beverly Hills, CA 90212, (310) 786-1910.

2. *Burnette v. Cole* (LASC Case No. SC 095312).

Counsel for plaintiff: Marilyn H. Nelson, 9665 Wilshire Blvd., Suite 1050, Beverly Hills, CA 90212, (310) 274-0846.

Counsel for defendant: Scott C. Haith, 27240 West Turnberry, #200, Valencia, CA 91355, (661) 362-0744.

3. *IRMO Valli* (LASC Case No. BD 414038).

Counsel for petitioner: Peter Walzer and Christopher Melcher, Walzer & Melcher LLP, 21700 Oxnard St., Suite 2080, Woodland Hills, CA 91367, (818) 591-3700.

Counsel for respondent: William S. Ryden, Jaffe & Clemens, 433 N. Camden Dr., #1000, Beverly Hills, CA 90272, (310) 550-7477.

4. *IRMO Sheiner and Gelber* (LASC Case No. LD 028469).

Counsel for petitioner: Robert N. Kipper, 345 Maple Drive, #395, Beverly Hills, CA 90210, (310) 275-1130.

Counsel for the respondent: Leon Bennett, 6320 Canoga Ave., #1400, Woodland Hills, CA 91367, (818) 888-7731.

5. *Aura Systems Inc. v. Loeb & Loeb* (LASC Case No. BC 402952).

Counsel for plaintiff: Ronald W. Makarem, Makarem & Associates, 11601 Wilshire Blvd. Suite 2440, Los Angeles, CA 90025, (310) 312-0299; and Tamara M. Kurtzman, 8383 Wilshire Blvd. Suite 919, Beverly Hills, CA 90211, (323) 782-6999.

Counsel for defendant Loeb & Loeb: Robert E. Mangels, Jeffer Mangels, Butler & Mitchell LLP, 1900 Avenue of the Stars, 7th Floor, Los Angeles, CA 90067, (310) 201-3533.

Counsel for defendant Bender: Desmond J. Hinds, Hinshaw & Culbertson, LLP, 11601 Wilshire Blvd. Suite 800, Los Angeles, CA 90025, (310) 909-8000.

6. *Simons v. Moser* (LASC Case No. BC 394615).

Counsel for plaintiff: Steven A. Simons, 15315 Magnolia Blvd., Suite 308, Sherman Oaks, CA 91403, (818) 368-9642.

Counsel for defendant: Jeffrey Erdman, Bennett & Erdman, 5670 Wilshire Blvd., Suite 1400, Los Angeles, CA 90036, (323) 935-0041.

7. *Axis Surplus Insurance Co. v. Reinoso* (LASC Case No. BC 377072).

Counsel for plaintiff: Allan E. Anderson and Kim Karelis, Ropers, Majeski, Kohn and Bentley, 515 South Flower Street, Suite 1100, Los Angeles, CA 90071, (213) 312-2000.

Counsel for defendants: Jay Coggan, 1925 Century Park East, Suite 2320, Los Angeles, CA 90067, (310) 407-0922; and David Tarlow, Ervin Cohen & Jessup LLP, 9401 Wilshire Blvd. 9th Floor, Beverly Hills, CA 90212, (310) 273-6333.

8. *Marie Music Group, LLC v. Chris Lord Alge* (LASC Case No. BC 381801).

Counsel for petitioners/defendants: Peter J. Anderson, 100 Wilshire Blvd #2010, Santa Monica, CA 90401, (310) 260-6030.

Counsel for respondent/plaintiff: Jeffrey Huron, 1875 Century Park East, Suite 1000, Los Angeles, CA 90067, (310) 284-3400.

9. *Pacific Bell Tel. Co. v. Malcolm Drilling, Inc.* (LASC Case No. BC385483).

Counsel for plaintiff: Mark Pollick, 12520 High Bluff Drive, Suite 360, San Diego, CA 92130, (858) 793-7936.

Counsel for defendant: Lawrence G. Lossing, Lossing & Elston, 100 Pine Street, Suite 3110, San Francisco, CA 94111, (415) 882-4200.

10. *Carey-Hogue v. PacifiCare Life & Health Ins. Co.* (LASC Case No. BC 415200).

Counsel for plaintiffs: Brian S. Kabateck, Richard L. Kellner and Joshua H. Haffner, Kabateck Brown Kellner LLP, 644 South Figueroa Street, Los Angeles, CA 90017, (213) 217-5000.

Counsel for defendants: William E. Grauer, Mazda K. Antia and Brad Lebow, Cooley Godward Kronish LLP, 4401 Eastgate Mall, San Diego, CA 92121, (858) 550-6000.

e. Provide a list of all cases in which certiorari was requested or granted.

None of which I am aware.

f. Provide a brief summary of and citations for all of your opinions where your decisions were reversed by a reviewing court or where your judgment was affirmed with significant criticism of your substantive or procedural rulings. If any of the opinions listed were not officially reported, provide copies of the opinions.

To the best of my knowledge, as of the time of this questionnaire, there is only one matter in which a decision that I made was reversed. I am unaware of any cases in which a decision of mine was affirmed, but criticized.

The reversal occurred in an unpublished decision, *Greenberg v. City of La Canada*, No. B213717, 2010 WL 3388406 (Cal. App. 2d Dist. 2010). In that matter, Judge Grimes, who had preceded me in my current assignment, granted summary judgment to the defendants. In a post-judgment motion proceeding, I awarded attorney's fees to the prevailing party based on Judge Grimes' decision. On appeal, Judge Grimes' decision granting summary judgment was affirmed, but mine, granting attorney's fees, was reversed. The Court of Appeal found that I abused my discretion in awarding fees because I had not found expressly that the underlying action was without merit to the degree necessary to allow the award of attorney's fees.

g. Provide a description of the number and percentage of your decisions in which you issued an unpublished opinion and the manner in which those unpublished opinions are filed and/or stored.

I have issued approximately 25 to 30 unpublished opinions. This represents 100% of the opinions that I have written. These opinions are filed in the court's case files for each matter.

h. Provide citations for significant opinions on federal or state constitutional issues, together with the citation to appellate court rulings on such opinions. If any of the opinions listed were not officially reported, provide copies of the opinions.

There are no such decisions.

i. Provide citations to all cases in which you sat by designation on a federal court of appeals, including a brief summary of any opinions you authored, whether majority, dissenting, or concurring, and any dissenting opinions you joined.

I have not sat by designation on a federal court of appeals.

14. **Recusal:** If you are or have been a judge, identify the basis by which you have assessed the necessity or propriety of recusal (If your court employs an "automatic" recusal system by which you may be recused without your knowledge, please include a general description of that system.) Provide a list of any cases, motions or matters that have come before you in which a litigant or party has requested that you recuse yourself due to an asserted conflict of interest or in which you have recused yourself sua sponte. Identify each such case, and for each provide the following information:

a. whether your recusal was requested by a motion or other suggestion by a litigant or a party to the proceeding or by any other person or interested party; or if you recused yourself sua sponte;

b. a brief description of the asserted conflict of interest or other ground for recusal;

c. the procedure you followed in determining whether or not to recuse yourself;

d. your reason for recusing or declining to recuse yourself, including any action taken to remove the real, apparent or asserted conflict of interest or to cure any other ground for recusal.

Pursuant to the California Code of Civil Procedure, a party to a matter pending in a trial court has the right to file a petition, pursuant to Cal. Code Civ. Proc. § 170.6, to effect the automatic recusal of a judge in a pending matter. Since my appointment in 2002, there have been several such filings in cases that had been assigned to me. I do not keep track of them, but estimate that there have been fewer than 10 per year.

As to cases in which parties requested my recusal due to an asserted conflict of interest, the following cases are those that I recall:

Truman v. Ivo (LASC No.SC098180). In this matter, upon my *sua sponte* disclosure that I knew a lawyer at one of the law firms involved because our daughters had played on the same athletic team several years earlier, the other side requested that I recuse myself pursuant to Cal. Code Civ. Proc. § 170.1. After I declined to do so because I found that there was no basis for my recusal under this statutory standard, consistent with California practice, the matter was referred to a judge from outside of Los Angeles County for a decision. That judge denied the request for recusal.

Pishavee v. University of California (LASC No. SC087215). In this matter, following several hearings and my determination to grant a motion for summary judgment brought by defendants, plaintiff sought my recusal on the ground that I had served as the President of the Constitutional Rights Foundation and was a member of the Chancery Club, as was a member of the firm that represented defendants. (That person played no role in the case.) The Chancery Club is a professional organization that selects members based on their career achievements and public service. Only lawyers are eligible for admission, but those who become judges may maintain membership. Applying the standards of Cal. Code Civ. Proc. § 170.1, I determined that neither my membership in the Chancery Club nor my membership on the Board of the Constitutional Rights Foundation was a basis for my recusal. Consistent with California practice, the matter was referred to a judge from outside of Los Angeles County for a decision. That judge denied the request for recusal. Subsequently, the Court of Appeal affirmed my decision granting summary judgment.

Kidd v. Violence Intervention Program (LASC No. BC402191). In this matter, following several hearings and my determination to grant a motion for summary judgment brought by defendants, plaintiff sought my recusal on the ground that I could not be fair to plaintiff because I resided in Pacific Palisades, had practiced with a large law firm and allegedly acted impatiently and improperly in the action. Among the assertions made by plaintiff's counsel was that I had *ex parte* communications with defense counsel. After I determined that these assertions were baseless, I declined to recuse myself. Consistent with California practice, the matter was referred to a judge from outside of Los Angeles County for a decision. That judge denied the request for recusal. Plaintiffs' counsel also sought to disqualify the entire Los Angeles County Superior Court bench in the matter on the ground that a then-Court Commissioner had once served on the Board of one of the defendants; that motion was denied by the Supervising Judge of the Civil Departments of the Los Angeles County Superior Court.

Katz v. Birenbaum (LASC No. SC 079729). In this matter, following my ruling that a judgment creditor had acted improperly in failing to return $500,000 in funds obtained during the pendency of an appeal, the creditor sought my recusal on the ground that I had acted intemperately. I declined to recuse myself because the allegations were without basis and did not constitute a ground for recusal pursuant to Cal. Code of Civ. Proc. § 170.1. The Court of Appeal denied review of this determination.

Funk v. Funk (LASC No. BD 389308). In this family law matter, I recused myself *sua sponte* upon its assignment to me. I did so because I knew both parties socially and knew their minor children. Accordingly, I concluded that I should recuse myself pursuant to Cal. Code of Civil Proc. § 170.1(6)(A)(i) because my "recusal would further the interests of justice." There was no challenge to this ruling. I then offered to serve as the settlement judge in the matter. Both parties and their respective counsel stipulated to my serving in that role. Following several conferences with all parties and counsel, the matter settled.

Hasson v. Shapell Industries, Inc. (LASC No. BC434850). In this commercial matter, upon my being assigned to the case, the parties presented a stipulation requesting my recusal on the ground that counsel for one of the parties was married to one of my former law partners, was a person whom I had known socially for more than 25 years, was a partner in a law firm at which my wife once practiced, and serves with me on the Board of Directors of the Constitutional Rights Foundation. I concluded that I should recuse myself pursuant to Cal. Code of Civil Proc. § 170.1(6)(A)(i), *i.e.*, my "recusal would further the interests of justice."

Armour v. Ritter (LASC No. BD390510). While serving as a Family Law Judge, between 2007 and 2008, I presided at a trial in an earlier phase of this matter as to certain issues. Although appellate proceedings were commenced, they were dismissed by the parties, leaving my decision undisturbed. In August 2010, the Court of Appeal reversed the decision of another trial judge who had tried a portion of the case prior to the commencement of the trial proceedings before me in 2007. As a result of my prior participation in the matter, the Presiding Judge of the Family Law Department assigned the retrial of the matter to me. Respondent objected, raising various issues, including that my daughter is an associate at a law firm that would be representing certain non-party witnesses at the re-trial. Although I concluded that the objections made by Respondent were not meritorious, I recused myself based on Cal. Code of Civil Proc. § 170.1(6)(A)(i) and § 170.3(c)(2), *i.e.*, after determining that my recusal "would further the interests of justice." I found that the amount of judicial resources that would be consumed at the trial and appellate level on the recusal issue was unwarranted, as was the several-month delay in trial proceedings in a seven-year old case, given that: (i) there was not a significant overlap between the issues that I had addressed in the prior trial and those that were to be adjudicated in the new trial; and (ii) my assignment had changed from Family Law to civil actions in 2008. Following my recusal, the parties requested that I preside at a settlement conference with them and their counsel. I agreed to do so.

Guo v. Han (LASC No. BC415219, BC417128). In this civil matter, the case was assigned to me, but was tried by another judge of our court due to my engagement in another matter on the date set for trial. Following the trial, defendants filed a pleading contending that I was biased in the matter, and seeking my disqualification under Cal.

Code of Civ. Proc. § 170.3(c)(1). The basis for the filing was disagreement with certain court rulings, some of which had been issued by the judge who tried the case. Because the filing was untimely and presented no basis for disqualification, but only disagreements with certain rulings, I ordered that it be stricken pursuant to Cal. Code. Civ. Proc. § 170.4(b). I also filed a verified answer in the matter denying any bias or prejudice. The time for the defendants to seek appellate review of this decision has not yet expired.

Luciano v. A.W. Chesterton (LASC No. BC 421968). In this civil matter, upon learning that one of the parties was represented by the law firm at which one of my children works as an attorney, I recused myself pursuant to Cal. Code Civ. Proc. § 170.1(a)(5), which mandates recusal under these circumstances. I further stated that I could preside over the matter only if all parties so stipulated pursuant to Cal. Code Civ. Proc. § 170.3(b)(1). Subsequently, I was notified that the matter had been settled as to all parties except the plaintiff and the party represented by the law firm at which my child works, and that those two parties had stipulated to my continuing to preside in the matter.

15. **Public Office, Political Activities and Affiliations**:

a. List chronologically any public offices you have held, other than judicial offices, including the terms of service and whether such positions were elected or appointed. If appointed, please include the name of the individual who appointed you. Also, state chronologically any unsuccessful candidacies you have had for elective office or unsuccessful nominations for appointed office.

   I have not held public office other than judicial office. I have had no unsuccessful candidacies for elective office or unsuccessful nominations for appointed office.

b. List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

   Upon request, I have occasionally endorsed candidates for elected judicial office. Although there may be others, those that I recall or have been able to identify include Los Angeles County Superior Court judicial candidates Laura Matz, Harvey Silberman, and Cynthia Loo. Otherwise, I do not recall ever playing a role in any political campaign.

16. **Legal Career:** Answer each part separately.

a. Describe chronologically your law practice and legal experience after graduation from law school including:

i. whether you served as clerk to a judge, and if so, the name of the judge, the court and the dates of the period you were a clerk;

   1976 – 1977; I served as a law clerk to William P. Gray (since deceased) who was then a judge on the United States District Court for the Central District of California.

ii. whether you practiced alone, and if so, the addresses and dates;

   I have not practiced alone.

iii. the dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been affiliated, and the nature of your affiliation with each.

   1978 – 1985
   Arnold & Porter
   555 Twelfth Street, NW
   Washington, D.C. 20004
   Associate (1978 – 1983)
   Partner (1984 – 1985)

   1985 – 1991
   Blanc Gilburne Williams & Johnston
   1900 Avenue of the Stars
   Los Angeles, California 90067
   Partner

   1991 – 2000
   Blanc Williams Johnston & Kronstadt
   1900 Avenue of the Stars
   Los Angeles, California 90067
   Partner

   2000 – 2002
   Arnold & Porter LLP
   777 South Figueroa Street, 44th Floor
   Los Angeles, California 90017
   Partner

iv. whether you served as a mediator or arbitrator in alternative dispute resolution proceedings and, if so, a description of the 10 most significant matters with which you were involved in that capacity.

   I have not served as a mediator or arbitrator.

b. Describe:

i. the general character of your law practice and indicate by date when its character has changed over the years.

In January 1978, I began my career in practice with Arnold & Porter in Washington, D.C. I had worked at the firm as a summer associate following my second year of law school. I remained with the firm for the next seven and a half years, first as an associate and then as a partner. During that time, I devoted the vast majority of my time to complex litigation matters in which we represented substantial public companies in connection with antitrust and securities matters pending in federal courts and before certain administrative agencies.

In May 1985, I moved to Los Angeles to join several friends in a firm that later became Blanc Williams Johnston & Kronstadt. My practice consisted of a variety of complex litigation matters and counseling on matters related to potential litigation and administrative enforcement proceedings. I served as lead counsel in matters involving antitrust, corporate governance, trade secret, copyright, securities regulation, environmental issues, sales of businesses, and similar disputes. I remained with our firm until May 2000.

In May 2000, together with many of my colleagues from Blanc Williams Johnston & Kronstadt, I re-joined Arnold & Porter in its Los Angeles office. My practice was the same as it had been for the prior 15 years.

ii. your typical clients and the areas at each period of your legal career, if any, in which you have specialized.

Typical clients during my career included publicly-traded companies as well as individual entrepreneurs and officers in privately-held companies. My practice areas included intellectual property, antitrust and other complex, commercial litigation.

c. Describe the percentage of your practice that has been in litigation and whether you appeared in court frequently, occasionally, or not at all. If the frequency of your appearances in court varied, describe such variance, providing dates.

My practice was litigation-based. I appeared in court frequently.

i. Indicate the percentage of your practice in:

1. federal courts:	45%
2. state courts of record:	45%
3. other courts:	
4. administrative agencies:	10%

ii. Indicate the percentage of your practice in:
1. civil proceedings: 100%
2. criminal proceedings:

d. State the number of cases in courts of record, including cases before administrative law judges, you tried to verdict, judgment or final decision (rather than settled), indicating whether you were sole counsel, chief counsel, or associate counsel.

I did not try a case to verdict in my career as a practitioner. I was lead counsel in a two-week jury trial that ended in a mistrial and was then settled.

i. What percentage of these trials were:
1. jury:
2. non-jury:

e. Describe your practice, if any, before the Supreme Court of the United States. Supply four (4) copies of any briefs, amicus or otherwise, and, if applicable, any oral argument transcripts before the Supreme Court in connection with your practice.

I have not practiced before the Supreme Court of the United States. I recall that early in my career, as an associate at Arnold & Porter, I may have assisted with preparation of a petition for a writ of *certiorari*; I do not recall any details about the matter.

17. **Litigation**: Describe the ten (10) most significant litigated matters which you personally handled, whether or not you were the attorney of record. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the case. Also state as to each case:

a. the date of representation;

b. the name of the court and the name of the judge or judges before whom the case was litigated; and

c. the individual name, addresses, and telephone numbers of co-counsel and of principal counsel for each of the other parties.

(1) *Summit Tech., Inc. v. High-Line Med. Instruments Co.*, Case No. 95-6491 ABC (SHx) (1995) (C.D. Cal.) (Judge Audrey B. Collins)

I was lead counsel for Summit Technology, Inc. in this matter, which involved copyright, trademark and related claims concerning the importation of used Summit Excimer lasers from overseas. Summit claimed that those who had imported the lasers were not permitted to do so and that they were putting patients at risk by using equipment that was not approved by the FDA for use in the United States. The case, which was active from approximately 1998-2000, was settled.

Counsel of Record for Defendant William Ellis	John I. Alioto, Alioto & Alioto, 1127 Pope St., Suite 201, Saint Helena, CA 94574, (707) 963-0606; Margaret M. Weems, Weems Law Offices, Fair-Anselm Plaza West, 769 Center Blvd. #38, Fairfax, CA 94930, (415) 259-0294
Counsel of Record for Defendant Kenneth York	Maxwell M. Blecher, William Hsu, Blecher & Collins, 515 S. Figueroa St. 17th Floor, Suite 1750, Los Angeles, CA 90071, (213) 622-4222; Hon. Alicia G. Rosenberg, 312 North Spring St., Los Angeles, CA 90012, (213) 894-5419
Counsel of Record for High-Line Medical Instruments	James R. Kalyvas, Foley & Lardner, 555 S Flower St. #3500, Los Angeles, CA 90071, (213) 972-4542; Shana T. Mintz, U.S. Attorney's Office, 300 N. Los Angeles St., Federal Building, Room 7516, Los Angeles, CA 90012, (213) 894-4756

(2) *A.G. Layne v. Lockheed Martin Corp.*, Case No. BS 040026 (Cal. Super. Ct. 1998) (Judge Fumiko Wasserman)

I served as associate counsel with James S. Rogers for defendant ITT Industries, Inc. This litigation, which occurred between 1998 and 1999, involved the confirmation of an arbitration award following a several-year arbitration with respect to environmental contamination issues at various sites in the San Fernando Valley. The matter was then appealed to the Second Appellate District. A parallel proceeding was brought before Judge Marianna Pfaelzer in the United States District Court for the Central District of California entitled, *Lockheed Martin Corporation v. ITT Industries*, Case No. 96-5679-MRP-Anx. The federal case was largely quiescent during the state proceedings. The matter was finally resolved when the California Supreme Court declined to review the Court of Appeal decision affirming the confirmation of the arbitration award.

Counsel of Record for Various Parties	Steven L. Mayer, Howard Rice Nemerovski Canady Falk & Rabkin, 3 Embarcadero Center, 7th Floor, San Francisco, CA 94111, (415) 399-3039
Counsel of Record for ITT Industries	James A. Rogers (retired)
Counsel of Record for Lockheed-Martin Corporation	Gregory R. McClintock, 2490 Swanfield Ct., Thousand Oaks, CA 91361, (310) 384-1117

(3) *Drabek v. AAI Corp.*, Case No. SC 0498101 (Cal. Super. Ct.) (master calendar)

This action, which was litigated between 1998 and 2000, involved claims that our client, SkodaExport, a Czech Republic entity, owed brokerage commissions to a Czech National residing in California in connection with the sale of certain equipment in California. Following discovery and litigation concerning whether the matter was subject to arbitration, the case was settled with respect to our client. I served as lead counsel.

Counsel of Record for Plaintiff	Leland Alan Stark, 201 Santa Monica Blvd., Suite 320, Santa Monica, CA 90401, (310) 656-0088; Hon. Thadd A. Blizzard, Superior Court of Sacramento County, 720 Ninth St., Department 35, Sacramento, CA 95814, (916) 874-7885
Counsel of Record for SkodaExport	Joseph R. Profaizer, Paul/Hastings, 875 15th St., NW, Washington, DC 20005, (202) 551-1860
Counsel of Record for Co-Defendants	Jonathan A. Ross, Bradley & Gmelich, 700 N. Brand Blvd., 10th Floor, Glendale, CA 91203, (818) 243-5200; Iain A.W. Nasatir, Pachulski, Stang, Ziehl, & Jones, 10100 Santa Monica Blvd., Suite 1100, Los Angeles, CA 90067, (310) 277-6910

(4) *Toon Boom Techs., Inc. v. Cambridge Animation Sys.*, Case No. EC 0249101 (Cal. Super. Ct.) (Judge Charles W. Stoll)

I served as lead counsel for defendant Cambridge Animation Systems. This matter, which was litigated between 1998 and 1999, involved claims of trade secret misappropriation brought by one competitor against another in connection with the decision by certain employees to move from one to the other. Following a TRO proceeding, discovery and a preliminary injunction proceeding, the matter was settled.

Associate Counsel	Professor Susan P. Crawford, Associate Professor of Law, Cardozo School of Law, 55 Fifth Avenue, New York, NY 10003, (212) 790-0493
Counsel of Record for Toon Boom	Franklin Brockway Gowdy, Morgan Lewis & Bockius LLP, 1 Market Spear St. Tower, San Francisco, CA 94105, (415) 442-1525
Counsel of Record for Thomas Carrigan and Thomas Tillburn	Howard Z. Rosen, Posner & Rosen LLP, 3600 Wilshire Blvd., Suite 1800, Los Angeles, CA 90010, (231) 389-6050

(5) *Forest Lawn Mortuary v. Waterfield Tech. Grp.*, Case No. BC 217228 (Cal. Super. Ct.) (Judge Richard Kalustian); Case No. CV99-11974 (C.D. Cal.) (Judge Dean D. Pregerson)

I served as lead counsel for the plaintiff in this matter, which was litigated in 1999 and 2000. It involved a dispute whether a specially-designed computer system met contractual standards and specifications. Following initial discovery, the parties successfully mediated the matter before retired District Judge John Davies.

Counsel of Record for Waterfield Technology	Julie Akins McCoy, 5001 Birch St., #19, Newport Beach, CA 92660, (949) 474-0619
Counsel of Record for Don Stacey	Donald R. Stacey, Getman, Stacey, Tamposi, Schulthess & Steere, P.A., 3 Executive Park Dr., Suite 9, Bedford, NH 03110, (603) 634-4300

(6) *Agricultural Cooperatives Accounting Cases*, (Judicial Council Coordination Proceeding No. 1998) (before the Coordination Trial Judges, The Honorable James S. Thaxter and The Honorable Nickolas Dibiaso of the Superior Court of the State of California, County of Fresno)

This matter was litigated in the late 1980s. These cases involved a variety of claims arising from the operations of several agricultural cooperatives. The claims included auditing issues, wrongful termination and a variety of class action claims brought on behalf of various growers who were members of the cooperatives. I served as lead counsel for one of the executives of the cooperatives. Following extensive litigation, the matter was settled.

Counsel of Record for Sun-Maid Growers of California	Joseph M. Marchini, Baker, Manock & Jensen, 5260 N. Palm, Suite 421, Fresno, CA 93704, (559) 432-5400
Counsel of Record for Sun-Maid Growers of California	Catherine Conway, Akin Gump Strauss Hauer & Feld, L.L.P., 2029 Century Park East, Suite 2600, Los Angeles, CA 90067, (310) 552-6435
Counsel of Record for Sun-Diamond	Miles N. Ruthberg, Latham & Watkins, 355 S. Grand Ave., Los Angeles, CA 90071, (213) 891-8574
Counsel of Record for Coopers & Lybrand	Franklin Brockway Gowdy, Morgan Lewis & Bockius LLP, 1 Market Spear St. Tower, San Francisco, CA 94105, (415) 442-1525

Co-Counsel	Stephen M. Kristovich, Munger, Tolles & Olson, LLP, 355 S. Grand Ave., 35th Floor, Los Angeles, CA 90071, (213) 683-9251; James D. Burnside, III, Dowling Aaron & Keeler Inc., 8080 North Palm Ave. 3rd Floor, Fresno, CA 93711, (559) 432-4500; Sam R. Morley, 11062 Canyon Vista Dr., Cupertino, CA 95014, (650) 948-1600

(7) *ANI v. AT&T*, Case No. 92-2836 JSL (SHx) (C.D. Cal.) (Judge Spencer Letts)

I served as lead counsel for plaintiff in this matter, litigated in the mid-1990s, which involved disputes concerning AT&T's treatment of resellers of its long distance services. Antitrust and Communications Act claims were presented. The matter was resolved by settlement.

Counsel of Record for AT&T	Jeffrey K. Riffer, Elkins Kalt Weintraub Reuben Gartside LLP, 1800 Century Park E. 7th Floor, Los Angeles, CA 90067, (310) 746-4406
Co-Counsel	Maxwell M. Blecher, William Hsu, Blecher & Collins, 515 S. Figueroa St., 17th Floor, Suite 1750, Los Angeles, CA 90071, (213) 622-4222; Hon. Alicia G. Rosenberg, 312 N. Spring St. Los Angeles, CA 90012, (213) 894-5419; David J. Pasternak, Pasternak & Pasternak, 1875 Century Park East, #2200, Los Angeles, CA 90067, (310) 553-1500

(8) *In Re Mission Ins./Underwriters Reinsurance*, Case No. C 572724 (Cal. Super. Ct.) (Judge Kurt Lewin)

This matter was litigated in the mid-1990s. It was a complex receivership proceeding concerning a failed insurance company, and it involved dozens of parties. I was co-counsel to a reinsurer of Mission Insurance Company, and I took the lead in many areas. Following extensive litigation, the matter was settled as to the claims asserted against our reinsurance client.

Co-Counsel	Daniel M. Lewis, Arnold & Porter (retired), 5101 Randall Lane, Bethesda, MD 20816, (310) 320-5192
Counsel of Record for Insurance Commissioner of California	Karl L. Rubinstein, P.O. Box 18657, Corpus Christi, TX 78480, (361) 949-8478
Counsel of Record for Certain Mission Officers	Iain A.W. Nasatir, Pachulski, Stang, Ziehl & Jones, 10100 Santa Monica Blvd., Suite 1100, Los Angeles, CA 90067, (310) 277-6910

Counsel of Record for Other Insured Parties	Peter Chaffetz, Chaffetz Lindsey LLP, 1350 Avenue of the Americas, New York, NY 10019, (212) 257-6960; Edward J. Boyle, Wilson, Elser, Moskowitz, Edelman & Dicker LLP, 150 E. 42nd St., New York, NY 10017, (212) 490-3000; Steven E. Sletten, Gibson, Dunn & Crutcher, 333 S. Grand Ave., Los Angeles, CA 90071, (213) 229-7505; Margaret Levy, Manatt, Phelps & Phillips, LLP, 11355 W. Olympic Blvd., Los Angeles, CA 90064, (310) 312-4000

(9) *Sterling Ridge Partners v. Gibson, Dunn & Crutcher*, Case No. 697452 (Cal. Super. Ct.) (Judge Mason L. Fenton)

I served as lead counsel for builder developer, Paul E. Griffin, Jr. This case was litigated between 1992 and 1993, in connection with claims brought by investors about a planned development project in the Santa Clarita Valley. Following a several-week trial, the matter was settled.

Counsel of Record for Plaintiffs	Tom A. Nunziato, 11355 W. Olympic Blvd., Los Angeles, CA 90064, (310) 444-3201
Counsel of Record for Defendant Gibson, Dunn & Crutcher	Thomas R. Malcolm, Jones, Day, Reavis & Pogue, 3161 Michelson Dr., Suite 800, Irvine, CA 92612, (949) 851-3939

(10) *Watkins-Johnson v. Robert E. Stollar* (American Arbitration Association)

I served as lead counsel for Mr. Stollar in an arbitration proceeding in a matter arising out of the sale of his business and his subsequent employment by the buyer. The matter was settled following several months of discovery and the commencement of the arbitration proceeding.

Counsel of Record for Watkins-Johnson	James R. Madison, 750 Menlo Ave., Suite 250, Menlo Park, CA 94025, (416) 614-0160

18. **Legal Activities**: Describe the most significant legal activities you have pursued, including significant litigation which did not progress to trial or legal matters that did not involve litigation. Describe fully the nature of your participation in these activities. List any client(s) or organization(s) for whom you performed lobbying activities and describe the lobbying activities you performed on behalf of such client(s) or organizations(s). (Note: As to any facts requested in this question, please omit any information protected by the attorney-client privilege.)

My practice consisted of a variety of complex litigation matters, counseling on matters related to potential litigation or administrative enforcement proceedings, and public service work. I served as lead counsel in matters involving antitrust, corporate governance, trade secret, copyright, securities regulation, environmental issues, sales of businesses, and similar disputes. Most of these matters involved intense litigation for a period of time, followed by a negotiated resolution or summary disposition. I also provided advice to many clients over the years on antitrust, environmental and intellectual property issues that did not involve litigation. I never performed any lobbying activities.

19. **Teaching**: What courses have you taught? For each course, state the title, the institution at which you taught the course, the years in which you taught the course, and describe briefly the subject matter of the course and the major topics taught. If you have a syllabus of each course, provide four (4) copies to the committee.

    I have not taught any courses.

20. **Deferred Income/ Future Benefits**: List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients or customers. Describe the arrangements you have made to be compensated in the future for any financial or business interest.

    None.

21. **Outside Commitments During Court Service**: Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service with the court? If so, explain.

    If confirmed, and provided I may do so consistent with all applicable ethics rules, I plan to continue to serve on the Board of Directors of the Constitutional Rights Foundation. It is a non-profit, non-partisan organization dedicated to the education of young Americans about civic issues and responsibilities. I have been involved with this organization for many years. I have not, and will not, receive any compensation for my volunteer work.

22. **Sources of Income**: List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, licensing fees, honoraria, and other items exceeding $500 or more (if you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here).

    See attached Financial Disclosure Report.

23. **Statement of Net Worth**: Please complete the attached financial net worth statement in detail (add schedules as called for).

    See attached Net Worth Statement.

24. **Potential Conflicts of Interest**:

a. Identify the family members or other persons, parties, categories of litigation, and financial arrangements that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to arise.

If confirmed, I would resolve any potential conflict of interest by adhering to 28 U.S.C. § 455, the Code of Conduct for United States Judges, and all applicable policies and procedures of the United States courts. My daughter is an attorney who practices with a law firm with offices in Los Angeles and other cities. If confirmed, I will recuse from any matter in which she is involved and will disclose or recuse as provided for in the Code on any other matter in which her firm is involved. I am unaware of any other circumstances that would raise potential conflict-of-interest issues.

b. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.

I would resolve any potential conflict of interest by adhering to 28 U.S.C. § 455, the Code of Conduct for United States Judges, and all applicable policies and procedures of the United States courts. I would recuse myself in any matter in which my spouse or I holds a financial interest or has a sufficiently close connection with counsel or the parties (business or social). In the event of uncertainty, I would err on the side of recusal.

25. **Pro Bono Work**: An ethical consideration under Canon 2 of the American Bar Association's Code of Professional Responsibility calls for "every lawyer, regardless of professional prominence or professional workload, to find some time to participate in serving the disadvantaged." Describe what you have done to fulfill these responsibilities, listing specific instances and the amount of time devoted to each.

(a) Los Angeles County Bar Association ("LACBA")

While in practice, I devoted hundreds of hours to the LACBA. I was the first recipient of the Patricia Philips Award as Outstanding Committee Chair. My service to the LACBA included serving as the chair of the LACBA's *Amicus* Briefs Committee from 1997 to 1999. I was a principal author of LACBA's *amicus* brief to the California Supreme Court in *Lew Warden v. State Bar of California*, 21 Cal. 4th 628 (1999).

In 1998, I led LACBA's effort to create a training program for new lawyers. Over the next two years, we presented highly-rated MCLE sessions that reached more than 1000 lawyers. The programs addressed each aspect of a civil case as it moved through the state and federal court systems. Our efforts led to the involvement of many leading attorneys and judges in Los Angeles.

I served as LACBA Vice-President, a member of its Executive Committee and on several other committees listed in response to Question 9.

(b) Constitutional Rights Foundation ("CRF")

I joined the Board of the CRF because of my interest in encouraging attorneys to spend time teaching inner-city school children about important principles of our Constitutional system. I led an effort with senior CRF staff to develop a program in which attorneys are paired with judges and then visit eighth grade and eleventh grade classrooms to make presentations in connection with U.S. History classes. This is called "Courtroom to Classroom." I also participated in CRF's Mock Trial Program. Since becoming a judge, I have continued my work with CRF, including service as its President for two years (2006 – 2007). I estimate that I have devoted more than 1500 hours to CRF since taking the bench.

(c) Alliance for Children's Rights ("AFCR")

Over a two-year period, I represented clients sent by the AFCR. These individuals needed counseling in connection with adoption and other family-related proceedings.

(d) First Impressions Project

I visited inner-city schools to teach Constitutional principles as part of the First Impressions Project organized by then-Superior Court Judge Veronica McBeth. In my work, I generated my own classroom materials for elementary school students that addressed the basic principles of our Constitutional system. I provided copies of the Constitution and all of its amendments to each student in each class that I visited.

(e) Court Outreach Efforts

I have spent a significant amount of time on efforts to expand Court Outreach to our community through my roles as Chair of our Court's Community Outreach Committee and Outreach Governance Committee. My work on both of these committees has been directed at efforts to have judges fulfill their obligations to participate in community activities that will enhance understanding of our courts. The efforts of our court include visits to schools to interact with students; visits to civic organizations to speak about the role of courts; programs with clergy to discuss the role of courts; the establishment of Teen Courts, in which certain juvenile cases are tried to a jury of the accused's peers; and mock trials in the courthouse. I have also been working for almost two years to expand the statewide efforts on outreach. I have coordinated with the Administrative Office of Courts to create means of sharing outreach ideas and programs among courts in different counties, and have organized a statewide course about outreach that has been designed for judges. Its initial presentation was in August 2010 at the statewide "Judicial College," an educational event for newly-appointed judges. I have also taught at statewide programs for teachers about civic education.

26. **Selection Process**:

a. Please describe your experience in the entire judicial selection process, from beginning to end (including the circumstances which led to your nomination and the interviews in which you participated). Is there a selection commission in your jurisdiction to recommend candidates for nomination to the federal courts? If so, please include that process in your description, as well as whether the commission recommended your nomination. List the dates of all interviews or communications you had with the White House staff or the Justice Department regarding this nomination. Do not include any contacts with Federal Bureau of Investigation personnel concerning your nomination.

   On November 6, 2009, I sent my application for appointment to David S. Casey, Esq., Statewide Chair of Senator Feinstein's Judicial Advisory Committees. Thereafter, Holly Fujie, Esq., the Chair of Senator Feinstein's Central District Committee, invited me to an interview with that Committee on February 2, 2010. Following that interview, I was interviewed by Mr. Casey on February 11, 2010.

   Since July 1, 2010, I have been in contact with pre-nomination officials at the U.S. Department of Justice. I interviewed in Washington, D.C. with attorneys from the White House Counsel's Office and the Department of Justice on August 12, 2010. The President submitted my nomination to the Senate on November 17, 2010.

b. Has anyone involved in the process of selecting you as a judicial nominee discussed with you any currently pending or specific case, legal issue or question in a manner that could reasonably be interpreted as seeking any express or implied assurances concerning your position on such case, issue, or question? If so, explain fully.

   No.

AO 10 Rev. 1/2010

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Kronstadt, John A.	U.S. District Court for the Central District of California	11/17/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type** (check appropriate type)	**6. Reporting Period**
U.S. District Judge	☑ Nomination, Date 11/17/2010 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	01/01/2009 to 10/31/2010
7. Chambers or Office Address	**8.** On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.	
111 North Hill Street Department 30; Room 400 Los Angeles, CA 90012	Reviewing Officer______________________ Date__________	

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust #1
2.	Trustee	Trust #2
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Kronstadt, John A.	11/17/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2008	Los Angeles Superior Court Salary and benefits	$220,163.04
2. 2009	Los Angeles Superior Court Salary and benefits	$220,163.04
3. 2010	Los Angeles Superior Court Salary and benefits	$183,469.19
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2010	Pepperdine University School of Law; Adjunct Professor
2. 2010	Los Angeles Superior Court Salary and Benefits
3. 2009	Los Angeles Superior Court Salary and Benefits
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Exempt				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Kronstadt, John A.	11/17/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Kronstadt, John A.	11/17/2010

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Horizons Mid Cap Equity Fund	A	Int./Div.	M	T	Exempt				
2.	Horizons Large Cap Equity Fund	A	Int./Div.	J	T					
3.	Horizons Stable Income Fund	B	Int./Div.	K	T					
4.	Los Angeles County Stable Balanced Fund	A	Int./Div.	L	T					
5.	Los Angeles County Stable Value Fund	B	Int./Div.	M	T					
6.	Allianz NFJ International AFJCX	A	Int./Div.	L	T					
7.	Black Rock Large Cap Value MCLVX	A	Int./Div.	L	T					
8.	Columbia Marsico 21st Century NMYCX	A	Int./Div.	M	T					
9.	Henderson International Opportunities HFOCX	A	Int./Div.	L	T					
10.	Nuveen Tradewinds Global NWGCX		None	M	T					
11.	ML Winton Futures Access LLC, Class C LP		None	M	T					
12.	Vanguard Health Care VGHAX	A	Int./Div.	L	T					
13.	Vanguard Wellington VWENX	A	Int./Div.	M	T					
14.	Vangurad Bond VBIRX	A	Int./Div.	M	T					
15.	Vanguard Money Market VMMXX	A	Int./Div.	J	T					
16.	Bridgeway Small Cap Growth BRSGX	A	Int./Div.	J	T					
17.	CGM Mutual CGMFX	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Kronstadt, John A.	11/17/2010

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. CGM Mutual LOMMX	A	Int./Div.	J	T					
19. CGM Realty CGMRX	A	Int./Div.	K	T					
20. Dodge & Cox Int. DODFX	A	Int./Div.	L	T					
21. Dodge & Cox Stock DODGX	A	Int./Div.	K	T					
22. Fairholme Funds FAIRX	A	Int./Div.	K	T					
23. DOW	A	Int./Div.	J	T					
24. INTC	A	Int./Div.	K	T					
25. IBM	B	Int./Div.	K	T					
26. WM	A	Int./Div.	J	T					
27. Los Angeles County Horizons Non-US Equity	A	Int./Div.	K	T					
28. Los Angeles County Horizons Small Cap Equity	A	Int./Div.	K	T					
29. Los Angeles County Horizons Midcap Equity	A	Int./Div.	K	T					
30. Los Angeles County Horizons Non US Equity	A	Int./Div.	K	T					
31. Los Angeles County Horizons Small Cap Equity	A	Int./Div.	K	T					
32. Los Angeles County Savings Large Cap Equity	A	Int./Div.	M	T					
33. Los Angeles County Savings Balanced Fund	A	Int./Div.	L	T					
34. Los Angeles County Horizons Stable Income Fund	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Kronstadt, John A.	11/17/2010

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Cal. Judges Retirement System Pension Plan		None	O	T					
36. University of California Savings Fund	A	Int./Div.	J	T					
37. University of California Equity Fund	A	Int./Div.	K	T					
38. TIAA CREF Stock	A	Int./Div.	J	T					
39. TIAA CREF Global Equities	A	Int./Div.	J	T					
40. TIAA CREF Growth	A	Int./Div.	J	T					
41. TIAA CREF Equity Index	A	Int./Div.	J	T					
42. California Municipal Bonds	D	Int./Div.	L	T					
43. Oppenheimer Advantage Bank Deposit Sweep Account	A	Int./Div.	L	T					
44. Merrill Lynch Money Market	A	Int./Div.	J	T					
45. Franklin California High Yield Municipal Fund	D	Int./Div.	N	T					
46. Oppenheiumer International Bond Fund OIBCX	B	Int./Div.	K	T					
47. Vanguard Windsor II VWNFX	A	Int./Div.	J	T					
48. Vanguard CA Tax Exempt Money Market VCTXX	A	Int./Div.	J	T					
49. PowerShares QQQ Trust	A	Int./Div.	L	T					
50. ABT	A	Int./Div.	J	T					
51. AXP	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Kronstadt, John A.	11/17/2010

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. AMGN	A	Int./Div.	K	T					
53. T	A	Int./Div.	J	T					
54. BAC	A	Int./Div.	J	T					
55. CVX	A	Int./Div.	J	T					
56. XOM	A	Int./Div.	J	T					
57. MHS	A	Int./Div.	J	T					
58. MSFT	A	Int./Div.	K	T					
59. MON	A	Int./Div.	J	T					
60. PG	A	Int./Div.	K	T					
61. QCOM	A	Int./Div.	L	T					
62. RDSA	A	Int./Div.	J	T					
63. SJM	A	Int./Div.	J	T					
64. UPS	A	Int./Div.	J	T					
65. WMT	A	Int./Div.	K	T					
66. Vanguard 529 Moderate Age-Based Option	A	Int./Div.	J	T					
67. Vanguard 529 Agressive Age-Based Option	A	Int./Div.	J	T					
68. DODFX	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Kronstadt, John A.	11/17/2010

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. HD	A	Int./Div.	J	T					
70. IBM	A	Int./Div.	J	T					
71. MON	A	Int./Div.	J	T					
72. TXN	A	Int./Div.	J	T					
73. Vanguard Health Care VGHCX	A	Int./Div.	K	T					
74. Vanguard Windsor II VWNFX	A	Int./Div.	J	T					
75. Vanguard Money Market VMMXX	A	Int./Div.	J	T					
76. California Municipal Bonds	A	Int./Div.	K	T					
77. Union Bank Investment Services Sweep Account	A	Int./Div.	K	T					
78. American Funds Money Market Fund 529-B	A	Int./Div.	L	T					
79. Americab Funds American Balanced Fund 529-E	A	Int./Div.	J	T					
80. California Municipal Bonds	A	Int./Div.	M	T					
81. Bruce Fund Mutual Fund	A	Int./Div.	K	T					
82. Northwest Mutual Whole Life Insurance	C	Int./Div.	L	T					
83. Lincoln Financial Group American Legacy II Variable Annuity		None	M	T					
84. Putnam Hartford Capital Manager Variable Annuity		None	L	T					
85. Round Hill Partnership (Real Property)	D	Distribution	L	W					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Kronstadt, John A.	11/17/2010

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Union Bank of California Cash Account	A	Interest	M	T					
87. Oppenheimer Advantage Bank Deposit Sweep Account	A	Int./Div.	L	T					
88.									
89. Trust #1									
90. - 1111 Spring St. Partnership	A	Distribution	K	T					
91. - Round Hill Partnership (Real Estate) Appraisal 12/30/2009	E	Distribution	M	Q					
92. - Country Club Offices Part. (Real Est.)Appraisal 12/30/09	A	Distribution	J	Q					
93. - Penn Center Limited Part. (Real Est.) Appraisal 12/30/09	E	Distribution	M	Q					
94. - Potomac Place Assoc. Part. (Real Est.) Apprais. 1/26/10	D	Distribution	L	Q					
95. - AZO		None	J	T					
96. - KMX		None	J	T					
97. - DIS	A	Dividend	J	T					
98. - F		None	J	T					
99. - LOW	A	Dividend	J	T					
100. - MCD	A	Dividend	J	T					
101. - V	A	Dividend	J	T					
102. - MO	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Kronstadt, John A.	11/17/2010

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. - CVS	A	Dividend	J	T					
104. - CL	A	Dividend	J	T					
105. - SBMRY	A	Dividend	J	T					
106. - XOM	B	Dividend	J	T					
107. - SLB	A	Dividend	J	T					
108. - RIG	A	Dividend	J	T					
109. - BRK.A	A	Dividend	J	T					
110. - BAM	A	Dividend	J	T					
111. - JPM	A	Dividend	J	T					
112. - MKL		None	J	T					
113. - TROW	A	Dividend	J	T					
114. - BDX	A	Dividend	J	T					
115. - LH		None	J	T					
116. - MRK	A	Dividend	J	T					
117. - XBI	A	Dividend	J	T					
118. - TEVA	A	Dividend	J	T					
119. - CAT	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Kronstadt, John A.	11/17/2010

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - DHR	A	Dividend	J	T					
121. - GD	A	Dividend	J	T					
122. - GE	A	Dividend	J	T					
123. - ITW	A	Dividend	J	T					
124. - UTX	A	Dividend	J	T					
125. - ACN	A	Dividend	J	T					
126. - AAPL	A	Dividend	J	T					
127. - ADP	A	Dividend	J	T					
128. - CSCO	A	Dividend	J	T					
129. - FISV	A	Dividend	J	T					
130. - GOOG	A	Dividend	J	T					
131. - INTC	A	Dividend	J	T					
132. - IBM	A	Dividend	J	T					
133. - MSFT	A	Dividend	J	T					
134. - ORCL	A	Dividend	J	T					
135. - QCOM	A	Dividend	J	T					
136. - ALB	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Kronstadt, John A.	11/17/2010

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - ABX	A	Dividend	J	T					
138. - IFF	A	Dividend	J	T					
139. - PX	A	Dividend	J	T					
140. - AMT	A	Dividend	J	T					
141. - MICC	A	Dividend	J	T					
142. - NEE	A	Dividend	J	T					
143. - WMT	A	Dividend	J	T					
144. - Household Finance Corp. Bonds	B	Interest	K	T					
145. - Wal-Mart Stores Global Note	B	Interest	K	T					
146. - BBT	A	Int./Div.							
147. - KFT	A	Int./Div.							
148. - PEP	A	Int./Div.							
149. - PG	A	Int./Div.							
150. - RTP	A	Int./Div.							
151. - Fed. National Mtg. Assn. Note	A	Int./Div.							
152. - Fed. Home Loan Mtg. Corp. Note	A	Int./Div.							
153. - General Electric Credit Corp. Note	A	Int./Div.							

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Kronstadt, John A.	11/17/2010

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Federal Agricultural Mortgage Corp. Note	A	Int./Div.							
155. - CHL	A	Int./Div.							
156. - DEO	A	Int./Div.							
157. - OMC	A	Int./Div.							
158. - SYY (X)	A	Int./Div.							
159.									
160.									
161.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Kronstadt, John A.	11/17/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

With respect to Trust #2: Trust #2 has no assets at the present time. It may be a beneficiary of a portion of the assets in Trust #1. Should Trust #2 receive assets from Trust #1, I would be the trustee of such assets when held in Trust #2.

Name of Person Reporting	Date of Report
Kronstadt, John A.	11/17/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature ____________________

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

John Kronstadt

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		165	850	Notes payable to banks-secured			
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities – see schedule	3	428	189	Notes payable to relatives			
Unlisted securities				Notes payable to others – auto loan		30	000
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable – primary residence		767	600
Real estate owned – see schedule	3	600	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		250	000				
Cash value-life insurance		155	117				
Other assets itemize:							
- Annuities		210	500				
- Partnership Interest		50	000				
- California municipal bonds		315	800	Total liabilities		797	600
- California Judicial Pension Plan		784	189	Net Worth	8	162	045
Total Assets	8	959	645	Total liabilities and net worth	8	959	645
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	NO		
On leases or contracts				Are you defendant in any suits or legal actions?	NO		
Legal Claims				Have you ever taken bankruptcy?	NO		
Provision for Federal Income Tax							
Other special debt							

FINANCIAL STATEMENT

NET WORTH SCHEDULES

Listed Securities		
American Funds Mutual Funds		$ 86,374
Allianz NFJ Mutual Fund		48,511
Black Rock Mutual Fund		104,383
Bridgeway Mutual Fund		3,541
Bruce Mutual Fund		22,377
CGM Mutual Funds		72,989
Columbia Marsico Mutual Fund		190,773
Dodge and Cox Mutual Funds		190,126
Fairholme Funds Mutual Fund		38,035
Franklin California Mutual Fund		279,500
Henderson International Mutual Fund		86,577
Los Angeles County Horizons Mutual Funds		852,850
ML Winton FuturesAccess LLC, Class C LP		107,544
Nuveen Tradewinds Mutual Fund		171,304
Oppenheimer International Mutual Fund		36,159
Oppenheimer Sweep Account		347
Power Shares Trust		104,360
TIAA CREF Mutual Funds		3,000
Union Bank of California Sweep Account		352
University of California Mutual Funds		40,600
Vanguard Mutual Funds		602,530
Abbott Laboratories stock		10,264
Alcatel-Lucent stock		202
American Express Co. stock		16584
Amgen Inc. stock		22,876
AT&T Inc. stock		2,852
Bank of America Corp. stock		3,435
Chevron Corp. stock		10,160
Dow stock		9,000
Exxon Mobil Corp. stock		13,298
Home Depot		2,600
IBM stock		37,336
Intel stock		32,080
Medco Health Solutions, Inc. stock		2,521
Microsoft Corp. stock		26,665
ModusLink Global Solutions, Inc. stock		180
Monsanto Company stock		6,061
Procter & Gamble Co. stock		31,785
QUALCOMM Inc. stock		92,447
Royal Dutch Shell stock		13,950
Smucker Co. stock		257
Texas Instruments Inc. stock		5,914
UPS Inc. stock		6,737
Wal-mart Stores, Inc. stock		32,502
Waste Management, Inc. stock		6,251
	Total Listed Securities	$ 3,428,189
Real Estate Owned		
Personal residence		$ 3,500,000
Vacation home (50% interest)		100,000
	Total Real Estate Owned	$ 3,600,000

AFFIDAVIT

I, **John Arnold Kronstadt**, do swear that the information provided in this statement is, to the best of my knowledge, true and accurate.

11/15/2010
(DATE)

(NAME)

(NOTARY)

WALTER A. FERNANDEZ
COMM. #1770243
Notary Public - California
Los Angeles County
My Comm. Expires Oct. 7, 2011