

UNITED STATES SENATE
COMMITTEE ON THE JUDICIARY

QUESTIONNAIRE FOR JUDICIAL NOMINEES

PUBLIC

1. **Name:** State full name (include any former names used).

Kristine Gerhard Baker (maiden name: Kristine Anne Gerhard)

2. **Position:** State the position for which you have been nominated.

United States District Judge for the Eastern District of Arkansas

3. **Address:** List current office address. If city and state of residence differs from your place of employment, please list the city and state where you currently reside.

111 Center Street, Suite 1900
Little Rock, Arkansas 72201

4. **Birthplace:** State year and place of birth.

1971; Colorado Springs, Colorado

5. **Education:** List in reverse chronological order each college, law school, or any other institution of higher education attended and indicate for each the dates of attendance, whether a degree was received, and the date each degree was received.

1994 – 1996, University of Arkansas School of Law; J.D., 1996

1993 – 1994, Washington University School of Law; No degree received

1989 – 1993, Saint Louis University; B.A. (*summa cum laude*), 1993

1990, University of Missouri; No degree received

1988, Southern Illinois University at Edwardsville; No degree received

1985, Belleville Area College; No degree received

6. **Employment Record:** List in reverse chronological order all governmental agencies, business or professional corporations, companies, firms, or other enterprises, partnerships, institutions or organizations, non-profit or otherwise, with which you have been affiliated as an officer, director, partner, proprietor, or employee since graduation

from college, whether or not you received payment for your services. Include the name and address of the employer and job title or description.

2000 – present

Quattlebaum, Grooms, Tull & Burrow PLLC

111 Center Street, Suite 1900

Little Rock, Arkansas 72201

Partner (2002 – present)

Associate (2000 – 2002)

1998 – 2000

Williams & Anderson LLP

111 Center Street, Suite 2200

Little Rock, Arkansas 72201

Associate

1996 – 1998

The Honorable Susan Webber Wright, Chief Judge

United States District Court for the Eastern District of Arkansas

500 West Capitol Avenue

Little Rock, Arkansas 72201

Law Clerk

1995 – 1996

Westlaw

West Publishing Corporation

P.O. Box 64779

St. Paul, Minnesota 55164

Student Representative at the University of Arkansas School of Law

Summer 1995

Williams & Anderson LLP

111 Center Street, Suite 2200

Little Rock, Arkansas 72201

Summer Associate

Summer 1995

Friday Eldredge & Clark LLP

400 West Capitol Avenue, Suite 2000

Little Rock, Arkansas 72201

Summer Associate

Summer 1994
Rabbit, Pitzer & Snodgrass, P.C. (firm now known as Pitzer Snodgrass, P.C.)
800 Market Street, Suite 2300
St. Louis, Missouri 63101
Summer Associate

Summer 1994
John V. LaBarge, Jr.
Attorney at Law
1401 South Brentwood Boulevard, Suite 650
St. Louis, Missouri 63144
Summer Associate

1993
Famous-Barr Co.
1155 Saint Louis Galleria
St. Louis, Missouri 63117
Sales Associate

Other Affiliations (uncompensated unless otherwise indicated):

2011 – present
Presbyterian Village, Inc.
510 Brookside Drive
Little Rock, Arkansas 72205
Board Member

2011 – present
Wasserliebend, LLC
3306 Hill Road
Little Rock, Arkansas 72205
Managing Member

2009 – present
Arkansas Bar Foundation
2224 Cottdale Lane
Little Rock, Arkansas 72202
Board Member

2007 – present
Arbor Enterprises, LLC
3306 Hill Road
Little Rock, Arkansas 72205
Managing Member (Dividend and interest income are returned to the LLC, not distributed to members)

2006 – 2010

Dress for Success Little Rock

21 Lakeshore Drive

Little Rock, Arkansas 72204

Board Member (2006 – 2010)

President (2008)

2007 – 2008

Pulaski County Bar Association

1201 McMath Avenue, Room 101

Little Rock, Arkansas 72202

Board Member

1999 – 2001

Our Lady of the Holy Souls Church

1003 North Tyler Street

Little Rock, Arkansas 72205

Parish Council Member

7. **Military Service and Draft Status:** Identify any service in the U.S. Military, including dates of service, branch of service, rank or rate, serial number (if different from social security number) and type of discharge received, and whether you have registered for selective service.

I have not served in the military. I was not required to register for selective service.

8. **Honors and Awards:** List any scholarships, fellowships, honorary degrees, academic or professional honors, honorary society memberships, military awards, and any other special recognition for outstanding service or achievement.

The Best Lawyers in America: Most recently recognized in the areas of Commercial Litigation, First Amendment Law, and Labor and Employment Law (2009 – 2011)

Mid-South Super Lawyers: Rising Star in Business Litigation (2008 – 2010)

Chambers and Partners' America's Leading Lawyers for Business: Most recently recognized in the area of Commercial Litigation (2006, 2007, 2009, 2010, 2011)

Martindale-Hubbell, AV Preeminent (Peer Review Rated) (2006 – present)

American Mock Trial Association Honor, 20th National Intercollegiate Tournament (2004)

High Honors Graduate of the University of Arkansas School of Law (ranked 3rd in class) (1996)

Joe C. Barrett Award for Outstanding Student in Commercial Transactions (1996)

Outstanding Contribution to Scholarship Award at University of Arkansas School of Law (1996)

High Academic Achievement Award at University of Arkansas School of Law (1996)

Member and Articles Editor of the *Arkansas Law Review* (1995 – 1996)

Member of University of Arkansas School of Law's Board of Advocates (1995 – 1996)
 Member of University of Arkansas School of Law's First Amendment Moot Court Team (1995 – 1996)
 Quarterfinalist in University of Arkansas School of Law's Moot Court Competition (1994 – 1995)
 First Place in University of Arkansas School of Law's Negotiations Competition (1994 – 1995)
 Mr. and Mrs. Spencer T. Olin Fellow at Washington University School of Law (1993 – 1994)
 Phi Beta Kappa (1993)
 Alpha Sigma Nu, The Honor Society of Jesuit Institutions of Higher Education (1993)
 Presidential Scholar at Saint Louis University (1989 – 1993)

9. **Bar Associations:** List all bar associations or legal or judicial-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices which you have held in such groups.

American Bar Association (1998 – present)
 Forum Committee on Communications Law
 Arkansas Association of Women Lawyers (1998 – present, intermittent)
 Arkansas Bar Association (1998 – present)
 Civil Litigation Committee
 Labor and Employment Law Committee
 Commission on Diversity (2008 – present)
 Women in the Profession Committee
 Arkansas Bar Foundation
 Fellow (2007 – present)
 Board Member (2009 – present)
 Arkansas Supreme Court Committee on Model Jury Instructions – Civil (2008 – present)
 Association of the Bar of the United States Court of Appeals for the Eighth Circuit (2003 – present)
 Communications Committee, Contributing Member (2003 – 2005)
 Defense Research Institute (2007 – present)
 Phi Alpha Delta Law Fraternity, Pre-Law Chapter (1990 – 1993)
 Pulaski County Bar Association (1998 – present)
 Board Member (2007 – 2008)
 Pulaski County Bar Foundation
 Silent Auction Committee (2005)
 The Saint Thomas More Society of Arkansas, Inc. (2003 – present)
 U.S. District Court for the Eastern District of Arkansas Federal Practice Committee (2001 – 2005)
 U.S. District Court for the Eastern District of Arkansas Attorney Discipline Committee (2005 – 2006)
 USLAW Network, Inc. (2004 – present)
 William R. Overton Inn of Court, Member and currently a Barrister (1999 – present)

10. Bar and Court Admission:

- a. List the date(s) you were admitted to the bar of any state and any lapses in membership. Please explain the reason for any lapse in membership.

Arkansas, 1996. There have been no lapses in membership.

- b. List all courts in which you have been admitted to practice, including dates of admission and any lapses in membership. Please explain the reason for any lapse in membership. Give the same information for administrative bodies that require special admission to practice.

United States Court of Appeals for the Sixth Circuit, 2011
United States Court of Appeals for the Eighth Circuit, 1998
United States District Court for the Eastern District of Arkansas, 1998
United States District Court for the Western District of Arkansas, 1998
Arkansas Supreme Court, 1996
Arkansas Court of Appeals, 1996
Arkansas Circuit, District, and County Courts, 1996

There have been no lapses in membership in these courts.

11. Memberships:

- a. List all professional, business, fraternal, scholarly, civic, charitable, or other organizations, other than those listed in response to Questions 9 or 10 to which you belong, or to which you have belonged, since graduation from law school. Provide dates of membership or participation, and indicate any office you held. Include clubs, working groups, advisory or editorial boards, panels, committees, conferences, or publications.

Camp Aldersgate, Inc.
Aldersgate After Dark Fundraising Event, Co-Chair (2010)
Dress for Success Little Rock (2006 – 2010)
Board Member (2006 – 2010)
President (2008)
Fairfield Bay Community Club (2011 – present)
Little Rock Racquet Club (2008 – present)
Local Elementary School Parent Teacher Association (2007 – present)
Local Pre-K School Parent Teacher Association (2008 – 2009; 2010 – 2011)
March of Dimes
Signature Chefs Fundraising Event, Co-Chair (2010)

Our Lady of the Holy Souls Church

Parish Council Member (1999 – 2001)

Social Concerns Committee (1996 – 2001)

Chair (2001)

Presbyterian Village

Board Member (2011 – present)

Finance and Administration Committees (2011 – present)

Quapaw Quarter Association (1996 – present)

Serra Club of Greater Little Rock (2000 – 2001)

Tree Streets, Inc. (1997 – present)

Volunteer Organization, Center for Arkansas Legal Services (VOCAL) (1998 – present)

Volunteer Coach for Parkview Magnet High School Mock Trial Team (1997)

Women's Foundation of Arkansas (2005, 2007, 2009, 2010)

- b. The American Bar Association's Commentary to its Code of Judicial Conduct states that it is inappropriate for a judge to hold membership in any organization that invidiously discriminates on the basis of race, sex, or religion, or national origin. Indicate whether any of these organizations listed in response to 11a above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies. If so, describe any action you have taken to change these policies and practices.

To the best of my knowledge, none of the organizations listed above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies.

12. Published Writings and Public Statements:

- a. List the titles, publishers, and dates of books, articles, reports, letters to the editor, editorial pieces, or other published material you have written or edited, including material published only on the Internet. Supply four (4) copies of all published material to the Committee.

John E. Tull III and Kristine G. Baker, OPEN GOVERNMENT GUIDE: ARKANSAS CHAPTER (Reporters Committee for Freedom of the Press ed. 2011). Draft form only supplied; not yet published.

Quattlebaum, Grooms, Tull & Burrow PLLC, *Public and Legal Notices Digest: A compilation of Arkansas statutes requiring publication of public and legal notices in newspapers* (Ark. Press Ass'n ed. 2011). Copy supplied.

John E. Tull III, Kristine G. Baker, Charles L. Schlumberger & Brandon B. Cate, *Survey of Arkansas Privacy and Related Claims Against the Media*, in MEDIA

LAW RESOURCE CENTER 50-STATE SURVEY: MEDIA PRIVACY AND RELATED LAW 2009-2010 (Media Law Resource Center, Inc., ed. 2009) (Contributor for editions 2001 – current). Copy of the 2009-2010 edition supplied. The supplied version is substantially similar to prior versions.

Contributing Author, A GUIDE TO ARKANSAS STATUTES OF LIMITATIONS, Young Lawyers Section of the Arkansas Bar Association (5th ed. 2002). Copy supplied.

Allen C. Dobson, Philip S. Anderson, John E. Tull III, Leon Holmes, Jeanne L. Seewald & Kristine G. Baker, *Survey of Arkansas Employment Libel Law*, in LIBEL DEFENSE RESOURCE CENTER'S 50-STATE SURVEY (Libel Defense Resource Center ed. 1999). Copy supplied.

Kristine G. Baker, *BB&B Construction Co. v. F.D.I.C. – Mechanics' and Materialmen's Liens in Arkansas: Priority as a Function of Removability*, 48 Ark. L. Rev. 783 (1995). Copy supplied.

I was an active member of the Communications Committee for the Association of the Bar of the United States Court of Appeals for the Eighth Circuit from 2003 to 2005. In that capacity, I summarized decisions of the Eighth Circuit Court of Appeals and submitted them to the newsletter's editor for review. My name does not appear on the publication. Copies of the newsletters from 2003 to 2005 supplied.

I served as one of two articles editors for the *Arkansas Law Review* from 1995 to 1996.

- b. Supply four (4) copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of on behalf of any bar association, committee, conference, or organization of which you were or are a member. If you do not have a copy of a report, memorandum or policy statement, give the name and address of the organization that issued it, the date of the document, and a summary of its subject matter.

On January 28, 2008, as Chair of the Merit Selection Panel Regarding the Reappointment of Magistrate Judge J. Thomas Ray, I authored a report to the Chief Judge of the United States District Court for the Eastern District of Arkansas on behalf of the committee regarding Magistrate Judge Ray's reappointment. The report is confidential and is not supplied.

- c. Supply four (4) copies of any testimony, official statements or other communications relating, in whole or in part, to matters of public policy or legal interpretation, that you have issued or provided or that others presented on your behalf to public bodies or public officials.

I have served as a member of the Arkansas Supreme Court Committee on Model Jury Instructions – Civil from 2008 to the present. The committee authors the book *Arkansas Model Jury Instructions (Civil)*, which is published annually. The publication is created through a collaborative committee process. Copies of the minutes of this committee for the years I have served are supplied.

On April 8, 2003, I submitted a letter to the United States Senate in support of the nomination of J. Leon Holmes as a United States District Court Judge for the Eastern District of Arkansas. Copy supplied.

- d. Supply four (4) copies, transcripts or recordings of all speeches or talks delivered by you, including commencement speeches, remarks, lectures, panel discussions, conferences, political speeches, and question-and-answer sessions. Include the date and place where they were delivered, and readily available press reports about the speech or talk. If you do not have a copy of the speech or a transcript or recording of your remarks, give the name and address of the group before whom the speech was given, the date of the speech, and a summary of its subject matter. If you did not speak from a prepared text, furnish a copy of any outline or notes from which you spoke.

The items listed below represent speeches or talks I have identified through searches of my files, internet databases, discussions with others, and my memory. I have attempted as best I can to list all such items. However, there may be other speeches, talks or presentations I have been unable to locate or remember.

July 21, 2011: For the 2011 Arkansas Press Association Convention, I authored but did not present a session titled: "Public and Legal Notices Digest." Copy of presentation slides supplied.

June 21, 2011: For the 2011 Arkansas Bar Association Best of CLE, I presented a session titled: "Creating the Jury: Tips for the Voir Dire." Copy of presentation slides supplied.

June 9, 2011: For the 2011 Arkansas Bar Association Annual Meeting, I presented a session titled: "How to Use an Expert Witness – Toxicology." Copy of presentation slides supplied.

January 28, 2011: For the 2011 Arkansas Bar Association Mid-Year Meeting, I presented a session titled: "Creating the Jury: Tips for the Voir Dire." Copy of written materials supplied and I also used the PowerPoint supplied in response to the June 21, 2011 event.

January 19, 2011: For the 2011 Fundamentals of Employment Law for Sterling Educational Services, Inc., I presented sessions titled: "Employee Privacy in the Workplace" and "Sexual, Racial, and Other Harassment in the Workplace." Copy of written materials supplied.

March 8, 2011: For the 2011 Arkansas Paralegal Alliance, Inc., I presented a session titled: "Litigation and Social Media: IANAL, NTS, TMI, DGT, DURSB." Copy of written materials supplied.

October 19, 2010: For the 2010 William R. Overton Inn of Court Program, I presented and was program chair for a session titled: "Litigation and Social Media: IANAL, NTS, TMI, DGT, DURSB." Copy of written materials supplied.

October 15, 2010: For the 2010 Arkansas Judicial Conference, I presented a session titled: "Can I Have Some Privacy: The bench, bar, and media comment on recent FOIA developments in a panel discussion." Copy of written materials and presentation slides supplied.

October 7-8, 2010: For the 2010 USLaw Client Conference, I presented a session titled: "Caught Looking: Employer Do's and Don'ts for Employee Monitoring." Copy of presentation slides supplied.

March 17, 2010: For the 2010 Paragould Daily Paper's Sunshine Week Talk on FOIA, I gave an informal talk on the Freedom of Information Act. Copy of presentation slides and press coverage supplied; due to technical difficulties, material was not presented but served as notes for the presentation.

November 12, 2009: I was one of several guest lecturers for one day to students in a products liability course taught by adjunct professor Jonann C. Chiles at the William H. Bowen School of Law. I have no notes, transcript, or recording. The address of the William H. Bowen School of Law is 1201 McMath Avenue, Little Rock, Arkansas 72202.

June 12, 2009: For the 2009 Arkansas Bar Association Annual Meeting, I presented a session titled: "Can I Have Some Privacy: The bench, bar, and media comment on recent FOIA developments in a panel discussion." Copy of written materials and presentation slides supplied.

March 2009: For the 2009 Northwest Arkansas Human Resources Association, Inc., I presented a session titled: "New FMLA Regulations." Copy of presentation slides supplied.

2008 and 2009: As a Board Member of Dress for Success Little Rock, I gave presentations in 2008 and 2009 regarding Dress for Success and its programs to a group of students at Pulaski Technical College and to members of a club at Pulaski Heights United Methodist Church. I have no notes, transcript, or recording. The address of Pulaski Technical College is 8901 Kanis Road, Suite 103, Little Rock, Arkansas 72205. The address of Pulaski Heights United Methodist Church is 4823 Woodlawn Drive, Little Rock, Arkansas 72205.

July 18, 2008: For the 2008 Continuing Legal Education Seminar for Lorman Education Services, I presented a session titled: "FOIA in the Electronic Age: E-mails, Instant Messages, and Virtual Meetings and Recent Developments in FOIA." Copy of written materials supplied.

March 14, 2008: For the 2008 Arkansas Bar Association Labor & Employment Law Conference, I presented a session titled: "What You Need to Know to Draft Enforceable Severance Agreements." Copy of written materials supplied.

April 10-11, 2008: For the 2008 USLaw Client Conference, I presented a session titled: "Crafting Lawful E-Mail Policies." Copy of written materials supplied.

November 1, 2007: For the 2007 Employment Law Update for Sterling Education Services, Inc., I presented a session titled: "Coping with Leave Laws and Negotiating Separation Agreements in Difficult Termination Situations." Copy of written materials supplied.

2006: For the Arkansas Press Association Convention, I presented sessions titled "Libel, Slander, and Defamation Law Update" and "Employment Law Update." Copy of notes supplied.

2006: For the Employment Law Update for Sterling Education Services, Inc., I presented a session titled: "Protecting At-Will Employment." Copy of written materials supplied.

March 30, 2005: For the 2005 Human Resources Law Update for Sterling Education Services, Inc., I presented a session titled: "Employee Privacy in the Workplace." Copy of written materials supplied.

2004: For a 2004 Speech to Arkansas Human Resources Officers, I spoke on the following topics: "Privacy / Internet Policy and Procedures" and "Minimizing Organizational and Personal Liability Risks Arising from Employment Terminations." Copy of outlines supplied.

February 24, 2001: For the 2001 Arkansas Press Association Convention, I presented a session titled: "Contract Law and First Amendment Law as Applied to Newspaper Advertising." Copy of outline supplied.

2001: For the 2001 Institute for Paralegal Education, I presented a session titled: "Arkansas Advanced Litigation Skills for Paralegals." Copy of written materials supplied.

July 21, 2000: For the 2000 Arkansas Press Association Convention, I presented a session titled: "Frequently Asked Questions about the Freedom of Information Act." Copy of written materials supplied.

2000: For the Arkansas Association of Legal Assistants, I spoke on Advanced Legal Research. Copy of presentation slides supplied.

September 17, 1999: I participated in a panel discussion for *Arkansas Democrat-Gazette* reporters that addressed: "Libel Laws and Topics of Interest Related to Newspaper Work." Copy of outline supplied.

August 18, 1999: For the 1999 Institute for Paralegal Education, I presented a session titled: "Effective Legal Writing for Paralegals in Arkansas." Copy of written materials and outline supplied.

February 7, 1997: For the 1997 Third Annual Bankruptcy Conference, I participated in a panel discussion that addressed: "Tithing as a Potentially Fraudulent Transfer – A Survey of Cases." Copy of written materials supplied.

1992: I participated in taping a recruiting video for Saint Louis University for the Office of Undergraduate Admissions titled "Real Words." A copy of the video is supplied.

I have given informal presentations to print and broadcast journalists on First Amendment and Freedom of Information Act issues throughout my career. I do not recall the specific dates of these presentations. I have no notes, transcript, or recording. Some of the media outlets included KTHV in Little Rock, a Gannett Company, and the Morning News of Northwest Arkansas, a Stephens Media paper. The address of KTHV Television is Post Office Box 269, Little Rock, Arkansas 72203. The address of the Morning News of Northwest Arkansas's Springdale Office is 2560 North Lowell Road, Springdale, Arkansas 72764.

- e. List all interviews you have given to newspapers, magazines or other publications, or radio or television stations, providing the dates of these interviews and four (4) copies of the clips or transcripts of these interviews where they are available to you.

I have on occasion been interviewed by newspapers, magazines, and other written publications. Based on searches for these materials, I am providing copies of the following:

Forty Under 40, Arkansas Business, June 14, 2010. Copy supplied.

To Whom Much Is Given, AY Magazine, June 2010. Copy supplied.

Jennifer Nixon, *After Dark Lets Attendees Sample An Ill Camper's Joy*, Arkansas Democrat-Gazette, Apr. 18, 2010. Copy supplied.

Jennifer Koons, *Journalist Arrested Covering House Fire*, Reporters Committee for Freedom of the Press, Dec. 14, 2007. Copy supplied.

Traci Shurley, *Strike Doctor's Words, Koppers' Lawyer Urges*, Arkansas Democrat-Gazette, Feb. 5, 2002. Copy supplied.

Traci Shurley, *Civil Suit Pits Treatment Facility, Neighbors in North Little Rock*, Arkansas Democrat-Gazette, Jan. 14, 2002. Copy supplied.

Court Should Rule Soon on Media Request, Associated Press, June 23, 2000 (re-printed in multiple outlets). Copy supplied.

Tracie Dungan, *Media Lawyers Wait for Gag-Order Ruling*, Arkansas Democrat-Gazette, June 6, 2000 (re-printed in multiple outlets). Copy supplied.

Tracie Dungan, *More Transcripts Filed in Gag-Order Case*, Arkansas Democrat-Gazette, June 3, 2000 (re-printed in multiple outlets). Copy supplied.

Tracie Dungan, *News Groups File Appeal of Gag Order*, Arkansas Democrat-Gazette, June 1, 2000. Copy supplied.

High Profile Wedding Feature, Arkansas Democrat-Gazette, Jan. 8, 1995.

I was quoted in the story *A Promise Renewed* in Universitas, a St. Louis University publication, in 1993. Although I have been unable to obtain the original article, I have supplied the Summer 2007 edition of Universitas in which my quote was re-printed.

13. **Judicial Office:** State (chronologically) any judicial offices you have held, including positions as an administrative law judge, whether such position was elected or appointed, and a description of the jurisdiction of each such court.

I have not served as a judge.

- a. Approximately how many cases have you presided over that have gone to verdict or judgment? _____

- i. Of these, approximately what percent were:

jury trials:	_____ %
bench trials:	_____ % [total 100%]
civil proceedings:	_____ %
criminal proceedings:	_____ % [total 100%]

- b. Provide citations for all opinions you have written, including concurrences and dissents.

- c. For each of the 10 most significant cases over which you presided, provide: (1) a capsule summary of the nature the case; (2) the outcome of the case; (3) the name and contact information for counsel who had a significant role in the trial of the case; and (3) the citation of the case (if reported) or the docket number and a copy of the opinion or judgment (if not reported).
 - d. For each of the 10 most significant opinions you have written, provide: (1) citations for those decisions that were published; (2) a copy of those decisions that were not published; and (3) the names and contact information for the attorneys who played a significant role in the case.
 - e. Provide a list of all cases in which certiorari was requested or granted.
 - f. Provide a brief summary of and citations for all of your opinions where your decisions were reversed by a reviewing court or where your judgment was affirmed with significant criticism of your substantive or procedural rulings. If any of the opinions listed were not officially reported, provide copies of the opinions.
 - g. Provide a description of the number and percentage of your decisions in which you issued an unpublished opinion and the manner in which those unpublished opinions are filed and/or stored.
 - h. Provide citations for significant opinions on federal or state constitutional issues, together with the citation to appellate court rulings on such opinions. If any of the opinions listed were not officially reported, provide copies of the opinions.
 - i. Provide citations to all cases in which you sat by designation on a federal court of appeals, including a brief summary of any opinions you authored, whether majority, dissenting, or concurring, and any dissenting opinions you joined.
14. **Recusal:** If you are or have been a judge, identify the basis by which you have assessed the necessity or propriety of recusal (If your court employs an "automatic" recusal system by which you may be recused without your knowledge, please include a general description of that system.) Provide a list of any cases, motions or matters that have come before you in which a litigant or party has requested that you recuse yourself due to an asserted conflict of interest or in which you have recused yourself sua sponte. Identify each such case, and for each provide the following information:

I have not served as a judge.

- a. whether your recusal was requested by a motion or other suggestion by a litigant or a party to the proceeding or by any other person or interested party; or if you recused yourself sua sponte;
- b. a brief description of the asserted conflict of interest or other ground for recusal;

- c. the procedure you followed in determining whether or not to recuse yourself;
- d. your reason for recusing or declining to recuse yourself, including any action taken to remove the real, apparent or asserted conflict of interest or to cure any other ground for recusal.

15. Public Office, Political Activities and Affiliations:

- a. List chronologically any public offices you have held, other than judicial offices, including the terms of service and whether such positions were elected or appointed. If appointed, please include the name of the individual who appointed you. Also, state chronologically any unsuccessful candidacies you have had for elective office or unsuccessful nominations for appointed office.

I have not held elected or appointed public office. I have had no unsuccessful candidacies for elective office or unsuccessful nominations for appointed office.

- b. List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

Since law school, I have served as a fundraising co-host and been listed as a member of the fundraiser host committees for several candidates for public office in Arkansas, including Little Rock City Board candidate Kevin Dedner (2004), Circuit Clerk Pat O'Brien (2004, 2010), State Representative John Edwards (2008), Little Rock District Court Judge candidate Hugh Finkelstein (2008), Associate Supreme Court Justice Courtney Henry (2008, 2009), Governor Mike Beebe (2010), Congressman Vic Snyder (1996, 1998, 2000, 2002, 2004, 2006, and 2008), and Senator Mark Pryor (2007). I have listed all candidates I recall. I may have been listed on other event host committees for candidates, especially judicial candidates, the specifics of which I do not recall and the records for which I no longer possess. Apart from the above, I have never held a paid or unpaid position with or played any role in a political party, political campaign, or election committee.

16. Legal Career: Answer each part separately.

- a. Describe chronologically your law practice and legal experience after graduation from law school including:
 - i. whether you served as clerk to a judge, and if so, the name of the judge, the court and the dates of the period you were a clerk;

I served as a clerk for the Honorable Susan Webber Wright, then Chief Judge of the United States District Court for the Eastern District of Arkansas, from 1996 to 1998.

- ii. whether you practiced alone, and if so, the addresses and dates;

I have never practiced law alone.

- iii. the dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been affiliated, and the nature of your affiliation with each.

1998 – 2000
Williams & Anderson LLP
111 Center Street, Suite 2200
Little Rock, Arkansas 72201
Associate

2000 – present
Quattlebaum, Grooms, Tull & Burrow PLLC
111 Center Street, Suite 1900
Little Rock, Arkansas 72201
Partner (2002 – present)
Associate (2000 – 2002)

- iv. whether you served as a mediator or arbitrator in alternative dispute resolution proceedings and, if so, a description of the 10 most significant matters with which you were involved in that capacity.

I have never served as a mediator or arbitrator in alternative dispute resolution proceedings.

b. Describe:

- i. the general character of your law practice and indicate by date when its character has changed over the years.

From 1998 to 2000, I was an associate attorney at Williams & Anderson LLP. My practice was devoted to litigation. I handled and assisted in handling primarily commercial litigation cases, representing both plaintiffs and defendants. I participated in the trials of complex commercial litigation cases, including two one-week jury trials. I handled matters involving allegations of deceptive trade practices, breach of contract, breach of fiduciary duty, and fraud. I also handled First Amendment claims, defamation and related privacy-tort claims, and 42 U.S.C. § 1983 claims.

In 2000, I joined Quattlebaum, Grooms, Tull & Burrow PLLC, which was then a newly-formed firm. My practice has been devoted to litigation, and I continue to represent both plaintiffs and defendants. I handle and assist in handling numerous cases, including many complex commercial litigation cases that have culminated in multi-week jury trials in state and federal courts. I have also participated in bench trials. I have worked on class actions and cases involving multiple plaintiffs and multiple defendants. The types of claims I have litigated vary, and include matters involving allegations of deceptive trade practices, breach of contract, breach of fiduciary duty, and fraud. I also handle First Amendment claims, defamation and related privacy-tort claims, and 42 U.S.C. § 1983 claims. In addition, I have handled matters involving employment discrimination, securities violations, unfair competition, tortious interference, products liability, construction disputes, real estate disputes, Fair Housing Act claims, Freedom of Information Act claims, and insurance coverage disputes.

- ii. your typical clients and the areas at each period of your legal career, if any, in which you have specialized.

Throughout my career, I have represented individuals and business organizations of all forms and sizes, including sole proprietorships, partnerships, limited liability companies, and corporations. Clients that I have represented include individuals, local businesses, regional businesses, Fortune 500 companies, and governmental bodies.

From 1998 to 2000, I worked on a variety of business-related disputes involving contract and tort claims. I represented an insurance company in a suicide exclusion case at trial and assisted in representing a manufacturing company in a case involving a tractor trailer load shift that resulted in the death of the plaintiff driver. I participated in a binding arbitration on behalf of a company challenging the territory for distribution of its products. I also worked on matters involving constitutional claims, including alleged Eighth Amendment violations.

From 1998 to the present, I have handled a variety of First Amendment and Freedom of Information Act issues, including but not limited to motions to quash reporters' subpoenas, challenges to prior restraints, claims of defamation, claims of invasion of privacy, and requests for access to public records and information.

Since 2000, some of the more significant cases I have worked on involved toxic tort claims in which multiple plaintiffs alleged product liability and tort claims and sought recovery for personal injury, nuisance, property damage, medical monitoring, and emotional distress due to alleged

environmental contamination and allegedly defective products. Specifically, since 2005, I have worked on MDL products liability cases involving welding rods and manganese fume. I have participated in trials of these claims in various state courts, including Arkansas and Louisiana, and in the federal MDL court in the Northern District of Ohio.

Since 2004, the number of employment discrimination cases I have handled has increased. I have handled in administrative proceedings and in federal and state court claims for discrimination, harassment, wrongful termination, and retaliation, as well as claims arising under the Family and Medical Leave Act, the Americans with Disabilities Act, wage and hour laws, and the Employee Retirement Income Security Act.

- c. Describe the percentage of your practice that has been in litigation and whether you appeared in court frequently, occasionally, or not at all. If the frequency of your appearances in court varied, describe such variance, providing dates.

Throughout my practice, I have occasionally appeared in court.

- i. Indicate the percentage of your practice in:

- | | |
|-----------------------------|-----|
| 1. federal courts: | 50% |
| 2. state courts of record: | 40% |
| 3. other courts: | 0% |
| 4. administrative agencies: | 10% |

- ii. Indicate the percentage of your practice in:

- | | |
|--------------------------|------|
| 1. civil proceedings: | 99% |
| 2. criminal proceedings: | < 1% |

- d. State the number of cases in courts of record, including cases before administrative law judges, you tried to verdict, judgment or final decision (rather than settled), indicating whether you were sole counsel, chief counsel, or associate counsel.

I have tried 13 cases to verdict, judgment, or final decision. I was chief counsel in three cases and associate counsel in ten cases.

- i. What percentage of these trials were:

- | | |
|--------------|-----|
| 1. jury: | 75% |
| 2. non-jury: | 25% |

- e. Describe your practice, if any, before the Supreme Court of the United States. Supply four (4) copies of any briefs, amicus or otherwise, and, if applicable, any oral argument transcripts before the Supreme Court in connection with your practice.

I assisted in writing a brief in opposition to certiorari in *Whiteside v. Russellville Newspapers, Inc., et al.*, No. 09-67. Copy supplied.

17. **Litigation:** Describe the ten (10) most significant litigated matters which you personally handled, whether or not you were the attorney of record. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the case. Also state as to each case:

- a. the date of representation;
- b. the name of the court and the name of the judge or judges before whom the case was litigated; and
- c. the individual name, addresses, and telephone numbers of co-counsel and of principal counsel for each of the other parties.

- (1) *Farm Fresh Producers, LLC, et al. v. Catfish Producers, LLC, et al.*, No. 2004 CV 8775 (Cir. Ct., Pulaski Cnty., Ark. filed Aug. 13, 2004).

I represented two defendants in this securities-fraud case involving 13 plaintiffs and seven defendants. Plaintiffs sought up to \$20 million in damages. The allegations centered on a two-tiered securities offering in a catfish processing plant in South Arkansas and business transactions that resulted from that offering. The case spanned several years, involved numerous business entities, and lead up to the involuntary bankruptcy of one entity. Many of the parties had guarantees and reimbursement obligations as a result of the business transactions at issue. I was associate counsel. I deposed numerous witnesses and briefed and argued many legal issues prior to and during trial. At trial, I assisted in jury selection, examined witnesses including several plaintiffs, argued motions *in limine*, argued the directed-verdict motions, and argued jury instructions. Following a six-week jury trial, the defense prevailed. The Honorable Jay Moody, Pulaski County, Arkansas, Circuit Court Judge presided. An appeal was filed with the Supreme Court of the State of Arkansas, but that appeal was later dismissed by all parties as moot as a result of settlement. *United Farms Inc., et al. v. Yocum, et al.*, No. 09-980 (Ark. dismissed Oct. 1, 2009).

Plaintiffs' counsel:

David M. Hargis
Attorney at Law
807 West Third Street
Little Rock, AR 72201
501-371-0900

Stuart Hankins
Hankins Law Firm, P.A.
800 West Fourth Street
North Little Rock, AR 72114
501-371-9226

Co-defense counsel:

John Tull
Quattlebaum, Grooms, Tull & Burrow PLLC
111 Center Street, Suite 1900
Little Rock, AR 72201
501-379-1700

Co-defendants' counsel:

Robert L. Henry, III
Kenny McCulloch
Barber, McCaskill, Jones & Hale, P.A.
2700 Regions Center
400 West Capitol Avenue
Little Rock, AR 72201
501-372-6175

John P. Gill
Dylan Potts
Gill Elrod Ragon Owen & Sherman, P.A.
425 West Capitol Avenue, Suite 3801
Little Rock, AR 72201
501-376-3800

Richard N. Watts
Thom Diaz
Watts, Donovan & Tilley, P. A.
200 South Commerce, Suite 200
Little Rock, AR 72201
501-372-1406

James F. Dowden
212 Center Street, 10th Floor
Little Rock, AR 72201
501-324-4700

- (2) *Cooley, et al. v. Lincoln Electric Co., et al.*, No. 1:05 CV 17734 KMO (N.D. Ohio filed Apr. 5, 2005), reported at *Cooley v. Lincoln Electric Co.*, 2011 WL 841535 (N.D. Ohio Mar. 7, 2011).

I represented several defendant welding rod manufacturers in this case alleging products liability and failure to warn claims. The case was litigated in the Northern District of Ohio as part of an MDL proceeding. The plaintiff welder is an Iowa resident who spent much of his career in Iowa and alleges exposure there; his claims therefore were decided by reference to Iowa law. As associate counsel, I deposed numerous witnesses and briefed and argued many legal issues prior to and during trial. At trial, I assisted in jury selection, argued motions *in limine*, argued evidentiary motions, designated video testimony of several witnesses to be played during trial, argued the directed-verdict motions, and argued document admissibility issues. Following a three-week trial, the jury found in favor of plaintiffs and awarded damages and punitive damages in the amount of \$5,787,500. The Honorable Kathleen M. O'Malley, then-United States District Court Judge for the Northern District of Ohio, presided. The case is now on appeal in the Court of Appeals for the Sixth Circuit.

Plaintiffs' counsel:

David Shelton
P.O. Box 2541
1223 Jackson Avenue East, Suite 202
Oxford, MS 38655
662-281-1218

Rick Davis
117 Park Circle Drive
Flowood, MS 39232
601-932-1142

John W. Barrett
Richard Barrett
Barrett Law Office, P.A.
404 Court Square North
P.O. Box 987
Lexington, MS 39095
662-834-2376

Phil A. Ciano
Andrew S. Goldwasser
Ciano & Goldwasser L.L.P.
1610 Midland Building
101 Prospect Avenue, West
Cleveland, OH 44115
216-658-9900

Mark E. Liabo
Tom Riley Law Firm
4040 First Avenue NE
P.O. Box 998
Cedar Rapids, IA 52406
319-363-4040

Co-defense counsel:

Steve Quattlebaum
John Tull
Quattlebaum, Grooms, Tull & Burrow PLLC
111 Center Street, Suite 1900
Little Rock, AR 72201
501-379-1700

Stephen Harburg
Jessica D. Miller
Skadden, Arps, Slate, Meagher & Flom LLP
1440 New York Avenue, N.W.
Washington, D.C. 20005
202-371-7000

David C. Landever
Weisman, Kennedy & Berris, Co.
101 Prospect Avenue West
Suite 1600 Midland Building
Cleveland, OH 44115
216-781-1111

(3) *Calloway v. Lincoln Electric Co., et al.*, No. 04 CV 0473-6 (Cir. Ct., Union Cnty., Ark. filed Feb. 14, 2005).

I represented several defendant welding rod manufacturers in this case in which plaintiffs alleged products liability and failure to warn claims and sought several million dollars in damages. I was associate counsel at trial, which lasted approximately three weeks. I briefed and argued many issues prior to and during trial. At trial, I prepared motions *in limine*, designated video testimony of several witnesses to be played during trial, prepared directed-verdict motions, and argued jury instructions. The case was tried in 2006 and the jury returned a defense verdict. The Honorable David Guthrie, Union County, Arkansas, Circuit Court Judge presided.

Plaintiffs' counsel:

Thomas P. Thrash
1101 Garland Street
Little Rock, AR 72201
501-374-1058

John W. Barrett
Richard Barrett
Barrett Law Office, P.A.
404 Court Square North
P.O. Box 987
Lexington, MS 39095
662-834-2376

David Shelton
P.O. Box 2541
1223 Jackson Avenue East, Suite 202
Oxford, MS 38655
662-281-1218

Co-defense counsel:

Steve Quattlebaum
John Tull
Quattlebaum, Grooms, Tull & Burrow PLLC
111 Center Street, Suite 1900
Little Rock, AR 72201
501-279-1700

David Kaufman
Brunini, Grantham, Grower & Hewes
1400 Trustmark Building
248 East Capitol Street
Jackson, MS 39201
601-948-3101

Stephen Harburg
Jessica D. Miller
Skadden, Arps, Slate, Meagher & Flom LLP
1440 New York Avenue, N.W.
Washington, D.C. 2005
202-371-7000

Co-defendant's counsel:

Kathryn Bennett Perkins
B.J. Walker
Rose Law Firm, P.A.
120 East Fourth Street
Little Rock, AR 72201
501-375-9131

(4) *Rice v. Protective Life Ins. Co.*, No. P-C-98-0206 (E.D. Ark. filed May 18, 1998).

I represented the defendant in a suit filed by plaintiff seeking payment of benefits under a life-insurance policy. The defendant denied payment based on a suicide-exclusion clause in the policy. I was associate counsel. I prepared the case for trial, deposed witnesses, identified third-party witnesses, and briefed all issues prior to trial. At trial, I assisted in jury selection, examined witnesses, argued motions *in limine*, argued the directed-verdict motions, and argued jury instructions. The case was tried in 1999, and the jury returned a defense verdict. The Honorable James M. Moody, United States District Court Judge for the Eastern District of Arkansas, presided.

Plaintiff's counsel:

Timothy O. Dudley
114 South Pulaski Street
Little Rock, AR 72201
501-372-0080

Cecil Duff Nolan, Jr.
411 South Main Street
P.O. Box 68
Stuttgart, AR 72160
870-673-3737

Co-defense counsel:

John Tull
Quattlebaum, Grooms, Tull & Burrow PLLC
111 Center Street, Suite 1900
Little Rock, AR 72201
501-379-1700

(5) *Columbian National Title Ins. Co. vs. Global Title Co., Inc.*, No. 2004 CV 011154 (Cir. Ct., Pulaski Cnty., Ark. filed Oct. 15, 2004).

I served as lead trial counsel for a title insurance company in this matter. The case involved a now-defunct real estate title company, whose principals allegedly committed

fraud, breach of contract, and conversion. The claims against the business exceeded \$2.9 million. I worked with counsel for claimants, counsel for the principals of the defunct real estate title company, and the court to develop a procedure to resolve efficiently through legal proceedings undisputed title insurance claims. I took the lead in developing and overseeing that procedure, reporting to the court periodically at hearings on the status of undisputed claims. In addition, I was sole counsel at numerous proceedings in the case, including lengthy evidentiary hearings seeking a receivership, seeking to impose constructive trusts, and resolving disputed claims against the title insurance company. The court appointed a receiver and imposed constructive trusts. The title insurance company prevailed on some, but not all, of the disputed claims. Certain of the principals pleaded guilty to related criminal charges in federal court, and others filed for bankruptcy protection. The case has resulted in two insurance coverage disputes; I was directly involved in briefing and handling one of these coverage disputes pending in federal court. I worked with the United States Attorney's Office, the United States Bankruptcy Trustee, and the court-appointed receiver throughout this matter to resolve issues. The Honorable Tim Fox, Pulaski County, Arkansas, Circuit Court Judge has presided.

Opposing counsel:

Hubert Alexander
1500 West Main Street
P.O. Box 5239
Jacksonville, AR 72078
501-982-0525

Stephen Cobb
7600 Highway 107
Sherwood, AR 72120
501-833-2051

Jon Johnson
1500 West Main Street
Jacksonville, AR 72076
501-982-3135

Christopher J. Bannon
Howard J. Fishman
Aaronberg, Goldgehn, Davis & Garmisa
One IBM Plaza, Suite 3000
Chicago, IL 60611
312-828-9600

Scott M. Strauss
Barber, McCaskill, Jones & Hale P.A.
2700 Regions Center
400 West Capitol Avenue
Little Rock, AR 72201
501-372-6175

William G. Almand
Hartsfield, Almand, & Denison, PLLC
10016 West Markham Street
Little Rock, AR 72205
501-219-8500

Penny Collins Choate
Choate Law Firm, PLLC
402 East Race Avenue
Searcy, AR 72143
501-305-4442

Scott Provencher
Anderson, Murphy, Hopkins LLP
400 West Capitol
Little Rock, AR 72201
501-372-1887

Herbert C. Rule, III
Rose Law Firm, P.A.
120 East Fourth Street
Little Rock, AR 72201
501-375-9131

John Ogles
200 South Jeff Davis Street
P.O. Box 891
Jacksonville, AR 72076
501-982-8339

Michael Knollmeyer
Knollmeyer Law Office, P.A.
2525 John Harden Drive
Jacksonville, AR 72076
501-985-1760

James F. Dowden
212 Center Street, 10th Floor
Little Rock, AR 72201
501-324-4700

Scott A. Scholl
Rice & Adams
501 West Main Street
Jacksonville, AR 72078
501-436-4498

Successor Receiver:

Gregory M. Hopkins
1000 West Second Street
Little Rock, AR 72201
501-375-1517

(6) *Estate of Hogan v. Poilatch Corp.*, No. 5:98 CV 00156 (E.D. Ark. filed Apr. 16, 1998).

I represented the defendant in this truck rollover case. The estate of plaintiff alleged that the tractor trailer he was driving rolled over, leading to his death, because the load was improperly braced and therefore shifted en route. I was associate counsel. I deposed witnesses, identified third-party witnesses, and briefed all issues prior to trial. At trial, I assisted in jury selection, examined witnesses, argued motions *in limine*, argued the directed-verdict motions, and argued jury instructions. The jury returned a defense verdict. The Honorable George Howard, United States District Court Judge for the Eastern District of Arkansas, presided.

Plaintiff's counsel:

George E. Pike, Jr.
Deborah Pike Bliss
Pike & Bliss
628 West Broadway, Suite 203
P.O. Box 1038
North Little Rock, AR 72115
501-372-0446

Claude Wilson Jenkins
117 North Adams
Post Office Box 409
DeWitt, AR 72042
870-946-3586

Co-defense counsel:

John Tull
Quattlebaum, Grooms, Tull & Burrow PLLC
111 Center Street, Suite 1900
Little Rock, AR 72201
501-379-1700

- (7) *Alcoa World Alumina L.L.C., f/k/a Alcoa Alumina & Chemicals, L.L.C. v. Weiss*, No. 2007 CV 13856 (Cir. Ct., Pulaski Cnty., Ark. filed Oct. 16, 2007).

A business client sought a tax refund in excess of \$2 million from the State of Arkansas for use taxes paid for purchases of natural gas. Raising an issue of first impression under Arkansas tax law, my client maintained that the natural gas purchased from sellers outside the state was not subject to taxation since it did not “finally come to rest” under Arkansas law before consumption in the business client’s manufacturing facility. The State of Arkansas prevailed in a bench trial. I served as associate counsel. I assisted in the work-up of this case for trial and advised as to legal strategy during the 2009 trial. The Honorable Jay Moody, Pulaski County, Arkansas, Circuit Court Judge presided. My client appealed the adverse ruling. I was involved in briefing the appeal, and the Arkansas Supreme Court affirmed the trial court’s decision in *Alcoa World Alumina, L.L.C. v. Weiss*, No. 09-688 (Feb. 5, 2010).

Co-plaintiff’s counsel:

Doug Sigel
Scott, Douglass & McConnico, LLP
600 Congress Avenue, Suite 1500
Austin, TX 78701
512-495-6300

Counsel for the State:

William E. Keadle
Ashley Fisher
Joel DiPippa
Arkansas Department of Finance & Administration
Office of Revenue Legal Counsel
P.O. Box 1272, Room 2380
Little Rock, AR 72203
501-682-7030

- (8) *Padgett v. Wal-Mart Stores, Inc.*, No. 5:03 CV 0410 (E.D. Ark. filed Oct. 28, 2003).

I represented a defendant retailer against discrimination and wrongful termination claims under Title VII of the Civil Rights Acts of 1964, as amended, and the Americans with Disabilities Act of 1990. I served as associate counsel. I deposed witnesses, identified third-party witnesses, and briefed all issues prior to trial. At the bench trial in 2005, I examined witnesses, argued motions *in limine*, and argued the directed-verdict motion. At the conclusion of the plaintiff's case and the testimony of defendant's first witness, the court entered judgment for defendant pursuant to Federal Rule of Civil Procedure 52(c). The Honorable Billy Roy Wilson, United States District Court Judge for the Eastern District of Arkansas, presided.

Plaintiff's counsel:

Jonathan T. Lane
300 Spring Street, Suite 2200
Little Rock, AR 72201
501-372-6400

Co-defense counsel:

Steve Quattlebaum
Quattlebaum, Grooms, Tull & Burrow PLLC
111 Center Street, Suite 1900
Little Rock, AR 72201
501-379-1700

- (9) *Crews v. Toney and Mercedes-Benz of North America, Inc.*, No. 97 CV 7679 (Cir. Ct., Pulaski Cnty., Ark. Aug. 1998).

I represented the defendant car manufacturer. Plaintiff asserted products liability and negligence claims, alleging that she was injured in an accident as a result of a purportedly defective airbag. A jury trial was conducted in 2001, and the jury returned a defense verdict. I was associate counsel. I briefed many issues prior to and during trial. At trial, I assisted in jury selection, examined witnesses, argued motions *in limine*, argued the directed-verdict motions, and argued jury instructions. The Honorable John Ward, Pulaski County, Arkansas, Circuit Court Judge presided.

Plaintiff's counsel:

David A. Hodges
212 Center Street
Centre Place – Fifth Floor
Little Rock, AR 72201
501-374-2400

Mary J. Pruniski
4100 Old Oak Drive
Little Rock, AR 72212
501-374-1572

Horace A. Walker
518 Pyramid Place
Second and Center Streets
Little Rock, AR 72201
501-375-1135

Co-defense counsel:

Matthew Kemner
Carroll, Burdick & McDonough LLP
44 Montgomery Street, Suite 400
San Francisco, CA 94104
415-989-5900

Steve Quattlebaum
Quattlebaum, Grooms, Tull & Burrow PLLC
111 Center Street, Suite 1900
Little Rock, AR 72201
501-379-1700

(10) *Gambrell and Kissel v. Bailey*, No. 2007 CV 13667-1 (Cir. Ct., Pulaski Cnty., Ark. filed Oct. 12, 2007).

I represented plaintiffs, both of whom worked for a media outlet, in this bench trial. I served as associate counsel. This was an Arkansas Freedom of Information Act case in which plaintiffs sought access to computer identification information for certain State of Arkansas computer users. I prepared the case for trial, examined witnesses at trial, and argued motions during trial. Following trial in 2008, the Judge returned a decision in favor of the State. The Honorable Marion Humphrey, Pulaski County, Arkansas, Circuit Court Judge presided.

Co-plaintiff's counsel:

John Tull
Quattlebaum, Grooms, Tull & Burrow PLLC
111 Center Street, Suite 1900
Little Rock, AR 72201
501-379-1700

Counsel for the State:

Joseph Cordi, Jr.
Colin R. Jorgensen
Office of the Attorney General
State of Arkansas
323 Center Street, Suite 200
Little Rock, AR 72201
501-682-1317

18. **Legal Activities:** Describe the most significant legal activities you have pursued, including significant litigation which did not progress to trial or legal matters that did not involve litigation. Describe fully the nature of your participation in these activities. List any client(s) or organization(s) for whom you performed lobbying activities and describe the lobbying activities you performed on behalf of such client(s) or organizations(s). (Note: As to any facts requested in this question, please omit any information protected by the attorney-client privilege.)

Along with my litigation experience in state and federal courts, I counsel clients regularly on employment law matters. I represent clients in assessing, mediating, and responding to charges of discrimination filed with the Equal Employment Opportunity Commission ("EEOC"). I have participated in over 30 mediations with the EEOC, including EEOC offices in Arkansas, Oklahoma, and Tennessee. I also regularly represent clients in litigating employment discrimination claims in federal and state court, including but not limited to claims of discrimination based on age, race, gender, disability, and pregnancy. In addition, I have handled Family and Medical Leave Act claims, wage and hour claims, Employee Retirement Income Security Act claims, and claims brought pursuant to the Uniformed Services Employment and Reemployment Rights Act. I serve as lead counsel in these matters. I have obtained summary judgment rulings in favor of my clients in many cases I have handled, thereby resolving many of these cases prior to trial.

I have arbitrated seven cases with the American Arbitration Association, private arbitrators, and the National Association of Securities Dealers Dispute Resolution. These cases have included construction disputes, disputes regarding covenants not to compete, and securities violations.

As a partner of my law firm, I share administrative and management duties with the firm's seven other equity partners, and I am primarily responsible for handling all personnel matters at the firm. I am responsible for employment counseling and employee terminations. In the course of my practice, I regularly work with, supervise, and train associate attorneys and litigation support staff.

Since 2008, I have served as a member of the Arkansas Supreme Court Committee on Model Jury Instructions – Civil. I was appointed to this committee by the Justices of the Arkansas Supreme Court in 2008 and reappointed in 2010. The committee authors the

book *Arkansas Model Jury Instructions (Civil)*, which is published annually. The committee is charged with reviewing recently released court decisions, new and revised statutes, and other legal authority to create or revise the model jury instructions and comments to the instructions to reflect accurately the current state of Arkansas law. In addition, I and other members of the firm prepared for the Arkansas Press Association the publication *Public and Legal Notices Digest: A compilation of Arkansas statutes requiring publication of public and legal notices in newspapers* (Ark. Press Ass'n ed. 2011). This publication is available free of charge to the public on the Arkansas Press Association's website.

I have never performed any lobbying activities.

19. **Teaching:** What courses have you taught? For each course, state the title, the institution at which you taught the course, the years in which you taught the course, and describe briefly the subject matter of the course and the major topics taught. If you have a syllabus of each course, provide four (4) copies to the committee.

I have not taught any courses.

20. **Deferred Income/ Future Benefits:** List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients or customers. Describe the arrangements you have made to be compensated in the future for any financial or business interest.

I anticipate receiving distributions of non-wage income from two family-owned limited liability companies of which I am a part owner. These companies hold investment and real estate assets, and future non-wage income distributions are not guaranteed and are subject to fluctuation.

21. **Outside Commitments During Court Service:** Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service with the court? If so, explain.

I have no plans, commitments, or agreements to pursue outside employment.

22. **Sources of Income:** List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, licensing fees, honoraria, and other items exceeding \$500 or more (if you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here).

See attached Financial Disclosure Report.

23. **Statement of Net Worth:** Please complete the attached financial net worth statement in detail (add schedules as called for).

See attached Net Worth Statement.

24. **Potential Conflicts of Interest:**

- a. Identify the family members or other persons, parties, categories of litigation, and financial arrangements that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to arise.

If confirmed, I will carefully review and address any real or potential conflicts in accordance with the Code of Conduct for United States Judges, including Canon 3 of the Code, as well as all applicable policies and procedures of the United States Courts. I will follow all applicable laws, orders, rules, customs, and practices in addressing conflicts.

If confirmed, I will immediately resign from my affiliation and partnership with Quattlebaum, Grooms, Tull & Burrow PLLC. For a period of time after taking the bench, I will not accept cases brought by the attorneys of the law firm with whom I practiced. I will also recuse myself from any matters that I handled or supervised as an attorney in private practice, as well as any matters substantially related to matters I handled or supervised, although I am not aware of any such conflicts of interest.

My husband is a partner at Mitchell Williams in Little Rock, Arkansas. If confirmed, I will recuse myself from all matters involving him or his firm. My father-in-law is of counsel to the Rose Law Firm in Little Rock, Arkansas. I will follow 28 U.S.C. § 455, the Code of Conduct for United States Judges, including Canon 3 of the Code, and all applicable policies and procedures of the United States Courts in cases in which my father-in-law or his firm appear. Finally, I will recuse from all cases involving companies in which I own stock or with which I have an ongoing business relationship. I will diligently keep apprised of my financial and personal interests so as to address conflicts and potential conflicts proactively.

- b. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.

If confirmed, I will resolve any potential conflict of interest in accordance with the provisions of 28 U.S.C. § 455, the Code of Conduct for United States Judges, including Canon 3 of the Code, and all applicable policies and procedures of the United States Courts. If confirmed, I will carefully review and address any real or potential conflicts or the appearance of conflict at the outset of each case.

25. **Pro Bono Work:** An ethical consideration under Canon 2 of the American Bar Association's Code of Professional Responsibility calls for "every lawyer, regardless of professional prominence or professional workload, to find some time to participate in serving the disadvantaged." Describe what you have done to fulfill these responsibilities, listing specific instances and the amount of time devoted to each.

I regularly accept prisoner and other appointment cases from the federal courts. These cases have included suits brought pursuant to 42 U.S.C. § 1983 by a female inmate after a guard allegedly raped her, by inmates regarding allegedly deficient medical and dental treatment, and by elderly and infirm inmates regarding allegedly deficient medical treatment and the procedures required to obtain such treatment. All of these matters have involved representing clients through discovery, in responding to dispositive motions, on appeal at the Eighth Circuit on the issue of qualified immunity, and either through settlement or trial. I also have represented through federal court appointment plaintiffs in employment discrimination suits.

I am a member of the Pulaski County Bar Association's Volunteer Organization Center for Arkansas Legal Services ("VOCALS"). I regularly accept from it cases and assignments to review case files, research potential claims, and advise clients regarding the status of their potential and pending cases.

On average, throughout my legal career, I have devoted approximately 100 to 150 hours per year to pro bono legal matters.

I also have served on the boards of non-profit entities in the community and volunteer for non-profit entities. As a board member, I have reviewed by-laws, assessed director and officer liability policies, advised on employment matters, and participated in each entity's day-to-day projects. For example, as a board member of Dress for Success Little Rock, I regularly staffed the non-profit's clothing boutique and assisted women served by the organization in selecting outfits for job interviews. In addition to board service, I have volunteered with various other community organizations to plant trees, deliver food baskets, and serve meals to members of the community.

26. **Selection Process:**

- a. Please describe your experience in the entire judicial selection process, from beginning to end (including the circumstances which led to your nomination and the interviews in which you participated). Is there a selection commission in your jurisdiction to recommend candidates for nomination to the federal courts? If so, please include that process in your description, as well as whether the commission recommended your nomination. List the dates of all interviews or communications you had with the White House staff or the Justice Department regarding this nomination. Do not include any contacts with Federal Bureau of Investigation personnel concerning your nomination.

There is no selection commission in Arkansas for vacancies on the federal bench. On November 17, 2008, after two sitting judges took senior status, I wrote to Senator Blanche Lincoln and Senator Mark Pryor, indicating my interest in the position of United States District Judge for the Eastern District of Arkansas. I filled out a questionnaire sent by Senator Lincoln and returned it December 19, 2008. On December 29, 2008, I met with Senator Lincoln in Little Rock. On or about January 2, 2009, I met with Senator Pryor in Little Rock. On July 17, 2011, Senator Pryor informed me that he was recommending me and others to the President for consideration. On July 20, 2011, Senators Pryor and Boozman publicly announced a list of three individuals they were recommending to the President for consideration. On July 26, 2011, I met separately with Senators Pryor and Boozman in Washington, D.C. Since July 29, 2011, I have been in contact with officials from the Office of Legal Policy at the Department of Justice. On September 7, 2011, I interviewed with attorneys from the White House Counsel's Office and the Department of Justice in Washington, D.C. On November 2, 2011, the President submitted my nomination to the Senate.

- b. Has anyone involved in the process of selecting you as a judicial nominee discussed with you any currently pending or specific case, legal issue or question in a manner that could reasonably be interpreted as seeking any express or implied assurances concerning your position on such case, issue, or question? If so, explain fully.

No.

FINANCIAL DISCLOSURE REPORT NOMINATION FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Baker, Kristine G.	2. Court or Organization Eastern District of Arkansas	3. Date of Report 11/2/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court Judge	5a. Report Type (check appropriate type) ☒ Nomination, Date 11/2/2011 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2010 to 10/27/2011
7. Chambers or Office Address 111 Center Street, Suite 1900 Little Rock, Arkansas 72201	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Partner	Quattlebaum, Grooms, Tull & Burrow PLLC
2. Member	Arbor Enterprises, LLC
3. Member	Wassonliebend, LLC
4. Board Member	Presbyterian Village, Inc.
5. Board Member	Dress for Success Little Rock

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Baker, Kristine G.

Date of Report

11/2/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	Quattlebaum, Grooms, Tull & Burrow PLLC Ordinary business income	\$298,100.00
2. 2010	Quattlebaum, Grooms, Tull & Burrow PLLC Ordinary business income	\$569,315.00
3. 2011 (YTD)	Quattlebaum, Grooms, Tull & Burrow PLLC Ordinary business income	\$205,000.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	Mitchell, Williams, Selig, Gates & Woodyard Ordinary business income
2. 2011 (YTD)	Mitchell, Williams, Selig, Gates & Woodyard Ordinary business income
3.	
4.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. EXEMPT				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Baker, Kristine G.

Date of Report

11/2/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	EXEMPT		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Baker, Kristine G.

Date of Report

11/2/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 401K Account #1									
2. - Am. Funds International Growth & Income Fund	B	Dividend	K	T	Exempt				
3. - Am. Funds Europacific Fund	A	Dividend	K	T	Exempt				
4. - Am. Funds Growth Fund of Am.	A	Dividend	K	T	Exempt				
5. - Am. Funds New World fund	B	Dividend	L	T	Exempt				
6. - Am. Funds Smallcap World Fund	A	Dividend	K	T	Exempt				
7. - Am. Funds Washington Mutual Fund	A	Dividend	L	T	Exempt				
8. - Am. Funds Global Balanced Fund		None	K	T	Exempt				
9. 401K Account #2									
10. - Am. Funds International Growth & Income Fund	A	Dividend	J	T	Exempt				
11. - Am. Funds Europacific Fund	A	Dividend	L	T	Exempt				
12. - Am. Funds Growth Fund of Am.	A	Dividend	L	T	Exempt				
13. - Am. Funds Smallcap World Fund	A	Dividend	J	T	Exempt				
14. - Am. Funds Washington Mutual Fund		None	L	T	Exempt				
15. ROTH Account #1									
16. - Fed. Ex. Corporation	A	Dividend	J	T	Exempt				
17. - Am. Funds Fundamental Investors Fund	A	Dividend	K	T	Exempt				

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes:
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes:
(See Column C2)

P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Baker, Kristine G.

Date of Report

11/2/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
18. - Am. Funds Growth Fund of Am.		None	J	T	Exempt					
19. - Am. Funds International Growth & Income Fund		None	J	T	Exempt					
20. - Invesco Constellation Fund		None	K	T	Exempt					
21. ROTH Account #2										
22. - Am. Funds International Growth Fund	A	Dividend	J	T	Exempt					
23. - Am. Funds Europacific Fund	A	Dividend	J	T	Exempt					
24. - Am. Funds Fundamental Investors Fund		None	J	T	Exempt					
25. - Am. Funds Growth Fund of Am.	A	Dividend	J	T	Exempt					
26. - Am. Funds Global Balanced Fund		None	J	T	Exempt					
27. IRA Account #1										
28. - Am. Funds Global Balanced Fund		None	J	T	Exempt					
29. IRA Account #2										
30. - Am. Funds Global Balanced Fund		None	J	T	Exempt					
31. 529 Account #1										
32. - Vanguard Aggressive Age-Based Growth Portfolio		None	M	T	Exempt					
33. 529 Account #2										
34. - Am. Funds International Growth Fund	A	Dividend	K	T	Exempt					

1. Income Gain Codes:
(See Columns D1 and D4)

2. Value Codes
(See Columns C1 and C3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
I11 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
I12 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Baker, Kristine G.

Date of Report

11/2/2011

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-66 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-II)	(5) Identity of buyer/seller (if private transaction)
35. - Am. Funds Capital World Fund	A	Dividend	K	T	Exempt				
36. - Am. Funds Europacific Fund	A	Dividend	J	T	Exempt				
37. - Am. Funds Growth Fund	A	Dividend	J	T	Exempt				
38. - Am. Funds New World Fund	A	Dividend	J	T	Exempt				
39. - Am. Funds Smallcap World Fund	A	Dividend	J	T	Exempt				
40. - Am. Funds Washington Mutual Fund	A	Dividend	J	T	Exempt				
41. - Am. Funds Global Balanced Fund		None	J	T	Exempt				
42. 529 Account #3									
43. - Vanguard Aggressive Age-Based Aggressive Growth Portfolio		None	L	T	Exempt				
44. 529 Account #4									
45. - Am. Funds Capital World Growth & Income	A	Dividend	K	T	Exempt				
46. - Am. Funds Growth Fund of Am.	A	Dividend	K	T	Exempt				
47. - Am. Funds New World Fund	A	Dividend	K	T	Exempt				
48. Centennial Bank (ckg. acct.)	A	Interest	K	T	Exempt				
49. Delta Trust & Bank (pkg. acct.)	A	Interest	L	T	Exempt				
50. Iberia Bank (svgs. acct.)	A	Interest	J	T	Exempt				
51. Interest in Arbor Enterprises, LLC	D	Int./Div.	N	T	Exempt				

1. Income Gain Codes:
(See Columns B) and D4)

2. Value Codes
(See Columns C) and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Baker, Kristine G.

Date of Report

11/2/2011

VII. INVESTMENTS and TRUSTS — Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-66 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Interest in Wasserliebend, LLC (Purchases in 2011; \$116,500)		None	M	U					
53. Membership Interest in Quattlebaum Grooms Tull & Burrow PLLC		None	M	U	Exempt				
54. Membership Interest in Mitchell, Williams		None	L	U	Exempt				
55. New York Life: Whole Life Policy No. 1		None	K	T	Exempt				
56. New York Life: Whole Life Policy No. 2		None	K	T	Exempt				
57. U.S. Bank, NA (svgs. acct.)	A	Interest			Exempt				

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes:
(See Columns C1 and D3)

3. Value Method Codes:
(See Column C2)

A = \$1,000 or less
P = \$50,001 - \$100,000

J = \$15,000 or less
N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessed

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting	Date of Report
Balter, Kristine G.	11/2/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Baker, Kristine G.

Date of Report

11/2/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Kristine G. Baker

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		115	420	Notes payable to banks-secured			
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities – see schedule	1	055	307	Notes payable to relatives			
Unlisted securities – see schedule		683	319	Notes payable to others			
Accounts and notes receivable:				Accounts and bills due		11	394
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable – personal residence		259	664
Real estate owned – personal residence		750	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		72	000				
Cash value-life insurance		35	570				
Other assets itemize:							
				Total liabilities		271	058
				Net Worth	2	440	558
Total Assets	2	711	616	Total liabilities and net worth	2	711	616
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor		74	035	Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							

FINANCIAL STATEMENT

NET WORTH SCHEDULES

Listed Securities

FedEx Corporation stock	\$3,273
American Funds Capital World Growth & Income – 529A	31,029
American Funds EuroPacific Growth Fund	114,209
American Funds EuroPacific Growth Fund – 529A	9,432
American Funds Fundamental Investors Fund	49,035
American Funds Global Balanced Fund	66,469
American Funds Global Balanced Fund – 529A	7,865
American Funds Growth Fund of America	108,137
American Funds Growth Fund of America – 529A	29,223
American Funds International Growth & Income Fund	71,380
American Funds International Growth & Income Fund – 529A	18,834
American Funds New World Fund	93,909
American Funds New World Fund – 529A	28,868
American Funds SMALLCAP World Fund	45,561
American Funds SMALLCAL World Fund – 529A	8,974
American Funds Washington Mutual Investors Fund	139,966
American Funds Washington Mutual Investors Fund – 529A	8,728
Invesco Constellation Fund	16,442
Vanguard Age-Based Aggressive Growth Portfolio – 529	203,973
Total Listed Securities	<u>\$1,055,307</u>

Unlisted Securities

Arbor Enterprises, LLC	\$238,173
Mitchell, Williams, Selig, Gates & Woodyard, PLLC	56,413
Quattlebaum, Grooms, Tull & Burrow, PLLC	236,000
Wasserliebend, LLC	152,733
Total Unlisted Securities	<u>\$683,319</u>

AFFIDAVIT

I, Kristine G. Baker, do swear
that the information provided in this statement is, to the best
of my knowledge, true and accurate.

(DATE)

Kristine G. Baker
(NAME)



Susan E. Null
(NOTARY)