



U.S. Department of Justice

Office of Legislative Affairs

Office of the Assistant Attorney General

Washington, D.C. 20530

December 14, 2006

The Honorable Arlen Specter
Chairman
Committee on the Judiciary
United States Senate
Washington, D.C. 20510

Dear Mr. Chairman:

Please find enclosed the Department of Justice's responses to questions directed to Ronald Tenpas, Associate Deputy Attorney General, following Mr. Tenpas's testimony at the Committee's September 26, 2006 hearing entitled "Illegal Insider Trading: How Widespread is the Problem and is there Adequate Criminal Enforcement?"

The Office of Management and Budget has advised us that from the perspective of the Administration's program, there is no objection to the submission of these responses. Please do not hesitate to call upon us if we may be of additional assistance.

Sincerely,

A handwritten signature in cursive script that reads "James H. Clinger".

James H. Clinger
Acting Assistant Attorney General

Enclosure

cc: The Honorable Patrick J. Leahy
Ranking Minority Member

Follow-Up Questions Submitted by Senator Specter
Senate Judiciary Committee
“Illegal Insider Trading: How Widespread is the Problem and is there Adequate
Criminal Enforcement?”

September 26, 2006

1. **Has anyone received a jail sentence under the prohibitions of 18 U.S.C. § 1348? If yes, please identify all individuals who have been convicted of violating § 1348 and their respective sentences.**

Response:

Yes. Please see attached list of cases that have been charged under § 1348, including but not limited to cases resulting in convictions.

2. **What is the Department’s view as to the efficacy of the underlying data and analysis in the Measuredmarkets report?**

Response:

The Department has a copy of the report, which is publicly available. It does not have access to the underlying data and analysis that Measuredmarkets used to come to its conclusions. Accordingly, the Department cannot comment on the efficacy of the data and analysis in the report. It is, however, studying the report and its findings.

3. **Please report what, if anything, the Department of Justice has done with respect to the Measuredmarkets, Inc. Report on merger and acquisition activity suggestive of insider trading.**

Response:

The Department is studying the contents of the report and has disseminated it to the Financial Crimes Section at the Federal Bureau of Investigation. As previously stated, insider trading cases are difficult to prove. The government must establish that the violation is knowing and that the inside information is material and non-public. The government also must establish the insider’s relationship with the corporation from which the information comes. When these cases involve outsiders -- those who receive tips from corporate insiders or agents -- it imposes an additional, significant burden. The Department will use the information in this report, as well as information gleaned in its own investigations, to identify, investigate, and prosecute insider trading.

- 4. What, if anything, is the Department going to do in the future to stem the tide of unlawful insider trading in advance of mergers and acquisitions?**

Response:

The Department will continue to vigorously enforce insider trading laws through complete and thorough investigations and prosecutions of this activity.

- 5. Would the DOJ support legislation to address the adverse rulings in the *Scrushy* and *Stringer* district court opinions raised at the hearing?**

Response:

The Department welcomes the legislative effort to make clear an area of the law which has been muddled by the recent court decisions in *United States v. Scrushy*, 366 F. Supp. 2d 1134 (N.D. Ala. 2005) and *United States v. Stringer*, 408 F. Supp. 2d 1083 (D. Or. 2006). The *Stringer* decision is currently on appeal to the Ninth Circuit. It may be preferable to wait until the Circuit issues a decision before proceeding to ensure that any proposed legislation would provide a comprehensive fix to the problem.

CRIMINAL CASELOAD STATISTICS
DETAIL RECORDS OF TITLE 18 USC 1348
ALL CHARGES AND NO APPEALS

DIST	DEFENDANT NAME	COURT	AUSA	FILED	CHARGE	DISP DT	RESULT	REASON	SNT DT	SENTENCE
ALN	Scrushy, Richard M.	DC	TDM	031104	15 :00078j(b)	050901	NG	JTRD		
ALN					15 :00078j(b)	050628	NG	JTRD		
ALN					18 :00371	050628	NG	JTRD		
ALN					18 :01001	050628	NG	JTRD		
ALN					18 :01341	050628	NG	JTRD		
ALN					18 :01343	050628	NG	JTRD		
ALN					18 :01348(1)	050628	NG	JTRD		
ALN					18 :01350	050628	NG	DEMD		
ALN					18 :01350(c)(2)	050628	NG	JTRD		
ALN					18 :01512(b)(1)	050628	NG	DEMD		
ALN					18 :01621	050628	NG	DEMD		
ALN					18 :01957	050628	NG	JTRD		
ARE	Smith, Gary Marcus	DC	GV	060508	15 :00078o					
ARE					15 :00078o					
ARE					18 :01348					
ARW	Zedlitz, Michael	DC	CES	060629	15 :00078	061031	GT	PLED		
ARW					15 :00078	061031	DM	GWOD		
ARW					18 :01341	061031	GT	PLED		
ARW					18 :01343	061031	GT	PLED		
ARW					18 :01348	061031	GT	PLED		
CT	Ross, Robert R.	DC	MSM	050629	18 :01348	060530	GT	PLED		
CT					18 :01348	060530	NG	GWOD		
CT	Vought, Chance M.	DC	MSM	051021	18 :00371					
CT					18 :00371					
CT					18 :00981a1					
CT					18 :01341					
CT					18 :01343					
CT					18 :01348					

DIST	DEFENDANT NAME	COURT	AUSA	FILED	CHARGE	DISP DT	RESULT	REASON	SNT DT	SENTENCE
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CT	Lucarelli, John M.	DC	MSM	051021	18 :00371	060728	GT	JTRD		
CT					18 :00371	060728	GT	JTRD		
CT					18 :01341	060728	NG	JTRD		
CT					18 :01348	060728	NG	JTRD		
FLS	Portero, Eduardo A.	DC	WF	040911	18 :01341	050121	GT	PLED	050412	84
FLS					18 :01341	050121	GT	PLED		
FLS					18 :013481	050121	GT	PLED		
GAN	Moses, Timothy	DC	JBU	040929	18 :01348	051027	GT	JTRD	060217	78
GAN					18 :01348	051027	GT	JTRD		
GAN					18 :01621	051027	GT	JTRD		
MA	Dinh, Van	DC	ADB	040929	15 :00078jb	040209	GT	PLED	040505	13
MA					15 :00078jb	040209	GT	PLED		
MA					18 :00981	040209	DM	GWDD		
MA					18 :01030a4	040209	GT	PLED		
MA					18 :01343	040209	GT	PLED		
MA					18 :01348	040209	GT	PLED		
MOE	Munro, Clive	DC	MTS	041016	18 :00875	050211	GT	PLED	050706	21
MOE					18 :00875	050211	GT	PLED		
MOE					18 :01343	050211	DM	GWOD		
MOE					18 :01348	050211	DM	GWOD		
MOE					18 :01951	050211	DM	GWOD		
NYE	Jacobowitz, Herman	DC	RTF	040715	15 :00078FF	051122	GT	PLED		
NYE					15 :00078FF					
NYE					15 :00078MA					
NYE					18 :00201B1A					
NYE					18 :00666					
NYE					18 :00844H					
NYE					18 :00844I					
NYE					18 :00981A1C					
NYE					18 :00982					
NYE					18 :01341					
NYE					18 :01344					
NYE					18 :01346					
NYE					18 :01348					
NYE					18 :01349					

DIST	DEFENDANT NAME	COURT	AUSA	FILED	CHARGE	DISP DT	RESULT	REASON	SNT DT	SENTENCE
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NYE	Jacobowitz, Victor	DC	RTF	040715	15 :00078FF					
NYE					15 :00078FF					
NYE					15 :00078MA					
NYE					18:00002					
NYE					18 :00201B1A					
NYE					18 :00666					
NYE					18 :00844H					
NYE					18 :00844I					
NYE					18 :00981A1C					
NYE					18 :00982					
NYE					18 :01341					
NYE					18 :01344					
NYE					18 :01346					
NYE					18 :01348					
NYE					18 :01349					
NYE					18 :01350					
NYE					18 :01512C2					
NYE					21 :00853P					
NYE					28 :02461C					
NYE	Jacobowitz, Jacob	DC	RTF	040715	15 :00078FF					
NYE					15 :00078FF					
NYE					15 :00078MA					
NYE					18:00002					
NYE					18 :00201B1A					
NYE					18 :00666					
NYE					18 :00844H					
NYE					18 :00844I					
NYE					18 :00981A1C					
NYE					18 :00982					
NYE					18 :01341					
NYE					18 :01344					
NYE					18 :01346					
NYE					18 :01348					
NYE					18 :01349					
DIST	DEFENDANT NAME	COURT	AUSA	FILED	CHARGE	DISP DT	RESULT	REASON	SNT DT	SENTENCE

NYE					18 :01350					
NYE					18 :01512C2					
NYE					21 :00853P					
NYE					28 :02461C					
NYE	Jacobowitz, Aaron	DC	RTF	040715	15 :00078FF					
NYE					15 :00078FF					
NYE					15 :00078MA					
NYE					18:00002					
NYE					18 :00201B1A					
NYE					18 :00666					
NYE					18 :00844H					
NYE					18 :00844I					
NYE					18 :00981A1C					
NYE					18 :00982					
NYE					18 :01341					
NYE					18 :01344					
NYE					18 :01346					
NYE					18 :01348					
NYE					18 :01349					
NYE					18 :01350					
NYE					18 :01512C2					
NYE					21 :00853P					
NYE					28 :02461C					
NYE	Brown, Irvin	DC	RTF	040715	15 :00078FF					
NYE					15 :00078FF					
NYE					15 :00078MA					
NYE					18:00002					
NYE					18 :00201B1A					
NYE					18 :00666					
NYE					18 :00844H					
NYE					18 :00844I					
NYE					18 :00981A1C					
NYE					18 :00982					
NYE					18 :01341					
DIST	DEFENDANT NAME	COURT	AUSA	FILED	CHARGE	DISP DT	RESULT	REASON	SNT DT	SENTENCE

NYE					18 :01344					
NYE					18 :01346					
NYE					18 :01348					
NYE					18 :01349					
NYE					18 :01350					
NYE					18 :01512C2					
NYE					21 :00853P					
NYE					28 :02461C					
NYE	Fekete, Jacob	DC	RTF	040715	15 :00078FF					
NYE					15 :00078FF					
NYE					15 :00078MA					
NYE					18:00002					
NYE					18 :00201B1A					
NYE					18 :00666					
NYE					18 :00844H					
NYE					18 :00844I					
NYE					18 :00981A1C					
NYE					18 :00982					
NYE					18 :01341					
NYE					18 :01344					
NYE					18 :01346					
NYE					18 :01348					
NYE					18 :01349					
NYE					18 :01350					
NYE					18 :01512C2					
NYE					21 :00853P					
NYE					28 :02461C					
NYE	Lichter, Nachman	DC	RTF	040715	15 :00078FF					
NYE					15 :00078FF					
NYE					15 :00078MA					
NYE					18:00002					
NYE					18 :00201B1A					
NYE					18 :00666					
NYE					18 :00844H					
DIST	DEFENDANT NAME	COURT	AUSA	FILED	CHARGE	DISP DT	RESULT	REASON	SNT DT	SENTENCE

NYE					18 :00844I
NYE					18 :00981A1C
NYE					18 :00982
NYE					18 :01341
NYE					18 :01344
NYE					18 :01346
NYE					18 :01348
NYE					18 :01349
NYE					18 :01350
NYE					18 :01512C2
NYE					21 :00853P
NYE					28 :02461C
NYE	Klein, Sholem	DC	RTF	040715	15 :00078FF
NYE					15 :00078FF
NYE					15 :00078MA
NYE					18:00002
NYE					18 :00201B1A
NYE					18 :00666
NYE					18 :00844H
NYE					18 :00844I
NYE					18 :00981A1C
NYE					18 :00982
NYE					18 :01341
NYE					18 :01344
NYE					18 :01346
NYE					18 :01348
NYE					18 :01349
NYE					18 :01350
NYE					18 :01512C2
NYE					21 :00853P
NYE					28 :02461C
NYE	Rubin, Daniel	DC	GSG	050803	18:00002
NYE					18:00002
NYE					18 :00981(a)(1)

DIST	DEFENDANT NAME	COURT	AUSA	FILED	CHARGE	DISP DT	RESULT	REASON	SNT DT	SENTENCE
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NYE					18 :00982(a)(1)
NYE					18 :00982(b)
NYE					18 :01341
NYE					18 :01348
NYE					18 :01349
NYE					18 :01956(h)
NYE					18 :03551
NYE					21 :00853(p)
NYE					28 :02461(c)
NYE	Nourani, Daniel	DC	GSG	050803	18:00002
NYE					18:00002
NYE					18 :00982(a)(1)
NYE					18 :00982(b)
NYE					18 :01341
NYE					18 :01348
NYE					18 :01349
NYE					18 :01956(h)
NYE					18 :03551
NYE	Santha, Glen	DC	GSG	050803	18:00002
NYE					18:00002
NYE					18 :00982(a)(1)
NYE					18 :00982(b)
NYE					18 :01341
NYE					18 :01348
NYE					18 :01349
NYE					18 :01956(h)
NYE					18 :03551
NYE	Halperin, Scott	DC	GSG	050803	18:00002
NYE					18:00002
NYE					18 :00981(a)(1)
NYE					18 :00982(a)(1)
NYE					18 :00982(b)
NYE					18 :01341
NYE					18 :01348

DIST	DEFENDANT NAME	COURT	AUSA	FILED	CHARGE	DISP DT	RESULT	REASON	SNT DT	SENTENCE
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NYE					18 :01349				
NYE					18 :01956(h)				
NYE					18 :03551				
NYE					21 :00853(p)				
NYE					28 :02461(c)				
NYE	Schlegel, Dawn	DC	JHM	060824	18:00002				
NYE					18:00002				
NYE					18 :00981(a)(1)				
NYE					18 :01348				
NYE					18 :03551				
NYE					21 :00853(p)				
NYE					28 :02461(c)				
NYE	Hatfield, Sandra	DC	JHM	060824	18 00002				
NYE					18 00002				
NYE					18 :00981(a)(1)				
NYE					18 :01348				
NYE					18 :03551				
NYE					21 :00853(p)				
NYE					28 :02461(c)				
NYE	Vindman, Vladlen	DC	TRF	040120	18 :01348	041012	NG	JTRD	
NYE					18 :01348	041006	NG	JTRD	
NYE					18 :01349	041006	NG	JTRD	
NYE					18 :01956(a)(1)	041006	NG	JTRD	
NYE	Saksa, Andrew	DC	GSG	040609	18 :01348				
NYE					18 :01348				
NYE	Todd, Gary	DC	MAA	040909	18:00002	051121	DM	GWOD	
NYE					18:00002	051104	DM	GWOD	
NYE					18:0371	050310	GT	PLEA	
NYE					15:78j(b)	050310	GT	PLEA	
NYE					18 :01348	051103	DM	GWOD	
NYE					18 :01349	051103	DM	GWOD	
NYE					18 :01956	051103	DM	GWOD	

DIST	DEFENDANT NAME	COURT	AUSA	FILED	CHARGE	DISP DT	RESULT	REASON	SNT DT	SENTENCE
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NYE	Pasinkovsky, Roman	DC	MAA	040909	18:00002						
NYE					18:00002						
NYE					18 :01348						
NYE					18 :01349						
NYE					18 :01956						
NYE	Palazzolo, Simone	DC	MAA	040909	18 :01348	051228	GT	PLED	060927	41	
NYE					18:00002	051228	DJ	PLED			
NYE					18 :01348	051228	GT	PLED			
NYE					18 :01349	051228	GT	PLED			
NYE					18 :01956(h)	051228	DJ	PLED			
NYE	Saposnick, Martin	DC	MAA	040909	18:00002						
NYE					18:00002						
NYE					18:0371	050614	GT	PLED			
NYE					18 :01348						
NYE					18 :01349						
NYE					18 :01956(h)						
NYE	Shreyberg, Mark	DC	MAA	040909	18:00002						
NYE					18:00002						
NYE					18 :01348						
NYE					18 :01349						
NYE					18 :01956(h)						
NYE	Pollet, Guillaume	DC	MAA	050413	18 :01348	050421	GT	PLED	060109	2	
NYE					18 :01348						
NYE	Weeks, Kenneth L.	DC	MAA	041020	18:00002	050809	TR	RTWD			
NYE					18:00002	050404	TR	RTWD			
NYE					18 :01348	050404	TR	RTWD			
NYE	Ahmad, Roshan Ali	DC	GSG	050815	18 :01001A2	060713	GT	PLED	060713	2	
NYE					18 :00371	060713	DJ	PLOD			
NYE					18 :01001A2	060713	GT	PLED			
NYE					18 :01001A3	060713	GT	PLED			
NYE					18 :01348	060713	DJ	PLOD			
NYE					18 :03551	060713	GT	PLED			

DIST	DEFENDANT NAME	COURT	AUSA	FILED	CHARGE	DISP DT	RESULT	REASON	SNT DT	SENTENCE
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DIST	DEFENDANT NAME	COURT	AUSA	FILED	CHARGE	DISP DT	RESULT	REASON	SNT DT	SENTENCE
NYE	Zafar, Faisal	DC	MEC	060503	18:00002					
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NYE					18 :01348					
NYE					18 :01349					
NYE					18 :03551					
NYE	O'Connell, Timothy	DC	MAA	050818	18:00002					
NYE					18:00002					
NYE					18 :00371					
NYE					18 :00981a1c					
NYE					18 :01001a1					
NYE					18 :01001a2					
NYE					18 :01348					
NYE					18 :01349					
NYE					18 :01512b1					
NYE					18 :01512b2a					
NYE					18 :01512b3					
NYE					18 :01512k					
NYE					18 :01952a3					
NYE					18 :03551					
NYE					21 :00853p					
NYE					28 :02461c					
NYE	Malin, Robert F.	DC	MAA	050818	18:00002					
NYE					18:00002					
NYE					18 :00371					
NYE					18 :00981a1c					
NYE					18 :01001a1					
NYE					18 :01001a2					
NYE					18 :01348					
NYE					18 :01349					
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NYE					18 :01512b2a					
NYE					18 :01512b3					
NYE					18 :01512k					
NYE					18 :01952a3					

NYE					18 :03551					
NYE					21 :00853p					
NYE					28 :02461c					
NYE	Casbarro, Ralph D.	DC	MAA	050818	18:00002					
NYE					18:00002					
NYE					18 :00371					
NYE					18 :01001A2					
NYE					18 :01348					
NYE					18 :01349					
NYE					18 :01512A3					
NYE					18 :01512B1					
NYE					18 :01512B2A					
NYE					18 :01512B3					
NYE					18 :01512K					
NYE					18 :03551					
NYE	Ghysels, Jr., David G.	DC	MAA	050818	18:00002					
NYE					18:00002					
NYE					18 :00371					
NYE					18 :00981a1c					
NYE					18 :01001a1					
NYE					18 :01001a2					
NYE					18 :01348					
NYE					18 :01349					
NYE					18 :01512b1					
NYE					18 :01512b2a					
NYE					18 :01512b3					
NYE					18 :01512k					
NYE					18 :01952a3					
NYE					18 :03551					
NYE					21 :00853p					
NYE					28 :02461c					
NYE	Nwaigwe, Linus	DC	MAA	050818	18:00002					
NYE					18:00002					
NYE					18 :00371					
DIST	DEFENDANT NAME	COURT	AUSA	FILED	CHARGE	DISP DT	RESULT	REASON	SNT DT	SENTENCE

NYE					18 :00981a1c
NYE					18 :01001a1
NYE					18 :01001a2
NYE					18 :01348
NYE					18 :01349
NYE					18 :01512b1
NYE					18 :01512b2a
NYE					18 :01512b3
NYE					18 :01512k
NYE					18 :01952a3
NYE					18 :03551
NYE					21 :00853p
NYE					28 :02461c
NYE	Picone, Michael A.	DC	MAA	050818	18:00002
NYE					18:00002
NYE					18 :00371
NYE					18 :00981a1c
NYE					18 :01001a1
NYE					18 :01001a2
NYE					18 :01348
NYE					18 :01349
NYE					18 :01512b1
NYE					18 :01512b2a
NYE					18 :01512b3
NYE					18 :01512k
NYE					18 :01952a3
NYE					18 :03551
NYE					21 :00853p
NYE					28 :02461c
NYE	Leonard, Keevin H.	DC	MAA	050818	18:00002
NYE					18:00002
NYE					18 :00371
NYE					18 :00981a1c
NYE					18 :01001a1

DIST	DEFENDANT NAME	COURT	AUSA	FILED	CHARGE	DISP DT	RESULT	REASON	SNT DT	SENTENCE
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DIST	DEFENDANT NAME	COURT	AUSA	FILED	CHARGE	DISP DT	RESULT	REASON	SNT DT	SENTENCE
NYE					18 :01001a2					
NYE					18 :01348					
NYE					18 :01349					
NYE					18 :01512b1					
NYE					18 :01512b2a					
NYE					18 :01512b3					
NYE					18 :01512k					
NYE					18 :01952a3					
NYE					18 :03551					
NYE					21 :00853p					
NYE					28 :02461c					
NYE	Coughlin, Paul F.	DC	MAA	050818	18:00002					
NYE					18:00002					
NYE					18 :00371					
NYE					18 :00981a1C					
NYE					18 :01001a1					
NYE					18 :01001a2					
NYE					18 :01348					
NYE					18 :01349					
NYE					18 :01512b1					
NYE					18 :01512b2A					
NYE					18 :01512b3					
NYE					18 :01512k					
NYE					18 :01952a3					
NYE					18 :03551					
NYE					21 :00853p					
NYE					28 :02461					
NYE	Deakins, William B.	DC	MAA	050818	18:00002					
NYE					18:00002					
NYE					18 :00371					
NYE					18 :00981a1C					
NYE					18 :01001a1					
NYE					18 :01001a2					
NYE					18 :01348					

DIST	DEFENDANT NAME	COURT	AUSA	FILED	CHARGE	DISP DT	RESULT	REASON	SNT DT	SENTENCE
NYE					18 :01349					
NYE					18 :01512b1					
NYE					18 :01512b2A					
NYE					18 :01512b3					
NYE					18 :01512k					
NYE					18 :01952a3					
NYE					18 :03551					
NYE					21 :00853p					
NYE					28 :02461					
NYE	Alexander, Jacob	DC	IJ	060928	15 :00078					
NYE					15 :00078					
NYE					18 :00002					
NYE					18 :00371					
NYE					18 :00981					
NYE					18 :00982					
NYE					18 :01341					
NYE					18 :01343					
NYE					18 :01348					
NYE					18 :01956					
NYE					18 :01957					
NYE					18 :03551					
NYE					21 :00853					
NYE					28 :02461					
NYE	Mitchell, Brian Robert	DC	TYH	060424	18 :01348					
NYE					18 :01348					
NYS	Colon, Filiberto	DC	SM2	040109	18 :00371					
NYS					18 :00371					
NYS					18 :01341					
NYS					18 :01348					
NYS					18 :01349					
NYS	Colon, Luis	DC	SM2	040109	18 :01341					
NYS					18 :00371					
NYS					18 :01341					
NYS					18 :01348					

NYS					18 :01349					
NYS	Pianello, Adam	DC	SM2	040109	18 :01341					
NYS					18 :00371					
NYS					18 :01341					
NYS					18 :01348					
NYS					18 :01349					
OHN	Wilson, Peter	DC	JJR	041118	18 :01001					
OHN					18 :01001					
OHN					18 :01348					
OKW	Cooper, Adrian	DC	SW	051117	18 :01348	060327	GT	PLED	060920	75
OKW					18 :01341	060327	DM	GWOD	060920	
OKW					18 :01343	060327	DM	GWOD	060920	
OKW					18 :01348	060327	GT	PLED	060920	
OKW					18 :01956	060327	DM	GWOD	060920	
OKW					18 :01957	060327	GT	PLED	060920	
OKW					42 :00408	060327	DM	GWOD	060920	
PR	Soto-Cruz, Carlos H.	DC	JDN	040412	18 :01341	041008	GT	PLED	050818	
PR					18 :01341	041008	GT	PLED	050818	144
PR					18 :01343	041008	DM	GWOD	050818	
PR					18 :01348	041008	GT	PLED	050818	
SD	Byrd, Diane	DC	RAM	041216	18 :01348	050420	GT	PLED	050727	10
SD					18 :01344	050420	DM	GWOD	050727	
SD					18 :01348	050509	GT	PLED	050727	
TXN	Wannamaker, John	DC	LH	050720	18 :00371	050504	GT	PLED	061025	110
TXN					18 :00371	050504	GT	PLED	061025	
TXN					18 :01343	060919	GT	PLED	061025	
TXN					18 :01348	060919	GT	PLED	061025	
TXN					18 :01956	060919	GT	PLED	061025	
TXN					18 :01957	060919	GT	PLED	061025	
TXN	Price, Patrick	DC	LH	050720	18 :00371	060717	DM	GWOD	061108	
TXN					18 :00371	060717	DM	GWOD	061108	
TXN					18 :01343	060717	DM	GWOD	061108	
TXN					18 :01348	060717	GT	PLED	061108	36Probation
TXN	Saporta, Nancy	DC	LH	050720	18 :00371	060717	GT	PLED	061108	42Probation
DIST	DEFENDANT NAME	COURT	AUSA	FILED	CHARGE	DISP DT	RESULT	REASON	SNT DT	SENTENCE

TXN	TXN	TXN	UT	UT	Weeks, Kenneth L.	DC	GCD	050415	18 :00371	060717	DM	GWOD		
TXN	18 :01343	060717	GT	PLED										
TXN	18 :01348	060717	GT	PLED										
UT	18 :01348	051115	GT	PLED									60831	7
UT	18 :01348	051115	DM	GWOD										

* Caseload data extracted from the United States Attorneys' Case Management System

**Kenneth Weeks is listed twice as a defendant but counted only once. Mr. Weeks, a defendant in the Eastern District of New York, was transferred to the District of Utah

Disposition Codes:

DM: dismissed without prejudice

GT: guilty

NG: not guilty

TR: Transfer from district (Rule 20, 21)

Disposition Reason:

DEMD: by defense motion (district court)

GWDD: by government from district court with DOJ authorization

GWOD: by government from district court with no DOJ authorization

JTRD: jury trial verdict (district court)

PLED: Plea (district court)

RTWD: Rule 20 (district court)

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