

BREAKING THE CYCLE: MENTAL HEALTH AND THE JUSTICE SYSTEM

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WEDNESDAY, FEBRUARY 10, 2016

United States Senate,

Committee on the Judiciary,

Washington, D.C.

The Committee met, pursuant to notice, at 10:05 a.m., in room SD-226, Dirksen Senate Office Building, Hon. Chuck Grassley, Chairman of the Committee, presiding.

Present: Senators Grassley, Cornyn, Tillis, Franken,
Schumer, Whitehouse, Klobuchar, and Blumenthal.

OPENING STATEMENT OF HON. CHUCK GRASSLEY, A U.S.

SENATOR FROM THE STATE OF IOWA

Chairman Grassley. Thanks, all of you, for being patient.

Today our Committee will hold a hearing on mental health and the justice system. I will open up the hearing and will later ask Senator Cornyn to preside.

We will hear a broad perspective from our witnesses today about a very complex problem. There was a time when people with severe mental illnesses, like schizophrenia and bipolar disorder, were institutionalized. Times have changed, and people with these illnesses are no longer routinely hospitalized.

New medications and therapies have been developed. For

1 some people, these new treatments work. For others,
2 however, the new treatments have not worked--because the
3 medicine did not work or because the person simply maybe did
4 not take their medicine. In fact, many of these folks end
5 up homeless or in the criminal justice system, or both,
6 without any treatment at all.

7 I want to extend a special thank you to our witness Mr.
8 Pete Earley. He is going to share his experiences about his
9 son, Kevin. On a daily basis, individuals like Kevin are
10 literally running into the criminal justice system or a very
11 broken mental health system. And it seems that with the
12 broken mental health system, many will eventually end up in
13 the justice system.

14 An Urban Institute report published last year confirmed
15 what law enforcement throughout the country tells us. The
16 report concluded that severe mental illness affects nearly
17 one-quarter of the U.S. correctional population. Only a
18 very small percentage of these receive any kind of care for
19 their mental illness. Even more troubling, those who
20 receive mental health services show little change over time.
21 Once incarcerated, a person with severe mental illness faces
22 conditions which often exacerbate mental illness, including
23 overcrowding and abuse.

24 But there is another side to this discussion, and it is
25 something we all have to be concerned about, and that is the

1 public safety. Many people have discussed their concerns
2 with me regarding people with mental illness who commit mass
3 murders. Columbine, Virginia Tech, and Sandy Hook are names
4 that have been at the heart of these concerns and push us to
5 prevent future tragedies.

6 As Chairman of this Committee, I am committed to
7 finding bipartisan solutions to these concerns. Our job
8 then is twofold: first, we must break this mental
9 health/criminal justice cycle; secondly, and just as
10 important, we must provide for public safety.

11 To accomplish these goals, we must be open-minded to
12 solutions. We need all the cards on the table. We need
13 more information about severe mental illness. We need to
14 understand that severe mental illness often begins before
15 adulthood.

16 On a side note, one of the reasons that I pushed to
17 reauthorize the Juvenile Justice and Delinquency Prevention
18 Act is because this law is the single most influential piece
19 of Federal legislation to protect young people who come into
20 our criminal justice system.

21 We need to understand that the treatment of severe
22 mental illness requires well-trained specialists who are
23 committed to the long-term care of these citizens. We need
24 to understand that severe mental illness and drug and
25 alcohol problems often go hand in hand. We need to

1 understand what therapies work and what therapies do not
2 work. And we need well-trained law enforcement and first
3 responders to recognize and manage crisis situations when
4 they occur.

5 And we should all have an open mind on this issue, and
6 I do. I think it will take many different ideas to find
7 solutions. Furthermore, I do not think that we can solve
8 these problems by reducing them to silos or sound bites.

9 In order to accomplish criminal justice reform, we must
10 tackle the mental health crisis, including the shortage of
11 psychiatric beds. We ought to confront the opioid epidemic
12 in our Nation. And we must work together to solve the
13 difficult issues that are at the intersection of mental
14 health and criminal justice. By doing this, we will meet
15 the needs of people with severe mental illness, and we will
16 keep Americans safe.

17 Today we will look at Senator Cornyn's legislation, the
18 Mental Health and Safe Communities Act.

19 This bill reauthorizes and strengthens the National
20 Instant Criminal Background Check System. It allows State
21 and local governments to create pretrial screening and
22 assessment programs to identify offenders with mental
23 illness.

24 It requires the Attorney General to direct Federal
25 judges to operate mental health court pilot programs.

1 It requires State and local governments to use drug and
2 mental health court funding to develop specialized programs
3 for those with co-occurring mental health and substance
4 abuse problems.

5 And it will mandate specialized training and the use of
6 new technology to ensure that those who work in the criminal
7 justice system are properly equipped to respond to
8 individuals with mental illness and the crisis we are in.

9 I support this legislation and encourage my colleagues
10 to do so as well.

11 Now I will turn the meeting over to Senator Franken.

12 OPENING STATEMENT OF HON. AL FRANKEN, A U.S. SENATOR

13 FROM THE STATE OF MINNESOTA

14 Senator Franken. Thank you, Mr. Chairman, for calling
15 this incredibly important hearing, a consensus panel. This
16 is something that we all care about.

17 I just want to respond to something just about the--you
18 brought up the public safety side of this--and, of course,
19 that is a very important part of this--and the concern that
20 I hear from people regarding the mass shootings that you
21 referred to. And there is no question that the people who
22 have done that have been deranged. And when we had all of
23 our hearings on guns, I think one of the worst things we can
24 do is stigmatize people with mental illness. And I think
25 that is a danger we have when we start making that kind of

1 link in a way that is a little misleading.

2 I think, Mr. Osher, in your testimony, at least in your
3 written testimony, you talked about people who are mentally
4 ill are no more likely to be violent than the rest of the
5 population and also are the victims of violence more often
6 than the rest of the population. And I just want to really
7 underscore that.

8 Also, this is one of the reasons that I think that in
9 all those cases, if those people had gotten some care
10 beforehand, we might have prevented that. I cannot say that
11 in every case, but I think that one of the reasons that we
12 have seen that is that we do not have early diagnosis and
13 treatment in schools. Some people become--do not
14 demonstrate these symptoms until after they are out of
15 school. But I have promoted mental health in schools,
16 partly because early detection and treatment is extremely
17 important.

18 So I just wanted to--we have a long overdue
19 conversation that we need to have about gun safety when we
20 talk about that issue as well. And I just do not want to--a
21 CDC report that a perpetrator diagnosed with a mental
22 illness was responsible for fewer than 5 percent of all
23 American gun killings between 2001 and 2010. And I know
24 what you were saying was that you were responding to the
25 concerns of the public, and that is why I am saying this

1 here because I want the public to hear this.

2 But I want to thank you, Mr. Chairman, for calling this
3 hearing on an incredibly bipartisan issue. Senator Cornyn
4 and I were just talking beforehand about how we have a
5 consensus panel here. I want to thank you all for your
6 expertise. And this is a bipartisan issue. Last year, I
7 reintroduced legislation called the "Comprehensive Justice
8 and Mental Health Act" to improve access to mental health
9 services for people who come into contact with the justice
10 system. My bill has always enjoyed broad bipartisan
11 support. Senator Cornyn agreed to serve as my lead
12 Republican cosponsor. It passed the Senate in December. I
13 understand it was recently approved by the Judiciary
14 Committee in the House and stands ready to soon pass the
15 House as well. Speaker Ryan has identified it as one of the
16 consensus bills that he wants to move. So I am heartened
17 that this issue is getting the attention that it deserves
18 from both sides of the aisle and both sides of the Capitol.

19 The United States has 5 percent of the world's
20 population but 25 percent of the world's prison population,
21 in large part because we have institutionalized mental
22 illness. People with mental health conditions
23 disproportionately are arrested and incarcerated, and as we
24 will hear today, that is because the United States lacks the
25 infrastructure necessary to help them find stability. We do

1 not provide people who suffer from mental illness with the
2 care, the community support, or the housing assistance that
3 could help them avert a crisis, and we do not provide them
4 with treatment. Instead, we let them fall through the
5 cracks and often languish in prison.

6 As Hennepin County Sheriff Rich Stanek from my home
7 State has said, "Local jails are the largest mental health
8 facilities in the State of Minnesota." And this holds true
9 across the Nation. Sheriff Stanek called it "society's
10 dirty little secret."

11 Right now, in most jurisdictions in our country, we are
12 using our criminal justice system as a substitute for a
13 fully functioning mental health system. That just simply
14 does not make sense. It does not make sense for law
15 enforcement officers who often put their lives at risk when
16 they are called upon to intervene in a mental health crisis.
17 It does not make sense for courts, which are inundated with
18 cases involving people with mental illness. It does not
19 make sense for people who have mental health conditions who
20 would often benefit more from treatment and intensive
21 supervision than from incarceration. And it certainly does
22 not make sense for taxpayers who foot the bill for high
23 incarceration costs and overcrowded correctional facilities.
24 And they must pay again when untreated mentally ill
25 prisoners are released back into society, often in worse

1 shape than when they were first locked up.

2 Congress recognized this to be a problem in 2004 when
3 it passed the Mentally Ill Offender Treatment and Crime
4 Reduction Act, or MIOTCRA. MIOTCRA supports innovative
5 programs that bring together mental health and criminal
6 justice agencies to address the unique needs of people with
7 mental health disorders. My bill to reauthorize MIOTCRA,
8 again, the Comprehensive Justice and Mental Health Act,
9 would continue Federal support for mental health courts,
10 crisis intervention teams, and corrections-based services
11 for people with mental illness. And it would expand on the
12 law to invest in veteran treatment courts and to train
13 local, State, and Federal law enforcement officers on how to
14 recognize and respond appropriately to mental health crises,
15 training that protects police officers and saves lives.

16 These programs are important not just because they make
17 it possible for State and local jurisdictions to better
18 shoulder the burden that mentally ill offenders place on
19 their facilities and their officers, but because research
20 has shown that these programs work. Police officers who
21 have received crisis intervention training report being more
22 prepared when they are called to the scene of a mental
23 health crisis. Jurisdictions that connect law enforcement
24 with mental health professionals and training opportunities
25 experience a decrease in arrests and an increase in access

1 to treatment for people with mental illness--treatment that
2 reduces recidivism and, therefore, reduces costs.

3 But it is important to note that the grant
4 opportunities supporting this work, the programs that would
5 be reauthorized by my bill, are the only programs at the
6 Department of Justice that address how our criminal justice
7 system meets the needs of offenders with mental illness. So
8 it is long past time for Congress to take action. Enacting
9 the Comprehensive Justice and Mental Health Act is an
10 important first step and will ensure that these programs
11 remain available.

12 The Senate passed my bill in December, and I was
13 pleased to see that it was unanimously approved by the House
14 Judiciary Committee last month, as I said, but I look
15 forward to hearing from today's witnesses about the good
16 work being done in their States and their communities--I
17 thank Bill Ward for being here from Minnesota--

18 Chairman Grassley. Senator Cornyn.

19 Senator Franken. --and learning--

20 Chairman Grassley. Oh, I am sorry. I did not mean to
21 interrupt.

22 Senator Franken. I had one-half of a sentence left.

23 Chairman Grassley. I am sorry.

24 Senator Franken. It is a sentence fragment.

25 [Laughter.]

1 Senator Franken. It may now not make sense, so I am
2 going to read the whole--can I read the whole sentence?

3 [Laughter.]

4 Senator Franken. But I look forward to hearing from
5 today's witnesses about the good work being done in their
6 States and communities and learning how Congress can better
7 support those efforts.

8 Thank you, Mr. Chairman.

9 Chairman Grassley. I did not see the word "and." I am
10 sorry.

11 Senator Franken. Yeah, it is a compound sentence. It
12 is not two sentences. It is a compound sentence.

13 Chairman Grassley. Senator Cornyn.

14 OPENING STATEMENT OF HON. JOHN CORNYN, A U.S. SENATOR
15 FROM THE STATE OF TEXAS

16 Senator Cornyn. Well, thank you, Chairman Grassley,
17 for holding this very important hearing. We have the
18 privilege and the honor of serving our constituents all
19 across this great country and addressing a lot of really
20 important issues. But I cannot think of many that are more
21 important than the subject matter of this particular
22 hearing, which is reforming our broken mental health system.
23 And I, too, want to thank the witnesses. I want to shout
24 out a couple of them in particular. One is my friend of
25 longstanding, Sheriff Susan Pamerleau of Bexar County,

1 Texas, San Antonio. She is going to talk about some of the
2 great things that Bexar County is doing which helped open my
3 eyes to this issue. And then my newer friend, Pete Earley,
4 who has shown great courage, along with his son and his
5 wife, in telling their story, which, again, has helped
6 inform the policymakers in a really significant and
7 important sort of way. So thank you for everything that you
8 have contributed to our knowledge and opening our eyes and
9 our hearts to this important issue.

10 I want to also thank Senator Franken for working with
11 me on the Comprehensive Justice and Mental Health Act, and
12 he has described it well. And I join him in hoping the
13 House will pass that legislation soon.

14 According to a 2015 poll conducted by Public Opinion
15 Strategies, 66 percent of Americans believe mental health
16 conditions in our country pose a "very serious public health
17 problem," and 71 percent of Americans support making radical
18 or significant changes in the way we address mental health
19 in the United States.

20 Though the Judiciary Committee is not the Committee of
21 primary jurisdiction over this subject, we have a
22 significant role to play in this issue. That is because
23 over the last three decades America's prisons, jails, and
24 criminal justice system have become the de facto mental
25 health care provider in America.

1 According to a 2010 study published by the National
2 Sheriffs Association and the Treatment Advocacy Center, at
3 least 16 percent of inmates in the United States have
4 serious mental illness. And I know you will hear different
5 numbers, and perhaps we will hear a range of numbers today.
6 But this number is even higher if we consider earlier or
7 less aggravated stages of mental illness. For example, in
8 Texas, the Council of State Governments estimates that 34
9 percent of prison and jail inmates have some form of mental
10 illness, and that most of these individuals also have, as
11 the Chairman said, substance abuse disorders because they
12 tend to medicate themselves with drugs or alcohol,
13 compounding their problems.

14 All told, experts estimate that there are between
15 300,000 and 500,000 mentally ill individuals locked up in
16 prisons and jails across the country. That is a shocking
17 number.

18 So how did we get where we are? And why should we be
19 so concerned? Well, I think the facts demonstrate the
20 reason for our concern, but as Chairman Grassley pointed
21 out, in the mid-1960s our country radically shifted away
22 from hospitalization of the mentally ill. Due to the
23 failures and inhumane conditions of State and mental health
24 hospitals, we began released large numbers of mentally ill
25 individuals back into the community where we hoped that they

1 would recover and restore their lives. We thought we were
2 actually doing them a favor by doing that.

3 Sadly, this did not occur, the treatment part, the
4 recovery and restoration of lives, because we simply dropped
5 the ball. Instead of focusing on identifying and treating
6 mental illness, we de-institutionalized the mentally ill,
7 forgot about treatment, and hoped that the problem would
8 solve itself. Well, it has not. And the result has been a
9 four-decade-long explosion in the growth of mentally ill
10 individuals in our jails and prisons because untreated
11 mental illness will often result in criminal conduct and
12 tragedy.

13 The problem is our criminal justice system is not
14 adequately equipped to treat individuals with mental
15 illness. In fact, it is one of the worst places imaginable
16 for these individuals. Our Nation's law enforcement and
17 correctional officers are the best in the world, but they
18 are not mental health practitioners.

19 So it should come as no surprise that mentally ill
20 individuals who enter the criminal justice system generally
21 become more sick, more likely to reoffend, and more likely
22 to become violent, and, obviously, as Senator Franken said,
23 more expensive to the taxpayer and less likely to get well
24 and restore their lives.

25 Our current system of warehousing the mentally ill is

1 not working, and it is our duty to address the problem so we
2 can improve public safety, protect civil rights, and save
3 taxpayer dollars. And across the country we are beginning
4 to see promising signs of reform, and that is where Sheriff
5 Pamerleau and my home town of San Antonio come in. And I
6 know there are many other stories, but if you will permit me
7 to focus on Bexar County for a moment, because it really did
8 open my eyes up, Sheriff, to see the collaboration that is
9 taking place, where it is not a matter of politics, it is
10 not big egos, it is people working together to try to
11 address a very real problem and making an important
12 difference.

13 By screening people with mental illness and diverting
14 them to evidence-based treatment instead of jail, Bexar
15 County has saved millions of dollars per year, reduced crime
16 rates, increased public safety, and given mentally ill
17 individuals a chance to break the cruel cycle of their
18 illness. That model for mental health treatment has become
19 a beacon of success, and I know you have had a lot of people
20 visit San Antonio over the last decade to learn more about
21 it. And I am proud to say that State and local governments
22 across the country are catching on.

23 But at the Federal level, less than 1 percent of the
24 approximately \$2 billion that the Federal Government spends
25 on State and local law enforcement assistance is used to

1 address the mental health crisis in our criminal justice
2 system. One percent of the \$2 billion the Federal
3 Government spends on State and local law enforcement
4 assistance is used to address mental health issues, and that
5 is where my legislation comes in, hopefully.

6 We do need to do more to target our existing Federal
7 resources to repair the broken mental health system. The
8 legislation that Chairman Grassley mentioned, the Mental
9 Health and Safe Communities Act, which I have sponsored, is
10 a product of hard work, not just from me and my staff and
11 the Senate but also the National Alliance on Mental Illness,
12 the National Association of Police Organizations, the
13 Council of State Governments Justice Center, the American
14 Correctional Association, and the National Sheriffs
15 Association, just to mention a few. It is modeled really on
16 the successes we have seen around the country, not just in
17 Bexar County but around the country. And I am pleased that
18 Senator Alexander--Senator Franken had mentioned the fact
19 that there are a number of different committees that have
20 jurisdiction of this issue, but Chairman Alexander of the
21 Health, Education, Labor, and Pensions Committee and Senator
22 Cassidy are working hard on legislation that would tackle
23 the non-judiciary aspects of mental health reform. And, of
24 course, what I hope we will ultimately do and I have talked
25 to Senator Alexander about is putting together these various

1 pieces in a piece of consensus legislation that we might be
2 able to pass with good results.

3 So I hope my colleagues on both sides of the aisle will
4 listen to the lessons of this hearing and work with all of
5 us in a bipartisan manner to address this issue. And,
6 again, I would just close by saying, Mr. Chairman, this is,
7 as I told the panel members, the first time that I have had
8 a panel or been at a hearing where we have a consensus
9 panel. I think that speaks volumes about this issue.
10 Republicans did not just pick their witnesses and Democrats
11 pick theirs and come out and butt heads, but actually have a
12 consensus panel of really the world-class experts to be able
13 to enlighten us and the American people on the nature of
14 this problem and solutions.

15 So thank you very much.

16 Chairman Grassley. Yes. Before I introduce my
17 witnesses, I would like to make sure that Senator Franken
18 understands--he may have misheard me, but I said that mass
19 murderers have mental illness issues, not that people with
20 mental illness are mass murderers.

21 Senator Franken. I just want to say I appreciate that
22 is what you said. I just did not want people listening to
23 misunderstand that.

24 Chairman Grassley. But I think you misunderstood. I
25 am trying to correct you.

1 Senator Franken. Thank you, Mr. Chairman.

2 Chairman Grassley. Okay. Thank you. I will introduce
3 four witnesses, and Senator Tillis will introduce the other
4 one.

5 Mr. Earley is an author who has written 17 books, I am
6 told, including "Crazy: A Father's Search Through America's
7 Mental Health Madness." Prior to that, he was a journalist
8 for 14 years, including 6 years at the prestigious
9 Washington Post. He has an undergraduate degree from
10 Phillips University.

11 Our second witness is Fred Osher. Mr. Osher is
12 director of health systems and services policy for the
13 Council of State Governments Justice Center. In that
14 position, he oversees the health component of CSG Justice
15 Center's initiatives. He has his undergraduate degree from
16 Harvard and an M.D. from Wayne State University.

17 Our next witness is Sheriff Susan Pamerleau. She is
18 sheriff of Bexar County, San Antonio, Texas. She was
19 elected as sheriff in 2012, becoming the first woman to be
20 elected to that post. Previously, she served in the U.S.
21 Air Force for a long time, 32 years. Congratulations and
22 thank you for defending. She has her undergraduate degree
23 from the University of Wyoming and a master's degree in
24 public administration from Golden Gate University.

25 Senator Franken. Can I introduce him?

1 Chairman Grassley. You want to do that?

2 Senator Franken. Yes. Thank you. Thank you, Mr.
3 Chairman.

4 I would like to introduce Bill Ward. He has served as
5 the Minnesota State public defender since July of 2014.
6 Bill oversees the State's public defenders and their work to
7 provide indigent clients with criminal and juvenile legal
8 defense services and has experience representing defendants
9 who have experienced a mental health crisis. Bill also
10 represented public defenders on a work group created by the
11 Minnesota State Legislature to examine the needs of
12 offenders with mental illnesses. Before being appointed as
13 Minnesota State public defender, Bill served as chief public
14 defender in Hennepin County and in Minnesota's 10th Judicial
15 District. Early in his career, Bill also worked in the Cook
16 County public defender's office in Chicago, Illinois. Thank
17 you, Bill.

18 Chairman Grassley. Senator Tillis.

19 Senator Tillis. Thank you, Mr. Chair. It is my
20 pleasure to introduce a personal friend, David Guice. He is
21 currently the commissioner of the Division of Adult
22 Correction and Juvenile Justice. He was appointed in
23 January of 2013 after serving as the director of community
24 corrections. David and I literally sat about this far apart
25 from each other in the House chamber in the North Carolina

1 Legislature for a couple of years, and he comes from the
2 mountains of North Carolina, Transylvania County. He went
3 to Mars Hill College. He served in the Division of
4 Community Corrections for I think about 32 years. Is that
5 right, David? And he was county commissioner, very active
6 in the community. He was elected to the legislature, began
7 that, elected in 2008, began serving in 2009. And in 2011,
8 he demonstrated extraordinary judgment by voting for me as
9 Speaker of the House. He went on to serve as chair on very,
10 very important committees in the North Carolina Legislature--
11 -appropriations, justice and public safety, judiciary.

12 I think probably the most important thing that David
13 did for the folks in North Carolina and for people that we
14 will be talking about today was he was the lead sponsor for
15 the Justice Reinvestment Act, and he was in the unique
16 position of not only getting it passed with broad bipartisan
17 support in the North Carolina Legislature, but he went on to
18 go back into the department and oversee its extraordinary
19 execution. It has--and we have talked about it before--a
20 14-percent reduction in recidivism, a 50-percent reduction
21 in parole violations, and hundreds of millions of dollars in
22 savings that hopefully we can put to these very important
23 issues that we are talking about today.

24 David is married to another good friend of mine,
25 Carrie. He has got kids and grandkids. And it is an honor

1 to have you here, Commissioner Guice, my friend David Guice.

2 Thank you for being here.

3 Chairman Grassley. Before we start with Mr. Earley, I

4 know that this is such an important issue that all of you

5 have more than 5 minutes to discuss with us, and so that is

6 why we suggest a longer statement being put in the record,

7 and then we have asked you to summarize for 5 minutes. And

8 I never cut anybody off in the middle of a sentence. I do

9 not even want to cut you off in the middle of a thought.

10 But maybe after 6 minutes has passed, if you see me raise my

11 gavel, I hope you will stop right at that point. Thank you

12 all very much.

13 Go ahead, Mr. Earley.

1 STATEMENT OF PETE EARLEY, AUTHOR, MENTAL HEALTH
2 ADVOCATE, FAIRFAX, VIRGINIA

3 Mr. Earley. Thank you very much, Mr. Chairman. Thank
4 you, members.

5 Senator Franken. Is his mic on?

6 Chairman Grassley. Can you push the red button?

7 Mr. Earley. You would think that I would know that.
8 Thank you.

9 Chairman Grassley. Start him over at 5 minutes.
10

11 Mr. Earley. Okay. Thank you, sir. I want to thank
12 the Chairman, Ranking Member, and members of the Committee.
13 I especially want to thank Senator Cornyn for inviting me.
14 It is good to see you again, Senator Franken. I testified
15 before you on your bill, so thank you very much for having
16 me here.

17 My name is Pete Earley. I am a member of the National
18 Alliance on Mental Illness, and I am the proud father of a
19 son with a severe mental illness. I have come here today to
20 tell you my story, and it begins with a frantic car ride and
21 my son saying these words: "Dad, how would you feel if
22 someone you loved killed himself?"

23 My son, Kevin, had been diagnosed a year earlier with a
24 mental illness--bipolar disorder. A doctor had put him on
25 medication, but my son had stopped taking his pills. And

1 when I picked him up in New York, where he was a college
2 student, he had been wandering around the city for 5 days.
3 He was convinced God had him on a special mission. And
4 during that frantic car ride from New York to Fairfax
5 County, Virginia, he would laugh one minute and begin crying
6 the next. And I pleaded with him to take his medication,
7 and he screamed at me: "Pills are poison, leave me alone!"

8 We went to an emergency room. We were put in a room
9 for 4 hours. Finally, my son said, "There is nothing. I am
10 going to leave." I literally went out, I grabbed a doctor.
11 He came in that room with his hands raised up as if he were
12 surrendering. He said, "I cannot do anything for you. Your
13 son believes pills are poison," and the law at that time
14 said only a person who is in immediate danger, imminent
15 danger, could be required to take medication. And then he
16 looked at me, and he said, "You seem like a nice guy. You
17 bring your son back after he tries to kill you or kill
18 someone else."

19 I took my son home. Forty-eight hours later, he broke
20 into a stranger's house. He broke in to take a bubble bath.
21 It took five police officers to get him out. And that day,
22 my son entered the criminal justice system. At his case
23 hearing, his mental illness was never mentioned. There was
24 no offer of treatment. We were lucky to plead it down. He
25 had 2 years of probation. He did great under the judge's

1 thumb. He took his medication, which actually helped him.
2 As soon as that probation ended, he began going off his
3 meds. I could see he was slipping.

4 I called the Fairfax County Crisis Response Team. I
5 said, "Please come. Help us. My son is becoming
6 delusional." They said, "Is he dangerous?" I said, "No, he
7 is not dangerous." "Well, you cannot judge him on what
8 happened in the past. Call us when he is dangerous."

9 The night I called, I said, "My son is violent. Please
10 come." And they said, "Is he dangerous or violent?" And I
11 said, "He is violent." And they said, "Oh, we do not come
12 if they are violent. You call the police."

13 I called the police. They showed up, three officers.
14 They shot my son twice with a Taser, 50,000 volts, said to
15 me, "Do you want to press charges against him?"

16 A year later, my son had recovered. He again went off
17 his meds. He started slipping. This time, he was afraid I
18 would call the police, so he jumped in the car. He took off
19 for North Carolina. He ran out of gas there. He called me.
20 I said, "I will get you gas. Just go to a gas station." He
21 said, "No. You do not understand. The voices are telling
22 me if I step out of my car, I will die."

23 Now, we all know that would not happen, but I am
24 telling you, if your mind was telling you right now if we
25 left this hearing room, we would all become very, very good

1 friends.

2 He came home. I got him into a safe house. He wanted
3 to go and just think about it. He got in the safe house.
4 He got up in the middle of the night. He took off all his
5 clothes because he thought that made him invisible, and he
6 went walking outside. This time, however, a CIT, Crisis
7 Intervention Team, trained police officer picked him up. He
8 treated my son with respect. My son said, "I got Tasered
9 the last time. I am not a criminal. They wanted to
10 handcuff me. Do not handcuff me." He said, "Fine. Get in
11 the back of the police car." They took him to an emergency
12 room. When that doctor there said, "Well, being naked and
13 walking around does not mean you are dangerous," the officer
14 said, "Really? Well, I think I will drop him off on your
15 front lawn." And all of a sudden, my son was admitted. And
16 then he got a wonderful woman, Cindy Anderson, who is his
17 case manager, and she said to him, "Why don't you take your
18 medication? Let me get you in with a doctor who actually
19 will talk to you," because treating the mind also requires
20 treating the heart. And they found out why he did not like
21 medication. And then she said to him, "You should not live
22 with your father. You are too old. I am going to get you
23 into Housing First, with two guys with schizophrenia."

24 And then she said to him, "What do you want to do?"

25 And he said, "Well, I cannot do anything. I have a mental

1 illness." And she said, "Knock it off. Control the
2 illness, do not let it control you."

3 He said, "I want to help people." She said, "I have
4 got the perfect program, peer-to-peer, a person with mental
5 illness helping other people with mental illnesses.

6 Today my son works with Cindy in Fairfax County on a
7 jail diversion team, helping people with mental illness.

8 You have a fabulous panel here, really people I admire
9 and respect. But, quite frankly, I do not need them to tell
10 me that these programs work. I have my son, and I have
11 Cindy.

12 Thank you very much.

13 [The prepared statement of Mr. Earley follows:]

1 Chairman Grassley. You know, if you had gone on for 15
2 minutes, I probably would have listened to you.

3 Mr. Earley. I probably could.

4 [Laughter.]

5 Mr. Earley. Thank you, sir.

6 Chairman Grassley. Thank you.

7 Mr. Osher?

1 STATEMENT OF FRED C. OSHER, M.D., DIRECTOR OF
2 HEALTH SYSTEMS AND SERVICES POLICY, COUNCIL OF
3 STATE GOVERNMENTS JUSTICE CENTER, JOHNS ISLAND,
4 SOUTH CAROLINA

5 Dr. Osher. Thank you, Chairman Grassley, Ranking
6 Member Leahy, and distinguished members of this Committee,
7 for the opportunity to talk about the overrepresentation of
8 people with mental illness in our criminal justice systems.
9 I am Dr. Fred Osher, the director of health systems and
10 services policy for the Council of State Governments Justice
11 Center. Each year, there are an estimated 2 million people
12 with serious mental illnesses admitted to jails across the
13 country annually. Those are rates that are three to six
14 times what we find in the general population.

15 The human toll of this problem--and its cost to
16 taxpayers--is staggering. As a community psychiatrist for
17 the past 35 years, I have had a front-row seat to this
18 growing national tragedy. I work in a mental health center
19 in my hometown in Charleston, South Carolina, and see so
20 many patients who desperately want to avoid criminal justice
21 contact, but find themselves caught in a cycle of arrest,
22 incarceration, release, and re-arrest.

23 Jails and prisons have a constitutional mandate to
24 treat the mental illnesses of their inmates, yet they are
25 often ill-equipped to assess and meet their needs. Jails

1 spend two to three times more money on adults with mental
2 illnesses than those without, yet communities do not see a
3 return on those significant investments.

4 Although States and localities that have made
5 tremendous efforts to address this problem, they are often
6 thwarted by significant obstacles, including inadequate data
7 to inform policy changes, operating with minimal resources,
8 needing better coordination between criminal justice, mental
9 health, substance use, and other agencies.

10 To develop appropriate responses to persons with mental
11 illnesses, it is important to understand the reasons why
12 they are so prevalent in our criminal justice setting, and
13 there are a number of contributing factors.

14 First, people with mental illnesses are three times
15 more likely to develop substance use disorders over the
16 course of their lifetime, and arrests for drug-related
17 offenses have skyrocketed since the 1980s. Research has
18 found that nearly three-quarters of men and women with
19 serious mental illness in our jails also have a co-occurring
20 substance use disorder.

21 Second, people with mental illnesses are
22 overrepresented in our homeless population, very visible in
23 our communities. Their panhandling or shoplifting or public
24 intoxication are frequent causes of calls for law
25 enforcement.

1 Third, limited access to care from underfunded,
2 overburdened community-based treatment programs leaves
3 individuals disabled by untreated symptoms, increasing the
4 likelihood of their arrest.

5 Fourth, once in jail and prison, people with mental
6 illnesses stay longer than others charged with similar
7 offenses. Newer research has demonstrated that even short
8 periods of time in jail on pretrial status can have a major
9 impact on an individual's ability to prove their innocence
10 or stay out of jail in the future.

11 And, finally, once released, without adequate
12 treatment, housing, supports, or supervision, individuals
13 with mental illnesses are more likely to recidivate than
14 those without.

15 This is in a context of we know what works, and I will
16 highlight a few of those practices.

17 Effective responses begin with a robust and
18 comprehensive behavioral health system with adequate crisis
19 alternatives to incarceration and treatment that can prevent
20 decompensation. Treatment should include the provision of
21 psychiatric medication when indicated, also include
22 structured cognitive behavioral therapies to address some of
23 the reasons and factors that people find themselves in
24 contact with criminal justice settings.

25 Law enforcement agencies are training their officers to

1 de-escalated crises on site--this is the Crisis Investment
2 Team that was mentioned earlier--and divert individuals,
3 when appropriate, when they do not pose a public safety
4 risk, to treatment alternatives. Drug courts, mental health
5 courts, veterans courts offer an alternative to
6 incarceration, connect eligible individuals to treatment,
7 and provide court supervision to ensure adherence to
8 conditions of release.

9 Reentry planning personnel can identify requisite
10 community services. Specialized community corrections team
11 can effectively supervise these individuals on release.
12 These are just some of the effective practices associated
13 with reducing the prevalence of people with mental illness
14 in our criminal justice system.

15 I want to call your attention to several supporting
16 policies and initiatives. As Senator Franken has
17 highlighted, the Comprehensive Justice and Mental Health
18 Act, introduced by him and Senator Cornyn, reauthorizes the
19 Mentally Ill Offender Treatment Act. To underscore the
20 collaborative nature of this program, all grants require a
21 joint application from a mental health agency and a unit of
22 government that is responsible for criminal or juvenile
23 justice activities.

24 Since 2006, the Bureau of Justice Assistance has
25 awarded 350 JMHCP grants to jurisdictions across 49 States,

1 territories, and the District of Columbia.

2 The Mental Health and Safe Communities Act of 2015, in
3 addition to the features highlighted already by Chairman
4 Grassley and Senator Cornyn, the bill introduced by Senator
5 Cornyn provides important provisions, including the
6 expansion of specialized law enforcement Crisis Intervention
7 Teams, an increase in treatment-based alternatives to
8 incarceration for people with mental illnesses, and
9 improvements for reentry programming, for example, to
10 authorize Forensic Assertive Community Treatment teams, an
11 evidence-based practice that gets case management and
12 treatment to those folks that need it.

13 And, lastly, I will mention the Stepping Up Campaign.
14 This is a national campaign to reduce the number of persons
15 with mental illnesses in our national jails. Recognizing
16 the critical role that local and State officials play in
17 supporting change, the National Association of Counties, the
18 Council of State Governments Justice Center, and the
19 American Psychiatric Association Foundation have come
20 together to lead a national initiative to help counties'
21 efforts to reduce the number of adults with mental illnesses
22 in their jails.

23 Dorothea Dix, Superintendent of Army Nurses during the
24 Civil War, crusaded for humane responses to the needs of
25 inmates with mental illnesses in the mid-1800s. Her

1 advocacy was translated into State hospitals for people with
2 these disorders, to trade punishment for care. We have come
3 full circle and find ourselves asking the same question that
4 she posed 150 years ago: Why are we unnecessarily
5 incarcerating people with mental illnesses? Acting now can
6 both alleviate systemic problems that are choking law
7 enforcement and correctional capacity and enable these
8 individuals to achieve their full potential.

9 Mr. Chairman, Ranking Member Leahy, members of the
10 Committee, this concludes my testimony, and I look forward
11 to questions following. Thank you very much.

12 [The prepared statement of Dr. Osher follows:]

- 1 Chairman Grassley. Thank you.
- 2 Sheriff Pamerleau.

1 STATEMENT OF SUSAN L. PAMERLEAU, SHERIFF, BEXAR
2 COUNTY, SAN ANTONIO, TEXAS

3 Sheriff Pamerleau. Senator Grassley, Senator Cornyn,
4 and members of the Committee, thank you so much for
5 addressing this critical issue to our communities. As
6 sheriff of Bexar County, Texas, I lead the 11th largest
7 sheriff's office in the Nation, and we operate the 16th
8 largest--

9 Chairman Grassley. Is your red light on?

10 Sheriff Pamerleau. Now it is.

11 Chairman Grassley. Okay. Start her 5 minutes over.
12 Start over again from the beginning.

13 Sheriff Pamerleau. I have the privilege of leading the
14 11th largest sheriff's office in the Nation, and we oversee
15 the third largest jail in the State of Texas and the 16th
16 largest in the Nation. And across America, law enforcement
17 today is increasingly relied upon to manage individuals
18 suffering from mental health episodes.

19 In Bexar County today, over 700 inmates of the 3,500
20 people that are incarcerated in our jail are being treated
21 for some type of mental illness. And of these, 60 percent--
22 that is almost 450 people--have been in and out of our jail
23 six or more times. Had we identified them early, the first
24 time, think about the human capital that we could have
25 saved.

1 Most have not committed serious crimes but are in jail
2 because of untreated mental illness. And like other jails,
3 the Bexar County jail has become a de facto mental
4 institution, and we are the largest mental health provider
5 in the county.

6 In 2015, 57,000 people--about 60 percent of those who
7 were arrested--were booked into jail. For the other 40
8 percent, unless they were symptomatic, we did not know if
9 they had any mental health issues. That first brush with
10 the law could have been turned into treatment--as a
11 condition of pretrial release--rather than being caught up
12 in the criminal justice system over and over again.

13 Housing the mentally ill in jail requires close
14 monitoring and extensive services--at significantly higher
15 cost than those in general population. Average daily cost
16 is \$60 to \$65 a day, but when they have to go into a mental
17 health unit, that cost quadruples to \$200 to \$250. And
18 across Texas, there are locations where in a mental health
19 unit the costs can climb to as high as \$350 a day.

20 Many function well in the general population with
21 psychotropic medications. However, some think they are
22 okay, they stop taking their medications. They become
23 disruptive and assaultive and end up in the mental health
24 unit.

25 Over the past 10 years, Bexar County has developed an

1 overarching system to address mental health issues. Since
2 2012, both the San Antonio Police Department and the Bexar
3 County Sheriff's Office mandate Crisis Intervention Training
4 for all patrol officers.

5 In 2009, our 15 deputies who work in our Mental Health
6 Unit were trained on crisis intervention, learning how to
7 recognize someone in a mental health crisis and use de-
8 escalation techniques to defuse the situation.

9 Prior to 2009, our mental health deputies had to use
10 force taking mental health consumers into custody at least
11 50 times a year. Since 2009 and that training, 6-1/2 years,
12 we have only had to use force three times. Three hundred to
13 three is a dramatic difference in proving the value of
14 crisis intervention training.

15 And this same training is critical for our detention
16 officers and our dispatchers. In collaboration with the
17 Council of State Governments Justice Center and other
18 stakeholders in Bexar County, we knew we needed to identify
19 those with mental illness early in the process. So
20 beginning in late July last year, all law enforcement
21 agencies in the San Antonio/Bexar County area initiated a
22 mental health screen at point of arrest, prior to
23 magistration.

24 Each arresting officer asks four questions to determine
25 if further assessment is needed by a credentialed clinician

1 and, if indicated, a more comprehensive mental health
2 assessment. The key to our success with that population has
3 been collaboration with all other stakeholders.

4 The Restoration Center is a place where law enforcement
5 brings individuals who are intoxicated, high on drugs, or in
6 a mental health crisis. And then our officers can be back
7 on the streets in 15 minutes, not 10, 12, 14 hours waiting
8 in an emergency room. And in 5 years, conservatively, over
9 \$50 million has been saved for our community getting our
10 officers back on the streets.

11 Haven for Hope is another important part of
12 transforming individuals from being homeless, with mental
13 illness and co-occurring substance abuse. It is much more
14 than a homeless shelter. It provides wraparound services,
15 counseling, housing, other services, starting individuals on
16 a path to stability.

17 I think it is important to see the faces of mental
18 illness. Paul is 76, diagnosed with schizophrenia, but he
19 refuses treatment. He has been booked into the Bexar County
20 Adult Detention Center 45 times since 1991--mostly for
21 criminal trespassing, usually at a local church. He says he
22 is Jesus Christ and he belongs there. He refuses to leave,
23 and he ends up in jail.

24 Kenny is 60, a small, fragile man with a severe mental
25 illness. In 2015 alone, he was arrested and booked for

1 criminal trespassing 14 times. Kenny loiters at a
2 neighborhood grocery store entrance because he believes he
3 lives there. Though the psychiatrist has ordered him to be
4 placed on psychotropic drugs, Kenny refuses treatment. So
5 he is jailed, he is released, he is re-arrested--and he will
6 surely return to jail again and again.

7 Paul and Kenny would be better served in a hospital or
8 treatment center. But because of underfunded mental health
9 services, their stories are not unique, and our jail is home
10 to hundreds just like them.

11 Just as compelling as providing community-based
12 treatment services for early intervention, forensic beds in
13 State systems are desperately needed, too. Today an inmate
14 may wait 6 to 8 months before being transferred to a State
15 facility to restore competency.

16 Christopher is one of five children from a prominent
17 family of community leaders. His mental illness began to
18 emerge when he was 17. After several diagnoses, erratic
19 results with various medications, in and out of mental
20 institutions for several years, he was diagnosed with
21 schizo-affective disorder. He is currently in the Vernon
22 State Hospital in North Texas, a result of his arrest more
23 than 5 years ago when he tried to stab his father. The
24 aftermath resulted in one of Christopher's most devastating
25 mental and physical declines while incarcerated.

1 Christopher's most recent wait in the Bexar County jail was
2 8 months. He was scared, confused, and helpless.

3 This is an issue that affects everyone. The prevalence
4 of mental illness suggests that everyone has a story about a
5 friend or a family member who suffers from mental illness.
6 And if you have somehow escaped that reality, certainly we
7 should all be motivated by the financial and societal
8 impacts of our lack of appropriate mental illness treatment
9 and care options. Mental illness is not limited to any
10 particular ethnicity or socioeconomic group.

11 And you have heard today Pete Earley's challenges
12 trying to get help for his own son. This is personal for
13 me, too. My own brother was mentally ill, in and out of
14 mental institutions in the 1960s and 1970s, long before we
15 knew what manic depressive or bipolar disorder was and
16 before we know that lithium could control the chemical
17 imbalances.

18 So jails are not the place for those suffering from
19 mental illness. And until community resources are available
20 to meet the needs of those with mental illness, people like
21 Paul, Kenny, and Christopher will likely return to jail
22 again and again.

23 Thank you so much, Chairman.

24 [The prepared statement of Sheriff Pamerleau follows:]

1 Chairman Grassley. Mr. Ward.

1 STATEMENT OF WILLIAM M. WARD, STATE PUBLIC
2 DEFENDER, STATE OF MINNESOTA BOARD OF PUBLIC
3 DEFENSE, MINNEAPOLIS, MINNESOTA

4 Mr. Ward. Mr. Chair and Committee members, as Senator
5 Franken stated, I am the State public defender for
6 Minnesota. My office represents all clients in 87 counties,
7 which is over 140,000 cases a year.

8 I have been in Minnesota the past 14 years, but I also
9 worked in Cook County in Chicago, Illinois, and in that
10 period of time of over three decades of representing
11 indigent clients, this is not a new issue. This has been
12 around for decades. And I have seen the same cycle over and
13 over.

14 My office, my colleagues, myself--I represent the Pauls
15 and the Kennys and the Mr. Earley's sons in the criminal
16 court system, and I have not seen any change in the entire
17 30 years I have been doing this.

18 What needs to be done is really two-pronged. First, we
19 have to keep folks from coming into the criminal court
20 system in the first place. Folks who suffer from mental
21 illness should not be in the court system if that is the
22 main reason that they are there. And, second, if people are
23 arrested and they have mental health issues, our system
24 needs to provide the appropriate medical and psychiatric
25 care necessary to keep individuals appropriately medicated

1 without interrupting their current care or providing care
2 that they did not already have and should have had in the
3 first place.

4 Like all the other jurisdictions, Minnesota is no
5 different. We have the same overburdened jails, and I will
6 not go through those statistics with you. But what we have
7 looked at and I know the folks in this room have looked at
8 is the understanding and the knowledge that we lack a fully
9 funded infrastructure to deal with these individuals
10 suffering from mental illness. What I am talking about and
11 what our office has talked about is really this continuum of
12 care. We need to look at the access to crisis services,
13 treatment health care, housing, employment, and community
14 support systems, and seeing it as a continuum of care
15 throughout the interception of coming into the criminal
16 court system.

17 When I was a young lawyer, or a "young pup," as we
18 describe that, I remember it like it was yesterday. Family
19 and friends, moms and dad, and siblings would come to court.
20 They would be in the anteroom or in the gallery of the
21 courtroom, and they would be talking to me as a 26- or 27-
22 year-old about how do we get Bob, how do we get Sally some
23 help? And the reason they called the police was they had no
24 alternative because of the mental illness, whether it be
25 schizophrenia, bipolar, whatever the issue might be, there

1 was no place to go. And they did what they thought was
2 necessary. They called the police. There are obviously
3 concerns about that, right? The police are then put into
4 this harm's way of dealing with issues that they are not
5 aware of until they come to the home. But, ultimately, they
6 have two decisions: one, you make the arrest; or, two, you
7 bring them to a hospital. And as we all know, as all of you
8 know, there are not enough beds. There is no place to put
9 these individuals when they have that draconian choice, if
10 you will. So, ultimately, they come into the criminal court
11 system.

12 From the desire to help their family and friends, it
13 then leads to frustration, anger, and resentment, because I
14 cannot do anything for them. I am their lawyer. And I will
15 be honest with you, because there was no alternative, as
16 lawyers--and if people say they did not do this, they are
17 lying to you. As lawyers, you made the incredible ethical
18 decision: Do I have someone examined for mental health
19 issues or competency to get stuck in the abyss of the Cook
20 County jail in Illinois for 120 or more days? Or do I
21 figure out a way of getting the best resolution possible
22 without dealing with the underlying reason they are there in
23 the first place? And I guarantee you, for the last three
24 decades, people are still making those choices, and I also
25 guarantee you if the infrastructure is not there, the choice

1 is not to try and attempt the holistic method of helping
2 people out. We do not have the overall need or, I should
3 say, the resources to deal with what needs to be done.

4 In 2016, my experiences have not changed. It is still
5 the same ethical consideration, and what do we do on behalf
6 of our clients? What happens there then is what we see and
7 what has been described as the same revolving door. The
8 Pauls and the Kennys of the world, they come in, they are
9 released, they come back in again, they are released. We
10 are not dealing with the underlying issues. We are not
11 dealing with the housing issue, the mental health issue.
12 Obviously dealing with the underlying--if there is a dual
13 diagnosis, if there is drug and alcohol treatment issues as
14 well.

15 In 2014, I was asked to represent our agency on a task
16 force that the legislature in Minnesota put together, the
17 Offender with Health Task Force, Mental Health Illness Task
18 Force, and what we did as a group in this bipartisan effort
19 and getting rid of the silos is we looked at the entire
20 system. And I will be honest with you, we could not all
21 come to the same conclusion in all other areas, but as I
22 provided in my written testimony, we did look at mobile
23 mental health crisis response services that are necessary;
24 residential crisis services, that more beds are needed;
25 potentially central receiving centers which are working in

1 Orlando and other areas; CIT training for first responder
2 and law enforcement; the jail and court issues, and dealing
3 with their health deterioration once they are in custody
4 because they are not provided the medical care; and
5 discharge back into the community where we need to follow up
6 if they are provided care.

7 So that is what we have done, and I am not saying
8 Minnesota is the panacea and we are doing a great job in all
9 areas, but we have learned what to do. We have set up three
10 mental health court systems in Minnesota, one of which is in
11 Ramsey County. St. Paul is the largest city. And it is a
12 model court. It is a national court that is also a mental
13 court for other jurisdictions in the United States, because
14 it works. The capacity is as it should be, only 40 people,
15 but it works. And there is a savings, and there are
16 measurements to show the savings and the costs and
17 recidivism. It works.

18 We have another one in St. Louis County, which is
19 Duluth, and we have one that is too large in Hennepin
20 County. But we have three mental health courts and 87
21 counties in Minnesota, and we need more.

22 I am not here to suggest that all 87 counties need it,
23 but I am here to tell you that we need the resources to
24 provide the stability and helping these individuals in the
25 continuation of care that they need and that they deserve.

1 [The prepared statement of Mr. Ward follows:]

1 Chairman Grassley. Mr. Guice.

1 STATEMENT OF W. DAVID GUICE, COMMISSIONER,
2 DIVISION OF ADULT CORRECTION AND JUVENILE JUSTICE,
3 DEPARTMENT OF PUBLIC SAFETY, RALEIGH, NORTH
4 CAROLINA

5 Mr. Guice. Chairman Grassley, Senator Franken, Senator
6 Tillis, and members of the Committee, North Carolina
7 Governor Pat McCrory, Secretary of Department of Public
8 Safety Frank L. Perry, and I are grateful for the
9 opportunity to speak about North Carolina's progressive work
10 with those with mental illness in our State prisons and
11 community supervision. North Carolina is working diligently
12 to adapt its adult correction and juvenile justice system to
13 evolving demands for humane, safe, and effective custody and
14 supervision of offenders who suffer from mental illness in
15 our correctional facilities, youth development centers, and
16 in our communities.

17 As is often the reality in our profession, our work
18 with the mentally ill in North Carolina facilities and in
19 our communities stems from the result of a "learning
20 experience." The learning curve is great, and the amount of
21 time we have to meet this challenge is short, but,
22 fortunately, I am blessed to be able to tell you that I have
23 unwavering interest, resources, and support from a Governor,
24 a secretary, and a legislature as well as a highly
25 dedicated, professional, and passionate staff, all of whom

1 consider this critical need in North Carolina a priority.

2 In North Carolina, we incarcerate an average of 37,000
3 individuals each year, 14 percent of whom are diagnosed with
4 a serious, persistent mental illness. Of the nearly 23,000
5 inmates who are released each year from North Carolina
6 prisons, 3,200--also nearly 14 percent--have been diagnosed
7 with mental illness. There are approximately 105,000
8 offenders on community supervision in North Carolina,
9 approximately 31,000 of whom have been diagnosed with some
10 type of mental illness. Among the youth committed to
11 custody within the North Carolina juvenile justice system in
12 2014, 90 percent in Youth Development Centers had at least
13 one mental health diagnosis.

14 As you can clearly see, our prisons and jails have
15 become de facto mental health hospitals and are straining to
16 accommodate these recent demands. This means the most
17 vulnerable individuals with mental illness who receive the
18 least support are frequently placed in our correctional
19 facilities.

20 Based on the mental health needs in North Carolina
21 within our communities and our prisons, Governor McCrory
22 initiated the first Governor's Task Force on Mental Health
23 and Substance Use in July of 2015. Officials from the
24 public and private sectors serve on this task force which
25 focuses on our public mental health and substance use

1 treatment systems and identifies opportunities to improve
2 the lives of our citizens.

3 In June of 2011, North Carolina enacted comprehensive
4 criminal justice legislation designed to increase public
5 safety while saving taxpayer dollars. Using a data-driven
6 "justice reinvestment" approach, the State received 14
7 months of intensive technical assistance from the Council of
8 State Governments Justice Center, in partnership with the
9 Pew Charitable Trusts and the U.S. Department of Justice's
10 Bureau of Justice Assistance. Under the McCrory
11 administration, North Carolina has successfully implemented
12 the Justice Reinvestment Act by strengthening community
13 supervision, increasing the number of people supervised
14 after release from prison, investing in behavioral health
15 treatment in North Carolina, and saving the taxpayers
16 hundreds of millions of dollars.

17 So what does all this mean for the management and
18 treatment of this population within our correctional system?
19 The needs are great. The challenge is daunting. It takes
20 the collaboration and dedication of all staff across all
21 disciplines for us to succeed in bringing about the changes
22 necessary to address effectively increasing mental health
23 needs.

24 Mentally ill offenders who are identified with serious
25 and persistent mental illness are assigned to institutions

1 where appropriate services can be provided. Treatment
2 options range from outpatient behavioral health services for
3 offenders needing psychiatric medication and support
4 counseling and those who require counseling alone to
5 inpatient hospitalization.

6 Recently, evidence-based programming has been developed
7 for mentally ill offenders assigned to restrictive housing.
8 We received funding this year from Governor McCrory and the
9 State legislature to open Therapeutic Diversion Units.
10 These units will provide intensive out-of-cell treatment as
11 an alternative to restrictive housing. Approximately 9
12 months prior to the offender's release from prison, a
13 probation officer investigates the offender's home plan.
14 The officer continues to follow up periodically with the
15 family to ensure that the plan is stable prior to release.
16 The successful integration of the offender into the
17 community requires this hand-off from one entity to another,
18 with continued collaboration across all components of the
19 system.

20 In an effort to better prepare staff in dealing with
21 situations within prison facilities, we initiated Crisis
22 Intervention Team training in 2014 for all State prison
23 employees. This is a significant initiative but one that
24 will pay dividends by increasing the number of properly
25 trained staff who can assist with critical situations.

1 In order to be successful in addressing the major
2 initiatives listed above, we must focus on how to best reach
3 these goals in an effective and efficient manner. This will
4 require extensive transformative work with staff and cannot
5 successfully be realized or sustained without a thoughtful,
6 multidisciplinary approach. The recognition of
7 communication as the first, and typically the best, most
8 powerful intervention in addressing inmates' needs safely
9 and professionally is paramount in this change process.
10 Additional funding is needed to help bring this
11 transformation, or culture shift, to fruition.

12 Thank you so much for the opportunity to testify today,
13 and I will be glad to answer any questions that you may
14 have.

15 [The prepared statement of Mr. Guice follows:]

1 Chairman Grassley. Well, we have sure had the five of
2 you emphasize what we know is a problem and a tremendous
3 challenge, both outside of our criminal justice system and
4 within the criminal justice system. We have individuals who
5 are not competent to stand trial, incarcerated inmates with
6 unaddressed mental health issues, leaving them a further
7 danger to society if released, and too few beds to house
8 these people who represent a danger to themselves and others
9 but have not committed any crime.

10 So my first question is more or less an opportunity for
11 any or all of you to elaborate on probably some things that
12 you have already discussed. But given the dramatic decrease
13 in inpatient psychiatric beds over many decades, how would
14 you suggest we address mentally ill individuals who are
15 defendants or found not guilty by reason of insanity or
16 convicted felons and incarcerated in a non-medical prison?
17 Jump in, please.

18 Dr. Osher. Let me start, and thank you for the
19 question, Chairman Grassley. We understand that since the
20 1960s the number of psychiatric beds in this country have
21 dropped from a high of around 580,000 to its current state
22 of somewhere around 50,000. We did that, as you had cited
23 earlier, with the belief that they would find within the
24 community the supports, care, and treatment replicated
25 within those institutional settings, but the dollars did not

1 follow them into those settings, leaving them vulnerable to
2 some of the issues that we are discussing today.

3 I think most people would agree that the pendulum has
4 swung too far and the reductions in available hospital beds
5 and that many are promoting a return to some higher level,
6 but I think it is important to realize that with appropriate
7 treatment supports in the community, most individuals with
8 serious mental illnesses do not require institutional care,
9 neither in a jail or prison or within those hospital
10 settings. So we are looking at right-sizing the continuum
11 of care that is available out there, but not overlooking the
12 fact that with real treatment and supports in the community,
13 most individuals can resume healthy lives and be productive
14 members of our communities.

15 Chairman Grassley. Anybody else? Yes, sir, Mr.
16 Earley.

17 Mr. Earley. First of all, if I may, I forgot to
18 introduce my son--he is here--and Cindy Anderson, and I just
19 wanted to clarify that because she literally helped save my
20 son.

21 After my son was arrested, I spent 10 months in the
22 Miami-Dade jail following people through the system to see
23 what really happened to them. I have been to every State
24 except Mississippi and Hawaii. I have been in all of your
25 States talking about this. And the figure that always

1 sticks in my mind is when I was in Miami, the recidivism
2 rate for persons in jails and prisons with mental illness
3 was 85 percent. An 85-percent recidivism rate.

4 When I was at the LAMP Project in Los Angeles, where it
5 takes housing first, which takes a person and puts him into
6 housing and has an Assertive Community Treatment Team that
7 goes to them and helps them get the services they need, it
8 had an 85-percent success rate. And that is what I see. Do
9 you keep people in jail and prisons on this endless cycle,
10 or do you provide them with housing first, an ACT Team,
11 engage them, and actually help them get better? I thought
12 those statistics were mind-blowing.

13 Thank you, sir.

14 Chairman Grassley. Okay. If nobody else--please go
15 ahead.

16 Mr. Guice. Thank you, sir. I was just going to
17 respond that for us in North Carolina, it was the
18 realization that we needed to do something different, that
19 we needed to take a look at our facilities and the missions
20 of each facility. And we needed to look at our diagnostic
21 locations. We have seven diagnostic locations in the State
22 where we receive folks into the front door of the system.
23 And that process at the diagnostic center was extremely
24 important. We needed to assess those people properly, and
25 we needed to use a risk/needs instrument. And when I say

1 "needs," I am talking about criminogenic needs that will
2 measure and evaluate and help us get the person headed in
3 the right direction.

4 It is at that point when we receive those folks that we
5 need to ensure that we are heading them in the right
6 direction in order to actually help them leave the system
7 better off than they actually came. And for those that have
8 a mental illness, it is extremely important that we do that
9 when they come to us as quickly as possible. You can
10 imagine how difficult a prison setting and environment is
11 for just the average person, but think of the one that has
12 been described here today and how they might fit into that
13 system.

14 We also feel that it is extremely important that as we
15 identify, we ensure that we train our staff. The Crisis
16 Intervention Training is extremely important, and then we
17 provide the right type of programming. You cannot just lock
18 someone away that has a mental illness. You have got to
19 provide the level of programming that is appropriately in
20 order, and that is out-of-cell program, so you are talking
21 about a multidisciplinary team that works together to
22 address those issues.

23 Those things are going to be important if we are going
24 to be successful at addressing those needs within the
25 system. It cannot just be as it has been in the past.

1 Chairman Grassley. I have got 26 seconds left, but
2 since my next question is so comprehensive, I will submit it
3 for answer in writing.

4 [The questions of Chairman Grassley follows:]

5 / COMMITTEE INSERT

1 Chairman Grassley. I will turn to Senator Franken.

2 But also I would ask you to take over--and I want to thank
3 all of you for participating--while I go to the Finance
4 Committee to participate in a hearing there. Thank you very
5 much.

6 Senator Franken. Thank you, Mr. Chairman, and also Mr.
7 Chairman.

8 Before I start my questioning, I ask consent that the
9 following statements be included in the record: the
10 statements of Hennepin County Sheriff Richard Stanek, the
11 American Psychological Association, and Oakland County
12 Sheriff Michael Bouchard on behalf of the Major County
13 Sheriffs Association.

14 Senator Cornyn. [Presiding.] So ordered.

15 Senator Franken. Thank you.

16 [The statements follow:]

17 / COMMITTEE INSERT

1 Mr. Ward, you said we do not have the resources to do
2 what needs to be done. You said that in your testimony. I
3 also think we do not have the resources--or let us put it
4 this way: We do not have the resources to not do what needs
5 to be done. What Mr. Earley was talking about is the rate
6 of recidivism for those who get housing.

7 Let me throw this open just on the idea of providing
8 housing and wraparound services and what that does, if
9 anyone knows any statistics on this, in terms of what it
10 saves in money. One of the things that I have seen is that
11 in Hennepin County we get Medicaid dollars to help house
12 people who have mental health issues who meet the judicial
13 system, and it actually saves money in terms of emergency
14 room usage.

15 So does anybody have any data or thoughts on that? Mr.
16 Ward.

17 Mr. Ward. Mr. Chair and members, I do not have any
18 data. I do have thoughts on it. You know, the reality is
19 that, as it stands, we are being pretty blinded as to what
20 we should be doing. You are using Hennepin County as an
21 example, and Minneapolis is not unlike a lot of other large
22 urban areas. When the shelters are open in the morning,
23 people are forced to be removed from the shelters. They
24 have to get out of there by 8 o'clock in the morning, and
25 they have to figure out a way to be someplace in the cold

1 winters of Minneapolis until the shelter reopens in the
2 afternoon.

3 There is tremendous costs to keeping the shelters open,
4 as I have been told, but that cost is now being borne by the
5 criminal justice system or the court system because people
6 are being arrested for various--loitering, vagrancy,
7 whatever the crime might be.

8 The thoughts are that people who are impoverished, you
9 know, they do not have good access--obviously, they do not
10 have access to lawyers, and if they are charged with an
11 offense, my office is appointed. But they need civil legal
12 services as well to help them with these housing issues, to
13 help them with Medicaid issues, Medicare issues, and to get
14 them assigned or get them into the programs that they are
15 eligible for.

16 We are being, you know, penny-wise and pound-foolish as
17 to where we are spending that money. It is being borne by
18 our offices, the criminal court system, and just being
19 shifted as to where it should be. And, frankly, as has been
20 said here, and I will just echo what Mr. Osher says, we know
21 it works. We have the metrics. We know it works, and we
22 need to take the necessary resources to get what works off
23 the ground in more jurisdictions than what you are hearing
24 about in this Committee. And once that is done, there is no
25 doubt in anybody's mind that there will be savings and cost

1 savings over the long run. And, more importantly, I mean,
2 we are talking about human beings, and these individuals
3 would not have that stigma, as you discussed earlier, and
4 would not have the stigma of having a criminal court record,
5 which affects them for the rest of their lives.

6 Senator Franken. Mr. Osher and then Ms. Pamerleau.

7 Dr. Osher. Thank you. To address your question about
8 data that is available, I would cite a study that was
9 conducted several years ago by Dr. Culhane and colleagues at
10 the University of Pennsylvania looking at a New York housing
11 program, and it is a very complicated methodology to
12 establish cost-effective of programs.

13 Senator Franken. Sure.

14 Dr. Osher. But they basically looked at those
15 individuals with mental illness that were homeless, placing
16 them in housing with supports, with a comparison group
17 without, and concluded that all the costs that were
18 associated with that supportive housing model were less than
19 the costs that were associated with the comparison groups
20 cycling in and out of jails, shelters, and emergency rooms.

21 I think that we are spending billions and billions of
22 dollars now without any return on that investment, and that
23 there are ways in which we can be thoughtful about how to
24 use those resources in a more constructive way to get the
25 public safety outcomes and public health outcomes that we

1 all value.

2 Senator Franken. Sheriff Pamerleau?

3 Sheriff Pamerleau. About 10 years ago, the Bexar
4 County jail exceeded its capacity, and county commissioners,
5 a county judge took a look at that and established a variety
6 of specialty courts--DWI courts, drug courts, mental health
7 treatment courts--and I would like to specifically talk
8 about that one.

9 When individuals come before that court, it is a case
10 management approach where they address housing, making sure
11 individuals have the benefits that they are due. It
12 involves the family, and it involves a rigorous program
13 where they have to report to court that they are getting
14 counseling, they are taking the right medications, drug
15 tests to make sure they are taking the right medications.
16 And so it also involves that family, and so it is a
17 multidisciplinary case management approach. And the proof
18 of that is that over the years since that has been
19 established, the recidivism rate is 32 points better than
20 those not involved in those programs. And those are the
21 kinds of successes based on evidence-based programs where
22 these specialty courts work.

23 In addition, we partnered with Haven for Hope where
24 they provide housing for individuals who are homeless, but
25 also have substance abuse issues and mental health issues,

1 because they cannot bond out. So, generally, it is a small,
2 low-level crime that they have committed. They go to court,
3 and then it is time served. And then they are back out on
4 the street, and that cycle starts again. But with programs
5 started while they are in the jail, then released to Haven
6 for Hope where they have housing and other wraparound
7 services, that also is having success in helping those
8 individuals.

9 Senator Franken. Thank you all. Thank you, Mr.
10 Chairman.

11 Senator Cornyn. I have in my hand the written
12 testimony of Ronald Honberg, senior policy advisor of the
13 National Alliance on Mental Illness. It is going to be made
14 part of the record, without objection.

15 [The statement follows:]

16 / COMMITTEE INSERT

1 Senator Cornyn. I want to start with Dr. Osher, and I
2 am sorry that we did not give you the benefit of your M.D.
3 on your name tag there, Doctor.

4 Dr. Osher. No problem. I have been called worse.

5 Senator Cornyn. But I want to ask you about
6 medication, because Mr. Earley mentioned that, and it seems
7 to be one of the biggest problems, when you have somebody
8 under court-ordered supervision--probation--or if you have
9 them in confinement, it is a lot easier to see that people
10 take their medication. But could you just talk about the
11 effectiveness of medication and how that has changed over
12 the years? I remember in Mr. Earley's book he talked about
13 Kevin's experience of not wanting to take it for a variety
14 of reasons, but could you talk about the efficacy of the
15 medication today?

16 Dr. Osher. Yes. Psychotropic medication is one of the
17 best researched areas in our treatment armamentarium, with
18 very good evidence for its impact on the variety of mental
19 illnesses that it targets. We have had over the 40 years an
20 evolution of our options for medications, and they have been
21 improved in both effectiveness and a reduction in the side
22 effects that make them so onerous to be taking. Medications
23 are a critical piece. Paying for medication, that is a
24 difficult situation for many individuals that many not have
25 the insurance for it.

1 But I would really want to heighten what Mr. Earley
2 said, which was a success for his son. It was a
3 collaborative conversation between his son and the treatment
4 providers that allowed to choose among the many medications
5 that are out there, ones that have the fewest side effects
6 with the most benefits. And we really want to engage our
7 consumers in this conversation so that they can identify
8 what works best for them. But there can be no doubt about
9 the effectiveness of medication to reduce symptoms.

10 I would then end with saying they alone are not the
11 solution to this issue, but an important tool in the
12 toolbox.

13 Senator Cornyn. That is a good segue, and I would like
14 to Sheriff Pamerleau to respond to this, but also get Mr.
15 Earley's comments on this. One of the things that Bexar
16 County has used is something called "assisted outpatient
17 treatment," because I think we talked about the choices
18 available to a family--involuntary commitment under some
19 extraordinary circumstances or no treatment--and it seems to
20 me there is a gap there that the assisted outpatient
21 treatment program fills.

22 Would you please describe that and talk about its
23 efficacy?

24 Sheriff Pamerleau. Yes, sir. And that is the mental
25 health treatment court that Judge Oscar Kazen heads up, and

1 it is a multidisciplinary team addressing all aspects of
2 what an individual deals with on a daily basis, but it also
3 involves the family and keeps the individual in their home
4 so that they have the support system that they would have
5 and that they need to move through these situations. You
6 know, everything from--and it happens that either someone is
7 determined to be dangerous to themselves or the community
8 and we pick them up, or it is at the back end of inpatient
9 care. So it continues the treatment that they have already
10 gotten.

11 But when you surround them with housing, family, case
12 management, appropriate medications, treatment, and
13 counseling, that is what has made the difference in that
14 court, and that is the type of approach that we are moving
15 to when someone is identified as they are arrested that has
16 mental health issues so that they can get the treatment up
17 front rather than having to come to jail.

18 Senator Cornyn. As a recovering judge myself, I would
19 say that it seems a little quaint that we are having courts
20 perform these functions, but I guess we need the authority
21 figure, somebody with the ability to back it up with
22 consequences.

23 Sheriff Pamerleau. I think it is like the black robe
24 effect.

25 Senator Cornyn. Okay.

1 Sheriff Pamerleau. But it has worked.

2 Senator Cornyn. Mr. Earley, do you think something
3 like the assisted outpatient treatment is an important part
4 of a continuum of treatment? Or what is your view?

5 Mr. Earley. I think AOT is a tool that is very
6 necessary in some cases. You are really talking about
7 requiring somebody who has a history of going off their
8 medication or a history of violence to take medications.
9 And there is no question that when my son was under court
10 order, he took his medication. When he was not, he was off.

11 On the other side of the spectrum, you will have people
12 who say, wait a minute, if you took time to get to know the
13 person, develop a personal relationship with them, if you
14 provide them with housing, if you provide them with care,
15 you would not have to require them, that they would do this
16 voluntarily. And these two sides often fight.

17 You know, my son had all those things when he got sick.
18 He had a loving family. He had housing. He had all those
19 things, and it did not stop his illness. But when he was
20 forced to take medication, that did not stop it either
21 because he quit as soon as he possibly could.

22 The secret was meeting him where he was and somehow
23 getting him engaged to actually want to get better, and that
24 is the biggest mystery.

25 So I think you need a lot of tools, and when it comes

1 to assisted outpatient treatment, it may be necessary in
2 some cases. Housing may be the solution in some cases. But
3 the real mystery is how do you get that person engaged. And
4 my son told me it was a rationalization, a realization that
5 he was sick. And that is a big burden to overcome. Nobody
6 wants to admit they have a broken mind--and then an
7 incentive to want to get better. That engagement is what I
8 like to focus on, and all these others are tools that may be
9 appropriate for a person at a certain time.

10 Senator Cornyn. Thank you.

11 Senator Blumenthal, I believe you are next.

12 Senator Blumenthal. Thank you, Mr. Chairman. I would
13 yield to Senator Schumer if--

14 Senator Franken. You know his history.

15 [Laughter.]

16 Senator Blumenthal. It has taken me 5 years, but if
17 Senator Schumer wishes to go ahead, I would be happy to
18 yield to him.

19 Senator Schumer. Well, only for two reasons. Yes, A,
20 I have a hearing, but, B, I was here and I checked in. And
21 I know that Senator Cornyn--what is it?--the recuperating
22 judge meant no ill intent or abuse of power in any way.

23 Senator Cornyn. Recovering, not--

24 Senator Blumenthal. Recovering judge, just like we are
25 all recovering lawyers.

1 Senator Schumer. Yes. Well, you could join JA. In
2 any case, I just wanted to say a few words, and I will be
3 brief so that Senator Blumenthal can get his time in.

4 First, I want to agree with all my colleagues that we
5 have a mental health crisis in the country. I agree,
6 intersection of mental illness and our criminal justice
7 system leads to especially devastating, often, as we have
8 heard today, heartbreaking results. And that is why I am
9 very glad that the Senate passed Senator Franken and
10 Cornyn's very important legislation last year. I urge the
11 House to pass it swiftly. The legislation authorizes
12 important programs for mentally ill offenders and improves
13 intervention efforts. But I would like to make two points
14 in regard to legislation on mental health.

15 Dealing with mental health means not simply passing
16 authorizations. For those of you who may not be familiar
17 with the ways here, that is no money. All the things that
18 Sheriff Pamerleau and Mr. Earley talked about--outpatient
19 services and all these things--require real dollars. And
20 sometimes around here, more and more, we go through the
21 dance saying we are doing something with an authorization,
22 not just on this issue--on zika, on opioids, on even
23 fighting terrorism--and we do not put in the real dollars.

24 And so I am hopeful that as we move forward on mental
25 illness we will actually put in real dollars. We have

1 passed a good authorization bill. It is now in the House.
2 But to say we are changing authorizations, raising them, and
3 then not having the real money, again that is something that
4 I hope the majority will not continue to do on these key
5 crisis issues. We have got to not just talk the talk. We
6 have got to walk the walk. And that means money. We cannot
7 escape that issue. And that means making Government
8 stronger, not weaker.

9 The second point I would make is about gun safety. I
10 agree with Senator Franken, as he noted at the outset, that
11 a discussion about mental health is not a substitute for
12 long-needed gun safety legislation. The two work in tandem,
13 not one as a substitute for the other. If we did gun
14 legislation, we would need mental health legislation with
15 real dollars. If we did mental health legislation with real
16 dollars, we would need gun legislation. And I think Senator
17 Cornyn's bill has many good parts. I think he is a fine man
18 and he is really trying to do a lot of good here. But most
19 of them are in Senator Franken's bill, which Senator Cornyn
20 has cosponsored, which has already passed the Senate.

21 But there are a number of provisions in his bill which
22 I have to state here publicly I disagree with and see it
23 differently. In fact, some of the provisions in Senator
24 Cornyn's bill would make it easier, not harder, for mentally
25 ill individuals to access firearms. That is the opposite

1 direction from which we should be moving.

2 So I would like to make clear while there is broad
3 bipartisan consensus for provisions that improve how we
4 treat mental illness, that consensus does not exist for
5 provisions that make it easier for mentally ill individuals
6 to get guns.

7 With that, I will yield back the rest of my time, and I
8 thank Senator Cornyn and Senator Blumenthal for their
9 indulgence.

10 Senator Cornyn. I will recognize Senator Tillis next,
11 but I just want to respond briefly. Nothing in my
12 legislation makes it easier for mentally ill people to get
13 access to firearms. Nothing.

14 Senator Tillis?

15 Senator Tillis. Thank you, Mr. Chair. I want to thank
16 all the witnesses. I have enjoyed hearing your testimony.
17 And, Mr. Earley, I want to thank you for being here,
18 especially thank your son for your service.

19 I think one thing that we need to do is--I am not here
20 to throw partisan elbows today. We have got a serious
21 problem, and we need to fix it. And what we need to do is
22 get out of this discussion about it is just about more
23 money. It is not just about more money. It is about
24 fundamentally changing the way we address this problem and
25 freeing up money that is being spent for the wrong purposes.

1 We saw that in North Carolina with the Justice
2 Reinvestment Act. When you free up hundreds of millions of
3 dollars, you can do good things with that, not the least of
4 which is spending time to focus on the systemic problems
5 that plague all of the States and the Federal justice
6 system. So let us check the elbows, talk about solutions,
7 and, more importantly, listen to you all, because you have
8 done some great things.

9 Sheriff, you guys are doing great stuff in Texas.
10 Commissioner Guice, my friend David Guice, is doing great
11 things in North Carolina. So why don't we just focus on
12 fixing the problem? Why don't we focus on how do we get
13 people diverted from prison to begin with into mental
14 health? Why don't we focus on recognizing that doctors,
15 although they may be doctors, they may not be mental health
16 experts? Evidence the example that Mr. Earley had when he
17 went into the hospital.

18 Why don't we recognize the chronic problem with county
19 hospitals where you have police officers standing outside an
20 emergency room, supervising someone who clearly has a mental
21 health problem, with none of the resources? I have been to
22 county hospitals across the State, and I have seen dozens
23 and dozens of emergency rooms taken up and hospital beds
24 taken up by people who are simply parked there and not
25 getting care.

1 So let us check the partisan elbows, talk about
2 producing solutions, improve what we all want to do--have
3 every single case end up being the case that happened with
4 Mr. Earley. If we do that, then we are making progress.

5 David, or Commissioner--it is going to be hard for me
6 to call you "Commissioner Guice," but I will for the
7 purposes of the Committee. Can you talk a little bit about
8 Justice Reinvestment and specifically as it relates to
9 progress that we are making to people who may have gone into
10 prison, who are coming out, and what kind of interventions
11 are we doing that you think are producing better outcomes?

12 Mr. Guice. Thank you, Senator. I will focus first on
13 Justice Reinvestment. In 2009, the data in North Carolina
14 revealed that 56 percent of the people that came into prison
15 that year came in as a failure from community supervision,
16 and of that number, 76 percent were a technical violation,
17 not someone committing a new crime and not someone
18 absconding supervision, but that group of people were tying
19 up very expensive prison beds.

20 Additionally, in 1994, the State had passed
21 legislation, Structured Sentencing, and since that
22 legislation was in place, it did not offer any supervision
23 to the majority of folks. Over 15,000 felons a year were
24 being released without any supervision at all in North
25 Carolina.

1 Additionally, what we identified was that our very
2 expensive treatment opportunities were actually being
3 provided for the wrong group of people. They were being
4 provided for folks that were a low risk. The dollars were
5 not being targeted.

6 So what Justice Reinvestment actually did was focus
7 those treatment dollars towards the folks that needed the
8 treatment, to ensure also that we put measures in place to
9 evaluate how we were spending the dollars and to ensure that
10 we were getting the people in the seat when they were being-
11 -the treatment was being paid for.

12 Additionally, we focused on providing treatment and the
13 legislation provided the assurance that those felons that
14 were getting no supervision would be getting supervision
15 when they were released from prison. Now, in North Carolina
16 today, everyone, all felons that fall in what we categorize
17 as an A through E felon, the worst of the worst, receive 1
18 year's supervision and all other felons receive 9 months'
19 supervision.

20 Additionally, what we saw with regard to our revocation
21 rate, when we began addressing those underlying issues, we
22 were able to see a 50-percent reduction in our revocation
23 rate. We created something called "Technical Violation
24 Centers" and gave the judges another tool that they could
25 use, rather than putting someone back in prison, could send

1 these technical violators for a 90-day placement in a
2 program that provided treatment and provided programming at
3 the highest level. In fact, we focused that program on
4 cognitive behavior intervention, and that nationally has
5 been something that we have found that has worked and has
6 been very successful.

7 So we were able to do some things with Justice
8 Reinvestment. Number one, we diverted costs of building
9 prisons. Governor McCrory can say today that we are
10 probably one of the few States in the Nation that does not
11 have any building plans in front of them.

12 Senator Tillis. Mr. Chair, I know my time has expired,
13 but literally a cost avoidance of somewhere on the order of
14 about half a billion dollars.

15 Mr. Guice. That is correct.

16 Senator Tillis. And how many prisons have we actually
17 closed?

18 Mr. Guice. Since 2011, we have closed 11 facilities,
19 and we have saved in 4 years \$190 million.

20 Senator Tillis. That is the stuff that happens when
21 people check their partisan leanings at the door, focus on
22 the root cause, and then we can go back and cross swords on
23 a partisan basis.

24 I want to see progress made in my time as Senator. I
25 thank Senator Franken and I thank Senator Cornyn for trying

1 to focus on building on some of the successes that you all
2 have here and the personal experiences, and I appreciate you
3 being here today. Thank you.

4 Senator Cornyn. Thank you, Senator Tillis.

5 The next one on my list, Senator Klobuchar, I believe
6 you are next.

7 Senator Klobuchar. Thank you. Senator Blumenthal, was
8 he--I just want to make sure I did not--

9 Senator Blumenthal. I would be happy to yield to you,
10 Senator.

11 Senator Klobuchar. Okay.

12 Senator Cornyn. You all are so nice to each other,
13 yielding to one another, so thank you.

14 Senator Klobuchar. May it be a lesson for everyone
15 going forward, right?

16 All right. So I want to thank Senator Franken for his
17 work on this issue, and I have always been a strong advocate
18 for ensuring law enforcement has the resources it needs, and
19 the criminal justice system. I always viewed my time as a
20 prosecutor that our job was to be the ministers of justice,
21 and I have testified for public defender resources, Mr.
22 Ward, several times at our State legislature. And I believe
23 that you need that for our community to work, and you need
24 both sides funded.

25 One aspect that I especially appreciate about the

1 Comprehensive Justice and Mental Health Act, which Senators
2 Franken and Cornyn worked hard to get passed through the
3 Senate and I was proud to cosponsor, was the grants for law
4 enforcement to identify and respond to incidences involving
5 people with mental health disorders. When officers interact
6 with people who do not have access to the mental health
7 services they need, it is vital that we at least ensure law
8 enforcement is adequately trained for these interactions.

9 So I guess I would turn to you, Sheriff. In your
10 opinion, how overburdened has law enforcement become under
11 the increased reliance on them to interact with people with
12 mental health disorders?

13 Sheriff Pamerleau. It is like a revolving door,
14 because when we arrest someone on the street for a minor
15 misdemeanor, then they are in jail, they do not get the
16 treatment they need, and they are back on the street. Those
17 are multiple times when we deal with those individuals. But
18 if we can deal with their mental illness and provide
19 community-based treatment for them, that will help solve
20 some of those problems.

21 You know, having been the sheriff now for just over 3
22 years, every mental health provider I have talked with has
23 said the important part of the collaboration that we have
24 requires that law enforcement be actively involved in this,
25 because we are often the first individuals who see

1 individuals with mental illness. And the partnership
2 between mental health providers and law enforcement officers
3 is essential.

4 We team up together, a deputy mobile outreach team,
5 when we get calls either through 911 or from mental health
6 authorities, identifying someone who is in a mental health
7 crisis. Law enforcement officers have the authority to
8 detain that individual and to do certain things; whereas,
9 that mental health provider provides the alternatives for
10 what can happen, what kind of things need to be done. And
11 together we have had tremendous success in addressing the
12 issues of mental health. And as I mentioned before, Crisis
13 Intervention Training for everyone is essential.

14 Senator Klobuchar. Thank you.

15 Mr. Ward, you have done great work, and I wondered if
16 you could give some more--expand on the numerous
17 recommendations that came out of the working group that you
18 were part of, where our State brought people together
19 across--and I remember when I was prosecutor, we had a
20 number of cases obviously involving defendants with mental
21 illness, but there was one in particular that captured the
22 attention of our State, and you probably remember it: a
23 woman that had schizophrenia, she was in her house, the
24 police were called, she had a knife. And they walked in.
25 It was as table knife, and she was shot and killed. And it

1 really generated a lot of thought from our office as well as
2 others on how we can better deal with these cases. So do
3 you want to talk about the work that has been done?

4 Mr. Ward. Mr. Chair, Senators, intersecting with what
5 the sheriff had to say, the work group that we are part of,
6 the beauty of that was honestly to be sitting in a room for
7 many different meetings to get a better understanding as to
8 everybody's function within the system, literally, as the
9 sheriff said, the police and other first responders, but the
10 reality is that we were all the second responders, and the
11 issue became as to how we grappled with the issue and
12 without looking the other way.

13 I cannot echo enough about the Crisis Intervention
14 Training that needs to be done by the police. Clearly, that
15 is a huge cost issue, I understand, for law enforcement
16 because it is not a cheap training. But it needs to be done
17 because--I mean, people who are on the street are in the
18 know. They understand that when the officer comes into
19 contact with that individual suffering from mental illness,
20 if they have the appropriate training, they may be able to
21 talk the individual down without having to effect the
22 arrest. Truly, a lot of what we are talking about is
23 keeping people from entering into the criminal court system,
24 and what we are doing instead is arresting them. And that
25 intervention training is a huge function, a huge component.

1 And the other, aside from the Crisis Intervention Teams
2 that have already been described, is truly having either a
3 facility or the outpatient resources where people can be
4 brought. That is the complaint I hear over and over from
5 the sheriffs and other law enforcement personnel in
6 Minnesota, is they do not know where to bring them. There
7 is no place to bring these individuals. And we all came to
8 that same agreement, that there needs to be--you know, if we
9 want to say have an alternative--and I keep telling the
10 police, "Have an alternative." They are like, "Well, what
11 do I do?" And that is the resources that we all agreed need
12 to be had. You do not have to be in jail to take your
13 medication. You can be on an outpatient basis and take your
14 medication, so long as that continuity of care has been
15 provided for for the individuals.

16 Senator Klobuchar. All right. Thank you very much.
17 Thank you, all of you.

18 Senator Cornyn. Senator Blumenthal.

19 Senator Blumenthal. Thanks, Mr. Chairman, and thank
20 you for your work on this bill, along with Senator Franken.
21 Both of you have really provided leadership, and I am very
22 proud to support the bill and hope that we will move it
23 forward.

24 I do note Senator Schumer's concerns regarding the
25 potential effect of some of the provisions in the bill.

1 Without going into the merits of that issue, I am hopeful
2 that we can resolve those issues so that both sides can be
3 satisfied that this bill, in spirit and intent and in
4 effect, does nothing to diminish protection for the public
5 against gun violence. And I am hopeful that working
6 together we can do that.

7 One of the sayings, Mr. Earley, that I have heard--I
8 think I have heard it from Senator Franken--is that we are
9 only as happy as our least happy child. And I want to say
10 how much I admire you for coming here and sharing your
11 story, which strikes me as one of both profound emotion and
12 hope. And thank you, Kevin, for coming and demonstrating
13 that there can be a good ending to these stories. Often
14 sitting here we hear the stories of loss and grief and, in
15 effect, impossibility of change. But I think your story
16 indicates that there can be good endings if the resources
17 and the law are framed in a way that these needs can be
18 addressed. And I think we need to recognize that fact, so I
19 thank you.

20 As I read your testimony for the first time, I was
21 holding my breath for what the outcome would be, not knowing
22 what was going to happen. And I think your courage and
23 fortitude not only being here but also sort of staying with
24 Kevin emotionally as well as physically is a lesson to all
25 of us as parents. And the law cannot make parents good dads

1 or moms, so we need to recognize the limits of what we can
2 do.

3 But one thought that has occurred to me repeatedly in
4 dealing with the opioid and heroin addiction problem, which
5 can be seen as a reflection of mental health issues, is that
6 the courts actually can play a role. Much to my surprise as
7 a law enforcer--I was a U.S. Attorney, I was a State
8 Attorney General, I have been involved in law. And somewhat
9 to my surprise, recovering addicts have come to roundtables
10 that I have held around the State of Connecticut and said,
11 you know, "I started in an addiction program because I was
12 put on probation, and the court said to me, 'You are going
13 to be in jail if you do not show up for counseling, for the
14 group sessions, and so forth.'"

15 And as I looked at your story, I went back to the first
16 time Kevin was released, and as you said--I do not have it
17 in front of me, but essentially there was no mention of his
18 potential mental illness.

19 If at that point a judge had said, "Kevin, take your
20 pills or you are going to be in jail; seek treatment or we
21 are going to lock you up"--I know you cannot look back, and
22 Kevin is here, and he is not a witness. I know you cannot
23 look back and say with absolute certainty what the outcome
24 would have been. But is that something that might have had
25 a role in causing a change for the better in all the events

1 that followed?

2 Mr. Earley. Thank you first for your very kind words.

3 My son is my hero. Let us not diminish that he is the one

4 who took those steps.

5 Absolutely, you know, we were warned not to mention

6 mental illness because of the stigma and also do not get him

7 sent to a State hospital. And there is no question that if

8 a judge orders someone to take their medication, they will

9 comply. And the trick is trying to reach them during the

10 moments of clarity to get them to do it. But as my

11 testimony also shows, as soon as that probation ended, he

12 stopped taking them.

13 So the question is: Can a judge really force somebody

14 to do that all their life, or do you have to reach them?

15 And one thing not in my testimony is the first time Kevin

16 had a break in New York, he went to see a psychiatrist. He

17 was willing to do that. And the psychiatrist said, "You

18 have a mental illness. It is bipolar. You would be better

19 off if you were a drug addict. You are never going to work.

20 You will probably never marry. You probably will not have a

21 job."

22 You know, my wife just went through cancer treatment,

23 and when we were told, we said, "We are going to beat the

24 odds. We are going to do this." His reaction was the same

25 way. You know, "Forget you, Psychiatrist. You do not know.

1 I do not have a mental illness."

2 So it is how you engage that person, and you can force
3 them, and sometimes that is appropriate and it is necessary.
4 But it does not solve the inner problem of how do you get
5 that person to want to get better. And I do not know the
6 answer to that, and I do not know if some people will ever
7 get that way. I am just grateful he did. Thank you.

8 Senator Blumenthal. And I do not want to imply in any
9 way that mental illness should be treated as a crime or any
10 aspect of mental illness should be regarded as criminal or
11 the basis to lock somebody up. I am just trying to suggest
12 ways to intervene and engage, to use your word.

13 Mr. Earley. Absolutely. And I will tell you one other
14 thing that I would just like to mention. He did not listen
15 to me. He listened to his case manager. And, you know, it
16 is just interesting when you have a different party who is
17 not the parent, and I think that also plays into what you
18 are talking about.

19 Senator Blumenthal. Which I think goes back to Senator
20 Schumer's point and one that has been repeated here about
21 the need for resources and trained professionals like Ms.
22 Anderson--thank you for being here--because those resources
23 can often make the difference. The courts and law
24 enforcement, even if they are well trained, cannot play the
25 role of a caregiver and a counselor and a psychiatrist.

1 Mr. Earley. Thank you, Senator.

2 Senator Blumenthal. Thank you.

3 Thanks, Mr. Chairman.

4 Senator Cornyn. I am going to have to go to the floor
5 of the Senate in just a moment, and I am going to turn the
6 gavel over to Senator Franken to close out the session and
7 ask any questions he wants to ask. But I just want to make
8 one comment about money, because there never seems to be
9 enough of it--at home, at the local level, at the State
10 level, at the Federal level. But one of the reasons why I
11 thought it was so important to introduce the legislation
12 that I did, Mental Health and Safe Communities, is the
13 Federal Government already spends \$2 billion on State and
14 local law enforcement assistance. One percent of that money
15 goes to address the issue that we are focused on today.
16 Certainly we could do a lot better when it comes to
17 reallocating those funds.

18 Indeed, Mr. Guice and others have pointed out that
19 there can be huge savings to Government by not incarcerating
20 people if they can be treated effectively or not putting
21 them in long-term lockup or prison facilities.

22 One of the observations I will just make quickly is
23 that having worked in local government at the county level
24 and at the State level and now at the Federal level, we have
25 got a cost-shifting problem, it seems to me, because money

1 that is saved, let us say in Bexar County, the Federal
2 Government does not save any money when Bexar County saves
3 money. And so we need to figure some way to view this more
4 comprehensively, and obviously, Mr. Ward, as you and others
5 have pointed out, this is not just about money. This is
6 about human beings and lives and human potential, and we
7 need to keep our eye on the ball.

8 But one of the things we are trying to do, at least in
9 this legislation, as modest as it is, is try to redirect
10 more of that \$2 billion to help do exactly what you all are
11 trying to do and provide additional tools to families when
12 it comes to dealing with a loved one who happens to be
13 suffering from a mental illness.

14 Thank you very much to all for being here today and
15 sharing your story. This has been enormously helpful not
16 only to the Members of the Senate but to anybody who happens
17 to be listening and the people who are writing about it and
18 broadcasting about it. I hope it helps raise public
19 awareness, and I hope it puts a lot of pressure on us to
20 actually do some of the things we know we need to do to
21 address this problem. Thank you.

22 Senator Franken. [Presiding.] Thank you, Senator
23 Cornyn.

24 I just want to ask a few more questions. It is
25 interesting. The idea of funding has come up, and I think

1 it is important that we do appropriate money for things that
2 we need in order to save money in the end. That sounds like
3 a contradiction, but I do not think it is. And so if it
4 reallocating money, that is certainly something that we
5 should be looking at. But I think it is clear here that we
6 need a number of things. We need more beds for folks who
7 enter the system and should not be going to prison but
8 should be getting treatment. And some of them are going to
9 need beds. Am I right? Okay. Everybody said yes. So that
10 is money. And we could talk about the savings that you have
11 had in North Carolina. We can talk about the savings in
12 Hennepin County. We can talk about all of that, and that is
13 what we want to do. We want to stop wasting money by
14 continually cycling people in and out of our prison system
15 when what they really have is mental illness.

16 Mr. Earley, I read your book; a friend of mine who lost
17 a son to mental illness gave it to me. And you talked in
18 your testimony about the officer trained in that Crisis
19 Intervention Training and how there is a very different
20 outcome because of that training. Now, some of the
21 testimony today says that costs in order--and that is part
22 of our legislation on the Comprehensive justice and Mental
23 Health Act, is to get more funding for Crisis Intervention
24 Training.

25 Mr. Earley, also in your book--you are a journalist,

1 and you took--part of this was your son's story, but part of
2 it was also the story of mental illness in the criminal
3 justice system. And you talked a pretty harrowing picture
4 of what was going on in Miami in the first part of your
5 book. Can you tell us a little bit about what Miami has
6 done and what it is doing differently and what that might
7 teach us?

8 Mr. Earley. Absolutely. Thank you for reading the
9 book, and thank you for your interest in this area. I spent
10 10 months in Miami, and when I was there, it was a real
11 hellhole. They had absolutely no services, and people were
12 recycling and thrown on the streets. Much like Bexar
13 County, they passed a \$22 million bond issue that helped
14 them create what now is becoming a model system with a
15 Crisis Restoration Center and all of these programs that
16 then enable people to go into treatment.

17 One of the key points--and I am so glad you are talking
18 about funding, Senator. If you look at the Creigh Deeds
19 thing, where he could not get a hospital bed, it pinpoints
20 the problem of no crisis care beds, which is what you are
21 addressing. But if you look beyond that, you would find
22 that there were 80 beds in the Virginia State hospitals that
23 were filled with people ready to go. There was nowhere in
24 the community to send them because there was no housing.

25 And what I discovered in Miami and what I discovered in

1 my visits to all these States is you cannot talk about
2 mental illness without talking about housing. And,
3 unfortunately, we talk about it in the criminal justice
4 sense, and that is important because the criminal justice
5 system is picking up all the pieces. But if you do not
6 bring in the housing component--and through the Corporation
7 of Supportive Housing, which I am a member of, it answers
8 that and that has to be part of it. I mean, Creigh Deeds,
9 that would not have happened to Creigh Deeds if those 80
10 people could have been out in the community and we could
11 have had 80 more coming back in. So Miami has done a
12 similar type thing. They are focusing on housing.

13 Senator Franken. I had a roundtable in Hennepin County
14 just a couple weeks ago on exactly--on housing. On housing.
15 And I brought this up, the use of Medicaid dollars to
16 provide housing and also to provide wraparound services and
17 how we recoup that in the savings that we have in health
18 care.

19 I do want to--I am sorry that Senator Cornyn had to go
20 and I could not have brought this up while he was here. But
21 you have heard expressed the concern about portions of his
22 bill that--I mean, I am sure unintended--might make it
23 easier for guns to get--for people who have had serious
24 mental illness problems to get guns. So I would ask consent
25 that the following statements on the risk posed by the

1 firearms provisions in the Mental Health and Safe
2 Communities Act be included in the record. I am sorry,
3 again, that Senator Cornyn had to leave. It is statements
4 of the Police Foundation, the Major Cities Chiefs
5 Association, members of the Consortium for Risk-Based
6 Firearm Policy, and the executive director of the
7 Educational Fund to Stop Gun Violence, Joshua Horowitz will
8 be entered into the record, without objection.

9 [The statements follow:]

10 / COMMITTEE INSERT

1 Senator Franken. Okay. I guess where I want to leave
2 this is that we need to have some funding for the pieces
3 that we need to put together in order to not just save lives
4 and save of people with mental illness but also improve
5 their lives and improve the lives of their families. But in
6 order to recoup the benefits of that, which will be, you
7 know, a lower incidence of incarceration, people who are
8 told they will not be able to get a job getting jobs and
9 living full lives, that is only to our benefit, and that
10 will only help us save resources and lives. But it is going
11 to need some investments in certain areas, and I think that
12 we are only kidding ourselves if we do not acknowledge that.

13 Does anyone have any comment on that or any final
14 remarks that they would like to make? Yes?

15 Sheriff Pamerleau. Yes, sir. And, again, thank you
16 very much for your work on legislation that addresses this
17 issue. And I think our Nation will be far better off
18 addressing these issues because we are talking about human
19 capital.

20 One of the things we have talked about is community
21 resources. Certainly we all come from large metropolitan
22 areas, and we have resources. But when you look at the
23 State of Wyoming, for example, the 244 counties in Texas
24 that are rural, many times there are not mental health
25 professionals available within 50 miles of where they are.

1 And so we also need to address the availability of
2 mental health professionals and encourage more to seek out
3 professions in that area, because that kind of help is
4 necessary in order for us to treat and counsel individuals
5 that have mental illness and to bring them back to a full
6 life and be contributors to our society.

7 Senator Franken. I am glad you said that. I am co-
8 chair of the Senate Rural Health Caucus, and I have gone
9 around the State doing roundtables on health care. And that
10 is always brought up. It is the case in urban settings,
11 too, that we do not have enough providers, we do not have
12 enough housing. But it is especially acute in rural areas,
13 and we need to be devoting more resources to--the only thing
14 I like about student loans is student loan forgiveness, and
15 I think that if we can focus rewarding people for going into
16 rural areas and mental health and also focus on creating
17 more spots in hospitals for training, postgraduate training,
18 I think that would be very, very helpful.

19 Yes, Mr. Guice?

20 Mr. Guice. Senator Franken, thank you. I just wanted
21 to take a minute. I am very much supportive of providing
22 the resources that are necessary to deal with this on the
23 front end. I think what I have heard today just reaffirms
24 what I believe very much is we need to address this on the
25 front end.

1 But I have to say, from someone that is sitting and
2 managing a system, whether it be 105,000 in the community or
3 37,000 in prison, and those large percentages that have
4 mental health issues, I have to point out that we have a
5 great need to address the programming component, the
6 multidisciplinary team approach that we have to have to be
7 successful, creating the avenues within the system, because
8 we have these folks in our system now. Whether we flip the
9 switch or not, we still have these issues that we have to
10 deal with. And one of the issues that we have not brought
11 up is the fact that that is our turnover rate, retention
12 rate as it relates to our employees, whether they be COs or
13 medical staff, et cetera. And we are having a real struggle
14 getting folks to come when they could go to a community
15 hospital and work in a community environment rather than in
16 a setting like a prison setting. But it is so critical that
17 we establish resources and programs, like you just
18 mentioned, to be able to forgive student loans, et cetera,
19 for those that would commit to participating in this very
20 important area.

21 The last thing I would just focus on is the fact that
22 the transition piece back in the community, that handoff
23 back into the community is so critical, and we have even
24 elevated it to where we not only have the probation officer
25 or parole officer begin working on that release plan 9

1 months before someone is released, for those that have been
2 identified with the mental health flag, we actually have an
3 electronic notice that comes to our staff with all the
4 information that will be provided, such as the meds they
5 take, whether they have a stable home plan, the medical
6 piece that is so critical to be successful in that release
7 back in the community.

8 But, again, it is going to take lower caseloads for
9 probation officers because even in North Carolina, our
10 average caseload today is 60, and we have dropped that under
11 Justice Reinvestment. When I was in the field, we had
12 caseloads of 120. So we have dropped that down. But for a
13 mental health caseload, someone that you are having to spend
14 that needed time to help them get from place to place to
15 place, you are looking at caseloads of 40. So you are
16 talking about some resources that we need to be able to
17 build in so we can be successful and the offender can be
18 successful in that transition back into the community.

19 Thank you for your time today, and I am so supportive
20 of what this Committee is working on.

21 Senator Franken. Well, thank you all. Thank you all
22 for bringing your experience and expertise and your strength
23 and the hope that you bring to us today. I would like to
24 thank you all for your work on this issue and your
25 commitment to finding a solution. My hope is that

1 conversations like the one we had today will focus attention
2 on the problem. I think it gets too little attention. But
3 I think it is beginning to get more attention. I do not
4 think there is any question about that.

5 Before closing the hearing, I should note that the
6 record will remain open for 1 week should there be
7 additional statements submitted. So the gavel is--oh,
8 hidden behind there. We are adjourned.

9 [Whereupon, at 12:09 p.m., the Committee was
10 adjourned.]

C O N T E N T S

STATEMENT OF:	PAGE
Pete Earley, Author, Mental Health Advocate, Fairfax, Virginia	22
Fred C. Osher, M.D., Director of Health Systems and Services Policy, Council of State Governments Justice Center, Johns Island, South Carolina	28
Susan L. Pamerleau, Sheriff, Bexar County, San Antonio, Texas	35
William M. Ward, State Public Defender, State of Minnesota Board of Public Defense, Minneapolis, Minnesota	42
W. David Guice, Commissioner, Division of Adult Correction and Juvenile Justice, Department of Public Safety, Raleigh, North Carolina	48