

UNITED STATES SENATE
COMMITTEE ON THE JUDICIARY

QUESTIONNAIRE FOR JUDICIAL NOMINEES

PUBLIC

1. **Name**: State full name (include any former names used).

Jeffrey Irvine Cummings

2. **Position**: State the position for which you have been nominated.

United States District Judge for the Northern District of Illinois

3. **Address**: List current office address. If city and state of residence differs from your place of employment, please list the city and state where you currently reside.

United States District Court for the Northern District of Illinois
Everett McKinley Dirksen United States Courthouse
219 South Dearborn Street, Suite 2270
Chicago, Illinois 60604

4. **Birthplace**: State year and place of birth.

1962; Fort Wayne, Indiana

5. **Education**: List in reverse chronological order each college, law school, or any other institution of higher education attended and indicate for each the dates of attendance, whether a degree was received, and the date each degree was received.

1984 – 1987, Northwestern University School of Law; J.D. (*cum laude*), 1987

1980 – 1984, Michigan State University; B.A. (with high honors), 1984

6. **Employment Record**: List in reverse chronological order all governmental agencies, business or professional corporations, companies, firms, or other enterprises, partnerships, institutions or organizations, non-profit or otherwise, with which you have been affiliated as an officer, director, partner, proprietor, or employee since graduation from college, whether or not you received payment for your services. Include the name and address of the employer and job title or description.

2019 – present

United States District Court for the Northern District of Illinois
Everett McKinley Dirksen United States Courthouse
219 South Dearborn Street, Suite 2270

Chicago, Illinois 60604
United States Magistrate Judge

2016 – 2019
Chicago Police Board
30 North LaSalle Street, Suite 1220
Chicago, Illinois 60602
Hearing Officer

1995 – 2019
City of Chicago Commission on Human Relations
740 North Sedgwick Street, Third Floor
Chicago, Illinois 60654
Administrative Hearing Officer

1989 – 2019
Miner, Barnhill & Galland
325 North LaSalle Street, Suite 350
Chicago, Illinois 60654
Partner (1995 – 2019)
Associate Attorney (1989 – 1995)

1987 – 1989
United States District Court for the Northern District of Illinois
Everett McKinley Dirksen United States Courthouse
219 South Dearborn Street
Chicago, Illinois 60604
Judicial Law Clerk for the Honorable Ann Claire Williams

1986 – 1987
Northwestern Pritzker School of Law: Center for Urban Affairs Fellowship
357 East Chicago Avenue
Chicago, Illinois 60611
Research Fellow to Professor Leonard Rubinowitz

Summer 1986
Legal Assistance Foundation of Chicago (now, Legal Aid Chicago)
120 South LaSalle Street
Suite 900
Chicago, Illinois 60603
Mansfield Fellow Summer Law Student

Summer 1985
Bell, Boyd & Lloyd (now K&L Gates LLP)
70 West Madison Street, Suite 3200
Chicago, Illinois 60602

Summer Associate

Other affiliations (uncompensated unless otherwise indicated):

2022 – present

Northwestern Pritzker School of Law
357 East Chicago Avenue
Chicago, Illinois 60611
Law Board Member

2022 – present

Northwestern Pritzker School of Law
357 East Chicago Avenue
Chicago, Illinois 60611
Northwestern Council of Judges Member

2019 – present

Federal Bar Association (Chicago Chapter)
P.O. Box 1200
Chicago, Illinois 60690
Member of the Board of Directors

2014 – 2018

Business and Professional People for the Public Interest
25 East Washington Street
Suite 1515
Chicago, Illinois 60602
Member of the Board of Directors

2000 – 2005

Human Development Center
142 East 154th Street
Harvey, Illinois 60426
Vice President (2004)
Member of the Board of Directors

1999 – 2000

American Civil Liberties Union of Illinois
150 North Michigan Avenue
Suite 600
Chicago, Illinois 60601
Member of the Board of Directors

1995 – present

Michigan State University – James Madison College
842 Chestnut Road

East Lansing, Michigan 48825
Board of Visitors, Member

1994 – 2018

Chicago Lawyers' Committee for Civil Rights Under Law, Inc.
100 North LaSalle Street
Chicago, Illinois 60602
Board Chair (1998 – 1999)
Board Co-Chair (1997 – 1998)
Member of the Board of Directors (1994 – 2010)
Alternative Member of the Board of Directors (2010 – 2018)

1989 – 1993

Michigan State University – James Madison College Alumni Association
842 Chestnut Road
East Lansing, Michigan 48825
Board Member

1988 – 1995

Minority Legal Education Resources (a preparation program for Illinois Bar Exam)
P.O. Box 804566
Chicago, Illinois 60680
Member of the Board of Directors
Group Leader

7. **Military Service and Draft Status:** Identify any service in the U.S. Military, including dates of service, branch of service, rank or rate, serial number (if different from social security number) and type of discharge received, and whether you have registered for selective service.

I did not serve in the military. I registered for the selective service upon turning 18.

8. **Honors and Awards:** List any scholarships, fellowships, honorary degrees, academic or professional honors, honorary society memberships, military awards, and any other special recognition for outstanding service or achievement.

Professor Joyce Anne Hughes Legacy Award from the Northwestern Pritzker School of Law's Black Law Students Association (2022)

Northwestern Pritzker School of Law, Dawn Clark Netsch Public Service Award (2021)

Cook County Bar Association Judicial Service Award (2020)

"The National Black Lawyers: Top 100" (2015 – 2018)

Illinois Super Lawyer (2006 – 2018)

Award for Excellence in Pro Bono Service, United States District Court for the Northern District of Illinois and Chicago Chapter of the Federal Bar Association (2016)

Fellow, American Bar Foundation (2016)

“Leading Lawyer” in the Field of Civil Rights and Constitutional Law, *The Leading Lawyers Network* (2015)

James Madison College at Michigan State University, Distinguished Alumnus Award (2006)

Northwestern Pritzker School of Law, Student Public Interest Fellowship Public Service Award (2005)

“Legal Eagle” Award, the Independent Voters of Illinois-Independent Precinct Organization (1997)

Northwestern Pritzker School of Law

Graduated *cum laude* and Order of the Coif (1987)

Dean’s List

John Henry Wigmore Scholarship Recipient (1984 – 1987)

Journal of Criminal Law and Criminology Staff Member (1985 – 1987)

Albert and Anne Mansfield Foundation Fellowship Recipient (1986)

Michigan State University – James Madison College

Graduated with High Honors (1984)

Phi Beta Kappa

Black Student Achievement Award (1984)

Honors College member

Dean’s List

National Achievement Scholarship (1980)

9. **Bar Associations:** List all bar associations or legal or judicial-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices which you have held in such groups.

Chicago Council of Lawyers

Cook County Bar Association

Federal Bar Association – Board of Directors for the Chicago Chapter (2019 – present)

Federal Magistrate Judges Association

Illinois Judicial Council

National Bar Association

10. **Bar and Court Admission:**

- a. List the date(s) you were admitted to the bar of any state and any lapses in membership. Please explain the reason for any lapse in membership.

Illinois, 1987

There have been no lapses in membership.

- b. List all courts in which you have been admitted to practice, including dates of admission and any lapses in membership. Please explain the reason for any lapse in membership. Give the same information for administrative bodies that require special admission to practice.

United States Court of Appeals for the Seventh Circuit, 1991

United States District Court for the Central District of Illinois, 1993

United States District Court for the Northern District of Illinois General Bar, 1987

United States District Court for the Northern District of Illinois Trial Bar, 1993

United States District Court for the Eastern District of Wisconsin, 2007

There have been no lapses in membership.

11. **Memberships:**

- a. List all professional, business, fraternal, scholarly, civic, charitable, or other organizations, other than those listed in response to Questions 9 or 10 to which you belong, or to which you have belonged, since graduation from law school. Provide dates of membership or participation, and indicate any office you held. Include clubs, working groups, advisory or editorial boards, panels, committees, conferences, or publications.

American Civil Liberties Union of Illinois, Member, Board of Directors (1999 – 2000)

American Constitution Society (Chicago Chapter), Member, Advisory Board (2014 – 2018)

Business and Professional People for the Public Interest, Member, Board of Directors (2014 – 2018)

Chicago Community Trust's Young Leaders Forum, Member (1994 – 1997)

Chicago Lawyers' Committee for Civil Rights Under Law, Inc.

Board Chair (1998 – 1999)
Board Co-Chair (1997 – 1998)
Member, Board of Directors (1994 – 2010)
Host, 30th Anniversary Annual Meeting (1999)
Alternative Member, Board of Directors (2010 – 2018)
Co-Host, Annual Benefit (2016)

Human Development Center
Vice President (2004)
Member, Board of Directors (2000 – 2005)

Imerman Angels

Leukemia and Lymphoma Society (Chicago Chapter) (2014 – present)

Michigan State University – James Madison College Alumni Association,
Member, Board of Directors (1989 – 1993)

Michigan State University Beaumont Tower Society, Member (2005 – present)

Michigan State University – James Madison College, Member, Board of Visitors
(1995 – present)

Michigan State University John A. Hannah Society, Member (2015 – present)

Michigan State University – James Madison College, Nelson and Marlene
Cummings Endowed Scholarship, Founder (1997 – present)

Minority Legal Education Resources (a preparation program for the Illinois Bar
Exam), Member, Board of Directors (1988 – 1995), Group Leader (1988 – 1995)

Northwestern Pritzker School of Law, Member, Law Board (2022 – present)

Northwestern Pritzker School of Law, Member, Northwestern Council of Judges
(2022 – present)

Taxpayers Against Fraud, Member (mid-2000s – 2018)

- b. The American Bar Association's Commentary to its Code of Judicial Conduct states that it is inappropriate for a judge to hold membership in any organization that invidiously discriminates on the basis of race, sex, or religion, or national origin. Indicate whether any of these organizations listed in response to 11a above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies. If so, describe any action you have taken to change these policies and practices.

To the best of my knowledge, none of the organizations listed above currently discriminates or formerly discriminated on the basis of race, sex, religion, national origin, ethnicity, or sexual orientation either through formal membership requirements or the practical implementation of membership policies.

12. Published Writings and Public Statements:

- a. List the titles, publishers, and dates of books, articles, reports, letters to the editor, editorial pieces, or other published material you have written or edited, including material published only on the Internet. Supply four (4) copies of all published material to the Committee.

With Jenny Terrell, *Running the Gauntlet: The Perilous Path to the Polls in 2018*, Chapter 9, Civil Rights Litigation and Attorney Fees Annual Handbook (2018). Copy supplied.

Section 2 of the Voting Rights Act of 1965: A Key Tool to Address Unlawful Dilution of Minority Voting Strength, paper presented at the National Bar Association's 16th Annual Wiley A. Branton Issues Symposium, "Voting Rights and Voting Wrongs: An Examination of Disenfranchisement and Attempts to Deteriorate the Voting Rights Act" (Oct. 22, 2004). Copy supplied.

The Administrative Hearing: A Viable Vehicle for the Enforcement of Fair Housing Rights Within the City of Chicago, paper presented at the John Marshall Law School Fair Housing Legal Support Center's Conference, "Enforcing the Fair Housing Act: New Challenges, New Opportunities" (Sept. 10, 2004). Copy supplied.

With Clyde Murphy, *Remind Everyone About Census 2000*, Illinois Association for Multilingual Multicultural Education Newsletter, Dec. 1999. Copy supplied.

With James Douglas, *Personnel Update: An Employer's Duty to Accommodate Mental Illness in the Workplace*, paper presented at the American Law Institute-American Bar Association's "Legal Problems of Museum Administration" Conference (Mar. 27, 1998). Copy supplied.

The Illinois Freedom of Information Act: What It Means For You, article published in the African American Firefighters League of Chicago's Fire Rescue News (Jan. /Feb. 1993). Copy supplied.

I authored other articles for the Fire Rescue News during the mid-1990s regarding various legal issues. The article referenced above is the only one that I have been able to locate.

- b. Supply four (4) copies of any reports, memoranda or policy statements you

prepared or contributed in the preparation of on behalf of any bar association, committee, conference, or organization of which you were or are a member. If you do not have a copy of a report, memorandum or policy statement, give the name and address of the organization that issued it, the date of the document, and a summary of its subject matter.

As a member of the Board of Directors of the Chicago Lawyers' Committee for Civil Rights Under Law, Inc. and/or as a participant in the Committee's projects, my name is listed on the following annual reports. Unless otherwise noted, I did not draft, edit, or participate in any way in the reports' creation, and the Board was not asked to vote on or approve their contents.

The March Continues: 2015 – 2016 Highlights, Chicago Lawyers' Committee for Civil Rights Under Law, Inc. (2016). Copy supplied.

Celebrating 45 Years on the March Toward Justice, Chicago Lawyers' Committee for Civil Rights Under Law, Inc. (2014). Copy supplied.

2012 – 2013 Highlights, Chicago Lawyers' Committee for Civil Rights Under Law, Inc. (2013). Copy supplied.

2004 + 2005 Annual Report, Chicago Lawyers' Committee for Civil Rights Under Law, Inc. (2005). I wrote a personal comment about the value of the Lawyers' Committee. Copy supplied.

1999 Annual Report, Chicago Lawyers' Committee for Civil Rights Under Law, Inc. (1999). Copy supplied.

Chicago Lawyers' Committee for Civil Rights Under Law, Inc. 30th Anniversary Annual Meeting Program (1999). Copy supplied.

1998 Annual Report, Chicago Lawyers' Committee for Civil Rights Under Law, Inc. (1998). I wrote the "Message for the Board Chair and Executive Director" section of the report along with the Lawyers' Committee Executive Director Clyde Murphy. Copy supplied.

1996 Annual Report, Chicago Lawyers' Committee for Civil Rights Under Law, Inc. (1996). Copy supplied.

I have searched for but cannot locate copies of the Lawyers' Committee annual reports for the other years when I was a Board member.

In addition, I was a signatory to an October 29, 2008, report titled: "Torture in Chicago: A supplementary report on the on-going failure of government officials to adequately deal with the scandal." This report was written by the Peoples Law Office, a Chicago law firm that has spent decades litigating civil rights cases

concerning police misconduct. The report was intended to prompt federal, state, and local authorities to further investigate allegations that certain Chicago police officers had engaged in a documented pattern of torture and wrongful prosecution of torture victims. I did not prepare or edit the report. The report and its appendix are supplied.

- c. Supply four (4) copies of any testimony, official statements or other communications relating, in whole or in part, to matters of public policy or legal interpretation, that you have issued or provided or that others presented on your behalf to public bodies or public officials.

I have searched my files, electronic and otherwise, in an effort to locate all materials responsive to this question. I have located the materials listed below, but it is possible that there are a few that I have unable to identify.

August 19, 2022: Letter to Congressman Danny Davis in support of the Daniel Aderl Judicial Security and Protection Act of 2021. Copy supplied.

February 6, 2014: Letter from Chicago's legal community to President Barack Obama. Copy supplied.

June 12, 2013: I testified at the National Commission on Voting Rights' Chicago Hearing on the Historical Importance of the Voting Rights Act of 1965. Notes supplied.

- d. Supply four (4) copies, transcripts or recordings of all speeches or talks delivered by you including commencement speeches, remarks, lectures, panel discussions, conferences, political speeches, and question-and-answer sessions. Include the date and place where they were delivered, and readily available press reports about the speech or talk. If you do not have a copy of the speech or a transcript or recording of your remarks, give the name and address of the group before whom the speech was given, the date of the speech, and a summary of its subject matter. If you did not speak from a prepared text, furnish a copy of any outline or notes from which you spoke.

The following is a list of speaking engagements of which I have a record. To create this list, I searched my personal files, schedules, and the Internet. I have, however, spoken frequently over the years, and did not retain a record of some of these appearances. Thus, despite my best efforts to create a list that is complete as possible, there may be other presentations I have given that I have been unable to specifically identify.

February 2019 – present: Speaker, Naturalization Ceremonies, United States District Court for the Northern District of Illinois, Chicago, Illinois. Between one and three ceremonies each month. I administer the oath to new citizens and deliver remarks. Representative remarks supplied.

October 25, 2022: Guest Lecturer, “Judicial Practicum” course, Northwestern Pritzker School of Law, Chicago, Illinois. I spoke about the settlement conference process in the Northern District of Illinois. I have no notes, transcript, or recording. The address for the Northwestern Pritzker School of Law is 375 East Chicago Avenue, Chicago, Illinois 60611.

October 12, 2022: Panelist, “Diverse Public Interest Attorney Panel,” Northwestern Pritzker School of Law, Chicago, Illinois. Discussion questions supplied.

August 19, 2022: Speaker, Cardston Consulting Inc. Leadership Training Program, Chicago, Illinois. I spoke with a group including federal and state judges from the Nigerian Judiciary about the environment and workings of the United States Judiciary. I have no notes, transcript, or recording. The address for Cardston Consulting Inc. is 11-1155 North Service Road, Oakville, Ontario, L6M 3E3, Canada.

August 2, 2022: Moderator, JumpStart Program: Federal Judges Panel, Illinois Supreme Court Commission on Professionalism and Just the Beginning – A Pipeline Organization, Virtual Event. (virtual). Discussion questions supplied.

July 27, 2022: Speaker, Law Student Extern Speaker Series, Northern District of Illinois (virtual). Discussion questions supplied.

June 11, 2022: Panelist, Road to the Bench and Real-Life Experiences as a Judge, Black Men Lawyers’ Association, Chicago, Illinois. I spoke about my experience as a judge and my journey to the bench. I have no notes, transcript, or recording. The address for the Black Men Lawyers’ Association is 332 South Michigan Avenue, Suite 121, Chicago, Illinois 60604.

March 1, 2022: Guest Lecturer, “Judicial Practicum” course, Northwestern Pritzker School of Law, Chicago, Illinois. I spoke about the settlement conference process in the Northern District of Illinois. I have no notes, transcript, or recording. The address for the Northwestern Pritzker School of Law is 375 East Chicago Avenue, Chicago, Illinois 60611.

February 28, 2022: Speaker, “The History of African-American Voting Rights: Challenges and Opportunities (1776-2022),” United States Attorney’s Office for the Northern District of Illinois (virtual). PowerPoint supplied.

January 11, 2022: Panelist, Annual Employment Law Seminar regarding emerging trends in employment law, Chicago Chapter of the Federal Bar Association (virtual). I spoke regarding emerging issues in employment law. I have no notes, transcript, or recording. The address for the Chicago Chapter of the Federal Bar Association is P.O. Box 1200, Chicago, Illinois 60690.

October 14, 2021: Guest Lecturer, “Judicial Practicum” course, Northwestern Pritzker School of Law. I spoke about the settlement conference process in the Northern District of Illinois. I have no notes, transcript, or recording. The address for the Northwestern Pritzker School of Law is 375 East Chicago Avenue, Chicago, Illinois 60611.

October 1, 2021: Speaker, Value of Judicial Clerkships, Northwestern Pritzker School of Law (virtual). Video available at <https://northwestern.hosted.panopto.com/Panopto/Pages/Viewer.aspx?id=0d9fa818-6dc6-4385-bc1b-adb4011d4ba0>.

September 9, 2021: Presenter, Award for Excellence in Pro Bono Service to attorney Nicole Kopinski, Northern District of Illinois 21st Annual Awards for Excellence in Pro Bono and Public Interest Ceremony, Chicago, Illinois. Speech supplied.

August 11, 2021: Panelist, Just the Beginning – A Pipeline Organization and Chicago Consortium of Law School Diversity Professionals (virtual). I spoke to the incoming law students about law school and my path to the bench, and answered questions. I have no notes, transcript, or recording. The address for Just the Beginning – A Pipeline Organization is 70 West Madison Street, Suite 2900, Chicago, Illinois 60602.

June 10, 2021: Award Recipient, Dawn Clark Netsch Public Service Award Acceptance Speech, Northwestern Pritzker School of Law (virtual). Video available at <https://www.youtube.com/watch?v=Fgp6PecXvtk>.

March 17, 2021: Panelist, “From James Madison College to the Bench: African American Alumni Judges and their Journeys,” James Madison College at Michigan State University (virtual). I spoke to students regarding my experiences as a judge and my journey to the bench. I have no notes, transcript, or recording. The address for James Madison College is 842 Chestnut Road, East Lansing, Michigan 48825.

March 2, 2021: Guest Lecturer, “Judicial Practicum” course, Northwestern Pritzker School of Law (virtual). I spoke about the settlement conference process in the Northern District of Illinois. I have no notes, transcript, or recording. The address for the Northwestern Pritzker School of Law is 375 East Chicago Avenue, Chicago, Illinois 60611.

March 1, 2021: Judge, 58th Annual Julius H. Miner Moot Court Competition Semi-Finals, Northwestern Pritzker School of Law (virtual). I have no notes, transcript, or recording. The address for Northwestern Pritzker School of Law is 375 East Chicago Avenue, Chicago, Illinois, 60611.

February 10, 2021: Panelist, "Road to the Federal Bench," Cook County Bar Association (virtual). I spoke regarding my experiences as a judge and my journey to the bench. I have no notes, transcript, or recording. The address for the Cook County Bar Association is 2925 South Wabash Avenue, Chicago, Illinois 60616.

January 26, 2021: Panelist, "Strategies and Pitfalls: Lessons from the Bench," Chicago Chapter of the Federal Bar Association, Chicago Lawyers' Committee for Civil Rights Under Law, Inc., the Seventh Circuit Bar Association Pro Bono and Public Service Committee, and the United States District Court for the Northern District of Illinois (virtual). I spoke regarding effective settlement advocacy and the Northern District of Illinois' Pro Se Litigants' Settlement Assistance Program. I have no notes, transcript, or recording. The address for the Chicago Chapter of the Federal Bar Association is P.O. Box 1200, Chicago, Illinois 60690.

November 19, 2020: Award Recipient, Judicial Service Award Acceptance Speech, Cook County Bar Association (virtual). Video available at <https://drive.google.com/file/d/18TAFA0BNDkBD5cLUtungNWTvjJNfh50T/view?ts=5fbd7be8>.

October 6, 2020: Guest Lecturer, "Judicial Practicum" course, Northwestern Pritzker School of Law (virtual). I spoke about the settlement conference process in the Northern District of Illinois. I have no notes, transcript, or recording. The address for the Northwestern Pritzker School of Law is 375 East Chicago Avenue, Chicago, Illinois 60611.

September 11, 2020: Speaker, Law Student Externs Speaker Series, Northern District of Illinois (virtual). I spoke regarding the duties of magistrate judges in the Northern District of Illinois and answered the externs' questions. I have no notes, transcript, or recording. The address for the United States District Court for the Northern District of Illinois is 219 South Dearborn Street, Chicago, Illinois 60604.

August 4, 2020: Panelist, Just the Beginning – A Pipeline Organization and Chicago Consortium of Law School Diversity Professionals (virtual). I spoke to the incoming law students to provide them with advice about law school, to discuss my path to the bench, and to answer questions. I have no notes, transcript, or recording. The address for Just the Beginning – A Pipeline Organization is 70 West Madison Street, Suite 2900, Chicago, Illinois 60602.

April 22, 2020: Panelist, "Path to the Bench: Practical Advice from Judges," American Constitution Society (virtual). I spoke regarding the value of judicial clerkships, my experience as a law clerk, and my path to the bench. I have no notes, transcript, or recording. The address for the American Constitution Society is 601 13th Street, Northwest, Suite 610, Washington, DC 20005.

February 27, 2020: Panelist, "Historical Background of the Right to Vote," DePaul University College of Law, Illinois State Bar Association, the Black Women Lawyers' Association of Greater Chicago, the Cook County Bar Association, the Decalogue Society of Lawyers, the Haitian American Lawyers Association, the Illinois Association of Administrative Law Judges, the Jewish Judges Association of Illinois, the National Association of Blind Lawyers, the National Association of Women Judges, and the Seventh Circuit Bar Association, Chicago, Illinois. I spoke regarding the history of Voting Rights Act litigation in the Seventh Circuit. I have no notes, transcript, or recording. The address for DePaul University College of Law is 25 East Jackson Boulevard, Chicago, Illinois 60604.

February 26, 2020: Speaker, "The History of African American Voting Rights: Challenges and Opportunities (1776-2018)," Schiff Hardin LLP and the Chicago Committee on Minorities in Large Law Firms, Chicago, Illinois. PowerPoint supplied.

February 25, 2020: Panelist, Panel for New Magistrate Judges, Chicago Chapter of the Federal Bar Association, Chicago, Illinois. I spoke regarding my experience as a magistrate judge. I have no notes, transcript, or recording. The address for the Chicago Chapter of the Federal Bar Association is P.O. Box 1200, Chicago, Illinois 60690.

January 17, 2020: Tour Speaker, Notre Dame Black Law Students Association, Chicago, Illinois. I discussed the duties and responsibilities of a magistrate judge with a group of Notre Dame law students during their visit to the Dirksen Federal Courthouse. I have no notes, transcript, or recording. The address of Notre Dame Law School is 1000 Eck Hall of Law, Notre Dame, Indiana 46556.

January 7, 2020: Panelist, "Road to the Robe Panel Part II: The Federal Judiciary," Greenberg Traurig Law Firm and the Black Women Lawyers' Association of Greater Chicago, Chicago, Illinois. Notes supplied.

December 12, 2019: Panelist, "Strategies and Pitfalls: Lessons from the Bench," The Chicago Chapter of the Federal Bar Association, the Chicago Lawyers' Committee for Civil Rights, the Seventh Circuit Bar Association Pro Bono and Public Service Committee, and the United States District Court for the Northern District of Illinois (virtual). I spoke regarding effective settlement advocacy and the Northern District's Settlement Assistance Program. I have no notes, transcript, or recording. The address for the Chicago Chapter of the Federal Bar Association is P.O. Box 1200, Chicago, Illinois 60690.

September 21, 2019: Speaker, Michigan State University Professor Curtis Stokes Retirement Celebration, East Lansing, Michigan. I wished Professor Stokes well in his retirement and I let him know how much I appreciated his character,

personality, scholarship, and collaborative work on the Cummings Scholarship. I have no notes, transcript, or recording. The event was held at Michigan State University, 219 South Harrison Road, East Lansing, Michigan 48824.

August 13, 2019: Panelist, Judges Panel, Just the Beginning – A Pipeline Organization and Chicago Consortium of Law School Diversity Professionals, Chicago, Illinois. I spoke to the incoming law students to provide them with advice about law school, to discuss my path to the bench, and to answer questions. I have no notes, transcript, or recording. The address for Just the Beginning – A Pipeline Organization is 70 West Madison Street, Suite 2900, Chicago, Illinois 60602.

July 18, 2019: Panelist, “Northern District Practice for New Lawyers and Lawyers-To-Be,” Chicago Chapter of the Federal Bar Association, Chicago, Illinois. I provided practice tips and advice for new and soon-to-be lawyers. I have no notes, transcript, or recording. The address for the Chicago Chapter of the Federal Bar Association is P.O. Box 1200, Chicago, Illinois 60690.

June 26, 2019: Panelist, “Changing the Landscape: Seminal Public Impact Decisions of the Northern District of Illinois,” Northern District of Illinois Historical Association, Seventh Circuit Bar Association, and the United States District Court for the Northern District of Illinois, Chicago, Illinois. Notes supplied.

June 18, 2019: Speaker, Student Visit, 3 Seeds Mentoring Group Chicago, Illinois. I gave comments to a group of students from 3 Seeds Mentoring Group, an organization dedicated to promoting opportunities for disadvantaged youth, regarding my path to the bench and work as a magistrate judge. I have no notes, transcript, or recording. The address for the 3 Seeds Mentoring Group is 5320 West 159th Street, Oak Forest, Illinois 60452.

May 3, 2019: Inductee, Magistrate Judge Induction Ceremony, United States District Court for the Northern District of Illinois, Chicago, Illinois. Notes supplied.

June 13, 2018: Panelist, “Updates and Evaluations of the Mandatory Initial Discovery Pilot Program,” Chicago Chapter of the Federal Bar Association and the Seventh Circuit Pilot Program on Electronic Discovery. I provided comments on the impact the Program had from the perspective of a plaintiff’s side attorneys. I have no notes, transcript, or recording. The address for the Chicago Chapter of the Federal Bar Association is P.O. Box 1200, Chicago, Illinois 60690.

November 17, 2017: Speaker, Retirement from Bench Open House and Program for the Honorable Ann Claire Williams. Remarks supplied.

November 4, 2017: Panelist, “Why Voting Is Important in the 21st Century,”

Cook County Bar Association, Cook County Clerk's Office, the Lambda Tau Omega Chapter of the Alpha Kappa Alpha Sorority, and Sauk Village, Illinois, Sauk Village, Illinois. I spoke regarding the importance of voting in both local which the Voting Rights Act of 1965 impacts current elections. I have no notes, transcript, or recording. The address for the Cook County Bar Association is 2925 South Wabash Avenue, Chicago, Illinois 60616.

Fall 2017 (specific date unknown): Participant, Career Night, Northwestern University Pritzker School of Law, Chicago, Illinois. I answered law students' questions and told them about the nature of my practice. I have no notes, transcript, or recording. The address for the Northwestern Pritzker School of Law is 375 East Chicago Avenue, Chicago, Illinois 60611

Fall 2017 (specific date unknown): I served as a volunteer participant in a mock negotiation with a Northwestern law student who was enrolled in the school's Negotiations class. I have no notes, transcript, or recording. The address for the Northwestern Pritzker School of Law is 375 East Chicago Avenue, Chicago, Illinois 60611.

September 25, 2017: Panelist, "The History of the Voting Rights Act in the Seventh Circuit: A Historical Discussion of the Voting Rights Act, with a Focus on Litigation in the Seventh Circuit Court of Appeals from the Early 1980s through 2013," Seventh Circuit Bar Association Diversity and Inclusion Committee, Chicago, Illinois. Notes supplied.

November 7, 2016: Lecturer, "The Continuing Significance of the Voting Rights Act of 1965: A Case Study (North Carolina)," James Madison College at Michigan State University, MC 201: Introduction to the Study of Public Affairs course. PowerPoint supplied.

Fall 2016 (specific date unknown): Participant, Career Night, Northwestern University Pritzker School of Law, Chicago, Illinois. I answered law students' questions and told them about the nature of my practice. I have no notes, transcript, or recording. The address for the Northwestern Pritzker School of Law is 375 East Chicago Avenue, Chicago, Illinois 60611.

October 20, 2016: Presenter, Introduction of "Power of Voice" 2016 Leadership for Justice Award Recipient, Police Board President Lori Lightfoot and Introduction of Pro Bono Young Lawyer of the Year Award Recipient, Adam Diederich, Chicago Lawyers Committee for Civil Rights, Chicago, Illinois. Notes supplied.

October 15, 2016: Panelist, "Police Accountability: Has Judicial Deference Gone Too Far?", American Constitution Society, Chicago, Illinois. I spoke regarding police accountability and the judicial system. I have no notes, transcript, or recording. The address for the American Constitution Society is 601 13th Street,

Northwest, Suite 610, Washington, DC 20005.

June 18, 2016: Speaker, Multiple Myeloma Educational Program, the Leukemia & Lymphoma Society, Chicago, Illinois. The speech was on my experience as a leukemia survivor cured through a bone marrow transplant. I have no notes, transcript, or recording. The address for The Leukemia & Lymphoma Society is 954 West Washington Boulevard, #305, Chicago, Illinois 60607.

June 1, 2016: Award Recipient, Pro Bono Award Acceptance Speech, Demos, New York, New York. The speech was given at Demos' "Transforming America Awards and Gala" where I accepted an award on behalf of my firm, Miner, Barnhill & Galland, P.C., for the firm's pro bono work. I have no notes, transcript, or recording. The address for Demos is 80 Broad Street, 4th Floor, New York, New York 10004.

May 11, 2016: Presenter, Introduction of Vince Warren, the Executive Director of the Center for Constitutional Rights and Keynote Speaker, Business and Professional People for the Public Interest Annual Dinner, Chicago, Illinois. Outline supplied.

November 3, 2015: Panelist, "Amendments to the Federal Rules of Civil Procedure: Perspectives from the Bench," Chicago Chapter of the Federal Bar Association, Chicago, Illinois. Notes supplied.

Fall 2015 (specific date unknown): Participant, Career Night, Northwestern University Pritzker School of Law, Chicago, Illinois. I answered law students' questions and told them about the nature of my practice. I have no notes, transcript, or recording. The address for the Northwestern Pritzker School of Law is 375 East Chicago Avenue, Chicago, Illinois 60611

June 19, 2015: Panelist, "Contemporary Voting Issues That Impact Racial Justice," Office of the Illinois Attorney General, Chicago, Illinois. PowerPoint supplied.

May 28, 2015: Speaker, Circle of Champions Dinner, Illinois Chapter of the Leukemia & Lymphoma Society, Chicago, Illinois. The speech – given during the Society's annual event for donors – was on the importance of the Society's research from the perspective of a leukemia survivor cured through a bone marrow transplant. I have no notes, transcript, or recording. The address for The Leukemia & Lymphoma Society is 954 West Washington Boulevard, #305, Chicago, Illinois 60607.

Fall 2014 (specific date unknown): Participant, Career Night, Northwestern University Pritzker School of Law, Chicago, Illinois. I answered law students' questions and told them about the nature of my practice. I have no notes, transcript, or recording. The address for the Northwestern Pritzker School of Law

is 375 East Chicago Avenue, Chicago, Illinois 60611

March 4, 2014: Panelist, "Contemporary Voting Issues That Impact Racial Justice," Chicago Bar Foundation, Chicago, Illinois. PowerPoint supplied.

April 25, 2013: Panelist, American Bar Association Litigation Section Annual Conference, American Bar Association, Chicago, Illinois. I spoke regarding appellate issues and practice tips for the United States Court of Appeals for the Seventh Circuit. I have no notes, transcript, or recording. The Chicago address for the American Bar Association is 321 North Clark Street, Chicago, Illinois 60654.

Fall 2012 (specific date unknown): Participant, Career Night, Northwestern University Pritzker School of Law, Chicago, Illinois. I answered law students' questions and told them about the nature of my practice. I have no notes, transcript, or recording. The address for the Northwestern Pritzker School of Law is 375 East Chicago Avenue, Chicago, Illinois 60611

Fall 2011 (specific date unknown): Participant, Career Night, Northwestern University Pritzker School of Law, Chicago, Illinois. I answered law students' questions and told them about the nature of my practice. I have no notes, transcript, or recording. The address for the Northwestern Pritzker School of Law is 375 East Chicago Avenue, Chicago, Illinois 60611

February 2011 (specific date unknown): Panelist, Panel Discussion on "The Immortal Life of Henrietta Lacks," unnamed Chicago book club and the Metropolitan Apostolic Community Church of Christ, Chicago, Illinois. I spoke regarding Henrietta Lacks and the legal and ethical issues that arose from the medical research performed on her cells without the consent of Ms. Lacks or her family. I have no notes, transcript, or recording. The address for the Metropolitan Apostolic Community Church is 4100 South Martin Luther King Drive, Chicago, Illinois 60653.

November 16, 2010: Participant, Career Night, Northwestern University Pritzker School of Law, Chicago, Illinois. I answered law students' questions and told them about the nature of my practice. I have no notes, transcript, or recording. The address for the Northwestern Pritzker School of Law is 375 East Chicago Avenue, Chicago, Illinois 60611

June 27, 2009: Panelist, Panel Discussion on the United States Supreme Court, Cook County Bar Association and the Rainbow PUSH Coalition, Chicago, Illinois. The panel was on recent Supreme Court cases that had implications for civil and political rights. I have no notes, transcript, or recording. The address for the Cook County Bar Association is 2925 South Wabash Avenue, Chicago, Illinois, 60616, and the address for the Rainbow PUSH Coalition is 930 East 50th Street, Chicago, Illinois 60615.

April 29, 2009: Speaker, Speech on President Obama and His First One Hundred Days in Office, Rotary Club, Madison, Wisconsin. Notes and press coverage supplied.

November 2008: Guest Lecturer, "The Voting Rights Act of 1965: Unleashing the Power of The Ballot" Class, Northwestern University, Evanston, Illinois. Notes supplied.

September 27, 2006: Panelist, "Lawyer as a Problem Solver" Panel Discussion, Northwestern Pritzker School of Law, Chicago, Illinois. I spoke regarding exercising appropriate judgment while practicing law and ethical issues that arise in litigation. I have no notes, transcript, or recording. The address for the Northwestern Pritzker School of Law is 375 East Chicago Avenue, Chicago, Illinois 60611.

Summer 2006 (specific date unknown): Panelist, "Judicial Independence," Just the Beginning – A Pipeline Organization, Chicago, Illinois. The panel focused on the judicial system and the audience consisted of high school students participating in Just the Beginning's Summer Legal Institute. I have no notes, transcript, or recording. The address for Just the Beginning – A Pipeline Organization is 70 West Madison Street, Suite 2900, Chicago, Illinois, 60602.

April 14, 2005: Award Recipient, Student Public Interest Fellowship Public Service Award Acceptance Speech, Northwestern Pritzker School of Law, Chicago, Illinois. Notes supplied.

October 28, 2004: Panelist, "Lawyer as a Problem Solver" Panel Discussion, Northwestern Pritzker School of Law, Chicago, Illinois. I spoke regarding ethical issues and judgment challenges that arise during the practice of law. I have no notes, transcript, or recording. The address for the Northwestern Pritzker School of Law is 375 East Chicago Avenue, Chicago, Illinois 60611.

October 22, 2004: Panelist, "Voting Rights and Voting Wrongs: An Examination of Disenfranchisement and Attempts to Deteriorate the Voting Rights Act," Chicago, Illinois. The panel was part of the National Bar Association's 16th Annual Wiley A. Branton Issues Symposium. The companion paper that I prepared for the audience, and that formed the basis of my remarks, is supplied in response to Q12a.

September 10, 2004: Panelist, "The Administrative Hearing: Is it Moribund? If So, Can It Be Resurrected?", The Fair Housing Legal Support Center and Clinic at University of Illinois Chicago Law School (then John Marshall Law School), Chicago, Illinois. Companion paper supplied in response to Q12a.

December 5, 2003: Guest Lecturer, Civil Rights Litigation Class, Notre Dame

Law School, Notre Dame, Indiana. My lecture was on the challenges and rewards of practicing civil rights law. I have no notes, transcript, or recording. The address for Notre Dame Law School is 1100 Eck Hall of Law, Notre Dame, Indiana 46556.

July 9, 2003: Panelist, Panel Discussion on Public Interest Law Careers, Public Interest Law Initiative, Chicago, Illinois. The panel was on my experience in civil rights litigation. I have no notes, transcript, or recording. The address for the Public Interest Law Initiative is 105 West Madison Street, Suite 610, Chicago, Illinois 60602.

February 5, 2002: Panelist, "Taking the Road Less Traveled: Alternative Legal Careers," the Black Law Student Association of Northwestern Pritzker School of Law, Chicago, Illinois. The panel was on my experience as an attorney from a small law firm who litigates a variety of cases, including those concerning civil rights and employment discrimination. I have no notes, transcript, or recording. The address for Northwestern Pritzker School of Law is 375 East Chicago Avenue, Chicago, Illinois, 60611.

February 2002 (specific date unknown): Panelist, "Strategies for Excellence: Law as a Profession," Chicago-Kent College of Law, Chicago, Illinois. Outline supplied.

February 2000 (specific date unknown): Panelist, "Strategies for Excellence: Law as a Profession," Chicago-Kent College of Law, Chicago, Illinois. Outline supplied.

July 22, 1999: Host, Chicago Lawyers' Committee for Civil Rights Under Law, Inc. 30th Anniversary Annual Meeting Program. Comments supplied.

February 1999 (specific date unknown): Panelist, "Strategies for Excellence: Law as a Profession," Chicago-Kent College of Law, Chicago, Illinois. The presentation would have been substantially similar to the ones in February 2000 and February 2002, for which outlines are supplied.

Late 1998 or early 1999 (specific date unknown): Panelist, Career Day type program, University of Chicago Law School, Chicago, Illinois. I spoke regarding my experiences in the legal profession. I have no notes, transcript, or recording. The address for University of Chicago Law School is 1111 East 60th Street, Chicago, Illinois 60637.

March 27, 1998: Panelist, "Personnel Update: An Employer's Duty to Accommodate Mental Illness In The Workplace," the American Bar Association and the American Law Institute, Chicago, Illinois. Companion paper supplied in response to Q12a.

June 13, 1992: Commencement Speaker, Graduation Ceremony, James Madison College at Michigan State University, East Lansing, Michigan. Video available at https://drive.google.com/file/d/12Jja3gj0V4WNtFw1Pr8dyfD7_Kgx1Zn-/view.

Additionally, I gave a presentation regarding voting rights to an Asian-American community group at Loyola University in Chicago, Illinois; I spoke to students at Holy Trinity High School in Chicago about my career in the mid-1990s on one or two occasions; and I have spoken to students at Northwestern Pritzker School of Law on several occasions. Other than the events listed above, I am unable to find or recall additional details.

- e. List all interviews you have given to newspapers, magazines or other publications, or radio or television stations, providing the dates of these interviews and four (4) copies of the clips or transcripts of these interviews where they are available to you.

Samara Kalk Derby, *'Dear Mrs. Cummings,' who Brought Early Dialogue on Race to Madison, Dies at 84*, WIS. STATE JOURNAL, June 5, 2020. Copy supplied.

August 2019: Interview with the Chicago Lawyers Committee for Civil Rights Under Law, Inc. in connection with the Lawyers Committee's 50th Anniversary Gala. Copy supplied.

Sarah Mansur, *Cummings Joins Federal Bench*, CHI. DAILY L. BULL., May 6, 2019. Copy supplied.

Patricia Manson, *Harjani, Cummings Set to Join U.S. Trial Bench*, CHI. DAILY L. BULL., Oct. 2, 2018. Copy supplied.

Diana Novak Jones, *Ill. Prosecutor, Miner Barnhill Atty Chosen as Magistrates*, LAW 360, Sept. 28, 2018. Copy supplied.

Jordana Rosenfeld, *In the Legal System, Talking White is a Precursor to Justice – and That's Wrong*, THE NATION, Jan. 29, 2018. Copy supplied.

David Thomas, *Pharma Records Collected by City Not FOIA-Exempt*, THE CHI. DAILY L. BULL., Apr. 5, 2017. Copy supplied.

Makin Waves with Darci (radio broadcast Apr. 7, 2016). Audio available at <https://www.spreaker.com/user/intellectualradio/makin>.

Monica Ginsburg, *Where Top Execs Go for Steak*, CRAIN'S CHI. BUS., Oct. 22, 2015. Copy supplied.

Katie Ripple, *The Cummings Men Recall Their QP (Queen of Peace) Years*, Our Lady Queen of Peace Newsletter (Madison, Wisconsin), May 2015. Copy supplied.

Patricia Manson, *7th Circuit Resurrects Bias Suit*, CHI. DAILY L. BULL., Nov. 18, 2014. Copy supplied.

Chris Rickert, *Admitting Failure May Be Best for Kids*, THE CAPITAL TIMES, Nov. 28, 2010. Copy supplied.

Interview with Emmanuel Leconte (July 21, 2010). Video available at <https://drive.google.com/file/d/1-CJJiGMcQAHQhiGkiSwVcoUIGpU1cX1/view?usp=sharing>.

Dave Zweifel, *Plain Talk: 'America's Faith in Obama is Going to be Justified,'* THE CAPITAL TIMES, Jan. 19, 2009. Copy supplied.

Olivia Clarke, *Cut from the Same Cloth*, CHI. LAW., Jan. 1, 2009. Copy supplied.

Early 2009 (specific date unknown), Mediapart France. Video available at <https://www.dailymotion.com/video/xbwam9>.

GUILLAUME SERINA, BARACK OBAMA: THE NEW AMERICAN DREAM (Publisher l'Archipel, 1st ed. 2008). Excerpts supplied.

Patricia Manson, *Filing fees increase is 'necessary,'* CHI. DAILY L. BULL., Mar. 21, 2006. Copy supplied.

Jodi Wilgoren, *Judge Tries to Regain Balance in a Family Shaken by Killings*, N.Y. TIMES, Mar. 10, 2005. Copy supplied.

Matt O'Connor, *EEOC Suit Accuses Maytag of Age Bias*, CHI. TRIB., July 16, 2004. Copy supplied.

Jessica Gdowski & Chris Kirkham, *Analysis: Redistricting Proposals Unite Minorities, Students*, DAILY NW., Oct. 22, 2003. Copy supplied.

Curtis Lawrence, *Bronzeville's Met Spared Demolition*, CHI. SUN TIMES, June 19, 2003. Copy supplied.

Mitch Martin, *Man Pleads Guilty to Battery in Sex Case*, CHI. TRIB., Mar. 12, 2003. Copy supplied.

Celeste Garrett, *Contract to Buy Old Organ Sours Deal to Save Church*, CHI. TRIB., Mar. 6, 2003. Copy supplied.

Michigan State University, *Cummings Endowed Scholarship Honors Parents*, Developments Newsletter (East Lansing, Michigan), Summer 2002. Copy supplied.

John Flynn Rooney, *Court Allows Insurers Access to Treatment Records*, CHI. DAILY L. BULL., Dec. 20, 2001. Copy supplied.

ABC-7 News (WLS-TV television broadcast, Spring 2001). Video available at
https://drive.google.com/file/d/12Jja3gj0V4WNtFw1Pr8dyfD7_Kgx1Zn-/view.

ABC-7 News (WLS-TV television broadcast, Aug. 11, 1998). Video available at
https://drive.google.com/file/d/12Jja3gj0V4WNtFw1Pr8dyfD7_Kgx1Zn-/view.

Alex Devine, *Battle Lines Drawn Over Ward Remapping*, MEDILL CHI. NEWS SERV., Aug. 4, 1998. Copy supplied.

The "Esta Semana" Show (WFLD-TV television broadcast Mar. 1, 1998). Video available at
https://drive.google.com/file/d/12Jja3gj0V4WNtFw1Pr8dyfD7_Kgx1Zn-/view.

Chicagoland Television ("CLTV" television broadcast late Sept. or early Oct. 1995). Video available at
https://drive.google.com/file/d/12Jja3gj0V4WNtFw1Pr8dyfD7_Kgx1Zn-/view.

Robert O'Neill, *Ward Remap Battle: Blacks, Latinos Left Out of Power Lunch*, CHI. REP., May 1995. Copy supplied.

Chicagoland Television Evening Edition ("CLTV" television broadcast Apr. 5, 1995). Video available at
https://drive.google.com/file/d/12Jja3gj0V4WNtFw1Pr8dyfD7_Kgx1Zn-/view.

Channel 9 News (WGN-TV Chicago television broadcast on or about Apr. 5, 1995). Video available at
https://drive.google.com/file/d/12Jja3gj0V4WNtFw1Pr8dyfD7_Kgx1Zn-/view.

Jury Agrees Excessive Force Led to Death, CHI. TRIB., Oct. 30, 1993. Copy supplied.

Jury Rules Against Police in Shooting, DAILY HERALD, Oct. 29, 1993.
Copy supplied.

Additionally, following the election of President Barack Obama, I was interviewed by journalists from France, Italy, and Japan. I understand that my comments were played on the radio in those countries, but I do not have recordings or transcripts of these interviews, which took place between 2008 and 2010.

13. **Judicial Office:** State (chronologically) any judicial offices you have held, including positions as an administrative law judge, whether such position was elected or appointed, and a description of the jurisdiction of each such court.

Since February 1, 2019, I have served as a United States magistrate judge for the Northern District of Illinois. I was appointed after being screened by a merit selection committee and selected by the Northern District of Illinois district judges. As a magistrate judge, I have a wide range of duties in criminal and civil cases. I have presided over one criminal jury trial and two civil jury trials. I preside over initial appearances, arraignments, and detention hearings in criminal cases. I am responsible for the review and authorization of search warrants and arrest warrants while on criminal duty. I preside over all phases of civil cases where the parties have consented to my jurisdiction. Finally, I preside over other civil cases that are referred for resolution of specific motions, discovery supervision, and settlement conferences.

From 1995 until early 2019, I served as an Administrative Hearing Officer for the City of Chicago's Commission on Human Relations. I was selected by the Commission's leadership after submitting an application and going through an interview process. The Commission is responsible for enforcing the City of Chicago's Human Rights and Fair Housing Ordinances. As an Administrative Hearing Officer, I was responsible for scheduling proceedings, supervising discovery, deciding discovery-related motions and motions to dismiss, and presiding over contested hearings in cases concerning alleged discrimination in employment, public accommodations, and housing. I was also responsible for preparing findings of fact and conclusions of law for cases that were tried and deciding petitions for attorney's fees. I presided over approximately eighteen contested administrative hearings.

From 2016 until early 2019, I served as a Hearing Officer for the Chicago Police Board. I was selected by the Police Board members after submitting an application and going through an interview process. I was responsible for conducting contested disciplinary hearings and preparing written decisions in cases involving allegations of serious misconduct by Chicago police officers that could result in suspensions of thirty days or more or the termination of an officer's employment. I drafted the rulings at the direction of the Police Board after the board members voted on the charges brought against the respondent officers. I was also responsible for scheduling proceedings, supervising discovery, deciding discovery-related motions, and presiding over pre-hearing

conferences. I presided over contested disciplinary hearings for at least eight cases.

- a. Approximately how many cases have you presided over that have gone to verdict or judgment?

As a magistrate judge, I have presided over three jury trials that resulted in verdicts.

- i. Of these cases, approximately what percent were:

jury trials:	100%
bench trials:	0%

- ii. Of these cases, approximately what percent were:

civil proceedings:	67%
criminal proceedings:	33%

- b. Provide citations for all opinions you have written, including concurrences and dissents.

See attached list of citations.

- c. For each of the 10 most significant cases over which you presided, provide: (1) a capsule summary of the nature of the case; (2) the outcome of the case; (3) the name and contact information for counsel who had a significant role in the trial of the case; and (4) the citation of the case (if reported) or the docket number and a copy of the opinion or judgment (if not reported).

1. *Sonrat Sys., LLC v. Romano*, No. 16-cv-3371, 2019 WL 11704216 (N.D. Ill. Apr. 25, 2019); 2020 WL 3960441 (N.D. Ill. July 13, 2020); 2020 WL 7027567 (N.D. Ill. Nov. 30, 2020); 2021 WL 1418405 (N.D. Ill. Jan. 20, 2021), report and recommendation adopted, 2021 WL 1418403 (N.D. Ill. Mar. 18, 2021); 2021 WL 1088314 (N.D. Ill. Mar. 22, 2021); 2022 WL 4551893 (N.D. Ill. Sept. 29, 2022); and 2022 WL 4646323 (N.D. Ill. Sept. 30, 2022).

Plaintiff alleges that defendants (two corporate and one individual) usurped its customers and developed and launched a product with technology first developed by plaintiff in violation of Section 43(a) of the Lanham Act and the Illinois Uniform Deceptive Practices Act. Plaintiff further alleges that defendants' actions constituted tortious interference with contract and prospective economic advantage, breach of contract, breach of fiduciary duty, tortious inducement of breach of fiduciary duty, and unjust enrichment. The case was referred to me for discovery supervision and I have since issued seven memorandum opinions and orders concerning the scope of fact and expert discovery and the manner in which discovery should proceed. Expert discovery in the case is ongoing.

Counsel for plaintiff:
J. Scott Humphrey
Katie Burnett
Samantha Roth
Benesch, Friedlander, Copland & Aronoff LLP
71 South Wacker Drive, Suite 1600
Chicago, IL 60606
(312) 212-4949

Edward Joyce
Edward T. Joyce & Associates P.C.
135 South LaSalle Street, Suite 2200
Chicago, IL 60603
(312) 641-2600

Joan Mannix
Joan M. Mannix, Ltd.
135 South LaSalle Street, Suite 2200
Chicago, IL 60603
(312) 521-5845

Counsel for defendant Heil Co.:
James Thompson
Lynch Thompson, LLP
150 South Wacker Drive, Suite 2600
Chicago, IL 60606
(312) 346-1600

Counsel for defendant Geotab, Inc.:
Michael Albert
Jason Balich
Wolf Greenfield & Sacks PC
600 Atlantic Avenue
Boston, MA 02110
(617) 646-8000.

Counsel for defendant Romano:
Mark Christensen
Branden Allen Gregory
Christensen Hsu Sipes LLP
224 South Michigan Avenue, Suite 1300
Chicago, IL 60604
(312) 634-1014; (312) 601-9543

2. *Van v. Ford Motor Co.*, No. 14-cv-8708; *Boroughs v. Ford Motor Co.*, No.

In the Van case, 33 current and former female Ford employees brought a putative class action alleging they were subjected to sexual harassment and a hostile working environment. Plaintiffs' motion for class certification was denied in August 2019 and the parties turned their attention toward settlement proceedings before me. After I conferred with the parties, it became clear that the settlement conferences would have to be conducted on a plaintiff-by-plaintiff basis due to the need for confidentiality and the fact that plaintiffs often had additional, varied claims (e.g., for race discrimination, retaliation, and/or wrongful discharge).

I have since conducted multi-hour individual settlement conferences for 30 of the Van plaintiffs. I also conducted ex parte sessions with several plaintiffs and their counsel to encourage them to make more realistic settlement demands so that Ford would engage in the settlement process. To date, 25 plaintiffs settled their cases on confidential terms, 6 did not settle and have been severed, and 2 voluntarily dismissed their claims.

The Boroughs case was brought by five additional current or former female Ford employees with claims similar to those alleged by the Van plaintiffs. I conducted multi-hour individual settlement conferences with each of the Boroughs plaintiffs and each settled on confidential terms.

Counsel for Van plaintiffs:

Keith Hunt
Hunt & Associates, P.C.
2275 Half Day Road, Suite 126
Bannockburn, IL 60015
(312) 558-1300

Antonio Romanucci
Bhavani Raveendran
Romanucci & Blandin, LLC
321 North Clark Street, Suite 900
Chicago, IL 60654
(312) 253-8600

Counsel for Boroughs plaintiffs:

Keith Hunt
Hunt & Associates, P.C.
2275 Half Day Road, Suite 126
Bannockburn, IL 60015
(312) 558-1300

Counsel for defendant:

Kathleen Nemechek

Berkowitz Oliver LLP
2600 Grand Boulevard, Suite 1200
Kansas City, MO 64108
(816) 561-7007

Katherine Smith
Gibson, Dunn & Crutcher LLP
333 South Grand Avenue, Suite 4600
Los Angeles, CA 90071
(213) 229-7000

3. *Whitney v. Khan et al.*, 18-cv-4475 (N.D. Ill.)

Plaintiff, a former inmate at Cook County jail, alleged that he repeatedly requested treatment for dental problems and that defendants denied him said treatment in violation of the Eighth and Fourteenth Amendments. Plaintiff successfully moved to certify a class of similarly situated current and former inmates. The class action and six related individual cases were referred to me to conduct settlement proceedings.

In order to settle, the parties had to reach an agreement regarding: (1) the amount of the class and subclass settlement funds; (2) the process by which class members and subclass members would submit claims; (3) the minimum and maximum amounts that each member of the class and subclass could obtain; (4) the amount of the incentive awards for the three named plaintiffs; (5) the amount of incentive awards for each class member who was deposed; (6) the costs to be paid to the claims administrator; (7) the attorney's fees and costs to be paid to class counsel; and (8) the amount to be paid to each plaintiff in the related cases.

I presided over three settlement conferences and multiple status hearings on the settlement negotiations. The parties ultimately agreed to settle the class action, as well as five out of the six individual plaintiff cases. The aggregate settlement fund was in excess of \$3.15 million and the class action settlement was approved by Judge Matthew Kennelly. Four of the related cases settled for \$15,000 each and the fifth case settled for \$25,000.

Counsel for plaintiffs:
Patrick Morrissey
Thomas Morrissey
Thomas G. Morrissey Ltd.
10257 South Western Avenue
Chicago, IL 60643
(773) 233-7900

Counsel for defendants:

Francis Catania
Mia Buntic
Cook County State's Attorney's Office
50 West Washington, Suite 2760
Chicago, IL 60602
(312) 603-1434; (312) 603-5440

Monica Burkoth
Brian Gainer
Johnson & Bell, Ltd.
33 West Monroe Street, Suite 2700
Chicago, IL 60603
(312) 984-3421; (312) 372-0770

4. *Breuder v. Bd. of Trustees of Cmty. College Dist. No. 502*, No. 15-cv-9323, 2019 WL 3386966 (N.D. Ill. July 26, 2019); 2020 WL 4676666 (N.D. Ill. Aug. 12, 2020); 2020 WL 11192780 (N.D. Ill. Aug. 14, 2020); 2021 WL 229655 (N.D. Ill. Jan. 22, 2021); 2021 WL 229656 (N.D. Ill. Jan. 22, 2021); 2021 WL 949333 (N.D. Ill. Mar. 12, 2021); 2021 WL 1165089 (N.D. Ill. Mar. 25, 2021); 2021 WL 1209296 (N.D. Ill. Mar. 31, 2021); 2021 WL 4227803 (N.D. Ill. Aug. 13, 2021); 2021 WL 4125084 (N.D. Ill. Sept. 9, 2021); 2021 WL 4283464 (N.D. Ill. Sept. 21, 2021); and 2021 WL 6091731 (N.D. Ill. Dec. 23, 2021).

Plaintiff, who served as President of the College of DuPage until his termination, filed this § 1983 action against defendant Board of Trustees and four individual Board members alleging due process violations, breach of contract, defamation, and civil conspiracy. Certain defendants, in turn, filed counterclaims against plaintiff alleging breach of contract, breach of fiduciary duty, and conversion. After the Seventh Circuit affirmed the district court's decision not to dismiss plaintiff's lawsuit, the district court referred the case to me for discovery supervision. I issued twelve memorandum opinions and orders concerning the scope of discovery and the manner in which it should take place. The case has settled.

Counsel for plaintiff:
Melissa Eubanks
Johnson & Johnson LLP
439 North Canon Drive, Suite 200
Beverly Hills, CA 90210
(310) 975-1084

Counsel for defendant Board and defendants Napolitano and Bernstein:
Andrew Porter
Salvatore Prescott Porter & Porter
1010 Davis Street

Evanston, IL 60201
(312) 283-5711

Counsel for defendant Hamilton:
Aldo Botti
Botti Law Firm, P.C.
2215 York Road, Suite 304
Oak Brook, IL 60523
(630) 573-8585

Counsel for defendant Mazzochi:
Jody Boquist
Littler Mendelson, P.C.
321 North Clark Street, Suite 1100
Chicago, IL 60654
(312) 795-3208

5. *Valdez v. Lowry*, 18-cv-5434, 2021 WL 5769533 (N.D. Ill. Dec. 5, 2021)

Plaintiff Valdez brought claims via § 1983 against several Village of Brookfield police officers alleging excessive force and false arrest in violation of the Fourth Amendment. The parties consented to trial by magistrate judge and the Honorable Marvin Aspen reassigned the case to me for all further proceedings. Prior to trial, I issued a memorandum opinion and order that resolved more than 38 motions in limine concerning a variety of evidentiary issues. See 2021 WL 5769533 (N.D. Ill. Dec. 5, 2021). I then presided over the three-day jury trial from December 6, 2021, to December 9, 2021. The jury returned a substantial verdict in favor of plaintiff, prompting the individual defendant officers to move for a new trial and to alter or amend judgment the judgment. The parties subsequently reached a settlement agreement, pursuant to which I vacated the judgment and dismissed the case on March 23, 2022.

Counsel for plaintiff:
Damon Cheronis
Law Offices of Damon M. Cheronis
140 South Dearborn Street, Suite 411
Chicago, IL 60603
(312) 663-4644

Torrea Hamilton
The Hamilton Law Office, L.L.C.
53 West Jackson Boulevard, Suite 620
Chicago, IL 60604
(312) 726-3173

Counsel for defendants:

Michael Hartigan
Hartigan & O'Connor, P.C.
53 West Jackson Boulevard, Suite 460
Chicago, IL 60604
(312) 235-8880

6. *Tricklestar, Inc. v. AM Conservation Grp., Inc.*, 20-cv-6667 (N.D. Ill.)

Plaintiff brought claims for trade dress infringement and false advertising under the Lanham Act and for patent infringement under the Patent Act alleging that defendant introduced a lower quality, lookalike power strip to compete with plaintiff's product. Defendant brought counterclaims for declaratory judgment on the Lanham Act issues and on the validity of the patent in question. Plaintiff moved for a preliminary injunction and the parties had a hearing on the motion. The case was referred to me for settlement proceedings while the motion remained pending. I conducted three lengthy settlement conferences and held ex parte settlement calls to help the parties and their counsel find terms that would enable them to continue their business relationship in a mutually beneficial way. Ultimately, the parties consented to my exercise of jurisdiction over the case and settled their dispute.

Counsel for plaintiff:
Deanna Kunze
Nixon Peabody LLP
70 West Madison Street, Suite 5200
Chicago, IL 60602
(312) 977-4400

Counsel for defendant:
Michael Chu
Charles McMahon
McDermott Will & Emery LLP
444 West Lake Street
Chicago, IL 60606
(312) 984-5485

7. *Medline Indus., Inc. v. Wypetech, LLC*, No. 20-cv-4424, 2020 WL 6343089 (N.D. Ill. Oct. 29, 2020); 2020 WL 6681353 (N.D. Ill. Nov. 12, 2020)

In this diversity action, plaintiff (a manufacturer and distributor of sanitizing wipes) sought specific performance of a contract that required defendant manufacturer to provide plaintiff with disinfectant wipe products. The case was referred to me for a ruling on plaintiff's motion for expedited discovery, to set a schedule for preliminary injunction proceedings, to issue a report and recommendation on plaintiff's motion for a preliminary injunction, and to conduct a settlement conference.

In the seven months between when the case was filed and when the parties settled at the conclusion of their settlement conference, the parties filed numerous motions which generated 420 docket entries. I issued more than 26 substantive orders concerning motions regarding: the scope of expedited discovery; the scope of a Rule 30(b)(6) deposition and the sufficiency of the notice for the same; the propriety of parties' designations of materials as "confidential" and "attorney's eyes only" under the protective order; the sufficiency of discovery responses; the protocol for searches of electronically stored information; determinations as to whether the parties' privilege claims were justified; whether updated discovery responses and additional deposition testimony should be compelled; and whether third party documents and rebuttal expert opinions should be stricken.

I issued two memorandum opinions and orders, one granting defendant's motion for sanctions and to compel answers to Rule 30(b)(6) deposition questions where plaintiff's attorney improperly coached plaintiff's Rule 30(b)(6) witnesses and instructed them not to answer questions, 2020 WL 6343089 (N.D. Ill. Oct. 29, 2020); and the other finding that plaintiff's non-renewal notice was not privileged and ordering an expedited briefing schedule, 2020 WL 6681353 (N.D. Ill. Nov. 12, 2020). I also heard oral argument on plaintiff's motion for a preliminary injunction and presided over a complicated and lengthy settlement conference. The case settled on confidential terms.

Counsel for plaintiff:
James Thompson
Daniel Lynch
Amy Kanarowski
Lynch Thompson LLP
150 South Wacker Drive, Suite 2600
Chicago, IL 60606
(312) 445-4623; (312) 442-9480; (312) 445-4622

Counsel for defendant:
Keith St. Aubin
Brian McAleenan
Duane Morris, LLP
190 South LaSalle Street, Suite 3700
Chicago, IL 60603
(312) 499-6700; (312) 499-6762

Sean Zabaneh
Duane Morris LLP
30 South 17th Street
Philadelphia, PA 19103
(215) 979-1149

8. *Johnke v. Quiroz et al.*, No. 14-cv-6992 (N.D. Ill.); *Blopleh v. Quiroz et al.*, 14-cv-7364; *Osborn v. Espinal Quiroz et al.*, No. 14-cv-7917

These consolidated cases arose out of a July 21, 2014, multi-vehicle accident that occurred in Will County, Illinois. On that date, a truck driven by defendant Quiroz (the “Driver”) crashed into several passenger vehicles that were stopped in traffic on Interstate 55. The accident caused five fatalities, as well as severe injuries to three other persons. The Driver pled guilty to reckless homicide. The decedents’ estates and the surviving plaintiffs sued the Driver, three companies for whom the Driver was delivering loads of steel, and a fourth company that leased the trailer to the Driver.

The case was referred to me by Judge Robert Dow to conduct a settlement conference. At the time of the conference, plaintiffs and the company defendants had pending cross-motions for summary judgment. If the companies’ motions had been granted, they would have avoided liability for the Driver’s admittedly negligent conduct. Plaintiffs made a settlement demand of \$101 million and the three steel company defendants (who had \$47 million in insurance coverage) made an initial offer of \$5.5 million. After an all-day settlement conference, plaintiffs settled their claims with the steel company defendants for a confidential sum. Plaintiffs’ claims against the trailer company and the Driver himself were likewise settled for a confidential seven-figure sum after another lengthy settlement conference with me.

I also issued a report and recommendation to resolve an attorney’s fee dispute between the Blopleh plaintiffs and their former attorneys. I found that: (1) the attorney’s lien on the former attorneys was invalid under Illinois law; (2) the fees paid to the former attorneys should be calculated by the quantum meruit method; (3) the former attorneys presented sufficient information to permit their fees to be calculated; and (4) the attorneys were entitled to an award of prejudgment interest on their fee award of \$274,545. 2020 WL 9607989 (N.D. Ill. Feb. 19, 2020), *R & R adopted*, No. 14 CV 6992/7364, Order (N.D. Ill. July 20, 2020).

Counsel for Osborn plaintiffs:

Joseph Power, Jr.
Power Rogers, LLP
70 West Madison Street, Floor 55
Chicago, IL 60602
(312) 236-9381

Counsel for Johnke plaintiffs:

Joseph Curcio
Joseph R. Curcio, Ltd.
161 North Clark Street, Suite 2240
Chicago, IL 60601
(312) 321-1111

Counsel for Blopleh plaintiffs:
Frank Andreano
Andreano Law PC
58 North Chicago Street, Suite 509
Joliet, IL 60432
(815) 242-2000

Counsel for defendant steel companies:
Bradford Burton
Cassiday Schade, LLP
222 West Adams Street, Suite 2900
Chicago, IL 60606
(312) 641-3100

Michael Mullen
Kralovec & Marquard Chartered
55 West Monroe Street, Suite 1000
Chicago, IL 60603
(312) 346-6027

Counsel for Blopleh plaintiffs' former attorneys:
Justin Leinenweber
Leinenweber Baroni & Daffada LLC
120 North LaSalle Street, Suite 2000
Chicago, IL 60602
(312) 380-6635

9. *Inventus Power v. Shenzhen Ace Battery*, 339 F.R.D. 487 (N.D. Ill. 2021);
2020 WL 8254383 (N.D. Ill. Nov. 12, 2020)

The plaintiff corporation – which is based in the United States and designs and manufactures advanced battery systems – and its subsidiary brought this action against the defendant Chinese corporation for trade secret misappropriation under federal and state law. The case was referred to me for discovery supervision. I issued a decision in which I: (1) applied the multi-factor Supreme Court test to determine that jurisdictional discovery should be conducted under the Federal Rules of Evidence rather than under the Hague Evidence Convention; (2) determined that the depositions of the parties' Chinese-based employees could lawfully proceed in Macau; and (3) determined that defendant's employees were "managing agents" under Federal Rule of Civil Procedure 30(b)(1). 339 F.R.D. 487 (N.D. Ill. 2021). I presided over multiple lengthy discovery hearings to determine the proper scope of jurisdictional discovery and I issued orders and an additional memorandum opinion with rulings on this subject. 2020 WL 8254383 (N.D. Ill. Nov. 12, 2020). Finally, I issued a report and recommendation in a related-case which granted in part plaintiffs' motion to compel defendant's

American-based subsidiary to comply with plaintiffs' subpoena and my recommendation was adopted in its entirety by the District Court. *Inventus Power v. CBAK Energy Technology, Inc.*, No. 20 CV 7252 (N.D. Ill. Oct. 6, 2021), *R & R adopted*, No. CV 7252, Order (N.D. Ill. July 25, 2022).

The parties settled this case in January 2023.

Counsel for plaintiffs:

Adam Alper
Natalie Flechsig
Kirkland & Ellis LLP
555 California Street
San Francisco, CA 90071
(415) 439-1400

Kevin Bendix
Benjamin Herbert
Yimeng Dou
Kirkland & Ellis
333 South Hope Street
Los Angeles, CA 90071
(213) 680-8132; (213) 680-8400

Gianni Cutri
Kirkland & Ellis
300 North LaSalle Street
Chicago, IL 60654
(312) 312-862-2000

Counsel for defendant:

Sean Berkowitz
Matthew Walsh
Latham & Watkins LLP
330 North Wabash Avenue
Suite 2800
Chicago, IL 60611
(312) 867-7700

Stephen O'Donohue
Lathan & Watkins LLP
885 Third Avenue
New York, NY 10022
(212) 906-1200

Rachel Renee Blitzer
Latham & Watkins LLP
1271 Avenue of the Americas
New York, NY 10020
(212) 303-2820

Kevin Wheeler
Latham & Watkins
555 11th Street, Northwest
Suite 1000
Washington, DC 20004
(202) 637-2200

10. *United States v. Paredes-Macias*, No. 15-cr-754 (N.D. Ill.)

The two-count indictment alleged that Defendant conspired with another individual to offer for sale and to sell, without being permitted to do so, parts of migratory birds in violation of the Migratory Bird Treaty Act, 16 U.S.C. §§ 703(a) and 707(a), and that she in fact offered for sale and sold parts of a migratory bird in violation of the Act.

I held the final pre-trial conference and presided over the two-day jury trial. The Government presented testimony from its witnesses, including one of the foremost experts on the identification of the birds in question, and defendant took the stand in her own defense. The jury acquitted defendant of the conspiracy charge but convicted her of illegally selling parts of the migratory birds in question. After a contested sentencing hearing, I sentenced defendant to probation and a fine.

Counsel for the United States:
Timothy John Chapman
U.S. Attorney's Office for the Northern District of Illinois
219 South Dearborn Street, Suite 500
Chicago, IL 60604
(312) 353-1925

Jonathan Andrew Clow
U.S. Attorney's Office for the Eastern District of Missouri
111 South 10th Street, Floor 20
St. Louis, MO 63102
(314) 539-2200

Counsel for defendant:
The Honorable Candace Jackson-Akiwumi
U.S. Court of Appeals for the Seventh Circuit
219 South Dearborn Street, Room 2722

Chicago, IL 60604
(312) 435-5850

Daniel Hesler
Federal Defender Program
55 East Monroe Street, Suite 2800
Chicago, IL 60603
(312) 621-8347

- d. For each of the 10 most significant opinions you have written, provide: (1) citations for those decisions that were published; (2) a copy of those decisions that were not published; and (3) the names and contact information for the attorneys who played a significant role in the case.

1. *Garrit v. City of Chicago*, No. 16-cv-7319, 2022 WL 124554 (N.D. Ill. Jan. 13, 2022)

Counsel for plaintiffs:
Matthew Amarin
Haytham Faraj
Christina Smedley
Law Offices of Haytham Faraj
1935 West Belmont Avenue
Chicago, IL 60657
(312) 635-0800

Counsel for defendant Chicago:
Jonathan Green
Chicago Corporation Counsel
30 North LaSalle Street, Suite 900
Chicago, IL 60602
(312) 744-0226

Raoul Mowatt
City of Chicago Department of Law
2 North LaSalle Street, Suite 420
Chicago, IL 60602
(312) 744-3283

Counsel for defendant officers:
Jennifer Bagby
City of Chicago Department of Law
2 North LaSalle Street, Suite 420
Chicago, IL 60602
(312) 742-6408

Maxwell Lisy
City of Chicago Department of Law
2 North LaSalle Street, Suite 420
Chicago, IL 60602
(312) 742-0305

Mark Winistorfer
DuPage County State's Attorney's Office
503 North County Farm Road
Wheaton, IL 60187
(630) 407-8204

2. *Valdez v. Lowry*, No. 18-cv-5434, 2021 WL 5769533 (N.D. Ill. Dec. 5, 2021)

Counsel for plaintiff:
Damon Cheronis
Law Offices of Damon M. Cheronis
140 South Dearborn Street, Suite 411
Chicago, IL 60603
(312) 663-4644

Torrey Hamilton
The Hamilton Law Office, L.L.C.
53 West Jackson Boulevard, Suite 620
Chicago, IL 60604
(312) 726-3173

Counsel for defendants:
Michael Hartigan
Hartigan & O'Connor, P.C.
53 West Jackson Boulevard, Suite 460
Chicago, IL 60604
(312) 235-8880

3. *Inventus Power v. Shenzhen Ace Battery*, 339 F.R.D. 487 (N.D. Ill. 2021)

Counsel for plaintiffs:
Adam Alper
Kirkland & Ellis LLP
555 California Street, 27th Floor
San Francisco, CA 94104
(415) 439-1876

Natalie Flechsig
Kirkland & Ellis LLP
555 California Street, 27th Floor

San Francisco, CA 94104
(415) 439-1677

Kevin Bendix
Michael De Vries
Kirkland & Ellis LLP
555 South Flower Street
Suite 3700
Los Angeles, CA 90071
(213) 680-8132; (213) 680-8590

Gianni Cutri
Kirkland & Ellis LLP
300 North LaSalle Street, Suite 2600
Chicago, IL 60654
(312) 862-2000

Counsel for defendant:
He Cheng
Glacier Law LLP
41 Madison Avenue, Suite 2529
New York, NY 10010
(332) 208-1523

Tao Liu
Glacier Law LLP
41 Madison Avenue, Suite 2529
New York, NY 10010
(332) 208-1505

Andrew Palmer
Jared W. Gasman, Attorney, PA
5353 North Federal Highway, Suite 402
Fort Lauderdale, FL 33308
(954) 771-7050

4. *Breuder v. Bd. of Trustees of Cmty. College Dist. No. 502*, No. 15-cv-9323,
2021 WL 1209296 (N.D. Ill. Mar. 31, 2021)

Counsel for plaintiff:
Melissa Eubanks
Johnson & Johnson LLP
439 North Canon Drive, Suite 200
Beverly Hills, CA 90210
(310) 975-1084

Counsel for defendant Board and defendants Napolitano and Bernstein:
Andrew Porter
Salvatore Prescott Porter & Porter
1010 Davis Street
Evanston, IL 60201
(312) 283-5711

Counsel for defendant Hamilton:
Aldo Botti
Botti Law Firm, P.C.
2215 York Road, Suite 304
Oak Brook, IL 60523
(630) 573-8585

Counsel for defendant Mazzochi:
Jody Boquist
Littler Mendelson, P.C.
321 North Clark Street, Suite 1100
Chicago, IL 60654
(312) 795-3208

5. *Sonrai Sys., LLC v. Romano*, 16-cv-3371, 2021 WL 1418405 (N.D. Ill. Jan. 20, 2021), *R & R adopted*, 2021 WL 1418403 (N.D. Ill. Mar. 18, 2021)

Counsel for plaintiff:
Edward Joyce
Edward T. Joyce & Associates P.C.
135 South LaSalle Street, Suite 2200
Chicago, IL 60603
(312) 641-2600

Joan Mannix
Joan M. Mannix, Ltd.
135 South LaSalle Street, Suite 2200
Chicago, IL 60603
(312) 521-5845

Counsel for defendant Heil Co.:
James Thompson
Lynch Thompson, LLP
150 South Wacker Drive, Suite 2600
Chicago, IL 60606
(312) 346-1600

Counsel for defendant Geotab, Inc.:

Michael Albert and Jason Balich
Wolf Greenfield & Sacks PC
600 Atlantic Avenue
Boston, MA 02110
(617) 646-8000.

Counsel for defendant Romano:
Mark Christensen
Christensen Hsu Sipes LLP
224 South Michigan Avenue, Suite 1300
Chicago, IL 60604
(312) 634-1014

Branden Allen Gregory
Christensen Hsu Sipes LLP
224 South Michigan Avenue, Suite 1300
Chicago, IL 60604
(312) 601-9543

6. *Sonrai Sys., LLC v. Romano*, 16-cv-3371, 2020 WL 7027567 (N.D. Ill. Nov. 30, 2020)

Counsel for plaintiff:
Edward Joyce
Edward T. Joyce & Associates P.C.
135 South LaSalle Street, Suite 2200
Chicago, IL 60603
(312) 641-2600

Joan Mannix
Joan M. Mannix, Ltd.
135 South LaSalle Street, Suite 2200
Chicago, IL 60603
(312) 521-5845

Counsel for defendant Heil Co.:
James Thompson
Lynch Thompson, LLP
150 South Wacker Drive, Suite 2600
Chicago, IL 60606
(312) 346-1600

Counsel for defendant Geotab, Inc.:
Michael Albert and Jason Balich
Wolf Greenfield & Sacks PC
600 Atlantic Avenue

Boston, MA 02110
(617) 646-8000

Counsel for defendant Romano:
Mark Christensen
Christensen Hsu Sipes LLP
224 South Michigan Avenue, Suite 1300
Chicago, IL 60604
(312) 634-1014

Branden Allen Gregory
Christensen Hsu Sipes LLP
224 South Michigan Avenue, Suite 1300
Chicago, IL 60604
(312) 601-9543

7. *Blopleh v. Quiroz*, 14-cv-6992/7364, 2020 WL 9607989 (N.D. Ill. Feb. 19, 2020), *R & R adopted*, No. 14-cv-6992/7364, Order (N.D. Ill. July 20, 2020)

Counsel for plaintiffs:
Frank Andreano
Andreano Law PC
58 North Chicago Street, Suite 509
Joliet, IL 60432
(815) 242-2000

Counsel for plaintiffs' former attorneys:
Justin Leinenweber
Leinenweber Baroni & Daffada LLC
120 North LaSalle Street, Suite 2000
Chicago, IL 60602
(312) 380-6635

8. *Prudential Ins. Co. of Am. v. Newman*, No. 17-cv-8732, 2019 WL 4750014 (N.D. Ill. Sept. 30, 2019)

Counsel for defendant/claimant Herst:
Jessica Tovrov
Goodman Tovrov Hardy & Johnson LLC
105 West Madison Street, Suite 1500
Chicago, IL 60602
(312) 252-7362

Counsel for defendant/claimant Newman:
James Sethna
Ashen Law Group

217 North Jefferson Street, Suite 601
Chicago, IL 60661
(312) 506-4517

9. *Meridian Labs., Inc. v. Oncogenerix USA, Inc.*, 333 F.R.D. 131 (N.D. Ill. 2019)

Counsel for plaintiff:
Michael Smith
Law Office of Michael D. Smith, P.C.
231 South LaSalle Street, Suite 2100
Chicago, IL 60604
(312) 546-6140

Counsel for defendant:
Mark Angert
Solomon Ward Seidenwurm & Smith, LLP
401 B Street, Suite 1200
San Diego, CA 92101
(619) 238-4844

Nicolas Casto
Ice Miller LLP
200 West Madison Street, Suite 3500
Chicago, IL 60606
(312) 726-8105

10. *Breuder v. Bd. of Trustees of Cmty. College Dist. No. 502*, No. 15-cv-9323, 2019 WL 3386966 (N.D. Ill. July 26, 2019)

Counsel for plaintiff:
Melissa Eubanks
Johnson & Johnson LLP
439 North Canon Drive, Suite 200
Beverly Hills, CA 90210
(310) 975-1084

Counsel for defendant Board and defendants Napolitano and Bernstein:
Andrew Porter
Salvatore Prescott Porter & Porter
1010 Davis Street
Evanston, IL 60201
(312) 283-5711

Counsel for defendant Hamilton:
Aldo Botti

Botti Law Firm, P.C.
2215 York Road, Suite 304
Oak Brook, IL 60523
(630) 573-8585

Counsel for defendant Mazzochi:
Jody Boquist
Littler Mendelson, P.C.
321 North Clark Street, Suite 1100
Chicago, IL 60654
(312) 795-3208

- e. Provide a list of all cases in which certiorari was requested or granted.

To my knowledge and after a review of legal databases, none of the cases that I have handled have been appealed to the federal or state supreme court.

- f. Provide a brief summary of and citations for all of your opinions where your decisions were reversed by a reviewing court or where your judgment was affirmed with significant criticism of your substantive or procedural rulings. If any of the opinions listed were not officially reported, provide copies of the opinions.

United States v. Carter, No. 22-cr-379, Dkt. No. 8 (N.D. Ill. Aug. 5, 2022). On June 7, 2022, defendant was charged by indictment in the United States District Court for the Northern District of Iowa with conspiracy to distribute a controlled substance, possession with intent to deliver a controlled substance, and maintaining a drug-involved premises near a protected location. Defendant was arrested in the Northern District of Illinois on August 3, 2022, pursuant to a warrant issued by the Northern District of Iowa. Defendant appeared before me for his initial appearance and removal proceeding. I denied the government's oral motion to remove defendant in custody and granted defendant's motion to set bond, finding that defendant could be released under circumstances that would reasonably assure both his appearance in the Northern District of Iowa and the safety of the community. On August 9, 2022, the United States District Court for the Northern District of Iowa stayed the order of release and ordered that defendant be removed to Iowa in the custody of the United States Marshals Service. *United States v. Carter*, No. 22-cr-1021-CJW-MAR (N.D. Iowa Aug. 9, 2022). Copy supplied.

Grubhub Inc. v. Kroger Co., No. 21-cv-5312, 2022 WL 1644233 (N.D. Ill. Apr. 8, 2022), *R & R rejected*, 2022 WL 2774986 (N.D. Ill. May 25, 2022). I recommended that defendant Home Chef's motion for a preliminary judgment be granted, finding that Home Chef had successfully demonstrated that it was likely to succeed on the merits of a claim for trademark infringement based on plaintiff Grubhub's new branding mark. I also found that Home Chef had shown a

significant risk of irreparable harm. The district court disagreed with portions of my analysis and rejected the report and recommendation. Home Chef's appeal of the district court decision denying a preliminary injunction is currently pending before the Seventh Circuit.

- g. Provide a description of the number and percentage of your decisions in which you issued an unpublished opinion and the manner in which those unpublished opinions are filed and/or stored.

Decisions that I have issued as a magistrate judge are available on Westlaw and Lexis and/or the Northern District of Illinois' public CM/ECF website. A small percent of my opinions have been reported in the Federal Supplement or in the Federal Rules Decisions series.

Decisions by the Chicago Commission on Human Relations are not published, but hard copies are retained by the Commission itself. Many of the Commission decisions are also available on Westlaw and/or in PDF form on the Commission's website at

https://www.chicago.gov/city/en/depts/cchr/supp_info/precedential_decisions.html.

- h. Provide citations for significant opinions on federal or state constitutional issues, together with the citation to appellate court rulings on such opinions. If any of the opinions listed were not officially reported, provide copies of the opinions.

Trustees of the Chicago Regional Council of Carpenters Pension Fund v. Drive Construction, Inc., 19-cv-2965, 2022 WL 16635553 (N.D. Ill. Nov. 2, 2022)

Breuder v. Bd. of Trustees of Cmty. College Dist. No. 502, No. 15-cv-9323, 2021 WL 1209296 (N.D. Ill. Mar. 31, 2021)

- i. Provide citations to all cases in which you sat by designation on a federal court of appeals, including a brief summary of any opinions you authored, whether majority, dissenting, or concurring, and any dissenting opinions you joined.

I have not sat by designation on any federal court of appeals.

- 14. **Recusal:** If you are or have been a judge, identify the basis by which you have assessed the necessity or propriety of recusal (If your court employs an "automatic" recusal system by which you may be recused without your knowledge, please include a general description of that system.) Provide a list of any cases, motions or matters that have come before you in which a litigant or party has requested that you recuse yourself due to an asserted conflict of interest or in which you have recused yourself sua sponte. Identify each such case, and for each provide the following information:

- a. whether your recusal was requested by a motion or other suggestion by a litigant or a party to the proceeding or by any other person or interested party; or if you

recused yourself sua sponte;

- b. a brief description of the asserted conflict of interest or other ground for recusal;
- c. the procedure you followed in determining whether or not to recuse yourself;
- d. your reason for recusing or declining to recuse yourself, including any action taken to remove the real, apparent or asserted conflict of interest or to cure any other ground for recusal.

The Northern District of Illinois has adopted a Mandatory Screening Implementation Plan to help ensure that financial conflicts of interest do not occur. As part of this plan, I am required to review my financial holdings and those of my spouse and our minor daughter so that I can prepare a recusal list with all financial conflicts known to me. The Clerk of the Court uses this list to help ensure I do not have a financial conflict with any cases that are assigned to me. I am also required to implement appropriate procedure to screen for all other types of conflicts. To this end, I have provided the Clerk's Office with a list of individuals and entities with which I would have a non-financial conflict. I am required to certify compliance with these requirements on an annual basis.

I was asked to recuse myself in one case:

Stewart v. Credit Control, LLC, No. 18 C 3916. The pro se plaintiff believed that I was being unfair because I referred to defense counsel as "officers of the court," allowed counsel for defendants to send ex parte communications and denied plaintiff access to those communications, did not order a defendant to produce records that its counsel stated did not exist, and was biased against plaintiff because he was not an attorney. I assessed whether there was any basis for my recusal pursuant to 28 U.S.C. §§ 455(a) 455(b)(1) and found that there was not. I reasoned that: (1) plaintiff had received all the documentation that defense counsel had submitted to the Court and had failed to identify any prejudice he had suffered due to the inadvertent delay of his receipt of one page of discovery; (2) I was entitled to rely on defense counsel's representations regarding the documentation possessed by their clients; (3) I was not required to prepare findings of fact and conclusions of law to document my denial of plaintiff's motions to compel and for reconsideration, as plaintiff asserted; and (4) plaintiff failed to prove that I harbored an actual bias against non-attorneys. I issued a written opinion on the matter, citing authority and explaining my rationale in detail. See *Stewart v. Credit Control, LLC*, No. 18 C 3916, 2019 WL 3716908 (N.D. Ill. Aug. 7, 2016).

I recused myself sua sponte for the reasons stated below in the following cases:

Holderman v. Walker, No. 19-cv-6324 (N.D. Ill.); *Wyson v. Walker*, 19-cv-8219 (N.D. Ill.); *Cervantes v. City of Chicago*, No. 21-cv-5852 (N.D. Ill.). I have a policy of recusing myself sua sponte, pursuant to 28 U.S.C. § 455(a), in cases in which my former law firm or former partners have an appearance, given that my impartiality might be reasonably questioned in such circumstances. This policy has resulted in my recusing

myself, sua sponte, in these three cases which were referred to me for settlement conferences.

Mahran v. Roseland Community Hospital, No. 19-cv-7975 (N.D. Ill.). I recused myself sua sponte, pursuant to 28 U.S.C. § 455(a), in this case because counsel for plaintiff was a former co-counsel of mine in a class action lawsuit. His law firm and mine became embroiled in a dispute over attorney's fees and I believe that my impartiality might reasonably have been questioned if I presided over discovery supervision and a settlement conference in the case.

Thomas v. Tesla, Inc., No.18-cv-7839 (N.D. Ill.). I recused myself sua sponte, pursuant to 28 U.S.C. § 455(a), in this case after I received a notice indicating that I was an absent class member in a securities lawsuit against Tesla based on my prior ownership of Tesla stock. (I sold my Tesla stock prior to becoming a magistrate judge.) I believed that my impartiality might reasonably have been questioned if I presided over the settlement conference in the case.

During my time as an Administrative Hearing Officer for the Chicago Commission on Human Relations, there were five instances in which a litigant or party requested that I recuse myself or in which I raised the issue of recusal *sua sponte*.

I was asked to recuse myself in two cases:

Matthews v. Hinckley & Schmitt, CCHR No. 98-E-206. Here, respondent moved to disqualify me, arguing that I could not be impartial and objective because I had represented plaintiffs in employment discrimination matters in my private practice. Relying on precedent from the Commission and federal courts, I found that respondent failed to meet its burden of establishing the appearance of bias, partiality, or prejudice sufficient to warrant disqualification and denied the motion.

The question of whether I should recuse myself arose again at the administrative hearing. I disclosed that my law firm was a customer of respondent's firm and that I recognized one of respondent's prospective witnesses as the employee who had serviced my firm. I indicated that my interaction with this employee had been limited to the occasional exchange of pleasantries and that I believed I could be fair and impartial consistent with the standards of Commission Regulation 240.210. I also provided the parties with an opportunity to voir dire this witness (who was sequestered when I made the above disclosures) as to his recollections of any dealings with me. He likewise testified that his interaction with me was limited to the exchange of pleasantries. Neither party objected at that time to my continuation as the administrative hearing officer for the case.

At the conclusion of the administrative hearing, I entered an order which recapped the above events and provided a briefing schedule for the parties to file any additional motions to disqualify me prior to the issuance of a substantive ruling based on the facts discussed above. Respondent filed a renewed motion for disqualification, which I denied because it was based on the grounds asserted in respondent's initial motion for

disqualification.

Ivy v. Pananikos, CCHR No. 04-H-62. Respondents brought a motion to disqualify me based on the fact that complainant was represented by attorneys from the Lawyers Committee for Civil Rights Under Law (a non-profit organization for which I served on the Board of Directors and with which I had served as co-counsel in various matters over the years). Although I believed I could resolve the matter in a fair and impartial manner, I granted respondents' motion after concluding that my impartiality might reasonably be questioned.

I *sua sponte* recused myself or raised the issue of whether I should be recused in the three cases:

Chow v. Lemen Sun Grocery, CCHR No. 97-E-241. In this case, I recused myself *sua sponte* in reliance on Illinois Supreme Court Rule 63(C)(1) after I learned that counsel for complainant was my opposing counsel in a pending federal court case. Although I did not have any bias against either party and believed that I could resolve the case in an impartial manner, I decided to recuse myself because my impartiality might reasonably be questioned.

Edwards v. Larkin, CCHR No. 01-H-35. I raised the issue of recusal after respondent hired attorney Edward Fox to represent him. My law firm had represented Mr. Fox in a civil lawsuit that had concluded almost two years earlier and attorneys from my firm were actively serving as Mr. Fox's co-counsel in a federal civil rights case. Although I believed I could rule in an impartial and unbiased manner, I recognized that my impartiality might reasonably be questioned and disqualified myself subject to the provisions of Illinois Supreme Court Rule 63(D), whereby the parties and their attorneys could jointly agree to waive disqualification. I prepared an order which incorporated these findings and instructed the parties to consider the issue and inform me whether they desired to waive disqualification. Both parties (through their attorneys) agreed in writing to waive my disqualification and I continued to preside over the case pursuant to Rule 63(D).

Blakemore v. Walgreen Co., CCHR No. 03-P-156. I *sua sponte* raised the issue of recusal prior to any substantive rulings when I realized that I owned shares of stock in respondent corporation and that my impartiality might, therefore, be reasonably questioned. I disclosed this fact to the parties in a December 12, 2006, order and provided the parties with an opportunity to determine whether they would agree to waive my disqualification. Both parties declined to waive my disqualification and I recused myself from the case.

15. Public Office, Political Activities and Affiliations:

- a. List chronologically any public offices you have held, other than judicial offices, including the terms of service and whether such positions were elected or appointed. If appointed, please include the name of the individual who appointed

you. Also, state chronologically any unsuccessful candidacies you have had for elective office or unsuccessful nominations for appointed office.

None.

- b. List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

I have never held a position with a political party or election committee. I have played a role in one political campaign. In late 1983 or early 1984, I circulated campaign material for a candidate named Tom Fuller, who was running for committeeman in the 27th Ward in the City of Chicago.

16. **Legal Career:** Answer each part separately.

- a. Describe chronologically your law practice and legal experience after graduation from law school including:

- i. whether you served as clerk to a judge, and if so, the name of the judge, the court and the dates of the period you were a clerk;

I served as a law clerk for the Honorable Ann C. Williams in the United States District Court for the Northern District of Illinois from 1987 to 1989.

- ii. whether you practiced alone, and if so, the addresses and dates;

I have never practiced law alone.

- iii. the dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been affiliated, and the nature of your affiliation with each;

2016 – 2019
Chicago Police Board
30 North LaSalle Street, Suite 1220
Chicago, Illinois 60602
Hearing Officer (engaged as an independent contractor)

1995 – 2019
City of Chicago Commission on Human Relations
740 North Sedgwick Street, Third Floor
Chicago, Illinois 60654

Administrative Hearing Officer (engaged as an independent contractor)

1989 – 2019

Miner, Barnhill & Galland

325 North LaSalle Street, Suite 350

Chicago, Illinois 60654

Partner (1995 – 2019)

Associate Attorney (1989 – 1995)

- iv. whether you served as a mediator or arbitrator in alternative dispute resolution proceedings and, if so, a description of the 10 most significant matters with which you were involved in that capacity.

I have not served as a mediator or arbitrator in alternative dispute resolution proceedings.

b. Describe:

- i. the general character of your law practice and indicate by date when its character has changed over the years.

Following my judicial clerkship, I practiced law at Miner, Barnhill & Galland ("MBG"). During my 29 years at MBG, I focused on civil litigation in the following practice areas:

Employment Discrimination and Civil Rights. Throughout my career, I represented plaintiffs in individual and class action employment cases involving race, sex, and age discrimination, unlawful retaliation, and wage and hour disputes. I also represented MBG's not-for-profit clients in defense of employment discrimination actions on occasion. I spent thousands of hours during the 1990s representing plaintiffs in voting rights litigation under Section 2 of the Voting Rights Act. I also represented plaintiffs in excessive force, housing discrimination, and First Amendment cases.

Healthcare Law. Between 1989 and 2003, I spent a substantial amount of time representing local hospitals in medical malpractice, psychiatric malpractice, defamation, employment discrimination, and other torts cases. I continued to represent the hospital clients on a periodic basis through the end of my career at MBG.

Labor-Related Work. Between 1989 and 1994, I represented union employees from American Federation of State, County & Municipal Employees Local 2000 in a number of arbitrations.

Government Representation. Between approximately 2008 and 2012, I

worked with my partners to represent several states (including Illinois, Idaho, and Hawaii) in litigation to hold pharmaceutical manufacturers liable for their reporting of false and inflated “average wholesale prices” to state Medicaid programs and in a separate case against a pharmaceutical company regarding its off-label marketing of an anti-psychotic drug on behalf the Commonwealth of Kentucky.

Representation of Whistleblowers. Between 2000 and 2019, I represented whistleblowers in cases under the False Claims Act where my clients alleged that corporate defendants defrauded the federal government and state governments through the submission of false claims.

Corporate Representation. Throughout my career at MBG, I represented not-for-profit corporations before administrative agencies, in labor arbitrations, and in court in connection with claims concerning employment discrimination, collective bargaining disputes, and unemployment compensation. I also represented for-profit corporate clients in breach of contract, fraud, and commercial tort cases.

- ii. your typical clients and the areas at each period of your legal career, if any, in which you have specialized.

I represented a wide variety of clients including individuals, not-for-profit corporations, businesses, labor unions, and governmental entities during my time at MBG.

- c. Describe the percentage of your practice that has been in litigation and whether you appeared in court frequently, occasionally, or not at all. If the frequency of your appearances in court varied, describe such variance, providing dates.

My practice was focused on civil litigation though I also provided counseling for my firm’s corporate clients. The frequency with which I appeared in court varied over the course of my career. From 1989 to the mid-1990s, I appeared in court on a somewhat frequent basis, including in 1996 when I had a bench trial in federal district court that spanned 48 trial days over a six-month period. I also frequently appeared in federal court during the mid-2000s. From the mid-2000s to the time I left MBG in early 2019, my time spent in federal and state trial courts ebbed and flowed with the pace of litigation, my work on the firm’s appeals, and my duties as a hearing officer for the City of Chicago. In the last two to three years of my practice, I appeared in federal district court more frequently for proceedings related to discovery disputes and settlement conferences.

- i. Indicate the percentage of your practice in:
 - 1. federal courts: 90%
 - 2. state courts of record: 10%
 - 3. other courts: 0%

4. administrative agencies: 0%

ii. Indicate the percentage of your practice in:

1. civil proceedings: 100%

2. criminal proceedings: 0%

d. State the number of cases in courts of record, including cases before administrative law judges, you tried to verdict, judgment or final decision (rather than settled), indicating whether you were sole counsel, chief counsel, or associate counsel.

I tried eight cases to verdict. I was sole counsel in two cases, lead (or co-lead) counsel in three cases, and associate counsel in three cases. Additionally, I argued eleven appeals before the Seventh Circuit, one appeal before the Illinois Supreme Court, and four appeals before the Illinois Appellate Court.

i. What percentage of these trials were:

1. jury: 37.5%

2. non-jury: 62.5%

e. Describe your practice, if any, before the Supreme Court of the United States. Supply four (4) copies of any briefs, amicus or otherwise, and, if applicable, any oral argument transcripts before the Supreme Court in connection with your practice.

I have not practiced before the Supreme Court of the United States.

17. **Litigation:** Describe the ten (10) most significant litigated matters which you personally handled, whether or not you were the attorney of record. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the case. Also state as to each case:

- a. the date of representation;
- b. the name of the court and the name of the judge or judges before whom the case was litigated; and
- c. the individual name, addresses, and telephone numbers of co-counsel and of principal counsel for each of the other parties.

1. *United States ex rel. Spottiswood v. Chemed Corp.*, No. 07-cv-4566, consolidated with *United States v. VITAS Hospice Servs., LLC*, No. 4:13-cv-449-BCW (W.D. Mo.)

I was one of the attorneys representing the whistleblower/relator Spottiswood in this

False Claims Act case. Ms. Spottiswood alleged that defendants Chemed and Vitas Hospice Services violated the FCA by submitting false claims for hospice services to the Medicare program and to the Medicaid program. The United States intervened and joined the prosecution of Ms. Spottiswood's and other whistleblowers' case against defendants. The case settled for \$75 million, which is the largest hospice-related recovery for the United States in the history of the FCA. Ms. Spottiswood's companion state case under the Illinois False Claims Act settled for an additional \$500,000.

I worked on the case from 2012 through 2018 alongside counsel for the United States and other whistleblowers' counsel. I performed all work in the e-discovery and expert discovery phases, represented Ms. Spottiswood during her deposition, attended and questioned defense witnesses at their depositions, attended mediation sessions, and conducted legal research. I was also the lead attorney litigating Ms. Spottiswood's companion state case pending in the Circuit Court of Cook County.

Judge Brian C. Wimes of the Western District of Missouri presided over the federal case and Judges Diane Shelley and Sanjay Tailor presided over the state case.

Co-counsel:
Judson Miner (retired)

Sidney Berger
4131 Main Street
Skokie, IL 60076
(312) 558-6730

Counsel for the United States:
Natalie A. Priddy
U.S. Department of Justice
175 North Street, Northeast, Room 10-222
Washington, DC 20002

Lucinda (Cindy) Woolery
U.S. Attorney's Office for the Western District of Missouri
400 East 9th Street
Kansas City, MO 64106

Counsel for defendants:
Peter Spivack
Hogan Lovells US LLP
555 13th Street, Northwest
Washington, DC 20004
(202) 637-5600

Stephanie Carman
GrayRobinson

333 Southeast 2nd Avenue, Suite 3200
Miami, FL 33131
(305) 416-6880

2. *Stuart v. Local 727, Int'l Brotherhood of Teamsters*, 771 F.3d 1014 (7th Cir. 2014)

My client, Ms. Stuart, filed a Title VII action alleging that defendant union Local 727 failed to refer her for driver jobs on television and film productions in the Chicago-area because of her sex. At the time that Ms. Stuart filed her lawsuit, no woman had ever worked as a Local 727 driver on these jobs. From 2013 through 2018, I represented Ms. Stuart throughout discovery, on appeal after the case was erroneously dismissed on the pleadings, and during successful settlement negotiations. The case ultimately settled for a confidential sum and I understand that women are now driving on television and film productions in Chicago.

Judge Edmond Chang and Magistrate Judge Susan Cox presided over the case in its later phases.

Co-counsel:

The Honorable Nancy Maldonado
United States District Court for the Northern District of Illinois
219 South Dearborn Street, Suite 1778
Chicago, IL 60604
(312) 435-6060

Steven Schneck
2522 West Lawrence Avenue, #25081
Chicago, IL 60625
(312) 771-5020

Counsel for defendant:

Sherrie Voyles
Brandon Anderson
Jacobs, Burns, Orlove & Hernandez
1 North LaSalle Street, Suite 1620
Chicago, IL 60602
(312) 372-1646; (312) 327-3461

3. *Huff v. Sheahan*, 493 F.3d 893 (7th Cir. 2007) (Easterbrook, Ripple, and Manion, JJ.)

Plaintiff alleged that the Cook County Sheriff and individual defendants discriminated against her by subjecting her to a hostile work environment on the basis of race and sex, retaliating against her, and terminating her employment in violation of her rights under Title VII, 42 U.S.C. § 1981, and the United States Constitution. The jury found against plaintiff on all of her claims and plaintiff appealed the judgment of her Title VII claim. The Seventh Circuit found that the district court gave erroneous jury instructions that

prejudiced plaintiff and reversed and remanded the judgment for a new trial on the Title VII claim. On remand, plaintiff settled her claims against the County for \$750,000.

I was retained after the trial. While working on the case from 2004 to 2008, I briefed the post-trial motions, prepared the appellate briefs, presented the appellate oral argument, and negotiated the settlement agreement on remand.

Judge Joan Gottschall and Magistrate Judge Arlander Keys (who handled the settlement negotiations) presided over the case.

Counsel for the County:
Donald Hallsten, Jr.
1429 North Wells Street #404
Chicago, IL 60610
(312) 342-8184

4. *King v. Ill. State Bd. of Elections*, 410 F.3d 404 (7th Cir. 2005)

This attorney's fee appeal raised the novel legal question of whether defendant-intervenors constituted "prevailing parties" entitled to receive an award of attorney's fees from defendant Illinois State Board of Elections under the Voting Rights Act and 42 U.S.C. § 1988 when defendant-intervenors stepped into litigation to defend a court-approved congressional redistricting map against plaintiffs who challenged its constitutionality.

The congressional redistricting plan at issue was created in 1991 after a group of African American and Latino plaintiffs intervened into the pending redistricting litigation and asserted that the Voting Rights Act required the creation of a majority-Latino congressional district and the maintenance of the three majority-African American congressional districts. The three-judge panel hearing the case agreed with plaintiffs and the redistricting plan was crafted to include the four majority-minority districts. *Hastert v. State Bd. of Elections*, 777 F. Supp. 634 (N.D. Ill. 1991) (three-judge panel).

The *King* litigation ensued when a different group of plaintiffs alleged that two of the majority-minority congressional districts created by the Hastert plan were unconstitutional under the Supreme Court's *Shaw v. Reno* decision. The *King* three-judge panel allowed a group of African American and Latino voters to intervene as defendants to defend the Hastert plan after the State Board of Elections took a passive position regarding the case. The three-judge panel later held that the Hastert plan was constitutional and the Supreme Court summarily affirmed. *King v. State Bd. of Elections*, 979 F. Supp. 619 (N.D. Ill. 1997) (three-judge panel), *aff'd*, 522 U.S. 1087 (1998).

In a case of first impression, the Seventh Circuit affirmed the district court and held that the *King* defendant-intervenors were "prevailing parties" entitled under the civil rights laws to an award of attorney's fees against their co-defendant Illinois State Board of Elections.

I worked on the case between 2000 and 2005. I prepared defendant-intervenors' brief on appeal and argued the case before the Seventh Circuit. Judge David Coar entered the attorney's fee award in the district court.

Co-counsel:
Judson Miner (retired)

Counsel for co-defendant State Board of Elections:
Mary Welsh
C/o Duchauffour
6 Rue Castex, Nos 14-16
75004 France

5. *Orlowski v. Dominick's Finer Foods*, 172 F.R.D. 370 (N.D. Ill. 1997)

This case was a Title VII sex discrimination class action lawsuit on behalf of the women employees at Dominick's, a local grocery store chain. Judge Elaine Bucklo approved a class action settlement in March 2000, which provided for \$7.65 million in monetary relief to over 12,500 current or former women employees, as well as attorney's fees and costs. While working on the case from 1995 to 2004, I conducted depositions, prepared written discovery responses, assisted with the briefing of all contested motions, presented arguments before Magistrate Judge Arlander Keys (who handled discovery matters), handled various matters before Judge Bucklo, and managed the myriad of issues and disputes regarding the administration and distribution of the settlement proceeds to the class.

Judge Bucklo and Magistrate Judge Keys also issued several discovery-related decisions. *See* 1998 WL 26171 (N.D. Ill. 1998); 937 F. Supp. 723 (N.D. Ill. 1996); 1995 WL 549096 (N.D. Ill. 1995), *clarified on denial of reconsideration*, 1995 WL 516595 (N.D. Ill. 1995).

Co-counsel:
Judson Miner (retired)

Paul Strauss
Law Office of Paul Strauss
5525 South Woodlawn Avenue
Chicago, IL 60637
(773) 551-5350

Counsel for defendant:
Mark Meister
McDonald's Corporation
110 North Carpenter Street
Chicago, IL 60607

(630) 209-1741

6. *Richardson v. Perry*, 02 CH 19462 (Circuit Court of Cook County)

Plaintiff church members sought to enjoin defendant pastor and church trustees from demolishing the Metropolitan Community Church and selling the church's valuable assets. The Metropolitan Community Church is a historic landmark in Bronzeville. Plaintiffs obtained a temporary restraining order ("TRO"), which was upheld by the Illinois Appellate Court on November 13, 2002. Plaintiffs successfully maintained the TRO until the Church was sold to another pastor in the spring of 2003 and saved from demolition.

The case was litigated from 2002 to 2003. As lead counsel for plaintiffs, I prepared the complaint and plaintiffs' motions for a TRO and a preliminary injunction, briefed other motions, prepared and examined witnesses at the TRO hearings, and presented argument to the court. Judge Lester Foreman (deceased) presided over this case.

Counsel for defendants:

Stephen Pugh
Burke, Warren, MacKay & Serritella
330 North Wabash Avenue, Suite 2100
Chicago, IL 60611
(312) 840-7100

7. *Markham v. White*, 1999 WL 1072647 (N.D. Ill. 1999); 172 F.3d 486 (7th Cir. 1999); 171 F.R.D. 217 (N.D. Ill. 1997); 1996 WL 446887 (N.D. Ill. 1996); 1996 WL 167329 (N.D. Ill. 1996); 1995 WL 669643 (N.D. Ill. 1995)

In this sex discrimination class action lawsuit, women law enforcement officers brought a *Bivens* claim against five Drug Enforcement Administration agents, asserting that defendants conspired to violate their rights under the Equal Protection Clause and 42 U.S.C. § 1985(3) by engaging in sexual harassment during DEA training seminars. Defendants vigorously defended the case, filing successive motions to dismiss and for judgment on the pleadings, opposing plaintiffs' motion for class certification, moving for summary judgment based on qualified immunity, and moving to decertify the class. Defendants' efforts were largely unsuccessful, and the class reached a settlement for \$200,250.

While working on this case between 1995 and 2002, I was responsible for managing written discovery, conducting depositions, briefing on motions, many arguments before the district court, the appellate briefing, and the oral argument before the Seventh Circuit.

Judge Paul Plunkett, Judge Milton Shadur (who filled in for Judge Plunkett on a temporary basis), and Judge Joan Lefkow (who handled the case from September 2000 on) presided over the case.

Co-counsel:
Sarah Siskind
Miner, Barnhill & Galland, P.C.
44 East Mifflin Street, Suite 803
Madison, WI 53703
(608) 255-5200

Counsel for defendants:
Cynthia Giacchetti
Law Office of Cynthia Giacchetti
53 West Jackson Boulevard, Suite 1035
Chicago, IL 60604
(312) 939-6440

William Theis
2027 East 17th Street
Lawrence, KS 66044
(847) 275-3080

David Schippers (deceased)

8. *Barnett v. City of Chicago*, 835 F. Supp. 1063 (N.D. Ill. 1993), *rev'd*, 32 F.3d 1196 (7th Cir. 1994), *on remand*, 969 F. Supp. 1359 (N.D. Ill. 1997), *vacated and remanded in relevant part*, 141 F.3d 699 (7th Cir.), *cert. denied*, 524 U.S. 954 (1998), *on remand*, 17 F. Supp. 2d 753 (N.D. Ill. 1998); 1999 WL 138813 (N.D. Ill. 1999); 122 F. Supp. 2d 915 (N.D. Ill. 2000), *aff'd*, 3 F. App'x 546 (7th Cir. 2001)

I served as second chair trial and appellate counsel for the class of African American voters (the Barnett plaintiffs) in this voting rights class action against the City of Chicago to challenge the legality of the City's 1991 ward redistricting pursuant to § 2 of the Voting Rights Act. A group of aldermen who supported the City's ward map were permitted to intervene and they defended the map along with counsel for the City. The Barnett plaintiffs prevailed after two merits appeals, a 48-day bench trial in 1996, and a 3-day supplemental remand hearing in 1998. Prosecuting this case required thousands of hours of work from its inception in 1992 to 2001.

Judge Brian Barnett Duff and then-Magistrate Judge Joan Lefkow presided over discovery and the first trial. Judge Elaine Bucklo presided over the remand proceedings in 1998.

Co-counsel:
Judson Miner (retired)

Counsel for the class of plaintiff Latino voters:
The Honorable Maria Valdez
United States District Court for the Northern District of Illinois

219 South Dearborn Street, Suite 1058
Chicago, IL 60604
(312) 435-5690

Counsel for defendant-intervenors aldermen:
Richard Devine
Cozen O'Connor PC
123 North Wacker Drive, Suite 1800
Chicago, IL 60606
(312) 474-7993

David Bonoma
Linebarger Goggan Blair & Sampson, LLP
233 South Wacker Drive, Suite 4030
Chicago, IL 60606
(312) 526-1203

Joel Pelz
Jenner & Block LLP
353 North Clark Street
Chicago, IL 60654
(312) 923-2609

Jerold Solovy (deceased)

Counsel for the City of Chicago:
Andrew Mine
City of Chicago Department of Law
2 North LaSalle Street, Suite 520
Chicago, IL 60602
(312) 744-7220

9. *Palmquist v. Selvik*, 111 F.3d 1332 (7th Cir. 1997)

I served as lead trial counsel in this § 1983 action where plaintiff alleged that defendant police officer used excessive force by repeatedly shooting her son and killing him and that defendant Village of Bensenville failed to properly train its police officers. After a four-week trial, the jury found liability against both the individual defendant and the Village. On appeal, the Seventh Circuit affirmed the finding of liability against the individual defendant but reversed the finding against the Village.

While working on the case from 1993 through 1997, I prepared the briefing on the numerous trial-related motions, conducted the opening and rebuttal arguments, examined numerous witnesses, including defendants' expert, briefed the appeal, argued the appeal before the Seventh Circuit, and prosecuted plaintiff's fee petition.

Magistrate Judge W. Thomas Rosemond was the trial judge.

Co-counsel:
Judson Miner (retired)

Counsel for defendants:
Mark Smolens
Law Office of Mark F. Smolens
1627 Colonial Parkway, Suite 301
Inverness, IL 60067
(773) 580-4982

Richard Ryan (retired)

10. *DeMarco v. Univ. Health Sci./Chicago*, 90 C 7029 (N.D. Ill.)

This individual age discrimination case involved the wrongful termination of plaintiff. I tried this case twice as co-lead counsel. The first one-week trial ended in a mistrial with the jury deadlocked seven to one in favor of plaintiff. The second one-week trial ended in a jury verdict for plaintiff. The case settled after the verdict on confidential terms.

I worked on this case between 1992 and 1994. Judge John Grady presided over both trials.

Co-counsel:
Mark Kende (first trial)
Drake University
2507 University Avenue
Des Moines, IA 50311
(515) 271-3354

Judson Miner (second trial) (retired)

Counsel for defendant:
Susan Benton (first trial)
Benton Firm LLC
1555 North Astor Street, Suite 7SE
Chicago, IL 60610
(312) 767-7910

Joseph Gagliardo (second trial)
Laner Muchin Ltd.
515 North State Street, Suite 2800
Chicago, IL 60654
(312) 467-9800

18. **Legal Activities:** Describe the most significant legal activities you have pursued, including significant litigation which did not progress to trial or legal matters that did not involve litigation. Describe fully the nature of your participation in these activities. List any client(s) or organization(s) for whom you performed lobbying activities and describe the lobbying activities you performed on behalf of such client(s) or organizations(s). (Note: As to any facts requested in this question, please omit any information protected by the attorney-client privilege.)

In addition to the cases that I have litigated in court, I represented dozens of individual clients in employment and civil rights matters of all kinds that I helped them resolve through settlement pre-suit through confidential settlement agreements. I worked with a number of my law firm's not-for-profit clients to investigate internal complaints regarding employment matters, review their personnel policies, provide anti-harassment training, and counsel them on discipline and terminations.

I was selected to work as a neutral in assist in the administration of the settlements in two federal employment discrimination class actions. In *Allen v. Int'l Truck and Engine Corp.*, 1:02-cv-902-RLY-TAB (S.D. Ind.), a race discrimination class action, I was appointed by the Honorable Richard L. Young in 2008 to serve as a Monitor for the consent decree. My duties included working with an Oversight Committee appointed by the Court, company officials, and counsel for the parties to ensure that defendant company complied with its obligations under the consent decree. Among other things, I evaluated the company's personnel policies addressing racial discrimination, harassment, and retaliation; oversaw the company's investigation discrimination and retaliation complaints; evaluated anti-discrimination training; met with employees to assess their understanding of the consent decree and the company's anti-discrimination policies; and prepared reports on the company's efforts to comply with the consent decree. In *McReynolds et al. v. Merrill Lynch*, No. 05-cv-6583 (N.D. Ill.), another race discrimination class action, the Court-appointed Special Master for the administration of the consent decree selected me as a Neutral to serve in the claims administration process in 2014. I was responsible for hearing and assessing claims of individual class members to recommend the awards that they were to receive from the settlement proceeds.

Finally, as a United States magistrate judge, I regularly speak to attorneys, law students, and the general public in educational seminars sponsored by organizations, schools, and bar associations.

I have not performed any lobbying activities or registered as a lobbyist.

19. **Teaching:** What courses have you taught? For each course, state the title, the institution at which you taught the course, the years in which you taught the course, and describe briefly the subject matter of the course and the major topics taught. If you have a syllabus of each course, provide four (4) copies to the committee.

Adjunct Professor, "Introduction to Trial Advocacy" course, Northwestern Pritzker School of Law (1995). This course covered the basics of trial advocacy through the use

of both lectures and skills practice. The course culminated in a mock trial. I am unable to locate a syllabus for this course.

1990 – 2000 (specific dates unknown): Instructor, “Introduction to Legal Learning” course, Chicago Lawyers Committee for Civil Rights Under Law, Chicago, Illinois. This program was a week-long course provided on an annual basis to incoming students at Northwestern Pritzker School of Law. Syllabus supplied.

20. **Deferred Income/ Future Benefits:** List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients or customers. Describe the arrangements you have made to be compensated in the future for any financial or business interest.

None.

21. **Outside Commitments During Court Service:** Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service with the court? If so, explain.

None.

22. **Sources of Income:** List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, licensing fees, honoraria, and other items exceeding \$500 or more (if you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here).

When my nomination is formally submitted to the Senate, I will file my Financial Disclosure Report and will supplement this Questionnaire with a copy of that Report.

23. **Statement of Net Worth:** Please complete the attached financial net worth statement in detail (add schedules as called for).

See attached Net Worth Statement.

24. **Potential Conflicts of Interest:**

- a. Identify the family members or other persons, parties, categories of litigation, and financial arrangements that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to arise.

I am unaware of any such potential conflicts of interest other than with members of my former law firm, should they ever have a case assigned to me, and with a

small number of institutional clients with whom I frequently worked while practicing. I currently assess recusal concerns in accordance with 28 U.S.C. § 455, the Canons of Judicial Ethics, and the Northern District of Illinois' Mandatory Screening Implementation Plan. I will continue to follow this practice if I am confirmed as a district court judge.

- b. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.

Should any conflict of interest arise, I would continue to follow all applicable ethical rules and, if necessary, seek out an opinion from the Judicial Conference's Committee on Codes of Conduct.

25. **Pro Bono Work**: An ethical consideration under Canon 2 of the American Bar Association's Code of Professional Responsibility calls for "every lawyer, regardless of professional prominence or professional workload, to find some time to participate in serving the disadvantaged." Describe what you have done to fulfill these responsibilities, listing specific instances and the amount of time devoted to each.

On a weekly basis throughout my 29 years in private practice, I received calls from people who believed that they were in need of legal services for problems pertaining to possible employment discrimination and/or a violation of their civil rights. Many of these individuals lacked the resources to pay for legal services and I conferred with them without charge to provide them with insight as to which laws might apply to their situations. If I could not personally assist the potential client, I would refer them to government agencies, legal services programs, or to other private attorneys.

I engaged in civil rights litigation on a pro bono basis. For example, I served as counsel for the Chicago Lawyers Committee for Civil Rights Under Law, the Woodstock Institute, and the Leadership Council for Metropolitan Open Communities in a Freedom of Information action against the Illinois Department of Insurance to obtain homeowners insurance loss data. The plaintiffs sought the loss data to assess whether there was discrimination within the home insurance market. After an interlocutory appeal, *Chicago Lawyers' Comm. for Civil Rights Under Law v. Ill. Dept. of Ins.*, 318 Ill.3d 1218 (1st Dist. 2001), the case ultimately settled after the Department of Insurance agreed to produce a homeowners insurance study with the input of plaintiffs and defendant-intervenor insurance companies.

Finally, I pursued pro bono public interest work involving pre-litigation negotiation. In 2015 and 2016, for example, I worked with attorneys from the Chicago Lawyers Committee for Civil Rights Under Law on behalf of citizens of the City of Blue Island. At that time, the City's ward map created such imbalanced populations between the individual wards that the City was in violation of the Fourteenth Amendment's "one person, one vote" guarantee. We successfully negotiated with the City and it passed a redistricting ordinance to bring its ward map in compliance with the Constitution.

26. **Selection Process:**

- a. Please describe your experience in the entire judicial selection process, from beginning to end (including the circumstances which led to your nomination and the interviews in which you participated). Is there a selection commission in your jurisdiction to recommend candidates for nomination to the federal courts? If so, please include that process in your description, as well as whether the commission recommended your nomination. List the dates of all interviews or communications you had with the White House staff or the Justice Department regarding this nomination. Do not include any contacts with Federal Bureau of Investigation personnel concerning your nomination.

On October 25, 2021, I submitted an application to the Judicial Screening Committee established by United States Senators Richard Durbin and Tammy Duckworth for a position on the United States District Court for the Northern District of Illinois. The Screening Committee interviewed me on November 23, 2021. I was interviewed by Senator Durbin on November 30, 2021, and by Senator Duckworth on December 8, 2021. A member of Senator Durbin's staff thereafter informed me that my name would be submitted to the White House for further consideration. On December 16, 2021, Senators Durbin and Duckworth wrote a letter to President Biden submitting my name, along with six others, as candidates for his consideration.

On October 17, 2022, I interviewed with several attorneys from the White House Counsel's Office. Since that time, I have been in contact with officials from the Office of Legal Policy at the Department of Justice. I spoke again with Senator Durbin's staff on January 9, 2023. On January 18, 2023, the President announced his intent to nominate me.

- b. Has anyone involved in the process of selecting you as a judicial nominee discussed with you any currently pending or specific case, legal issue or question in a manner that could reasonably be interpreted as seeking any express or implied assurances concerning your position on such case, issue, or question? If so, explain fully.

No.

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		195	042	Notes payable to banks-secured (auto)			
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities – see schedule	5	205	333	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable – see schedule		716	463
Real estate owned – see schedule	1	345	700	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		150	000				
Cash value-life insurance		190	783				
Other assets itemize:							
Federal Thrift Savings Plan		113	859				
				Total Liabilities		716	463
				Net Worth	6	484	254
Total Assets	7	200	717	Total Liabilities and Net Worth	7	200	717
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)			No
On leases or contracts				Are you defendant in any suits or legal actions?			No
Legal Claims				Have you ever taken bankruptcy?			No
Provision for Federal Income Tax							
Other special debt							

FINANCIAL STATEMENT

NET WORTH SCHEDULES

Listed Securities

Amazon stock	\$19,308
Apple stock	\$78,447
McDonalds stock	\$54,558
American Funds, American Balanced Fund	\$902,313
Capital World Growth & Income Fund A	\$9,020
DWS Global Infrastructure Mutual Fund	\$43,315
Federated International Leaders Mutual Fund	\$93,444
Federated Hermes Kaufmann Large Cap Mutual Fund	\$420,201
Federated Hermes Total Return Bond Fund	\$165,970
Federated Hermes Strategic Mutual Fund	\$113,290
Financial Select ETF	\$14,524
First Trust ETF Water	\$33,380
First Trust NASDAQ ETF	\$44,880
First Trust Global Dividend 65 UIT	\$27,285
First Trust Dow 10 January 2022 UIT	\$389
Growth Fund of America	\$9,020
Invesco Large Cap Core UIT	\$183
Invesco Van Kampen Comstock	\$272,041
Invesco Main Street Mid Cap Fund	\$45,997
Invesco S&P Global Water ETF	\$33,088
ISHares Broker Dealer ETF	\$20,616
Jackson National	\$1,962,170
Life Path Index 2035 Account A	\$27,946
Oppenheimer Managed Account	\$522,674
ProShares Dividend Aristocrat ETF	\$47,240
The Income Fund of America	\$4,883
Thornburg Small/Mid Cap Core Cl. A	\$79,491
Vanguard Index Fund S&P ETF	\$24,342
Xanthus Fund	\$135,318
Total Listed Securities	\$5,205,333

Real Estate Owned

Personal Residence	\$948,700
Condominium	\$397,000
Total Real Estate Owned	\$1,345,700

Real Estate Mortgages Payable

Personal Residence – Mortgage	\$424,685
Condominium	\$291,778
Total Real Estate Mortgages Payable	\$716,463

AFFIDAVIT

I, Jeffrey Irvine Cummings, do swear
that the information provided in this statement is, to the best
of my knowledge, true and accurate.

1/5/23

(DATE)

[Signature]

(NAME)

[Signature]

(NOTARY)

